



# Development of Ambient PM 2.5 Management Strategies

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# **Development of Ambient PM<sub>2.5</sub> Management Strategies**

## **Final Report for AUTC Project # 107004**

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(Ron Johnson and Tom Marsik)
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(Ron Johnson)
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## **Development of Ambient PM<sub>2.5</sub> Management Strategies**

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1. UAF/CEM/INE 2. UAF/GI

### I. Part One; Introduction and Summary

This report is in six parts. We first introduce the problem, briefly mention what some others have found relating to motor vehicles and PM<sub>2.5</sub>, and summarize our conclusions. The second part focuses on analysis of data obtained at the FNSB Bus Barn during the past two winters. The third uses data obtained downtown and a resulting transient mass balance model which lead to a journal submission. The fourth looks at results from a relocatable air monitoring station [RAMS] obtained during the 2007-2008 winter. The fifth is a report on Transportation System Management [TSM] Strategies while the sixth summarizes the results from a chemical mass balance [CMB] receptor model.

### **Part I**

#### Project Purpose:

Extreme and relatively long lasting inversion conditions result in violations of air quality standards for fine particulate matter (PM<sub>2.5</sub>), resulting in the possibility of communities being labeled “non-attainment” areas according to US EPA regulations. The inversions seen in Interior Alaska are some of the most extreme in the country. Transportation and air quality officials must be prepared to make changes in these communities such that air quality regulations are met.

Fairbanks is one such community. The USEPA National Ambient Air Quality Standard (NAAQS) for particles smaller than 2.5 μ in diameter (PM<sub>2.5</sub>) was recently revised downward to 35 μg/m<sup>3</sup> for a 24 hour average and retained at 15 μg/m<sup>3</sup> for an annual mean. An analysis of the effect of this tightened standard shows the Fairbanks North Star Borough (FNSB) will be in non-compliance. Our strong ground-based inversions, coupled with high per-capita fossil fuel consumption due to our large numbers of heating degree days, and motor vehicle inefficiencies at low temperatures contribute to our problem.

In order to develop a strategy for bringing the FNSB into compliance in the future, it is critical that we both develop a better picture of the spatial and temporal variability of fine particulates in the Fairbanks airshed and identify and quantify the major sources of PM<sub>2.5</sub>. Other communities have found major sources to include stationary sources like power plants, and area-wide sources such as wood stoves and motor vehicles.

This project will provide a better definition of the magnitude and extent of the PM<sub>2.5</sub> problem in Fairbanks by collecting and analyzing additional field data relating to air quality and meteorology, making estimates regarding the relative importance of transportation activities as a source term, and developing Transportation System Management Strategies.

### Motor vehicles and PM<sub>2.5</sub>

Numerous studies have estimated the relative importance of motor vehicles [MVs] to air pollution in communities. We will mention just a few. A recent review paper (Health Effects Institute, 2009) found MV contributions to PM<sub>2.5</sub> in the US can range from 5 % [Pittsburgh] to 55 % [LA] and elsewhere 6 % [Beijing] to 53 % [Barcelona]. At a valley in rural BC, Jeong et al (2008) found MVs responsible for 13 % of PM<sub>2.5</sub> in winter and wood burning 31 %. In the period Feb – April 2004, Allen et al, 2004, found wood smoke accounted for 24 %, fresh MV exhaust 10 %, and aged MV exhaust 23 % of the PM<sub>2.5</sub> mass in Rutland, VT. The MV sources had a maximum in the AM rush hour, secondary aerosol midday, and wood smoke in the evening. The MV AM rush hour emissions were less on weekends. The study used Aethalometer data at 880 and 370 nm plus a few chemistry composition measurements and a UNMIX receptor model. Chow et al (1995) used a CMB model to apportion PM<sub>10</sub> to its major sources in San Jose, CA. During the wintertime, they found residential wood combustion was the largest contributor with motor vehicle exhaust, resuspended road dust, and secondary ammonium nitrate each contributing 15 to 20 %. The lowest and highest 12 hr levels were 8.4 and 150.4 µg/m<sup>3</sup> respectively with 24 hr average values at the two sites being 47 µg/m<sup>3</sup>.

Weimer et al (2009) found traffic dominant and wood burning minor source for the nanoparticle [5.6 to 300 nm] number concentration for alpine valley in Switzerland near a major road. [both are important for PM during winter inversions]. Buckeridge et al (2002) found a significant effect of modeled area exposure to PM<sub>2.5</sub> from motor vehicle emissions on hospital admission rates in Toronto, Canada for selected respiratory conditions. They found PM<sub>2.5</sub> concentrations near busy roads can be 30 % higher than background levels and that motor vehicle emissions may be responsible for 25 to 35 % of PM<sub>2.5</sub> emissions.

Buckeridge, D., R. Glazier, B. Harvey, M. Escobar, C. Amrhein, and J. Frank, 2002, Effect of Motor Vehicle Emissions on Respiratory Health in an Urban Area, Environmental Health Perspectives, 10, pp. 293-300

Allen, G. , P. Babich, and R. Poirot, 2004, Evaluation of a New Approach for Real Time Assessment of Wood Smoke PM, [www.nescaum.org/documents/2004-10-25-allen-realtime\\_woodsmoke\\_indicator\\_awma.pdf/](http://www.nescaum.org/documents/2004-10-25-allen-realtime_woodsmoke_indicator_awma.pdf/)

Chow, J., D. Fairley, J. Watson, R. DeMandel, E. Fujita, D. Lowenthal, Z. Lu, C. Frazier, G. Long, and J. Cordova, 1995, Source Apportionment of Wintertime PM 10 at San Jose, Calif., JI of Environ Engr, Volume 121, Issue 5, pp 378 – 387

Health Effects Inst, Boston, Mass., Traffic related air pollution; Special Rpt 17,,May, 2009

Jeong, C., G. Evans,, T. Dann, M. Graham, D. Herod, E. Dabek-Zlotorzynska, D. Mathieu, L. Ding, D. Wang, Influence of biomass burning on wintertime fine particulate matter: Source contribution at a valley site in rural British Columbia, Atmospheric Environment 42 (2008) 3684–3699

Weimer, S., C. Mohr, R. Richter, J. Keller, M. Mohr , A. Pre'vo^t , U. Baltensperger, 2009, Mobile measurements of aerosol number and volume size distributions in an Alpine valley: Influence of traffic versus wood burning, Atmospheric Environment 43 (2009) 624–630

#### Summary Conclusions for AUTC Fairbanks PM<sub>2.5</sub> Project

- 1) Traffic is a significant contributor to PM<sub>2.5</sub> at the bus barn during December and January. [ $r^2 > 0.5$  between average hourly PM and nearby traffic [vph]]
- 2) Comparing Dec 27 – Jan 11 [T < - 30 °C in 08-09] for the 08-09 winter with the 07-08 winter shows the PM<sub>2.5</sub> 130 % higher in 08-09 while the HDDs are only 42 % higher at the bus barn.
- 3) Hence, the higher PM<sub>2.5</sub> is not explained just by a HDD difference. The explanation could include increased use of OWBs and wood stoves, more stable atmospheric conditions, higher MV unit emissions, etc.
- 4) RAMs PM<sub>2.5</sub> data at a residential area in N Pole showed a negative correlation with downtown PM<sub>2.5</sub> data in Jan '08 with the N Pole values falling in the early morning hours as vph and PM<sub>2.5</sub> increased downtown and rising after the PM rush hour till around 1 AM as the downtown PM<sub>2.5</sub> fell. This is consistent with firing patterns for wood stoves. During the first 3 of 6 days, the ambient temperature was less than – 29 °C, so there would be ample motivation to use wood stoves.
- 5) A strong correlation between PM<sub>2.5</sub> and black carbon [BC] downtown for a cold week in Jan. 2009 [ $r^2 = 0.83$ ] indicates the PM<sub>2.5</sub> is associated with fresh and aged MV emissions as well as wood smoke [WS].

UV – BC [a qualitative indicator for WS] doesn't correlate with PM<sub>2.5</sub>. But, the fact that this signal is greatest during early AM and late evening hours is consistent with wood smoke associated with space heating. [UV and BC each from Aethalometer data].

6) An unsteady mass balance box model we developed indicates motor vehicles are responsible for about 30 % of  $PM_{2.5}$  downtown concentrations for the past 6 Dec-Jan periods. [r between our model and the measured  $PM_{2.5}$  values is 98 %].

7) For the future, it would be worthwhile to:

a) collect data re ambient particle size distribution & no. density as well as cold T vehicle emissions data.

b) Deploy FRM BAMs next winter.

c) Don't forget the importance of exposure while indoors [in buildings as well as MVs]

8) With respect to Transportation System Management [TSM] Strategies, we considered (1) an increase in bus ridership, (2) working at home and (3) carpooling. We found (2) has biggest potential to improve AAQ but even 5 % telecommuting was predicted to only lower downtown  $PM_{2.5}$  by about 0.4 %. So, the FNSB would have to adopt major changes in TSM to effect significant reductions in downtown  $PM_{2.5}$  levels.

8) A chemical mass balance model [CMB 8.2] revealed that road dust, biomass burning (wood smoke), and motor vehicles are significant contributors to  $PM_{2.5}$  at the bus barn. By considering SASS data collected during the past four winters in downtown Fairbanks, we conclude that biomass burning contributed 78, 62, 51, and 53 % of the downtown  $PM_{2.5}$  for the months of November, December, January, and February, respectively. The corresponding percentages for automobiles are 24, 17, 20 and 24 %.

## Part II

Analysis of Bus Barn et al Data - Oct. 2009 Ron Johnson and Tom Marsik, UAF

### Introduction:

The USEPA National Ambient Air Quality Standard [NAAQS] for particles smaller than 2.5  $\mu$  in diameter [ $PM_{2.5}$ ] was recently revised downward to 35  $\mu\text{g}/\text{m}^3$  for a 24 hour average while retaining 15  $\mu\text{g}/\text{m}^3$  for an annual mean. Based on this standard, the Fairbanks Northstar Borough [FNSB] has been frequently in non-compliance. For example, the FNSB was noncompliant from 11 to 30 times each winter from 2003-2004 through 2007-2008 with respect to the new 24-hour standard. We believe that emissions from transportation activities, space heating, and electric power plants together with our wintertime meteorological conditions are all contributing to this problem.

As part of our efforts to learn more about the distribution of particulate matter in the FNSB air shed, the FNSB has deployed particulate monitors at various locations in Fairbanks, Alaska. One such location is the bus barn located on the east side of Peger road approximately 300 m south of the Mitchell Expressway. At this site, there is a BAM 1020, and, in Dec., 2007, an R&P 2000, and a CO Analyzer. The first two measure  $PM_{2.5}$  which is particulate matter smaller than 2.5  $\mu$  in diameter. The BAM is a continuous monitor that allows us to collect one hour average values while the R&P infers 24 hour average values. The former utilizes beta attenuation while the latter is based on gravimetric principles. The CO Analyzer measures carbon monoxide via attenuation of IR radiation and records one hour average values. Beginning in Dec. 2009, a FRM BAM will be deployed which hopefully will provide more accurate data.

Since the main thrust of our project is to better define the influence of motor vehicles on ambient  $PM_{2.5}$ , we also have gathered available information on traffic flows near the bus barn. The Alaska Department of Transportation [AkDOT] has given us access to one hour traffic values for both the Mitchell Expressway at the Lathrop street intersection and next to the AkDOT facility on Peger road. The former is about 1.3 km east of the bus barn and the latter about 0.7 km north of the bus barn as shown in figure 1. As part of our analysis we have looked at correlations between  $PM_{2.5}$  at the bus barn and traffic along Peger road and the Mitchell Expressway.

### Results:

For the months of November, 2007, through February, 2008, the average hourly traffic counts varied between 19 and 637 vehicles per hour [vph] on Peger Road and between 54 and 1131 vph on the Mitchell Expressway. The correlation between these two counts is substantial with  $r^2 > 90\%$  for Dec 2008. The minimum values occurred between one and 4 a.m. and the maxima between mid and late afternoon. The minimum and maximum average one-hour  $PM_{2.5}$  levels varied between 7.7 and 29.4  $\mu\text{g}/\text{m}^3$  as determined by the BAM 1020. For CO in December, the corresponding range is 0.48 to 1.33 parts per



million. For traffic and CO, these values represent average one hour values for each entire month. For PM<sub>2.5</sub>, in the 07-08 winter, we initially excluded the values when the ambient T fell below - 30° C since that was the limit of the temperature sensor. This resulted in missing values for 3 to 6 days each month. If we look at individual one-hour values, the ranges are, of course, greater. For example, in January and February, the one-hour PM<sub>2.5</sub> values range from 0 to 120.5 ug/m<sup>3</sup> and 0 to 116.8 ug/m<sup>3</sup> respectively. The maximum occurred at 6 PM on a Wednesday in Jan and at noon on a Saturday in February. On the Mitchell Expressway, the individual one-hour traffic counts ranged from 45 to 1381 vph and 28 to 1477 respectively with the minima occurring in the early morning and the maxima during evening rush hour. For CO, the individual hour minimum and maximum in December, 2007 were 0.1 and 4.1 parts per million respectively.

For urban areas, a significant majority of the CO [over 80%] arises from transportation sources. Hence, a good correlation between PM<sub>2.5</sub> and CO indicates a linkage between ambient PM<sub>2.5</sub> and transportation. Shown in figure 2 is a plot of the average one-hour CO values for December, 2007 versus the average one-hour PM<sub>2.5</sub> values for December, 2007. As mentioned previously, the latter were constructed just using data through December 19. One can see a strong correlation between these two with an R squared value of 0.73. Figure 3 is a plot of each of these versus time for December.

On figure 4 is a plot of the hourly PM<sub>2.5</sub> values versus time for November, 2007 through February, 2008 excluding times when the bus barn T was < - 30 C. Here a time of one corresponds to 1 a.m... The plots are quite similar with minimum values in the early morning hours and maxima in the late afternoon. We have plotted one hour average traffic counts for these same four months in figure 5. Again, there is a similar behavior for all four months. For traffic, we have plotted a weighted traffic count where we have added the vph for the Mitchell Expressway to one half the vph for Peger road. This weighting factor is somewhat arbitrary, but represents a fact that the Mitchell Expressway is much closer to the bus barn than the DOT facility on Peger road. In addition, much of the traffic passing by DOT, does not continue south on Peger road past the Mitchell Expressway.

On figure 6 is a plot of the one-hour average PM<sub>2.5</sub> values for December, 2007 versus the weighted and offset one-hour traffic values. In particular, the traffic values are offset by one hour such that the 1 a.m. traffic appears as a 2 a.m. value, etc.. This is done to represent the fact that there is a delay from the time the particulate matter is emitted by a motor vehicle on these two major roadways until that matter reaches the sensor at the bus barn. When such an offset is used, there is a moderate correlation between PM 2.5 and traffic flow. Corresponding data is plotted for January on figure 7. The corresponding R<sup>2</sup> values are 0.53 and 0.60 respectively. This indicates that for the December through January time frame, that over 53 % of the PM<sub>2.5</sub> variation can be explained by traffic. The plots were presented using all the Dec traffic since these values were readily available to us when we looked at the data. The R<sup>2</sup> values for November and February are 0.34 and 0.01 respectively.

Downtown BAM data indicates a good correlation between  $PM_{2.5}$  at the bus barn and downtown [ $r = 0.91$ ] for the month of Jan 2008 with the average uncorrected  $PM_{2.5}$  values being 27.6 and 17.6  $ug/m^3$  downtown and at the bus barn respectively. Since the bus barn BAM tended to read too low and the downtown too high during this time, we could divide the downtown by 1.4 giving 19.7 to make a fairer comparison. The VPH/ $PM_{2.5}$  ratios were 20.0 for downtown and 23.5 for the bus barn for that month. The average hourly traffic on the Cushman and Wendell St. bridges were 554 and 320 vph respectively. We should mention that the data set for the Cushman traffic was incomplete. The r value between the Cushman and Mitchell traffic was 0.85. The Cushman hourly traffic varied from 53 to 1144 vph.

Figs. 8 – 10 show similar data for the bus barn for the time period Nov. 2008 – Feb 2009. Now, we have included those days with an average T at the bus barn  $< - 30$  °C. The diurnal variation of  $PM_{2.5}$  shown on Fig. 8 is similar to that for the prior winter shown on Fig. 4 in that the highest  $PM_{2.5}$  values occur in the afternoon or early evening for December and January. The average daily traffic at P & L was 11421 in Dec. 2008 and 10602 in Jan 09 [8 % different]. The average airport T was 5.2 °F colder and wind speed 0.4 mph greater in January. The average  $PM_{2.5}$  in Dec of 34.9 at the bus barn was 10.4  $ug/m^3$  greater than in January. Downtown, the Dec PM average of 34.5 was 5.8  $ug/m^3$  higher than in January.

But, the downtown and Bus barn BAM were switched in the summer of 2008. So, we can't compare their values directly since the original bus barn BAM may read ~ 15 % low compared with the R & P 2000 while the original downtown BAM may read 20 % too high. Nor, of course, can we directly compare the bus barn winter 07-08 values with those in the following winter. The same can be said for the downtown BAM values. But, we can compare hourly, daily, and monthly values at a given location during a given winter. For a rough guess [very crude], this winter's bus barn BAM readings could be divided by 1.4 to compare with last winter. The opposite is true downtown. We can't directly compare downtown with the bus barn at a given time without applying a correction factor. In any case, we will use the actual BAM data when comparing values at one site within a given winter and the corrected values otherwise. Unless stated otherwise, our discussion will refer to the bus barn data.

For both winters, the February  $PM_{2.5}$  values decreased in the middle of the afternoon. The maximum average 1 hour  $PM_{2.5}$  uncorrected value for the 08-09 winter was 47.5  $ug/m^3$  occurring at 6 PM in Dec and the minimum of 13  $ug/m^3$  occurred at 3 PM in Feb. The maximum individual one hour uncorrected value of 246  $ug/m^3$  occurred at 4 PM on Dec 29. This value was measured with the temperature in range for the BAM 1020 at the bus barn. At this time downtown, the PM was 192  $ug/m^3$  [here the T was out of range for that BAM which had been at the bus barn the prior winter.]. The maximum uncorrected downtown was 249  $ug/m^3$  at 1 PM on Dec 29 [less than 1 % different from the uncorrected maximum at the bus barn]. But, remember, these two BAMS don't give corresponding values. On figure 9 and 10 are plotted the one-hour average  $PM_{2.5}$  values for December and January versus the offset one-hour traffic values. The vph on fig. 9

represent the sum of P&L plus ½ the Peger Road traffic at DOT. On Fig 10, we just have the P&L traffic since the P&L and Peger traffic are highly correlated [ $r^2 > 0.90$ ].

Figs. 11 – 14 show a comparison between the 2007-08 and 2008-09 winters. On Fig. 11, one can see the average daily  $PM_{2.5}$  values for each of the Nov- Dec months were higher for the 08-09 winter and the Jan – Feb months lower than for the 07-08 winter with the largest difference occurring in December. Here we have corrected the 08-09 winter readings by dividing by 1.4 to approximate the values the BAM deployed at the bus barn originally would have produced in 08-09. In the 07-08 winter, there were three days in Dec [18-20] and four [12-14, 26] in Jan when the T at the airport averaged colder than  $-30\text{ }^\circ\text{C}$  [ lower limit of the T sensor on the BAM 1020 deployed at the bus barn during that winter]. The bus barn and downtown should be slightly warmer due to the heat island effect. In the 08-09 winter, there were five days in Dec and twelve in Jan when the T at the airport averaged colder than  $-30\text{ }^\circ\text{C}$  [ lower limit of the T sensor on the BAM 1020 deployed downtown during this winter]. If we include the PM values in Dec 07 for these three days [as we did in Fig. 11], the Dec average increases from 14.5 to  $19.8\text{ }\mu\text{g}/\text{m}^3$ . This is 24 % less than the corrected Dec 08 average of  $24.6\text{ }\mu\text{g}/\text{m}^3$  when we included the  $-30\text{ }^\circ\text{C}$  data. If we include the PM values in Jan 08 for these four days, the Jan average increases from 17.7 to  $19.5\text{ }\mu\text{g}/\text{m}^3$ . This is 11 % greater than the corrected Jan 09 average of  $17.5\text{ }\mu\text{g}/\text{m}^3$  when we included the  $-30\text{ }^\circ\text{C}$  data.

On Figs. 12 and 13, the PM and traffic values are compared from Dec 27 through Jan 11 for these two winters. These dates corresponded to a very cold period in 2008-2009. One can see the corrected  $PM_{2.5}$  values are generally higher and the traffic count lower during this past winter. The maximum daily traffic at P&L was 13597 vpd during the 07-08 time shown compared with 11710 during the 08-09 period.

The highest hourly averages occurred in January in the 07-08 period while in December during the 08-09 winter. The average corrected value for the Nov- Feb time period during the 08-09 winter was  $18.7\text{ }\mu\text{g}/\text{m}^3$  compared with  $17.4\text{ }\mu\text{g}/\text{m}^3$  for the prior winter, an 8 % difference. [this includes the  $-30\text{ }^\circ\text{C}$  days for both winters]The average temperatures at the Fairbanks International Airport were 11.5, -3, -9, and  $-6\text{ }^\circ\text{F}$  during these four months during the 07-8 winter and -1.4, -7.8, -12.0, and  $-1.5\text{ }^\circ\text{F}$  in the winter of 2008-2009.

The average daily T at the airport was less than  $-29\text{ }^\circ\text{F}$  for the last five days in Dec 08 as well as each of the first eleven days in Jan 09 with average daily wind speeds from 0 to 1.3 mph. During these 16 days of very cold temperatures, the average uncorrected  $PM_{2.5}$  measured by the BAM at the Bus Barn was  $49.2\text{ }\mu\text{g}/\text{m}^3$  [Fig. 12] and the average airport T was  $-39\text{ }^\circ\text{F}$ . Correcting this by dividing by 1.4 produces  $35.2\text{ }\mu\text{g}/\text{m}^3$ .

Compare this with the prior winter with an average  $PM_{2.5}$  of  $15.3\text{ }\mu\text{g}/\text{m}^3$  and  $T = -8\text{ }^\circ\text{F}$  during the same 16 days. The corresponding average daily traffic at P & L was 8885 and 11067 vpd respectively in the 08-09 period vs. the 07-08 period. The colder conditions in 08-09 resulted in a 20 % reduction in traffic and a 130 % increase in  $PM_{2.5}$ .

On Fig. 14, we see a comparison between this and last winter for the first 17 days in December. It reveals that the corrected PM levels were slightly higher this winter at the Bus Barn with slightly cooler temperatures. Fig 15 indicates the corrected monthly average BAM PM<sub>2.5</sub> values downtown were similar to [but higher than those at the bus barn for the 08-09 winter with  $r^2 > 0.90$ . Figs 16 and 17 allow us to see the diurnal variations in PM at the Bus barn in mid Jan vs. the end of Feb. Figs 18- 21 reveal relationships between PM and aethalometer data downtown for a one week period in Jan 2009. The aethalometer data for the first three is absorption at 880 nm [black or elemental carbon {BC or EC}] while the delta reading [UV – BC] which is absorption at 370 nm - absorption at 880 nm is used for Fig 21.

#### Comments:

We have used monthly averages of 1 hr values for part of our analysis as a heuristic way of minimizing the noise caused by mostly random events such as fluctuating wind velocities [both magnitude and direction]. If we were to look at a specific set of 1 hr values over, say, 24 hours, we would need a better knowledge of the local wind velocities during that time to properly analyze the receptor data. Such data was not available until Oct. 2008. There are limited data available regarding the fraction of motor vehicles in Fairbanks that are heavy duty. Such vehicles can be heavy emitters of PM. We will be looking at some box models that tie in emission factors for the fleet as a whole with traffic data to increase our understanding of the relationship between motor vehicles and ambient PM.

