1 LASIK for the correction of high hyperopic astigmatism with the Carl

2 Zeiss Meditec MEL80 and CRS-Master and VHF Digital Ultrasound

3 Epithelial Thickness Monitoring

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- 25 presented herein.

- 26
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32

34 Abstract

35 *Purpose:* To evaluate outcomes of high hyperopic LASIK using the MEL 80 excimer laser.

36 *Methods:* Retrospective analysis of 785 consecutive high hyperopic LASIK procedures using the MEL

37 80 excimer laser (Carl Zeiss Meditec) and either the VisuMax femtosecond laser (Carl Zeiss Meditec)

38 or zero compression Hansatome (Bausch & Lomb) microkeratome. Inclusion criteria were attempted

39 maximum hyperopic meridian ≥+4.00D and CDVA 20/20 or better. Patients were followed for a

40 minimum of 1 year. Epithelial thickness monitoring by Artemis VHF digital ultrasound (ArcScan Inc)

41 was used to evaluate potential for further steepening as a retreatment. A full review of the peer-

42 reviewed literature was carried out for comparative purposes.

43 *Results:* Mean attempted SEQ was +4.52±0.84D (+2.00 to +6.96D) and mean cylinder was

44 1.05±0.86D

45 (0.00 to 5.25D). Mean age was 50±12 (18 to 70) with 61% female patients. Postoperative SEQ was

46 ±0.50 D in 50% and ±1.00 D in 77% of eyes, after primary treatment. After retreatment, 67% of eyes

47 were ±0.50 D and 89% were within ±1.00 D. UDVA was 20/20 or better in 76% of eyes after final

48 treatment. One line of CDVA was lost in 25% of eyes and two lines were lost in 0.4%. There was a

49 clinically insignificant but statistically significant decrease (*P*<.05) in contrast sensitivity (CSV-1000)

50 by less than 1 log unit at 3 and 6 cpd, and by 1 log unit at 12 and 18 CPD. Diurnal fluctuation in

refraction was identified in 2 eyes, proven by VHF digital ultrasound to be due to diurnal epithelial

52 remodelling overnight and unrelated to maximum postoperative keratometry induced.

53 **Conclusions:** LASIK for hyperopia by cumulative treatment of up to +9.00 D with the MEL80 excimer

54 laser was found to satisfy accepted criteria for safety, efficacy and stability.

56 Introduction

57	Excimer lasers have been used as a treatment for high hyperopia since Dausch et al ¹ first reported
58	the results of PRK for hyperopia up to +7.50 D in 1993 using the MEL60 excimer laser (Carl Zeiss
59	Meditec, Jena, Germany). Numerous reports followed with results of high hyperopia correction with
60	first generation excimer lasers, but many of these were associated with significant regression,
61	undercorrection, and loss of corrected distance visual acuity (CDVA) ¹⁻⁵ leading a number of clinicians
62	to suggest that safe and effective excimer laser correction of hyperopia might be limited to
63	treatments below +4.00 D or +5.00 D. ^{2, 3} However, there are more recent reports of safe, effective
64	and stable outcomes for hyperopia above +5.00 D using these first generation excimer lasers. ^{6, 7}
65	
66	The first major improvement in hyperopic corneal ablation surgery came relatively early on as
67	different groups found improved results, in particular improved stability, by increasing the optical
68	zone and transition zone size. ^{3, 8, 9} The second major improvement was observed with the
69	introduction of flying spot lasers to replace the broad beam scanning slit lasers, with an
70	improvement in outcomes noted with the a variety of different lasers. ^{7, 10-18} Thirdly, alongside the
71	development of excimer laser technology, significant progress has been made with ablation profile
72	design. Finally, results have been improved by changing the protocol for ablation centration from
73	the entrance pupil center to the corneal vertex ^{16, 19} or coaxially sighted corneal light reflex. ^{18, 20, 21}
74	(Further references for the introduction are available in appendix A)
75	
76	The purpose of the present study was to report the refractive outcomes of LASIK with the MEL80

excimer laser (Carl Zeiss Meditec, Jena, Germany) in a large number of eyes with high hyperopic
refractive error of +4.00 D or more.

80 Methods

81 Patients

82 This was a retrospective non-comparative consecutive case series including 835 eyes of 681

83 hyperopic primary LASIK procedures between 14/05/2003 to 20/12/2011 at London Vision Clinic.

84

Inclusion criteria were: attempted maximum hyperopic correction of ≥+4.00 D, medically suitable for
LASIK, no previous ocular, eyelid or orbital surgery, no visually significant cataract, CDVA 20/20 or
better, age ≤70 years old, and a minimum follow-up of 1 year. Informed consent and permission to
use their data for general analysis and publication was obtained from each patient prior to surgery
as part of our routine protocol. Because this was a retrospective study, institutional review board
approval was not required. One patient did not provide permission, so was excluded from the
analysis.

92

A full ophthalmologic examination was performed by an in-house optometrist as described
previously.¹¹ This included a manifest refraction and a cycloplegic refraction according to a
standardized protocol.²² The manifest refraction was repeated on a later date by the surgeon, which
was used to plan the treatment.

97

98 Planning

99 In our protocol for high hyperopia, the following criteria must be met before the primary procedure.

100 Firstly, the predicted post-operative residual stromal thickness must be greater than 250 μm.

101 Secondly, the attempted correction was limited such that the predicted postoperative keratometry

102 was less than 51.00 D. Finally, an arbitrary maximum laser data entry of +7.00 D was applied.

103 Therefore, some patients were treated using a planned two-stage protocol where the primary

104 procedure was an intentional undercorrection, followed by retreatment at a later date.

106 Surgical Protocol

All treatments were performed as bilateral simultaneous LASIK using the MEL80 excimer laser. The zero compression Hansatome microkeratome was used between 14/05/2003 and 10/07/2009 in 38% of eyes and the VisuMax femtosecond laser was used between 05/10/2007 and 20/12/2011 in the other 62% of eyes. The procedure was performed by author DZR in 71% of eyes and by author GIC in 29% of eyes. The CRS-Master software platform was used to generate the ablation profiles.

