

1 **Relationship between psychophysical measures of retinal ganglion**
2 **cell density and *in vivo* measures of cone density in glaucoma**

3 J Matlach, MD^{1,5}, PJ Mulholland, PhD^{1,2}, M Cilkova, MSc¹, R Chopra, BSc¹, N Shah, BSc¹, T
4 Redmond, PhD³, SC Dakin, PhD⁴, DF Garway-Heath, MD FRCOphth¹, RS Anderson, DSc^{1,2}

5
6 ¹NIHR Biomedical Research Centre, Moorfields Eye Hospital & UCL Institute of
7 Ophthalmology, London, United Kingdom.

8 ²Vision Science Research Group, Ulster University, Coleraine, United Kingdom.

9 ³School of Optometry and Vision Sciences, Cardiff University, Cardiff, United Kingdom.

10 ⁴Department of Optometry & Vision Science, University of Auckland, Auckland, New Zealand.

11 ⁵Department of Ophthalmology, University Medical Center, Johannes Gutenberg University
12 Mainz, Germany.

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40 Address for reprints

41 Roger Anderson, DSc, Vision Science Research Group, Ulster University, Coleraine, BT52

42 1SA, United Kingdom.

43 Email: rs.anderson@ulster.ac.uk

44

45 **Abbreviations and Acronyms**

46 AO, adaptive optics; AUROC, area under the receiver operator characteristic; CI, confidence

47 interval; CRS, Cambridge Research Systems; CRT, Cathode Ray Tube; DLS, differential light

48 sensitivity; DS, diopter sphere; DC, dioptre cylinder; GC, ganglion cell; GCL, ganglion cell

49 layer; HFA, Humphrey Field Analyzer; HRA2, Heidelberg Retina Angiograph 2; HRT,

50 Heidelberg Retina Tomograph; IEC, International Electrotechnical Commission; IOP,

51 intraocular pressure; IPT, image processing toolbox; IQR, interquartile range; MAR, minimum

52 angle of resolution; MD, mean deviation; OCT, optical coherence tomography; PGRA,

53 peripheral grating resolution acuity; PSD, pattern standard deviation; RNFL, retinal nerve fibre

54 layer; ROC, receiver operator characteristic; SAP, standard automated perimetry; SITA,

55 Swedish Interactive Threshold Algorithm; VA, visual acuity

56 **ABSTRACT**

57 **Purpose**

58 There is considerable between subject variation in retinal ganglion cell (GC) density in
59 healthy individuals, making identification of change from normal to glaucoma difficult.
60 Ascertaining local cone:GC density ratios in healthy individuals, we wished to
61 investigate the utility of objective cone density estimates as a surrogate of baseline GC
62 density in glaucoma patients, and thus a more efficient way of identifying early
63 changes.

64

65 **Design**

66 Exploratory cohort study.

67

68 **Participants**

69 Twenty glaucoma patients (60% female) with a median age of 54 years and mean
70 deviation (MD) in the visual field (VF) of -5 dB and 20 healthy controls (70% female)
71 with a median age of 57 years and MD of 0 dB were included.

72

73 **Methods**

74 Glaucoma patients and healthy subjects underwent *in vivo* cone imaging at 4 locations
75 of 8.8° eccentricity with a modified Heidelberg Retina Angiograph HRA2 (scan angle
76 of 3°). Cones were counted using an automated programme. GC density was
77 estimated at the same test locations from peripheral grating resolution acuity (PGRA)
78 thresholds.

79

80

81

82 **Main Outcome Measures**

83 Retinal cone density, estimated GC density and cone:GC ratios in glaucoma patients
84 and healthy controls.

85

86 **Results**

87 Median [interquartile range, IQR] cone:GC density was 3.51:1 [2.59:1, 6.81:1] in
88 glaucoma patients compared to 2.35:1 [1.83:1, 2.82:1] in healthy subjects . GC density
89 was 33% lower in glaucoma patients than in healthy subjects, however cone density
90 was very similar in glaucoma patients (7,248 cells/mm²) and healthy controls (7,242
91 cells/mm²).The area under the receiver operator characteristic curve was 0.79 (95%
92 confidence interval [CI] 0.71-0.86, $P<0.001$) for both GC density and cone:GC ratio,
93 and 0.49 (95% CI 0.39-0.58, $P=0.79$) for cone density.

94

95 **Conclusions**

96 Local measurements of cone density do not differ significantly from normal in glaucoma
97 patients despite large differences in GC density. There was no statistically significant
98 association between GC density and cone density in the normal participants, and the
99 range of cone:GC density ratios was relatively large in healthy controls. These findings
100 suggest that estimates of baseline GC density from cone density are unlikely to be
101 precise, and offer little advantage over determination of GC alone in the identification
102 of early glaucomatous change.

103

104 **Key words**

105 Retinal cone mosaic, glaucoma, ganglion cell density, psychophysics, cone imaging,
106 Heidelberg Retina Angiograph

107 **Introduction**

108 Between-individual variability in retinal ganglion cell (GC) density in healthy human
109 eyes is known to be high.¹ As a result, when a patient suspected of having glaucoma
110 presents for the first time, it is difficult to determine whether a clinical measurement
111 relating to GC density (e.g., conventional perimetry, peripheral grating resolution acuity
112 [PGRA], or imaging parameter) is normal for the individual or already represents a
113 change from that individual's original baseline. If, however: a) the cone:GC ratio is
114 relatively similar between normal individuals (despite large inter-individual variation in
115 both cone and GC density), and b) the number of cones remains stable in glaucoma
116 (despite a decline in GC density), then objective cone density measures could be used
117 as a means to determine the original baseline GC density and thus help to identify
118 early GC loss in glaucoma without a lengthy longitudinal investigation.

119 While the death of retinal GC is a hallmark of glaucoma, the notion of a loss of
120 cones in glaucoma is somewhat controversial. A loss of cones has been reported in
121 several studies²⁻⁵, but this has not been confirmed in other studies.^{6, 7} With the
122 introduction and development of adaptive optics (AO) technology, *in vivo* imaging of
123 retinal structures at cellular level has become possible.⁸ More recently, Wolsley *et al*
124 demonstrated that, by narrowing the scan width of the Heidelberg Retina Tomograph
125 (HRT), the parafoveal photoreceptor mosaic may be imaged *in vivo* with a
126 commercially available clinical device, without the need for AO.⁹ Similarly, images of
127 the retinal cones can also be obtained *in vivo* using a modified Heidelberg Retina
128 Angiograph 2 (HRA2), in a patient-friendly clinical setting.

129 In this study we used measurements of PGRA¹⁰ to estimate GC density at
130 various locations outside the fovea. We also used a modified, small-angle HRA2 to
131 image retinal cones *in vivo* at the same locations. By separately measuring cone and
132 GC density at identical locations in both healthy subjects and glaucoma patients we

133 wished to a) explore the possibility of estimating what was the local baseline GC
134 density in glaucoma patients from *in vivo* measurements of cone density using normal
135 cone:GC density ratios , b) establish between-individual variability in cone:GC density
136 in healthy observers, and c) investigate the utility of cone:GC density ratios in the
137 identification of glaucoma.

138

139 **Methods**

140 **Participants**

141 The study protocol was approved by both the relevant National Health Service
142 Research Ethics Committee and the UCL Research Ethics Committee. The research
143 followed the tenets of the Declaration of Helsinki and written informed consent was
144 obtained from all participants prior to inclusion.

