



# On the special role of NCX in astrocytes: Translating Na<sup>+</sup>-transients into intracellular Ca<sup>2+</sup> signals

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## On the special role of NCX in astrocytes:

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### Translating Na<sup>+</sup>-transients into intracellular Ca<sup>2+</sup> signals

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**Abstract**

As a solute carrier electrogenic transporter, the sodium/calcium exchanger (NCX1-3/SLC8A1-A3) links the trans-plasmalemmal gradients of sodium and calcium ions ( $\text{Na}^+$ ,  $\text{Ca}^{2+}$ ) to the membrane potential of astrocytes. Classically, NCX is considered to serve the export of  $\text{Ca}^{2+}$  at the expense of the  $\text{Na}^+$  gradient, defined as a “forward mode” operation. Forward mode NCX activity contributes to  $\text{Ca}^{2+}$  extrusion and thus to the recovery from intracellular  $\text{Ca}^{2+}$  signals in astrocytes. The reversal potential of the NCX, owing to its transport stoichiometry of 3  $\text{Na}^+$  to 1  $\text{Ca}^{2+}$ , is, however, close to the astrocytes’ membrane potential and hence even small elevations in the astrocytic  $\text{Na}^+$  concentration or minor depolarisations switch it into the “reverse mode” ( $\text{Ca}^{2+}$ -import/ $\text{Na}^+$  export). Notably, transient  $\text{Na}^+$  elevations in the millimolar range are induced by uptake of glutamate or GABA into astrocytes and/or by the opening of  $\text{Na}^+$ -permeable ion channels in response to neuronal activity. Activity-related  $\text{Na}^+$  transients result in NCX reversal, which mediates  $\text{Ca}^{2+}$  influx from the extracellular space, thereby generating astrocyte  $\text{Ca}^{2+}$  signalling independent from  $\text{InsP}_3$ -mediated release from intracellular stores. Under pathological conditions, reverse NCX promotes cytosolic  $\text{Ca}^{2+}$  overload, while dampening  $\text{Na}^+$  elevations of astrocytes. This review provides an overview on our current knowledge about this fascinating transporter and its special functional role in astrocytes. We shall delineate that  $\text{Na}^+$ -driven, reverse NCX-mediated astrocyte  $\text{Ca}^{2+}$  signals are involved neurone-glia interaction.  $\text{Na}^+$  transients, translated by the NCX into  $\text{Ca}^{2+}$  elevations, thereby emerge as a new signalling pathway in astrocytes.

1     **Key words:** sodium, calcium, synapse, neurone-glia interaction, astroglial ionic  
2     excitability

3

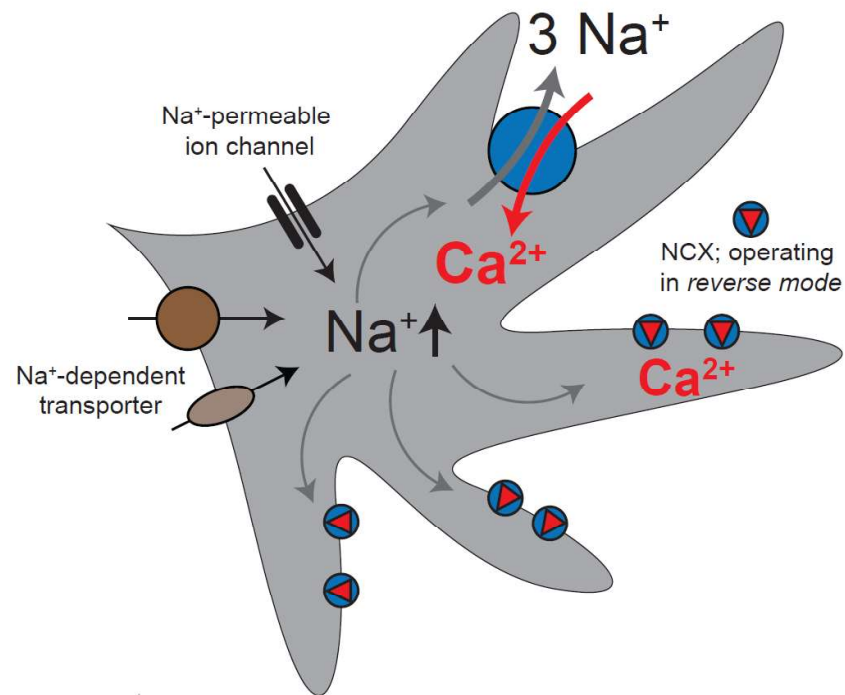
## 1       **Highlights**

- 2           • Astrocytes express all three isoforms of the sodium/calcium exchanger (NCX);  
3           the transporters are preferentially located on perisynaptic processes and endfeet.
- 4           • NCX contributes to the regulation of  $\text{Na}^+$  and  $\text{Ca}^{2+}$  concentrations in astrocytes at  
5           rest; in physiological conditions NCX transport direction fluctuates between  
6           forward and reverse mode.
- 7           • Transient increases in astrocyte  $\text{Na}^+$  concentration resulting from neuronal activity  
8           and transmitter release drive the NCX into reverse mode, producing  $\text{Ca}^{2+}$  influx  
9           into astrocytes.
- 10          •  $\text{Na}^+$ -driven  $\text{Ca}^{2+}$  signals generated by reverse NCX serve important functional  
11          roles in neurone-glia interaction.

12

1 **Graphical Abstract**

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4 Astrocyte NCX couples the plasma membrane gradients of sodium and calcium ions ( $\text{Na}^+$ ,  
5  $\text{Ca}^{2+}$ ) to the membrane potential of astrocytes. Activity-related intracellular  $\text{Na}^+$  transients  
6 result in a switch from forward into reverse mode, thereby generating astrocytic  $\text{Ca}^{2+}$   
7 signals.

8

## 1 **1. Introduction**

2 Astrocytes are electrically non-excitabile cells, i.e. they do not generate fast regenerative  
3 electrical signals like neurones. Instead they rely upon dynamic changes in intracellular  
4 concentrations of ions, of which changes in  $\text{Ca}^{2+}$  and  $\text{Na}^+$  are the best studied [1].  
5 Intracellular  $\text{Ca}^{2+}$  signals, which can be transmitted to neighbouring cells, are  
6 instrumental in astroglial interaction with neurones [2, 3].

