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Decreased Pain and Improved Dynamic Knee Instability Mediate the Beneficial Effect of Wearing a Soft Knee Brace on Activity Limitations in Patients With Knee Osteoarthritis

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INTRODUCTION

Soft knee braces, which are elastic, nonadhesive orthoses, are often used to reduce activity limitations in patients with knee osteoarthritis (OA) (1). We recently confirmed in a laboratory-based setting that wearing a soft knee brace indeed reduced activity limitations in patients with knee OA (2). Potential underlying mechanisms by which a soft knee brace could act on activity limitations in patients with knee OA were recently described (3). However, to our knowledge, no study has identified mechanisms that might underlie the effects of soft braces on activity limitations in patients with knee OA.

Potential underlying mechanisms of the effect of a soft knee brace on activity limitations are the improvement of knee joint proprioception or a reduction in knee pain or knee joint instability (3). Soft braces are supposed to act on cutaneous mechanoreceptors that may contribute to improvements in proprioception (4). It has been reported that proprioception is either directly related to activity limitations in patients with knee OA or acts on activity limitations via improvement of muscle strength (5). A decrease in pain has also been suggested to underlie the effect of soft knee braces on activity limitations (6). Pain is strongly associated with activity limitations in patients with knee OA (7). Tactile stimulation provided by a soft brace can cause neural inhibition leading to the reduction of

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SIGNIFICANCE & INNOVATIONS

- This is the first study that identified mechanisms underlying the beneficial effect of wearing a soft knee brace in patients with knee osteoarthritis (OA).
- Decreased pain and reduced dynamic knee instability might be pathways by which wearing a soft knee brace decreases activity limitations in patients with knee OA.
- This knowledge can be used to refine designs of soft knee braces intended for use in patients with knee OA.

pain signals (4) and thereby to the reduction of activity limitations. Finally, it has been suggested that wearing a soft knee brace can reduce activity limitations via improvement in knee joint stability (3). Knee joint instability has been associated with activity limitations in patients with knee OA (8,9). Although a mechanical effect is not to be expected from a soft knee brace, it has been suggested that a reduction in knee joint instability could be the result of additional sensory input from a soft knee brace, leading to improvements in proprioception (4).

Thus, we hypothesized that improvements in proprioception, pain, or dynamic knee instability mediate the effect of wearing a soft knee brace on activity limitations in patients with knee OA. The aim of the current study was to test these hypotheses.

PATIENTS AND METHODS

Trial design. This was a secondary analysis of data for 44 patients with knee OA who were enrolled in a laboratory-based experimental study. A within-subject design was used, comparing a soft brace with no soft brace, and comparing a non-tight brace with a tight soft brace (2). Mediation assumes that a precursor variable (i.e., soft knee brace) has an effect on a mediating variable (proprioception, pain, and joint instability), which in turn affects the outcome variable (10). To be considered a mediator, a variable needs to change because of an intervention and therefore must be measured before and after an intervention is administered (10).

Patients. Patients were recruited through telephone-based screening between August 2015 and April 2016. Inclusion criteria for the current study were a diagnosis of knee OA according to the American College of Rheumatology (ACR) clinical criteria (11), ages 50–80 years old, and presence of self-reported knee instability in the past 3 months. Self-reported knee instability was defined as at least 1 episode of buckling, shifting, or giving way of the knee (9). Exclusion criteria for the current study were total knee replacement and/or inflammatory arthritis (including rheumatoid arthritis, crystal arthropathy, septic arthritis, and spondylarthropathy); radiographic patellofemoral joint OA (2); and presence of

comorbidity resulting in severe activity limitations (e.g., a neurologic condition resulting in difficulty walking).

All patients provided written informed consent according to the Declaration of Helsinki. Ethics approval was obtained from the Medical Research Ethics Committee of the VU University Medical Center Amsterdam. Data extraction from the Amsterdam-OA cohort was approved by the Slotervaart Hospital/Reade Institutional Review Board.

Intervention. A commercially available soft knee brace (GenuTex A2, Human I; Centrum Orthopedie) was used. A tight brace was defined as one that was fitted based on shank and thigh circumferences measured according to instructions provided by the distributor (standard fit). A non-tight brace was defined as being 1 size larger than a tight brace. A full description of the fitting and positioning of the brace was provided in a previous study by our group (2).