The enclosure heater for the bus barn BAM failed sometime between Jan 17 and Feb 11, 2008. It was repaired on Feb 26. To check on how this may have affected the data, we looked at the relative difference between the downtown BAM and R&P [FRM] data during a time in January when the downtown enclosure heater failed. When the downtown BAM heater failed near the end of Dec 2007 until near the end of Jan 2008, the BAM PM readings were about 27 % higher than the R&P on days when the R&P PM daily values were  $> 15 \text{ ug/m}^3$ .

We found this relative difference was not obviously different during the failure window than during the rest of January and February [in the range of 10 to 40 %]. Since, the heater shutting down didn't compromise the PM<sub>2.5</sub> data for the downtown site, we will not attempt to apply a correction factor to account for the enclosure heater failure. Even though the 24 hour average BAM values at the bus barn in the 07-08 winter were generally lower than the FRM values, our inferences are based on how the traffic appears to influence the BAM values and aren't negated by the reality that the BAM values tended to be on the low side for the bus barn and on the high side downtown for the 07-08 winter. Moreover, as discussed earlier, switching the bus barn with the downtown BAM in the summer of 2008 led to other problems in comparing one year with another year or downtown with the bus barn.

Figs. 6-7 and 9-10 indicate a correlation [ $r^2 > 0.53$ ] between average hourly PM<sub>2.5</sub> at the bus barn and nearby average hourly traffic for the months of Dec and January. The values

were less than 0.34 for the months of November and February. We believe part of the answer for this is the stronger influence of solar radiation on atmospheric stability during these warmer months. This brings diurnal temperature fluctuations more into play. To add to this thought, if we just consider the midnight to noon time frame for Feb 2009 [minimal influence of solar warming], the  $r^2$  is 0.89 compared with 0.07 for the entire day. In other words, we believe the emissions and resulting atmospheric PM concentrations associated with the late afternoon traffic are ameliorated by (1) solar-caused inversion layer break-up as well as (2) decreased MV emissions at warmer temperatures. For the Dec-Jan time frame, the solar input is minimal.

The average corrected PM<sub>2.5</sub> as measured by the B Barn BAM for the 08-09 winter of 18.7 ug/m<sup>3</sup> was 8 % higher than for the prior winter [Fig. 11], a statistically insignificant difference. The average temperature of -5.7 °F was 4.0 °F lower, the average wind speed of 1.7 mph 94 % as great each at the airport, and the average daily P & L traffic 95 % as much for the 08-09 winter compared with the prior winter. The HDD in the 08-09 winter were only 6 % more than in the prior winter.

The average hourly PM<sub>2.5</sub> values were 13.4, 19.8, 19.5, and 16.8 ug/m<sup>3</sup> for the months of November, 2007, through February, 2008 respectively as shown on Fig. 11. The corresponding average ambient temperatures at the airport were 11.5, -3, -9, and -6 °F respectively while the corresponding average wind speeds at the airport were 1.7, 1.7, 1.4, and 2.9 mph. The average ambient temperatures at the bus barn were -9.9, -16.7, -18.7, and -13.5 °C respectively [equivalent to 14, 2, 2, 8 °F]. Since the bus barn temperature sensors exclude hours when the T was less than -30 °C, the averages are higher than those associated with the instruments at the airport. The corresponding corrected PM<sub>2.5</sub> and airport T values were 18.2, 24.6, 17.5, and 14.6 ug/m<sup>3</sup> and -1.4, -7.8, -12, -1.5 °F respectively for the 08-09 winter.

Figs. 12 and 13 reveal a strong influence of ambient temperature on PM levels with the average corrected value at the Bus Barn being 35.2 ug/m<sup>3</sup> with an average airport T of -39 °F during 16 very cold days from Dec 27, 2008 through Jan 11, 2009. Compare this with the same 16 days one year prior with PM<sub>2.5</sub> of 15.3 ug/m<sup>3</sup> and T = -8 °F [ratio = 2.3]. The difference is significant at a better than 5 % level of significance. The HDD days were 42 % greater in the 2<sup>nd</sup> winter. We believe the 130 % higher levels in 08-09 [three times the increase in HDD] are due to (1) increased use of both biomass-based and fossil fuels for heating and production of electricity, (2) more stable atmospheric conditions, plus (3) higher emissions per unit distance driven plus cold starts for motor vehicles. It is certainly not due to more miles driven as the nearby traffic counts were 20 % lower in 2008-09 [Fig. 13]. In particular, it may be that an appreciable part of this increase can be explained by an increased use of biomass in wood stoves and outdoor boilers [OB]. For example, the AK Division of Forestry reported the CY 2008 firewood sales of 9300 cords were 92 % higher than the prior CY. Furthermore, Jim Conner of the FNSB said the borough had contacted the four biggest dealers in outdoor boilers who estimated total sales of ~ 300 as of 2009 [not known how many of these are in the non-attainment area]. Jim said a drive by survey had counted 130 OB in the non-attainment area in 2009.

The Cold Climate Housing Research Center [CCHRC] estimated emissions from one OWB [OB-wood-fired] at ~ 470 lbm/yr and 430 tons/yr from all OWBs. The 1<sup>st</sup> no may indicate 1 kg/day during a 200 day heating season and the combination of the two numbers implies  $430 \times 2000/470 = 1830$  OWB. The CCHRC also estimated wood boilers plus wood stoves to emit about 750 tons/yr PM<sub>2.5</sub> [Wiltse, 2009]

We should note that the average corrected PM<sub>2.5</sub> at the downtown BAM was 64 ug/m<sup>3</sup> during these 17 days in 08-09 compared with 20.9 ug/m<sup>3</sup> one year prior [ratio of 3.04 compared with 2.30 at bus barn]. These values are different at a better than 1 % level of significance. The BAM installed downtown prior to the summer of 2008 is set to operate properly at T down to - 50 °C compared with - 30 °C for the one used at the bus barn at the bus barn prior to the summer of 2008. The R&P T sensors are only set to operate down to - 20 °C. According to Brader (2009), there is a greater than 60 % chance of a 24-hr PM<sub>2.5</sub> violation when the maximum T at the airport is less than - 25 °C. This was certainly true during this very cold spell.

The average temperatures downtown were -19.4 and - 36.2 °C for these 16 days in the 07-08 and 08-09 winters respectively. This means the heating loads were 67 and 98 °F HDD/day respectively downtown. All else being equal, we could expect a 46 % increase in HDD to correspond to the same % increase in emissions and hence ambient PM concentration [not the 204 % observed downtown via BAM or 230 % via FRM or 130 % at the bus barn]. Since this is not the case, we attribute much of the increase from 07-08 to 08-09 to an increase use of biomass in more polluting technologies such as outdoor wood-fired boilers as well as increased emissions per unit vehicle use at the colder temperatures.

We also compared the first 17 days of Dec in 2009 with 2008 [Fig. 14]. Here the average corrected PM<sub>2.5</sub> value at the Bus Barn was 17.6 ug/m<sup>3</sup> in 2008 vs. 16.2 ug/m<sup>3</sup> in 2007 [not significantly different]. The average T of -20 °C in 2008 was 5 °C colder than that in 2007. None of these days in 2007 had an airport T < - 30 C, the temperature cutoff for the BAM 1020 at the bus barn. The average wind speed at the airport was 0.4 mph faster during the first 17 days of Dec 2008 than Dec 2009 with similar traffic counts. It appears these differences from one year to the other were not enough to cause significant differences in PM<sub>2.5</sub>.

However, when we compared Jan 12 - 27 2009 at the bus barn [T<sub>avg</sub> = 9.6 F] with the preceding 16 very cold days [T<sub>avg</sub> = - 39 F], we find the average PM decreased from 49.2 to 14.9. So, an increase of 88 % in HDD corresponded to an increase of 230 % in PM. So the non-linear relationship between PM and HDD increase is not just explained by differences involving fuel use between winters. It may be due to a combination of several factors discussed above one of which is a large increase in MV emissions at very cold temperatures.

We are using PM values as inferred by the BAM 1020 at the FNSB Bus Barn. Even though this technology is not a FRM, it can still be used to get comparative values. If we compare the B Barn BAM Dec 08 with the FRM in back of the Bus Barn, we find the BAM read 7 to 38 % higher for nine different days with an average of 17 % higher. Recall that this BAM had been deployed downtown the prior winter. For those eight days when the ambient T was  $> -18^{\circ}\text{C}$ , the BAM averaged 14 % higher with a SD of 6 %. The 38 % occurred on Dec 29 with an ambient T  $< -30^{\circ}\text{C}$ .

The monthly average downtown BAM  $\text{PM}_{2.5}$  readings of  $22.5 \text{ ug/m}^3$  during the period Nov 2008 – Feb 2009 are 20 % higher than the corrected average at the bus barn of  $18.7$  with a strong correlation [ $r^2 > 0.90$ ]. This implies the existence of a PM cloud.

We found a slight dependence of PM at the Bus Barn on wind direction with higher PM values when the wind was from the N or W. This is consistent with the MV emissions being transported toward the sensor from Peger Rd and the Mitchell Expressway. PM decreases with wind speed due to better mixing.

We found higher PM levels during weekdays than Sundays at the bus barn [not downtown] for the Dec – Jan period for the 07-08 winter. [ $18.0$  vs.  $10.5 \text{ ug/m}^3$ ] which was significant at the 3 % level. The two levels were about the same the following winter. During the Dec 27 '08 through Jan 11 '09 cold spell we found the weekday uncorrected bus barn BAM PM to be higher than the Sunday levels [ $59.3$  vs.  $37.2 \text{ ug/m}^3$ ] with the difference being significant at the 3 % level [one tailed]. During this 17 day period, the average weekday traffic at Parks and Lathrop was 10337 compared with 5815 on Sundays. During this period the average uncorrected PM downtown was  $51.9 \text{ ug/m}^3$  on weekdays compared with  $31.8 \text{ ug/m}^3$  on Sundays which were different at the 5 % level of significance. Assuming similar space heating loads leads one to conclude the higher weekday traffic played a large part in the higher weekday PM levels. It may be that the emissions from wood burning equipment may increase more slowly as temperature decreases than that from motor vehicles, especially older heavy duty vehicles. Such vehicles are a less important part of the mix on Sundays. During Oct. 2008, these vehicles [fraction heavier than automobiles and pickups] were 12 % of the total on P&L during the weekdays and only about 7 % on Sundays.

Fig 15 indicates the average monthly  $\text{PM}_{2.5}$  values at the Bus Barn are similar to those downtown last winter with a maximum difference of about  $6 \text{ ug/m}^3$  in Dec. Figures 16 and 17 reveal the influence of afternoon solar insolation on hourly PM levels with the PM peak near the end of Feb being lower than the AM peak unlike mid January. This is true even with the air temperature being a little lower in Feb for the one week of data chosen [Sat – Fri].

Figs. 18 – 20 reveal a strong correlation between  $\text{PM}_{2.5}$  and BC downtown for a one week period in January 2009 with an  $r^2$  of 0.83. The BC signal is very much associated with fresh and aged motor vehicle emissions as well as wood smoke (Allen et al, 2004). Fig. 21 reveals no correlation between UV – BC and  $\text{PM}_{2.5}$  [slight inverse correlation with  $r = -0.11$ ]. The fact that this signal is greatest during the early morning and evening hours is

consistent with the use of wood for space heating during the very cold one week period. The fact that there is a strong correlation between  $PM_{2.5}$  and BC would indicate motor vehicle emissions are significant. The UV- BC signal is a qualitative indicator of wood smoke emissions (Allen et al, 2004). Gilroy et al (2004) conclude that  $UV/BC > 1$  is indicator of presence of WS in a study of air quality in the Seattle area. This was true at a rural site in winter with minimal traffic.

### Other Data

For the period Nov 23 thru Dec 29, 2007, the FRM  $PM_{2.5}$  24-hr average values were 21, 18, and 9  $\mu\text{g}/\text{m}^3$  respectively at Nordale School, the bus barn, and the UAF physical plant respectively. The average for the state office building downtown was 17 between Nov 20 and Dec 26. Each of these is based on 24-hr average data collected every 3 days. The r value between Nordale and the bus barn was 0.93 while that between the bus barn and UAF was only 0.37.

Ambient  $PM_{2.5}$  data was collected by UAF in earlier years using either an E BAM or a dust trak [the latter must be calibrated by comparing with gravimetric data]. The outdoor average  $PM_{2.5}$  level at the roof of the UAF Brooks building in the period from 12/15/06 to 12/20/06 was 3.8  $\mu\text{g}/\text{m}^3$  while it was 24.2  $\mu\text{g}/\text{m}^3$  downtown. The average outdoor  $PM_{2.5}$  level on Chena Ridge (Ellesmere Dr) in the winter period from 12/30/05 to 1/6/06 was 0.6  $\mu\text{g}/\text{m}^3$  compared with 49.4  $\mu\text{g}/\text{m}^3$  downtown. For 10 days in Oct '05, the  $PM_{2.5}$  averages were ~ 6 each at Jack St [Aurora neighborhood] and downtown.

The above leads to a preliminary conclusion from the data obtained from stationary monitors at the end of the 07-08 winter that the elevated levels of  $PM_{2.5}$  may extend from Hamilton acres [around 1.5 km NE of the State Office Building [SOBldg] downtown to some distance W of the bus barn [latter being ~ 4 km SW of the SOBldg] but not extending much W of University Ave. The PM cloud may extend N of College Road and some distance S of the Mitchell Expressway. Future data will allow one to better define the spatial and temporal extent of the PM cloud. Some of these are being obtained using a relocatable air monitoring station [RAMs]. It contains a BAM 1020 monitor as well as a CO monitor.

The core PM footprint based on the data until the Spring of 2008 is likely at least from College Rd S to the Mitchell [4.5 km] and E- W from E boundary of Hamilton Acres to Univ. Ave. [6.5 km] for a total area of at least 30  $\text{km}^2$ . For CO, the non-attainment area is about 88  $\text{km}^2$  [6.4 km north south by 13.8 km east-west] and is centered on downtown Fairbanks. It is bounded approximately by College Road to the north, the Tanana River to the south, Fort Wainwright to the east, and a few hundred meters to the W of University Ave. to the West. Near the end of 2008, the  $PM_{2.5}$  NA area was established as 633  $\text{km}^2$ . [Jim C]

It extends from the University W to North Pole and from Farmers Loop to the Tanana River.

### Conclusions:



- 1) Traffic is a significant contributor to PM<sub>2.5</sub> at the bus barn during December and January.
- 2) The Bus barn corrected PM<sub>2.5</sub> levels as inferred by the BAM 1020 were similar for both winters discussed [Nov – Feb]
- 3) The higher levels during a very cold 17 day period this past winter compared with the prior winter can't simply be explained by differences in HDD. This indicated the likely influence of changes in some of the equipment and fuel used to provide space heating such as increased use of wood stoves and outdoor boilers in addition to changes in atmospheric stability as well as increased MV emissions.
- 4) The fact that PM<sub>2.5</sub> levels were higher on weekdays compared with Sundays during the 07-08 winter also indicates an influence of traffic since the traffic counts and fraction of heavy-duty vehicles are higher on weekdays.
- 5) A strong correlation between PM<sub>2.5</sub> and black carbon [BC] downtown during a January 2009 cold spell indicates that motor vehicles and biomass combustion are significant contributors to PM at that location.
- 6) The fact that the UV-BC signal downtown tended to be highest in the early morning and late evening hours and is consistent with the use of wood stoves since this signal is a qualitative indicator of biomass combustion.

Citations:

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Wiltse, N., 2009, CCHRC, Fairbanks, AK., Personal communication

Figures:

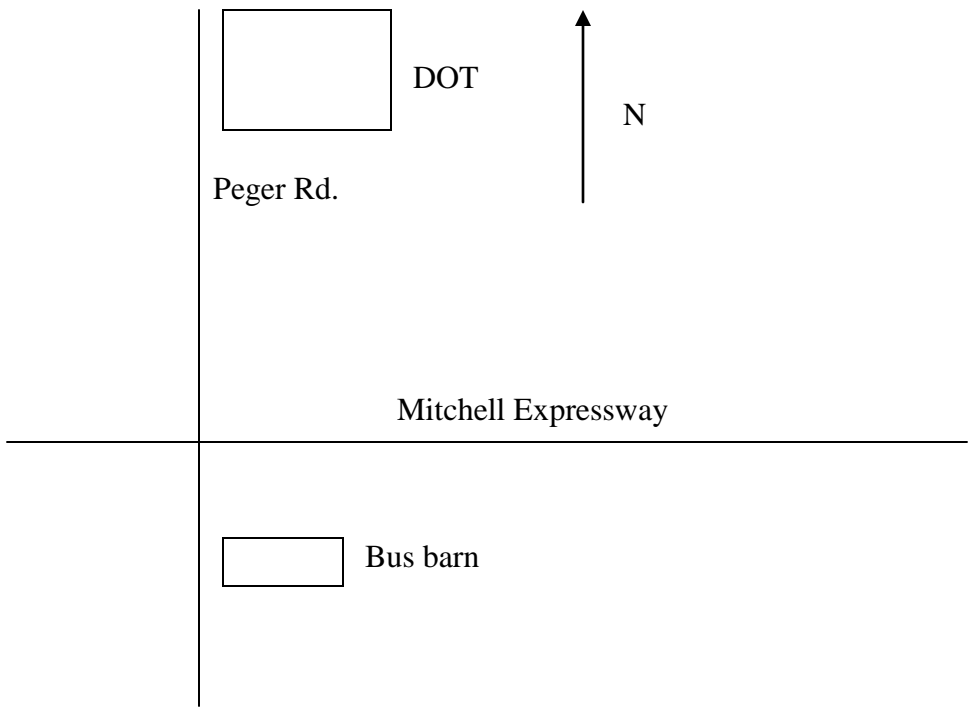


Fig 1. Key locations

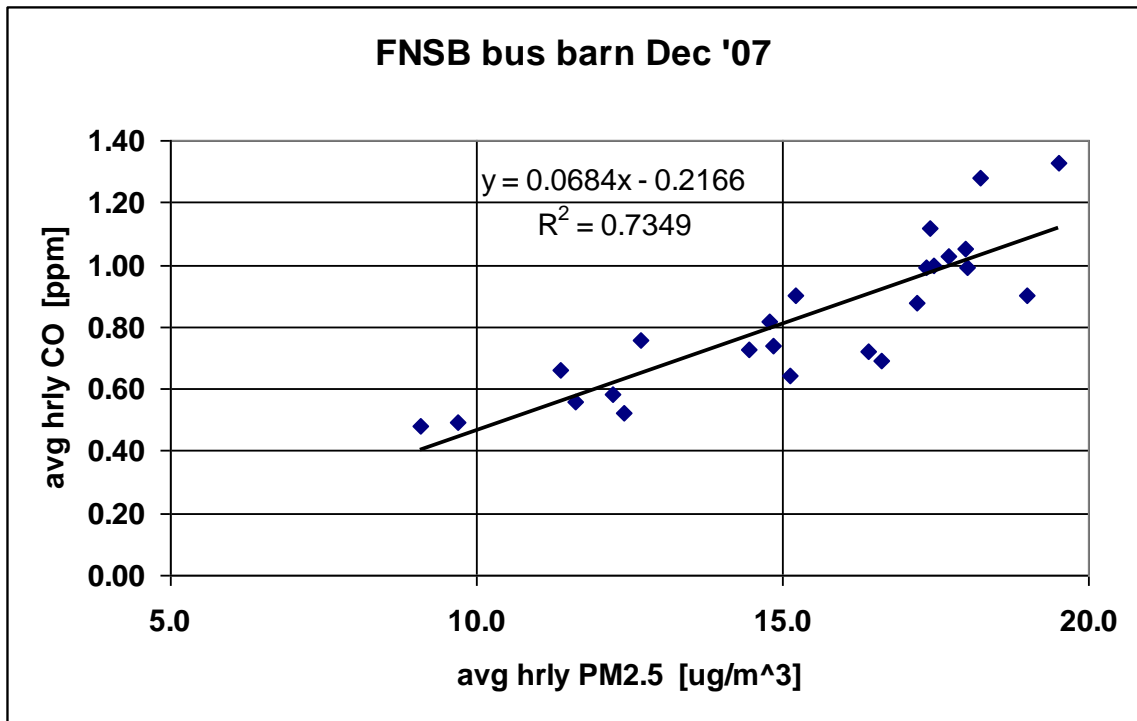


Fig 2. CO vs PM<sub>2.5</sub> at the bus barn for Dec. 2007

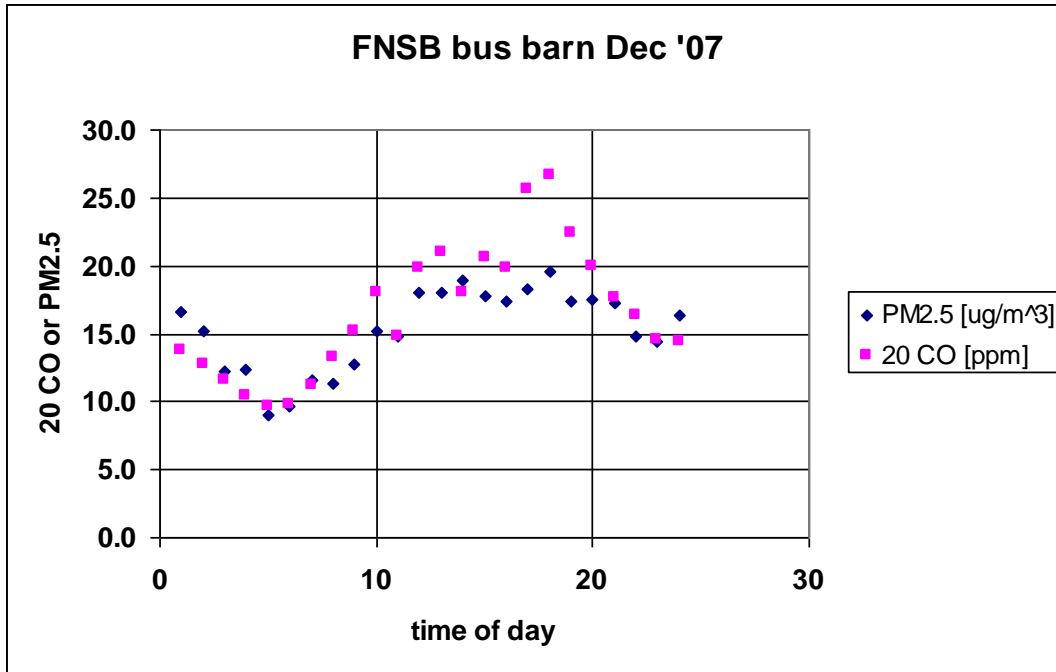


Fig 3. CO and PM<sub>2.5</sub> at the bus barn for Dec. 2007

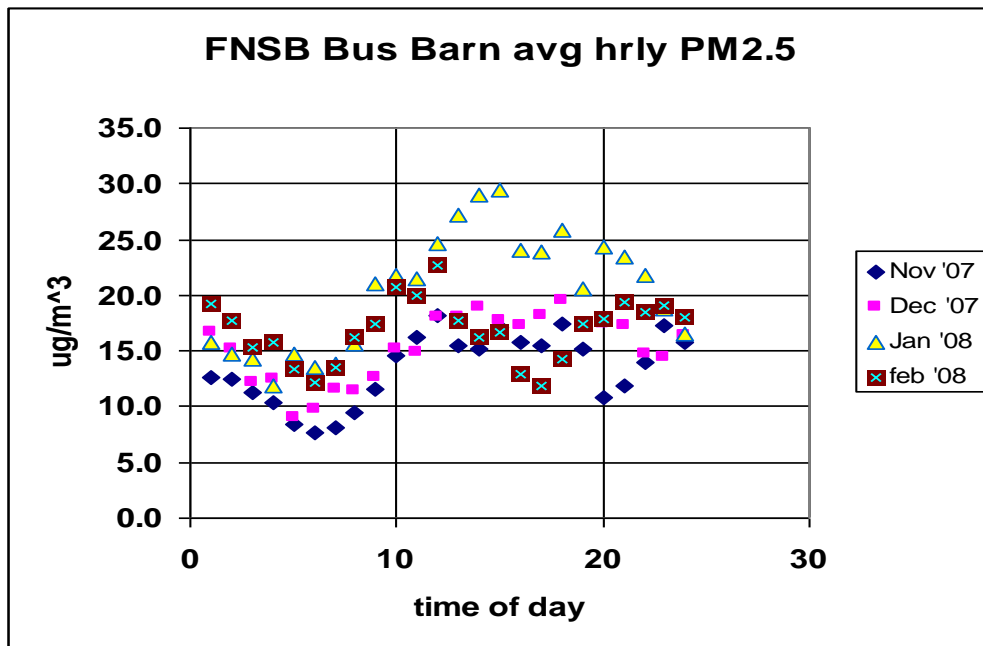


Fig 4. PM<sub>2.5</sub> at the bus barn for Dec. 2007 thru Feb 2008

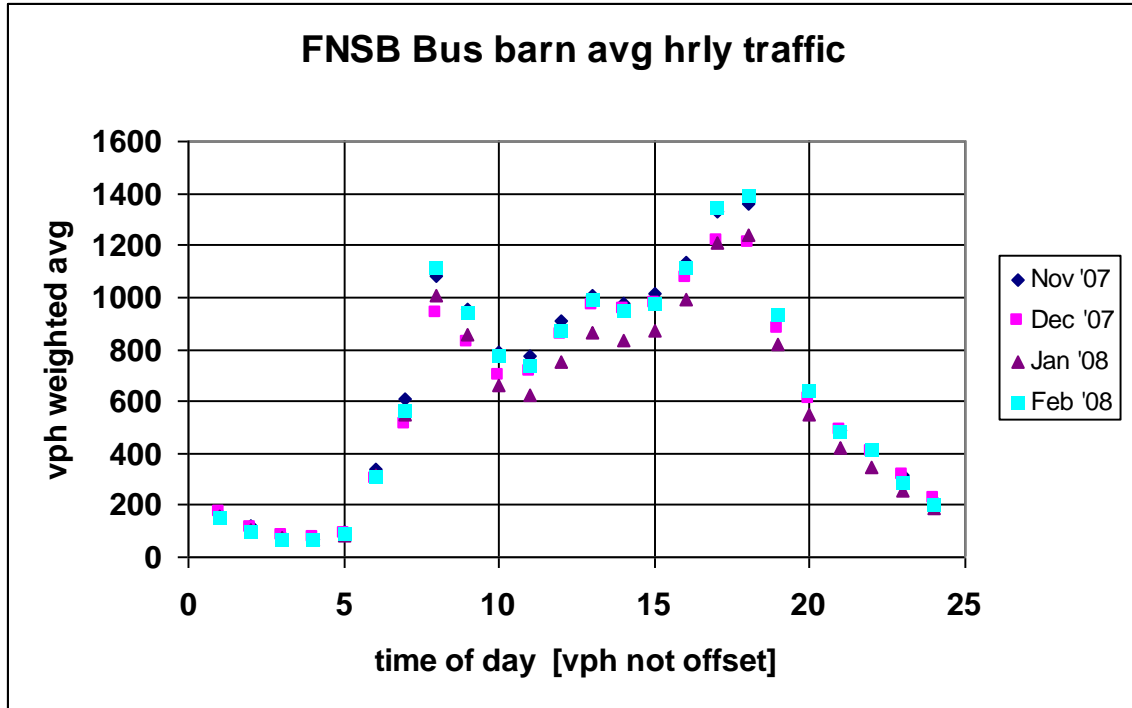


Fig 5. Weighted vph at the bus barn for Dec. 2007 thru Feb 2008

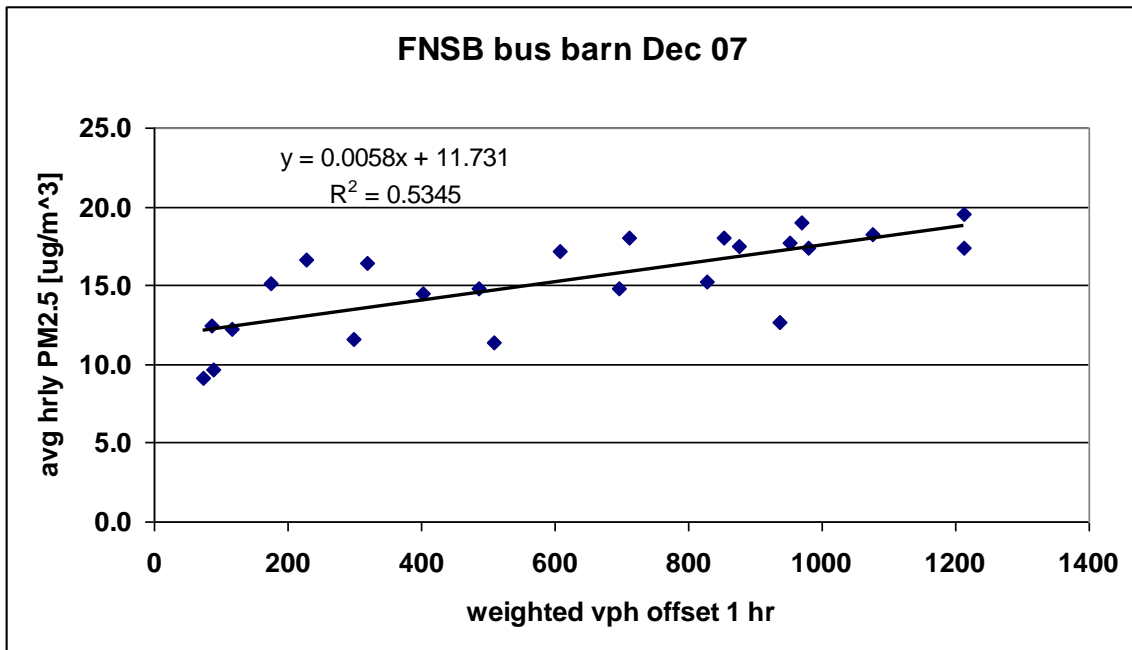


Fig 6. PM<sub>2.5</sub> vs traffic at the bus barn for Dec. 2007

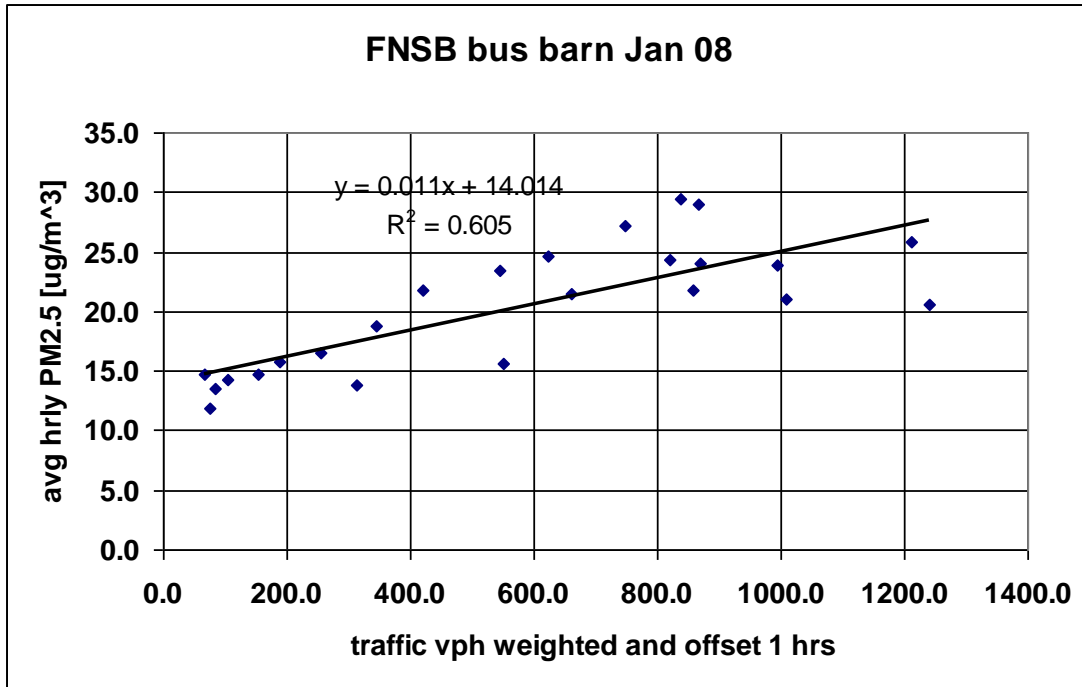


Fig 7. PM2.5 vs traffic at the bus barn for January 2008

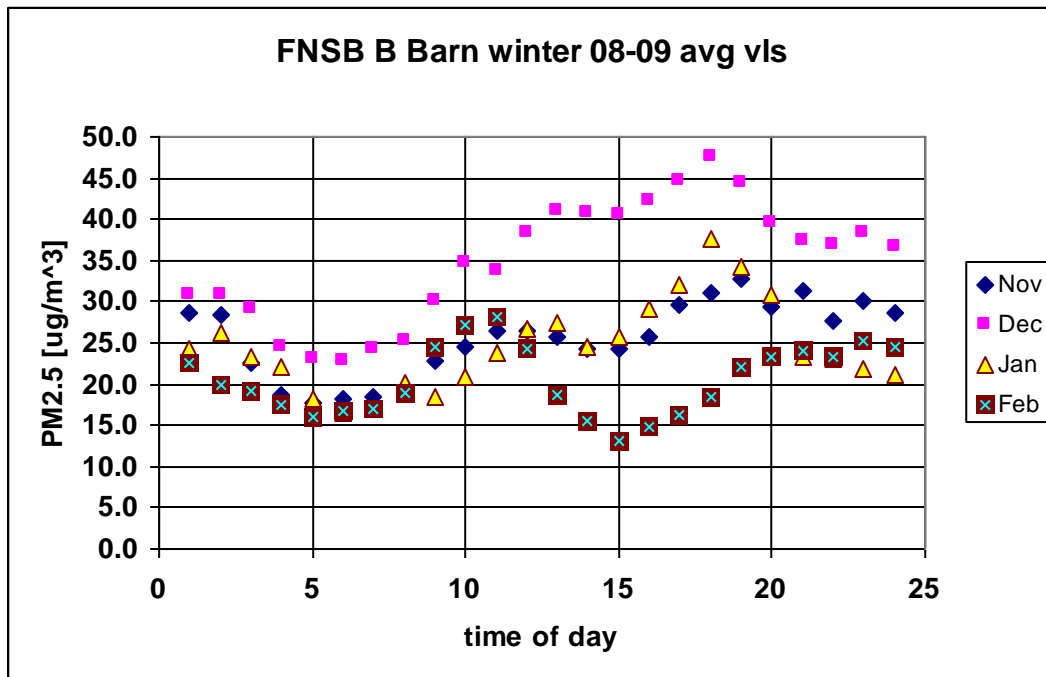


Fig. 8 Avg hrly PM Vls for Winter 08-09 at FNSB Bus Barn

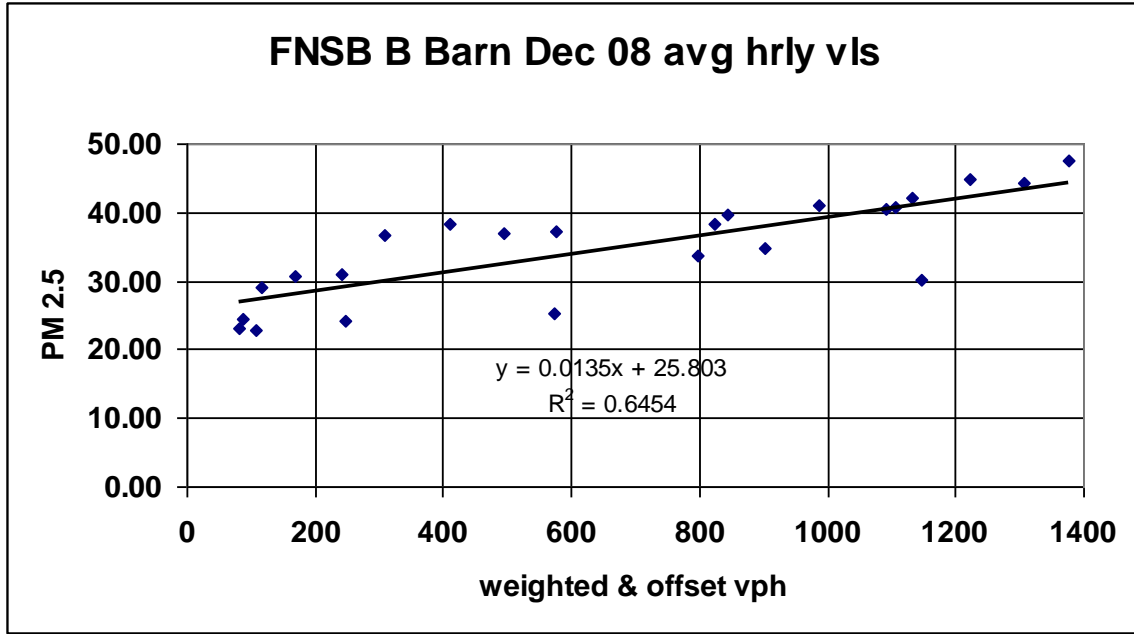


Fig. 9 Avg hrly PM vs traffic for Dec 2008 at FNSB Bus Barn

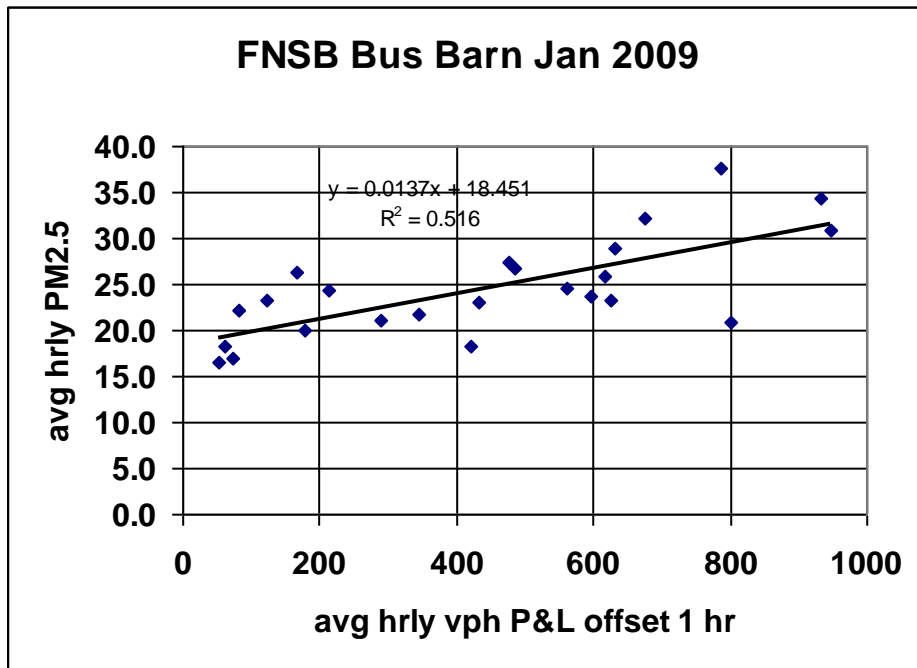


Fig. 10 Avg hrly PM vs traffic for Jan 2009 at FNSB Bus Barn

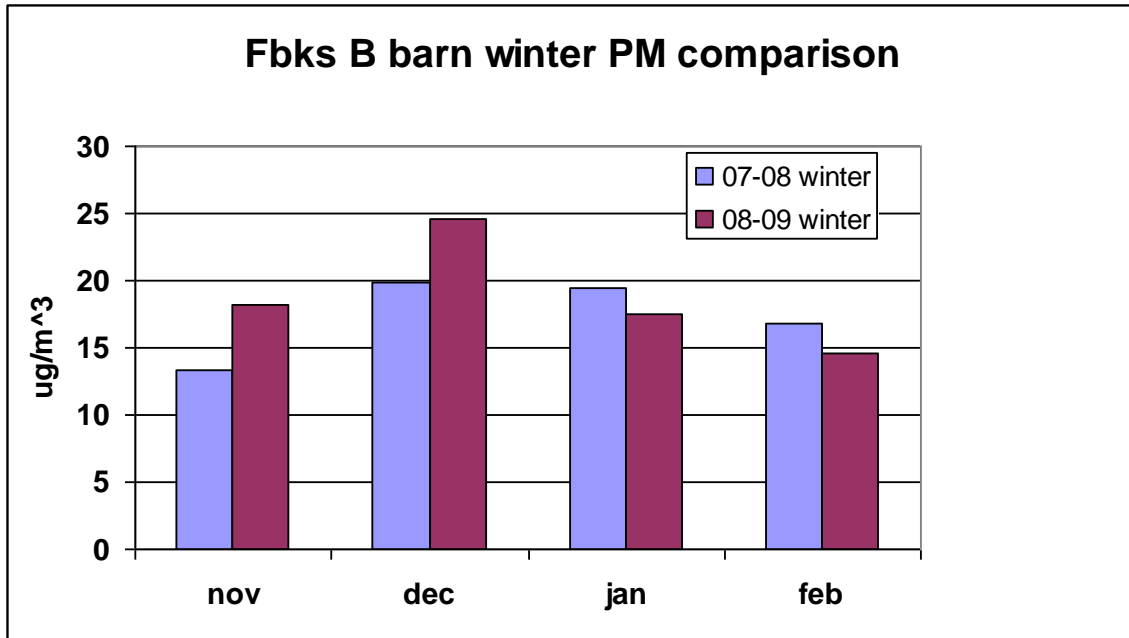


Fig. 11 Comparison of PM<sub>2.5</sub> for 07-08 vs 08-09 winters at FNSB Bus Barn

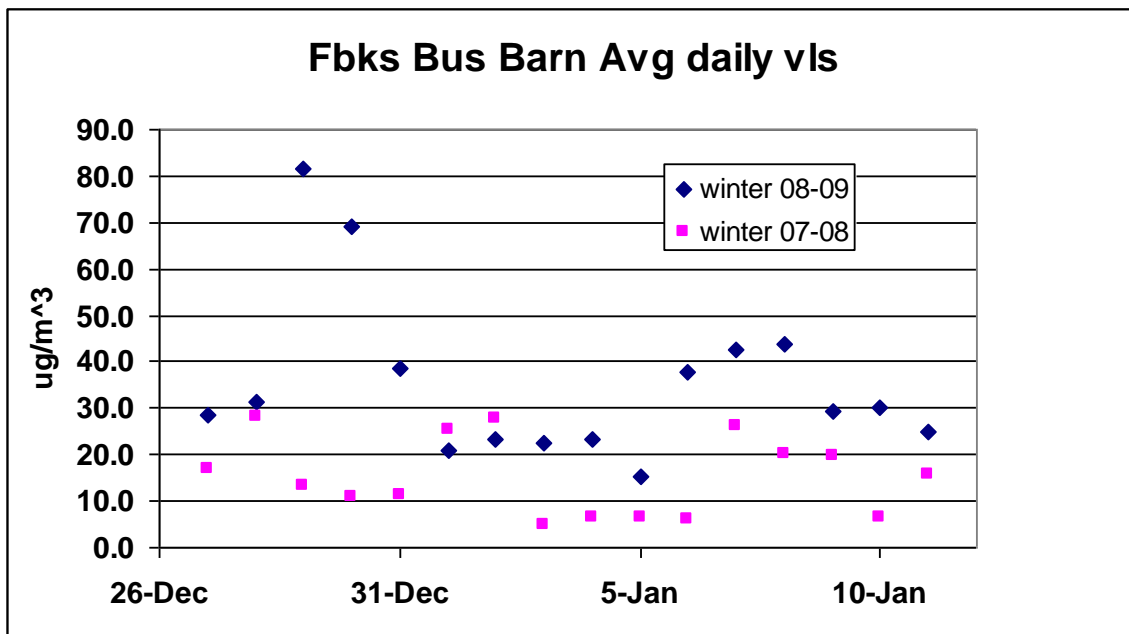


Fig. 12 Avg Daily PM<sub>2.5</sub> vls at FNSB Bus Barn from Dec 27 – Jan 11

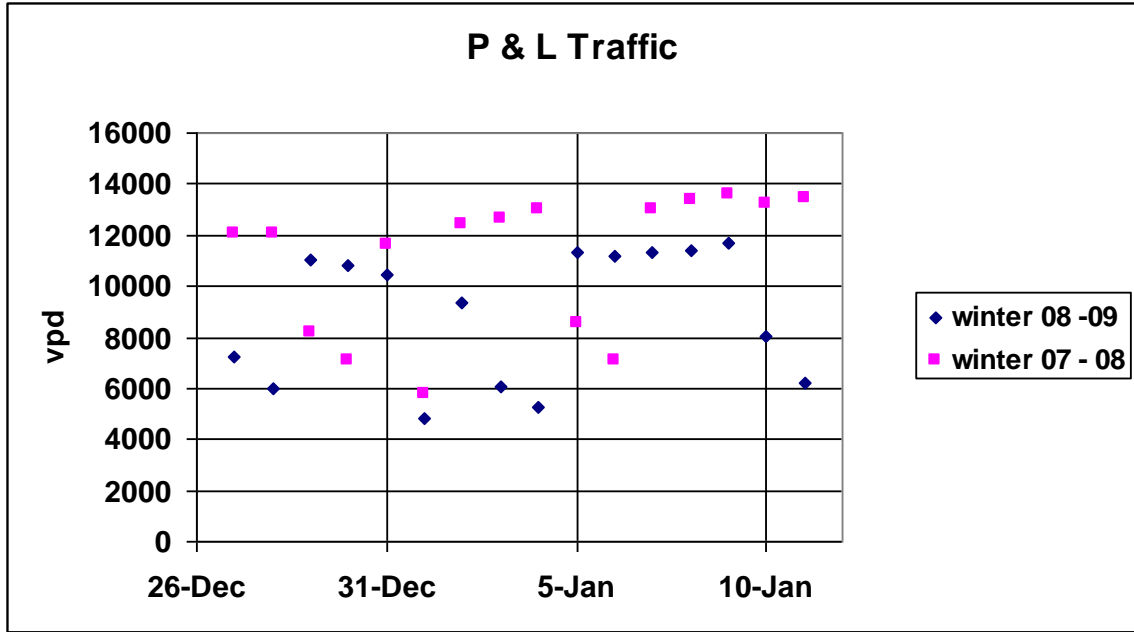


Fig. 13 P & Lathrop Traffic from Dec 26 – Jan 11 for winters 07-08 and 08-09

[Dec 31 is Wed in 08 and Mon in 07] so only days winter 08-09 had higher traffic was for wkend in 07-08 vs wkday in 08-09.