145 Twenty open-angle glaucoma patients with a median age of 54 years and mild
146 to moderate, mainly localized, visual field loss (median [IQR]: mean deviation (MD), -
147 5 dB [-9, -4]; pattern standard deviation (PSD), 8 dB [6, 10]), and 20 age-similar healthy
148 controls with a median age of 57 years underwent *in vivo* cone imaging with a HRA2
149 in addition to co-localized estimates of PGRA and differential light sensitivity (DLS).
150 Inclusion criteria for glaucoma patients were: a diagnosis of open-angle glaucoma
151 (including normal tension glaucoma), 'outside normal limits' readings for optic disc
152 imaging according to Moorfields Regression Analysis using Heidelberg Retina
153 Tomograph (HRTII; Heidelberg Engineering GmbH, Heidelberg, Germany) and overall
154 or focal loss of peripapillary retinal nerve fibre layer (RNFL) in optical coherence
155 tomography imaging (Spectralis OCT, Heidelberg Engineering GmbH, Heidelberg,
156 Germany), in addition to a confirmed glaucomatous visual field defect as determined
157 by standard automated perimetry (SAP) with the Humphrey Field Analyzer (HFAII; Carl
158 Zeiss Meditec, Dublin, CA) 24-2 Swedish Interactive Threshold Algorithm (SITA)

159 strategy. A glaucomatous visual field defect was defined as a reduction in sensitivity
160 at two or more contiguous locations with $P < 0.01$ loss or more, three or more
161 contiguous points with $P < 0.05$ loss or more.¹¹ Inclusion criteria for healthy subjects
162 were 'within normal limits' results for optic disc imaging (HRTII and OCT) and a full
163 visual field. Subjects with a reliable visual field with fewer than 30% fixation losses and
164 less than a 15% false-positive rate were included. All subjects had intraocular pressure
165 (IOP) < 21 mmHg, refractive error < 6.00 DS and < 1.50 DC, and visual acuity (VA) of
166 20/30 (6/9) or better in the test eye, in the absence of significant corneal or media
167 opacities. Exclusion criteria were the evidence of any systemic disease or medication
168 which affects visual performance (e.g. diabetes, thyroid disease), any ocular disease
169 (other than glaucoma for the glaucoma group), and surgery that may affect visual
170 performance (e.g. resulting in poor visual acuity, refractive error outside above stated
171 range).

172 After completion of preliminary tests, *in vivo* cone imaging with a modified small-
173 angle HRA2, localized measurements of DLS and PGRA to estimate GC density, and
174 thickness measurement of the ganglion cell layer (GCL) were performed as described
175 below. One experienced operator (JM) performed all tests. If both eyes met inclusion
176 and exclusion criteria in glaucoma patients and normal controls, the right eye was
177 chosen.

178

179 **Psychophysical tests**

180 *Peripheral Grating Resolution Acuity (PGRA)*

181 PGRA was measured in the corresponding visual field locations with achromatic Gabor
182 patches in sine phase (SD x Spatial frequency: 4; Michelson contrast: 99%; mean
183 luminance: 30 cd/m^2), presented on a uniform 30 cd/m^2 grey background varying in
184 spatial frequency. Experiments were undertaken on a gamma-corrected Phillips FIMI

185 MGD-403 Achromatic CRT monitor (Ampronix, Irvine, CA, USA; refresh rate: 80 Hz,
186 pixel resolution: 976 x 1028), driven by a Visual Stimulus Generator (ViSaGe MKII,
187 Cambridge Research Systems, Rochester, UK) and the Cambridge Research Systems
188 (CRS) toolbox (version 1.27) for MATLAB (R2014b, The MathWorks Inc., Natick, MA).
189 Responses were collected using a Cedrus RB-530 response box (Cedrus Corporation,
190 San Pedro, CA, USA). Participants were asked to view a cross-hair fixation target on
191 the CRT monitor at a viewing distance of 60 cm and report whether the grating,
192 presented at 8.8° eccentricity along the 45°, 135°, 225° and 315° meridians for 500
193 ms, was orientated either horizontally (180°) or vertically (90°). Resolution acuity was
194 determined using a 3/1 reversal strategy, taking the average of four reversals, where
195 the first two reversals resulted in a spatial frequency change of 20%, the third reversal
196 a 10% change and the final reversal 5% change. Gabor patches scaled in size to
197 maintain a constant number of high contrast cycles within the patch at all times to
198 optimize resolution performance.¹² All subjects were optically corrected for the test
199 distance and the eye not being tested was occluded. Resolution acuity values were
200 then converted from minimum angle of resolution (MAR) to GC density (D, in GC/mm²)
201 using the equation $MAR = 0.93/\sqrt{D}$ for a hexagonal array.¹³ A conversion factor from
202 Drasdo & Fowler¹⁴ was used to calculate the number of GCs per square millimeter of
203 the retina.

204

205 *Differential light sensitivity (DLS)*

206 Contrast thresholds were measured for an approximate Goldmann III size achromatic
207 stimulus (0.48°, 0.18 deg²) of duration¹⁵ 191.9 ms at the same visual field locations
208 (8.8° eccentricity along the 45°, 135°, 225° and 315° meridians). Stimuli were
209 generated with a ViSaGe MKII and the CRS toolbox for MATLAB. Participants were
210 instructed to view the central fixation target and press a button on a response pad

211 (Cedrus RB-530) when a stimulus was seen. A randomly interleaved 1/1 staircase
212 (step size 0.5 dB of the previous value) terminating after six reversals was used, with
213 threshold contrast being calculated as the mean of the final four reversals. Contrast
214 thresholds were expressed in Humphrey equivalent dB values.

215

216 ***In vivo* cone imaging using a modified small-angle HRA2**

217 A standard HRA2 (Heidelberg Engineering GmbH, Heidelberg, Germany) was
218 modified for high resolution imaging of the fundus. For this purpose the scan angle was
219 reduced by a factor of 10x to image fields of view of 3°, 2° and 1.5°, while the total
220 number of pixels remained unchanged. This resulted in an oversampling of the
221 diffraction limited spot size with the cone mosaic becoming visible (Fig 1 A, B). The
222 images were acquired using a diode laser emitting at 815 nm working under reflection
223 mode. The laser power was confirmed to be safe without restrictions, according to
224 International Electrotechnical Commission (IEC) 60825-1:2007. To assess different
225 areas of the fundus, the internal fixation lights could be adjusted manually by means
226 of externally accessible alignment tools. *In vivo* imaging of the retinal cone mosaic was
227 performed at four retinal locations (inferior nasal, inferior temporal, superior nasal,
228 superior temporal retina) at 8.8° eccentricity along the 45°, 135°, 225° and 315° retinal
229 meridians, through undilated pupils with room lights on (Fig 1 C, D). Subjects were
230 instructed to look at the center of one of the cross-hair fixation targets, positioned at
231 one of four pre-determined locations relative to the scan window, to enable imaging at
232 the desired locations. Single, non-averaged *en face* reflectance images were collected
233 and analyzed. The field of imaging was 3° × 3°, equating to 0.825 x 0.825 mm on the
234 retina, based on Drasdo and Fowler's conversion for the relevant retinal location.¹⁴

235

236

237 **Image analysis**

238 Raw images of the cone mosaic ($\sim 3^\circ \times 3^\circ$, 768 x 768 pixels) were initially cropped to
239 remove any extraneous features (e.g., scale bar, company logo, etc.). Cones were
240 then identified in the cropped image ($\sim 2.89^\circ \times 2.89^\circ$, 740 x 740 pixels) by the method
241 of Li & Roorda¹⁶, in MATLAB (R2014b) with the image processing toolbox (IPT). Briefly,
242 this analysis first applies a low-pass filter in the frequency domain to the image to
243 remove high-frequency noise from the image. Following this, the image is converted
244 back to the spatial domain and the local luminance maxima detected using the IPT
245 function *imregionalmax*. These identified regions were assumed to be cone centers
246 and were plotted as single white pixels on a black background. To ensure the identified
247 cones were not closer than physiologically possible, the binary blobs were each dilated
248 using a white disk of diameter 2 pixels (i.e., if inter-cone spacing were too small, the
249 given identified cones would no longer be spatially independent following dilation).
250 Following this, each remaining spatially independent blob was counted as a cone. This
251 value was then converted to a density value expressed as cones/mm². This method
252 has been shown to provide cone density estimates that are very similar to those
253 determined through manual counts, with the spatial localization of identified cones also
254 being accurate for images acquired with AO technology.¹⁶ Figure 2 shows an example
255 of the worst, typical and best quality image we captured in our participants and the
256 automated cone count of the scan with the best quality.