7 A classical route for the generation of astrocyte  $\text{Ca}^{2+}$  transients is through  $\text{Ca}^{2+}$   
8 release from intracellular stores. This can happen in response to the binding of  
9 transmitters to metabotropic receptors, activation of  $\text{G}_q$ -proteins coupled to phospholipase  
10 C, and the generation of  $\text{InsP}_3$  that activates  $\text{Ca}^{2+}$  channels of the endoplasmic reticulum  
11 [4]. Mitochondria represent another intracellular  $\text{Ca}^{2+}$  store which, in physiological  
12 conditions, can release  $\text{Ca}^{2+}$  through a mitochondrial sodium/calcium exchanger (NLCX)  
13 that can also transport lithium [5, 6] (Fig. 1A). Besides its release from intracellular stores,  
14 astroglial  $\text{Ca}^{2+}$  signals can be generated by an influx of  $\text{Ca}^{2+}$  from the extracellular space  
15 following the opening of ionotropic transmitter receptors, following operation of  $\text{Na}^+$ -  
16 gradient-driven transporters or following  $\text{Ca}^{2+}$  entry through other ion channels [3].  
17 Whereas  $\text{InsP}_3$ -mediated signalling seems to be predominant in astrocyte soma, the  
18 plasmalemmal entry pathways appear to be specifically relevant for  $\text{Ca}^{2+}$  signalling in  
19 astrocyte processes [7-11].

20 The recovery from increases in cytosolic  $\text{Ca}^{2+}$  concentration is mediated by its  
21 extrusion into the extracellular space by the plasma membrane  $\text{Ca}^{2+}$ -ATPase (PCMA), by  
22 its transport into the endoplasmic reticulum by the SERCA pump, and by its uptake into  
23 mitochondria by a mitochondrial  $\text{Ca}^{2+}$  uniporter [12, 13]. In addition, astrocytes express  
24 the sodium/calcium exchanger (NCX isoforms 1-3) on their plasma membranes [6, 14,

1 15] (Fig. 1A). NCX contributes to the export of  $\text{Ca}^{2+}$  from the cytosol - but only at low  
2 baseline intracellular  $\text{Na}^+$  concentration ( $[\text{Na}^+]_i$ ) as described below.

3 As a matter of fact, functions of NCX in astrocytes are not fully understood. As  
4 mentioned above, and established for many other cell types, the main role initially  
5 attributed to the NCX was to assist the PMCA in exporting  $\text{Ca}^{2+}$ . In this so-called forward  
6 mode (Fig. 1A), the energy for  $\text{Ca}^{2+}$  export by the NCX is provided by the strong  
7 inwardly-directed  $\text{Na}^+$  gradient given a low baseline  $[\text{Na}^+]_i$  ( $\leq 10\text{-}12$  mM) [15]. Based on  
8 a wealth of recent experimental findings, however, this view has changed profoundly.  
9 Some studies have reported a higher baseline astrocyte  $[\text{Na}^+]_i$  ( $\sim 15\text{-}17$  mM) [16, 17],  
10 under which condition NCX may fluctuate between forward and reverse mode (or even  
11 operate in the reverse mode at rest) (Fig. 1A). Moreover, there is convincing evidence  
12 that transient increases in astrocyte  $[\text{Na}^+]_i$  which accompany neuronal activity [1, 16, 17]  
13 readily drive reverse operation of NCX. This results in an increased influx of  $\text{Ca}^{2+}$ ,  
14 directly coupling astrocyte  $\text{Na}^+$  transients to  $\text{Ca}^{2+}$  signalling.

15 NCX thereby emerges as a dynamic translator of astrocyte  $\text{Na}^+$  signals, converting  
16 them into influx of  $\text{Ca}^{2+}$  from the extracellular space. In parallel, NCX shapes  
17 cytoplasmic  $\text{Na}^+$  signalling by mediating  $\text{Na}^+$  entry in the forward mode and extruding  
18  $\text{Na}^+$  in the reverse one. This review gives an overview on the special role of NCX in  
19 astrocytes with a main emphasis on its putative functions in the healthy brain and a  
20 synopsis on its role in ischemic conditions.

## 21 22 **2. Expression of NCX in astrocytes**

23 First direct evidence for a functional expression of NCX in astrocytes was obtained in  
24 cell cultures from rat brain, where a reduction in the transmembrane  $\text{Na}^+$  gradient resulted



1 in a (relatively minor) increase in the  $[Ca^{2+}]_i$  [18]. Soon afterwards, NCX mRNA and  
2 protein were detected in cultured astrocytes [19, 20]. It is now established that astrocytes  
3 express all three subtypes of the NCX gene family, NCX1-3 (SLC8A1-A3) [21-23].  
4 Several splicing variants of NCX1 and 3 were also described in astrocytes [24-26].

5         Sharing a moderate sequence identity, the three NCX subtypes exhibit similar  
6 functional properties including apparent binding affinities to  $Na^+$  and  $Ca^{2+}$ , but display  
7 some differences in their requirement for the presence of ATP and their regulation by  
8 protein kinases [24, 27, 28]. Expression of NCX subtypes differs between brain regions,  
9 indicating specialised functions of these isoforms [29, 30]. The specific roles of the  
10 isoforms remain, however, unclear, partly because of a lack of isoform-specific inhibitors.  
11 Some general information has been acquired from knock-out animals. NCX3-deficient  
12 mice have a higher threshold for LTP induction and show deficits in hippocampus-  
13 dependent spatial learning [31]. Mice lacking NCX2, in contrast, show increased LTP  
14 and an improvement in memory [32]. General knockout of NCX1 is lethal at embryonic  
15 stage [33], making thus an analysis of the effect of its complete removal in the postnatal  
16 brain impossible.

17         In contrast to the PMCA, which seems to be relatively uniformly expressed over  
18 the cell surface of astrocytes [34], NCX appears to be targeted to specialized areas of the  
19 cell. There is evidence from astrocytes in culture that NCX is preferentially expressed in  
20 regions of the plasma membrane in a close vicinity of the endoplasmic reticulum. These  
21 regions also contain an  $\alpha 2$ -containing  $Na^+/K^+$ -ATPase (NKA), and together with the  
22 latter, the NCX has been proposed to generate a local signalling compartment for  $Ca^{2+}$   
23 and  $Na^+$ , separated from the bulk of the cytosol [34-36]. In astrocytes in the rat brain

1 tissue slices, preferential localization of NCX on astrocyte processes contacting  
2 glutamatergic synapses was similarly noted [21] (Fig. 1A, B). In addition, the latter study  
3 found NCX to be concentrated in astroglial endfeet plastering blood vessels (Fig. 1B).  
4 The perisynaptic processes of astrocytes are also rich in Na<sup>+</sup>-dependent glutamate  
5 transporters, which generate substantial Na<sup>+</sup> influx during neuronal activity [37, 38].  
6 Based on these anatomical findings, it seems that reverse-mode NCX might serve to  
7 provide Ca<sup>2+</sup> influx into perisynaptic astrocyte processes in response to excitatory  
8 synaptic activity [21, 39]. The latter suggestion is in line with NCX' proposed function  
9 translating activity-related astrocyte Na<sup>+</sup> transients into intracellular Ca<sup>2+</sup> signals as  
10 detailed below.

