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1 **Haptoglobin genotype and outcome after spontaneous intracerebral**  
2 **haemorrhage**

3

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60 The authors report no conflict of interest concerning the materials or methods used in this study  
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75 **CONTRIBUTORSHIP STATEMENT**

76 Isabel C Hostettler: Design and conceptualized study; Acquisition of data; performed  
77 laboratory work; analysed the data; drafted the manuscript; revised the manuscript

78 Matthew J Morton: performed laboratory work; analysed the data; drafted the manuscript;  
79 revised the manuscript

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101 Henry Houlden: Design and conceptualized study; Acquisition of data; drafted the manuscript;  
102 revised the manuscript

103 Diederik Bulters: Design and conceptualized study; Acquisition of data; analysed the data;  
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105 Ian Galea: Design and conceptualized study; Interpreted the data; revised the manuscript for  
106 intellectual content

107 David J Werring: Design and conceptualized study; Interpreted the data; revised the manuscript  
108 for intellectual content; obtained funding for the study  
109

110 **ABSTRACT**

111 Objective: Haptoglobin is a haemoglobin-scavenging protein that binds and neutralises free  
112 haemoglobin and modulates inflammation and endothelial progenitor cell function. A *HP* gene  
113 copy number variation (CNV) generates HP1 and HP2 allele, the single nucleotide  
114 polymorphism rs2000999 influences their levels. HP1 allele is hypothesized to improve  
115 outcome after intracerebral haemorrhage (ICH). We investigated the associations of the *HP*  
116 CNV genotype and rs2000999 with haematoma volume, perihematoma oedema (PHO)  
117 volume, and functional outcome as well as mortality after ICH.

118 Methods: We included patients with neuroimaging-proven ICH, available DNA, and six-month  
119 follow-up in an observational cohort study (CROMIS-2). We classified patients into three  
120 groups according to the *HP* CNV: 1-1, 2-1 or 2-2 and also dichotomized *HP* into HP1-  
121 containing genotypes (HP1-1 and HP2-1) and HP2-2 to evaluate the HP1 allele. We measured  
122 ICH and PHO volume on CT; PHO was measured by oedema extension distance. Functional  
123 outcome was assessed by modified Rankin score (unfavourable outcome defined as mRS 3-6).

124 Results: We included 731 patients (mean age 73.4, 43.5% female). Distribution of *HP* CNV  
125 genotype was: HP1-1 n=132 (18.1%); HP2-1 n=342 (46.8%); and HP2-2 n=257 (35.2%). In  
126 the multivariable model mortality comparisons between HP groups, HP2-2 as reference, were  
127 as follows: OR HP1-1 0.73, 95%CI 0.34-1.56 (p-value=0.41) and OR HP2-1 0.5, 95%CI 0.28-  
128 0.89 (p-value=0.02) (overall p-value=0.06). We found no evidence of association of *HP* CNV  
129 or rs200999 with functional outcome, ICH volume or PHO volume.

130 Conclusion: The HP2-1 genotype might be associated with lower 6-month mortality after ICH;  
131 this finding merits further study.

132

133 **INTRODUCTION**

134 Spontaneous (non-traumatic) intracerebral haemorrhage (ICH) is the most devastating form of  
135 stroke with a mortality of about 40% at one month, and 65% at one year<sup>1-3</sup>. Patients who survive  
136 frequently remain severely disabled<sup>4</sup>. Moreover, incidence of ICH is increasing in the elderly  
137 population<sup>5-7</sup>, in part due to increasing use of oral anti-coagulation<sup>5-7</sup>.

138 Spontaneous ICH results from bleeding into the brain parenchyma arising from the rupture of  
139 an arterial vessel, most often (>80%) a small arteriole affected by cerebral small vessel diseases  
140 (SVD). The commonest sporadic SVD that cause ICH are deep perforator arteriopathy (also  
141 termed hypertensive arteriopathy or arteriolosclerosis) and cerebral amyloid angiopathy  
142 (CAA). A minority of ICH (less than 20%) is caused by structural or macrovascular bleeding  
143 sources such as tumours, arteriovenous malformations, cavernomas or fistulas. Deep perforator  
144 arteriopathy is associated with hypertension and is a frequent cause of deep ICH; CAA is  
145 caused by amyloid beta deposition in cortical and leptomeningeal blood vessels and is a key  
146 cause of lobar ICH.