112

The standardized surgical technique followed has been described previously.²³ Both the flap and corneal ablation were centered on the coaxially sighted corneal light reflex (CSCLR).²⁰ During surgery, the CSCLR was determined before the flap was lifted as the first Purkinje reflex, seen as the patient fixated coaxially with the aiming beam and the view of the surgeon's contralateral eye through the operating microscope. The CSCLR was used as the best approximation of the intersection of the visual axis with the cornea.

119

Optical treatment zone diameters were 6.50-mm (in 18%) and 7.00-mm (in 82%). Intended flap
thickness was 160 µm in 35% and 180 µm in 2% of eyes (using the Hansatome), and 90-95 µm in
24%, 100 µm in 28%, 110 µm in 8%, and 120 µm in 2% of eyes (using the VisuMax). Flap diameter
was 8.5 mm in 0.4% and 9.5 mm in 37% of eyes (using the Hansatome), and 8.0 mm in 16%, 8.5 mm
in 0.5%, and 8.8 mm in 45% of eyes (using the VisuMax). For VisuMax flaps, a small contact glass was
used for an 8.0-mm flap diameter, otherwise a medium contact glass was used. A 4.5-mm superior
hinge was used in all VisuMax cases.

127

128 **Postoperative Course and Evaluation**

129 Patients were instructed to instill tobramycin with dexamethasone (Tobradex; Alcon Laboratories,

130 Inc., Fort Worth, TX) and ofloxacin (Exocin; Allergan Ltd, Marlow, UK) four times daily and wear

131 plastic shields for sleeping during the first week. The surgeon reviewed the patient at day 1 and flap

132 adjustments were performed if necessary at the slit-lamp using a surgical spear under topical 133 anesthetic and antibiotic cover. An in-house optometrist examined the patient at 1, 3, and 12 134 months and yearly thereafter with surgeon review for all outliers. All visits included monocular and 135 binocular UDVA, manifest refraction, and CDVA. Best spectacle-corrected mesopic contrast 136 sensitivity, ATLAS corneal topography and dilated WASCA aberrometry (both Carl Zeiss Meditec) 137 were performed at 3 months, 1 year, and 2 years. 138 139 Postoperative complications and dry eye symptoms were assessed at each visit. A 6-grade 140 classification system was used for each parameter: trace, GD I-II (not visually significant), and GD III-141 V. In this scale, trace refers to any small amount inconsistent with an untreated cornea, even if not 142 visually significant.

143

144 **Retreatments**

Retreatments followed the same protocol in those who had planned retreatments and in those who
required retreatment following a full correction. Retreatments were performed once stability was
demonstrated over an interval of at least two months, defined as no change in sphere within ±0.25 D
and cylinder within ±0.25 D.

149

150 In the majority of cases, an Artemis very high-frequency (VHF) digital ultrasound (ArcScan Inc,

151 Morrison, Colo) scan was performed to obtain layered pachymetric maps of corneal, epithelial and

residual stromal thickness.²⁴ When planning a retreatment, the safety was assessed by checking that

153 the predicted residual stromal thickness after the retreatment was greater than 250 μ m at the

154 location of the maximum ablation as well as the location of the (peripheral) minimum residual

155 stromal thickness.

157 Suitability was assessed using an epithelial thickness map to confirm that the minimum epithelium 158 was sufficient to avoid apical syndrome if further steepening was induced. We have previously 159 shown that the central epithelium thins by approximately 2 µm for every diopter of hyperopic correction using the MEL80.²⁴ This can be used to predict the central minimum epithelial thickness 160 161 after the retreatment and ensure that this remains greater than 28 µm. This is sufficient given that 162 epithelial breakdown tends to occur for epithelial thicknesses of about 21 µm (personal communication, Dan Z. Reinstein). This method enables us to safely perform further steepening in 163 164 cases that would otherwise have been excluded based on standard keratometry limits. According to 165 these two safety factors, in some cases the retreatment performed was not a full correction. 166 167 **Statistical analysis** 168 Outcome analysis was performed according to the Standard Graphs for Reporting Refractive Surgery 169 for both the primary treatment, and after the final treatment. Data from the 2-year visit were used 170 for analysis if available, otherwise 1-year data were used. Stability of keratometry was evaluated as 171 the mean simulated keratometry at 3 months, 1 year, and 2 years. The change in whole eye higher 172 order aberrations was assessed using a 6-mm analysis zone. The incidence of postoperative 173 complications and dry eye symptoms were assessed for the 1 year visit. Microsoft Excel 2010 174 (Microsoft Corporation, Redmond, WA) was used for data entry and statistical analysis. A P value

175 less than .05 was defined as statistically significant.

177 Results

178 Patient Population

During the study period, 835 eyes were treated and a minimum of 1-year timepoint examination data were available for 790 eyes (95% follow-up), for which the last time point after the primary procedure was the 2 year visit in 57% (n = 448), the 1 year visit in 38% (n = 303), and the 6 month visit in 5% (n = 39) of eyes. All eyes where the last timepoint after the primary procedure was earlier than 1 year had undergone retreatment at that time. For these eyes, 1-year follow-up data after the retreatment were used to analyse the final outcome. Table 1 shows demographic data for the study population.

186

187 Retreatments

188 The primary procedure was performed as a partial correction in 20% (n = 158) of eyes, of which 51% 189 (81/158) had undergone a retreatment to date. Of the 632 eyes intended for full correction, 35% 190 (220/632) have undergone a retreatment. In total, including two stage protocol cases, 38% 191 (301/790) of eyes have undergone a retreatment. Of these 301 eyes, a second retreatment was 192 performed in 6 eyes (2%) within the two year follow-up period. Retreatments were performed at the 193 6 month visit in 13% (n = 39), at the 1 year visit in 55% (n = 164), at the 2 year visit in 28% (n = 83), 194 and after the 2 year visit in 4% (n = 12) of eyes. The mean attempted spherical equivalent of the 195 retreatments was +1.08±0.96 D (-1.88 to +3.88 D), of which 6% (n = 18) were myopic, 82% (n = 243) 196 were hyperopic, and 12% (n = 37) were mixed cylinder. 197

198 Standard Outcomes

199 Figure 1 presents the standard graphs for reporting refractive surgery after the primary treatment.

200 Figure 2 presents the standard graphs for reporting refractive surgery after all treatments. Table 2

- 201 shows the normalized mesopic contrast sensitivity data. There was a clinical insignificant but
- statistically significant decrease in contrast sensitivity (*P* < .001) representing less than half a patch at

3 and 6 cpd, and almost one patch at 12 and 18 cpd. Table 3 summarizes the ocular aberrations
preoperatively and after the primary treatment. Table 4 summarizes the change in keratometry over
time.