### 11 12 **3. Properties of NCX under physiological conditions**

#### 13 **3.1. Transport direction and involvement of NCX to ion homeostasis at resting** 14 **conditions**

15 Based on a transport stoichiometry of 3 Na<sup>+</sup>:1 Ca<sup>2+</sup>, the equilibrium potential of the NCX  
16 can be calculated from the Nernst-equilibrium potentials of Na<sup>+</sup> ( $E_{Na}$ ) and Ca<sup>2+</sup> ( $E_{Ca}$ )  
17 according to the following equation:  $E_{NCX} = 3 E_{Na} - 2 E_{Ca}$  [14, 15]. In astrocytes,  $E_{NCX}$   
18 and NCX operational modality are thus largely determined by the  $[Na^+]_i$ . For extracellular  
19 concentrations of 2 mM Ca<sup>2+</sup> and 150 mM Na<sup>+</sup>, and at baseline  $[Na^+]_i$  of 12 mM and  
20  $[Ca^{2+}]_i$  of 80 nM (values taken from [40]),  $E_{NCX}$  equals -64 mV at room temperature.  
21 Because the latter value is more positive than the typical membrane potential of  
22 astrocytes (-85 mV; see [40]), NCX will operate in the forward mode (Ca<sup>2+</sup> export/Na<sup>+</sup>  
23 import), mediating a net influx of positive charge under these conditions. This is

1 illustrated schematically in Fig. 1C, which depicts the calculated current of the NCX of  
2 neocortical astrocytes in dependence of  $[\text{Na}^+]_i$  and  $[\text{Ca}^{2+}]_i$  at -85 mV (green dot) [40].  
3 However at slightly higher baseline  $[\text{Na}^+]_i$ , for example at 17 mM (red dot),  $E_{\text{NCX}}$  shifts to  
4 a more negative value of -91 mV. This results in a driving force favouring its operation in  
5 the reverse mode, which is further augmented by plasma membrane depolarization (Fig.  
6 1C; right). Hence in physiological conditions, NCX operates close to its reversal potential  
7 and, depending on the exact baseline  $[\text{Na}^+]_i$  and membrane potential fluctuates between  
8 forward and reverse mode.

9         These calculations illustrate the intriguing dependence of NCX transport direction  
10 on  $[\text{Na}^+]_i$  of astrocytes. Notably, baseline  $[\text{Na}^+]_i$  of astrocytes of mouse hippocampus and  
11 neocortex as determined in different studies does cover this range: values between 12 and  
12 17 mM were reported for cells in acute tissue slices as well as for cells in primary  
13 cultures (e. g. [40-48]). These measurements further corroborate the dynamic nature of  
14 NCX operation between two modes.

15         Imaging studies using pharmacological inhibitors of NCX [49] add to this  
16 reasoning. An established inhibitor of NCX is 2-[2-[4-(4-  
17 nitrobenzyloxy)phenyl]ethyl]isothiourea (KB-R7943) [50, 51]. Exposure to KB-R7943  
18 induced an increase in  $[\text{Ca}^{2+}]_i$  in astrocytes of the olfactory bulb, hippocampus and in  
19 glioblastoma cells, suggesting that NCX worked in the forward mode extruding  $\text{Ca}^{2+}$  [52-  
20 54]. Conversely, astrocytes cultured from rat visual cortex and cerebellum responded  
21 with a decrease in  $[\text{Ca}^{2+}]_i$  following administration of KB-R7943, indicating its operation  
22 in the reverse mode [44, 46]. The same phenomenon was observed in astrocytes in  
23 organotypic cell culture treated with the NCX inhibitor YM-244769 [55] (Fig. 2C).

1 Finally, others reported only a minor influence of NCX inhibition on baseline  $[Ca^{2+}]_i$  in  
2 astrocytes [56]; this most likely happens when resting membrane potential roughly equals  
3  $E_{NCX}$ . These conflicting (and yet internally consistent) results emphasize the notion that  
4 NCX in astrocytes at rest fluctuates between forward and reverse mode depending on  
5  $[Ca^{2+}]_i$ ,  $[Na^+]_i$  and  $V_m$ .

6 A correct prediction of NCX transport direction in astrocytes is further  
7 complicated by the fact that the above-mentioned values for  $[Na^+]_i$  represent bulk  
8 measurements from cell bodies. A recent study on HEK cells employing fluorescence  
9 lifetime imaging (FLIM) provided evidence that the  $Na^+$  concentration ( $[Na^+]$ ) of nuclei  
10 is significantly lower than that of the surrounding cytosol [57]. Moreover, a region with  
11 significantly higher  $[Na^+]$  was detected around the nucleus, presumably reflecting higher  
12  $[Na^+]$  in peri-nuclear mitochondria [57]. While such information is not available for  
13 astrocytes yet, a former study is in line with such heterogeneity reporting that  
14 mitochondria in astrocytes have a significantly higher  $[Na^+]$  than the cytosol (19 mM  
15 versus 13 mM) [43].

16 Furthermore, it is also unclear whether somatic  $[Na^+]$  accurately reflects  $[Na^+]$  in  
17 small processes or if perisynaptic processes close to synapses or perivascular endfeet may  
18 exhibit a different (probably higher?)  $[Na^+]$ . Experiments *in silico* indicate that  
19 perisynaptic microdomains represent distinct signalling compartments of astrocytes that  
20 favour  $Ca^{2+}$  influx through reverse NCX [58-61]. Similarly, endfeet were shown to  
21 exhibit slower diffusion dynamics than other astrocyte processes [62] and might maintain  
22 a  $[Na^+]$  different from other parts of the cell.

1           Finally, earlier work also suggested the presence of a local ionic signalling  
2 compartment between the plasma membrane and the ER of astrocytes [34-36]. These  
3 findings imply that different sub-cellular astrocyte compartments may differ in their  
4  $[\text{Na}^+]$ . This is even more so because  $\text{Na}^+$  flux across the plasma membrane may  
5 predominately occur in nanodomains close to the plasma membrane [34] and thus escape  
6 conventional approaches for  $\text{Na}^+$  detection such as fluorescence imaging with soluble  
7 chemical indicator dyes. As illustrated above, small differences in  $[\text{Na}^+]_i$  in the mM range  
8 will significantly shift  $E_{\text{NCX}}$ , resulting in different modes of NCX operation (Fig. 1C).