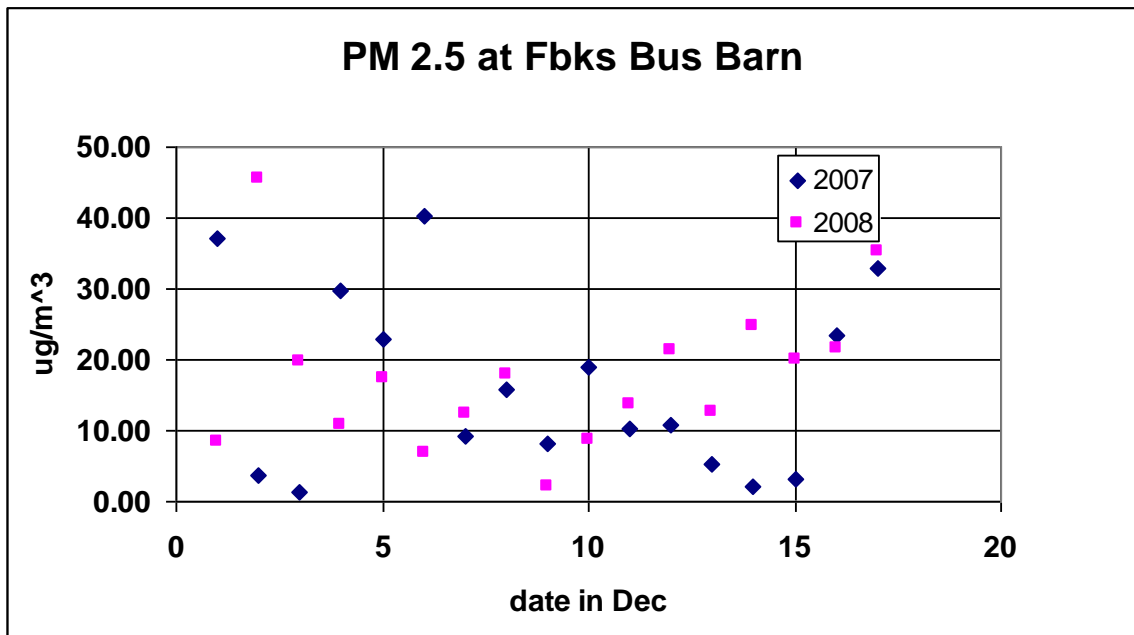


Fig. 14 Comparison of PM vls at the Bus Barn for December



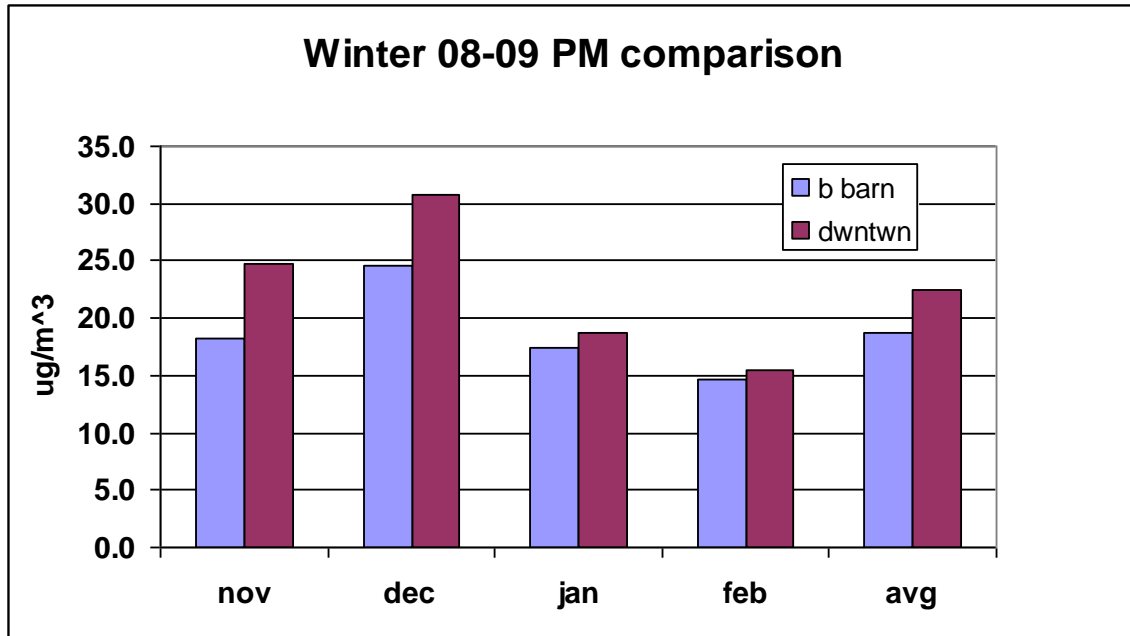


Fig. 15 Winter 08-09 PM vls at Bus Barn and Downtown

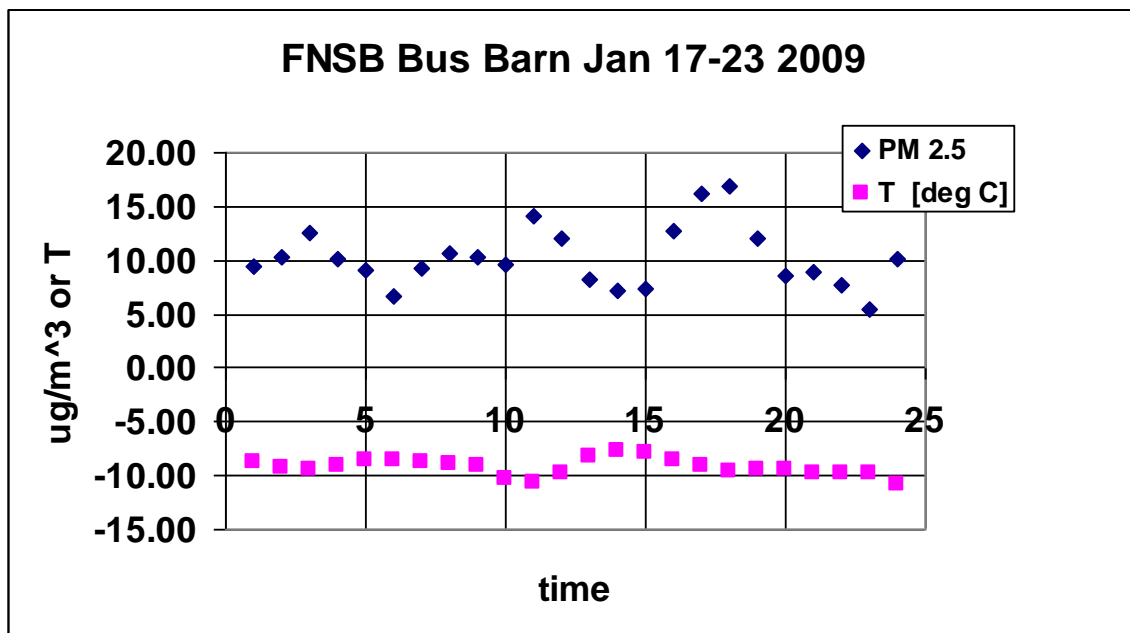


Fig. 16 PM and T at FNSB Bus Barn Jan 17-23 2009

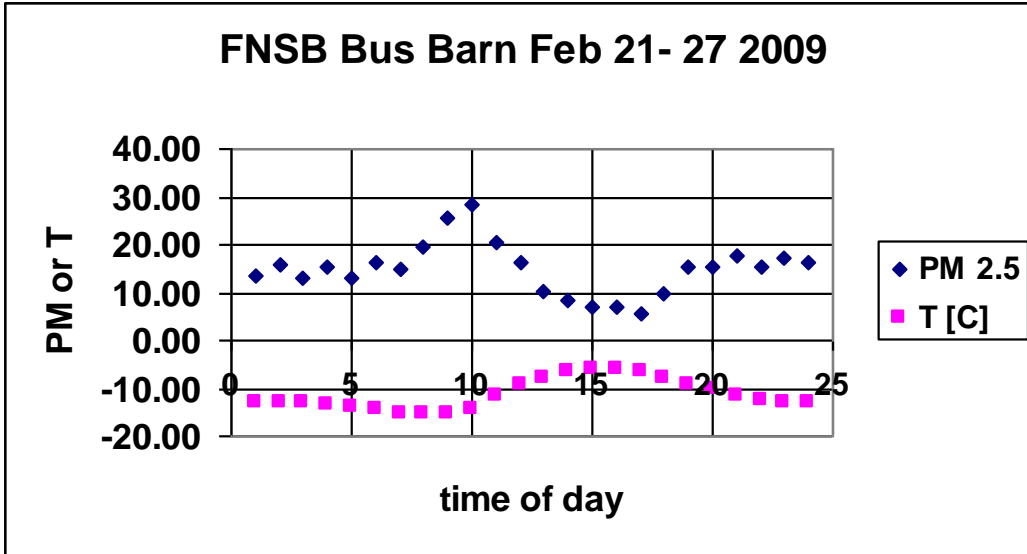


Fig. 17 PM and T at FNSB Bus Barn Feb 21-27 2009

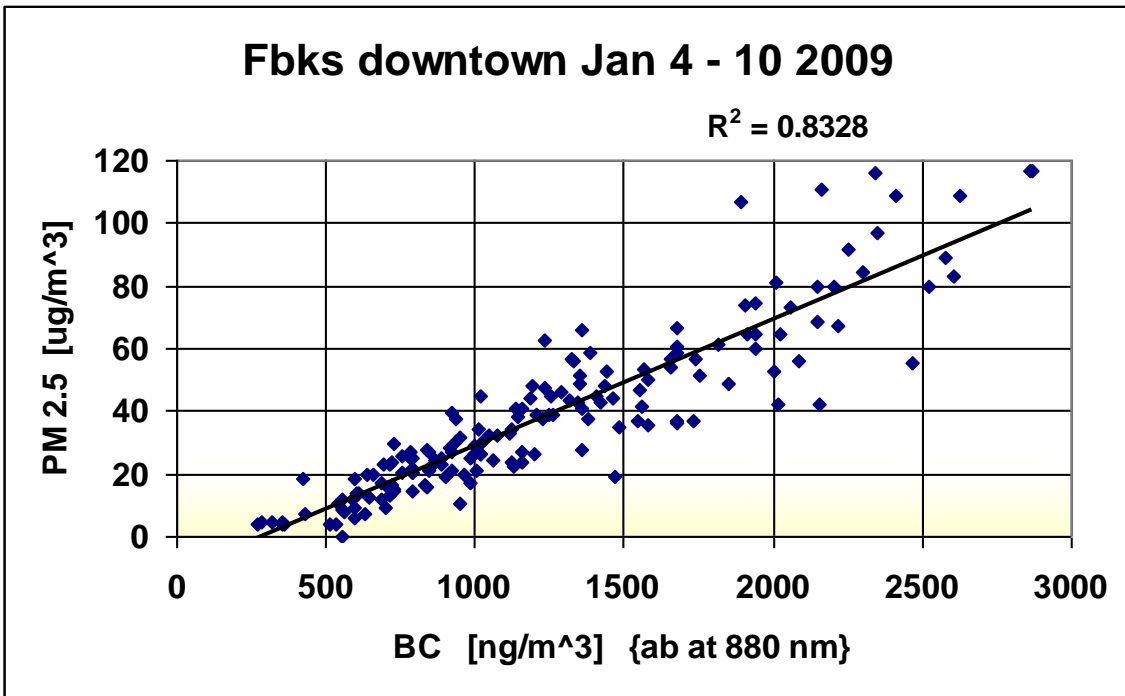


Fig 18. PM vs BC Downtown Jan 2009

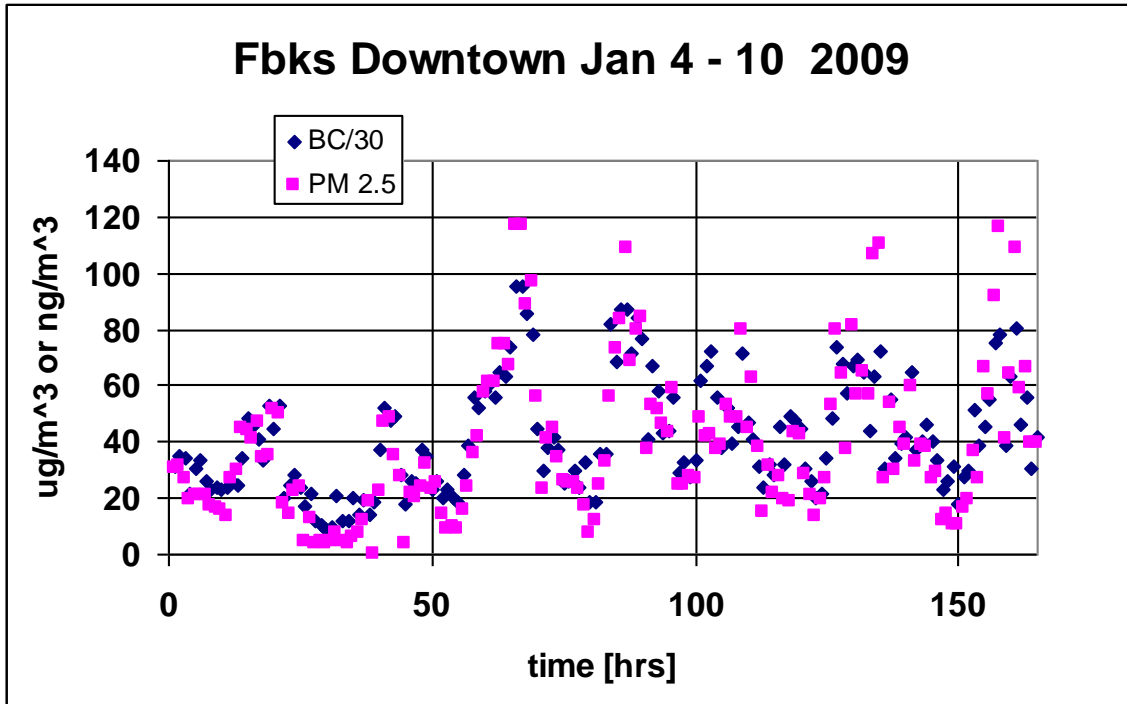


Fig. 19. PM and BC Downtown vs time Jan 2009

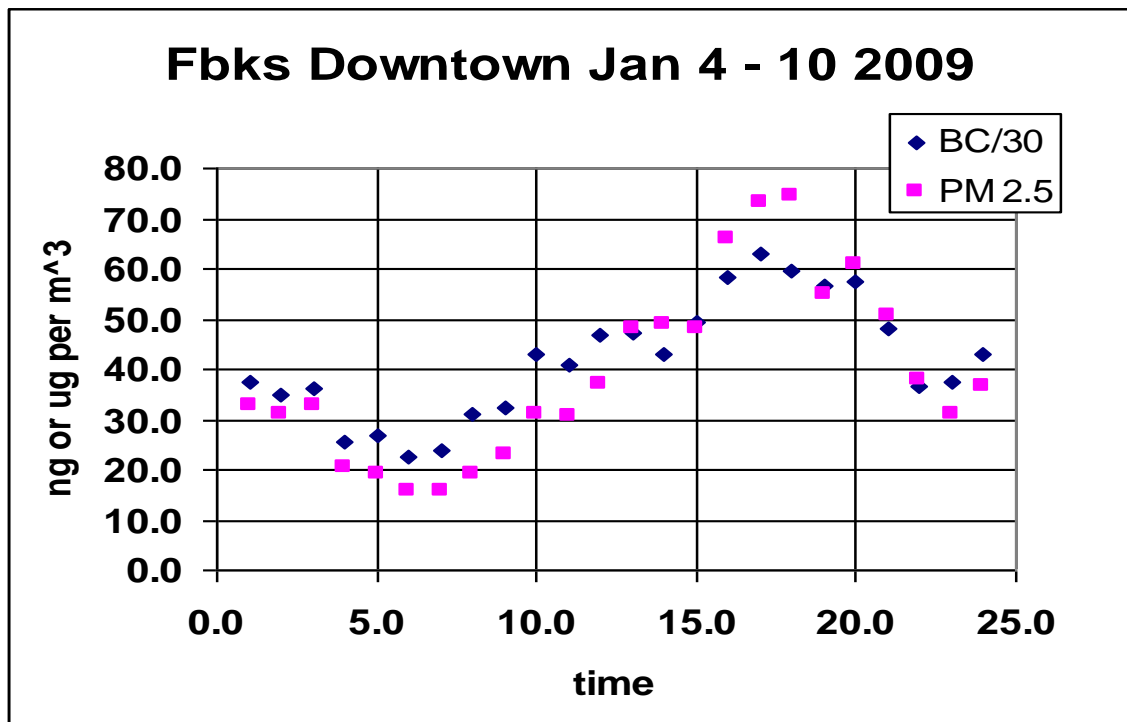


Fig. 20 PM and BC vs time downtown Jan 2009 Avg Hrly vls

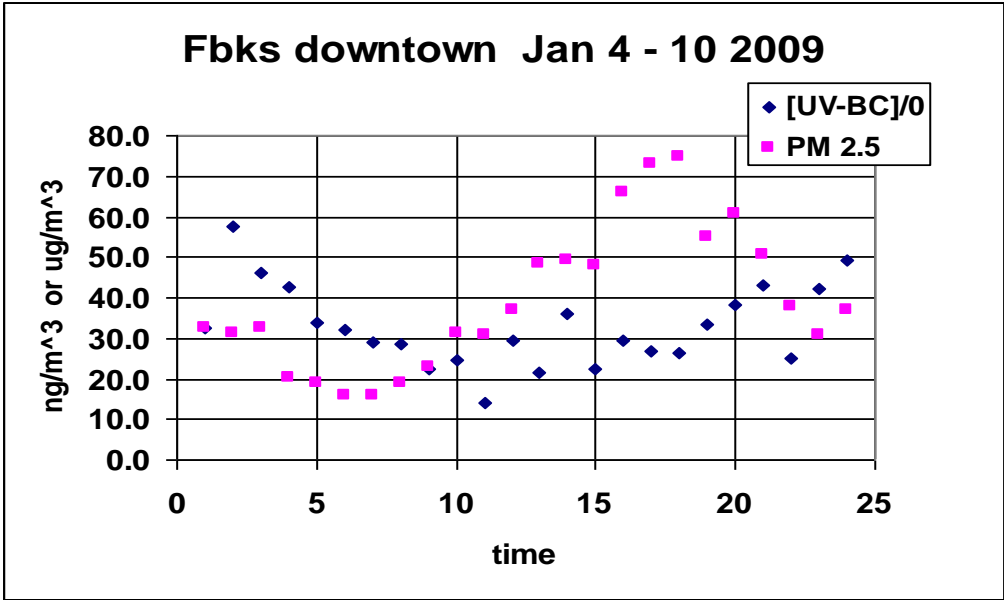


Fig. 21 PM and UV – BC vs time downtown Jan 2009 Avg Hrly vls

**Part III.**

**Title:** {This is paper submitted to a journal}

**Model for Estimation of Traffic Pollutant Levels in Northern Communities**

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## **Abstract**

Using models to estimate the contribution of traffic to air pollution levels from known traffic data typically requires the knowledge of model parameters, such as emission factors and meteorological conditions. This paper presents a state-space model analysis method that doesn't require the knowledge of model parameters; these parameters are identified from measured traffic and ambient air quality data. This method was used to analyze carbon monoxide (CO) in downtown Fairbanks, Alaska. It was found that traffic contributed, on average, 53% to the total CO levels over the last six winters. The correlation coefficient between the measured and model-predicted daily profiles of the CO concentration was 0.98, and also, the results were in a good agreement with earlier findings obtained via a thorough CO emission inventory. This justified the usability of the method and it was further used to analyze fine particulate matter (PM<sub>2.5</sub>) in downtown Fairbanks. It was found that traffic contributed, on average, about 30% to the total PM<sub>2.5</sub> levels over the last six winters. The correlation coefficient between the measured and model-predicted daily profiles of the PM<sub>2.5</sub> concentration was 0.98.

## **Key words:**

State space model, Air quality, Particulates, Carbon monoxide, Traffic pollutant

## **1. Introduction**

In the wintertime, northern communities can experience strong ground-based temperature inversions due to insufficient solar radiation. As a result of the inversions, pollutants released into the air accumulate close to the ground and their concentrations can reach high levels. Not only are the winter atmospheric conditions suitable for trapping pollutants, but also the emissions

are typically higher, mainly because of cold engine starts and the operation of heating appliances. Some northern communities can then face serious air pollution issues and need to develop strategies for mitigating the problems. An important step in such a process is determining the relative contribution of individual pollution sources, such as traffic.

Numerous studies have estimated the relative importance of motor vehicles (MVs) to air pollution in communities. A recent review paper (Health Effects Institute, 2009) found MV contributions to  $PM_{2.5}$  in the US can range from 5 % (Pittsburgh) to 55 % (Los Angeles) and elsewhere 6 % (Beijing) to 53 % (Barcelona). In winter, at a valley in rural British Columbia, Jeong et al. (2008) found MVs responsible for 13 % and wood burning for 31 % of  $PM_{2.5}$ . In the period February – April 2004, Allen et al. (2004) found wood smoke accounted for 24 %, fresh MV exhaust 10 %, and aged MV exhaust 23 % of the  $PM_{2.5}$  mass in Rutland, Vermont. The MV sources had a maximum in the morning rush hour, secondary aerosol midday, and wood smoke in the evening. The MV morning rush hour emissions were less on weekends. The study used Aethalometer data at 880 and 370 nm plus a few chemistry composition measurements and a UNMIX receptor model. Chow et al. (1995) used a Chemical Mass Balance (CMB) model to apportion  $PM_{10}$  to its major sources in San Jose, California. During the wintertime, they found residential wood combustion was the largest contributor with motor vehicle exhaust, resuspended road dust, and secondary ammonium nitrate each contributing 15 to 20 %. The lowest and highest 12 hr levels were 8.4 and 150.4  $\mu\text{g m}^{-3}$  respectively with 24 hr average values at the two sites being 47  $\mu\text{g m}^{-3}$ .

Fairbanks, Alaska is an example of a northern community with strong ground-based temperature inversions (Hartmann and Wendler, 2005) and resulting air quality issues (Sierra Research,

2001). CO and PM<sub>2.5</sub> are especially of concern. Both CO and PM<sub>2.5</sub> are known to have a negative effect on human health (Raub et al., 2000; Johnson and Graham, 2006) and the ambient levels are regulated by the US Environmental Protection Agency (US EPA). The 8-hour EPA limit for CO is 9 parts per million (ppm) and Fairbanks was in violation of this standard in the past. Because of new findings related to the health effects of PM<sub>2.5</sub>, the U.S. Environmental Protection Agency decreased the 24-hour standard from 65  $\mu\text{g m}^{-3}$  to 35  $\mu\text{g m}^{-3}$  in September, 2006. Due to exceedances of this new standard, Fairbanks is facing being designated as a PM<sub>2.5</sub> non-attainment area.

One of the problems in modeling the contribution of traffic to air pollution in northern climates is high uncertainties in the emission factors for engines starting and operating at very low temperatures. Another problem is that in order to estimate the pollutant levels caused by the emissions, one typically needs to know detailed meteorological data, such as mixing height and wind speed. This paper presents a method of estimating the contribution of traffic to the total level of a given pollutant from measured hourly traffic counts and hourly air quality data, using a state-space model. This method does not require the explicit knowledge of emission factors and meteorological data; the model parameters are identified from the traffic and air quality data. The use of this method is demonstrated on CO and PM<sub>2.5</sub> in Fairbanks, Alaska.

## **2. Methods**

### *2.1. Data preparation*

Hourly data for CO and PM<sub>2.5</sub> for downtown Fairbanks for period 2003 – 2009 was obtained from the air quality division of the Fairbanks North Star Borough (FNSB); the CO data was



collected via Model 48C CO Analyzer (Thermo Electron Corporation) and the PM<sub>2.5</sub> data was collected via BAM-1020 (Met One Instruments, Inc.). Hourly traffic counts for downtown Fairbanks (Wendell bridge) for the same period were obtained from Alaska Department of Transportation (ADOT). Also, hourly ambient temperature data was obtained for the same period; this data is from the Fairbanks International Airport.

From all obtained data, only December and January data was selected for further analysis (starting December 2003 and ending January 2009); this was done because of very limited solar radiation during these months and thus the possibility of using a constant mixing height model (see “Model description” section for more details). From this winter data (in this text, period December – January should be understood under the term “winter”), only days with a typical weekday traffic pattern (i.e. weekdays without holidays) were selected, and this data was used to calculate average weekday profiles for CO, PM<sub>2.5</sub>, and traffic counts (i.e. for a given hour of day, the average for that hour was taken from all available data for all six studied winters). Weekdays were chosen, as opposed to Saturdays or Sundays, because they provide the highest number of samples for a given pattern. After calculating the average profiles based on all weekday data for all studied winters, separate profiles were obtained for each winter in order to study long-term trends.

In order to study the relationship between the traffic related PM<sub>2.5</sub> and ambient temperature, the weekday data was separated into two categories – data on days colder than -20.9 °C and data on days warmer than -20.9 °C (-20.9 °C was chosen as the boundary temperature because it was the average winter temperature for the studied period), and average profiles were calculated

separately for both categories.

