

# Sharp contrast in the electrical and optical properties of vanadium Wadsley ( $V_mO_{2m+1}$ , $m > 1$ ) epitaxial films selectively stabilized on (111)-oriented Y-stabilized $ZrO_2$

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Four oxidation states ( $V^{2+}$ ,  $V^{3+}$ ,  $V^{4+}$ , and  $V^{5+}$ ) in vanadium oxides and the conversion between them have attracted attention for application to batteries and electronics. Compared to single-valence counterparts, however, there have been few reports on the fundamental properties of mixed-valence vanadium oxide films, as their complexity and closeness in thermodynamic phase diagrams hinder the formation of pure phases in film. Here, using an epitaxial growth technique with precise control of oxygen partial pressure (20–100 mTorr) on (111)-oriented Y-stabilized  $ZrO_2$ , we selectively stabilize pure phases of  $VO_2(B)$  ( $m = \infty$ ),  $V_6O_{13}$  ( $m = 6$ ), and  $V_2O_5$  ( $m = 2$ ), so-called Wadsley phases ( $V_mO_{2m+1}$ ,  $m > 1$ ) in which  $V^{4+}$  and/or  $V^{5+}$  can coexist. Fractional increase of  $V^{4+}$  changes the electrical ground state, insulating  $VO_2(B)$  and  $V_2O_5$ , metallic  $V_6O_{13}$  transition into insulators below 150 K. While  $VO_2(B)$  and  $V_6O_{13}$  exhibit strong spectral weights at low photon energy in the room-temperature extinction coefficients, the band-edge absorption shifts toward higher photon energy for smaller  $m$ , opening an indirect band gap of 2.6 eV in  $V_2O_5$ . The sharp contrast of electrical and optical properties between vanadium Wadsley phases highlights the importance of precisely controlling the oxidation state of vanadium.

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## I. INTRODUCTION

The number of electrons in the outmost  $3d$  orbitals of vanadium ion causes one of four different oxidation states:  $V^{2+}(d^3)$ ,  $V^{3+}(d^2)$ ,  $V^{4+}(d^1)$ , or  $V^{5+}(d^0)$ . The very rich phases of vanadium oxides formed by combinations of the four oxidation states belong to a homologous series with generic formulas, Magnéli  $V_mO_{2m-1}$  ( $m > 1$ ) with  $V^{3+}$  and  $V^{4+}$ , and Wadsley  $V_mO_{2m+1}$  with  $V^{4+}$  and  $V^{5+}$ . The end members of the Magnéli phases are  $V_2O_3$  ( $m = 2$ ) and  $VO_2$  ( $m = \infty$ ) with a single oxidation state of  $V^{3+}$  and  $V^{4+}$ , respectively. Magnéli phases usually show metal-to-insulator transition:  $V_2O_3$  (below 165 K),  $V_4O_7$  (250 K),  $V_5O_9$  (135 K),  $V_6O_{11}$  (177 K),  $V_8O_{15}$  (70 K), and  $VO_2$  (340 K) [1]. Sharing  $VO_2$  ( $m = \infty$ ) with Magnéli phases, the Wadsley phases, which are the main focus of this paper, comprise a family of vanadium oxides including  $V_6O_{13}$  ( $m = 6$ ),  $V_3O_7$  ( $m = 3$ ),  $V_2O_5$  ( $m = 2$ ), with the oxidation states of which range between  $V^{4+}$  and  $V^{5+}$ . They exhibit a wide spectrum of electrical properties between metal and insulator. Metallic  $V_6O_{13}$  transitions into an insulator below 150 K, and shows a paramagnetic-to-antiferromagnetic transition below 50 K [2]. Compared to other vanadium oxides, there have been few reports on the electrical properties of  $V_3O_7$ .  $V_2O_5$  is an insulator.

While there have been many investigations of the fundamental properties and attempts to develop possible applications of single-valence vanadium oxides, e.g.,  $V_2O_3$  with  $V^{3+}$  and  $VO_2$  with  $V^{4+}$  for electronic devices utilizing metal-insulator transition [1,3], and  $V_2O_5$  with  $V^{5+}$  for

high-capacitive electrodes in ion batteries [4], there has been less interest in their mixed-valence counterparts. One of the main barriers to potential applications of mixed-valence vanadium oxides is their lack of pure phases in thin-film form. The thermodynamic instability of binary oxides, due to their closeness in complex phase diagrams, hinders the formation of pure mixed-valence vanadium oxides. For example, heating to temperatures above 800 K leads to irreversible surface reduction with sequential transition from  $V_2O_5 \rightarrow V_6O_{13} \rightarrow V_2O_3$  [5], generating a mixture of vanadium oxides with different oxidation states. The phase mixtures degrade device performance and preclude accurate understanding of the mechanisms underlying the fundamental properties of vanadium oxides. Therefore, preparation of pure, high-quality, and crystalline phases has been a major challenge in recent research on mixed-valence vanadium oxides.