9           In conclusion these considerations show that the transport direction of plasma  
10 membrane NCX in astrocytes at rest has a dynamic behaviour. This is largely due to the  
11 fact that it strongly depends on the  $[\text{Na}^+]_i$ , and switches between forward and reverse  
12 mode within a narrow range of  $[\text{Na}^+]_i$  (12-17 mM). It is probably safe to assume that  
13 baseline  $[\text{Na}^+]_i$  of astrocytes, and thus NCX transport direction, may vary in this range  
14 depending on the brain region or among different cells and/or cellular sub-compartments  
15 of one particular region. Of note, similar conclusion was made for cultured neocortical  
16 neurones, in which NCX “might concurrently operate in both the forward and the reverse  
17 direction, perhaps in different subcellular location” [63]. Therefore in astrocytes, NCX  
18 does fluctuate between transport directions driven by small fluctuations in  $[\text{Na}^+]_i$  within  
19 distinct sub-cellular compartments. While at present there are no reports suggesting  
20 “spontaneous”  $[\text{Na}^+]_i$  oscillations in astrocytes, neuronal activity triggers transient  $[\text{Na}^+]_i$   
21 increases thereby affecting NCX reversal as described below.

## 22           **3.2. Activation of NCX in response to ionic signalling**

### 23           **3.2.1 Contribution of forward NCX to recovery of $[\text{Ca}^{2+}]_i$ transients**

24

1 As elaborated above and schematically illustrated in Fig. 1C, the transport direction of  
2 NCX depends on both,  $\text{Na}^+$  and the  $\text{Ca}^{2+}$  transmembrane gradients, as well as on the  
3 membrane potential of astrocytes. Fig. 1C also predicts that activity-related increases in  
4  $[\text{Ca}^{2+}]_i$  without concomitant increases in  $[\text{Na}^+]_i$  result in an increase in the NCX inward  
5 current (and  $\text{Na}^+$  influx) resulting from enhanced forward mode activity. At low  $[\text{Na}^+]_i$ ,  
6 NCX therefore extrudes  $\text{Ca}^{2+}$ , contributing to the  $\text{Ca}^{2+}$  signals' recovery (Fig. 1A, 4A)  
7 [64]. In other words, NCX activity dampens astrocyte  $[\text{Ca}^{2+}]_i$  transients, if these are not  
8 accompanied by substantial rise in  $[\text{Na}^+]_i$ .

9 Forward operation of NCX is indeed required for  $\text{Ca}^{2+}$  homeostasis in  
10 glioblastoma cells, which undergo increased  $\text{Ca}^{2+}$ -mediated cell death when treated with  
11 NCX inhibitors [52]. Such a role is similar to the proposed function of NCX in other  
12 tissues including skeletal muscle and heart as a “low-affinity-high-capacity”  $\text{Ca}^{2+}$   
13 exporter [65].

### 14 **3.2.2 $\text{Ca}^{2+}$ influx by reverse NCX contributes to astrocyte $[\text{Ca}^{2+}]_i$ signalling**

15 There is a wealth of experimental evidence obtained from astrocytes of different brain  
16 regions, both in culture and in acutely isolated tissue slices, demonstrating that neuronal  
17 activity, application of exogenous glutamate, agonists of ionotropic glutamate receptors  
18 or glutamate transporters result in a transient rise of astrocytic  $[\text{Na}^+]_i$  [1, 66] (Fig. 2A).  
19 Moreover, it is established that increases in astrocyte  $[\text{Na}^+]_i$  (with or without concomitant  
20  $[\text{Ca}^{2+}]_i$  signalling) switch NCX into the reverse mode, generating  $\text{Ca}^{2+}$  influx.

21  $\text{Na}^+$ -driven reversal of NCX occurs in response to  $\text{Na}^+$  influx through various  
22 plasmalemmal ion channels. Reverse NCX for example contributed to astrocytic  $\text{Ca}^{2+}$   
23

1 elevations following mechanical stimulation [46, 67, 68] and augmented  $\text{Ca}^{2+}$  signals  
2 induced by application of low doses of glutamate and ATP [69].  $\text{Ca}^{2+}$  influx through  
3 reverse NCX triggered glutamate release in cultured cortical astrocytes and was  
4 potentiated by their depolarization [70]. In Bergmann glial cells [71] and in astrocytes in  
5 culture [72],  $\text{Ca}^{2+}$  influx mediated by reverse NCX was demonstrated following the  
6 opening of AMPA receptor channels. In astrocytes in acute neocortical tissue slices,  $\text{Na}^+$   
7 entry through NMDA-receptors promoted NCX reversal, significantly prolonging  
8 accompanying  $[\text{Ca}^{2+}]_i$  transients [40] (Fig. 2B). Finally,  $[\text{Ca}^{2+}]_i$  elevations induced by the  
9 opening of channelrhodopsin-2 expressed in astrocytes were reported to be mainly due to  
10  $\text{Na}^+$ -dependent reversal of NCX [73].

11 Besides  $\text{Na}^+$  influx through ion channels, significant  $[\text{Na}^+]_i$  increases in astrocytes  
12 are induced by operation of  $\text{Na}^+$ -dependent transporters. Particularly prominent  $\text{Na}^+$   
13 influx is mediated by the high-affinity-glutamate transporters EAAT1/SLC1A6 and  
14 EAAT2/SLC1A2 (known as GLAST and GLT-1 in experiments in rodents), which  
15 import 3  $\text{Na}^+$  together with glutamate [74, 75]. Activation of  $\text{Na}^+$ -dependent glutamate  
16 uptake and accompanying  $[\text{Na}^+]_i$  increases resulted in reversal of NCX and  $\text{Ca}^{2+}$  influx  
17 into astrocytes; the NCX-mediated  $\text{Ca}^{2+}$  influx was further amplified by  $\text{Ca}^{2+}$ -induced  
18  $\text{Ca}^{2+}$  release from the endoplasmatic reticulum [76].  $\text{Ca}^{2+}$  influx through reverse NCX  
19 following a  $[\text{Na}^+]_i$  increase in response to activation of glutamate transporters was  
20 suggested to be a main source of  $[\text{Ca}^{2+}]_i$  transients in fine astrocyte processes (Fig. 2C)  
21 [55, 77]. This NCX-mediated interplay between glutamate-transport-induced  $[\text{Na}^+]_i$   
22 increases and  $[\text{Ca}^{2+}]_i$  signalling was proposed to result in the  $\text{Ca}^{2+}$ -dependent arrest of  
23 mitochondria in perisynaptic astrocyte processes close to active synapses [78, 79]. The

1 latter mechanism couples the synaptic release of glutamate and resulting astroglial  $\text{Na}^+$   
2 signals to the availability of ATP in astrocyte processes, and may, therefore, represent a  
3 key process in the neuro-glial metabolic coupling [80].