206

207 Complications

In the 298 eyes treated using the zero compression Hansatome microkeratome, there were two flap complications. In the 492 eyes treated using the VisuMax femtosecond laser, there was 1 suction loss (0.2%), 1 peripheral buttonhole (0.2%), 1 peripheral cryptic buttonhole (0.2%), and 1 case of incomplete sidecut creation (0.2%). None of these complications resulted in abortion of the procedure or a loss of more than one line CDVA. Appendix B (available in the online version of the article) provides details of these complications.

214

215 Table A (available in the online version of the article) provides the incidence of postoperative 216 complications that required either a flap lift or Nd:Yag treatment (for epithelial ingrowth). There 217 were also two cases of diurnal refractive fluctuations, with a myopic shift from morning to evening. 218 These cases have been described in detail in a previous publication for an estimated overall incidence for this complication of 0.3%.²⁵ Table B (available in the online version of the article) 219 220 summarizes the incidence of postoperative complications as measured at the 1-year postoperative 221 appointment. There were no visually significant complications (GD III-V). Table C (available in the 222 online version of the article) summarizes the dry eye parameters both before and 1-year after 223 surgery. All instances where a patient presented preoperatively with a form of dry eye of GD II or 224 higher were actively managed prior to any surgery.

226 Discussion

227 The current study found the treatment of high hyperopia between +4.00 and +9.25 D using the MEL 80 excimer laser to be safe and effective by using a two stage treatment protocol employing 228 229 epithelial thickness mapping and monitoring. Although there was an increase in higher order 230 aberrations, as expected for a high hyperopic correction, this increase was not visually harmful as 231 demonstrated by only a very small decrease in contrast sensitivity, and only a 0.4% loss of 2 lines in CDVA. The two stage treatment protocol enabled a safer and more accurate final correction for high 232 233 hyperopia in cases of undercorrection by regression but also enabling us to capitalise on cases that 234 overcorrected after the primary procedure. These results show that LASIK is a safe and effective option for high hyperopia as an alternative to intraocular surgery, although the balance of risks and 235 236 benefits must be carefully considered between these options.

237

238 To compare the current study to published LASIK and intraocular lens studies, we performed a 239 literature review for studies reporting results of hyperopia greater than +4.00 D. The main outcome 240 parameters are shown in Table D for LASIK and Table E for intraocular lens procedures (tables and references available in online version of the article). Chronological examination of the LASIK studies 241 shows a clear trend in improvement over time with modern excimer laser platforms showing a loss 242 243 of 2 lines CDVA rate of between 0 and 6%. Further, some loss of CDVA would be expected as a 244 matter of course due to the minification effect produced by corneal correction compared to 245 spectacle correction. These rates are similar to the safety reported for refractive lens exchange where the loss of 2 or more lines ranged from 0 to 6.7%²⁶ and in one study 11%.²⁷ Such CDVA 246 247 comparisons must also be considered in context of the more unusual but potentially catastrophic visual complications of intraocular surgery, which cannot be adequately assessed by studies with 248 small populations. For example, refractive lens exchange has been associated with 249 endophthalmitis,²⁸ posterior capsule opacification,²⁹ cystoid macular edema,³⁰ retinal detachment,³¹ 250 and suprachoroidal haemorrhage.³² Equally, phakic intraocular lens implantation is associated with 251

cataract formation,³³ pupil ovalization,³⁴ pigment dispersion,³⁵ endothelial cell loss,³⁶ and retinal
complications.³⁷ Long term safety of intraocular procedures should also be taken into account given
that many of these patients are 50 or younger. Complications such as long term IOL dislocation,³⁸
capsular fibrosis, and posterior capsule opacification are often underreported.

256

Postoperative dry eye exacerbation is another factor that should be considered when comparing
corneal and intraocular interventions, given that LASIK involves disturbing the corneal nerve plexus.
However, intraocular surgery also leads to exacerbation of meibomian gland dysfunction, dry eye
and ocular discomfort albeit less so.³⁹ In the present study, the only dry eye parameter that was
greater at 1 year than before surgery was SPK.

262

263 Corneal and intraocular approaches to treating high hyperopia are also differentiated in terms of 264 possible achievable refractive correction, refractive stability over the medium and long term, and 265 hence also refractive predictability. It is possible to achieve a larger degree of correction with 266 intraocular surgery simply by changing the power of the lens, whereas hyperopic correction by LASIK 267 has to abide by the limits of corneal steepening, epithelial thinning and potential regression. 268 Therefore, the balance shifts toward intraocular surgery for very high hyperopia as reflected by the 269 treatment range in the published studies compared to LASIK. However, some patients may opt for 270 an undercorrection by LASIK as a compromise to completely avoid the more serious albeit unusual 271 visually compromising complications of intraocular lens surgery.

272

Intraocular lens procedures are inherently speaking more stable than corneal hyperopic procedures, but the refractive regression generally reported after hyperopic LASIK has been significantly reduced with the use of modern excimer laser systems and ablation profiles. In the present study, there is an initial over-correction which returned to target at 3 months, after which there was a hyperopic shift of about 0.10 D every 6 months. However, it is worth noting that hyperopic refractions progress with time regardless of whether refractive surgery has been performed; progression of 0.42 D across five
 years (0.08 D per year) has been reported in patients of 50 or older.⁴⁰

280

Refractive changes that may occur over many years after LASIK are often identified as a reason to opt for an intraocular procedure in high hyperopia given the perception that this would translate to better refractive predictability. However, a review of refractive predictability data between LASIK and IOL surgery shows that the percentage of eyes within ±0.50 D of the intended target was similar between modern LASIK and the intraocular procedures in the short to medium term. Whereas it might be expected for further hyperopic shift in the long term after LASIK, longer term studies on the stability of clear lens exchange surgery in younger non-cataract patients are lacking.

