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## Ozone depletion, ultraviolet radiation, climate change and prospects for a sustainable future

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**Contributions of the Montreal Protocol to a sustainable Earth**

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24 **1. Stratospheric ozone depletion, the Montreal Protocol, and the Environmental Effects**  
25 **Assessment Panel**

26

27 Thirty-three years ago, an unprecedented thinning of stratospheric ozone was reported  
28 over Antarctica<sup>1</sup>. In response to concerns about elevated exposure to solar ultraviolet-B  
29 radiation (UV-B; wavelengths 280-315 nm) resulting from ozone depletion, the international  
30 community mobilized and worked together to understand the causes and find a solution to this  
31 dramatic change in Earth's environment. The policy solution that emerged to address this  
32 global environmental problem was the 1985 Vienna Convention for the Protection of the Ozone  
33 Layer. In this international agreement the United Nations recognized the fundamental  
34 importance of preventing the damaging effects of stratospheric ozone depletion, whether on  
35 human health or the environment. This convention was followed by the 1987 *Montreal Protocol*  
36 *on Substances that Deplete the Ozone Layer* with its subsequent amendments, adjustments,  
37 and decisions that were negotiated to control the consumption and production of anthropogenic  
38 ozone-depleting substances, including chlorofluorocarbons (CFCs). The Montreal Protocol now  
39 has the unique distinction of being the only treaty, ever, of any type, ratified by all 197 countries  
40 of the United Nations. The Parties to the Montreal Protocol base their decisions on scientific,  
41 environmental, technical, and economic information provided by three assessment Panels (Box  
42 1). All three panels provide full assessment reports to the Parties every four years (quadrennial  
43 reports) and shorter, periodic updates in the intervening years as needed.

44 The implementation of the Montreal Protocol has successfully prevented the  
45 uncontrolled global depletion of the stratospheric ozone layer<sup>2,3</sup>. Concentrations of ozone-  
46 depleting substances have been declining in the stratosphere since the late 1990s. While  
47 significant seasonal ozone depletion over Antarctica has occurred annually since the 1980s  
48 (called the "ozone hole"), there have been small, but significant, positive trends in total column  
49 ozone in Antarctica in spring over the period 2001-2013. Global mean total ozone has been  
50 projected to recover to pre-1980 levels by about the middle of the 21<sup>st</sup> century, assuming full  
51 compliance to the Montreal Protocol<sup>2</sup>.

52 Many of the chemical compounds controlled by the Montreal Protocol are not only  
53 involved in depletion of stratospheric ozone, but are also potent greenhouse gases<sup>4</sup>. Modeling  
54 studies indicate that, in the absence of the Montreal Protocol, global mean temperatures would  
55 have risen by more than 2°C by 2070, due to the warming effects from ozone-depleting  
56 substances alone<sup>5</sup>. The adoption of the Kigali Amendment to the Montreal Protocol in 2016  
57 limits the production and consumption of hydrofluorocarbons (HFCs), powerful greenhouse

58 gases that are used as substitutes to ozone-depleting substances<sup>6</sup>. This amendment has further  
59 broadened and strengthened the scope of the Montreal Protocol, creating an effective  
60 international treaty that not only addresses stratospheric ozone depletion, but is doing more to  
61 mitigate global climate change than any other human actions to date<sup>7-10</sup>.

62 One of the important reasons for the success of the Montreal Protocol has been its  
63 foundation on high quality science, which not only improves our understanding of the causes  
64 and mechanisms of ozone depletion, but also of the environmental effects of these atmospheric  
65 changes. The Environmental Effects Assessment Panel (EEAP) is specifically charged with  
66 providing regular assessments of the state of the science on the environmental effects of ozone  
67 depletion and consequent changes in UV radiation at Earth's surface, and the interactive effects  
68 of climate change (Box 1 and Fig. 1).

#### **BOX 1. The Environmental Effects Assessment Panel**

The Environmental Effects Assessment Panel is one of the three assessment panels established by the Montreal Protocol to assess various aspects of stratospheric ozone depletion. These three Panels have complementary charges. The Scientific Assessment Panel assesses the status of the depletion of the ozone layer and relevant atmospheric science issues. The Technology and Economic Assessment Panel provides technical and economic information to the Parties on alternative technologies to replace ozone depleting substances. The Environmental Effects Assessment Panel (EEAP) assesses the full range of potential effects of stratospheric ozone depletion, UV radiation and the interactive effects of climate change on human health, aquatic and terrestrial ecosystems, biogeochemical (e.g., carbon and other elements) cycles, air quality, and materials for construction and other uses. Forty-nine scientists from nineteen countries contributed to the 2018 EEAP Quadrennial Assessment.

69  
70 Here, we summarize key findings from the most recent EEAP Quadrennial Assessment,  
71 and consider the significant policy and societal implications of these environmental effects. We  
72 specifically highlight the multiple ways by which the Montreal Protocol is contributing to  
73 environmental sustainability and human health and well-being consistent with many of the  
74 United Nations Sustainability Goals (Box 2). More in-depth information on ozone depletion and  
75 its environmental effects can be found in the full Assessments published by the Ozone  
76 Secretariat of the United Nations Environment Programme (<https://ozone.unep.org>) and  
77 elsewhere (*Photochemical & Photobiological Sciences* journal)<sup>11-17</sup>. By focusing on the  
78 interactions between stratospheric ozone dynamics, UV radiation, and climate change, the  
79 report from the EEAP complements that of the UN's Intergovernmental Panel on Climate  
80 Change (IPCC;<https://www.ipcc.ch>; summarized by Pachauri, et al.<sup>18</sup>) to provide a  
81 comprehensive assessment on the environmental effects of global changes in Earth's  
82 atmosphere.