## 2.2. Model description

Using a box model with constant mixing height, the mass balance relationship between the traffic related pollutant concentration in the studied area and the traffic counts can be described as

$$\frac{dc_{\text{traffic}}}{dt} = -(k + k_d + k_t)c_{\text{traffic}} + \frac{K}{H}N_{\text{traffic}}, \quad (1)$$

where  $c_{\text{traffic}}$  is the pollutant concentration caused by traffic;  $N_{\text{traffic}}$  is the traffic intensity (here expressed as vehicles per hour (vph)),  $k$  is the decay rate of the pollutant;  $k_d$  the deposition rate;  $k_t$  the net transport rate (net transport out of polluted area due to wind and diffusion);  $K$  is a constant relating the traffic counts to the pollutant emission per unit area; and  $H$  is the mixing height. The  $k$ 's have units of  $\text{hr}^{-1}$ ,  $H$  of m, and for example for  $\text{PM}_{2.5}$ ,  $K$  has units of  $\text{ug m}^{-2} \text{ veh}^{-1}$  (veh = vehicle).

If an analysis were done just for a single day, the transport rate and mixing height would normally vary throughout the day. However, since this study uses average daily profiles based on many days worth of data, the average daily profiles for the transport rate and mixing height were assumed constant for the months of December and January. This assumption was made based on the fact that during these months, northern areas receive very little solar radiation (which affects both mixing height and wind).

Assuming constant  $k$ ,  $k_d$ ,  $k_t$ ,  $K$ , and  $H$ , Eq. (1) can be expressed using a Single-Input-Single-Output (SISO) state space model with a single state variable as follows:

$$\frac{dx}{dt} = Ax + Bu, \quad (2)$$

$$y = Cx + Du, \quad (3)$$

where  $A = -(k + k_d + k_t)$ ;  $B = K / H$ ;  $C = 1$ ;  $D = 0$  are the model parameters;  $u = N_{\text{traffic}}$  is the model input;  $y = c_{\text{traffic}}$  is the model output; and  $x$  is a state variable (an internal variable of the model). In this case  $x = c_{\text{traffic}}$ . This model can be conveniently implemented in MATLAB on a single line by using the Control System Toolbox's function 'ss(A,B,C,D)'.

Other (meaning non-traffic) sources are assumed to be mainly heating appliances and power plants. Power plants are assumed to be base-loaded, i.e. having constant emission rates throughout the day (which is true for downtown Fairbanks). Similarly, heating appliances are assumed to have constant emission rates throughout the day because the average daily temperature profile for December and January in northern communities, such as Fairbanks, doesn't have basically any fluctuations. Thus, the average daily profile of the pollutant concentration caused by other sources is assumed to be constant. It should be pointed out, though, that the analysis method described in this paper is usable also for cases where the concentration caused by other sources is not constant, as long as this concentration is uncorrelated with the concentration caused by traffic.

The total pollutant concentration is the sum of the concentrations caused by traffic and other sources, and can be expressed as

$$c = c_{\text{traffic}} + c_{\text{other}} , \quad (4)$$

where  $c$  is the total pollutant concentration; and  $c_{\text{other}}$  is the pollutant concentration caused by other sources. Thus, the herein presented model for the calculation of the average daily profile of the total pollutant concentration from the traffic counts can be fully described using three model parameters:  $A$ ,  $B$ , and  $c_{\text{other}}$ .

### 2.3. Analysis method

The above described model can be used to analyze measured data and estimate the contribution of traffic to the total pollutant concentration. The inputs for this analysis method are the measured average daily profiles of the traffic counts and pollutant concentration. The traffic counts are used as an input for the above described model. The first simulation is performed with default values of  $A$ ,  $B$ , and  $c_{\text{other}}$ , and the model-predicted profile of the total pollutant concentration is compared with the measured profile of the total pollutant concentration. Then the simulation is performed several more times with gradually adjusted values of  $A$ ,  $B$ , and  $c_{\text{other}}$  until the least mean square between the model-predicted and measured total concentrations is found. These iterations can be done using the 'fminsearch' function of the MATLAB's Optimization Toolbox.

The resulting values of  $A$ ,  $B$ , and  $c_{\text{other}}$  are used as the best estimates of the model parameters. For  $\text{PM}_{2.5}$ , the best fit values typically were  $A = -1.2 \text{ hr}^{-1}$  and  $B = 0.026 \text{ ug m}^{-3} \text{ veh}^{-1}$ . It can be shown

the latter is consistent with a ballpark vehicle emission rate of around  $100 \text{ mg km}^{-1} \text{ veh}^{-1}$ . This could be the case on a cold day with the Fairbanks blend of vehicles.

With these model parameters, the simulation is performed to find the average daily profile of the pollutant concentration caused by traffic. Then, from the average value of this profile and from the average of the profile of the total pollutant concentration, one can calculate the average percentage contribution of traffic to the total pollutant level. This analysis method was implemented in MATLAB (files are available from the authors on request) and used to estimate the contribution of traffic to the levels of CO and  $\text{PM}_{2.5}$  in downtown Fairbanks, Alaska. The inputs for this analysis were average daily profiles based on hourly data for traffic counts, CO and  $\text{PM}_{2.5}$  levels.

### **3. Results**

All presented results are for downtown Fairbanks and period December-January. The summary of results for the contribution of weekday traffic to the total levels of CO and  $\text{PM}_{2.5}$  for all studied winters separately, as well as all winters combined, is shown in Table 1. The correlation coefficients between the measured and model-predicted profiles are also presented. The table also shows the average winter temperatures because it is an important factor when comparing pollutant levels for different winters.

The plots of average weekday profiles for traffic and for measured and model-predicted CO concentrations are shown in Figure 1. The profiles in this figure represent the average for all studied winters combined. The correlation coefficient between the profiles of the measured and

model-predicted CO concentrations is 0.98. The long-term trends of the percentage contribution of traffic to the total levels of CO and PM<sub>2.5</sub> are shown in Figure 2.

The comparison of the contribution of weekday traffic to the total PM<sub>2.5</sub> levels on “cold” winter days (less than -20.9 °C) and “warm” winter days (more than -20.9 °C) is shown in Table 2. The averages presented in the table are based on data for all studied winters combined. The corresponding plots of the average weekday profiles on “cold” days for traffic and for measured and model-predicted PM<sub>2.5</sub> concentrations are shown in Figure 3. The correlation coefficient between the profiles of the measured and model-predicted PM<sub>2.5</sub> concentrations is 0.98.

#### **4. Discussion**

Using average profiles from large number of samples (data for all winters combined) yielded very high correlation coefficients for both CO and PM<sub>2.5</sub>. This supports the idea that the assumptions of this method were very reasonable. One of the assumptions was that the average daily profile of mixing height is constant for December and January. It should be pointed out that this method was tried also on other months, such as February or March, but the correlation of the measured and model-predicted profiles of pollutant concentrations wasn't as good as when only December and January are used. After examining some individual days outside December and January, it was found that the pollutant concentration is high in the morning and then strongly decreases and then again increases in the evening; which was attributed to the effect of solar radiation breaking the inversion and thus not satisfying the assumption of a constant mixing height.

##### *4.1. Discussion of CO results*

In Figure 1, even though the overall correlation between the measured and model-predicted CO concentrations is very high ( $r = 0.98$ ), there is a significant spike in the measured CO concentration during the 18<sup>th</sup> hour of day (i.e. between 17 and 18 o'clock), which doesn't occur in the model-predicted profile. This spike was attributed to people leaving work and thus a higher proportion of the traffic counts being associated with cold vehicle starts. An earlier Fairbanks study (Sierra Research, 2001) showed that a significant portion of the total CO produced by a vehicle in a cold environment can occur during the starting phase. This factor was not incorporated into the model, and therefore, there is the larger discrepancy between the measured and model-predicted CO concentration during the 18<sup>th</sup> hour of day.

Figure 2 shows that the contribution of traffic to the total CO level in winter 2003-2004 was about 59% and then there was a steady decrease; in winter 2008-2009 the contribution was about 44%. This agrees well with the findings of Sierra Research (2001); by doing a detailed CO emission inventory, they estimated that in Fairbanks urban area in 2001, the contribution of on-road mobile sources to the total CO emissions on a typical winter weekday was about 62% (it was about 69% in 1995). The gradual decrease in the contribution of traffic to the total level of CO is attributed to the fact that newer vehicles have lower emissions and also to the fact that traffic, as the biggest CO producer, was strongly targeted by FNSB's air quality programs. This verification of the CO results of the analysis method presented in this paper and the high correlation between the measured and model-predicted CO concentration justify the usability of the method, and therefore, it was further used for PM<sub>2.5</sub>.

#### *4.2. Discussion of PM<sub>2.5</sub> results*

Figure 2 shows that the contribution of traffic to the total  $PM_{2.5}$  level in winter 2003-2004 was about 36% and then there was a steady decrease until winter 2007-2008, when the contribution was about 27%. This downward trend is in agreement with the fact that newer vehicles have lower emissions and also with the fact that there has been an increasing use of woodstoves in Fairbanks due to rising costs of heating oil. However, the analysis of data for winter 2008-2009 showed an unexpected increase, with traffic contributing about 32% to the total  $PM_{2.5}$  concentration. After a deep investigation, it was found that the BAM-1020 in downtown was replaced with a different unit (also BAM-1020) in summer 2008. Both of these units are older units that do not provide Federal Reference Method (FRM)  $PM_{2.5}$  data (a new BAM-1020  $PM_{2.5}$  FRM is planned to be installed in summer 2009). When 24-hour averages were compared with data from gravimetric analysis of samples from collocated R&P (Rupprecht & Patashnick Co., Inc.), which is used as Federal Reference Method (FRM), it was discovered that the first BAM-1020 was providing levels about 20% higher than the FRM, as opposed to the second BAM-1020, which was providing levels about 15% lower than the FRM. This shows that there is a significant difference in the measurement system of the two BAM-1020 instruments, and therefore, a comparison of their data might not provide reliable results for studying trends. Therefore, the winter 2008-2009 data point was discounted from the study of the long-term trend of the relative contribution of traffic to total  $PM_{2.5}$  levels.

Even though it was found that the relative contribution of traffic to the total  $PM_{2.5}$  levels has a decreasing trend, the actual values might have an error due to the data being from a non-FRM instrument. Based on the measurements performed by the two different BAM-1020 instruments, though, it can be roughly estimated that the average contribution of traffic to the total  $PM_{2.5}$



levels over the last six winters was about 30%.

Table 2 shows that at an average temperature of about  $-27\text{ }^{\circ}\text{C}$ , traffic was responsible for about  $12\text{ }\mu\text{g m}^{-3}$  (relative contribution of about 36%), while at an average temperature of about  $-14\text{ }^{\circ}\text{C}$ , traffic was responsible for about  $5\text{ }\mu\text{g m}^{-3}$  (relative contribution of about 24%). I.e. both relative and absolute contribution of traffic to  $\text{PM}_{2.5}$  levels are higher at colder temperatures, which is attributed to colder engines producing more emissions. It can be seen that the correlation between measured and model-predicted  $\text{PM}_{2.5}$  concentrations is higher for colder temperatures ( $r = 0.98$  for “cold” and  $r = 0.93$  for “warm”). This is probably because atmosphere is likely to be less stable on warmer days, which can lead to variable mixing height. Since the mixing height was assumed constant in this analysis method, it seems that colder days are more suitable for this analysis method than warmer days.

## 5. Conclusions

A state-space model analysis method was developed that can be used to estimate the contribution of traffic to pollutant levels in northern communities based on measured traffic and ambient air quality data. This method was used to analyze the contribution of traffic to CO and  $\text{PM}_{2.5}$  levels in downtown Fairbanks, Alaska. It was found that traffic contributed, on average, 53% to total CO and about 30% to total  $\text{PM}_{2.5}$  levels over the last six winters. It was also found that there has been a long-term decreasing trend for the relative contribution of traffic to both CO and  $\text{PM}_{2.5}$ . These findings are in a good agreement with studies of others and logical expectations, which supports the idea that the presented method is a suitable method for studying the contribution of traffic to total pollutant levels in northern communities. The results show that traffic is a significant contributor to pollution in downtown Fairbanks, and therefore, should be one of the

targets of Fairbanks air quality programs, especially with respect to  $PM_{2.5}$ , for which Fairbanks exceeds the current EPA standard. The importance of targeting traffic is emphasized by the fact that its relative contribution to total  $PM_{2.5}$  is even higher on colder days, i.e. when an exceedance of the EPA standard is more likely to happen. But, the fact that a significant portion of  $PM_{2.5}$  is also caused by other sources and the fact that the contribution of traffic has a decreasing long-term trend imply that a strong focus also needs to be given on other  $PM_{2.5}$  sources.

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**Tables**

**Table 1. Dec-Jan contribution of weekday traffic to total levels of CO and PM<sub>2.5</sub>**

	winter 03-04	winter 04-05	winter 05-06	winter 06-07	winter 07-08	winter 08-09	all winters
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CO:							
Measured and model-predicted - corr. coef.	0.97	0.99	0.99	0.97	0.99	0.97	0.98
Avg concentration caused by traffic [ppm]	0.856	0.826	0.753	0.638	0.471	0.469	0.665
Avg conc. caused by other sources [ppm]	0.602	0.670	0.628	0.564	0.428	0.603	0.589
Avg concentration total [ppm]	1.458	1.496	1.381	1.201	0.899	1.072	1.253
Relative contribution of traffic	58.7%	55.2%	54.5%	53.1%	52.4%	43.7%	53.0%
PM <sub>2.5</sub> :							
Measured and model-predicted - corr. coef.	0.94	0.95	0.96	0.92	0.96	0.93	0.98
Avg concentration caused by traffic [ $\mu\text{g m}^{-3}$ ]	9.49	8.96	10.46	7.33	7.62	8.06	8.53
Avg conc. caused by other sources [ $\mu\text{g m}^{-3}$ ]	16.78	16.36	23.47	17.80	20.68	17.06	18.96
Avg concentration total [ $\mu\text{g m}^{-3}$ ]	26.27	25.32	33.93	25.13	28.30	25.12	27.49
Relative contribution of traffic	36.1%	35.4%	30.8%	29.2%	26.9%	32.1%	31.0%
Average temperature [°C]	-22.3	-18.8	-23.0	-19.7	-20.5	-22.4	-20.9

**Table 2. Contribution of weekday traffic to total PM<sub>2.5</sub> levels on “cold” winter days (less than -20.9 °C) and “warm” winter days (more than -20.9 °C)**

	days colder than -20.9 °C	days warmer than -20.9 °C	all days
Measured and model-predicted - corr. coef.	0.98	0.93	0.98
Avg concentration caused by traffic [ $\mu\text{g m}^{-3}$ ]	12.36	5.06	8.53
Avg conc. caused by other sources [ $\mu\text{g m}^{-3}$ ]	21.72	16.40	18.96
Avg concentration total [ $\mu\text{g m}^{-3}$ ]	34.08	21.46	27.49
Relative contribution of traffic	36.3%	23.6%	31.0%
Average temperature [°C]	-27.2	-14.2	-20.9

## Figures

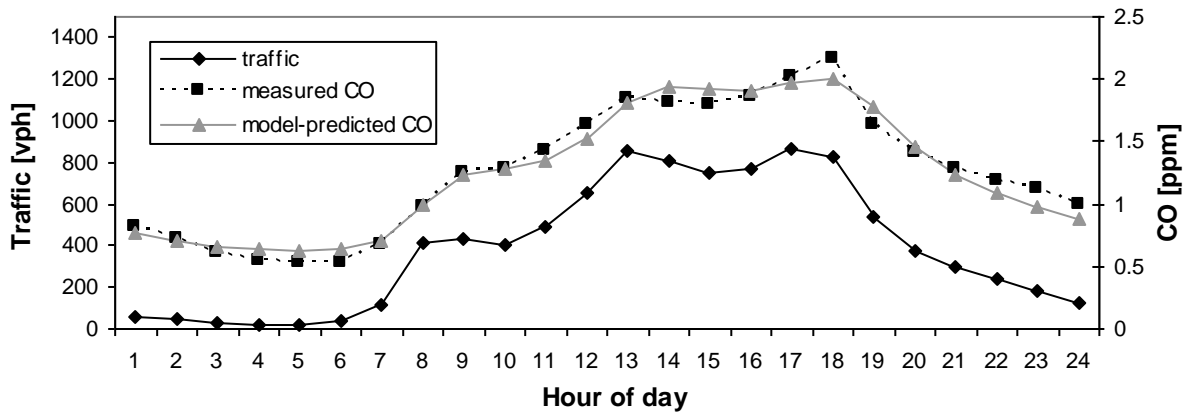


Figure 1. Average weekday profiles for all studied winters for: traffic (left y-axis); measured and model-predicted CO concentrations (right y-axis)

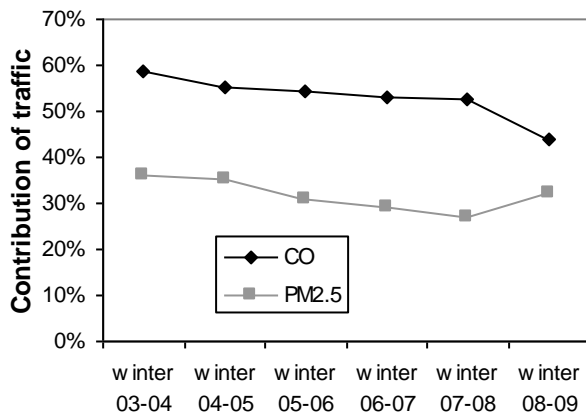


Figure 2. Long-term trends of relative contribution of traffic to total levels of CO and PM<sub>2.5</sub>

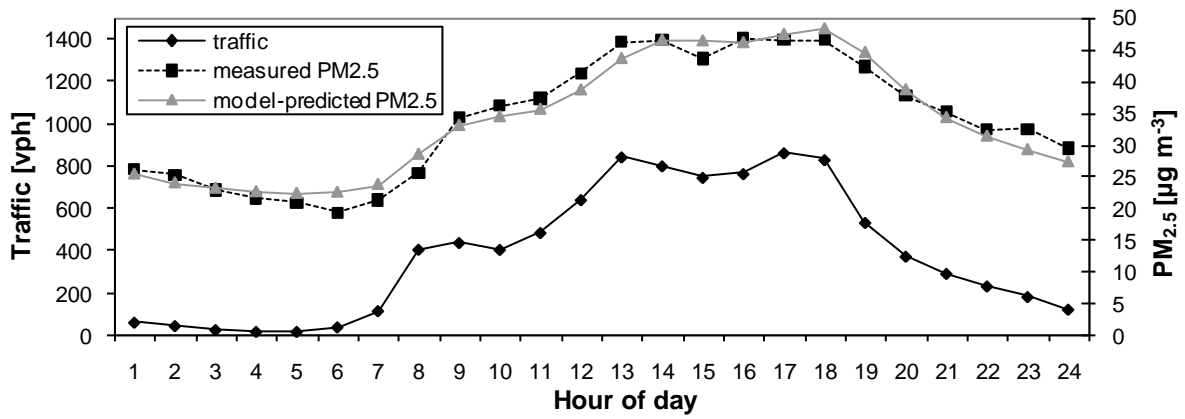


Figure 3. Average profiles for winter weekdays colder than  $-20.9\text{ }^{\circ}\text{C}$  for: traffic (left y-axis); measured and model-predicted  $\text{PM}_{2.5}$  concentrations (right y-axis)

## Part IV

### Analysis of RAMs data for 1<sup>st</sup> quarter 2008

R. Johnson, UAF/CEM/INE AUTC project # G 00003218, Aug. 2009

#### Introduction:

For the Nov. 2007 through March 2008 period, the FNSB deployed fixed monitors at the bus barn site as well as the permanent monitors downtown. There was a fixed monitoring site established at Nordale School from Jan – March 2008. A Federal Reference Method [FRM] R&P 2000 monitor was placed at the UAF physical plant parking lot for six weeks at the end of 2007. At the downtown sites, FRM as well as real-time PM values were measured as well as hourly CO readings. The CO monitor was located at the old post office on Cushman St. while the real time and FRM PM monitors were located at the state office building on Seventh Avenue adjacent to Barnette St. Next to the state office building an ADEC trailer was deployed with sensors to detect NO<sub>x</sub>, SO<sub>2</sub>, real time PM<sub>2.5</sub> [TEOM], and elemental carbon [Aethalometer]

To supplement the data obtained by these monitors, a portable unit [Relocatable Air Monitoring Station or RAMs] was placed for approximately one week periods at fourteen different locations. This trailer had real time PM and CO monitors as well as a met tower. The former two monitors recorded average one hr values and the met tower sensed ambient air temperature as well as wind speed and direction. The main motivation for deploying this trailer was to better define the spatial extent of elevated PM<sub>2.5</sub> levels.

Deployment locations for deployments through Mar. 3 are shown in Figure 1. The location closest to the downtown site was the FNSB offices on Pioneer Rd. located about 0.5 km NW of the downtown site. The one on Van Horn Rd was near the southern edge of the non-attainment area for CO while the one at the Sportsman Warehouse was near the northern edge. The CCHRC and Chena Pump were near the Western boundaries, the Farmers Loop site beyond the northern boundary, and Toolik Dr. beyond the Eastern boundary.

## Results:

A summary of the RAMs data through Feb 29, 2008 appears in Table I. These data were obtained starting with average hourly values over the deployment periods indicated. Columns 1, 3, 5, and 8 define the locations of the monitors as well as deployment dates while PM and CO data are presented in columns 2 and 4 and 6 and 9 respectively. In columns 7 and 10 appear the ratios of CO to PM. Each  $PM_{2.5}$  or CO value in Table I represents an average of multiple hourly averages. For example, the first two PM entries for Jan 6-9 represent the average of about 96 values [4 days worth of hourly averages]. Sometimes, one or a few hourly averages were missing from the data set. The purple values in the rows labeled ratios represent the ratios between values at the RAMs sites to the downtown values. If one looks at the ratio of RAMs  $PM_{2.5}$  to the downtown average  $PM_{2.5}$  during the deployment periods, four sites [FNSB offices, Chena Pump, Toolik Dr. and Sportsmans Warehouse] had ratios greater than 0.6. But, the Van Horn site [ratio = 0.44] had the highest average PM value of all the RAMs sites [ $32.1 \mu\text{g}/\text{m}^3$ ]. The other sites had ratios were less than 0.2. We also looked at the correlation coefficients between the  $PM_{2.5}$  levels at the RAMs' sites and downtown. We found that only the FNSB offices and Sportsman Warehouse sites had positive  $r$  values plus  $R^2$  values greater than 0.50. The Van Horn and Farmers Loop sites had positive [very weak] correlations with  $R^2$  values of 0.27 and 0.25 respectively. The other four sites experienced either negative correlations or positive ones with  $R^2$  less than 0.16.

The 4 to 8 day average PM values downtown ranged from 14.5 to  $73.4 \mu\text{g}/\text{m}^3$  while the range at the RAMs sites varied from 4.3 to  $32.1 \mu\text{g}/\text{m}^3$ .

For CO, the same four sites as for  $PM_{2.5}$  had ratios of CO at the RAMs sites to the corresponding downtown sites  $> 0.60$ . CO to  $PM_{2.5}$  ratios in columns 7 and 10 fell between 0.015 and 0.06. In other words, for the downtown site as well as the eight represented RAMs sites, the  $PM_{2.5}$  values in  $\mu\text{g}/\text{m}^3$  were from 17 to 67 times greater than the CO values in ppm. The multi-day CO average values ranged from 0.33 to 1.07 ppm.

Figs 2 – 13 represent characteristic plots of  $PM_{2.5}$  values for selected RAMs sites together with corresponding values for the downtown site. The first three are for the core downtown area, the next three for nearby locations just north and south of the core area respectively, the next four for outlying sites exclusive of N Pole and the last two for N Pole. We have not presented data



beyond March 3 as the average daily  $PM_{2.5}$  values during that period were less than  $8.5$  except for one day at  $15.3 \mu\text{g}/\text{m}^3$  for the RAMs sites and less than  $15.5$  except for one day at  $27.6 \mu\text{g}/\text{m}^3$  at the downtown site. The average daily downtown  $PM_{2.5}$  for March was  $10.5 \mu\text{g}/\text{m}^3$ . These are to be compared with daily downtown values averaging  $26.0$  for January and  $31.7 \mu\text{g}/\text{m}^3$  for February.