147 Haptoglobin is an acute-phase protein which neutralizes free haemoglobin by binding it, and  
148 in doing so targets haemoglobin to the CD163 receptor for clearance<sup>8-15</sup>. Haptoglobin prevents  
149 the toxic and inflammatory effects of haemoglobin by shielding its iron-containing pocket, and  
150 preventing its breakdown into haem and iron, which consequently cause cytotoxicity and brain  
151 oedema<sup>8-15</sup>. The *HP* gene has a copy number variant (CNV), which leads to two co-dominant  
152 alleles: HP1 and HP2. Three different *HP* CNV genotypes exist: HP1-1, HP2-1 and HP2-2,  
153 and their respective protein products differ in molecular size and haemoglobin-binding  
154 capacity<sup>15-17</sup>. A previous study demonstrated some evidence that patients with the HP2 allele  
155 have a larger haematoma volume, though the underlying mechanisms remain unknown<sup>18</sup>. An  
156 increase in haematoma volume may be accompanied by more perihematoma oedema  
157 (PHO)<sup>18 19</sup>. ICH and PHO volume have been demonstrated to influence functional outcome<sup>18</sup>



158 <sup>19</sup>. A previous study reported worse functional outcome for patients with HP2 allele (HP2-1 or  
159 2-2) compared to HP1-1 patients as well as some evidence for increased mortality for each  
160 HP2 allele<sup>18</sup>. The *HP* CNV might be associated with functional outcome after ICH through  
161 differences in haemoglobin clearance and protection from the cytotoxic and inflammatory  
162 effects of haemoglobin breakdown products. However most previous studies investigating  
163 haptoglobin in ICH are based on investigations in rodents.  
164 The single nucleotide polymorphism (SNP) rs2000999 accounts for up to 50% of variation in  
165 circulating haptoglobin levels in the blood independently of the *HP* CNV<sup>20</sup>. The combined use  
166 of the *HP* CNV and rs2000999 has been suggested as an important genetic tool to discriminate  
167 between two potential mechanisms underlying differences between HP1 and HP2 alleles:  
168 haptoglobin expression level and functional differences in haptoglobin protein products<sup>21</sup>.  
169 We performed a comprehensible multivariable study investigating the influence of the *HP*  
170 CNV and rs2000999 SNP on functional outcome and mortality after ICH. We also aimed to  
171 assess the influence of the *HP* CNV and the rs2000999 SNP on ICH volume and OED.

172

## 173 **METHODS**

### 174 **Data collection**

175 We considered patients, of predominantly Caucasian descent, with spontaneous ICH and  
176 available blood samples recruited into the Clinical Relevance of Microbleeds in Stroke ICH  
177 study<sup>22</sup>. We defined spontaneous ICH as a non-traumatic haemorrhage into the brain  
178 parenchyma, presumed due to cerebral SVD after the exclusion of patients with an underlying  
179 structural or macrovascular cause.

180 We collected detailed information on demographics, risk factors, medication, clinical  
181 presentation, and radiological data. A diagnosis of hypertension, hypercholesterolaemia and  
182 diabetes mellitus was present if reported by the patient, stated on medical records or if either

183 drug treatment or any other form of advice (including lifestyle changes) was given. Smoking  
184 was defined as current and previous use. All patients had acute brain imaging with CT. Written  
185 informed consent was obtained from all participants, or a relative or representative. We  
186 excluded patients <18 years, patients without available or adequate CT scan. Patients with a  
187 CT scan after 72 hours from symptom onset were excluded from the primary ICH and PHO  
188 volume analysis.<sup>18 23 24</sup>. We classified ICH location into lobar, deep (basal ganglia, thalamus),  
189 cerebellar and brainstem according to a validated rating scale<sup>25</sup>. Our outcomes were death and  
190 functional outcome at 6 months (measured by the modified Rankin Scale [mRS] dichotomized  
191 into favorable [mRS 0-2] or unfavorable [mRS 3-6] categories).