Outcome measures. Activity limitations were assessed with 2 standardized physical performance tests: the 10-meter walk test (12) and the Get Up and Go (GUG) test (13). The 10-meter walk test assesses the time required to walk a distance of 10 meters along a level and unobstructed corridor (12). Patients were instructed to walk as fast as possible and were timed with a stopwatch. The GUG test measures the time it takes for an individual to get up from a chair and walk 15 meters as fast as possible along a level and unobstructed corridor (13). The intraclass correlation coefficient (ICC) of the GUG test is 0.98 for intratester reliability and 0.98 for intertester reliability (13). The 10-meter walk test has shown excellent interrater reliability (ICC 0.980) in healthy older adults (14).

Potential mediators. *Proprioception.* Knee joint proprioception was assessed by the Active Movement Extent Discrimination Apparatus, which constitutes a test of an active joint position sense (15). Each patient had a familiarization session for both knees before data collection. During the familiarization session, the patients were informed that they were going to experience 5 knee movement displacement distances in order, from the smallest knee flexion (moving to position 1) to the largest knee flexion (moving to position 5), for 15 movements in total (3 times for each position). Patients thereafter undertook 30 trials, without feedback, at each site. The order of testing at the 2 sites, right knee and left knee, was randomized with computer-generated random sequences. During each test set, trials were presented in a random sequence, 6 at each of the 5 different knee movement displacements. Specifically, while standing upright, with eyes looking forward at a point on the opposite wall, patients made an active knee flexion movement at a steady pace from a neutral standing position (full knee extension) until their patella touched the stepper motor plate. After returning to the upright position at the same pace, patients gave a verbal judgment regarding the

position of the knee being tested (position 1, 2, 3, 4, or 5), and the number of correctly identified positions of the affected knee was recorded and used in the analysis. The affected knee was the one indicated as being most painful by the patient or the knee of the dominant leg in the case of similar symptoms in both knees.

Pain. Knee pain during walking on a treadmill was assessed using an 11-point numerical rating scale (scored on an NRS), with higher scores representing more pain (16). The following question was asked during both level and perturbed walking: "On a scale from 0 to 10, how would you score the level of your left/right knee pain while walking on the treadmill?" Patients walked on the treadmill for ~2 minutes under both conditions. Data for the affected knee during level walking were used in the analysis. High test–retest reliability has been observed in patients with rheumatoid arthritis ($r = 0.96$) (17).

Pressure pain threshold. The pressure pain threshold (PPT) was assessed with a hand-held pressure algometer (Wagner Instruments FDx) (18) over 3 knee test sites: 3 cm lateral to the midpoint on the lateral edge of the patella, 3 cm medial to the midpoint on the medial edge of the patella, at the center of the patella, and on 1 control site (on the tibialis anterior 5 cm distal to the tibial tuberosity). A hard rubber probe (1 cm²) was placed perpendicular to the skin, and pressure was applied at a steady pace until the patient defined the pressure as pain. The PPT was measured twice at each site, and the mean of the pressure in Newtons (N) of the 2 measurements for the affected knee was used in the analysis (19). Excellent interrater reliability of algometry (ICC 0.910) in measuring PPTs has been shown in healthy humans (20).

Dynamic knee instability. Dynamic knee instability was expressed by the perturbation response (PR) (21), a measure derived from the gait sensitivity norm (22). PR reflected deviation in the mean knee varus–valgus angle during level walking after a controlled mechanical perturbation, standardized to the mean \pm SD varus–valgus angle. Mechanical perturbations on the treadmill comprised 5 lateral and 5 medial translations (2-cm displacements) of the treadmill belts occurring during 20–50% of the gait cycle (23). PR values are positive values and reflect absolute changes. Lower PR values indicated less deviation in the mean varus–valgus angle during the stance phase of the affected (perturbed) knee. The stance phase was defined as the phase of the gait cycle from initial contact to toe-off. PR was calculated with the equation $g_i(k) - g_i^*$ divided by σg_i^* to normalize for physiologic variability during unperturbed walking in humans (22).