**BOX 2. The United Nations Sustainability Goals addressed by the Environmental Effects Assessment Panel 2018 quadrennial report.**



Our findings address 10 of the 17 UN Sustainability Goals: 2. Zero hunger, 3. Good health and well-being, 6. Clean water and sanitation, 7. Affordable and clean energy, 9. Industry, innovation and infrastructure, 11. Sustainable cities and communities, 12. Responsible consumption and production, 13. Climate action, 14. Life below water, 15. Life on land. More information on these sustainability goals can be found at <https://www.un.org/sustainabledevelopment/sustainable-development-goals/>.

**2. Key findings and highlights**

**2.1 Stratospheric ozone, climate change and UV radiation at Earth's surface**

The effects of stratospheric ozone depletion and climate change interact via several direct and indirect pathways that can have consequences for human well-being, food and water security, and ecosystem sustainability (Fig. 1). Climate change can modify stratospheric ozone depletion by perturbing temperature, moisture, and wind speed and direction in the stratosphere and troposphere<sup>19</sup>. Conversely, it is now clear that ozone depletion in the southern hemisphere

117 is directly contributing to climate change by altering regional atmospheric circulation patterns in  
118 this part of the globe<sup>20</sup> which, in turn, affect weather conditions, sea surface temperatures,  
119 ocean currents, and the frequency of wildfires<sup>21-25</sup>. These ozone-driven changes in climate are  
120 currently exerting significant impacts on the terrestrial and aquatic ecosystems in the southern  
121 hemisphere (<sup>13,17,26,27</sup>; Box 3). In the northern hemisphere, similar, but smaller effects of ozone  
122 depletion on climate may exist<sup>11</sup>, but there are no reports as yet linking these changes to  
123 environmental effects.

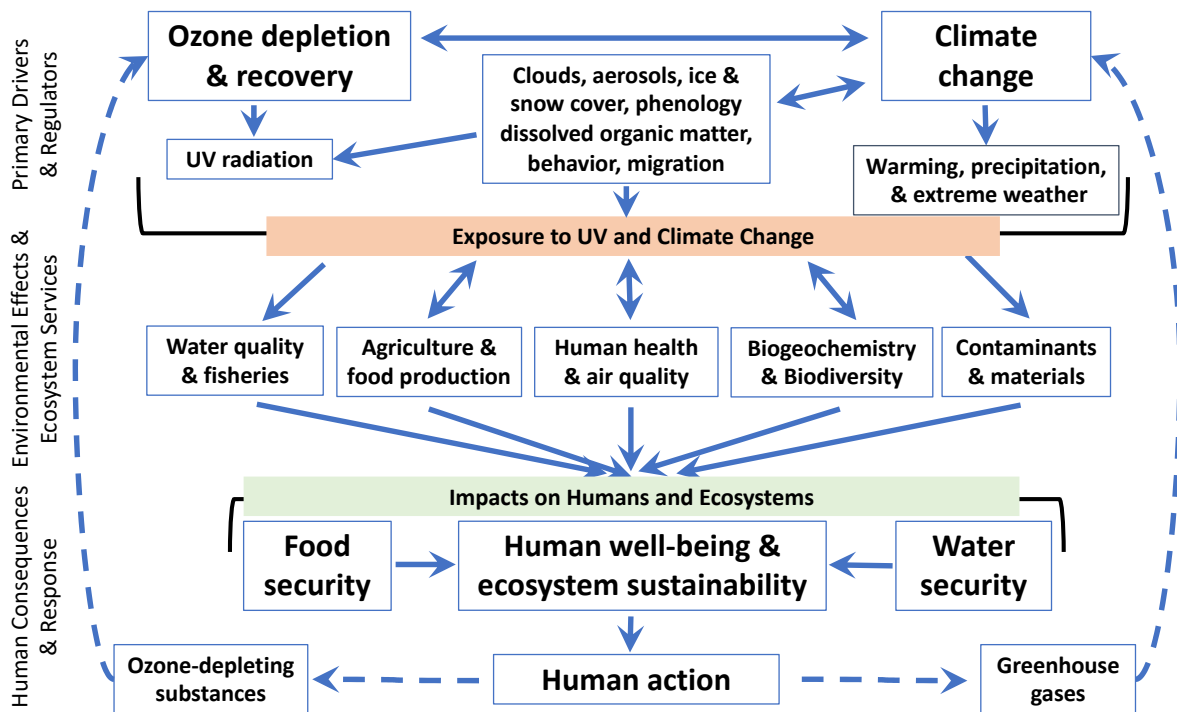
124 Depletion of stratospheric ozone leads to increased UV-B radiation at Earth's surface<sup>11</sup>;  
125 the resultant changes in UV-B radiation can directly affect the environment, including the  
126 organisms that live there. Because of the success of the Montreal Protocol, present-day  
127 increases in UV-B radiation due to stratospheric ozone depletion have been negligible in the  
128 tropics, small (5-10%) at mid-latitudes, and large only in polar regions. As stratospheric ozone  
129 recovers over the next several decades, the clear-sky noon-time UV Index is expected to  
130 decrease (e.g., by 2-8% at mid-latitudes depending on season and precise location, and by 35%  
131 during the Antarctic October ozone hole<sup>11,28</sup>).