## 192 **Haptoglobin genotyping**

193 To determine the *HP* CNV we optimised a high-throughput qPCR genotyping assay as  
194 described previously<sup>26</sup>. The assay amplified a region in the 5' terminal of the *HP* gene's first  
195 exon as an internal control (HP5'), and the breakpoint of the HP duplication (HP2). The  
196 HP2/HP5' ratio (theoretically either 0, 1, or 2) was used to determine the genotype as HP1-1,  
197 HP2-1 or HP2-2 respectively. Samples were run in triplicates; triplicates with a HP2/HP5' ratio  
198 coefficient of variation >10% were re-assayed. A second method of *HP* genotyping by PCR<sup>27</sup>  
199 was performed on samples with HP2/HP5' ratio values between 0.46-0.77, in order to confirm  
200 the *HP* CNV genotype. Rs2000999 was genotyped using Kompetitive Allele Specific PCR  
201 (KASP) assay technology<sup>28</sup> (LGC Genomics Limited, Hertfordshire, UK), call rate was 97.3%.

## 202 **Measurement of ICH and PHO volume**

203 We measured ICH and PHO volume as previously described via a semi-automated, threshold-  
204 based approach<sup>29</sup>. PHO was measured by the oedema extension distance (OED) using a  
205 previously described formula<sup>19</sup>; the rationale behind using OED is that PHO extends a  
206 consistent mean linear distance from the border of the ICH, independently of its volume.

207

## 208 **Statistical analysis**

209 We present categorical variables using frequency and percentages, continuous variables using  
210 mean  $\pm$  standard deviation (SD). We transformed ICH and PHO volume with cube root  
211 transformation to satisfy statistical normal distribution assumptions. We conducted a *post hoc*  
212 sensitivity analysis comparing patients with ICH volume and OED before and after 72 hours.  
213 We assessed the distribution of the *HP* CNV and rs2000999 SNP in the CROMIS-2 cohort  
214 compared to ALSPAC (Avon Longitudinal Study of Parents and Children) cohort of healthy  
215 individuals, which we used as controls. ALSPAC is a general population cohort study<sup>30 31</sup>; *HP*  
216 genetic data and rs2000999 SNP data was available from 927 and 748 participants. The  
217 ALSPAC study website (<http://www.bristol.ac.uk/alspac/researchers/our-data/>) contains  
218 details of all the data available through a fully searchable data dictionary and variable search  
219 tool. Ethical approval for the study was obtained from the ALSPAC Ethics and Law Committee  
220 and the Local Research Ethics Committees. To evaluate the HP1 allele, we also assessed the  
221 *HP* CNV as a dichotomized variable (HP1-1 and HP2-1 versus HP2-2) according to our pre-  
222 specified analysis plan.

223 We first performed univariable analyses for each of the four outcomes separately with  
224 demographic, clinical and radiological variables of interest. We subsequently fitted  
225 multivariable logistic regression models with significant variables from the univariable  
226 analysis in addition to pre-specified variables. For the analysis of ICH and OED volume we  
227 adjusted the models with the pre-specified variables: time from event to imaging, location of  
228 ICH, systolic blood pressure (SBP), *HP* CNV and rs200999 SNP. For functional outcome and  
229 mortality analysis, we fitted the multivariable model with the pre-specified variables: age, sex,  
230 hypertension, oral anticoagulation (OAC), *HP* CNV and rs200999 SNP. Additionally, we fitted  
231 the multivariable models with variables that were statistically significant at the 20% level in  
232 the univariable analysis.

233 We investigated whether there were interactions between different variables. However, no  
234 interaction reached our pre-specified significant threshold for interactions of  $p < 0.001$  (chosen  
235 to guard against overfitting) and were therefore not included in the models<sup>32</sup>.

236 Statistical analysis was performed using STATA 15 (StataCorp. 2011. *Stata Statistical*  
237 *Software: Release 15*. College Station, TX: StataCorp LP).

238

### 239 **Ethical approval**

240 The CROMIS-2 study was approved by the local Ethics Committee (reference: 10/H0716/64).

241

## 242 **RESULTS**

243 For the primary analysis of functional outcome at 6 months we included 732 patients. One  
244 DNA sample was uncallable for the *HP* CNV and 20 for the rs2000999 SNP. For the secondary  
245 analyses of ICH volume and PHO we included 709 patients with an available CT scan (Figure  
246 1). OED was measured at a mean of 10 hours from ICH onset. Patients who were genotyped  
247 (n=844) were not different to those without DNA (n=250) with regard to baseline  
248 characteristics and risk factor profile (data not shown). The rs2000999 genotype frequency in  
249 CROMIS-2 was as expected when compared to ALSPAC (Supplementary Table 1). However,  
250 compared to ALSPAC, CROMIS-2 patients less often had the HP2-2 CNV. We found no  
251 systematic difference in demographics, comorbidities and ICH characteristics between those  
252 with and without available outcome variable (data not shown).