Some figures such as 2 and 3 have individual hourly averages presented for the dates indicated. With Fig. 2 covering the period from Jan 11 through Jan 17, there are close to  $7 \times 24 = 168$  data points [the number is slightly less because of some invalid data]. Other figures such as Fig. 4 show composite hourly averages. Hence, no matter how many days are represented on such figures, there are only 24 data points for each site. One would be the average of all the  $PM_{2.5}$  values from 12 till 1 AM, the next the average from 1 till 2 AM, etc.

On some figures, the hourly PM averages for a RAMs sites are plotted versus the corresponding values for the fixed downtown site. On others, each of these two sets of values is plotted versus time. If the RAMs values are much lower than the downtown values [cf. Figs 8 -10], they are multiplied by a scaling factor to make comparison of the two levels more obvious. The hourly downtown PM values exceeded  $100 \mu\text{g}/\text{m}^3$  at least once each day during the Feb 3-10 cold snap and for 5 hrs during the mid afternoon and evening on Jan 30.

$R^2$  values either appear on the figures or next to the figures. If there is a negative correlation, this is noted. Not shown are the downtown ambient temperatures which averaged  $-19^\circ\text{C}$  and  $-17^\circ\text{C}$  for January and February respectively. The Jan T ranged from  $-35$  on Jan 14 to  $1^\circ\text{C}$  on Jan 27. The Feb T ranged from  $-37$  on Feb 7 to  $8^\circ\text{C}$  on Feb 20 with T frequently less than  $-30^\circ\text{C}$  from Feb 3 – 9. During this period, a diurnal T variation of about  $6^\circ\text{C}$  was evident. But, the PM variation did not follow the same pattern. The average wind speed at the various RAMs sites from Feb 1 through Mar 3 was 2.6 mph with values exceeding 10 mph midday on Feb 15 and from 11 AM until past midnight on Feb 29. During these periods, the PM values were less than  $7 \mu\text{g}/\text{m}^3$  with 10 of 12 hourly averages equal to 0 between 6 AM and 5 PM on Feb 29.

Figs 14-18 incorporate selected CO data either versus time or versus PM at four RAMs locations. The last figure shows both CO and PM vs. time with the CO values multiplied by 30 to allow for easier comparison with the corresponding PM values. We have omitted several data points with questionably high CO values. Fig 19 illustrates the importance of wind speed on PM levels.

### Discussion of results:

It is clear from looking at Figs. 2-4 that the particulate levels at the FNSB offices on Pioneer Rd. are highly correlated with those at the downtown PM site. Earlier we found this to be the case between the FNSB bus barn and the downtown site. Whether one looks at all the hourly values [Fig. 2 and 3], the composite hourly values [Fig. 4], or the average daily values [not shown], the  $R^2$  values are greater than 0.83 with a positive correlation. This implies that over 83 % of the variations at the office location are associated with variations at the downtown post office. This strong correlation is expected since these sites are about 0.5 km apart with heavily trafficked roads nearby. The highest composite average hourly values occurred between 11 AM and 7 PM at both sites. The highest individual hourly value of  $62.1 \mu\text{g}/\text{m}^3$  occurred at the offices at noon on Jan 14. At this same time the value downtown was  $80.7 \mu\text{g}/\text{m}^3$  which was the third highest hourly value at this location during this time period. The lowest values at each location of less than 2 occurred during the early morning hours. The lowest average daily temperature occurred on Jan 14 with values less than  $-40$  downtown from 8 AM until noon.

Data for the Sportsman's Warehouse site appears in Figs 5 and 6 indicates the correlation with downtown is fair with  $R^2 = 0.53$  using the multi-day composite hourly averages. One can see that the composite hourly values rise as morning traffic picks up and the downtown nighttime values rise from the evening rush hour until 11 PM while those at the Warehouse flatten out during the evening. Fig 5 includes data from Feb 21 while Fig 6 does not since seven hourly RAMs values from Feb 21 were invalid which would have affected the composite hourly averages. The Warehouse is located 2.3 km from downtown and has two major roads [Johansen Expressway and Steese Highway] located nearby.

The Van Horn site [Fig 7] revealed a weak correlation with downtown with an average PM value of 32.1 during the Feb 8-13 time frame. This site is about 2.7 km SE of downtown with a modest amount of traffic including some truck traffic.

The three RAMs sites [cf Figs 8 -10] that had average PM to downtown PM ratios less than or equal to 0.20 were all located from about 5.6 to 8.2 km from the downtown site and not near heavily trafficked roads. They all had  $R^2$  values less than 0.30 with one [CCHRC] exhibiting an inverse correlation. [distances from downtown in km; CCHRC = 5.6, Bonner St = 5.9., Red Fox = 8.2].

The Chena Pump Rd site [Fig 11], located 8.8 km W of downtown, mostly had lower PM levels than downtown with very little correlation between the two sites. Since this site was at a small business location, it likely had more entering and leaving traffic during the day than a residence would have. Thus may explain why a couple of the composite hourly values exceeded those downtown.

Toolik Rd in N Pole is 13.5 km SE of downtown. With the data appearing on Fig. 12, this site revealed the strongest negative correlation with the downtown data. The downtown values rose during morning rush hour and stayed elevated through the evening rush hour. The N Pole data rose from about 4 PM till about 1 AM, fell till about 10 AM, rose from 11 AM till 1 PM and then fell till 3-4 PM. We already found a high correlation between the downtown values and local traffic. The traffic volume near the N Pole site was much lower than downtown but still likely experienced a morning peak around 8-9 AM. But, the home at which the RAMs was deployed as well as four neighboring homes had wood stoves. The fact that the N Pole data rose from late afternoon till around 1 AM is consistent with firing patterns for wood stoves.

If we take a more detailed look at the N pole data [Fig. 13] considering the individual [as opposed to composite] hourly averages, we find the N Pole PM is higher than that downtown during the wee morning hours Sat, Sun, and Monday. This is consistent with wood stove firing patterns. During the first 3 of these 6 days, the ambient temperature was less than  $-29^{\circ}\text{C}$  so there would be ample motivation to use wood stoves.

Analysis of the data on Figs 14 -18 reveals moderate correlations between CO and PM which is expected for those sites where motor vehicles are important contributors to PM. One would expect this to be the case for the FNSB offices, Sportsmans Warehouse [in a large shopping complex] and Van Horn Road [truck traffic]. The good correlation for Van Horn Road may be partially associated with the truck traffic as older heavy duty trucks can be significant CO and PM emitters. At first glance, seeing a good correlation for Toolik Dr in N Pole [Fig. 15] is surprising. But, if the PM is coming from older wood stoves, having significant CO emissions also can be possible. From the data shown on Fig 19, one can see that PM<sub>2.5</sub> levels are strongly influenced by wind speed. At moderate wind speeds during the early morning hours of Feb 29, the PM levels are low at Sportsman's Warehouse partially because the traffic flows are low. But, as the traffic picks up during the day, the PM levels are essentially zero due to strong winds. As the speed falls in the evening, the PM levels rise a little but are still low since the wind speed is still more than 10 mph.

The fact that three out of the four RAMs sites that had PM to downtown PM ratios greater than 0.60, also had CO ratios greater than 0.60 indicates that the CO cloud may extend over a similar area as the PM cloud. But, more data is needed to confirm this.

Using the BAM data, for the downtown site, the daily PM<sub>2.5</sub> 35 µg/m<sup>3</sup> averages were exceeded 6 times in Jan and 7 times in Feb. For the RAMs sites, exceedances occurred twice each month [coincident with downtown exceedances]. So, extending our data collection network didn't extend the number of possible violations with respect to 24-hr PM levels.

### Tables and Figures for 2008 RAMs Analysis

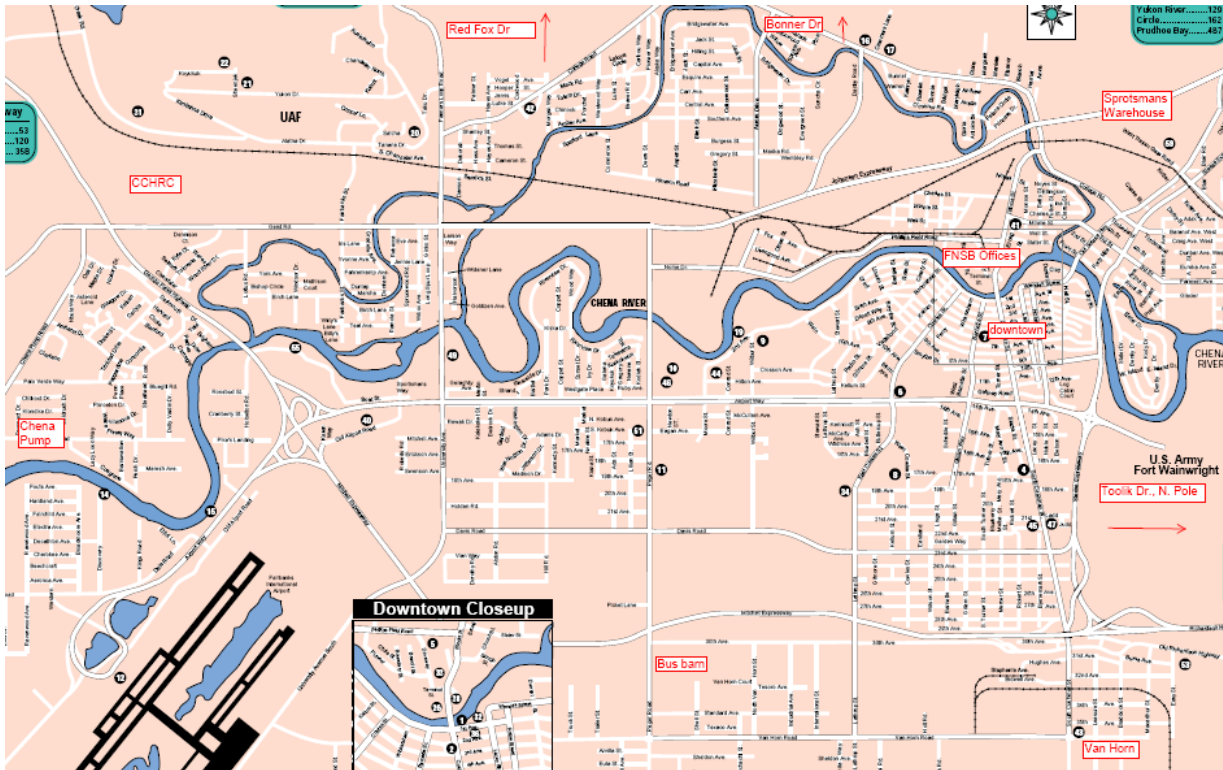


Figure 1 Fairbanks Map with RAMs and other key Locations

Distances from downtown site in km: FNSB offices- 0.5 Sportsmans Warehouse - 2.3

Van Horn - 2.7 bus barn - 3.5 CCHRC - 5.6 Bonner St [near F Loop] - 5.9

Red Fox Dr. - 8.2 Chena Pump 8.8 Toolik Dr. – 13.5

2008 PM 2.5		2008 CO		Ratios bet CO and PM		Ratios bet CO and PM	
Jan 6-9	Feb 1-6	Jan 6-9	Feb 1-6	Jan 6-9	Feb 1-6	Jan 6-9	Feb 1-6
Downtown	24.15	50.63	24.15	0.78	0.032		
CCHRC	4.32	9.94	4.32	0.26	0.060	missing data	
Ratio	0.18	0.20	0.18	0.33			
R <sup>2</sup> [avg hrly \	0.30	0.03	0.30				
neg slope							
Jan 11-17	Feb 8-13	Jan 11-17	Feb 8-13	Jan 11-17	Feb 8-13	Jan 11-17	Feb 8-13
Downtown	27.50	73.36	27.50	0.85	0.031	1.07	0.015
FNSB offices	19.04	32.10	19.04	0.76	0.040	0.88	0.027
Ratio	0.69	0.44	0.69	0.89		0.82	
R <sup>2</sup> [avg hrly \	0.84	0.27	0.84				
Bus Brn	24.90		24.90			0.87	
Jan 18-23	Feb 15-19	Jan 19-23	Feb 15-19	Jan 19-23	Feb 15-19	Jan 19-23	Feb 15-19
Downtown	28.00	23.01	28.00	0.87	0.031	0.89	0.039
near F Loop	7.20	15.67	7.20	0.43	0.060	0.8	0.051
Ratio	0.26	0.68	0.26	0.49		0.90	
R <sup>2</sup> [avg hrly \	0.25	0.16	0.25				
Jan 25-30	Feb 22-29	Jan 25-30	Feb 22-29	Jan 25-30	Feb 22-29	Jan 25-30	Feb 22-29
Downtown	45.10	14.77	45.10	1.02	0.023	0.88	0.060
Toolik Dr-N.P.	28.88	9.84	28.88	0.5	0.017	0.54	0.055
Ratio	0.64	0.67	0.64	0.49		0.61	
R <sup>2</sup> [avg hrly \	0.51	0.53	0.51				
neg slope							

Table 1 Summary of RAMs data from Jan 1 through Feb 29 2008

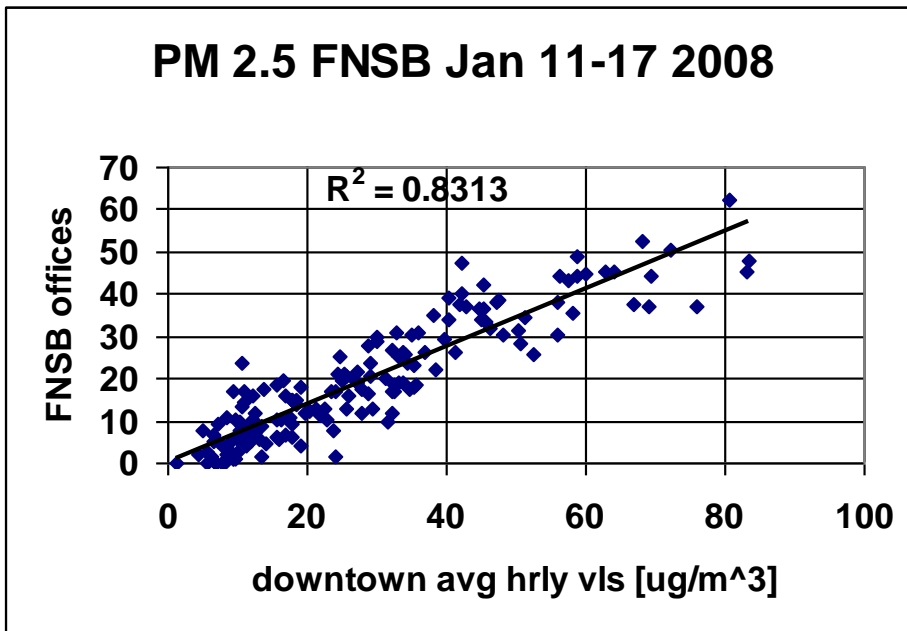


Figure 2 Jan 11-17 RAMs data with individual hrly vls

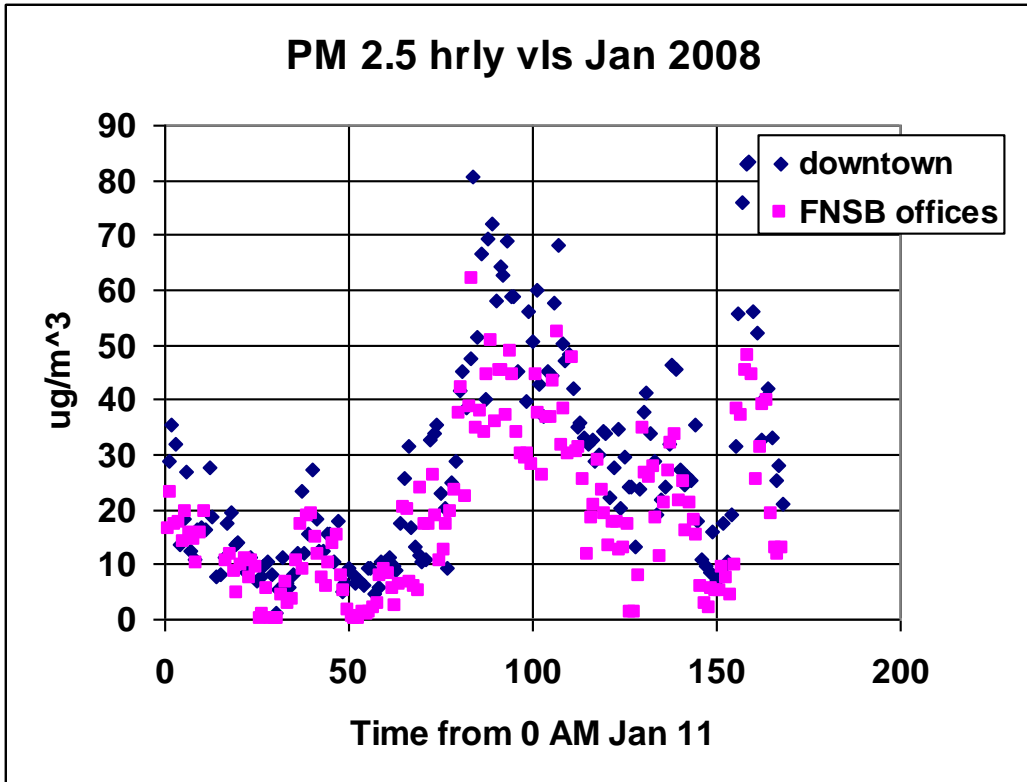


Figure 3. Jan 11 – 17 RAMs data vs time

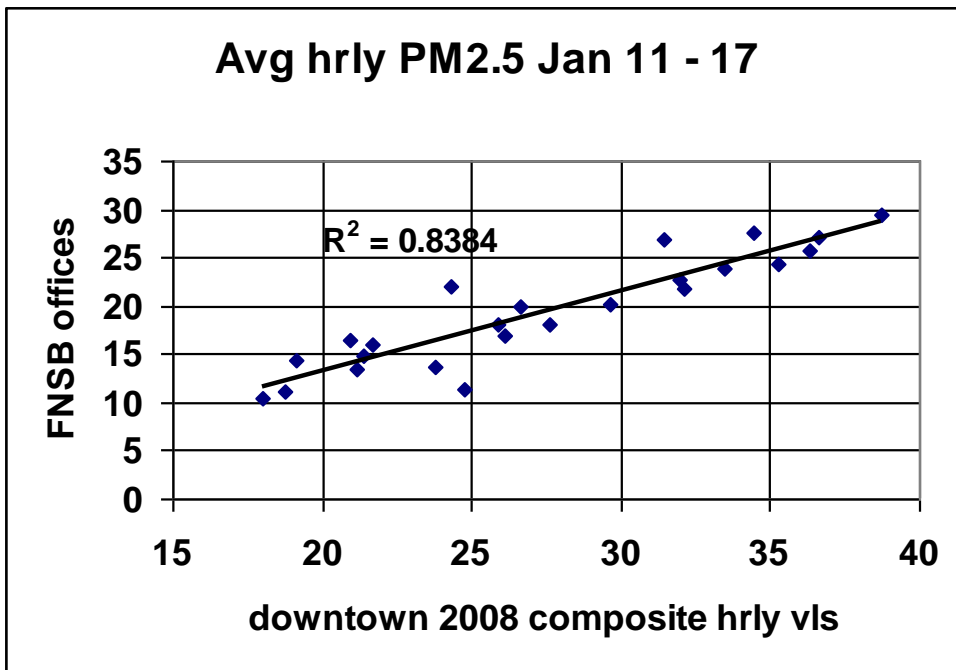


Figure 4 Jan 11-17 RAMs data composite hrly vls

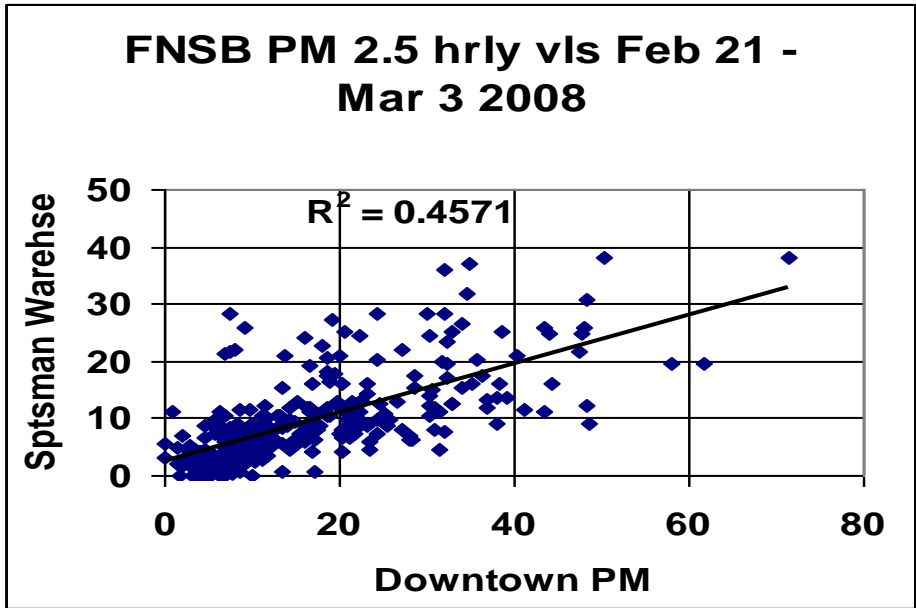
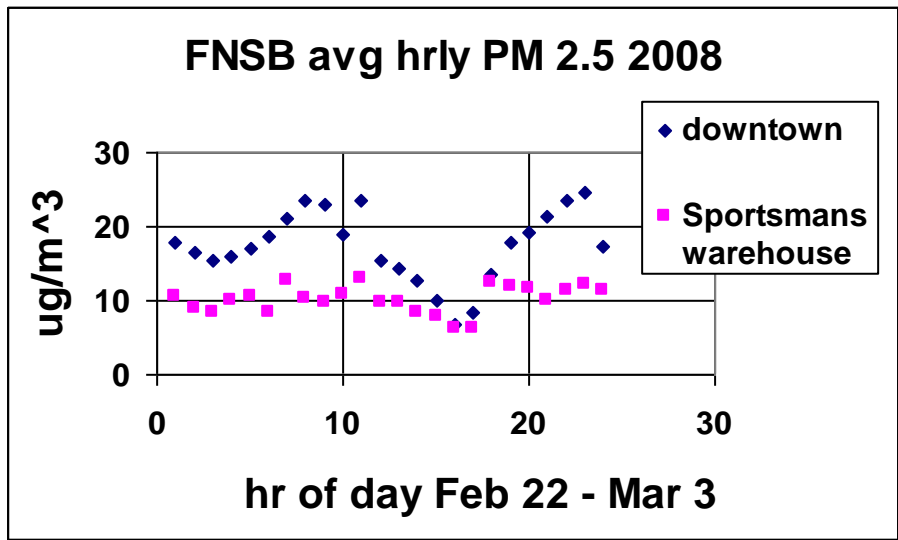