288

289 In this series, two cases of a rare, idiosyncratic diurnal refractive fluctuation syndrome we have 290 previously described were identified by VHF digital ultrasound layered anatomical imaging and 291 shown to be due to epithelial remodelling from morning to evening following compression by the evelid overnight.²⁵ This phenomenon was found to also occur in eyes with hyperopia as low as +3.25 292 293 D and in postoperative keratometry as low as 41.20 D, with a total incidence of 0.3%. In cases where 294 persistent fluctuation remains, it may be necessary to reverse some of the steepening achieved in 295 order to stabilise the corneal epithelial layer and hence address the root cause for the induced 296 diurnal refractive fluctuations.

297

Analysis of ocular higher order aberrations showed a significant increase in coma, spherical
aberration and higher order RMS. However, the increase in coma can be largely attributed to the
difference in where the treatment was centered and where the aberrations were measured,
meaning that coma will be measured postoperatively when measured on the entrance pupil center.
Spherical aberration induction on average was -0.52 µm, however this was offset by the spherical
aberration being positive in the majority of eyes before surgery, meaning that the postoperative

304	level of spherical aberration was not visually compromising. Induction of negative spherical
305	aberration also carries the benefit of increasing the depth of field, ^{11, 41, 42} something that can be
306	taken advantage of if cataract surgery is required in the future enabling high quality monofocal
307	lenses to be used in conjunction with micro-monovision rather than employing light transmission
308	reducing multifocal IOLs. In the present study, there was a small decrease in contrast sensitivity,
309	however this was no greater than the drop associated with multifocal intraocular lenses that are
310	currently and commonly used world-wide for patients with this degree of high hyperopia.
311	
312	In summary, the treatment of high hyperopia within +4.00 to +7.50 D by LASIK with the MEL80
313	employing epithelial thickness mapping and monitoring represents an equivalent and less-invasive
314	alternative to an intraocular procedure in patients without visually significant cataract.
315	Characterisation and comparison of long-term stability differences between LASIK and intraocular
316	surgery needs further study in order to balance stability benefits against quality of life costs of the
317	rare but more severe visual complications that may occur with intraocular procedures.
318	

319 Legends

320	Figure 1: Nine standard graphs for reporting refractive surgery showing the visual and refractive
321	outcomes for 792 high hyperopic eyes after initial treatment with the MEL 80 excimer laser and the
322	VisuMax femtosecond laser (both Carl Zeiss Meditec) or the zero compression Hansatome
323	microkeratome (Bausch & Lomb). UDVA = uncorrected distance visual acuity; CDVA= corrected
324	distance visual acuity; D = diopters; Postop = postoperative; Preop = preoperative; SEQ = spherical
325	equivalent refraction; TIA = target induced astigmatism; SIA = surgically induced astigmatism.
326	
327	Figure 2: Nine standard graphs for reporting refractive surgery showing the visual and refractive
328	outcomes for 792 high hyperopic eyes after final treatment with the MEL 80 excimer laser and the
329	VisuMax femtosecond laser (both Carl Zeiss Meditec) or the zero compression Hansatome
330	microkeratome (Bausch & Lomb). UDVA = uncorrected distance visual acuity; CDVA= corrected
331	distance visual acuity; D = diopters; Postop = postoperative; Preop = preoperative; SEQ = spherical
332	equivalent refraction; TIA = target induced astigmatism; SIA = surgically induced astigmatism.
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Table 1 – demographic and refractive data

Number of eyes	785
Number of patients	644
Gender (% male / female)	39 / 61
Age (years)	50.4±12 (18 to 70)
Preoperative spherical equivalent refraction (D)	+3.84±1.35 (+0.63 to +8.38)
Preoperative refractive astigmatism (D)	1.05±0.86 (0.00 to 5.25)
Intended postoperative spherical equivalent refraction after primary treatment (D)	-0.68±0.89 (-1.88 to +2.75)
Attempted spherical equivalent refraction correction in primary treatment (D)	+4.52±0.84 (+2.00 to +6.96)
Attempted maximum hyperopic meridian correction in primary treatment (D)	+5.04±0.84 (+4.00 to +7.00)
Spherical equivalent refraction relative to intended target after primary treatment (D)	+0.30±0.85 (-3.63 to +4.25)
Refractive astigmatism after primary treatment (D)	0.77±0.58 (0.00 to 3.50)
Intended postoperative spherical equivalent refraction after all treatments (D)	-0.82±0.82 (-2.38 to +2.50)
Attempted spherical equivalent refraction correction including all treatments (D)	+4.65±0.98 (+2.00 to +8.33)
Attempted maximum hyperopic meridian correction including all treatments (D)	+5.18±0.99 (+4.00 to +9.00)
Spherical equivalent refraction relative to intended target after all treatments (D)	+0.09±0.67 (-2.38 to +2.50)
Refractive astigmatism after all treatments (D)	0.61±0.47 (0.00 to 3.25)
Pre-operative corneal thickness (μm)	547±33 (467 to 662)
Scotopic pupil size (mm)	5.10±0.96 (2.05 to 7.85)
Preoperative average keratometry (D)	43.25±1.49 (38.70 to 47.81)
Average keratometry after primary treatment (D)	46.64±1.90 (40.99 to 51.85)
Average keratometry after all treatments (D)	46.80±1.99 (41.50 to 54.50)

Table 2 - Mean normalized mesopic contrast sensitivity ratio for before and after the primary treatment

cpd	Pre	Post	p-value
3	0.97	0.95	↓ <0.001
6	0.94	0.90	↓ <0.001
12	0.92	0.85	↓ <0.001
18	0.83	0.72	↓ <0.001

cpd: cycles per degree, ψ : indicates a decrease in mesopic contrast sensitivity

Table 3: Change in ocular aberrations

	Pre Po		Change	t-test
Coma (µm)	0.22±0.13	0.77±0.32	0.54±0.33	p<0.001
Spherical Aberration (μm)	024±0.14	-0.28 ±0.21	-0.52±0.18	p<0.001
High Order Root Mean Square (μm)	0.43±0.13	0.90±0.26	0.48±0.27	p<0.001