132 Independent of stratospheric ozone dynamics, climate change is increasingly  
133 contributing to changes in surface UV-B radiation<sup>11,29</sup>. Unlike ozone depletion, these climate  
134 change-driven effects influence the amount of surface solar radiation not just in the UV-B but  
135 also in the ultraviolet-A (UV-A; 315-400 nm) and visible (400-700 nm) parts of the solar  
136 spectrum. These changes are important as many of the environmental and health effects  
137 caused by UV-B are also influenced, to varying degrees, by UV-A and visible radiation<sup>12,13,17</sup>.

138 Future changes in surface solar UV radiation (UV-B and UV-A) will depend heavily on  
139 changes in aerosols, clouds, and surface reflectivity (e.g., snow and ice cover). Climate change  
140 is altering cloud cover with some regions becoming cloudier and others less cloudy<sup>30</sup>. Increased  
141 cloud cover generally tends to reduce UV radiation at Earth's surface, but effects vary with type  
142 of clouds<sup>31</sup> and their position relative to that of the sun<sup>32</sup>. Aerosols (solid and liquid particles  
143 suspended in the atmosphere<sup>15</sup>) reduce and scatter UV radiation, and the type and amounts of  
144 aerosols in the atmosphere are affected by volcanic activity, the emissions of air pollutants, the  
145 frequency and extent of wildfires and dust storms, and other factors, many of which are affected  
146 by climate change<sup>11,14,33</sup>. In heavily polluted areas (e.g., southern and eastern Asia),  
147 improvements in air quality are expected to increase levels of UV radiation to pre-industrial  
148 levels (i.e., before extensive aerosol pollution), with the extent of changes contingent on the  
149 degree to which emissions of air pollutants are curtailed. High surface reflectance from snow or  
150 ice cover can enhance incident UV radiation because some of the reflected UV radiation is

151 scattered back to the surface by aerosols and clouds in the atmosphere. Consequently, climate  
 152 change-driven reductions in ice or snow cover, which is occurring in polar regions and  
 153 mountains, will likely decrease surface UV radiation in these regions<sup>11</sup>. At the same time, this  
 154 would increase the UV exposure of soils and waters that would previously have been below the  
 155 snow or ice.

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**Figure 1.** Linkages between stratospheric ozone depletion, UV radiation, and climate change, including environmental effects and potential consequences for human well-being, food and water security, and the sustainability of ecosystems (solid lines), with important feed-back effects driven by human action (dashed lines) and other processes (double-arrow solid lines).

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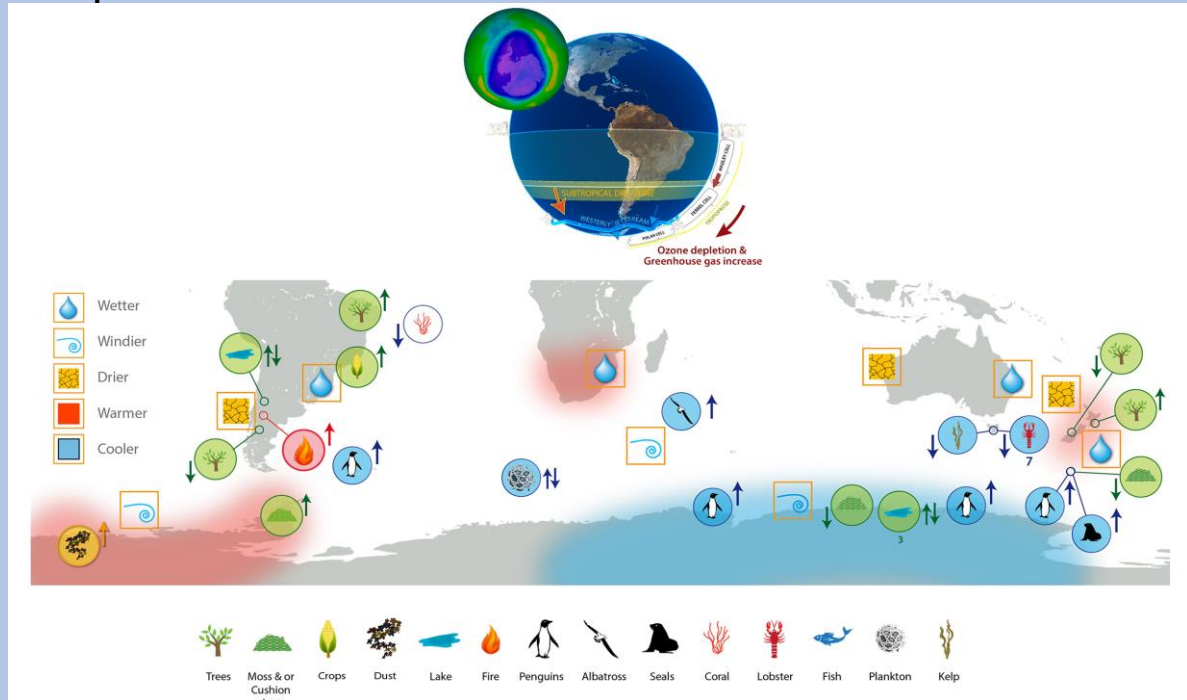
161 *2.2 UV exposure and climate change*