253

### 254 **Mortality**

255 Of 731 patients with available follow-up and genotype data, 112 died within 6 months (15.3%)  
256 and 318 (43.5%) were female.

257 The distribution of the *HP* CNV was 132 HP1-1 (18.1%), 342 HP2-1 (46.8%) and 257 HP2-2  
258 (35.2%). Distribution of the SNP allele was: 27 A:A (3.8%), 234 A:G (32.9%) and 451 G:G  
259 (63.3%), 20 samples were not callable (2.7%).

260 Patients who died were older, more frequently female, more frequently on OAC, had a lower  
261 GCS on admission (GCS <8), a higher ICH and PHO volume, and intraventricular extension  
262 (IV). Results of the univariable analysis are shown in supplementary Table 2.

263 The mortality according to *HP* CNV was as follows: HP1-1 18.2%; HP2-1 12.6%; HP2-2  
264 17.5%. In the multivariable model (n=608) mortality comparisons between the *HP* groups,  
265 with HP2-2 as a reference group, were as follows: OR HP1-1 0.73, 95% CI 0.34-1.56 (p-  
266 value=0.41) and OR HP2-1 0.5, 95% CI 0.28-0.89 (p-value=0.02) (overall p-value=0.06, Table  
267 1).

268

269 Table 1: Factors associated with 6 month mortality after ICH in an adjusted multivariable  
 270 logistic regression model  
 271

	<b>OR</b>	<b>95% CI</b>	<b>P value</b>
Age (years)	1.11	1.07-1.14	<0.001
Female Sex	1.14	0.68-1.92	0.63
Hypertension	1.01	0.57-1.76	0.99
Diabetes mellitus	1.31	0.65-2.65	0.46
Oral anticoagulation	1.25	0.74-2.11	0.4
GCS on admission (binary)			
- GCS 3-8	4.23	1.35-13.28	0.01
- GCS 9-15 (reference)			
ICH location			
- Cerebellar (reference)			
- Brainstem	Empty		0.38
- Deep	0.98	0.33-2.93	
- Lobar	0.64	0.2-2	
Cr ICH volume (mL)	2.03	1.48-2.8	<0.001
OED (cm)	2.82	1.01-7.92	0.05
IV extension	1.56	0.89-2.72	0.12
<i>HP</i> CNV			0.06
- <i>HP</i> 1-1	0.73	0.34-1.56	
- <i>HP</i> 2-1	0.5	0.28-0.89	
- <i>HP</i> 2-2 (reference)			
Rs2000999			0.74
- A:A (reference)			
- A:G	0.6	0.15-2.36	
- G:G	0.58	0.15-2.28	

272  
 273 cm = centimeter; CNV = copy number variation; Cr = cube root; CT = computed  
 274 tomography; GCS = Glasgow Coma Scale; *HP* = Haptoglobin; ICH = intracerebral  
 275 haemorrhage; IV = intraventricular; ml = milliliter; OAC: oral anticoagulation; SBP: systolic  
 276 blood pressure  
 277

278 When dichotomizing *HP* into HP1-1/2-1 versus HP2-2 there was evidence for association of  
279 decreased mortality with the HP1 allele compared to HP2-2 (OR 0.55, 95%CI 0.31-0.95,  
280  $p=0.03$ , supplementary Table 3). As expected, there was also evidence for an increase in  
281 mortality with increasing age (OR 1.11, 95%CI 1.07-1.14,  $p<0.001$ ), decreased GCS on  
282 admission  $<9$  (OR 4.37, 95%CI 1.39-13.73,  $p=0.01$ ), and ICH volume (OR 1.99, 95%CI 1.45-  
283 2.74,  $p<0.001$ ).