Table 4: Change in mean simulated keratometry

	n	Mean K (D)	P-value
3 months	635	46.9 ± 1.9	
Smonths	055	41.3 to 52.4	
1 year	451	46.6 ± 2.0	
I year	451	41.0 to 51.8	
2 years	313	46.6 ± 1.8	
2 years	515	41.0 to 51.5	
2 12 months change	371	-0.34 ± 0.51	<.01
3-12 months change	5/1	-3.32 to 1.22	<.01
1.2 years change	211	-0.13 ± 0.47	<.01
1-2 years change	211	-1.60 to 1.97	<.01

Table A: Incidence of postoperative complications requiring surgical intervention

Postoperative complications after primary treatment requiring intervention (out of n = 785)	Occurrence	Percentage of Total
Flap lift for trauma	3	0.38%
Flap lift for inflammation	2	0.25%
Postoperative complications after retreatment requiring intervention (out of n = 298)		
Flap lift for epithelial ingrowth	4	1.34%
Nd:Yag for epithelial ingrowth	8	2.68%

Table B – Incidence of postoperative complications at 1 year

	Nil	Trace	1	2	3	4	5
Microfolds	99.9%	0.1%	-	-	-	-	-
Epithelial ingrowth	95.9%	3.1%	1.0%	-	-	-	-
Interface haze	97.1%	2.9%	-	-	-	-	-
Infection	100%	-	-	-	-	-	-
Interface debris	99.5%	0.5%	-	-	-	-	-
Diffuse lamellar keratitis	100%	-	-	-	-	-	-

Data indicated as percentages		Nil	Trace	1	2	3
SPK (exposure, inferior SPK)	Pre	91.7	6.2 (1.0)	1.9 (0.6)	0.1 (0.0)	0.0
SPK (exposure, inferior SPK)	1 year	72.4	18.0 (5.7)	7.5 (2.8)	2.2 (0.3)	0.0
MGD	Pre	67.5	22.2	8.0	2.2	0.1
MGD	1 year	70.1	20.0	7.1	2.8	0.0
Anterior blepharitis	Pre	93.4	4.5	2.0	0.1	0.0
Anterior blepharitis	1 year	96.4	2.4	1.1	0.0	0.0
Posterior blepharitis	Pre	95.3	2.4	2.0	0.1	0.1
Posterior blepharitis	1 year	95.7	2.9	1.3	0.1	0.0
Mixed blepharitis	Pre	94.6	4.5	0.8	0.1	0.0
Mixed blepharitis	1 year	98.1	1.5	0.4	0.0	0.0
ABMD	Pre	96.6	2.9	0.5	0.0	0.0
ABMD	1 year	97.1	2.9	0.0	0.0	0.0
Lash deposits	Pre	99.9	0.1	0.0	0.0	0.0
Lash deposits	1 year	99.7	0.1	0.0	0.0	0.1
Meibomitis	Pre	99.7	0.3	0.0	0.0	0.0
Meibomitis	1 year	100.0	0.0	0.0	0.0	0.0
Scurf	Pre	99.7	0.3	0.0	0.0	0.0
Scurf	1 year	99.6	0.4	0.0	0.0	0.0
		Nil	Present			
Entropion	Pre	99.9	0.1			
Entropion	1 year	100.0	0.0			
Ectropion	Pre	99.9	0.1			
Ectropion	1 year	99.9	0.1			
Chalazion	Pre	100.0	0.0			
Chalazion	1 year	99.9	0.1			
Pitted lid margins	Pre	100.0	0.0			
Pitted lid margins	1 year	99.9	0.1			
Lid thickening	Pre	100.0	0.0			
Lid thickening	1 year	99.9	0.1			
Blocked meibomian glands	Pre	99.9	0.1			
Blocked meibomian glands	1 year	99.9	0.1			
Band keratopathy	Pre	99.9	0.1			
Band keratopathy	1 year	100.0	0.0			

1 Appendix A – Complete Introduction and References for Literature

2 Review

3

4 Introduction

Excimer lasers have been used as a treatment for high hyperopia since Dausch et al¹ first reported 5 6 the results of PRK for hyperopia up to +7.50 D in 1993 using the MEL60 excimer laser (Carl Zeiss 7 Meditec, Jena, Germany). Numerous reports followed with results of high hyperopia correction with first generation excimer lasers, but many of these were associated with significant regression,¹⁻¹³ 8 undercorrection,¹⁴⁻¹⁶ and loss of corrected distance visual acuity (CDVA)^{1, 3, 6, 7, 9-11, 16, 17} leading a 9 10 number of clinicians to suggest that safe and effective excimer laser correction of hyperopia might be limited to treatments below +4.00 D or +5.00 D.^{5-7, 12, 16, 18, 19} However, there are more recent 11 reports of safe, effective and stable outcomes²⁰ for hyperopia above +5.00 D using these first 12 generation excimer lasers.^{8, 21-26} 13

14

15 The first major improvement in hyperopic corneal ablation surgery came relatively early on as 16 different groups found improved results, in particular improved stability, by increasing the optical zone and transition zone size.^{7, 8, 21, 23, 27, 28} The second major improvement was observed with the 17 introduction of flying spot lasers to replace the broad beam scanning slit lasers, with an 18 improvement in outcomes noted with the MEL70²⁹⁻³¹ and MEL80^{32, 33} (Carl Zeiss Meditec, Jena, 19 Germany), the LADARVision^{26, 27, 34} (Alcon, Fort Worth, TX), the EC-5000³⁵ and NAVEX³⁶ (NIDEK Co Ltd, 20 Gamagori, Japan), the ESIRIS³⁷⁻⁴⁰ and Amaris⁴¹⁻⁴⁶ (Schwind GmbH, Kleinostheim, Germany), and the 21 Allegretto,^{47,48} Eye-Q⁴⁹ and EX500⁵⁰ (Alcon, Fort Worth, TX). Thirdly, alongside the development of 22 23 excimer laser technology, significant progress has been made with ablation profile design. Finally, results have been improved by changing the protocol for ablation centration from the entrance pupil 24 center to the corneal vertex^{41-43, 51, 52} or coaxially sighted corneal light reflex.^{49, 53-56} 25

26 Literature Review

- 27 A literature review was conducted to identify published LASIK^{3, 4, 6-8, 10-14, 16, 20, 22-27, 29, 31-39, 41-50, 57-59}
- 28 (PRK studies were not included) and intraocular lens studies (clear lens exchange, phakic IOLs)⁶⁰⁻⁷⁶
- 29 reporting results of hyperopic greater than +4.00 D. The main outcome parameters are shown in
- 30 Table D for LASIK and Table E for intraocular lens procedures (references in the tables are according
- 31 to the reference list included in this appendix, not the main article).