4         Similar to glutamate transport, uptake of GABA by astrocytes is coupled to the  
5 influx of  $\text{Na}^+$  [81]. Because GABA is co-transported with only 2  $\text{Na}^+$ , activation of  
6 GABA transport produces smaller elevations in astrocyte  $[\text{Na}^+]_i$  as compared to glutamate  
7 transporters [82, 83]. Nonetheless, experiments on hippocampal tissue slices provide  
8 convincing evidence that a  $[\text{Na}^+]_i$  rise generated by operation of the astrocytic GABA  
9 transporter GAT-3/SLC6A11 reverses NCX, thus producing a  $[\text{Ca}^{2+}]_i$  increase (Fig. 2D).  
10 This  $[\text{Ca}^{2+}]_i$  elevation was claimed to trigger the release of ATP and adenosine from  
11 astrocytes, which activated adenosine receptors on nearby presynaptic terminals, resulting  
12 in a depression of glutamatergic synaptic input [53]. In the olfactory bulb, GABAergic  
13 signalling activates astrocyte GABA uptake and resulting  $[\text{Na}^+]_i$  increases led to a NCX-  
14 mediated  $[\text{Ca}^{2+}]_i$  influx sufficient to trigger  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release [54]. GABA-  
15 related transient  $\text{Na}^+$  elevations are thus directly translated into astrocyte  $\text{Ca}^{2+}$  signals in  
16 these two different systems.

17         Astroglial  $\text{Na}^+$ -bicarbonate co-transporter NBCe1/SLC4A4 is another pathway  
18 generating  $[\text{Na}^+]_i$  elevations [84, 85]. In the brainstem, NBCe1 is activated by  
19 extracellular acidification. In response to the resulting  $\text{Na}^+$  entry,  $[\text{Na}^+]_i$  increases switch  
20 NCX to reverse mode, generating  $\text{Ca}^{2+}$  signalling that facilitates the release of ATP from  
21 astrocytes. This  $\text{Na}^+$ -triggered,  $\text{Ca}^{2+}$ -dependent release of ATP is involved in the adaptive  
22 response of the neuronal network to changes in the  $\text{P}_{\text{CO}_2}$  [86].



1           In addition to the above-mentioned transporters and channels, a multitude of other  
2 pathways mediate  $\text{Na}^+$  influx into astrocytes in response to neuronal activity [1, 87].  $\text{Na}^+$ -  
3 influx through these channels/transporters results in accumulation of  $\text{Na}^+$ , which is  
4 prominent below the membrane and/or in sub-cellular compartments that exhibit a high  
5 surface-to-volume-ratio [11]. Because of the intricate dependence of NCX operation on  
6  $[\text{Na}^+]_i$ , it is safe to assume that these  $\text{Na}^+$  influx pathways will trigger secondary  $\text{Ca}^{2+}$   
7 influx through reverse NCX.

#### 9           **4. Role of NCX under ischemic conditions**

10           The role of NCX under pathological conditions has been addressed in the context of  
11 various neurological diseases including those related to glutamate excitotoxicity and  
12 ischemic stroke [15, 88-90]. The specific involvement of the three isoforms in ischemic  
13 injury was studied using different animal models.

14           Manipulations with expression of NCX1 clearly indicated that it serves a  
15 protective role in brain ischemia [91-93]. The same is true for NCX2, because mice  
16 lacking this isoform exhibit an increased vulnerability to cerebral ischemia [94] (Fig. 3A).  
17 Suppression of the NCX3 gene in mice results in massive neuronal death and aggravates  
18 brain damage after ischemia [93, 95] (Fig. 3B). In cerebellar granule neurones,  $\text{Ca}^{2+}$   
19 overload and excitotoxicity were increased by RNA interference to silence NCX3,  
20 indicating an increased vulnerability in the absence of this transporter related to a  
21 disturbance of intracellular ion homeostasis [96].

22           These and other studies suggest a generally neuroprotective role of NCX. Notably,  
23 however, the neuroprotective effects do not seem to involve counteracting excitotoxic

1 cellular  $\text{Ca}^{2+}$  overloading. To the contrary, there is ample evidence for  $\text{Na}^+$ -driven  
2 reversal of NCX, generating  $[\text{Ca}^{2+}]_i$  elevations under ischemic conditions both *in situ* as  
3 well as *in vivo* [45, 76, 97-101]. Notably, while generating substantial  $\text{Ca}^{2+}$  influx during  
4 metabolic inhibition, reverse NCX also dampened  $\text{Na}^+$  influx into astrocytes in tissue  
5 slices of mouse cortex [101] (Fig. 3C).

6 The reported beneficial effects of NCX in different models for ischemic stroke are  
7 thus likely to be related to its ability to export  $\text{Na}^+$  and to counteract the cellular  $\text{Na}^+$   
8 loading and depolarization accompanying ischemia. Further studies, however, are  
9 required to address the specific role of astrocyte NCX under pathological conditions,  
10 using animal models with an astrocyte-specific deletion of NCX isoforms.

## 11

## 12 **5. Conclusions**

13 There is firm evidence for expression of NCX in astrocytes. Upon generation of astrocyte  
14  $[\text{Ca}^{2+}]_i$  signalling, NCX can serve as a  $\text{Ca}^{2+}$  exporter, but only if baseline  $[\text{Na}^+]_i$  is low  
15 and in the absence of additional cellular  $\text{Na}^+$  elevations (Fig. 4A). Because of its  
16 stoichiometry of 3  $\text{Na}^+$  to 1  $\text{Ca}^{2+}$ , NCX reversal potential is rather close to the membrane  
17 potential and its transport direction largely governed by subtle changes in  $[\text{Na}^+]_i$  and  $V_m$ .  
18 Indeed, small fluctuations (several mM) in  $[\text{Na}^+]_i$  rapidly switch NCX between forward  
19 and reverse mode (or silence transport altogether when  $E_{\text{NCX}}$  equals  $V_m$ ). Neuronal  
20 activity is accompanied by transient increases in astrocyte  $[\text{Na}^+]_i$  resulting from activation  
21 of  $\text{Na}^+$ -dependent transporters and/or channel-mediated  $\text{Na}^+$  influx. There is  
22 overwhelming evidence that these  $[\text{Na}^+]_i$  transients switch NCX into reverse mode,  
23 generating thus  $\text{Ca}^{2+}$  influx (Fig. 4B). In the light of this direct functional coupling

1 between the two ions, it seems appropriate to conclude that  $[\text{Na}^+]_i$  transients in astrocytes,  
2 directly translated by reverse NCX into  $[\text{Ca}^{2+}]_i$  elevations, represent a new form of  
3 cellular signalling, influencing astrocyte properties and playing a role in neuron-glia  
4 interaction.