257

258 **Ganglion cell layer thickness**

259 Automated segmentation and thickness measurement of the GCL was performed on
260 the posterior pole scans (Spectralis OCT, acquisition software version 5.7.4.0). The
261 grids on the posterior pole GCL thickness scans were rotated and translated to align
262 with individual cone images (squares of grid also $3^\circ \times 3^\circ$, Fig 3).

263

264 **Statistical analysis**

265 Statistical analyses were performed with SPSS 23 (IBM Corporation, Armonk, NY,
266 USA) and R (version 3.0.0, The R project). Median [interquartile range, IQR] GC and
267 cone densities (cells/mm²), and cone:GC ratios, were calculated for glaucoma patients,
268 and compared with those in age-similar healthy controls. A Mann-Whitney *U* test was
269 used to test for statistically significant differences between groups and Friedman's two-
270 way analysis of variance between locations within groups. Linear regression analysis
271 was used to investigate the relationship between cone and GC density, cone:GC ratio
272 and GCL thickness (from OCT) to corresponding DLS values (expressed in Humphrey
273 equivalent dB values). Cone and GC density and GCL thickness were converted to log
274 values for comparison with DLS. Receiver operator characteristic (ROC) curves and
275 associated area under the receiver operator characteristic curve curve (AUROC)
276 values were used to compare cone:GC ratio, GC density and cone density for
277 diagnostic accuracy in the detection of glaucoma. Sixty-nine of 80 locations in
278 glaucoma patients and 75 of 80 locations in healthy controls were included in the
279 analysis. Scans where no cones could be resolved by eye were excluded from
280 analysis. Glaucoma was seen as the positive test result. The ROC curves were used
281 to estimate the sensitivity of GC density and cone:GC ratio at set specificities of 80%
282 and 90%. For all analyses listed, a *P* value of <0.05 was considered statistically
283 significant. To avoid type I errors we performed a Holm-Bonferroni correction where a)
284 there were multiple tests of the same hypothesis (e.g. testing statistical significance of
285 differences between data in superior and inferior hemifields) and b) p-values for
286 individual tests are less than 0.05.

287

288

289 **Results**

290 General characteristics of glaucoma patients and age-similar healthy controls are
291 given in Table 1. There was no statistically significant difference between each group
292 in terms of age, gender, visual acuity, spherical refractive error or IOP (all $P > 0.05$).

293

294 **GC density, cone density and cone:GC ratio**

295 Median GC density was 33% lower in glaucoma patients than in healthy
296 subjects over all tested locations. GC density was significantly reduced in glaucoma
297 patients compared to that in healthy controls in the inferior retinal hemifield ($P < 0.001$,
298 Table 2). Figure 4 shows the fundus image of a glaucoma patient with a paracentral
299 scotoma in the superior visual field and corresponding reduced RNFL thickness and
300 GC density in the inferior retina.

301 There was no statistically significant difference in cone density between
302 glaucoma patients and healthy controls in either retinal hemifield (superior: $P = 0.48$,
303 inferior: $P = 0.69$). Median cone density was very similar between glaucoma patients
304 and healthy controls (glaucoma patients: 7,248 cells/mm², healthy controls: 7,242
305 cells/mm²; Table 2). There was no statistically significant inter-location difference in
306 cone density within each group (glaucoma: $P = 0.44$; healthy controls: $P = 0.75$).

307 Cone density and GC density were not significantly associated in either
308 hemifield in the healthy or glaucomatous group (Fig 5 A, C). There was a statistically
309 significant relationship between DLS and log estimated GC density in both retinal
310 hemifields in glaucoma patients (superior: $R^2 = 0.59$, $P < 0.001$; inferior: $R^2 = 0.28$, P
311 < 0.001 , Fig 5 B, D). There was no statistically significant relationship between DLS
312 and log cone density in either group.

313 Median cone:GC density ratio was 3.51:1 (IQR: 2.59:1, 6.81:1) in glaucoma
314 patients compared to 2.35:1 (IQR: 1.83:1, 2.82:1) in healthy subjects (Table 2, Fig 5

315 E). Ratios were significantly higher in the glaucoma patient group, compared to those
316 in the healthy subject group ($P < 0.01$). Cone:GC ratios were not significantly different
317 in the superior locations (without glaucomatous defect of the corresponding inferior
318 hemifield) between glaucoma patients and healthy subjects ($P > 0.05$, Table 2).
319 Cone:GC density ratios showed a large range in healthy controls (Fig 5 E). In view of
320 this, attempting to calculate the true baseline GC density from *in vivo* measurements
321 of cone density from healthy controls would be imprecise. The coefficient of variation
322 was 30% for cone:GC ratio and 33% for GC density.

323

324 **Separation of cone:GC ratio and GC density to diagnose glaucoma**

325 Figure 6 illustrates the ROC curve for GC and cone density and cone:GC ratio. AUROC
326 was 0.79 (95% confidence interval [CI] 0.71-0.86, $P < 0.001$) for both GC density and
327 cone:GC ratio. Specificity was set to 80% and 90% and sensitivity was then derived. At
328 a specificity of 80%, sensitivity was 62% for GC density (with cut-off value of 2,425
329 GCs/mm²) and 59% for cone:GC ratio (with cut-off values of 3.04:1). At a set specificity
330 of 90%, sensitivity was 44% for GC density (1,935 GCs/mm²) and 49% for cone:GC
331 ratio (3.59:1).

332

333 **Ganglion cell layer thickness**

334 GCL thickness was reduced in glaucoma patients compared to healthy controls in the
335 area corresponding to visual field defects. The greatest GCL thickness loss across all
336 of our patients was in the inferior retina (corresponding to superior hemifield on visual
337 field). Median GCL thickness at test locations in glaucoma patients was 23 μ m,
338 significantly thinner than that in healthy controls (31 μ m, $P < 0.001$, Table 2). No
339 correlation was found between cone density and GCL thickness at any location in

340 either group (Spearman's ρ 0.02, $P = 0.81$). There was a significant linear relationship
341 between DLS and GCL thickness ($R^2 = 0.52$, $P < 0.001$).

342

343 **Discussion**

344 The findings of this study lend support to the notion that although GC density is
345 significantly reduced in glaucoma patients relative to that in healthy controls, cone
346 density is not. The ratio of cones and overlying GCs is therefore increased in our
347 participants with glaucoma. One of the aims of this study was determine the utility of
348 cone imaging in the calculation of baseline GC density for more efficient identification
349 of GC loss. The moderately large range of cone:GC density ratios in healthy controls
350 (Fig 5 E) leads us to conclude that any prediction of baseline GC density from objective
351 measures of cone density would be imprecise and offer little superiority over
352 conventional methods in the identification of early glaucomatous loss.