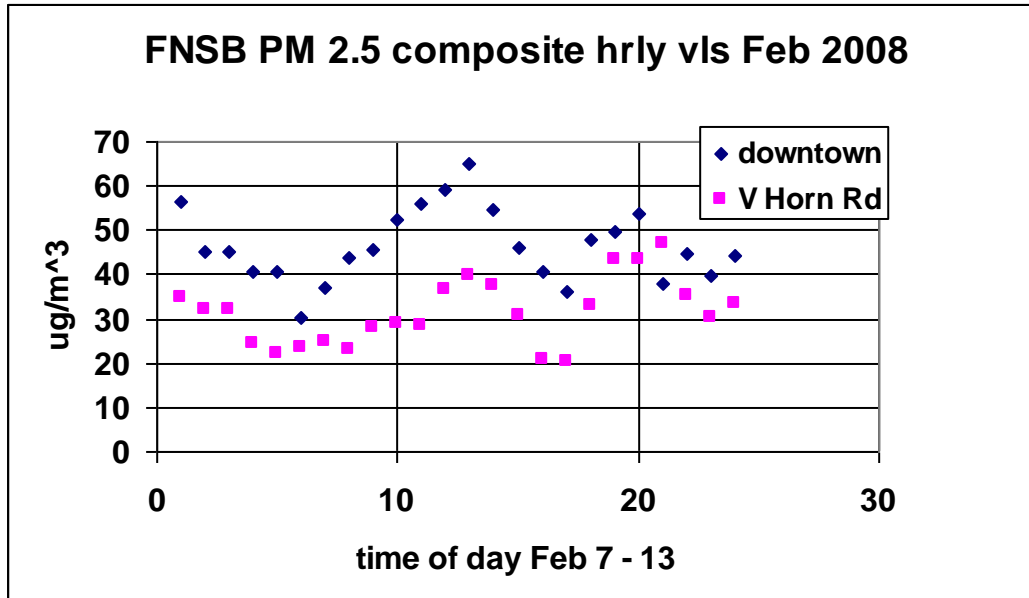
Figure 5 Feb 21-Mar 3 RAMs data with individual hrly vls



$R^2 = 0.53$

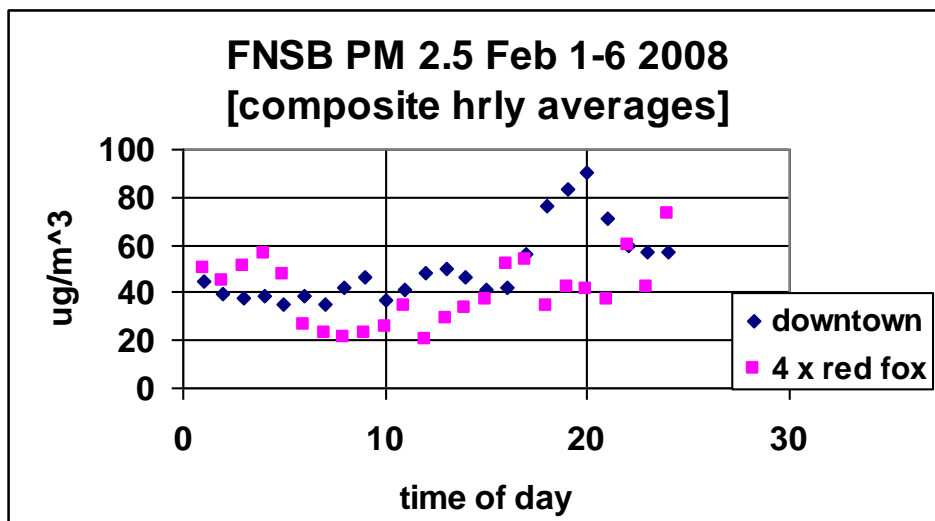
Figure 6 Feb 22 – Mar 3 RAMs PM data vs time for composite hrly averages





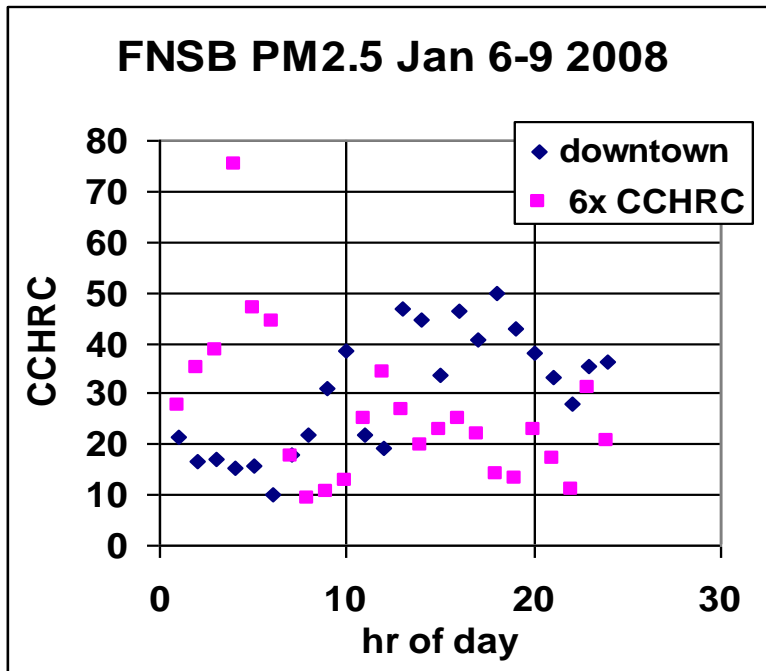
$R^2 = 0.27$

Figure 7 Feb 7 – 13 RAMs PM data vs time for composite hrly averages



$R^2 = 0.025$

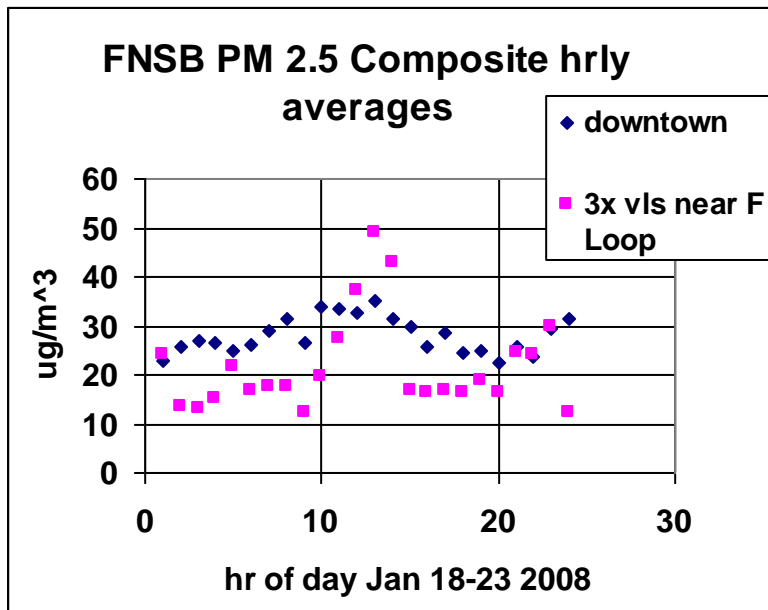
Figure 8 Feb 1 – 6 RAMs PM data vs time for composite hrly averages



$R^2 = 0.30$

[negative correlation]

Figure 9 Jan 6 – 9 RAMs PM data vs time for composite hrly averages



$R^2 = 0.25$

Figure 10 Jan 18 - 23 RAMs PM data vs time for composite hrly averages

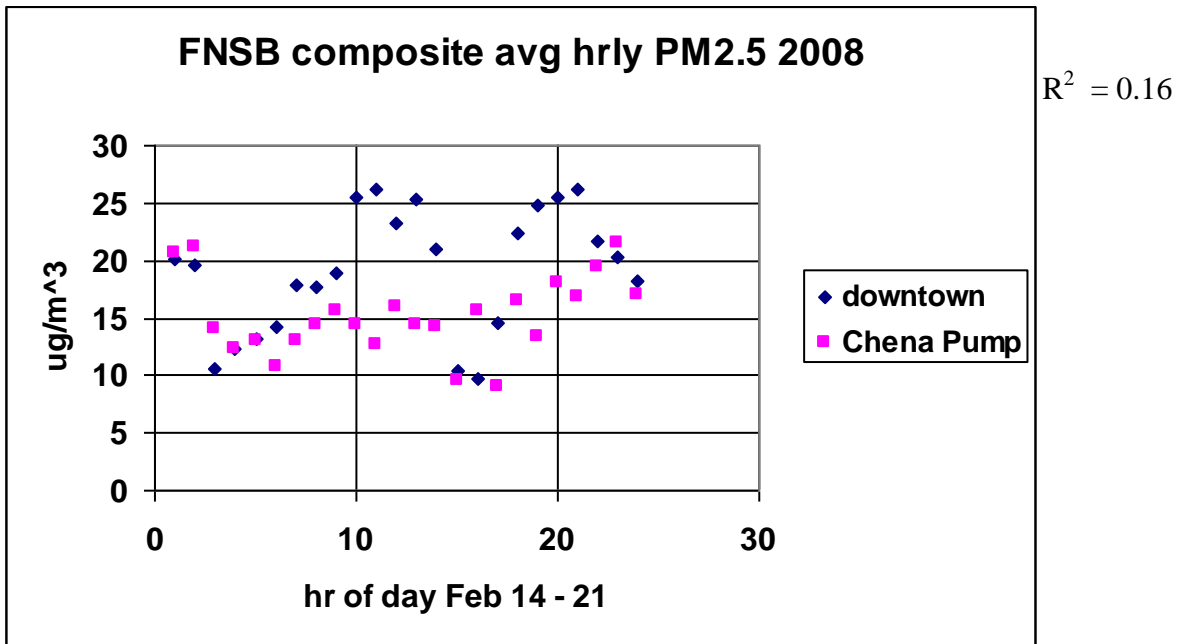


Figure 11 Feb 14 – 21 RAMs PM data vs time for composite hrly averages

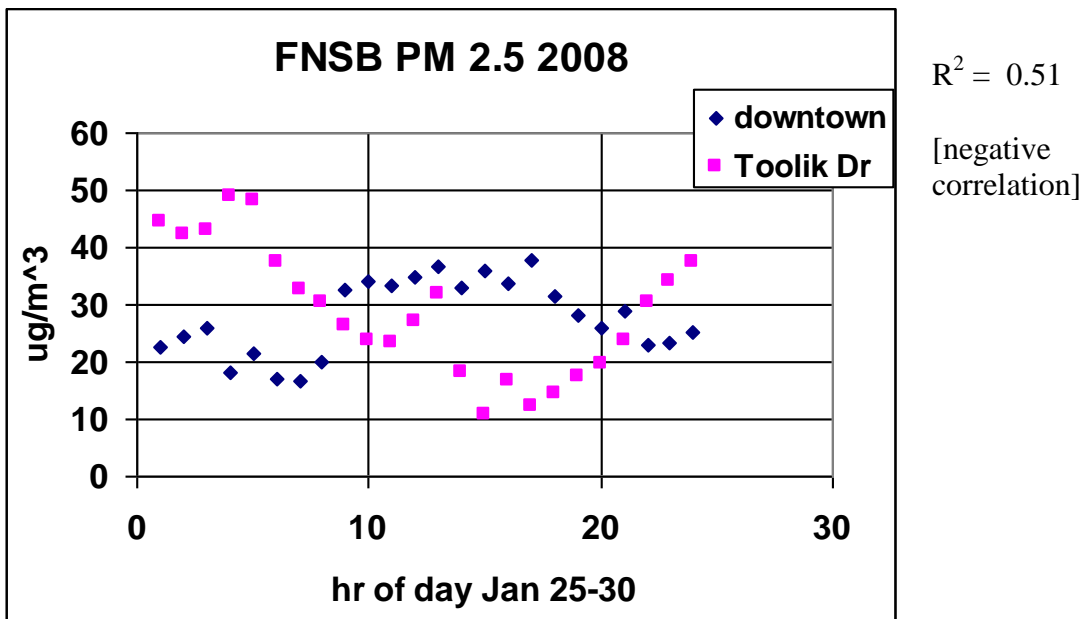


Figure 12 Jan 25 - 30 RAMs PM data vs time for composite hrly averages

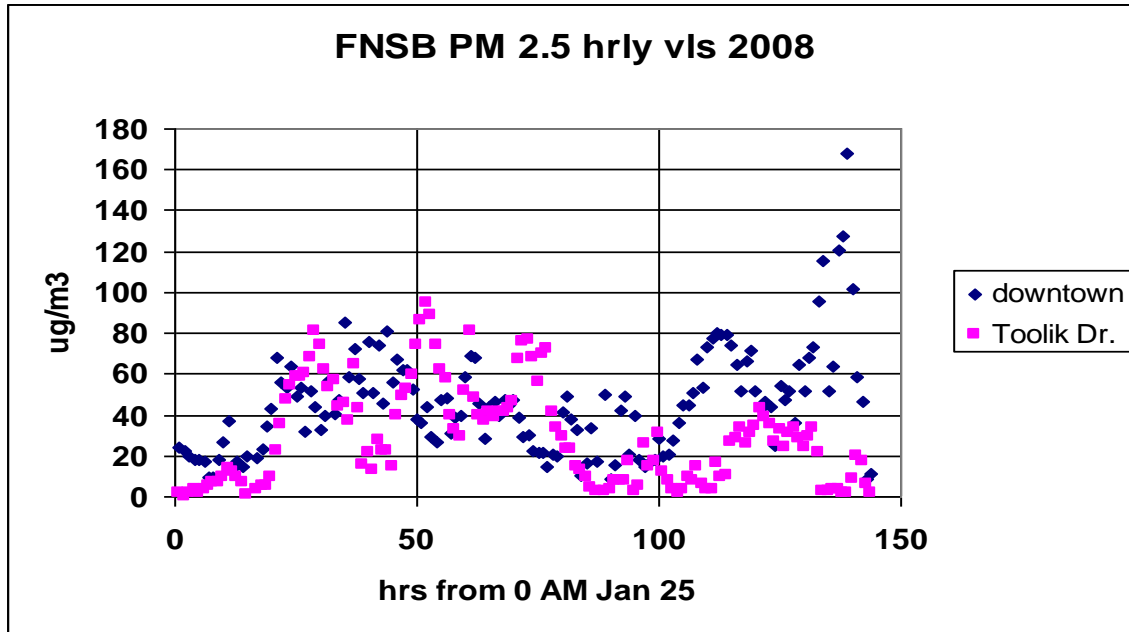


Figure 13 Jan 25 - 30 RAMs hourly PM vs time Jan 25 is Fri [ $< -30$  Jan 25 8 PM till 2 PM 27<sup>th</sup>]

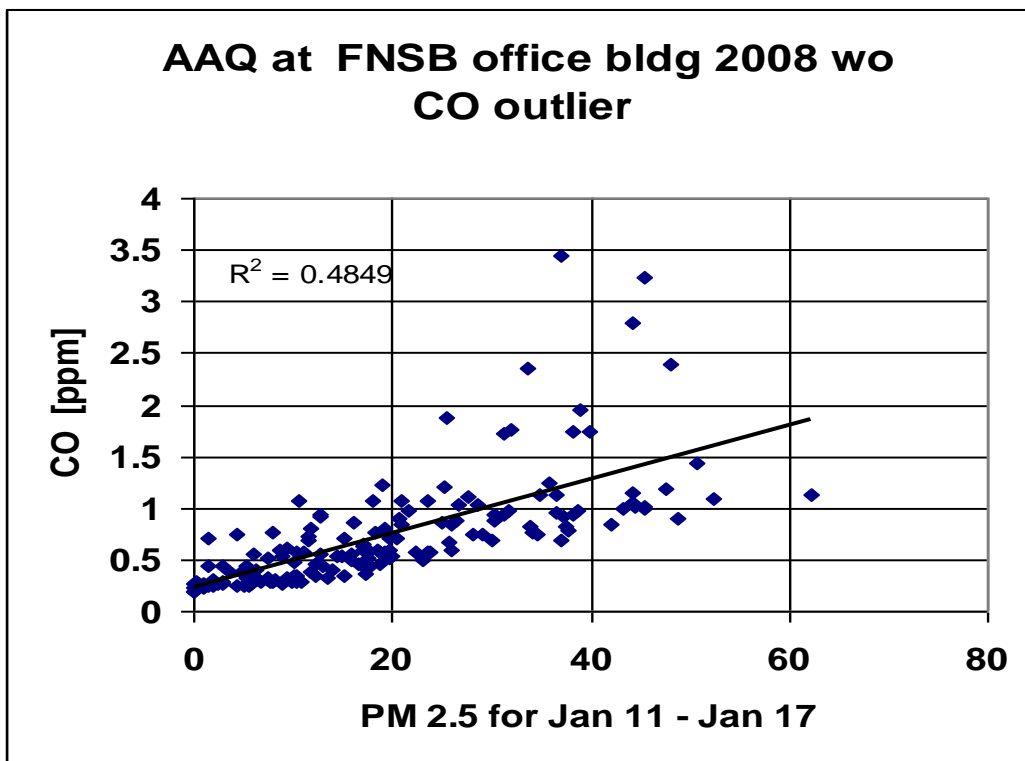


Fig. 14 Jan 11- 17 CO vs PM

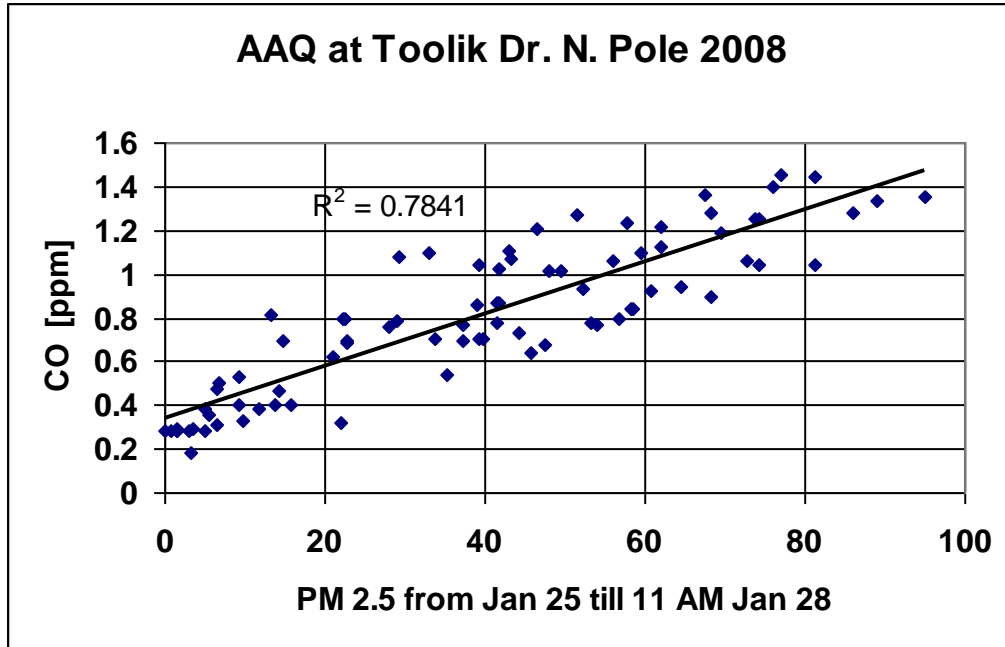


Fig. 15 CO vs PM for Jan 25 – Jan 28

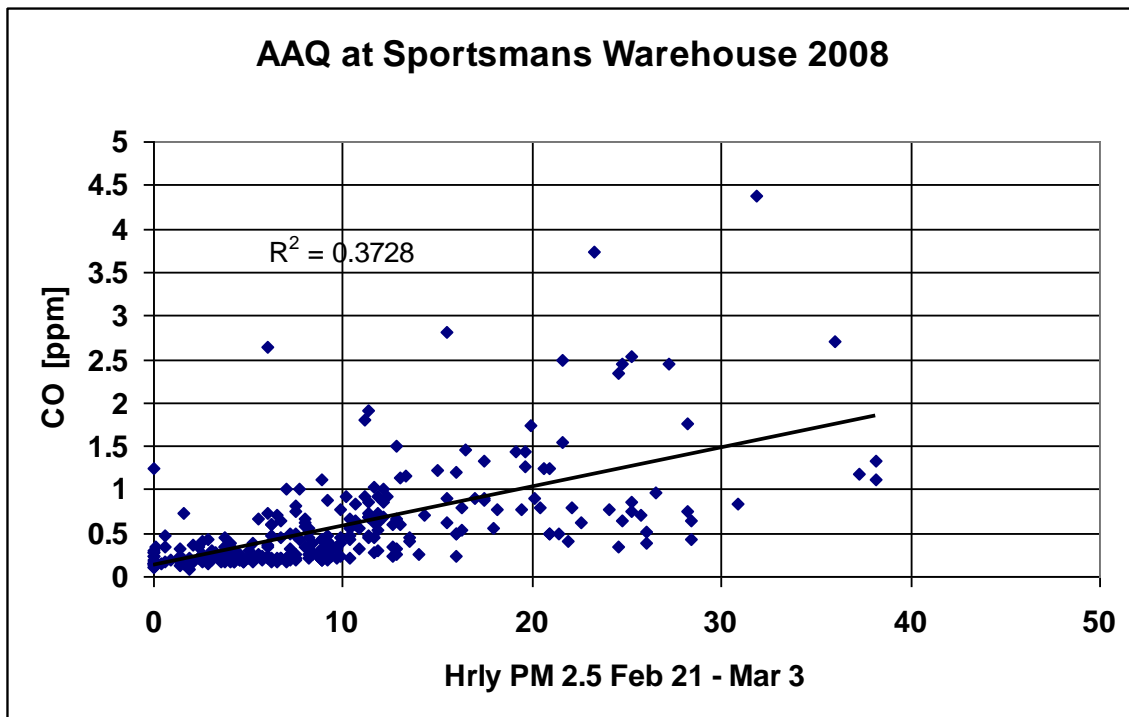


Fig 16 CO vs PM for Feb 21 – Mar 3 2008

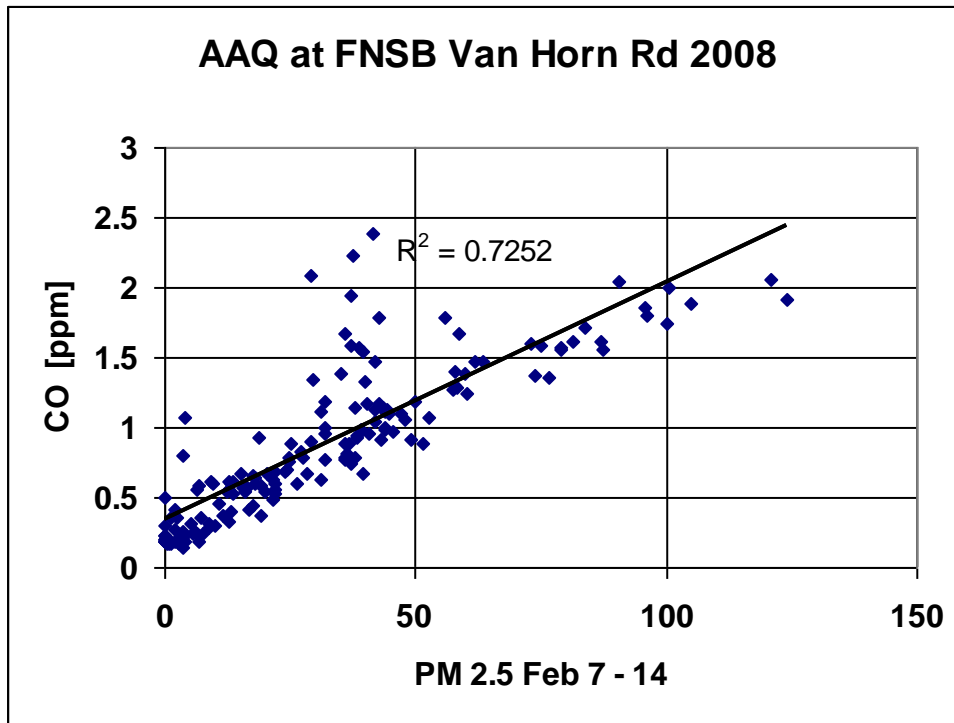


Fig. 17 CO vs PM for Feb 7 -14 2008

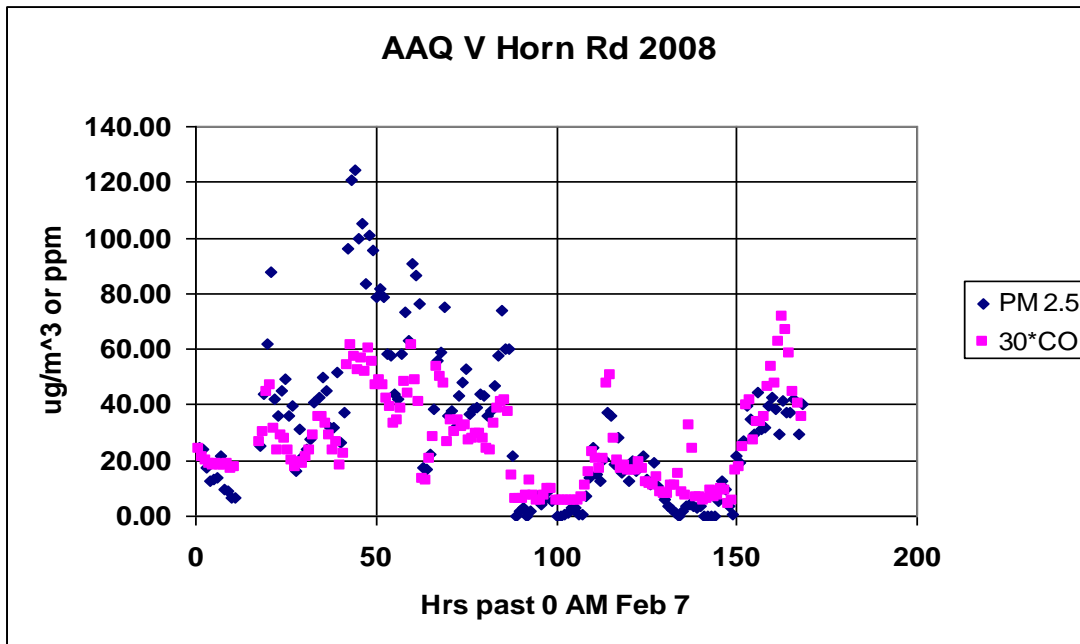


Fig 18 AAQ from Feb 7 – Feb 14 20008

Notes: PM peaks at 21, 44, 60, 85 at 9 PM, 8 PM, noon and 1 PM with T = - 35, -32, -34, and -34 ° C respectively. Then T warmed to > - 25 C. Feb 7 is a Thur so peaks occurred midday on Sat and Sun.