Equation 1: $g_i(k)$ - the mean varus/valgus angle of a perturbed gait cycle; g_i^* - the mean varus/valgus angle of all unperturbed gait cycles from a baseline, level walking trial; σg_i^* - SD of the g_i^*

$$PR = \text{abs}\left(\frac{g_i(k) - g_i^*}{\sigma g_i^*}\right)$$

To obtain the PR, the varus–valgus angles of the affected knee (perturbed leg) were calculated from marker data using

custom-made MatLab-based software (BodyMech; www.body-mech.nl), with anatomic coordinate systems defined according to those described by Cappozzo et al (24). Force plate data were used to determine the stance phase of the gait cycle and the timing of perturbations. Marker position data were filtered at 6 Hz to remove high-frequency artefacts. Force data were filtered at 10 Hz with a second order bi-directional filter. A force threshold of 25N was used to establish gait events. All data were time-normalized to 100% of the gait cycle (from initial contact to initial contact). Given the natural variation in gait cycle duration within (and between) patients, it was necessary to use time normalization so that a point-by-point comparison of information between the cycles was possible.

Other measures. The demographic and clinical characteristics of the patients were recorded prior to testing and included age, sex, body mass index (BMI), duration of symptoms, average pain last week (16), muscle strength assessed isokinetically (Nm/kg), knee OA radiographic severity (Kellgren/Lawrence grade) (25), and Western Ontario and McMaster Universities Osteoarthritis Index (26,27). A full description of these measures was provided in a previous study by our group (2).

Procedure. Patients were subjected to 4 blocks of assessments. In the first block, the outcome measure and studied mediators were assessed while the patient was not wearing a brace. Proprioception and PPT were assessed in an examination room. Following the assessment of proprioception and PPT, the 10-meter walk test and the GUG test were assessed in an unobstructed corridor. Subsequently, dynamic knee instability and pain (NRS) were assessed on a treadmill, which is integrated in the GRAIL system (MotekForce). The GRAIL system is made up of the treadmill with a dual belt, placed in a virtual reality environment (GRAIL system). Patients had a familiarization session on the treadmill that lasted at least 1 minute. Comfortable walking speed was determined during the familiarization session by incrementing the speed slowly until the speed was agreed upon by the patient. Following the familiarization session, patients were subjected to 2 tasks: 1) level walking for 2 minutes and 2) walking with mechanical perturbations on the treadmill. Patients were verbally informed about the mechanical perturbations prior to the task. During the walking trials, 3-dimensional movements of the lower legs, pelvis, and trunk were captured via markers on anatomic landmarks (24) at 100 Hz using a motion-capture system (Vicon).

After randomization to receive either a non-tight or a tight brace, patients entered the second assessment block. Outcome measures and potential mediators were assessed while the patient was wearing a brace, while proprioception and PPT were assessed following exposure to the soft brace. The braces were worn for ~10 minutes in each of the intervention blocks. After a 30-minute rest period, the procedure crossed over to the third and fourth blocks of the assessments comprising a second

Table 1. Study procedure*

Baseline assessment†	Intervention assessment‡	Rest, minutes	Baseline assessment	Intervention assessment
Outside the treadmill:	On the treadmill:		Outside the treadmill:	On the treadmill:
Proprioception	Dynamic knee instabil	30	Proprioception	Dynamic knee instabil
PPT	Pain (NRS)	30	PPT	Pain (NRS)
10-minute walk test	Outside the treadmill:		10-minute walk test	Outside the treadmill:
GUG test	10-minute walk test	30	GUG test	10-minute walk test
On the treadmill:	GUG test	30	On the treadmill:	GUG test
Pain (NRS)	Proprioception§	30	Pain (NRS)	Proprioception§
Dynamic knee instabil	PPT§	30	Dynamic knee instabil	PPT§

* Instabil = instability; PPT = pressure pain threshold; NRS = numerical rating scale; GUG = Get Up and Go test.

† Without a tight or a non-tight brace.

‡ With a tight or a non-tight brace.

§ Assessed without a soft brace applied.

baseline trial with no brace and an intervention trial with the other type of soft brace (tight or non-tight). The study procedure is shown in Table 1.

Statistical analysis. Descriptive statistics were used to characterize the study population. Numbers and percents were used for categorical variables and means \pm SDs were used for continuous variables. Prior to the statistical analysis, outcome measures were checked for normality with Shapiro-Wilk and Kolmogorov-Smirnov tests. Data on activity limitations were analyzed as person-level variables. Data on proprioception, pain (NRS), PPT, and dynamic knee instability were analyzed as knee-level variables, and data for the affected knee were used in the analysis. Linear mixed-effects model analysis was used to calculate the main effect of wearing a soft knee brace on activity limitations reported in our previous study (2).