5

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5

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7       The authors declare no conflict of interest and no competing financial interest.

8

9

10       **Author contributions**

11       All authors have contributed to the first version of the manuscript and approved the final  
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13

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10

## 1 **Figure Legends**

2

3 **Figure 1. A:** Schematic representation of an astrocyte expressing sodium/calcium-  
4 exchanger (NCX) predominantly on distal processes and endfeet. Two transport  
5 directions are highlighted: green represents the *forward* ( $\text{Ca}^{2+}$  export/ $\text{Na}^+$  import), red the  
6 *reverse* mode ( $\text{Ca}^{2+}$  import/ $\text{Na}^+$  export). The mitochondrial sodium/calcium/lithium-  
7 exchanger (NLCX) is highlighted in purple. **B:** Top: NCX1 positive astrocytes in  
8 subcortical layers (scale bar, 30  $\mu\text{m}$ ). Bottom left: NCX3 labelling of two astrocyte  
9 processes (asp; scale bar, 0.2  $\mu\text{m}$ ). Bottom right: NCX3 labelling in astrocytes of the CA1  
10 region of the hippocampus at perivascular astrocyte processes (asp) opposed to a blood  
11 vessel (bv) (scale bar, 0.5  $\mu\text{m}$ ). **C:** Dependence of NCX current density on  $[\text{Na}^+]_i$  and  
12  $[\text{Ca}^{2+}]_i$  at a resting membrane potential of -85 mV (left) and at -75 mV (right). White line  
13 indicates the reversal potential of NCX, defining the border between the *reverse* and  
14 *forward mode* of the exchanger. Green dots represent  $\text{Na}^+$  concentration of 12 mM and  
15  $\text{Ca}^{2+}$  concentration of 80 nM, red dots mark a sodium concentration of 17 mM. The  
16 colour scheme encodes the current amplitude per  $\mu\text{m}^2$ . Taken from (with permission): B:  
17 [21] and C: modified from [40].

18

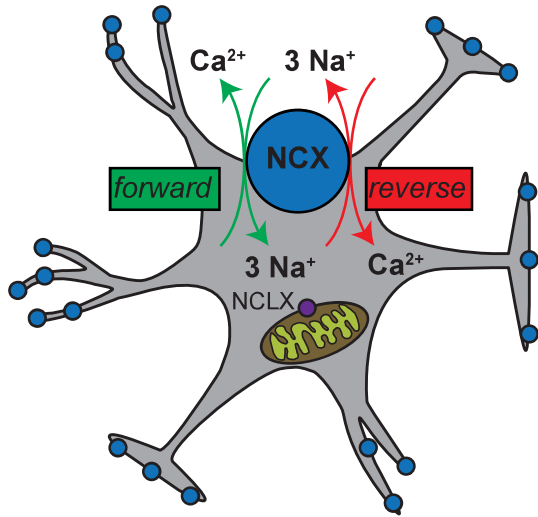
19 **Figure 2: A:** Cytosolic  $\text{Na}^+$  signals induced by glutamatergic stimulation in acute cortical  
20 brain slices. Left: image of SR101 (top) and SBFI fluorescence (bottom) taken from layer  
21 2/3 (L 2/3). Right: merge of SR101 and SBFI. Scale bars: 25  $\mu\text{m}$ . Bottom: somatic  $[\text{Na}^+]_i$   
22 transients induced by synaptic stimulation (10 pulses/50 Hz), by focal pressure  
23 application of glutamate (1 mM/100 ms), and by NMDA-iontophoresis (50 mM/50 ms).

1 **B:** Top:  $[Ca^{2+}]_i$  transients induced by NMDA-iontophoresis (50 mM/50 ms) in astrocyte  
 2 processes. Bottom: Means  $\pm$  S.E.M. of mono-exponential decay time constants ( $\tau$ ) of  
 3  $[Ca^{2+}]_i$  changes in response to NMDA under control conditions, with KB-R7943 (KBR;  
 4 left) or with SEA0400 (SEA; right) and after wash-out of the drugs. \*\*:  $0.001 \leq p < 0.01$ ;  
 5 \*:  $0.01 \leq p < 0.05$ . **C:**  $Ca^{2+}$  signalling in astrocyte processes as detected with a  
 6 genetically-expressed calcium sensor. Effects of the glutamate transport blocker TFB-  
 7 TBOA on  $Ca^{2+}$  signalling are shown in the top trace; the bottom trace illustrates the effect  
 8 of YM-244769, a blocker of reverse mode NCX. GluT: glutamate transport. **D:** Top:  
 9 Individual astrocytes loaded with Fluo-2. Centre:  $[Ca^{2+}]_i$  transients in astrocytic processes  
 10 induced by application of GABA. Bottom: in the presence of the NCX blocker KB-  
 11 R7943, GABA-induced  $[Ca^{2+}]_i$  transients are suppressed. Taken from (with permission):  
 12 A, B: modified from [40]; C: modified from [55]; D: modified from [53].

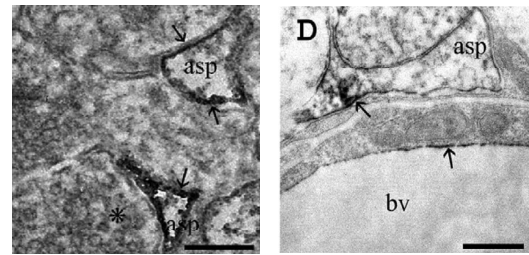
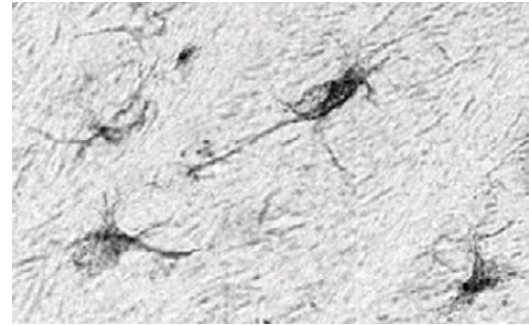
13  
 14 **Figure 3:** Protective role of reverse mode NCX in ischemic conditions. **A:** Role of NCX  
 15 knock-out. Top and left: Brains of NCX2<sup>-/-</sup> (A) and wild-type mice (B), subjected to a  
 16 middle cerebral artery occlusion. Right (C): Quantification of infarction volume. **B:** Brain  
 17 sections of wild-type and congenic NCX3<sup>+/+</sup>, NCX3<sup>+/-</sup> and NCX3<sup>-/-</sup>, subjected to a middle  
 18 cerebral artery occlusion. The histogram shows the quantification of the infarct volume as  
 19 compared with the ipsilateral hemisphere. **C:** Changes in  $[Na^+]_i$  (**left**) and  $[Ca^{2+}]_i$  (**right**)  
 20 evoked by a 2-min period of chemical ischemia in control (black trace) and in the  
 21 presence of KB-R7943 (red traces). The dotted blue lines show estimated  $Na^+$  export  
 22 (left) and  $Ca^{2+}$  import (right) through NCX. Taken from (with permission): A: [94]; B:  
 23 [102], Copyright [2008] Society for Neuroscience; C: modified from [101].