284

285 We further investigated the association between mortality and *HP* CNV across tertiles of all  
286 the covariates included in the multivariable model as a *post hoc* analysis. Mortality differed  
287 between the *HP* groups for older patients ( $>80$  years) with lower ( $<12.2\text{mL}$ ) ICH volume: in  
288 this subgroup, mortality was 26% for HP1-1, 14% for HP2-1 and 42% for HP2-2. Patients died  
289 at a median of 3.8 months after ICH. There was no difference (early vs. late death) in the time  
290 of death after ICH across *HP* CNV or rs2000999 groups, in the overall cohort or the subgroup  
291 of  $>80$  years and  $<12.2\text{mL}$  ICH volume (regression data not shown, supplementary Figure 1).  
292 The mortality rate was similar across the *HP* groups for the remaining patients: 15% for HP1-  
293 1, 12% for HP2-1 and 12% for HP2-2. The association between mortality and *HP* CNV was  
294 confirmed across tertiles of all the other covariates. Finally, we investigated covariates not  
295 included in the multivariable model, to see whether they differed across *HP* genotypes, but  
296 found no bias to explain the association between mortality and *HP* CNV (data not shown).

297

### 298 **Functional outcome**

299 Of 731 patients, 444 (60.7%) suffered an unfavourable outcome (mRS 3-6). Dichotomized  
300 unfavourable mRS according to *HP* CNV was as follows: HP1-1 64.4%; HP2-1 59.7%; HP2-  
301 2 60.3%.

302 Patients with an unfavourable outcome were older, more frequently female, on OAC, more  
303 frequently had hypertension, hypercholesterolaemia, presented with a lower GCS (GCS of 3-  
304 8), had a higher ICH and PHO volume and IV extension. See supplementary Table 2 for  
305 univariable analysis.

306 In the multivariable model (n=623) age (OR 1.04, 1.02-1.06 95%CI; p<0.001), female sex (OR  
307 2.31; 1.58-3.37; 95%CI; p<0.001) and the cube root of the ICH volume (OR 1.5; 1.22-1.85  
308 95%CI; p<0.001) were significantly associated with functional outcome (Table 2). Neither *HP*  
309 CNV nor rs2000999 SNP were associated with functional outcome.

310



311 Table 2: Factors associated with unfavourable outcome after ICH in an adjusted multivariable  
 312 regression model  
 313

	<b>OR</b>	<b>95% CI</b>	<b>P value</b>
Age (years)	1.04	1.02-1.06	<0.001
Female Sex	2.31	1.58-3.37	<0.001
Hypertension	1.37	0.92-2.04	0.12
Diabetes mellitus	1.18	0.71-1.97	0.52
Oral anticoagulation	1.16	0.77-1.73	0.49
Antiplatelets	1.08	0.7-1.69	0.72
Hypercholesterolaemia	1.17	0.78-1.75	0.44
GCS on admission (binary)			
- GCS 3-8	3.56	0.76-16.5	0.11
- GCS 9-15 (reference)			
Cr ICH volume (mL)	1.5	1.22-1.85	<0.001
IV extension	1.38	0.9-2.12	0.14
Surgical evacuation	1.84	0.45-7.5	0.39
<i>HP</i> CNV			0.78
- <i>HP</i> 1-1	1.17	0.67-2.03	
- <i>HP</i> 2-1	0.97	0.65-1.45	
- <i>HP</i> 2-2 (reference)			
Rs2000999			0.66
- A:A (reference)			
- A:G	1.19	0.43-3.3	
- G:G	1.39	0.5-3.84	

314  
 315  
 316 CNV = copy number variant; Cr = cube root; CT = computed tomography; GCS = Glasgow  
 317 Coma Scale; *HP* = Haptoglobin; ICH = intracerebral haemorrhage; IV = intraventricular; ml  
 318 = millilitre; OAC: oral anticoagulation; SBP: systolic blood pressure  
 319

320

321 **Intracerebral haemorrhage volume and oedema extension distance**

322 Of the 731 patients included in the functional analysis, 709 had a CT scan available, and of  
323 these 68 were >72 hours after symptom onset (Figure 1). Of the remaining 641 individuals,  
324 453 (70.7%) had a scan <24h, 172 (26.8%) between 24-48h and 16 (2.5%) between 48-72h.