162 The effects of UV radiation on organisms, including humans, and materials, depends on  
 163 levels of UV exposure. This is determined by a number of factors, including many that are  
 164 influenced by climate change. Importantly, these climate change-driven effects can result in  
 165 either increases or decreases in exposures to solar UV radiation, depending on location, time of  
 166 year, individual species, and other circumstances. Some of the most important regulators of UV  
 167 exposure include:

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- Behavior: The exposure of humans to UV radiation varies from one-tenth to ten times the average for the population<sup>34</sup>, depending on the time people spend indoors vs. outdoors and under shade structures. The exposure of the skin or eyes to UV radiation further depends on the use of sun protection such as clothing or sunglasses; the UV dose to biological structures in the skin is mediated by skin pigmentation and use of sunscreen<sup>12</sup>. Warmer temperatures and changing precipitation patterns resulting from climate change will alter human patterns of sun exposure<sup>35</sup>, but the direction and magnitude of this effect is likely to be highly variable globally. Many animals, such as insects and birds, can sense UV radiation and some use behavior to avoid exposure to prolonged periods of high UV radiation<sup>36,37</sup>.
  - In response to climate change, many plants and animals are migrating to higher latitudes and elevations<sup>38,39</sup>, or deeper into lakes and oceans<sup>40</sup>. Because of the natural gradients in solar UV radiation that exist with latitude, altitude and water depth<sup>11,17</sup>, these shifts in distributions will expose organisms to UV radiation conditions that are different from those to which they may be normally accustomed.
  - Climate change is altering phenology, including plant flowering, spring bud-burst in trees, and animal emergence and breeding<sup>38,41,42</sup> and these changes in the timing of critical life cycle events will alter UV exposures as UV radiation varies naturally with season.
  - Modifications in vegetation cover (e.g., drought, fire, and pest-induced die-back of forest canopies or shrub invasion in grasslands) driven by changes in climate and land-use alter the amount of sunlight reaching many ground-dwelling terrestrial organisms (e.g.,<sup>43</sup>).
  - Reductions in snow and ice cover and the timing of melt driven by climate change is influencing surface UV reflectance and the penetration of UV into rivers, lakes, oceans, and wetlands<sup>44</sup>. Additionally, increases in extreme weather events (e.g., high rainfall and floods) increase the input of dissolved organic matter and sediments into coastal and inland waters that can reduce water clarity and the UV exposure of aquatic organisms<sup>17,45</sup>.



**BOX 3. Environmental effects of ozone-driven climate change in the southern hemisphere.**



Stratospheric ozone depletion and increases in greenhouse gases have both had measurable impacts on southern hemisphere climate, moving the winds and associated latitudinal bands of high and low rainfall further south<sup>20</sup> (inset globe). As a result, aquatic and terrestrial ecosystems, including agriculture, have been impacted in a number of ways<sup>13,17</sup>. For instance, the productivity of the Southern Ocean is changing, decreasing over much of the ocean, but increasing in other areas with corresponding effects on carbon dioxide uptake from the atmosphere. More productive areas already support increased growth, survival and reproduction of sea birds and mammals including albatross, several species of penguins and elephant seals. Regional increases in oceanic productivity are likely to support increased fisheries. In contrast, warmer sea surface temperatures related to these climate shifts are correlated with declines in both kelp beds in Tasmania and corals in Brazil<sup>17</sup>. On land, changing rainfall patterns have resulted in increased agricultural productivity in some regions and drought conditions in others. Drier conditions have resulted in increasing salinity in lakes and changed lake fauna in East Antarctica and the eastern Andes<sup>13,47</sup>. On the Antarctic Peninsula, terrestrial ecosystem productivity has increased with warmer and wetter conditions, while in East Antarctica ecosystems are responding negatively to cooling and drying<sup>27</sup>. These climatic changes have implications for food and water security as well as for human well-being through increased wild fire frequency and air pollution. While our understanding of the extent of these impacts has improved considerably in the last four years, there are likely many other impacts that have not yet been quantified. Actions under the Montreal Protocol have moderated these climatic and subsequent ecosystem changes, by limiting ozone depletion as well as reducing greenhouse gases. Without the Montreal Protocol, similar climatic changes would likely have become manifest across the globe and would have been more extreme in the southern hemisphere. Images adapted from Robinson and Erickson<sup>26</sup>.

**2.3. Environmental effects of changing exposure to UV radiation**

Changes in exposure and sensitivity to solar UV radiation, driven by ongoing changes in stratospheric ozone and climate, have the potential to affect materials, humans, and many other

234 organisms in ways that have consequences for the health and well-being of people and  
235 ecosystem sustainability. Below we highlight some of these effects from the recent Quadrennial  
236 Assessment of the EEAP.