The mediation effect was analyzed under 3 conditions: 1) brace versus no brace, 2) tight brace versus no brace (i.e., baseline before tight), and 3) non-tight brace versus no brace (i.e., baseline before non-tight). The mediation model is shown in Figure 1. Path A represents the effect of wearing the brace on change in a media-

tor variable. Path B represents the association between change in the mediator variable and change in the dependent variable. Path C represents the direct effect of wearing the brace on change in the dependent variable, adjusted for the mediator variable. The mediation effect (indirect effect) was calculated based on the product of coefficient approach (28) and on single mediator models. For instance, improvement of proprioception is shown to be a mediator of the effect of wearing the brace on reduction in activity limitations if the indirect effect of wearing the brace on change in activity limitations (path A \times path B) differs significantly from zero (28) (Figure 1). To determine the Monte Carlo 95% confidence intervals (95% CIs) and significance of the mediation, a bootstrap procedure (data re-sampling) with 5,000 re-samples was used (29). All analyses were performed using SPSS software, version 22.0.

RESULTS

The mean \pm SD age of the patients was 65.7 \pm 9.3 years, the mean \pm SD BMI was 29.8 \pm 5.5 kg/m², and 29 (65.9%) of the patients were women. Full demographic and clinical characteristics were described in our previous study (2). Table 2 shows

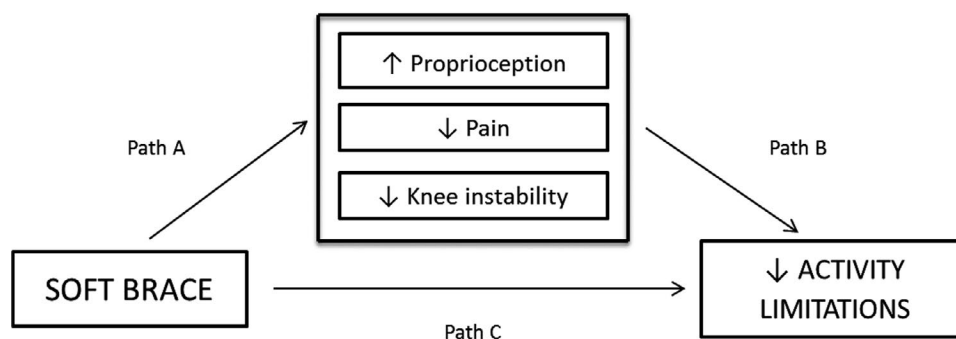


Figure 1. Mediation model. Path A represents the effect of wearing the brace on change in a mediator variable. Path B represents the association between change in the mediator variable and change in the dependent variable. Path C represents the direct effect of wearing the brace on change in the dependent variable, adjusted for the mediator variable.

the results per outcome measure and per studied mediator in all 3 conditions. Full results from the analysis are presented in Supplementary Material 1 (available on the *Arthritis Care & Research* web site at <http://onlinelibrary.wiley.com/doi/10.1002/acr.23722/abstract>). The change scores for the 10-meter walk test and the GUG test were previously published (2) and, in the current article, are shown in both Table 2 and Supplementary Material 1 (available on the *Arthritis Care & Research* web site at <http://onlinelibrary.wiley.com/doi/10.1002/acr.23722/abstract>) as “Total effect.” The change scores for all mediators are provided in Supplementary Material 1, under the heading “path A” (available on the *Arthritis Care & Research* web site at <http://onlinelibrary.wiley.com/doi/10.1002/acr.23722/abstract>).

Ten-meter walk test. For the comparison between wearing the brace and not wearing the brace, both decrease in pain (NRS) and reduction of dynamic knee instability mediated the effect of wearing a soft knee brace on decrease in time to complete the 10-meter walk test (mediated effects: $B = -0.10$ [$P < 0.05$] and $B = -0.03$ [$P < 0.05$], respectively). These values correspond to a proportion mediated of 43% and 13% of the total effect of wearing a brace on reduction of time to complete the 10-meter walk test, respectively. Change of proprioception or PPT did not mediate this effect.

For the comparison between wearing a non-tight or a tight brace and not wearing the brace, decrease in pain (NRS) mediated the effect of wearing a tight brace (mediation effect $B = -0.13$ [$P < 0.05$]) and the effect of wearing a non-tight brace

(mediation effect: $B = -0.12$ [$P < 0.05$]) on reduction of time to complete the 10-meter walk test. These values correspond to a proportion mediated of 59% and 52% of the total effects on reduction of time to complete the 10-meter walk test, respectively. Changes in proprioception, PPT, or dynamic knee instability did not mediate these effects.