325 See Figure 2 for the association of the *HP* CNV and SNP with OED and ICH volume.

326 Mean ICH volume was 13.8 mL ( $\pm$  18.82 SD), mean PHO volume 19.54 mL ( $\pm$  20.56 SD) and  
327 mean OED 0.51 cm ( $\pm$ 0.23 SD). Variables significantly associated with ICH volume in the  
328 univariable analysis are listed in the supplementary Table 3.

329 In the fitted multivariable model (n=604) ICH location (overall  $p < 0.001$ ) and intraventricular  
330 extension (coefficient 0.53; 0.37-0.68;  $p < 0.001$ ) were associated with greater ICH volume  
331 (Table 3). Neither *HP* CNV nor the SNP rs2000999 were associated with ICH volume.

332

333 Table 3: Factors associated with the cube root ICH volume in an adjusted multivariable  
 334 regression model  
 335

	Coefficient	95% CI	P value
Age (years)	-0.005	-0.01-0.001	0.09
Time Event to CT			0.35
- Day 1 (reference)			
- Day 2	0.04	-0.23-0.31	
- Day 3	-0.29	-0.7-0.11	
ICH location			<0.001
- Cerebellar (reference)			
- Brainstem	-0.73	-1.22-0.23	
- Deep	-0.13	-0.44-0.18	
- Lobar	0.79	0.47-1.1	
SBP (mmHg)	0.001	-0.002-0.002	0.88
Platelet level (x10 <sup>9</sup> /liter)	0.001	-0.0004-0.001	0.31
Hypercholesterolaemia	0.09	-0.05-0.22	0.2
IV extension	0.53	0.37-0.68	<0.001
Neurosurgery	0.36	-0.06-0.78	0.1
<i>HP</i> CNV			0.66
- <i>HP</i> 1-1	-0.09	-0.25-0.52	
- <i>HP</i> 2-1	-0.02	-0.17-0.13	
- <i>HP</i> 2-2 (reference)			
Rs2000999			0.68
- A:A (reference)			
- A:G	0.14	-0.25-0.52	
- G:G	0.16	-0.22-0.54	

336  
 337 CNV = copy number variation; CT = computed tomography; *HP* = Haptoglobin; ICH =  
 338 intracerebral haemorrhage; IV= intraventricular; mmHg = millimetre mercury; SBP= systolic  
 339 blood pressure  
 340

341

342 After dichotomizing the *HP* CNV into HP1-1/2-1 versus HP2-2 we did not observe any  
343 evidence of an association in univariable or multivariable analyses ( $p = 0.39$  [supplementary  
344 Table 4] and  $p = 0.6$  respectively [data not shown]). Similar results were observed when  
345 dichotomizing *HP* CNV into HP1-1 versus HP2-1/2-2 [supplementary Table 4].

346

### 347 **Oedema Extension Distance**

348 Variables significantly associated with OED in the univariable analysis are listed in  
349 supplementary Table 4. For comparison of *HP* CNV and SNP for ICH volume and OED see  
350 Figure 2.

351 In the multivariable linear regression model ( $n=623$ ), ICH location (with lobar and deep ICH  
352 locations featuring a longer OED and with a brainstem location featuring a shorter OED,  
353 compared to the reference group of cerebellar location, overall  $p<0.001$ ) and antihypertensive  
354 medication (coefficient  $-0.09$ ; 95% CI  $-0.16$ - $(-0.02)$ ;  $p=0.01$ ) were significantly associated with  
355 OED (Table 4). Neither the univariable nor multivariable analysis showed evidence of  
356 association of *HP* CNV or rs2000999 SNP with OED.

357 Similar to the ICH volume model, dichotomizing *HP* did not yield any evidence of association  
358 in univariable and multivariable models (data not shown).