353 Despite finding no statistically significant difference in cone density overall in the
354 glaucoma patients recruited to the current study, it was still considered possible that
355 by combining information on local cone and GC density in each patient may offer
356 advantages over and above density alone for the identification of glaucomatous retinal
357 damage. However, we did not find a statistically significant relationship between cone
358 and GC density in patients or controls. Furthermore, the qualitative and quantitative
359 (AUROC) similarity in the ROC curves for cone:GC ratio and GC density alone, further
360 demonstrates that there is little advantage in combining cone and GC density
361 estimates in each patient.

362 This is the first study to compare estimates of cone density, derived from *in vivo*
363 images of the photoreceptor mosaic captured with an Heidelberg Retina Angiograph 2
364 (HRA2) without adaptive optics (AO), and psychophysical estimates of ganglion cell
365 density and function in corresponding regions. The retinal cone density agreed

366 reasonably well with previously published studies using histological data^{17, 18}, AO
367 imaging¹⁹⁻²¹ and imaging with a modified first-generation Heidelberg Retina
368 Tomograph⁹.

369 Although glaucoma is a degenerative optic neuropathy affecting ganglion cells
370 and their axons, previous studies investigating the involvement of the outer retina,
371 including photoreceptors, in the disease have yielded somewhat conflicting results.
372 Structural²⁻⁵ changes of the outer retina in glaucoma have been reported by some
373 histological and clinical studies but not by others.^{6, 7} Studies involving tests of colour
374 vision and electrophysiology have reported reduced function, suggestive of outer
375 retinal layer abnormalities in glaucoma.²²⁻²⁷ Vincent *et al* have shown a dysfunction of
376 cone photoreceptors in the central 24° visual field in advanced glaucoma using
377 multifocal electroretinogram.²⁷ Cone densities presented in our study were not
378 significantly different between glaucoma patients with visual field loss ranging from
379 mild to moderate and age-similar healthy controls. We have included predominantly
380 glaucoma patients with paracentral defects (within 10° of fixation) but did not find cone
381 loss at 8.8° in glaucoma. Choi and colleagues found evidence of cone loss in glaucoma
382 using AO imaging.² A shortening of the cone outer segments was seen with AO in
383 areas corresponding to reduced visual sensitivity. The authors concluded that this may
384 explain dark patches observed in AO *en face* retinal images. This is in line with a study
385 conducted by Werner *et al* on outer retinal changes in glaucomatous and non-
386 glaucomatous optic neuropathies observing that cones were less reflective in
387 corresponding areas of visual field defect, resulting in dark regions in the *en face* AO
388 images and accompanying disruptions in the outer retinal layers.⁵ Although number of
389 cones did not differ between areas of normal and depressed visual sensitivity among
390 glaucoma patients, and also between healthy subjects and glaucoma patients in our
391 study, we have seen dark areas where cones could not be resolved in a number of

392 patients. For example, they can be observed in the inferior retina corresponding to a
393 dense superior hemifield defect in a 47 year-old glaucoma patient (Fig 7 as
394 supplemental data).

395 In this study, median cone density at 8.8° (2.42 mm) retinal eccentricity was
396 7,248 cells/mm² in glaucoma patients and 7,242 cells/mm² in healthy controls . These
397 cone density estimates are somewhat lower than those reported in some histological
398 studies (e.g. Curcio *et al*¹⁷) or from some *in vivo* studies using AO imaging devices.¹⁹⁻
399 ²¹ Curcio *et al* reported cone counts of approximately 9700 cones/mm² at ~ 2.5 mm
400 retinal eccentricity in 8 eyes of 7 healthy, adult human donors (age 27-44 years).¹⁷ An
401 AO imaging study conducted by Song and colleagues found a cone density of
402 approximately 8600 cells/mm² at ~ 2.6 mm retinal eccentricity in healthy participants
403 aged 22-65 years.²¹ Wolsely *et al* used a modified HRT to image cones in 2 healthy
404 subjects and found a cone density of 7000 cones/mm² at ~ 2.3 mm eccentricity
405 (extrapolated from values presented) and compares well to our data.⁹ However, Jonas
406 *et al* reported a lower cone density of 6000 cones/mm² at only 1.5 mm (~ 5°) retinal
407 eccentricity in 21 normal human donor eyes with a mean age of 47 ± 22 years (range
408 2–90 years).¹⁸ Inter-study variations in the age and refractive error of participants, in
409 addition to possible eccentricity changes as a result of flat-mounting in histological
410 studies, may partially account for any differences in cone density reported in the
411 literature with those in this study. Another potential source of variability influencing
412 reported cone densities relate to the factor used for the conversion of millimetres to
413 degrees on the retina, along with nuances in the analysis methods applied to generate
414 cone counts. The algorithm used for automated cone counting in this study was,
415 however, based on work previously reported for cone images with AO devices.^{16,20}
416 These reports found a good agreement between automated and manual counting
417 analysis methods.

418 Limitations of our study must be discussed. First, as this was an exploratory
419 study, only a small number of participants was included. Second, while we did not
420 adjust for GC displacement relative to their corresponding photoreceptors, the
421 displacement of GCs decreases with eccentricity and is reported to be negligible (2.34
422 mm) for cones at 2.42 mm (8.8°) eccentricity using the equation $y = 1.29 \times [\chi + 0.046]^{0.67}$
423 (y = GC eccentricity; χ = cone eccentricity) from Sjöstrand et al.²⁸ Third, some images
424 (11 of 80 glaucoma and 5 of 75 normal) were excluded from analysis where cones
425 could not be identified, either owing to optical limitations (e.g. poor tear film, higher
426 astigmatism or unsteady fixation) or some, as yet, unknown change in the retina (e.g.
427 refractive index changes).

428 In conclusion, our results did not show any notable advantage in using cone:
429 GC ratios over GC density alone for identifying glaucoma. Cone:GC density ratios and
430 GC densities show a relatively large range even in healthy controls and no relationship
431 was found between cone and GC density in either group. On this basis, we conclude
432 that measurements of cone density are unlikely to be helpful in the estimation of local
433 baseline GC density in a first-time patient.

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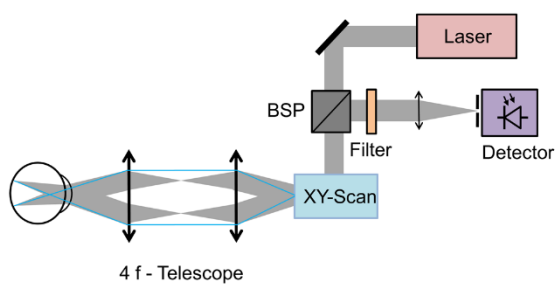
500 **FIGURE LEGENDS**

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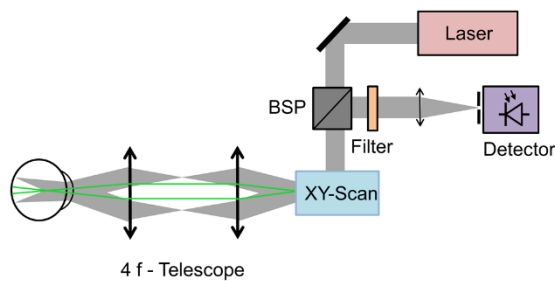
502 **Figure 1.** Schematic view of a Modified Heidelberg Retina Angiograph 2 (HRA2).