GUG test. For the comparison between wearing the brace and not wearing the brace, both decrease in pain (NRS) and decrease in dynamic knee instability mediated the effect of wearing a soft knee brace on reduction of time to complete the GUG test (mediation effects: $B = -0.10$ [$P < 0.05$] and $B = -0.06$ [$P < 0.05$], respectively). These correspond to a proportion mediated of 44% and 26% of the total effect of wearing a brace on reduction of time to complete the GUG test, respectively. Change in proprioception and PPT did not mediate this effect.

For the comparison between wearing a non-tight or a tight brace and not wearing the brace, changes in proprioception, pain (NRS), PPT, or dynamic knee instability did not mediate the effect of wearing a tight brace on reduction of time to complete the GUG test. However, both decrease in pain (NRS) and decrease in dynamic knee instability mediated the effect of wearing a non-tight brace on reduction of time to complete the GUG test (mediation effects: $B = -0.16$ [$P < 0.05$] and $B = -0.18$ [$P < 0.05$], respectively). These correspond to a proportion mediated of 42% and 47% of the total effect of wearing a non-tight brace on reduction in time to complete the GUG test, respectively. Change of proprioception or PPT did not mediate this effect.

Table 2. Mediation effects, per condition, on the change in time (seconds) to complete the 10-meter walk test and the GUG test*

Conditions	Total effect, B (95% CI)	Mediated effect, B (95% CI)			
		Proprioception	Pain (NRS)	PPT	PR
10-minute walk test					
SB vs. no SB	-0.23 (-0.31, -0.13)†	-0.02 (-0.06, 0.01)	-0.10 (-0.1, -0.04)†	-0.004 (-0.03, 0.01)	-0.03 (-0.1, -0.01)†
TB vs. no TB	-0.22 (-0.33, -0.10)†	-0.0001 (-0.03, 0.03)	-0.13 (-0.3, -0.03)†	-0.003 (-0.05, 0.05)	-0.01 (-0.1, -0.02)
NTB vs. no NTB	-0.23 (-0.31, -0.14)†	-0.02 (-0.08, 0.02)	-0.12 (-0.23, -0.03)†	-0.01 (-0.08, 0.04)	-0.008 (-0.23, 0.03)
GUG test					
SB versus no SB	-0.23 (-0.38, -0.07)†	-0.01 (-0.07, 0.01)	-0.10 (-0.2, -0.02)†	-0.003 (-0.03, 0.02)	-0.06 (-0.2, -0.01)†
TB vs. no TB	-0.08 (-0.25, 0.08)	-0.0004 (-0.04, 0.1)	-0.008 (-0.0, 2.01)	-0.005 (-0.03, 0.01)	-0.005 (-0.03, 0.01)
NTB vs. no NTB	-0.38 (-0.57, -0.17)†	-0.001 (-0.07, 0.01)	-0.16 (-0.4, -0.03)†	-0.03 (-0.17, 0.08)	-0.18 (-0.4, -0.03)†

* Mediated effect is the association between wearing a brace and a change in an outcome measure via change in a mediator (path A × path B). Total effect is the association between wearing a brace and change in an outcome measure, accounting for all mediated effects. GUG = Get Up and Go; 95% CI = 95% confidence interval; NRS = numerical rating scale; PPT = pressure pain threshold; PR = perturbation response; SB = soft brace; TB = tight brace; NTB = non-tight brace.

† Significant at $P < 0.05$.

DISCUSSION

This is the first study showing that the beneficial effect of wearing a soft knee brace on activity limitations in patients with knee OA is mediated by decreased pain and reduced dynamic knee instability. Reduction of pain accounted for 43% and 44% of the decrease in time to complete the 10-meter walk test and the GUG test, respectively. This effect can be explained by the tactile stimulation of the knee skin provided by a soft brace. Such stimulation may cause neural inhibition, facilitating the entry of impulses through the large afferent nerve fibers (4). Consequently, such stimulation may lead to a reduction in transmission of pain signals (4). Self-reported limitations in activities in patients with knee OA are largely dependent on pain (7); therefore, it seems that reduced pain, by means of a soft knee brace, leads to walking at a higher speed. We did not find evidence that pain, as assessed by the PPT test, mediated the effect of wearing a brace on activity limitations. A likely explanation could be that for practical reasons PPT was not measured while the patient was wearing a brace but instead after wearing a brace.