359

360 Table 4: Factors associated with size of oedema extension distance in an adjusted  
 361 multivariable regression model  
 362

	Coefficient	95% CI	P value
Female Sex	0.01	-0.02-0.05	0.44
Time Event to CT			0.18
- Day 1 (reference)			
- Day 2	0.07	-0.008-0.14	
- Day 3	0.04	-0.07-0.15	
ICH location			<0.001
- Cerebellar (reference)			
- Brainstem	-0.08	-0.21-0.06	
- Deep	0.16	0.07-0.24	
- Lobar	0.24	0.15-0.33	
SBP (mmHg)	0.0002	-0.0003-0.001	0.49
OAC	0.05	-0.02-0.12	0.17
Antihypertensive medication	-0.09	-0.16-(-0.02)	0.01
Platelet level (x10 <sup>9</sup> /liter)	0.0002	-0.00005-0.0004	0.11
IV extension	-0.03	-0.07-0.008	0.11
HP CNV			0.5
- HP1-1	0.03	-0.02-0.09	
- HP2-1	0.01	-0.03-0.05	
- HP2-2 (reference)			
Rs2000999			0.93
- A:A (reference)			
- A:G	0.01	-0.09-0.11	
- G:G	0.003	-0.1-0.1	

363  
 364 CNV = copy number variation; CT = computed tomography; HP = Haptoglobin; ICH =  
 365 intracerebral haemorrhage; mmHg = millimetre mercury; OAC: oral anticoagulation; SBP:  
 366 systolic blood pressure  
 367

368

369

370 **DISCUSSION**

371 In this large prospective, multicentre cohort study, *HP* was not associated with functional  
372 outcome as assessed by the mRS. The *HP* CNV distribution was comparable to that reported  
373 in a previous study, apart from a slightly higher proportion of HP1-1 patients and lower  
374 proportion of HP2-2<sup>18</sup>. Despite the larger sample size, we could not replicate this previous  
375 study's finding of an association of the HP2 allele with functional outcome<sup>18</sup>.

376

377 However, we found evidence that mortality was lower in HP2-1 patients compared to HP2-2  
378 homozygotes; our *post hoc* analyses suggest that this observation is mostly driven by older  
379 patients with lower ICH volumes. No association with mortality was found for the rs2000999  
380 SNP (which is associated with haptoglobin expression level)<sup>21</sup>. This suggests that any link  
381 between the *HP* CNV and mortality is mediated by factors other than haptoglobin expression.

382

383 While the *HP* CNV's association with mortality could have been confounded by bias in a  
384 variable excluded from the model, we did not find any evidence for this. Such a factor could  
385 still remain unidentified, but a more likely explanation is that patients who died did not  
386 contribute to functional outcome analysis. We found evidence of HP2-2 missingness (of  
387 subjects of a particular genotype, in this case HP2-2), when comparing CROMIS-2 with  
388 ALSPAC cohorts, which might suggest that the HP2-2 genotype confers a mortality risk.

389

390 We confirmed previous results showing evidence towards increased mortality with HP2-2<sup>18</sup>,  
391 but did not observe a unidirectional dose response of *HP* alleles in a direction of increasing or  
392 decreasing mortality across *HP* genotypes (mortality: HP1-1 18.2%; HP2-1 12.6%; HP2-2  
393 17.5%). The lower mortality in HP2-1 individuals could be a chance finding. A possible but  
394 unlikely explanation is heterozygote advantage or heterosis<sup>33</sup>. At a molecular level, the HP1

395 allele might protect against the deleterious effect of the HP2 allele only when the two alleles  
396 are present together in HP2-1 individuals. Both HP1 and HP2 alleles scavenge haemoglobin,  
397 with HP2 being superior<sup>34 35</sup>, and this confers a beneficial effect. However, HP2 has additional  
398 off-target effects which are deleterious, mostly pro-inflammatory<sup>36</sup>. In HP2-2 individuals, the  
399 better haemoglobin scavenging potential of HP2 versus HP1 is offset by its proinflammatory  
400 effects, so that mortality is similar in HP1-1 and HP2-2 individuals. In HP2-1 individuals, the  
401 HP1 allele may be negating the deleterious effect of HP2, so that a greater benefit is observed  
402 in HP2-1 individuals than is expected by simple co-dominance of the two alleles.

403

404 We did not confirm previous findings of worse functional outcome in patients with HP2 allele,  
405 which could be due to the significantly smaller cohort size and statistical power of the previous  
406 study, with potential for a chance finding<sup>18</sup>.