Here, we selectively stabilized vanadium Wadsley pure films via pulsed laser epitaxy. Epitaxial stabilization is a well-known approach to creating pure phases by formation of a low-energy interface between film and substrate [6]. We grew mixed-valence (001)-oriented  $V_6O_{13}$ , as well as single-valence (001)-oriented  $VO_2(B)$  and (010)-oriented  $V_2O_5$  epitaxial films on (111)-oriented Y-stabilized  $ZrO_2$  (YSZ) by precise control of oxygen partial pressure  $P_{O_2}$ . Using the epitaxial films of pure  $VO_2(B)$ ,  $V_6O_{13}$ , and  $V_2O_5$ , we investigated their fundamental electrical and optical properties.

## II. STRATEGY FOR SELECTIVE STABILIZATION OF PURE WADSLY EPITAXIAL FILMS

As the crystal structures of vanadium Wadsley phases have distinct differences in symmetry, and as Wadsley phases can

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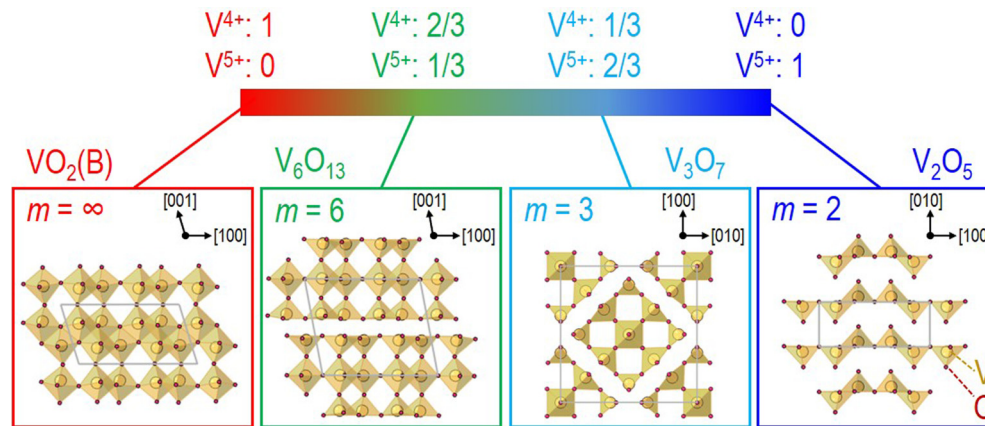


FIG. 1. Schematic cross-sectional view of vanadium Wadsley phases,  $V_mO_{2m+1}$  ( $m > 1$ ). Yellow octahedrons and red atoms represent vanadium and oxygen, respectively. The vanadium Wadsley phases have totally different crystal structures, i.e., monoclinic  $VO_2(B)$  ( $m = \infty$ ), monoclinic  $V_6O_{13}$  ( $m = 6$ ), tetragonal  $V_3O_7$  ( $m = 3$ ), and orthorhombic  $V_2O_5$  ( $m = 2$ ). We set out-of-plane as  $(001)VO_2(B)$ ,  $(001)V_6O_{13}$ ,  $(100)V_3O_7$ , and  $(010)V_2O_5$ , respectively. It should be noted that all Wadsley phases have open tunnels, which can play an important role in ion transport at the nanoscale. The theoretical oxidation states are  $V^{4+}$ ,  $V^{4.33+}$  ( $\frac{2}{3}V^{4+}$  and  $\frac{1}{3}V^{5+}$ ),  $V^{4.67+}$  ( $\frac{1}{3}V^{4+}$  and  $\frac{2}{3}V^{5+}$ ), and  $V^{5+}$  for  $VO_2(B)$ ,  $V_6O_{13}$ ,  $V_3O_7$ , and  $V_2O_5$ , respectively.

form crystal structures with different space groups even with the same oxidation state [7–9], selection of substrates is the greatest barrier to epitaxial growth [10]. Figure 1 shows a schematic view of cross-sectional crystal structures of Wadsley phases, together with the oxidation states. Interestingly,  $VO_2(B)$ ,  $V_6O_{13}$ ,  $V_3O_7$ , and  $V_2O_5$  have an open-tunnel framework, which has attracted a great deal of attention for fast ion diffusion pathways, making Wadsley phases promising energy materials for electrodes in ion batteries [4,11].  $VO_2(B)$  phase with only  $V^{4+}$  has a monoclinic structure (space group:  $C2/m$ ) with  $a = 12.03$  Å,  $b = 3.69$  Å,  $c = 6.42$  Å, and  $\beta = 106.6^\circ$  (see Table I for lattice constants). It should be noted that this phase is a polymorph of  $VO_2$  [8,9], and differs from the  $VO_2$  phase whose insulating state has a monoclinic structure ( $a = 5.74$  Å,  $b = 4.52$  Å,  $c = 5.38$  Å,  $\beta = 122.6^\circ$ ) at room temperature and whose metallic state has a rutile tetragonal structure ( $a = b = 4.55$  Å,  $c = 2.86$  Å) above 340 K. The  $V_6O_{13}$  phase with a theoretical combination of  $\frac{2}{3}V^{4+}$  and  $\frac{1}{3}V^{5+}$ , i.e.,  $V^{4.33+}$ , has a similar crystal structure and space group to  $VO_2(B)$  with  $a = 11.92$  Å,  $b = 3.68$  Å,  $c = 10.14$  Å, and  $\beta = 100.9^\circ$ .  $V_3O_7$  phase with a theoretical combination of  $\frac{1}{3}V^{4+}$  and  $\frac{2}{3}V^{5+}$ , i.e.,  $V^{4.67+}$ , has been shown to have a tetragonal structure ( $I4/mmm$ ) with  $a = b = 14.01$  Å, and  $c = 3.72$  Å.  $V_2O_5$  phase with only  $V^{5+}$  has an orthorhombic structure ( $Pmnm$ ), with  $a = 11.48$  Å,  $b = 4.36$  Å, and  $c = 3.55$  Å. It is interesting that  $(001)VO_2(B)$ ,  $(001)V_6O_{13}$ ,  $(100)V_3O_7$ , and  $(010)V_2O_5$  planes have a rectangular shape