503 **A and B** – Small-angle principle of a modified HRA2. Standard 30° (top) and modified
504 small-angle 3° principle (bottom). *In vivo* cone imaging was performed at 4 retinal
505 locations at approximately 8.8° retinal eccentricity.

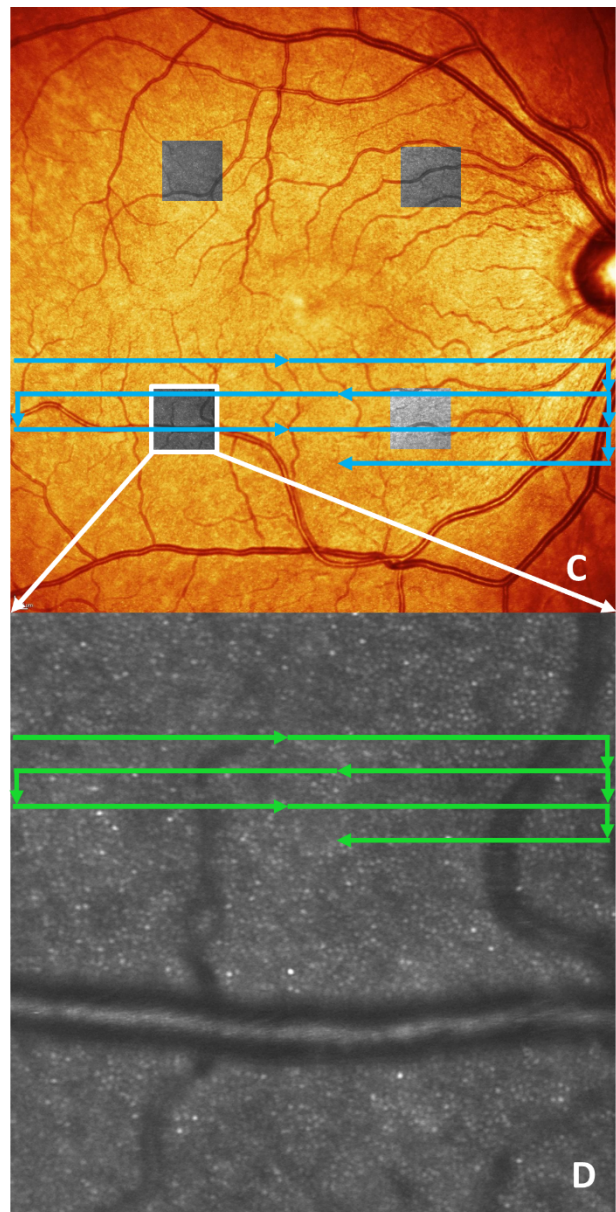
506 **C and D** – Small-angle retinal scan with a scan angle of 3° (cropped to ~2.89° x 2.89°,
507 740 x 740 pixels) of a 58 year-old healthy control and superimposed onto fundus
508 image.



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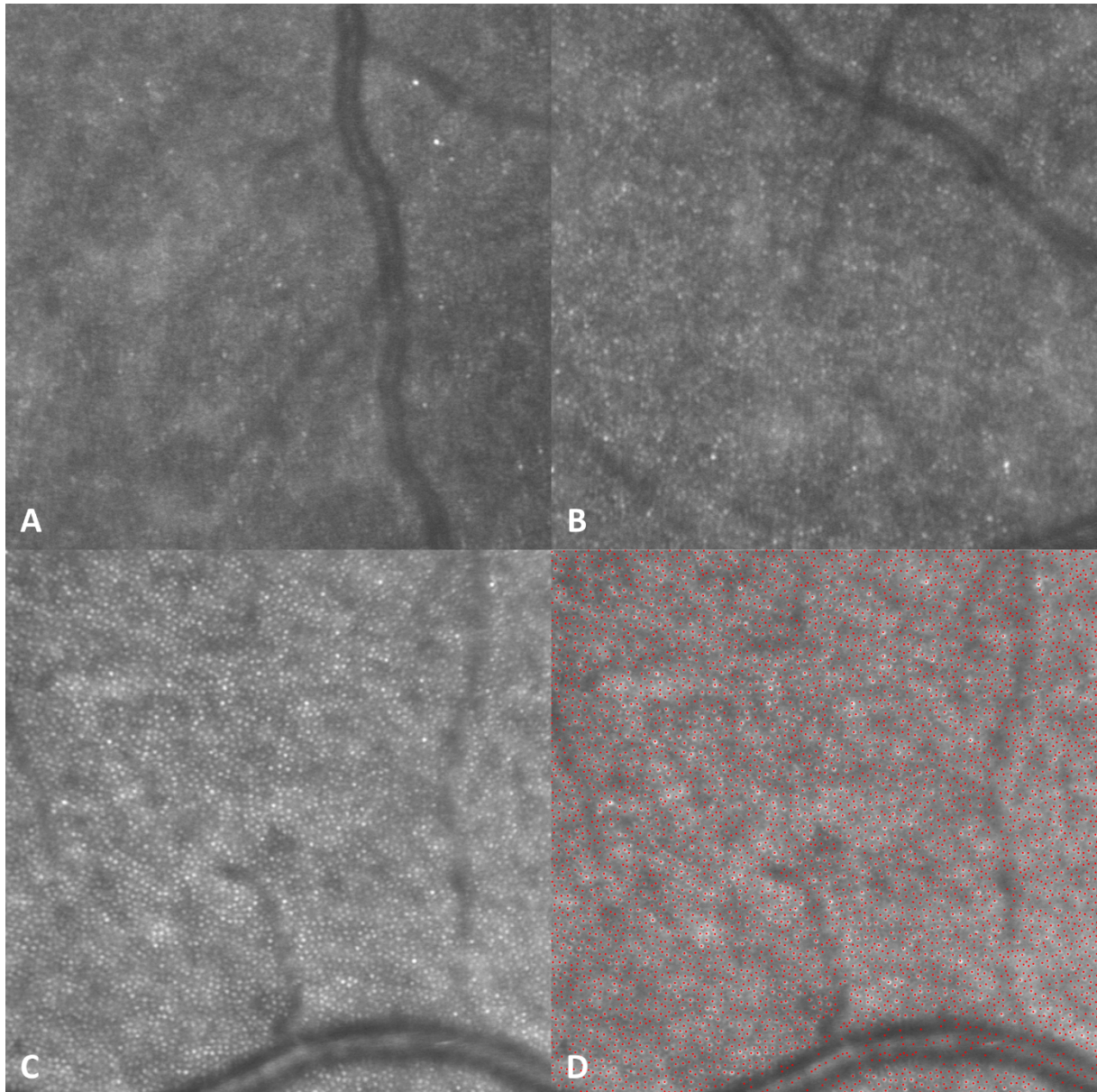
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510 **Figure 2.** Examples of cone scans.

511 Worst (**A**), typical (**B**) and best quality (**C**) images of the retinal cone mosaic (**D** –
512 automated cone count; note few cones were counted in blood vessels). All images
513 were cropped to 740 x 740 pixels.