							Accuracy			UD	VA	Sat	fety
First Author	Year	N (eyes)	Technique	Preop SEQ	Age (years)	Timepoint	Mean±SD (range)	±0.50D	±1.00D	≤20/20	≤20/40	1 line	≥2 lines
de Ortueta ⁴²	2016	38	LASIK Amaris Carriazo-Pendular	+4.07±0.90 +2.38 to +5.75	40±10 18 to 57	6 months	+0.28±0.58	61	96	18	84	8	8
Arba Mosquera43	2016	46	LASIK Amaris Carriazo-Pendular	+3.64±1.42 +1.27 to +6.18	45±11 18 to 62	6 months	+0.39±0.43	61	93	30	85	13	6.5
Plaza-Puche44	2016	51	LASIK Intralase & Amaris OZ 6.2-6.9mm	+6.33±0.83 +5.00 to +8.50	33±9 21 to 54	6 months	+0.50±1.06 -0.50 to +3.38		71	53	98	11	6.5
Amigo ⁴⁸	2015	24	Allegretto 400 Hz & Hansatome Wavefront Optimised	+3.66±0.61 +2.75 to +5.00	39±9 20 to 49	6 months	+0.08± 0.56 -0.75 to +1.25	57	96	67	92	21	4
		16	Aspheric Customised Profile OZ 6.5 mm	+4.05±0.59 +2.75 to +5.13			+0.21±0.44 -0.50 to +1.00	100	100	81	100	12	0.0
Plaza-Puche45	2015	86	LASIK Intralase & 500kHz Amaris excimer OZ 6.3-7.0mm	+2.66±1.68 -1.38 to +5.75	40±10 23 to 64	36 months	+0.40±0.65 -1.63 to +2.00	70	85	76	99	6.2	1.2
Antonios ⁴⁶	2015	53	LASIK Moria M2 & Amaris	+2.25±1.06 +0.75 to +5.00	45±12 19 to 61	6 months	+0.22±0.75 -1.25 to +1.75	43	72	85	92	0.0	0.0
		72	LDV femto & Amaris	+2.24±0.95 +0.50 to +4.75	46±10 18 to 66		-0.32±0.76 -2.13 to +1.50	65	90	88	100	0.0	0.0
Alio ⁴¹	2013	27	LASIK Intralase & 500kHz Amaris excimer OZ 6.2-6.9mm	+6.33±0.83 +5.00 to +8.50		6 months	+0.55±1.09 -0.50 to +3.38	70		44	92	8	0.0
Kanellopoulos ⁵⁰	2012	34	LASIK Xtra IntraLase / FS200	+3.40±1.78 +0.25 to +8.00		2 years	+0.20±0.40						
		34	LASIK Xtra EX500	+3.15±1.46 +0.25 to +8.00			-0.20±0.56						
Kanellopoulos ⁴⁹	2012	202	LASIK Eye-Q	+3.04±1.75 +0.75 to +7.25 (sphere)	40±12 19 to 62	2 years	-0.39±0.30 (sphere)	76	94	59	96	2.9	2.4
Kermani ³⁶	2009	52	LASIK NAVEX OZ 6.5mm (TZ 9mm) (visual axis group)	+2.57±1.26 +0.13 to +5.63		3 months	+0.29±0.70 -1.00 to +1.75	81	96	51	95	21	10
de Ortueta ³⁹	2009	33	LASIK Carriazo-Pendular ESIRIS OZ 6.25mm	+2.61±1.39 +0.75 to +6.00	52 34 to 65	3 months	+0.26±0.51 -0.38 to +1.88	88	94				0.0
Llovet ³²	2009	49	LASIK Moria I MEL80 OZ 6mm	+3.30±1.30 +3.60 to +6.25	36.9 20 to 56	1 year	+0.40±0.60	63	90			8	4