with lattice constants in the range of 11.48–14.01 Å and 3.55–3.72 Å. We found that, for (111)YSZ (fluorite cubic,  $Fm\bar{3}m$ ,  $a = b = c = 5.13$  Å), the interatomic distances along orthogonal  $[1\bar{1}0]$  and  $[11\bar{2}]$  directions were 12.56 and 3.68 Å, respectively. Therefore, lattice matching may be possible between film and substrates, allowing us to choose (111)YSZ substrates for epitaxial growth of Wadsley phases.

The other important parameter for selective growth of epitaxial films of vanadium Wadsley pure phases is  $P_{O_2}$  (20–100 mTorr), because their vanadium ions have characteristically different oxidation states between  $V^{4+}$  and  $V^{5+}$ . Figures 2(b)–2(d) show the x-ray diffraction (XRD)  $\theta$ – $2\theta$  scans of  $VO_2(B)$ ,  $V_6O_{13}$ , and  $V_2O_5$  epitaxial films grown on (111)YSZ. When we deposited the films at 20 mTorr  $P_{O_2} < 35$  mTorr, the XRD  $\theta$ – $2\theta$  scan of the obtained films showed five strong peaks originating from diffraction of the  $\{001\}$  planes of  $VO_2(B)$  [Fig. 2(b)]. We found no additional peaks for  $2\theta = 5^\circ$ – $90^\circ$ , except for the (111)YSZ peak at  $30.12^\circ$  and (222)YSZ peak at  $62.68^\circ$ . When we increased  $P_{O_2}$  precisely to 46 mTorr, the XRD  $\theta$ – $2\theta$  scan of the obtained films showed seven strong peaks, corresponding to diffraction peaks from the  $\{001\}$  planes of  $V_6O_{13}$  [Fig. 2(c)]. There should be  $(007)V_6O_{13}$  at  $2\theta = 65.58^\circ$ , but it was hidden by the shoulder of the (222)YSZ substrate peak. We observed two strong peaks when we grew the films above  $P_{O_2} > 80$  mTorr, which were attributed to the diffractions from (010) and (020) of  $V_2O_5$  [Fig. 2(d)]. We performed XRD  $\phi$  scans to

TABLE I. Overview of structural information and oxidation states of vanadium Wadsley phases.

$V_mO_{2m+1}$	Oxidation state	Crystal structure (space group)	$a$ (Å)	$b$ (Å)	$c$ (Å)	$\beta$ ( $^\circ$ )
$VO_2(B)$ ( $m = \infty$ )	$V^{4+} : 1, V^{5+} : 0$	Monoclinic ( $C2/m$ )	12.03	3.69	6.42	106.6
$V_6O_{13}$ ( $m = 6$ )	$V^{4+} : \frac{2}{3}, V^{5+} : \frac{1}{3}$	Monoclinic ( $C2/m$ )	11.92	3.68	10.14	100.9
$V_3O_7$ ( $m = 3$ )	$V^{4+} : \frac{1}{3}, V^{5+} : \frac{2}{3}$	Tetragonal ( $I4/mmm$ )	14.01	14.01	3.72	
$V_2O_5$ ( $m = 2$ )	$V^{4+} : 0, V^{5+} : 1$	Orthorhombic ( $Pmnm$ )	11.48	4.36	3.55	