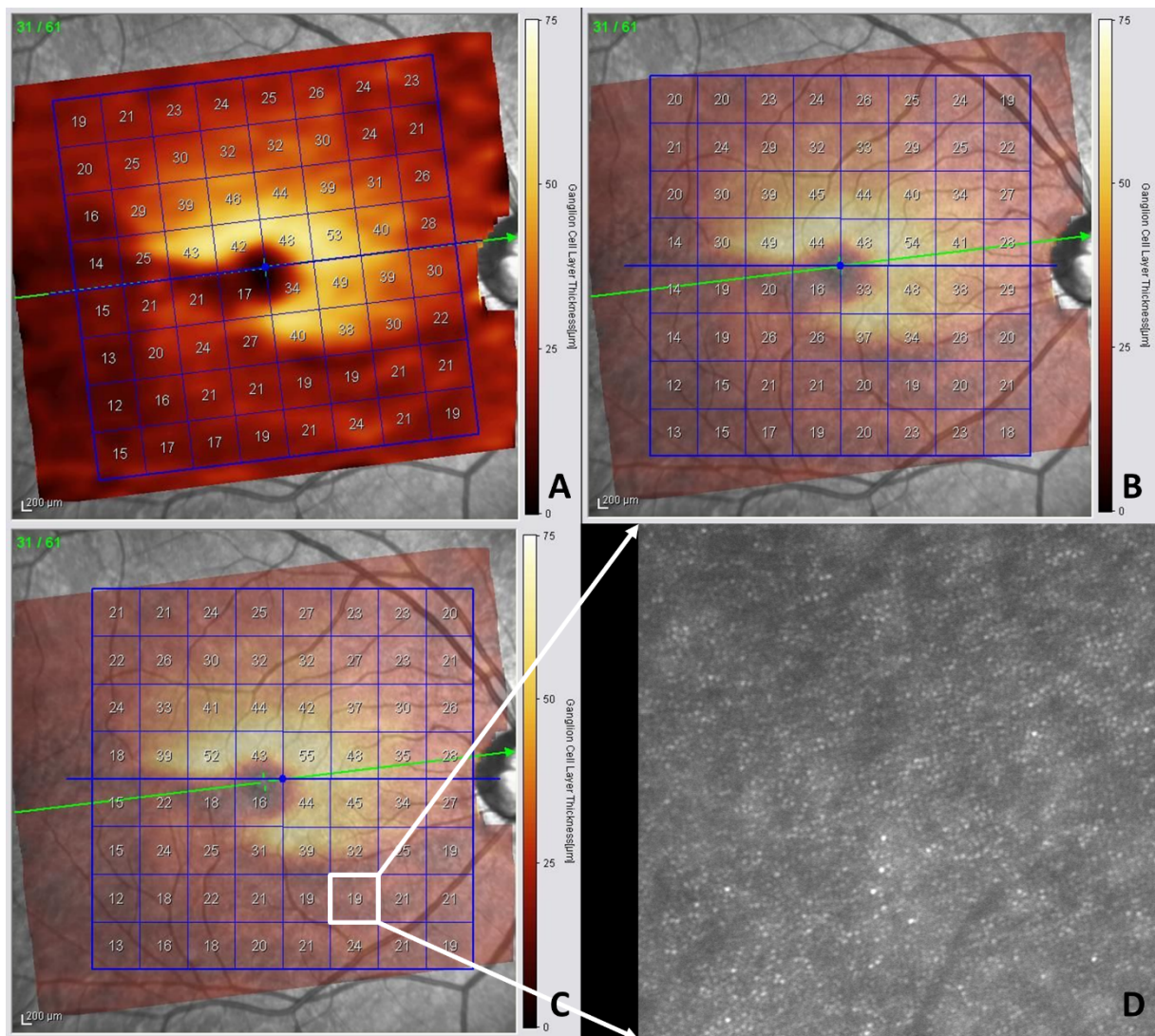
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520 **Figure 3.** Adjustment of ganglion cell layer thickness measurement.

521 **A** – False-color thickness map displays thickness measurement of ganglion cell layer
522 (GCL).

523 **B** – The posterior pole grid was subsequently adjusted such that the external border
524 of the grid was parallel with the edge of the fundus image and the overlay transparency
525 adjusted to visualize landmarks (e.g. blood vessels).

526 **C** – The grid was then moved to coincide with the position as of the cone image(s)
527 captured (**D**) to produce GCL thickness values in the retinal regions examined.

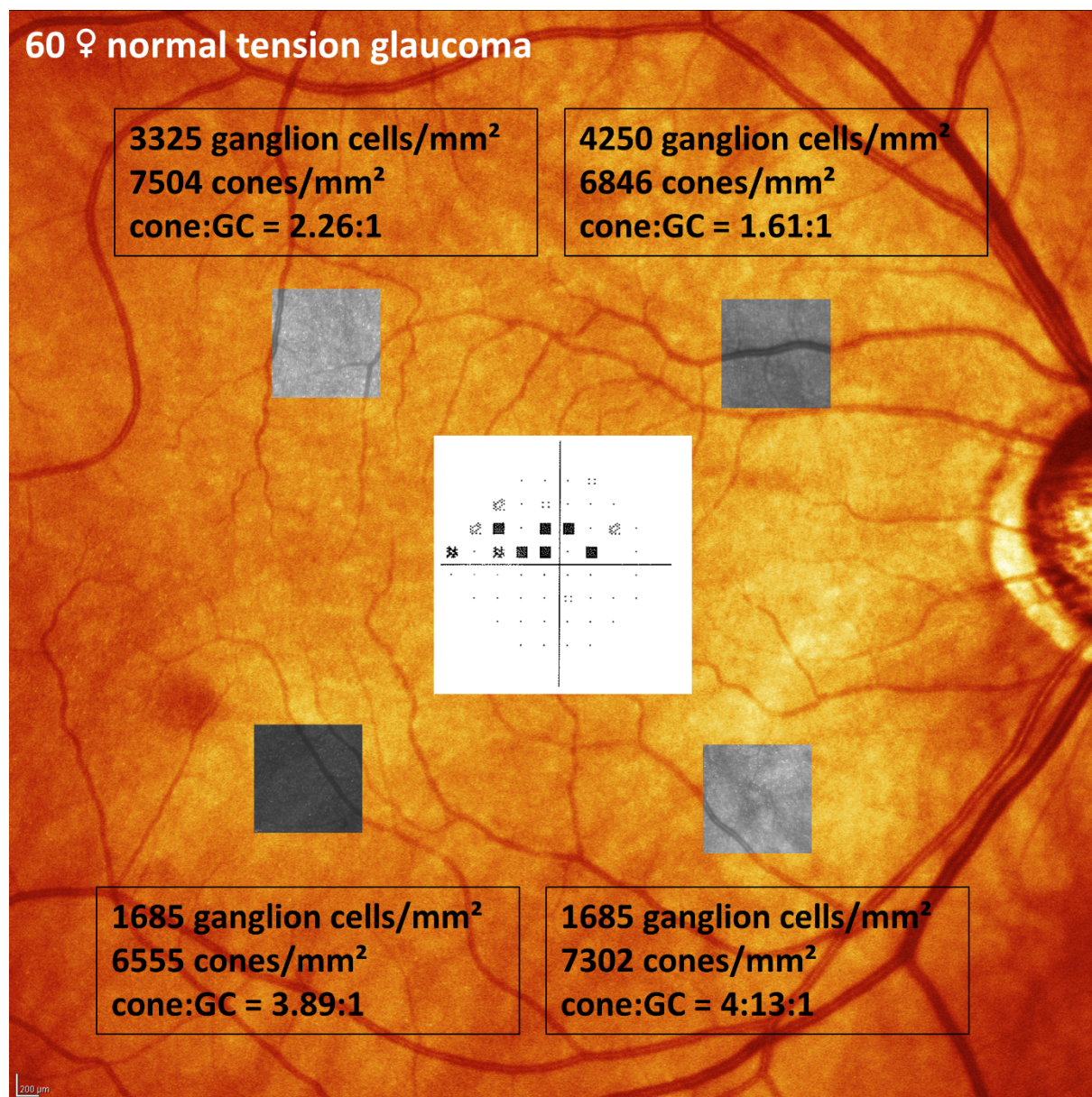


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531 **Figure 4.** Fundus of a 60 year-old female patient with normal tension glaucoma.
532 Inferior ganglion cell (GC) loss and corresponding superior field defect (pattern
533 deviation plot). Reduced GC density and respective increased cone:GC ratio in the
534 inferior retina.