1  
2 **Figure 4:** Schematic illustration of NCX operating in *forward* or *reverse mode*. **A:** At  
3 low intracellular  $\text{Na}^+$  concentrations ( $\sim 12$  mM) NCX operates in *forward mode* (indicated  
4 by green arrow/arrowheads). When  $\text{Ca}^{2+}$  rises in the cytosol, NCX expels  $\text{Ca}^{2+}$ ,  
5 contributing to the recovery of  $\text{Ca}^{2+}$  signals. **B:** At higher  $[\text{Na}^+]_i$  ( $\sim 17$  mM), induced by  
6 e.g.  $\text{Na}^+$ -driven uptake of neurotransmitters (GluT or GAT), by  $\text{Na}^+$ - $\text{HCO}_3^-$ -co-transport  
7 (NBC), or by channel mediated  $\text{Na}^+$  influx through ionotropic receptors (iGluR), acid-  
8 sensing ion channels (ASICs), purinoceptors (P2X) or transient receptor potential  
9 channels (TRP), NCX will operate in *reverse mode* (indicated by red arrow/arrowheads).  
10 This results in export of  $\text{Na}^+$ , and import of  $\text{Ca}^{2+}$ . Reverse NCX thereby contributes to  
11 local  $\text{Ca}^{2+}$  signalling in astrocytes.

Fig.1



B



C

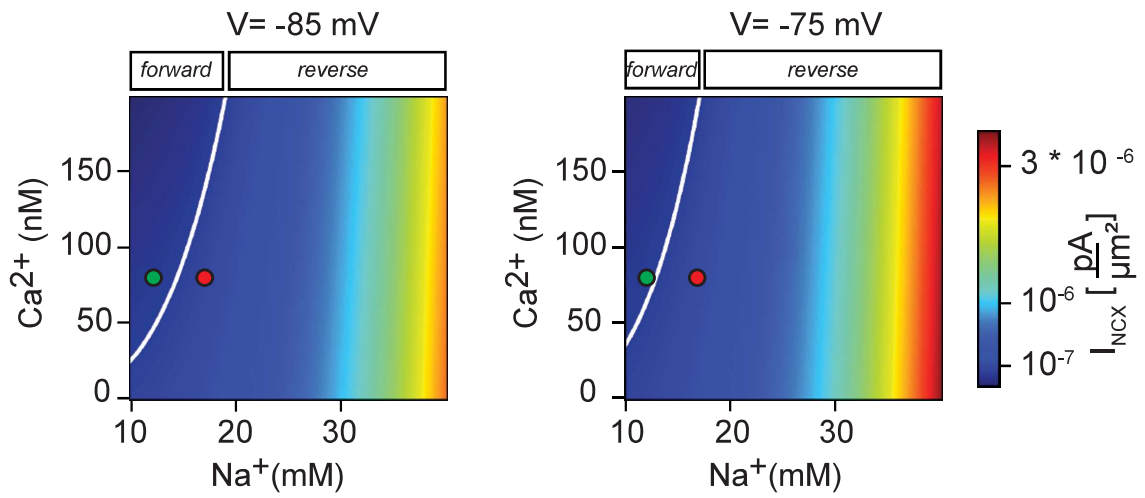
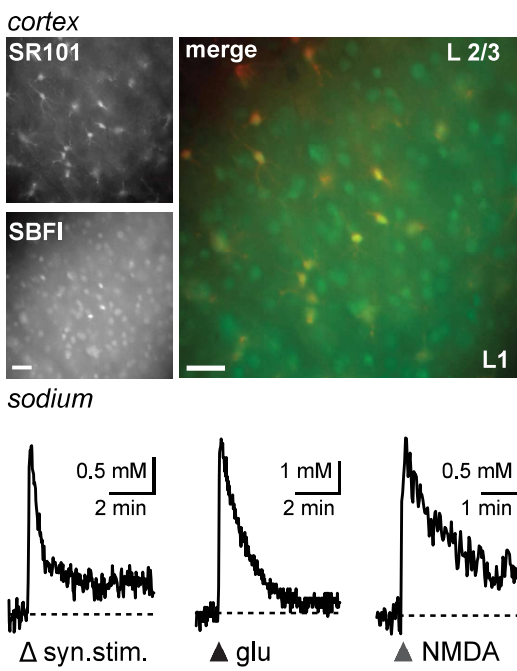


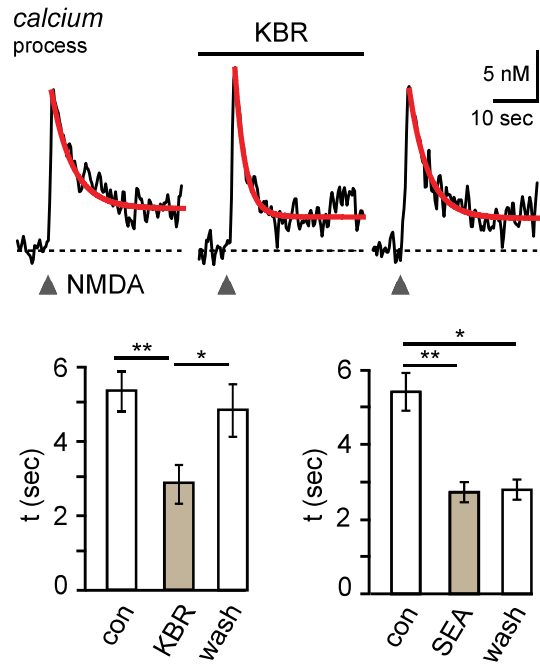
Fig.1

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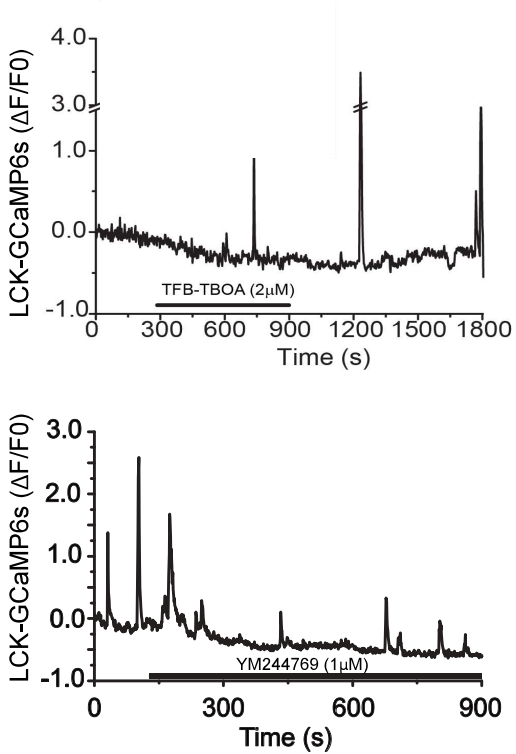
**A** Glutamate-related sodium transients