237

### 238 2.3.1. Impacts on human health and air quality

239 Higher exposure to UV radiation increases the incidence of skin cancers and other UV-  
240 induced human diseases, such as cataracts and photosensitivity disorders<sup>12</sup>. Increases in the  
241 incidence of skin cancer over the last century appear largely attributable to changes in behavior  
242 that increase exposure to UV radiation; these changes highlight how susceptible human  
243 populations would have been to uncontrolled stratospheric ozone depletion. Skin cancer is the  
244 most common and most expensive cancer in many developed countries with predominantly  
245 light-skinned populations<sup>12</sup>. Exposure to UV radiation accounts for 60-96% of the risk of  
246 developing cutaneous malignant melanoma in light-skinned populations: ca.168,000 new  
247 melanomas in 2012 were attributable to ‘excess’ exposure to UV radiation (above that of a  
248 historical population with minimal exposure)<sup>46</sup>. Modelling studies show that implementation of  
249 the Montreal Protocol has avoided devastating effects on human health including large  
250 increases in skin cancer incidence in light-skinned populations and high ambient UV levels (e.g.,  
251 UV Index > 50 in the tropics<sup>47</sup>) that would require minimizing time outdoors to preserve health  
252 (<sup>48</sup>; Box 4).

253 Exposure to UV radiation contributes to the development of cataract, the leading cause  
254 of impaired vision worldwide (12.6 million blind and 52.6 million visually impaired due to cataract  
255 in 2015)<sup>49</sup>. Particularly in low income countries – often with high ambient UV radiation – access  
256 to cataract surgery may be limited, making this a major health concern. The role of exposure to  
257 UV radiation for another major cause of visual impairment globally particularly in older people,  
258 age-related macular degeneration, remains unclear<sup>12</sup>.

259 Concern about high levels of UV-B radiation as a consequence of stratospheric ozone  
260 depletion was an important driver for the development of programs for sun protection in many  
261 countries. These programs focus on promoting changes in people’s behavior, supported by  
262 structural and policy-level interventions<sup>50</sup>. Sun protection programs have been shown to be  
263 highly cost-effective in preventing skin cancers<sup>51</sup>. Behavioral strategies need to be informed by  
264 the real-time level of ambient UV radiation (provided by the UV Index) and include controlling  
265 time outdoors together with using clothing, hats, sunscreen and sunglasses to reduce exposure  
266 to UV radiation. Behavioral changes can be facilitated by providing shade in public spaces such  
267 as parks, swimming pools, and schools, and improving access to sunscreen<sup>50</sup>.

268 Changes in UV radiation and climate can further impact human health by influencing air  
269 quality<sup>15</sup>. A number of recent international assessments have concluded that poor air quality is a  
270 significant global health issue and is estimated to be the largest cause of deaths globally due to  
271 environmental factors<sup>15</sup>. UV radiation causes the production of ground-level ozone and some  
272 types of particulate pollutants. Future recovery of stratospheric ozone and climate may change  
273 ground-level ozone via decreases in UV radiation and increases in downward transport of  
274 stratospheric ozone<sup>15</sup>. Modelling studies for the USA indicate that reductions in UV radiation due  
275 to stratospheric ozone recovery will lead to decreased ground-level ozone in some urban areas  
276 but slight increases elsewhere<sup>52</sup>. Because large populations are already affected by poor air  
277 quality, even small relative changes in UV radiation can have significant consequences for  
278 public health.

279 Exposure to UV radiation also has benefits for human health; it is the major source of  
280 vitamin D for much of the world's population. Vitamin D is critical to healthy bones, particularly  
281 during infancy and childhood. There is also growing evidence of a range of other benefits of  
282 exposure to UV radiation through both vitamin D and non-vitamin D pathways, for example, for  
283 systemic autoimmune diseases and non-cancer mortality, and in the prevention of myopia<sup>12</sup>.  
284 Gaps in our knowledge prevent calculations of the amount of UV radiation necessary to balance  
285 the risks with benefits, particularly as this likely varies according to age, sex, skin type, and  
286 location.

287 Projected changes in climate will alter the balance of risks vs. benefits for human  
288 populations living in different regions. For example, lower ambient UV-B radiation at high  
289 latitudes will increase the risk of vitamin D deficiency where this risk is already substantial.  
290 Conversely, warmer temperatures may encourage people in cooler regions to spend more time  
291 outdoors, increasing exposure to not just UV-B, but all wavelengths of solar radiation. Changes  
292 in snow and ice cover will alter the amount of reflected UV radiation and thus exposure of the  
293 eyes to UV radiation, possibly increasing the risk of eye diseases.

294

### 295 2.3.2 Impacts on agriculture and food production

296 There is little evidence to suggest that modest increases in solar UV radiation have any  
297 substantial negative effect on crop yield and plant productivity<sup>13</sup>. How food production would  
298 have been impacted by the large increases in solar UV radiation in the absence of the Montreal  
299 Protocol is unclear. One analysis, based on data from a number of field studies conducted in  
300 regions where ozone depletion is most pronounced (i.e., high latitudes), concluded that a 20%  
301 increase in UV radiation equivalent to a 10% reduction in stratospheric ozone has only reduced

302 plant production by about 6% (i.e., a 1% reduction in growth for every 3% increase in UV)<sup>53</sup>. To  
303 what extent this relationship would hold for UV levels >2-fold higher than present (i.e., the  
304 'World Avoided' scenario; Box 4<sup>47</sup>) is uncertain and represents an important knowledge gap.