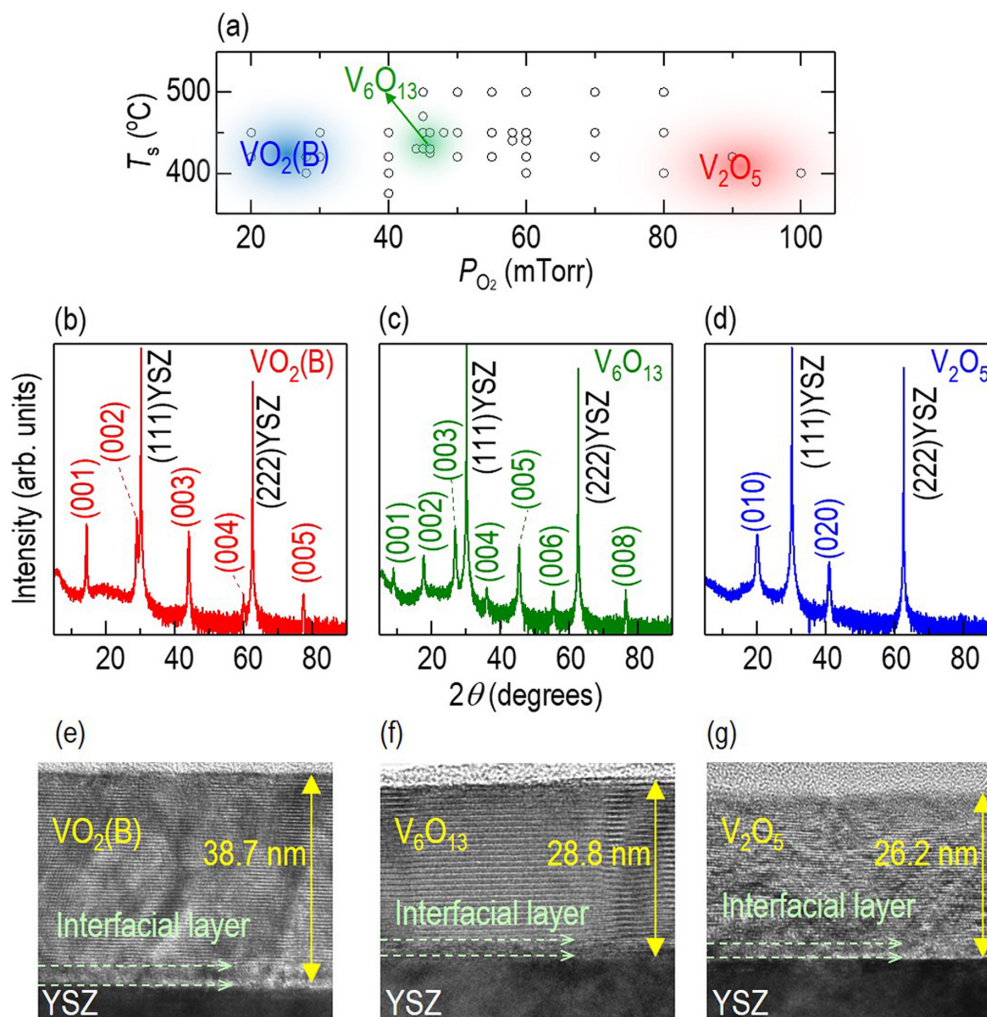


FIG. 2. (a) Growth phase as a function of oxygen partial pressure ( $20 \text{ mTorr} < P_{O_2} < 100 \text{ mTorr}$ ) and substrate temperature ( $400^\circ\text{C} < T_s < 500^\circ\text{C}$ ). Circles represent the tested growth conditions. We grew the epitaxial films several times under each set of growth conditions to check reproducibility. X-ray diffraction  $\theta$ - $2\theta$  scans of (b)  $VO_2(B)$ , (c)  $V_6O_{13}$ , and (d)  $V_2O_5$  films grown epitaxially on (111)-oriented Y-stabilized  $ZrO_2$  (YSZ). Cross-sectional images of (e) 38.7-nm-thick  $VO_2(B)$ , (f) 28.8-nm-thick  $V_6O_{13}$ , and (g) 26.2-nm-thick  $V_2O_5$  epitaxial films obtained by transmission electron microscopy. We observed 2–3-nm-thick interfacial layer directly on YSZ, which probably formed due to a dissimilar crystal structure and large lattice mismatch between vanadium Wadsley epitaxial films and YSZ substrate.

understand the in-plane orientation of the vanadium Wadsley epitaxial films on (111)-oriented YSZ (Supplemental Material, Fig. S1) [12]. The results indicated that unit cells of all Wadsley phases sat on the surface of YSZ with six equally probable arrangements (Fig. S2) [12]. Based on the XRD  $\theta$ - $2\theta$  and  $\phi$  scans, we proposed the following epitaxial relationships:  $(001)VO_2(B)$ ,  $(001)V_6O_{13}$ ,  $(010)V_2O_5||[(111)YSZ$  and  $[010]VO_2(B)$ ,  $[\bar{1}00]V_6O_{13}$ ,  $[00\bar{1}]V_2O_5||[11\bar{2}]YSZ$ .

To the best of our knowledge, this is the first report of epitaxial growth of  $V_6O_{13}$  and  $V_2O_5$ , while  $(001)VO_2(B)$  epitaxial films have been grown previously on  $(001)$ -oriented perovskite oxides [7,8]. In our previous paper [13], we also verified the  $P_{O_2}$  dependence when growing vanadium oxides on an  $Al_2O_3$  substrate. We found that  $VO_2$  and  $V_2O_5$  can be formed at  $P_{O_2} = 10$ – $25$  mTorr and near 100 mTorr, respectively. However, it should be noted that the  $V_2O_5$  films were not epitaxial, and we failed to stabilize the  $V_6O_{13}$  phase. Therefore, epitaxial growth of vanadium Wadsley phases is

possible due to the usage of (111)YSZ, while we attributed the phase selection to precise control of  $P_{O_2}$ .