A reduction in dynamic knee instability accounted for 13% and 26% decreases in time to complete the 10-meter walk test and the GUG test, respectively, while wearing a brace. It has been suggested that the tactile stimuli of the skin mechanoreceptors provided by a soft brace contribute to the signaling of limb movements to the brain, which processes these sensory inputs to create perceptual representations of limb movements (30). Primary sensorimotor cortex activity has been shown to be influenced by peripheral sensory input to the knee joint by means of a soft brace (31). It is therefore plausible that the central nervous system uses this additional sensory information to elaborate on descending motor strategies (i.e., improved muscle activity), resulting in enhanced knee joint stability. It is plausible that improved instability, i.e., limiting excessive joint movement, translates into an ability to walk faster.

Generalizability of the results to other braces will be dependent on the type of knee brace. The results might be generalizable to other types of soft braces, because soft braces are thought to elicit their effects via skin stimulation and subsequent activation of cutaneous mechanoreceptors. The results are not generalizable to unloading braces, which are thought to elicit their effects via unloading the knee joint by mechanical realignment.

Proprioception was not shown to mediate the effects of wearing the soft brace on activity limitations. A possible explanation is that proprioception was not measured while the patient was wearing the brace but instead following exposure to wearing a brace. It has been shown that mechanical stimulation of the cutaneous mechanoreceptors has lasting effects, through a phenomenon called "after-discharge" (32,33). The cutaneous low-threshold mechanoreceptors after-discharge is said to reflect an inverse stimulation, in which it is the indented and/or stretched skin returning to its original position (in this case, via removal of the

brace) that activates cutaneous low-threshold mechanoreceptor terminals, thus producing after-discharge (34). Future studies assessing proprioception while the patient is wearing a soft knee brace are required to determine whether proprioception plays a role in soft brace-induced effects in patients with knee OA.

Our previous study did not support the hypothesis that wearing a non-tight brace will have stronger effects on activity limitations compared with wearing a tight brace (2). This study showed similar mediation effects when the patient was wearing a tight or non-tight brace during the 10-meter walk test. However, improvements in pain (rated on an NRS) and dynamic knee instability were mediators when the patient was wearing a non-tight brace during the GUG test but not when wearing a tight brace. It is plausible that a non-tight brace elicited a continuous response from cutaneous receptors and provided more recurrent sensory stimuli from the skin to the brain (4). The GUG test is a more demanding task than the 10-meter walk test and likely requires greater input from the sensorimotor system.

This study might have important implications for the design and manufacturing of soft knee braces. Refinements to the design of soft knee braces may be required in order to maximize effects on knee pain and knee instability. To complement the sensorimotor system in providing knee joint stability, soft knee braces could be combined with stochastic resonance electrical stimulation. A soft knee brace combined with stochastic resonance has previously been shown to improve proprioception and muscle activity (35,36), which are key determinants of dynamic knee stability (37). Many patients with knee OA reported that cold aggravates their knee pain (38); therefore, thermal modalities in the form of fabrics retaining heat could be used for soft braces to further enhance the effect on pain (39).

Some limitations of the study should be acknowledged. Apart from the method of the assessments, the absence of the mediation effects of proprioception and PPT could be a consequence of inadequate power due to the small sample size of the study. However, recent evidence suggests that the statistical test of the indirect effect has more power than the test of the total effect, contradicting the belief that mediation analyses are normally underpowered (40). Due to our study design, it cannot be excluded that improvement in physical function mediated improvement in pain and dynamic knee stability, instead of the other way around. Although theoretically unlikely, this limitation should be acknowledged. Moreover, it is important to acknowledge the possibility that the mediators might have been affecting each other, and/or that there were additional mediating/moderating effects. Our study was not powered to assess more detailed models. Finally, activity limitations were assessed during level walking in a corridor, and dynamic knee instability was assessed when walking during mechanical perturbations on the treadmill. It is therefore not known whether dynamic knee instability would also be found to be a mediator when it was assessed by a different measure during level walking.

In conclusion, this study shows that decreasing pain and reducing dynamic knee instability are pathways by which wearing a soft knee brace decreases activity limitations in patients with knee OA.

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AUTHOR CONTRIBUTIONS

All authors were involved in drafting the article or revising it critically for important intellectual content, and all authors approved the final version to be published. Dr. Cudejko had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Study conception and design. Cudejko, van der Esch, Dekker.

Acquisition of data. Cudejko, van der Esch, Dekker.

Analysis and interpretation of data. Cudejko, van den Noort, Rijnhart, van der Leeden, Roorda, Lems, Waddington, Harlaar.

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