305 It is likely that by mitigating climate change, the Montreal Protocol has reduced the  
306 vulnerability of agricultural crops to rising temperatures, drought, and extreme weather events.  
307 However, at a regional scale, changes in southern hemisphere rainfall, driven by ozone  
308 depletion and climate change, have been linked to both increases and decreases in agricultural  
309 productivity (Box 3) and these effects may reverse as the ozone hole recovers. Climate change  
310 factors including drought, high temperatures, and rising carbon dioxide levels can also modify  
311 how UV radiation affects crop plants, but effects are complex and often contingent on growth  
312 conditions. In some cases these climate change factors can increase sensitivity to UV radiation  
313 (e.g., elevated carbon dioxide can weaken UV defenses in maize<sup>54</sup>). In other cases exposure to  
314 UV radiation can increase plant tolerance to drought<sup>55</sup>. Increases in ground-level ozone  
315 resulting from reduced UV radiation resulting from the recovery of stratospheric ozone could  
316 negatively affect crop yields<sup>15</sup>. Understanding these, and other UV-climate change interactions  
317 can inform growers and breeders as to relevant agricultural practices that could aid in  
318 maintaining crop yields in the face of evolving environmental change.

319 UV radiation can also have beneficial effects on plants and these effects are often  
320 mediated by specific photoreceptors that act to regulate plant growth and development<sup>56</sup>.  
321 These non-damaging effects include alterations in plant chemistry that then lead to changes in  
322 the nutritional quality of food<sup>57</sup> and the ability of plants to defend themselves against pests and  
323 pathogens<sup>58</sup>. Consequently, decreases in exposure to UV radiation as affected by changes in  
324 stratospheric ozone and climate, or changing agricultural practices (e.g., planting dates or  
325 sowing densities), could reduce plant defenses and thereby affect food security in ways other  
326 than just the direct effects on yield<sup>59</sup>. For certain vegetable crops, low levels of UV radiation are  
327 increasingly being used to manipulate plant hardiness, food quality and pest resistance<sup>60</sup>.

328

### 329 2.3.3 Impacts on water quality and fisheries

330 Climate change is altering the mixing patterns in the water column of lakes and oceans,  
331 with deeper mixed layers in some regions and shallower mixed layers in others. These changes  
332 are altering the UV exposure and fundamental structure of aquatic ecosystems and  
333 consequently their ecosystem services (e.g. water quality, fisheries productivity) in regionally  
334 specific ways<sup>17</sup>. The sensitivity to damage induced by UV radiation for the often very clear-  
335 bodied larvae of many commercially important fish species, combined with the distribution of

336 these larvae in high UV surface waters, have the potential to reduce the year class strength and  
337 subsequent harvest potential for fisheries<sup>61</sup>. In contrast, reductions in the UV transparency of  
338 clear-water lakes may increase the potential for invasions of UV-sensitive warm-water species  
339 that can negatively affect native species<sup>62</sup>.

340 Climate-change related increases in heavy precipitation and melting of glaciers and  
341 permafrost are increasing the concentration and color of UV-absorbing dissolved organic matter  
342 and particulates<sup>14,17</sup>. This is leading to the “browning” of many inland and coastal waters, with  
343 consequent loss of the valuable ecosystem service in which solar UV radiation disinfects  
344 surface waters of parasites and pathogens<sup>45</sup>. Region-specific increases in the frequency and  
345 duration of droughts have the opposite effect, increasing water clarity and enhancing solar  
346 disinfection, as well as altering the depth distribution of plankton that provide critical food  
347 resources for fish<sup>33,40</sup>.

348

#### 349 2.3.4 Impacts on biogeochemical cycles, climate system feedbacks, and biodiversity

350 Exposure to solar UV and visible radiation can accelerate the decomposition of natural  
351 organic matter (e.g., terrestrial plant litter, aquatic detritus, and dissolved organic matter)  
352 through the process of photodegradation, resulting in the emission of greenhouse gases  
353 including carbon dioxide and nitrous oxide<sup>63,64</sup>. Climate change-driven increases in droughts,  
354 wildfires, and thawing of permafrost soils have the potential to increase photodegradation (e.g.,  
355 <sup>14,65</sup>), thereby fueling a positive feedback on global warming; however, the scale of this effect  
356 remains an important knowledge gap.

357 Species of aquatic and terrestrial organisms differ in their tolerances to UV radiation and  
358 these differences can lead to alterations in the composition and diversity of ecological  
359 communities under elevated UV conditions<sup>13,17</sup>. UV radiation also influences herbivory and  
360 predator-prey interactions which then alters trophic interactions, energy transfer, and the food  
361 webs in ecosystems<sup>66</sup>. Presently, ozone-driven changes in regional climate in the Southern  
362 Hemisphere are threatening the habitat and survival of a number of species, including plants  
363 growing in the unique high-elevation woodlands of the South American Altiplano<sup>67</sup> and moss  
364 and other plant communities in Antarctica<sup>27</sup>, but enhancing reproductive success of some  
365 marine birds and mammals<sup>13,17</sup>(Box 3). To what extent the Montreal Protocol has specifically  
366 contributed to the maintenance of biodiversity in ecosystems is unknown, but losses in species  
367 diversity in aquatic ecosystems are known to be linked to high UV radiation exposure which can  
368 then lead to a decline in the health and stability of these systems<sup>33</sup>.