**B** NCX reversal driven by NMDAR



**C** NCX reversal driven by GluT



**D** NCX reversal driven by GAT

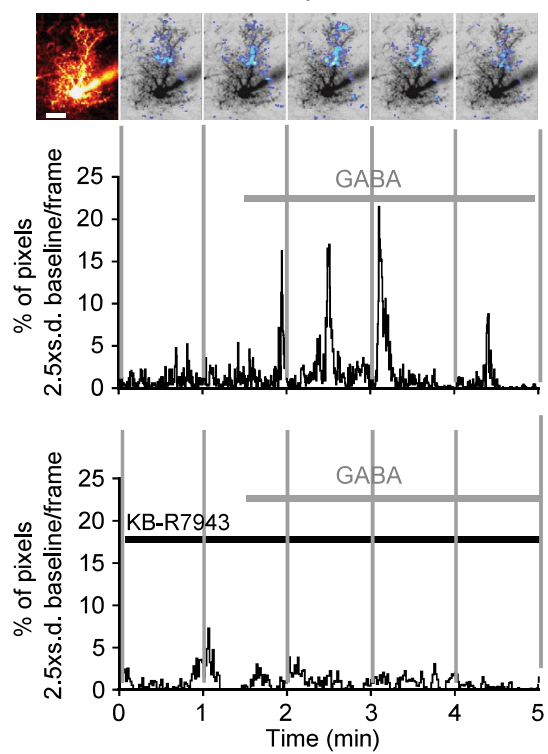
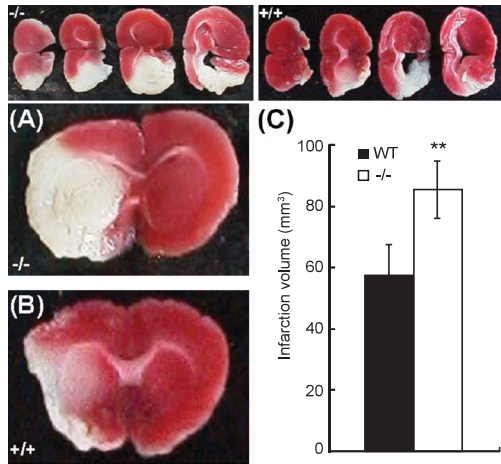


Fig.2

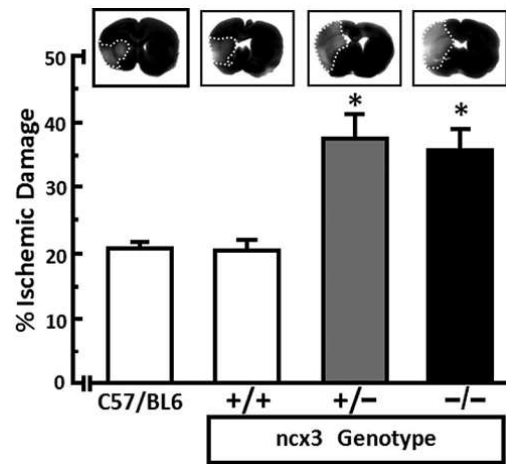
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Fig.3

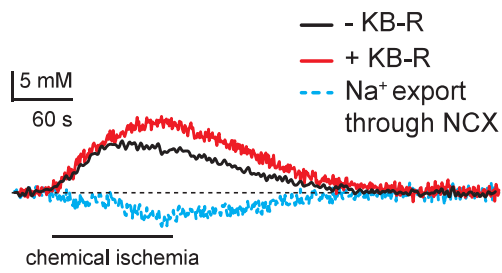
**A NCX2 is neuroprotective**



**B NCX3 is neuroprotective**



**C NCX reversal during chemical ischemia sodium**



**calcium**

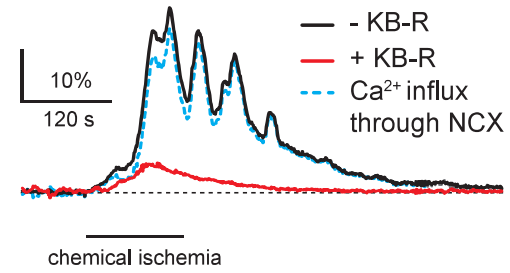


Fig.3

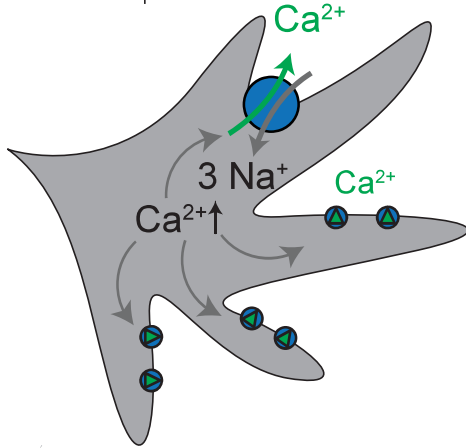
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Fig.4

**forward mode**

Low  $[Na^+]_i$



B

**reverse mode**

increased  $[Na^+]_i$

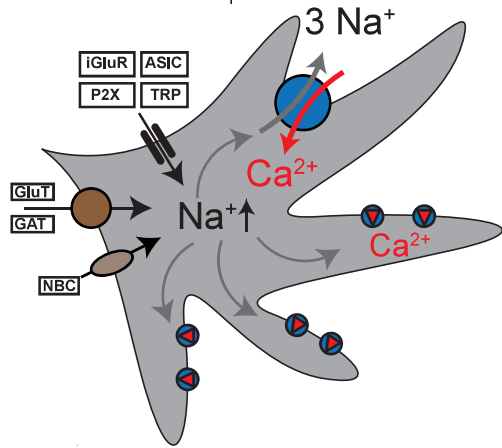


Fig.4

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Authors contributed equally to the conceptualisation, writing and editing of this paper