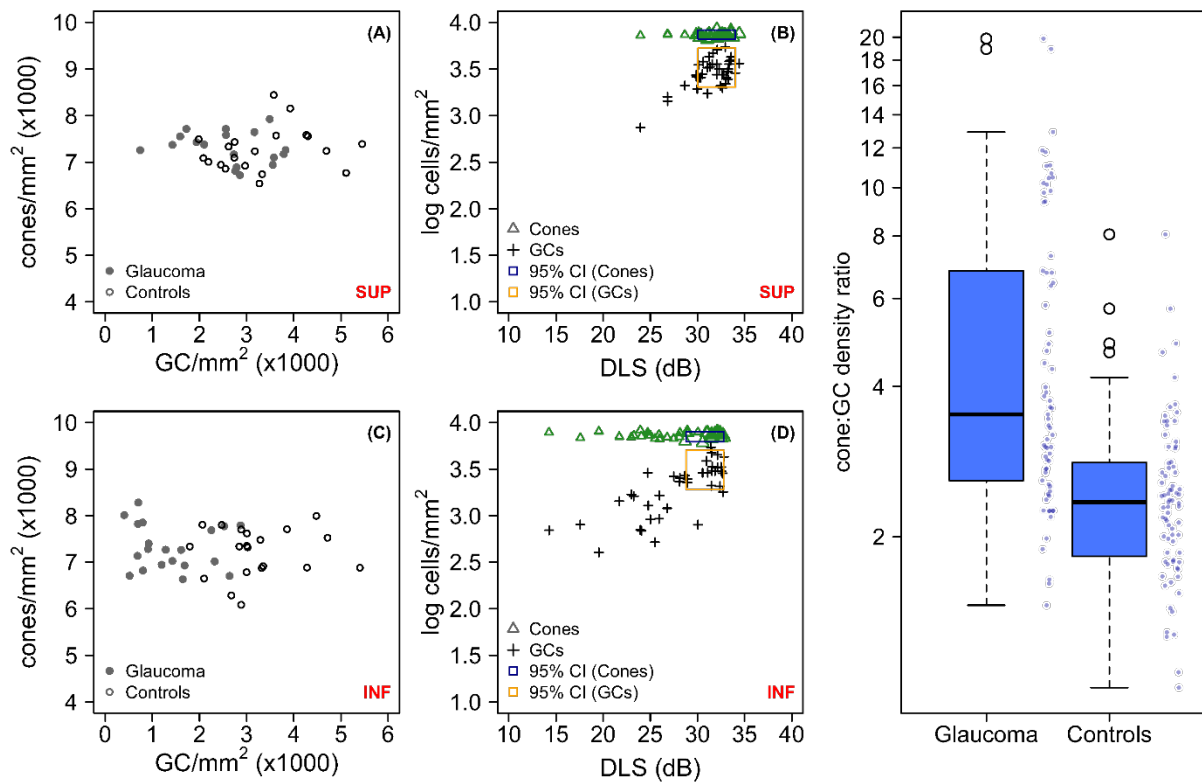
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540 **Figure 5.** Relationships between local cone density, ganglion cell (GC) density and
541 differential light sensitivity (DLS).

542 **A, C** – Relationship between local cone and GC density in the superior (A) and inferior
543 (C) retinal hemifields of glaucoma patients and controls

544 **B, D** – Relationship between local cell (cone and GC) density and differential light
545 sensitivity (DLS) in glaucoma patients and controls. Boxes indicate the 95% confidence
546 intervals for cell density (height) and DLS (width) in healthy controls.

547 **E** – Range of cone:GC ratios in glaucoma patients and healthy controls.



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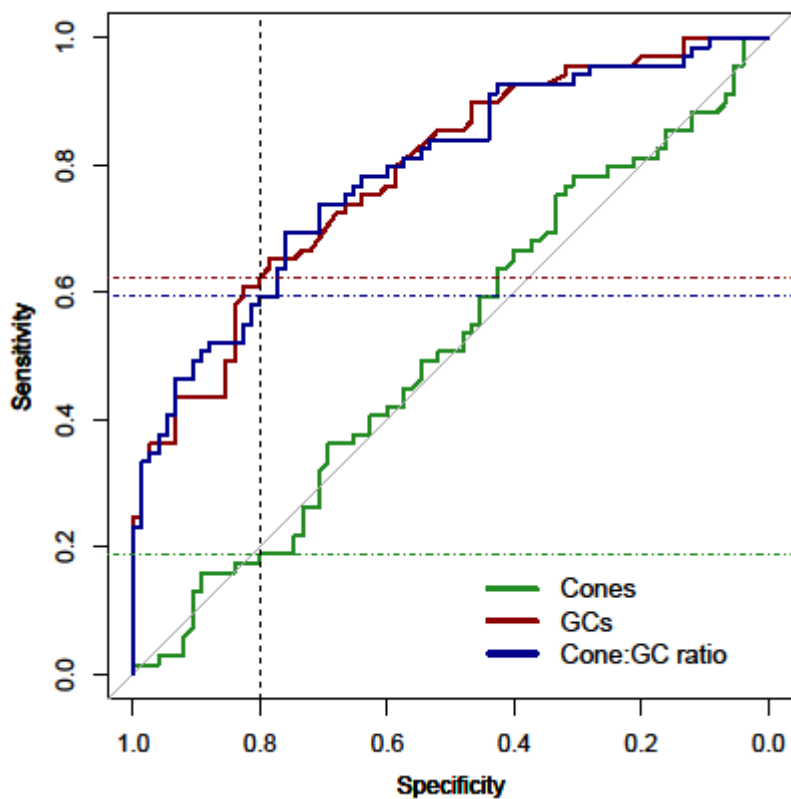
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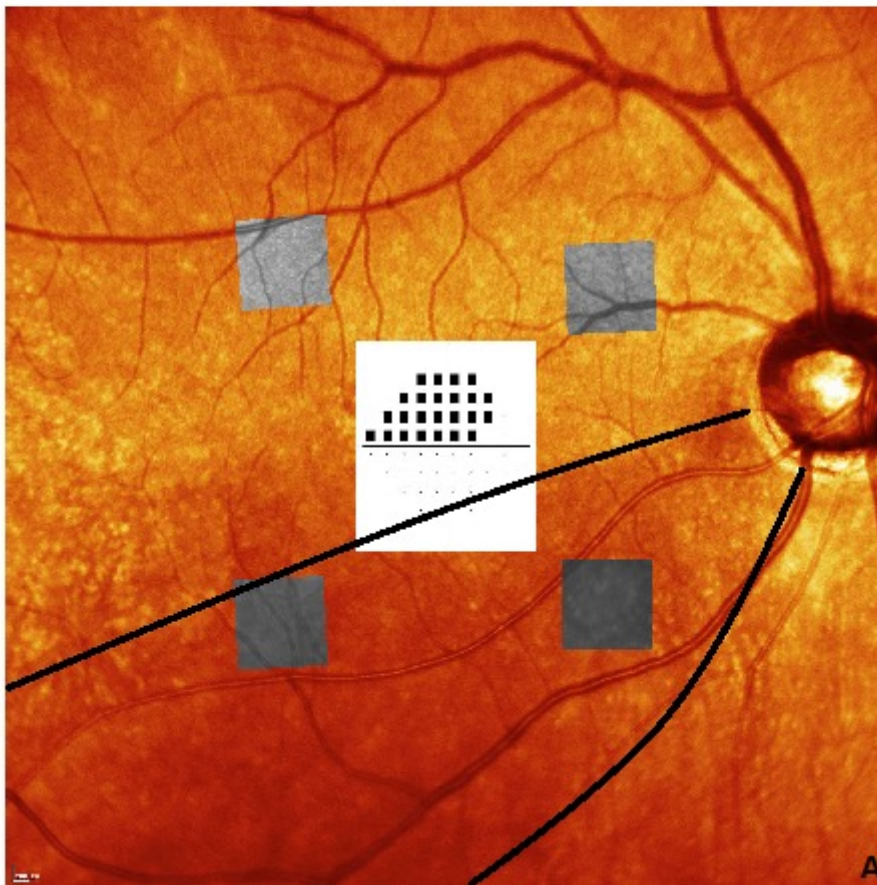
555 **Figure 6.** Receiver operating characteristic (ROC) curve for separation of ganglion cell
556 (GC) and cone density, and cone:GC ratio to detect glaucoma.
557 Area under the ROC curve (AUROC) was 0.79 (95% confidence interval [CI] 0.71-
558 0.86) for both GC density and cone:GC ratio. Sixty-nine locations of glaucoma patients
559 were included and compared to 75 locations of healthy controls.