Reinstein ³³	2009	258	LASIK Hansatome MEL80	+2.54±1.16 +0.25 to +5.75	56 44 to 66	1 year	+0.09±0.48	79	95	86	100	17	0.0
Young ⁵⁷	2009	Sub- group of 1659 eyes	LASIK IntraLase FS60 S4	+4.00 to +5.50		1 month				38			20
Alio ³⁸	2008	51	LASIK ESIRIS	+4.45±1.08 +2.50 to +7.25		6 months	+0.88±1.10 -0.50 to +3.50	80	88	54	95	4	0.0
Waring ³⁵	2008	279	LASIK EC-5000 OZ 6mm (TZ 9mm)	+3.51±1.45 +0.50 to +6.75	50±9 23 to 69	1 year	+0.35±0.54 -1.63 to +2.00	61	99	63	90	15	1.4
Desai ¹⁶	2008	12	LASIK Hansatome Star S2 OZ 5mm (TZ 9mm)	+4.10±0.69 +4.00 to +5.50	54±14	≥3 years	+0.59±1.18	32	68	17	67	0	9
Alio ⁵⁸	2006	41	LASIK Incl. retreatments Keracor 217C OZ 6mm	5.30±0.90 +4.00 to +7.75	31±11	1 year	+0.30±1.30 -2.50 to +3.50	46	63				
Alio ³⁷	2006	55	LASIK Carriazo Pendular ESIRIS ≥6mm	+5.10±0.90 +4.00 to +7.00		6 months	+0.40±0.50 0.00 to +2.00	86	91			5.5	1.8
Spadea ³¹	2006	100	LASIK Hansatome MEL70 OZ 6mm	+4.49±1.20 +2.25 to +7.25	40±8 22 to 55	2 years	+0.29±0.66	70	92	64	96	6	0
Kanellopoulos47	2006	23	LASIK Moria M2 Allegretto Wave	+2.24±1.18 +0.25 to +6.50		1 year	+0.69±0.92 0.00 to +1.50	71				8	0
Jaycock ¹³	2005	47	LASIK Microkeratome (180um) Summit Apex Plus OZ 6.0mm	+3.58±1.48 +0.75 to +7.00	51.5 32 to 66	5 years	+0.89±0.94	32	60	43	87	2.1	0
Oral ²⁶	2004	39	LASIK S2	+2.98±1.60 +0.87 to +6.50	51±10	6 months	+0.51±0.51	63	88	67	100	2.5	0.0
		25	S3	+2.71±1.36 +1.00 to +5.37	58±9		+0.35±0.58	68	88	48	100	12	0.0
		41	LADARVision	+2.59±1.28 +0.62 to +5.62	53±10		+0.24±0.57	76	86	76	100	0.0	0.0
Esquenazi ¹²	2004	18	LASIK ACS Keracor 117C OZ 5.5mm (8.5mm TZ)	+5.48±1.23 +4.25 to +7.25		5 years	+2.24±1.00	22	33	10	42		
Zadok ¹¹	2003	26	LASIK ACS Keracor 117CT OZ 5.0-8.5	+4.29±0.89 +3.00 to +5.90	45 19 to 65	1 year	+0.21±0.60		92	23	92	11	0.0
		22		+7.52±1.36 +6.00 to +10.00			+1.62±1.50		36	14	59	4	13

El-Agha ²⁵	2003	40	S2 LASIK	+2.86±1.28 +1.38 to +6.50	41±9 35 to 63	9 months	+0.44±0.57		86	68	100	22	0.0
Carones ²⁷	2003	53	LASIK SKBM LADARVision OZ 7mm	+2.34±2.09 +0.50 to +6.00	40±10 20 to 58		-0.22±0.41 -1.75 to +0.75	79	98	53	100	4	0.0
Lian ²⁴	2002	54	LASIK ACS Keracor 117C PZ 5-5.5 (TZ 8.5-9.5)	+3.12 +1.00 to +5.75	38±13 18 to 55	1 year	+0.29±0.78	61	83	63	93	12	1.9
Ditzen ²⁹	2002	23	LASIK Hansatome MEL70 Spherical	+4.88±2.13 +2.13 to +9.63	28 20 to 42	1 year	+0.30±0.90 -0.75 to +2.50	78		39	83	6	0.0
		44	Astigmatic	+4.33±2.15 +0.50 to +9.50	30 25 to 43		+0.29±1.27 -3.25 to +3.25	42		4	63	4	4
Cobo-Soriano ¹⁰	2002	74	LASIK Moria LSK-One Keracor 217CT	+4.40±0.30 +4.00 to +4.90	35.5 18 to 65	8 months			82	-	-	-	2.8
		56		+5.30±0.20 +5.00 to +5.90					80				5.8
		47		+6.50±0.50 +6.00 to +7.90					80				16.6
Salz ³⁴	2002	39	LASIK Hansatome LADARVision OZ 6mm (TA 9mm) Spherical	+3.00 to +6.00		6 months		41	69	29	79		2.6
		48	Astigmatic	+3.00 to +5.75				46	79	32	84		8.5
Choi ²⁰	2001	32	LASIK Hansatome S2	+4.00±4.50 +1.50 to +8.75	55 35 to 71	6 months	+0.30±1.70 -3.00 to +2.70	34	53		66	25	9
Tabbara ¹⁴	2001	80	LASIK ACS Keracor 117C	+3.40±2.00 +0.50 to +11.50	42±13 18 to 65	6 months	+0.26±0.80 -2.00 to +3.50	58	84	44	98		1.25
Argento ⁸	2000	251	LASIK Microkeratome (160um) Keracor 117CT 4.5-5.5mm OZ	+5.28±0.69 +5.50 to +8.50	30.9% <40 69.1% >40	1 year	+0.88±0.96	52	81		78	5	0.0
		32	5.9mm OZ	+5.13±0.61 +5.00 to +8.50	19.1% <40 80.9% >40		-0.48±0.45	52	93		77	5	0.0
Esquenazi ⁷	1999	58	LASIK Chiron Keracor 117CT OZ 5-7mm	+4.50±1.73 +1.75 to +8.50	47 20 to 63	1 year	+0.88±1.87 -1.25 to +2.50	61	73	35	81	6	6
Barraquer ²³	1999	30	LASIK Chiron Schwind-Keratom II OZ 7mm	+4.67 +3.51 to +6.00		6 months	+0.82 0.00 to +2.50		80				0.0
		18		+7.44 +6.01 to +10.00			+1.10 -0.50 to +3.00		77				0.0

Arbelaez ⁶	1999	20	LASIK ACS Keracor 117C 4.5-5.5mm (TZ 8mm)	+3.10 to +5.00		1 year		43	83	28	93	24	0.0
		16		+5.10 to +9.00				38	50	0	50	24	12
		14		+3.10 to +5.00				41	58	10	81	21	7
		13		+5.10 to +9.00				17	17	0	15	61	15
Argento ⁴	1998	95	LASIK Chiron Keracor 117CT 5.0-5.5mm OZ	+5.28±0.69		6 months	+0.88±0.96	10	71	45		6	0.0
Goker ²²	1998	54	LASIK ACS Keracor 116 OZ 8.5mm	+6.50±1.33 +4.25 to +8.00	24 21 to 64	18 months	+0.44±1.95	39	76	15	67		6.8
Ditzen ³	1998	23	LASIK ACS MEL60 OZ 5mm	+5.28±1.92 +4.25 to +8.00	33±12	1 year	+1.91 -0.08 to +3.71				13	4.3	7.3