We obtained cross-sectional images of  $VO_2(B)$ ,  $V_6O_{13}$ , and  $V_2O_5$  epitaxial films by transmission electron microscopy (TEM) to determine their morphology and crystal quality. The images of  $VO_2(B)$ ,  $V_6O_{13}$ , and  $V_2O_5$  presented in Figs. 2(e)–2(g) show typical features of the epitaxial film without any grain boundaries of the polycrystals. It should be noted that there is an ultrathin (2–3 nm thick) interfacial layer close to the YSZ substrates. We observed a similar interfacial layer when we deposited  $VO_2(B)$  epitaxial films on  $SrTiO_3$  (perovskite cubic,  $Pm\bar{3}m$ ,  $a = b = c = 3.905 \text{ \AA}$ ) [14]. Direct observations by scanning TEM indicated that the interfacial layer formed a fully coherent interface with the  $SrTiO_3$  substrate and a semicoherent interface with the strain-free epitaxial  $VO_2(B)$  film above, to relax the large lattice mismatch ( $= \frac{a_{\text{substrate}} - a_{\text{film}}}{a_{\text{substrate}}} \times 100$ ) between  $VO_2(B)$  and  $SrTiO_3$ , i.e.,  $-2.6\%$  for  $[010]VO_2(B)||[010]SrTiO_3$  and  $+5.8\%$  for

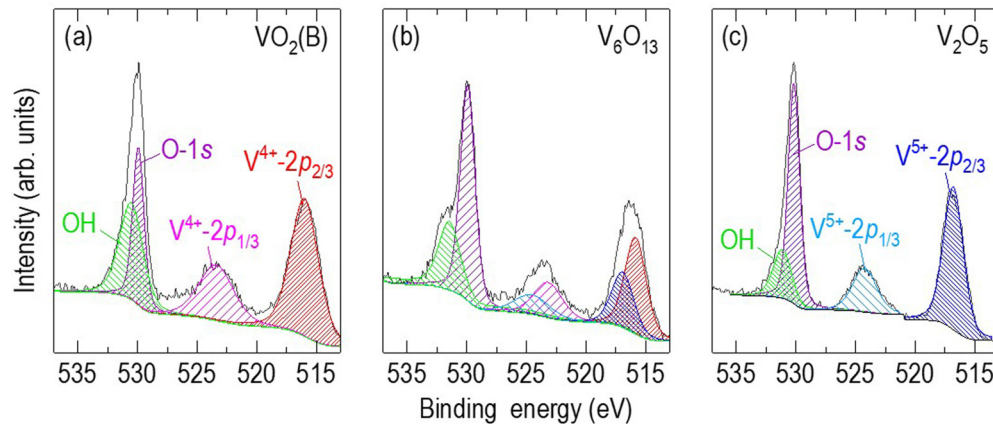


FIG. 3. X-ray photoemission spectroscopy (XPS)  $V 2p_{3/2}$ ,  $V 2p_{1/2}$ , and  $O 1s$  spectra in the range of 510–540-eV binding energy of (a)  $VO_2(B)$ , (b)  $V_6O_{13}$ , and (c)  $V_2O_5$ . We take the  $O 1s$  signal into account to correctly determine the Shirley background. We fit the XPS curve by  $V^{4+}2p_{3/2}$  at 515.84 eV,  $V^{4+}2p_{1/2}$  at  $V^{4+}2p_{3/2} + 7.33$  eV,  $V^{5+}2p_{3/2}$  at 517.2 eV, and  $V^{5+}2p_{1/2}$  at  $V^{5+}2p_{3/2} + 7.33$  eV. There is one more contribution at around 1.5 eV above the main  $O 1s$  signal for all XPS spectra, which is attributed to contamination by OH.

$[100]VO_2(B)||[100]SrTiO_3$ . The interfacial layer between  $VO_2(B)$  (or  $V_6O_{13}$ ,  $V_2O_5$ ) and YSZ substrate may play the same role due to the large lattice mismatch between them, i.e., +4.2% along  $[100]VO_2(B)||[1\bar{1}0]YSZ$  and  $-0.3\%$  along  $[010]VO_2(B)||[11\bar{2}]YSZ$  (+5.1% along  $[010]V_6O_{13}||[1\bar{1}0]YSZ$ , 0.0% along  $[\bar{1}00]V_6O_{13}||[11\bar{2}]YSZ$  and +8.6% along  $[100]V_2O_5||[1\bar{1}0]YSZ$ , +3.5% along  $[001]V_2O_5||[11\bar{2}]YSZ$ ). Therefore, the interfacial layer enables the epitaxial connection of vanadium Wadsley-phase epitaxial films and YSZ substrates, despite their dissimilar crystal structure and large lattice mismatch.