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569 **Figure 7.** Example of a 47 year-old female patient with normal-tension glaucoma.
570 **A** – A large area of inferior ganglion cell (GC) loss and corresponding dense superior
571 field defect (pattern deviation plot) are evident (nerve fibre bundle defect marked with
572 black lines).
573 **B** – Raw cone images for all 4 locations (cropped to $\sim 2.89^\circ \times 2.89^\circ$, 740 x 740 pixels).
574 Note blurred scans in the inferior retina with advanced retinal nerve fiber and ganglion
575 cell loss (black arrows show dark patches where cones cannot be resolved).

Figure 7. Example of a 47 year-old female patient with normal-tension glaucoma.
A – A large area of inferior ganglion cell (GC) loss and corresponding dense superior field defect (pattern deviation plot) are evident (nerve fibre bundle defect marked with black lines).
B – Raw cone images for all 4 locations (cropped to $\sim 2.89^\circ \times 2.89^\circ$, 740 x 740 pixels). Note blurred scans in the inferior retina with advanced retinal nerve fiber and ganglion cell loss (black arrows show dark patches where cones cannot be resolved).



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Table 1. Demographic Data of Glaucoma Patients and Healthy Participants

	Healthy	Glaucoma	<i>P</i> value
n of eyes/participants	20/20	20/20	
Age, years	57.00 [51.25, 63.75]	54.00 [50.25, 59.75]	0.58
Sex			0.74
male	6 (30)	8 (40)	
female	14 (70)	12 (60)	
Eye			1.00
right	16 (80)	15 (75)	
left	4 (20)	5 (25)	
BCVA, Snellen			0.06
6/5	20 (100)	15 (75)	
6/6	0 (0)	4 (20)	
6/9	0 (0)	1 (5)	
Spherical error, DS	+0.50 [-1.25, +0.94]	+0.13 [-1.38, +0.94]	0.68
Astigmatism, DC	-0.25 [-0.50, +0.00]	-0.75 [-1.00, -0.50]	0.003
IOP, mmHg	14.5 [13.3, 16.0]	13.0 [11.0, 15.0]	0.07
RNFL thickness, μ m	98.0 [92.0, 102.0]	68.5 [57.8, 78.0]	<0.001

Data are absolute values (%), median [interquartile range] as appropriate.

Abbreviations: *BCVA* best-corrected visual acuity, *DC* dioptre cylinder, *DS* dioptre sphere, *IOP* intraocular pressure, *MD* mean defect, *n* number of eyes/participants, *PSD* pattern standard deviation, *RNFL* retinal nerve fiber layer.

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Table 2. Cone Density, estimated GC Density and Cone:GC Ratio, GCL Thickness and Visual Sensitivity at different retinal locations

	Healthy	Glaucoma	<i>P</i> value
GCs/mm²			
superior nasal	3023 [2550, 3713]	2483 [1995, 3119]	0.06
inferior nasal	2885 [2200, 3314]	971 [727, 2099]	<0.001
superior temporal	3325 [2441, 4301]	2684 [2262, 3166]	0.09
inferior temporal	3373 [2670, 4034]	1458 [727, 2252]	<0.001
All locations	3158 [2503, 4060]	2125 [971, 2763]	<0.001
Cones/mm²			
superior nasal	7295 [6845, 7763]	7196 [6972, 7499]	0.91
inferior nasal	7213 [6842, 7777]	7332 [7082, 7965]	0.32
superior temporal	7098 [6996, 7352]	7238 [6968, 7685]	0.55
inferior temporal	7432 [6814, 7630]	7215 [6700, 7495]	0.39
All locations	7242 [6876, 7700]	7248 [6968, 7634]	0.79
Cone:GC ratio			
superior nasal	2.43:1 [1.78:1, 2.76:1]	2.94:1 [2.25:1, 4.44:1]	0.08
inferior nasal	2.48:1 [2.15:1, 3.34:1]	6.76:1 [3.73:1, 10.78:1]	<0.001
superior temporal	2.13:1 [1.72:1, 2.02:1]	2.73:1 [2.22:1, 3.60:1]	0.07
inferior temporal	2.18:1 [1.81:1, 2.56:1]	5.24:1 [3.01:1, 10.45:1]	<0.001
All locations	2.35:1 [1.83:1, 2.82:1]	3.51:1 [2.59:1, 6.81:1]	<0.001
GCL thickness, μm			
superior nasal	31.0 [30.0, 33.0]	27.5 [22.0, 32.8]	0.08
inferior nasal	30.0 [29.0, 32.0]	21.0 [19.0, 23.5]	<0.001
superior temporal	32.0 [29.0, 34.0]	29.0 [24.0, 32.0]	0.06
inferior temporal	32.5 [28.8, 35.0]	20.0 [17.0, 23.0]	<0.001
All locations	30.8 [29.1, 33.3]	23.3 [21.0, 27.5]	<0.001
Visual sensitivity, dB†			
superior nasal	32.7 [31.3, 33.2]	29.9 [28.6, 32.5]	0.005
inferior nasal	32.1 [31.3, 32.8]	24.5 [17.2, 27.5]	<0.001
superior temporal	32.4 [31.5, 33.1]	31.3 [30.1, 32.8]	0.03
inferior temporal	31.8 [31.1, 32.1]	25.3 [20.5, 28.7]	<0.001
All locations	32.1 [31.4, 32.9]	28.6 [24.4, 30.9]	<0.001

Data are median [interquartile range] retinal locations at ~ 8.8° eccentricity.

Abbreviations: GC ganglion cell, GCL ganglion cell layer, *n* number of locations,.

Note: Not all of the 4 locations for each glaucoma patient or healthy participant could be imaged with some locations therefore excluded. The majority of images (> 80%, 75 images included/80 total number of locations in healthy subjects and 69/80 in glaucoma patients) were, however, analyzed. In **bold**, significantly reduced GC density and visual field sensitivity, and increased cone:GC ratio mainly in the inferior retina. Cone count remains constant over all locations. Most of the glaucoma patients (90%) had glaucomatous defects in the superior hemifield.

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