							Acc	uracy		UD	VA	Sa	fety
First Author	Year	N (eyes)	Technique	Preop SEQ	Age (years)	Timepoint	Mean±SD (range)	±0.50D	±1.00D	≤20/20	≤20/40	1 line	≥2 lines
Hua ⁶⁰	2013	19	CLE + piggyback IOL	+9.81±2.62 +6.00 to +14.50	45±8 32 to 55	2 years	-0.20±1.39	31.6	68.4		21	21	11
Ferrer-Blasco ⁶¹	2012	30	CLE (ReSTOR)	+4.52±1.14 +3.00 to +7.00	52 44 to 60	6 months	-0.04±0.46	33	97			0.0	6.67
Alfonso ⁶²	2011	45	CLE (AcriLISA)	+3.53±2.29 +0.25 to +10.00	55 45 to 64	6 months	-0.15±0.40 -0.50 to +1.25	87	93			24	0.0
Alfonso ⁶³	2009	41	LASIK + CLE (ReSTOR)	+2.71±1.61 +1.25 to +5.50	51±6 45 to 65	6 months	-0.06±0.51 -1.25 to +1.25	73				22	2.4
Fernandez- Vega ⁶⁴	2007	158	CLE (ReSTOR)	+3.86±2.52 +0.75 to +8.50	53±6 45 to 70	6 months	+0.23±0.32	89	100			11	0.0
Pop ⁶⁵	2004	19	CLE (Acrysof / PMMA)	+2.75 to +7.50	26 to 46	2 month	+0.18±0.71	55	91		82	0.0	0.0
Preetha ⁶⁶	2003	20	CLE (Staar IOL / Rayner)	+6.66±2.17 +4.75 to +13.00	36 19 to 50	16 months	+0.68±0.67 0.00 to +2.50	70	90	10	50	10	0.0
Dick ⁶⁷	2002	26	CLE (Array IOL)	+3.04±1.04 +1.63 to +5.75	52 44 to 62	6 months	+0.04±0.45 -0.83 to +1.00	88	100	31	100	0.0	0.0
Fink ⁶⁸	2000	24	CLE (SurgiAA-4203V)	+6.32±1.32 +4.75 to +10.25	54.7	10 months	+1.02±0.16 +0.67 to +1.25	71	88	25	63	29	0.0
Siganos ⁶⁹	1998	35	CLE (Coburn)	+9.19±0.34 +6.75 to +13.75	40 19 to 55	5 years	+0.02±0.82 -2.50 to +3.00	74	91	14	100	0.0	0.0
Lyle ⁷⁰	1997	20	CLE (Chiron / loptex)	+4.73±1.98 +2.38 to +7.63	49±6 37 to 60	2 years	-0.21±0.95 -2.25 to +1.88		75		85	15	0.0
Guell ⁷¹	2008	41	Artisan	+4.92±1.70	32	4 years	-0.11±0.74	35	64	0	43		
Munoz ⁷²	2005	39	Artisan + LASIK	+7.39±1.30 +5.25 to +9.75	26 23 to 31	1 year	+0.06±0.52 -1.50 to +0.75	80	95	17	90	23	0.0
Pop ⁶⁵	2004	19	Artisan	+2.75 to +9.25	20 to 41	2 month	-0.03±0.75	50	78		89	0.0	0.0
Saxena ⁷³	2003	26	Artisan	+6.80±1.97 +3.00 to +11.00	44 28 to 60	6 months	-0.08±0.74 -1.50 to +1.38	59	86	50	96	11	0.0
Alio ⁷⁴	2002	29	Artisan	+6.06±1.26 +3.00 to +9.00	34 19 to 54	1 year	0.10±0.57 -1.00 to +2.00	79	97	7	66	3.4	0.0
Pesando ⁷⁵	1999	15	ICL	+7.77±2.08 +4.75 to +11.75	38 22 to 56	1 year	+0.02±0.64 1.00 to +1.50	69	92	0	46	8	0.0
Davidorf ⁷⁶	1998	24	ICL	+6.51±2.08 +3.75 to +10.50		8 months	-0.39±1.29 +1.25 to -3.88	58	79	8	63		4

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Appendix B – Intraoperative and postoperative complications

Intraoperative complications for Hansatome treated eyes

The first case was of an irregular bed in one eye of the patient, where a shallow linear step in the bed was noted, but ablation was carried out as planned with no subsequent impact on the final refractive or visual outcome. In the second case, there was a very small (0.25 mm) "button" on the stromal surface with no associated defect in the flap overlying this – a cryptic buttonhole. The epithelium was manually removed from the "button" to reveal the shiny aspect of Bowman's layer prior to the ablation, after which the flap was replaced with excellent edge apposition. The patient was prescribed fluorometholone 0.1% eye drops (FML; Allergan, Irvine, CA) for four weeks, however this eye developed trace interface haze associated with a -1.50 D overcorrection in outcome (this was the outlier overcorrection in the population). A PRK retreatment with mitomycin-C 0.02% for 60 seconds was performed 6 months after the primary surgery. Postoperatively, there was no indication of interface haze after this retreatment and the final CDVA was 20/25, one line less than preoperatively.

Intraoperative complications for VisuMax treated eyes

In one eye, there was incomplete protection of the hinge, which meant that part of the peripheral hyperopic ablation profile was inadvertently applied to the edge of the hinge resulting in ablation through the stromal component of the flap in this area. This was recognised when checking the flap at the slit-lamp immediately after surgery and managed conservatively with a bandage contact lens until the epithelial defect had healed without consequences. At 5 months after surgery, this eye recovered the preoperative CDVA of 20/16.

In the case of the cryptic buttonhole, the surface of Bowman's layer was exposed by scraping the epithelium prior to the ablation. A bandage contact lens was applied and the final result was a loss

of 1 line CDVA at 2 years. In the case of suction loss, this occurred after about 20% of the interface had been ablated, in the periphery due to the out to in scan direction. The contact glass was reapplied and the flap was created successfully using the same flap settings. Minimal flap slivers were noted in the temporal periphery, which were carefully positioned and the flap was replaced. There was a loss of 1 line CDVA in this eye at 2 years. There was incomplete femtosecond sidecut creation in one eye in the inferior region, which was manually dissected by a rhexis fashion following which the flap was lifted and replaced as normal. There was a loss of 1 line CDVA in this at 2 years, although trace ABMD was noted.