We should emphasize three important observations regarding the epitaxial growth of vanadium Wadsley pure phases. (1) The growth conditions of mixed-valence  $V_6O_{13}$  are more sensitive to  $P_{O_2}$  than those of single-valence  $VO_2(B)$  and  $V_2O_5$ . Figure 2(a) shows the growth phase as a function of  $P_{O_2}$  (20–100 mTorr) and  $T_s$  (400–500 °C). Single-valence  $VO_2(B)$  and  $V_2O_5$  have relatively wide growth windows of  $20 \text{ mTorr} < P_{O_2} < 35 \text{ mTorr}$  and  $80 \text{ mTorr} < P_{O_2} < 100 \text{ mTorr}$ , respectively. On the other hand, the growth conditions of mixed-valence  $V_6O_{13}$  were very narrow, i.e.,  $P_{O_2} \sim 46 \text{ mTorr}$ . (2) By precisely controlling  $P_{O_2}$  in the range of 46–80 mTorr, we expected epitaxial growth of mixed-valence  $V_3O_7$  on (111)-oriented YSZ, as (100) planes of  $V_3O_7$  can also sit on the (111) surface of YSZ with six equally probable arrangements (Fig. S3). However, despite tens of trials, we could not obtain pure  $V_3O_7$ . Rather, we observed mixed epitaxial phases of  $V_6O_{13}$ ,  $V_3O_7$ , and  $V_2O_5$  (in addition, we found a mixture of epitaxial  $VO_2(B)$  and  $V_6O_{13}$  for  $35 \text{ mTorr} < P_{O_2} < 46 \text{ mTorr}$ ). The lattice mismatch between YSZ and  $V_3O_7$ , i.e.,  $-11.5\%$  along  $[010]V_3O_7||[1\bar{1}0]YSZ$  and  $-1.1\%$  along  $[001]V_3O_7||[11\bar{2}]YSZ$ , was larger than that between YSZ and  $V_6O_{13}$  (or  $V_2O_5$ ). Therefore, not only  $P_{O_2}$  but also lattice matching between the film and the substrate is the main force underlying the formation of epitaxial films of vanadium Wadsley pure phases. (3) We grew films at  $400 \text{ °C} < T_s < 500 \text{ °C}$ , as  $V_2O_5$  has a significantly low melting temperature of 690 °C and small amounts of vanadium ions diffused into the zirconia when the temperature was increased beyond 500 °C [15].

Quantification of oxidation states is very important for verifying the purity of mixed-valence vanadium oxide. We investigated the difference in binding energy between the  $V 2p_{3/2}$  and  $O 1s$  level, to determine the oxidation state of vanadium ions. Figure 3 shows x-ray photoemission spectroscopy (XPS)  $V 2p_{3/2}$ ,  $V 2p_{1/2}$ , and  $O 1s$  spectra in the range of 510–540-eV binding energy. We fitted the XPS spectra of  $VO_2(B)$  with  $V^{4+}2p_{3/2}$  at 515.84 eV and  $V^{4+}2p_{1/2}$  at  $V^{4+}2p_{3/2} + 7.33$  eV [Fig. 3(a)] [16], indicating that the oxidation state of our  $VO_2(B)$  epitaxial films was firmly  $V^{4+}$ . The XPS spectra of  $V_2O_5$  epitaxial films exhibited a pure  $V^{5+}$  oxidation state, as it was assigned by  $V^{5+}2p_{3/2}$  at 517.2 eV and  $V^{5+}2p_{1/2}$  at  $V^{5+}2p_{3/2} + 7.33$  eV [Fig. 3(c)] [16]. Interestingly, both  $V^{4+}$  and  $V^{5+}$  peaks were required to resolve the XPS spectra of  $V_6O_{13}$  epitaxial films [Fig. 3(b)]. It should be noted that the  $V^{4+}$  peak was stronger than the  $V^{5+}$  peak in  $V_6O_{13}$ . To quantify the experimental ratio of  $V^{4+}/V^{5+}$  oxidation states in mixed-valence  $V_6O_{13}$  epitaxial films, we calculated the average valence,  $\frac{\sum_{i=4,5} \% (i+) \times i}{100}$ , where  $\% (i+)$  represents the percentage of the  $V^{i+}$  oxidation state in the  $V 2p_{3/2}$  signal [16]. The experimentally determined average valence was  $V^{4.37+}$  for  $V_6O_{13}$ , which was in good agreement with the theoretical value, i.e.,  $V^{4.33+}$  for  $V_6O_{13}$ . This observation confirmed that our epitaxial films were pure vanadium Wadsley phases.

### III. ELECTRICAL PROPERTIES OF PURE WADSLY EPITAXIAL FILMS

By obtaining pure vanadium oxides, we could investigate their fundamental physical and chemical properties. Here, we measured the electrical and optical properties of  $VO_2(B)$ ,  $V_6O_{13}$ , and  $V_2O_5$  epitaxial films. Figure 4 shows the wide spectrum of electrical properties of vanadium Wadsley phases, showing either insulating behavior or metal–insulator transition. The resistivity of  $VO_2(B)$  increased with decreasing temperature, indicating insulating properties as reported previously [7–9]. This unconventional behavior is probably due to strong correlations among electrons in the  $3d$  orbital [17].