407

408 PHO develops over a continuous period of time in three main stages. It peaks after two weeks,  
409 however its evolution is most rapid in the first 2-3 days<sup>37</sup>. PHO is thought to be mediated by a  
410 process of toxicity and inflammation<sup>19 37</sup>. We hypothesized that by modulating neurotoxicity  
411 and inflammatory processes haptoglobin might have influenced PHO and functional  
412 outcome.<sup>38</sup> However, we did not find any association of *HP* genetic variants (CNV or the  
413 rs2000999 SNP) with OED. Similarly, *HP* genetic variants were not associated with ICH  
414 volume, which, like haemtoma expansion, is more likely to be driven by other factors including  
415 hydrostatic pressure at the bleeding point<sup>18</sup>.

416

417 Despite having a large cohort available, we could not replicate the previous study's reported  
418 finding of an association of the HP2 allele with larger ICH volumes and IV extension<sup>18</sup>. Since  
419 ICH volume and OED was assessed on CT scans performed within 72 hours of symptom onset,

420 we cannot exclude an association of *HP* with ICH volume or OED after this timepoint, although  
421 our exploratory analysis of scans beyond 72 hours (n=68) and found no difference in ICH  
422 volume and OED across *HP* genotypes (for both CNV and rs2000999 SNP) (data not shown).  
423 We found that long-term antihypertensive medication prior to ICH event is independently  
424 associated with decreased OED, even after correcting for SBP. It is possible that patients on  
425 antihypertensive medication could have reduced sympathetic activity and inflammatory  
426 response when ICH occurs<sup>39</sup>, a hypothesis that merits further study. As we did not collect  
427 follow-up scans, we cannot comment on a potential influence of SBP on haematoma growth.

428

429 Our study has strengths. Our prospective, multi-centre study is the largest on *HP* and ICH to  
430 date, and should be generalizable to Caucasian populations. We collected detailed baseline  
431 clinical and brain imaging data and undertook multivariable regression analysis adjusting and  
432 correcting for important predictors of all four outcomes, and took exceptional care to control  
433 for covariates.

434

435 However, our study also has limitations. Since we obtained informed or proxy consent, our  
436 study is biased towards ICH survivors with less severe ICH than would be included in an  
437 unselected incident ICH population. However, it is likely that any protective effect of *HP* is  
438 most relevant in ICH patients who survive the acute period. Additionally, CT scans at multiple  
439 timepoints were not available and therefore we could not assess the influence of *HP* CNV and  
440 rs2000999 SNP on ICH, PHO or OED expansion over time. We also did not have data on the  
441 time interval between the ICH and CT scan. However, in a *post hoc* sensitivity analysis ICH  
442 volume before and after 72 hours was very similar although OED was larger in patients with  
443 first imaging after 72 hours. As PHO increases beyond 72 hours further studies are needed to  
444 assess an influence of the *HP* CNV and rs2000999 SNP on oedema expansion. Although we



445 excluded patients without blood samples available for genetic analysis, there were no  
446 systematic differences in demographics, comorbidities and ICH characteristics between those  
447 with and without genetic data available. Finally, it would have been interesting to study plasma  
448 and cerebrospinal fluid haptoglobin levels in relation to *HP* genetic variants, but unfortunately  
449 these were not available.

450

## 451 **CONCLUSION**

452 We investigated the association of *HP* genetic variation (the *HP* CNV and the rs2000999 SNP)  
453 in a large cohort of 731 ICH patients. We found evidence in support of a lower mortality with  
454 the HP2-1 genotype, but not functional outcome, ICH volume or OED. While *HP* genotype  
455 may not matter for functional outcome, upregulating or supplementing haptoglobin may still  
456 be of benefit, as demonstrated in animal studies<sup>40</sup>, so understanding how different haptoglobin  
457 types associate with outcome is important. A future meta-analysis may be appropriate to  
458 confirm our observations, and longer follow-up may be needed in case there is an association  
459 with longer term outcome.

460

461

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595

596

597 **FIGURE LEGENDS**

598 Figure 1. Patient selection flow diagram

599 Figure 2. A) Differences in OED in Haptoglobin genotype and SNP, B) Differences in ICH  
600 volume in Haptoglobin genotype and SNP

601 Supplementary Figure 1. A) Time to death in days by HP CNV overall cohort, B) Time to death  
602 in days by rs2000999 overall cohort, C) Time to death in day by HP CNV subgroup >80 years  
603 <12.2mL ICH volume, D) Time to death in day by rs2000999 subgroup >80 years <12.2mL  
604 ICH volume