369

370 2.3.5 Impacts on contaminants and materials

371 Solar UV radiation plays a critical role in altering the toxicity of contaminants<sup>14,17</sup>.  
372 Exposure to UV radiation increases the toxicity of contaminants such as pesticides and  
373 polycyclic aromatic hydrocarbons (PAHs) to aquatic organisms such as fish and amphibians. In  
374 contrast, UV-B radiation transforms the most toxic form of methyl mercury to forms that are less  
375 toxic, reducing the accumulation of mercury in fish<sup>68</sup>. Although the degradation of many  
376 pollutants and water-borne pathogens by solar UV radiation is affected by changes in  
377 stratospheric ozone, other factors such as dissolved organic matter are more important in  
378 regulating underwater UV radiation and hence photodegradation of these pollutants<sup>14</sup>. Advances  
379 in modeling approaches are allowing improved quantification of the effects of global changes on  
380 the fate of aquatic pollutants.

381 Sunscreens are in widespread use, including in cosmetics, as part of the suite of  
382 approaches to sun protection for humans. It is now recognized that sunscreens wash into  
383 coastal waters, with potential effects on aquatic ecosystems. The toxicity of artificial sunscreens  
384 to corals<sup>69</sup>, sea urchins<sup>70</sup>, fish<sup>71</sup>, and other aquatic organisms, has led the state of Hawaii, USA,  
385 to pass legislation banning the use of some sunscreens. Similar legislation is under  
386 consideration by the European Union<sup>72</sup>.

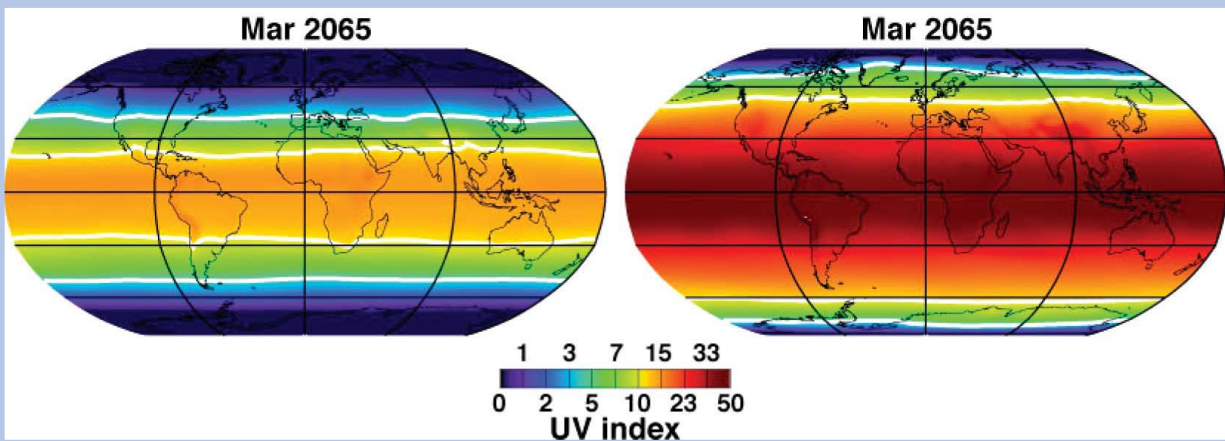
387 Microplastics (plastic particles < 5mm) are now ubiquitous in the world's oceans and  
388 pose an emerging serious threat to marine ecosystems with many organisms now known to  
389 ingest them<sup>73</sup>. Microplastics are formed by the UV-induced degradation and breakdown of  
390 plastic products and rubbish exposed to sunlight. Microplastic pollutants occur in up to 20% or  
391 more of fish marketed globally for human consumption<sup>74</sup>. Although the toxicity of microplastics  
392 is unknown, higher temperatures and UV levels accelerate the fragmentation of plastics,  
393 potentially threatening food security.

394 Until very recently, plastics used in packaging and building were selected and optimized  
395 on the basis of durability and performance<sup>16</sup>. However, the present focus on increased  
396 sustainability, for example the trend towards 'green buildings', now requires such choices to be  
397 environmentally acceptable as well. This includes the increased use of wood, which is  
398 renewable, carbon-neutral, and low in embodied energy, in place of plastics, where appropriate.  
399 Some of these materials are vulnerable to accelerated aging when exposed to UV radiation.  
400 Current efforts are moving forward to identify and develop novel, safer, effective, and 'greener'  
401 additives (colorants, plasticizers, stabilizers) for plastic materials and wood coatings. Harsher  
402 weathering climates, as predicted due to climate change, would require even more effort along  
403 this direction.

#### BOX 4. Environmental effects in the 'World Avoided'

This assessment focusses largely on the environmental effects of changes in stratospheric ozone that have occurred, and are predicted to occur, with effective implementation of the Montreal Protocol and its amendments. At present, lack of relevant research has prevented us from considering the health and environmental impacts that would have resulted if the ozone layer had not been protected by the Montreal protocol. Yet it is worth noting that current understanding of this 'World Avoided', provides context for the effects observed with the successful implementation of the Montreal Protocol.

There are a number of published models of changes in the ozone layer without the Montreal protocol- in the 'World Avoided'<sup>3</sup>. All point to progressive loss of ozone that would have accelerated over time and extended to affect the entire planet by the second half of this century. This collapse in global ozone would have resulted in UV Index values above the current extreme of 25 becoming common-place over almost all inhabited areas of the planet, and as high as 50 in the tropics, more than four times the UV index that is currently considered 'extreme' by the World Health Organization.