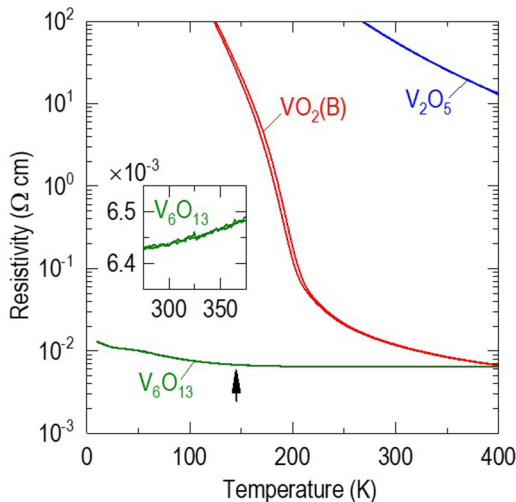


FIG. 4. Temperature dependence of the electrical resistivity of vanadium Wadsley phases.  $\text{VO}_2(\text{B})$  is an insulator, as its resistivity increases with decreasing temperature. The resistivity of  $\text{VO}_2(\text{B})$  close to room temperature is very near to that of  $\text{V}_6\text{O}_{13}$ . The inset shows that the resistivity of  $\text{V}_6\text{O}_{13}$  decreases with decreasing temperature close to room temperature, indicating metallic behavior. Upon cooling,  $\text{V}_6\text{O}_{13}$  exhibits a metal-insulator transition over a broad range of temperatures centered close to 150 K.  $\text{V}_2\text{O}_5$  exhibits insulating behavior and its resistivity at room temperature is three orders of magnitude larger than that of  $\text{VO}_2(\text{B})$  and  $\text{V}_6\text{O}_{13}$ .

With decreasing  $m$ , the number of electrons in the  $3d$  orbital decreased slightly, and so these electrons are expected to play an important role in determining the electrical properties of vanadium Wadsley phases. Surprisingly,  $\text{V}_6\text{O}_{13}$  showed more metallic behavior than  $\text{VO}_2(\text{B})$  close to room temperature; i.e., the resistivity decreased with decreasing temperature, as shown in the inset. The metallic behavior of  $\text{V}_6\text{O}_{13}$  transitioned into insulating behavior through a broad range of temperatures centered close to 150 K, as indicated by the

arrow. Compared to the sharp transition in bulk  $\text{V}_6\text{O}_{13}$  [18], such a broad transition is probably attributable to the strain induced by the substrate (the  $c$ -axis lattice constant of  $\text{V}_6\text{O}_{13}$  decreased to 9.968 Å due to the in-plane tensile strain, as discussed in Sec. II).  $\text{V}_2\text{O}_5$  shows insulating behavior over a wide temperature range. The  $\text{V}_2\text{O}_5$  films grown at  $P_{\text{O}_2} = 80$  mTorr had very high resistivity over  $10 \text{ } \Omega \text{ cm}$  at room temperature, i.e., three orders of magnitude greater than that of  $\text{VO}_2(\text{B})$ . The resistivity of  $\text{V}_2\text{O}_5$  increased further with increasing  $P_{\text{O}_2}$ , probably due to healing of oxygen vacancies. It was surprising that the slight variation in electrons in the  $3d$  orbital resulted in significant changes in the electrical properties of vanadium Wadsley oxides.

#### IV. OPTICAL PROPERTIES OF PURE WADSLLEY EPITAXIAL FILMS

Figure 5 shows the complex refractive index  $\tilde{n} = n + j\kappa$  ( $j$ : imaginary unit), where the real part of  $\tilde{n}$  is the normal refractive index,  $n$ , and the imaginary part is the extinction coefficient,  $\kappa$ . As shown in Figs. 5(a) and 5(b),  $n$  and  $\kappa$  have values in the range of 2–4 and 0–2, respectively. For  $\text{VO}_2(\text{B})$  and  $\text{V}_6\text{O}_{13}$ , the values in the  $\kappa$  spectrum diverged when the photon energy was close to zero, indicating a metallic nature at room temperature. With decreasing  $m$  and opening of the optical gap, the spectroscopic features of  $\kappa$  shifted significantly toward higher photon energy, indicating that  $\text{V}_2\text{O}_5$  becomes insulated, consistent with electrical transport.  $\kappa$  is directly related to the absorption coefficient  $\alpha$  of the materials, given by  $\alpha = \frac{2\kappa\omega}{c}$ , where  $c$  is the speed of light in free space and  $\omega$  is the angular frequency of light. We obtained an indirect band gap of 2.6 eV for  $\text{V}_2\text{O}_5$  by plotting  $\alpha^{1/2} \propto (\hbar\omega - E_g)$  [Fig. 5(c)], and a direct band gap of 2.8 eV by plotting  $\alpha^2 \propto (\hbar\omega - E_g)$  [Fig. 5(d)], where  $\hbar\omega$  is the photon energy and  $E_g$  is the optical band gap. As the band-structure calculation based on the density-functional theory approach refers to ground-state properties, the band gap of  $\text{V}_2\text{O}_5$  obtained in this study showed good agreement

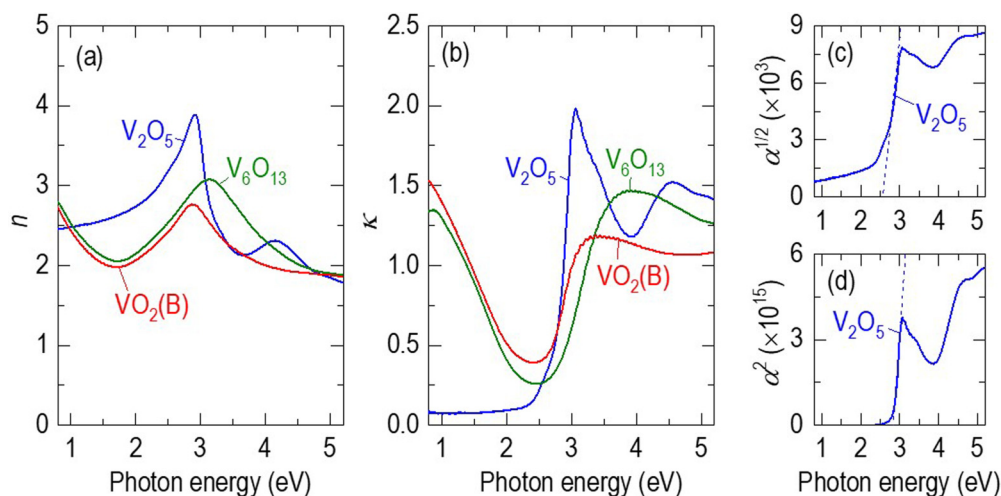


FIG. 5. Photon energy dependence of the complex refractive index. (a) Normal refractive index  $n$  and (b) extinction coefficient  $\kappa$  of  $\text{VO}_2(\text{B})$ ,  $\text{V}_6\text{O}_{13}$ , and  $\text{V}_2\text{O}_5$ . With decreased  $m$ , the spectral weight near zero photon energy is suppressed, opening the band gap in  $\text{V}_2\text{O}_5$ . We obtain (c) an indirect band gap of 2.6 eV and (d) a direct band gap of 2.8 eV of  $\text{V}_2\text{O}_5$  by plotting  $\alpha^{1/2} \propto (\hbar\omega - E_g)$  and  $\alpha^2 \propto (\hbar\omega - E_g)$ , respectively.  $\alpha$ ,  $\hbar\omega$ , and  $E_g$  denote the absorption coefficient, photon energy, and optical band gap, respectively.

with the theoretical value, i.e., indirect and direct band gaps of 2.1 and 2.3 eV, respectively, for a (010)V<sub>2</sub>O<sub>5</sub> single-layer slab [19]. Further studies are underway to establish the complete electronic structure of vanadium Wadsley phases.

## V. CONCLUSION

We noted sharp contrast in the electrical and optical properties of different vanadium Wadsley phases, i.e., mixed-valence V<sub>6</sub>O<sub>13</sub> as well as single-valence VO<sub>2</sub>(B) and V<sub>2</sub>O<sub>5</sub>. This study was made possible by selective stabilization of pure-phase epitaxial films, through precisely controlling oxygen partial pressure; we chose (111)-oriented YSZ as the substrate.

The availability of epitaxial films of vanadium Wadsley phases (excluding V<sub>3</sub>O<sub>7</sub>), fabricated in this study, will provide many opportunities to enhance the performance of batteries and electronics. (1) The fabrication of pure mixed-valence vanadium oxides should allow us to deeply understand the origins of their intriguing material properties, e.g., metal-insulator transition of V<sub>6</sub>O<sub>13</sub>. (2) The YSZ buffer layer was used to epitaxially grow VO<sub>2</sub>(M1) phase, which showed metal-insulator transition, on (001)-oriented silicon [20,21]; our work provides insights into the epitaxial growth of vanadium Wadsley phases on silicon substrates with a YSZ buffer layer. (3) We can orient the open tunnels in vanadium Wadsley

phases by changing the crystal orientation of the substrate to facilitate ion transport [22,23]. (4) Recently, Overmeere *et al.* suggested that YSZ film coated with VO<sub>2</sub> anodes showed energy-storing capability in ultrathin solid oxide fuel cells [24]. Epitaxial coatings of tunnel-structured VO<sub>2</sub>(B), V<sub>6</sub>O<sub>13</sub>, and V<sub>2</sub>O<sub>5</sub> are also of interest as potential anode material candidates. (5) We expect to develop devices utilizing vanadium Wadsley phase films, which have been limited to date by the presence of phase mixtures and defects. (6) As YSZ is an oxygen ion conductor, ionic coupling between YSZ and tunnel-structured vanadium Wadsley epitaxial films will allow the development of electronics (electronics + ionics) [25].

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