Combining these models of ozone and UV radiation with understanding of the links between exposure to excessive UV radiation and the risk of skin cancers has allowed some quantitative estimates of the incidence of skin cancer in the 'World Avoided'. Different studies have considered different time-scales and/or different geographical regions, but all conclude that the successful implementation of the Montreal Protocol will prevent many millions of cases of skin cancers. For example, a report by the United States Environmental Protection Agency<sup>48</sup>, showed that when compared with a situation of no policy controls, full implementation of the Montreal Protocol and its Amendments is expected to avoid more than 250 million cases of skin cancer in the USA alone. The same report estimates that the Montreal Protocol will have prevented more than 45 million cases of cataracts in the USA. Substantial gaps in our knowledge currently limit our ability to quantitatively assess the full range of human and environmental benefits of the successful implementation of the Montreal Protocol.

404

405           There are multiple anthropogenic sources that will release trifluoroacetic acid (TFA) into

406 the environment, including some relevant to the Montreal Protocol. Specifically, some

407 compounds being used as substitutes for CFCs, including hydrochlorofluorocarbons (HCFCs)

408 and HFCs, are known to degrade to TFA in the atmosphere. These sources will contribute to a

409 slight increase in TFA concentrations in surface water, but this is not expected to pose a risk to

410 humans or the environment<sup>75</sup>.

411

412 **3. Conclusions and Knowledge Gaps**

413 The Montreal Protocol has been successful in preventing the global depletion of  
414 stratospheric ozone and consequently large-scale increases in solar UV-B radiation. Full  
415 recovery of the ozone layer is expected by the middle of this century and levels of UV-B  
416 radiation at Earth's surface are beginning to decrease. Thus, because of the Montreal Protocol,  
417 we have averted a "worst-case" scenario of stratospheric ozone destruction, prevented the  
418 resultant high levels of UV-B radiation at Earth's surface, and so avoided major environmental  
419 and health impacts (Box 4).

420 We are confident in our qualitative predictions of the environmental effects that have  
421 been avoided because the Montreal Protocol has successfully controlled stratospheric ozone  
422 depletion. However, quantification of many of the environmental benefits resulting from the  
423 success of the Montreal Protocol remains a major challenge. The same knowledge gaps that  
424 constrain modelling of most environmental effects in the 'World Avoided' scenario also constrain  
425 quantification of the potential impacts of any current or future threats to the ozone layer. Recent  
426 reports of unexpected increases in emissions of CFC-11<sup>76</sup> are currently expected to have only  
427 small effects on ozone depletion<sup>2</sup>, and so on health or environmental responses. However,  
428 were such unexpected emissions to persist and increase in the future, or new threats emerge,  
429 environmental and health impacts could be substantially magnified. New threats might include  
430 "geoengineering" activities proposed to combat the warming caused by greenhouse gases,  
431 which could have consequences for UV radiation. In particular, proposals to inject sulfuric  
432 aerosols into the stratosphere to reduce solar radiation at Earth's surface<sup>77</sup> would likely reduce  
433 stratospheric ozone in most latitudes, which would then lead to increases in surface UV-B  
434 radiation<sup>11,78,79</sup>.

435 Meeting the challenge of improved quantification of the environmental effects of future  
436 changes in stratospheric ozone requires addressing several significant gaps in current  
437 knowledge. First, we need a better understanding of the fundamental responses of a diversity of  
438 organisms to UV radiation, particularly how species respond to the different wavelengths of UV  
439 radiation (i.e., their action spectra). Second, we need to better understand the full scope of not  
440 only the adverse (e.g., photosensitivity drug reactions), but the beneficial effects of UV radiation  
441 on humans and other species. Third, we need long-term, large-scale field studies to better  
442 understand how changes in UV radiation, together with other climate change factors, including  
443 extreme events, influence intact ecosystems<sup>80</sup>. Taken together, all three would increase our  
444 ability to develop models that could be used to quantify effects of UV radiation on living  
445 organisms and materials on scales ranging from individuals to ecosystems and the planet.



446 As a result of shifting geographic ranges (including migration of humans and other  
447 species that is induced by climate change) and seasonal timing of life-cycle events due to  
448 climate change, it is apparent that many organisms, including human populations, will be  
449 confronted with novel and interactive combinations of UV radiation and other environmental  
450 factors. These environmental changes will occur together with alterations in the structure and  
451 composition of ecological communities<sup>81</sup>, which will then indirectly affect the growth,  
452 reproduction, and survival of multiple species. How humans and ecosystems respond to  
453 changes in UV radiation against this backdrop of simultaneous, multi-factor environmental  
454 change remains a major knowledge gap. Quantifying these effects is extremely challenging,  
455 where many of the outcomes are contingent on human behavior and societal responses that are  
456 difficult to predict (Fig. 1).

457 The focus of concern regarding elevated exposure to UV radiation has historically been  
458 on human health. Yet terrestrial and aquatic ecosystems provide essential services on which  
459 human health and well-being ultimately depend. In addition to being critical for human health  
460 and well-being, environmental sustainability and the maintenance of biodiversity are also  
461 important at a higher level if we are to maintain a healthy planet<sup>82</sup>. The topics covered by the  
462 Environmental Affects Assessment Panel report embrace the full complexity and inter-  
463 relatedness of our living planet, and the Montreal Protocol demonstrates that globally united and  
464 successful action on complex environmental issues is possible.

465

466

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468

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696 **5. Appendix 1.** Additional members and co-authors of the UNEP Environmental Effects  
697 Assessment Panel that contributed to this summary report.

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