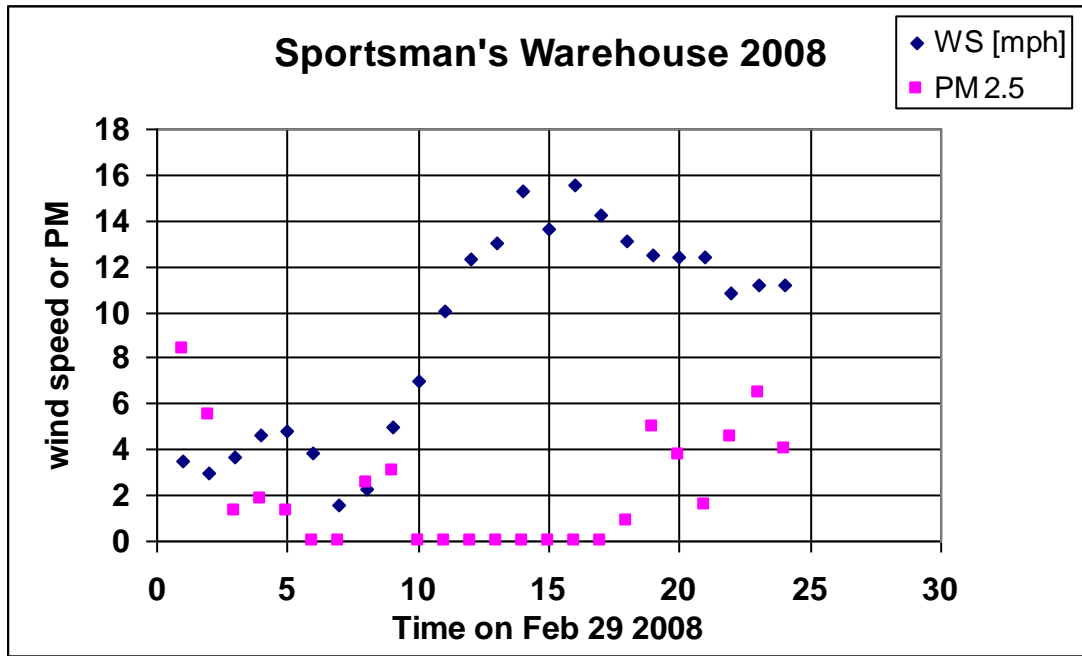


Fig 19 Influence of wind speed on PM for Feb 29, 2008

## **Part V**

### **Transportation System Management and Travel Demand Management Strategies for Vehicle Traffic and PM 2.5 Reduction**

Ming Lee

#### **Introduction**

There are a variety of Transportation System Management (TSM) strategies being applied in many metropolitan areas throughout the United States for the purpose of improving traffic flow on existing roadway systems. TSM typically deals with the supply side of a transportation system such as the coordination of traffic signals along a corridor to relieve congestion. To achieve maximum benefits of congestion relief, TSM strategies often [work](#) in conjunction with Transportation Demand Management (TDM), with the intention of reducing the demand for travel to enhance the effectiveness of system management.

It is noted that for the reduction of vehicle traffic and emissions in the Fairbanks North Star Borough (FNSB), the most effective measures are those that reduce the amount of traffic on the roadway system, because there is very little traffic congestion in the area. The effectiveness of traffic flow improvement in emission reduction is limited when traffic on most of the major roadways can flow freely. For this study, we examine the potential effectiveness of TSM/TDM control strategies in vehicle traffic reduction. The control strategies examined here are those that have been applied elsewhere in the US with positive results. The newly developed Travel Demand Forecasting (TDF) model for the Fairbanks Metropolitan Area Transportation System (FMATS) is applied to assess the effectiveness of these strategies in vehicle trip reduction. FMATS is the designated Metropolitan Planning Organization (MPO) for the FNSB and the cities of Fairbanks and North Pole.

#### **Overview of the FMATS Travel Demand Forecasting Model**

A TDF model typically divides the modeling area into Traffic Analysis Zones (TAZ), and each TAZ has household and employment data identified for the purpose of trip generation. This household and employment data are used by the model to predict trip productions and attractions (trip ends) for each individual zone. For modeling purposes, the TAZs are connected by a computerized planning network that is defined by links and nodes, representing the actual roads and intersections in the area. Each roadway link is defined by specific data that generally include roadway length, travel speed, number of lanes, roadway capacity.



The updated FMATS TDF model continues to use modified version of the traditional four-step modeling process. In more general terms these steps are as follows:

1. Trip Generation – This step predicts the number of person trip that are generated by and attracted to each defined zone in a study area. This results in trip Productions and Attractions for each zone by trip purposes. The FMATS TDF model divides all trips into 3 trip purposes:
  - Home-Based Work (HBW)
  - Home-Based Non-work (HBNW)
  - Non Home-Based (NHB)
2. Trip Distribution – This step connects trip ends estimated in the Trip Generation process to determine number of person trip interchanges between each zonal pair. This results in Production-Attraction (P-A) tables that quantifies the number of persons that will travel between one zone and all other zones for different trip purposes.
3. Mode Choice – This step allows the model to consider different travel modes (vehicles, transit, bicycle, pedestrian, etc) used for each zonal interchange. For many large urban areas, transit is an important factor; however for Fairbanks, transit and other modes make up a very small percentage (see the percentage of people who take bus to work in Figure 2) of the total daily trips. The FMATS model only considers vehicle trips, and the mode choice step is skipped.
4. Trip Assignment – This step assigns zone-to-zone vehicle trips to specific travel routes, generally based on factors such as the fastest total travel time. For a model without a mode choice component, the person trips in the P-A table are first converted to vehicle trips by vehicle occupancy calculation. Purpose-specific vehicle occupancy rates (i.e., average number of persons per vehicle) are applied to the P-A table to convert person trips to vehicle trips for particular trip purposes.

Before assignment, all the 24 hour vehicle trips will then be distributed to different time periods (e.g., AM peak, PM peak, and off-peak) during the day. After assignment, the sum of all trips for each link during a particular time period is then calculated as the estimated traffic volume on that link. The model is able to adjust travel speeds and add delays on roadway facilities that are more heavily used. If necessary, the model reassigns trips to less congested travel routes, in an effort to simulate every day travel choices that drivers make in the real world.

In 2008 the FMATS TDF model was updated with the most recent employment data and calibrated with the latest traffic counts. This version of the TDF model was used to produce estimates of system-wide Vehicle Miles Traveled (VMT) for both the 2008 baseline and 2035 Long Range Transportation Plan scenarios. The VMT estimates were used in the latest CO conformity analysis for the FMATS planning area.

Figure 1 shows the TAZs of FMATS MPO boundary. The CO non-attainment area is enclosed by the dash line in Figure 1. The area represents the urbanized areas of Fairbanks and North Pole. It is noted that the newly designated PM 2.5 non-attainment area for the FNSB is actually larger than the MPO boundary. Currently, the FMATS TDF model does not cover the entire PM 2.5 non-attainment area. In analyzing the potential trip reduction of TSM/TDM strategies, we choose to track the VMT and total traffic in the CO non-attainment area because it gives us an indication of how much traffic reduction and subsequently PM 2.5 reduction can occur in the urbanized areas of FMATS when various TSM/TDM strategies are applied.

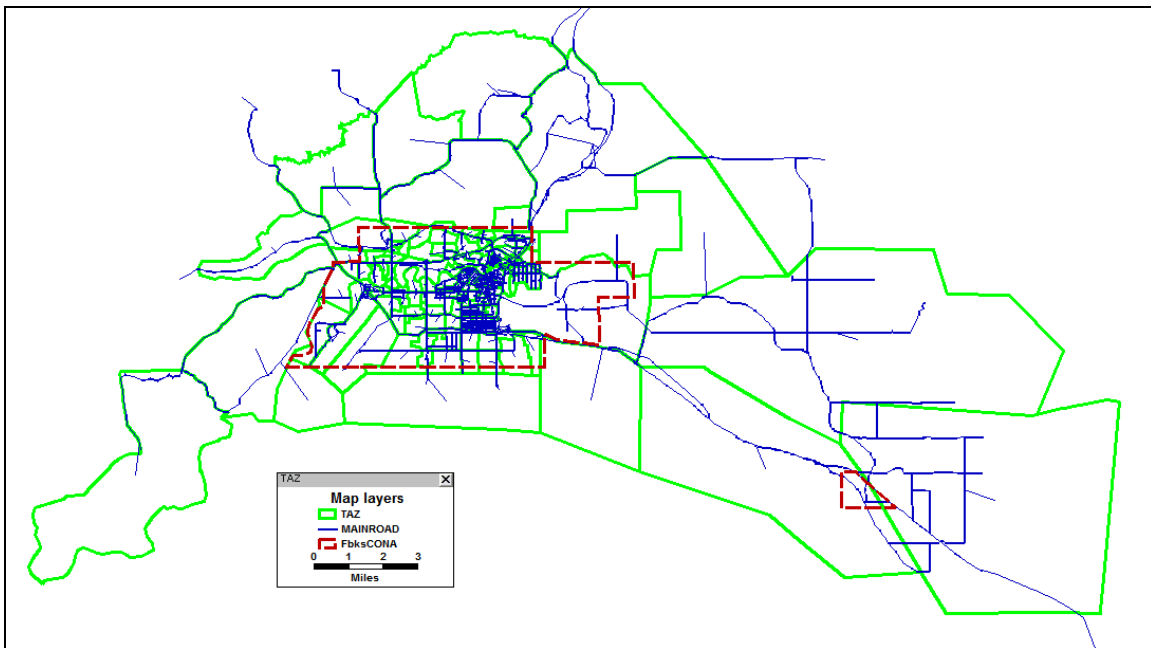


Figure 1 FMATS MPO and CO Non-Attainment Area Boundary

### Evaluation of Vehicle Trip Reduction Strategies in Vehicle Trip Reduction

We examined many TSM/TDM measures implemented in metropolitan areas in the US. Although road pricing as a TSM strategy has been implemented in the U.K and other countries in the world for purposes of vehicle trip reduction in heavily congested areas, it has not been applied in the US. While assembling the list of potential strategies for consideration, we also take into account the possibilities for the measures to be implemented in the FNSB. For example, biking and walking are not viable transportation options for most people in FNSB during December and January when the average temperature is often below zero. In addition, measures that target improvement of signalized intersections and traffic flow movement will not have much effect in the FNSB, because there is very little congestion in Fairbanks.

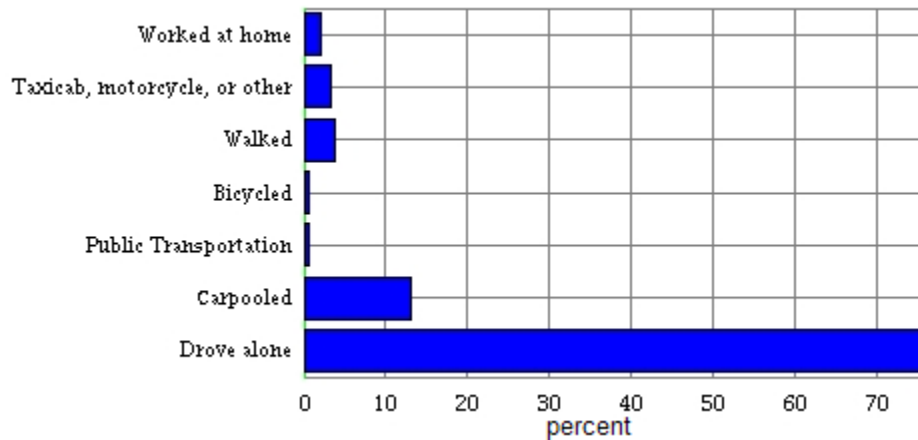
After examining the potentials for applications in FNSB, we choose to evaluate three major strategies that have been proven effective for traffic reduction: work from home with flexible work hours, increase car pool percentage, and increase bus ridership.

### **Strategy 1: Flexible Work Schedule and Work at Home Programs**

Many metropolitan areas have employer-sponsored programs aimed at reducing weekday commuter trips. For example, Washington State requires employers with more than 100 full-time employees who arrive to work between 6 and 9 a.m. in a county of more than 150,000 population to sponsor flexible work schedule programs. Some of such programs allow the employees to have a compressed work week. For example, employees can choose to have four 10-hour days per week rather than five eight-hour days. Others allow employees to work on a custom schedule that accommodates unique personal needs or a carpool or vanpool schedule. Many employers have also started work at home (a.k.a., telecommute) programs for the employees.

To model trip reduction attainable with flexible work schedule and work at home programs, we reduce the home-based work (HBW) production-attraction table produced after the trip distribution step of the TDF model. The HBW production-attraction table contains the number of persons who will travel for work between the origin and destination zones. Reducing numbers of the table represents the number of persons participating in the programs to stay at home for a particular weekday. We then run the TDF model with the reduced HBW production-attraction table to estimate how much and where the reduction in traffic will occur.

According to data from the American Community Survey released by the US Census Bureau in 2005, 39,164 workers commuted to jobs in Fairbanks North Star Borough, Alaska, taking on average 17.3 minutes each way. The percent of commuters by means of transportation is shown in Figure 1.



**Figure 1 Percent of Workers by Means of Transportation to Work\***

\* Source: 2005 American Community Survey

Table 1 applies the percentages of transportation means to work in Figure 1 to the total number of commuters in FNSB. The results are the actual numbers of persons in each transportation mode categories.

**Table 1 Transportation Means to Work in FNSB**

Means to work	Percentage	Number of persons	Number of Commuters
work at home	2	800	(stay at home)
taxicab	3	1,199	1,199
walked	4	1,598	1,598
biked	1	400	400
public transportation	1	400	400
Car pool	13	5,195	5,195
Drive alone	76	30,372	30,372
<b>Total</b>	<b>100</b>	<b>39,964</b>	<b>39,164</b>

We run the model by removing 1%, 2% and 5% of total commuters from the HBW production-attraction table. The removed production and attraction represent the commuters who stay at home on a particular work day via flexible work schedule programs. The purpose of running the model with different reduction percentages is to assess the elasticity of traffic reduction associated with increase in the participation of work at home programs. Table 2 summarizes the results of the model runs. It is noted that commuter trips account for approximately 20% of all trips (e.g., home-based non work and non-home based) in the modeling area. Thus, a 5% reduction in commuter trips results in just 1.2 % of system-wide VMT reduction.

**Table 2 Summary of Work-at-Home VMT and Traffic Reduction**

Scenarios	Total Daily System-wide VMT (vehicle-mile)	Reduction (%)*	Total daily VMT in the CO non-attainment (urbanized) area (vehicle-mile)	Reduction (%)*	Total Daily System-wide traffic (vehicles)	Reduction (%)*	Total daily traffic in the CO non-attainment (urbanized) area (vehicles)	Reduction (%)*
2008 Existing condition	1,861,073		955,585		7,539,322		5,927,540	
1% of existing commuters stay at home	1,856,646	0.24%	954,204	0.14%	7,519,925	0.26%	5,912,539	0.25%
2% of existing commuters stay at home	1,852,212	0.48%	951,802	0.40%	7,504,039	0.47%	5,900,545	0.46%
5% of existing commuters stay at home	1,837,518	1.27%	943,758	1.24%	7,447,416	1.22%	5,856,025	1.21%

\* % Reduction is calculated as the difference in VMT between a scenario and the existing condition divided by the VMT of the existing condition

## **Strategy 2: Increasing Carpool**

A carpool is another TDM program that is proven successful for commuter trip reduction. A carpool is a group of two or more people who go to work or other destinations together in a private vehicle. Carpool members usually work out agreements of who drives and how often, and payments for gasoline and maintenance. During the period of high fuel price in 2008, many workers who commuted to Anchorage organized carpools to save fuel cost.

Currently, in FNSB there are 5,195 carpool commuters and 30,372 drive-alone commuters. Assuming 2 persons for each carpool vehicle, the vehicle occupancy rate (VOR) for commuter trips (i.e., HBW trips) in the FNSB is approximately 1.11. To model the traffic reduction attainable with a carpool program, we run the model with increased vehicle occupancy rates of 1.115, 1.118, and 1.149. The three VORs represent approximately 1%, 2%, and 5% of total commuters who switch from driving alone to two-person carpools. The results of the car pool model are shown in Table 3.

**Table 3 Summary of Carpool Program VMT and Traffic Reduction**

Scenarios	Total Daily System-wide VMT (vehicle-mile)	Reduction (%)*	Total daily VMT in the CO non-attainment (urbanized) area (vehicle-mile)	Reduction (%)*	Total Daily System-wide traffic (vehicles)	Reduction (%)*	Total daily traffic in the CO non-attainment (urbanized) area (vehicles)	Reduction (%)*
1.110 HBW Vehicle Occupancy Rate (2008 Existing condition)	1,861,073		955,585		7,539,322		5,927,540	
1.118 HBW Vehicle Occupancy Rate	1,857,993	0.17%	954,905	0.07%	7,524,737	0.19%	5,916,200	0.19%
1.125 HBW Vehicle Occupancy Rate	1,855,079	0.32%	953,325	0.24%	7,513,984	0.34%	5,907,900	0.33%
1.149 HBW Vehicle Occupancy Rate	1,845,253	0.85%	948,084	0.78%	7,478,231	0.81%	5,880,897	0.79%

\* % Reduction is calculated as the difference in VMT or traffic between a scenario and the existing condition divided by the VMT of the existing condition

### **Strategy 3: Increasing Bus Ridership**

FNSB currently operates both fixed route buses and van services for the disabled. Given the compact size of the Fairbanks urban area, the fixed route buses can be a convenient means for many residents to commute to work. But, currently bus riders only constitute approximately 1% of total commuters in FNSB.

With no information on FNSB's plan of bus service operation in the future, we run the model to estimate the amount of traffic reduction assuming that the existing bus services will continue with the same route coverage and frequency, but incentives were created by FNSB to encourage more drivers to ride buses to work.

By the methodology of TDF, the mode choice component is applied to the P-A table after the trip distribution step. Because the FMATS TDF model does not have a mode choice component, we use a simplified approach to estimate the traffic reduction attributed to increased bus ridership. We apply reduction factors to the HBW P-A table to represent the number of driving commuters who switch to riding buses for work. This part of the modeling process is similar to the way we model stay at home traffic reduction. However, because the bus routes are fixed, only commuters who live close to the bus routes may switch from driving to taking buses for work. That is, only TAZs that are within close proximity of the bus routes can be applied with P-A reduction factors.

We overlay bus routes on top of the model TAZ and identify the TAZs that are served by the existing routes. We then multiply the P-A person trips between a pair of these TAZs by reduction factors of 0.983, 0.966, and 0.915. The three reduction factors correspond to approximately 1%, 2%, and 5% of total commuters who switch from driving to riding bus. The results of the trip reduction modeling for the increased bus ridership are shown in Table 4.



**Table 4 Summary of Increased Bus Ridership Program VMT and Traffic Reduction**

Scenarios	Total Daily System-wide VMT (vehicle-mile)	Reduction (%)*	Total daily VMT in the CO non-attainment (urbanized) area (vehicle-mile)	Reduction (%)*	Total Daily System-wide traffic (vehicles)	Reduction (%)*	Total daily traffic in the CO non-attainment (urbanized) area (vehicles)	Reduction (%)*
2008 Existing condition	1,861,073		955,585		7,539,322		5,927,540	
0.983 Trip reduction due to increased bus ridership	1,857,635	0.18%	954,200	0.14%	7,520,560	0.25%	5,911,886	0.26%
0.966 Trip reduction due to increased bus ridership	1,853,717	0.40%	951,391	0.44%	7,503,823	0.47%	5,897,701	0.50%
0.915 Trip reduction due to increased bus ridership	1,847,016	0.76%	945,661	1.04%	7,471,302	0.90%	5,867,087	1.02%

\* % Reduction is calculated as the difference in VMT or traffic between a scenario and the existing condition divided by the VMT of the existing condition

## **Comparison of the Effectiveness**

All three TSM/TDM strategies/programs are evaluated with scenarios of 1%, 2%, and 5% of the total commuters participating in each program. This setup enables the comparison of effectiveness among the three programs. Comparing the numbers in Tables 2, 3, and 4, the work at home or flexible schedule is the most effective strategies in reducing commuting VMT and traffic. The result is expected, because the reduction in the number of commuters results in taking the vehicles of the stay-home workers completely off the roads, while car pool programs retain a portion of the fleet on the road for carpools.

Although the increased bus ridership program also takes the vehicles of bus riders off the road, the program is less effective than the work at home program, because only people live close to the bus routes can take bus and bus routes run mostly around the urbanized areas of Fairbanks. The work at home program is modeled with the assumption that commuters within the entire MPO boundary can participate in the program. Thus, the work at home program can have more VMT and total traffic reduction than the bus program.

The bus program is in generally more effective than the carpool program, except for total system-wide VMT. The reason is because that carpool program retains a portion of the vehicles of the participating commuters, while the bus program takes these vehicles off the road. The total system-wide VMT reduction for the carpool program is greater than the bus program, because the bus program is restricted to the urbanized area while the carpool program is not.

## **Potential PM 2.5 Reduction**

One previously developed model shows that 30% of the PM 2.5 in the Fairbanks downtown area may be due to vehicle traffic. Based on our analysis, we found that the bus program is the most effective in reducing traffic in the urbanized area. Using the reduction of traffic in the urbanized area of Fairbanks (CO non-attainment area) as the traffic that contribute to the concentration of PM2.5 in downtown, for every 1% of commuters (i.e., approximately 400 commuters) riding bus to work, we can expect a 0.079% (i.e.,  $30\% * 0.26\%$ ) reduction in PM2.5 in the downtown area. The work-at-home program can be the most effective for downtown PM2.5 reduction. If we can get 5% of the total commuters (approximately 2000 commuters) to participate in the work at home program, we can expect 0.36% (i.e.,  $30\% * 1.21\%$ ) reduction in PM 2.5 concentration in downtown Fairbanks.

## **Conclusions**

Using the newly updated FMATS TDF model, we evaluate three TSM/TDM strategies, work at home, increasing carpool, and increasing bus ridership for their effectiveness in vehicle traffic and emissions reduction. All three TSM/TDM strategies/programs are evaluated with scenarios of 1%, 2%, and 5% of the total commuters participating in each program. The results show that the work at home or flexible schedule is the most effective strategies in reducing commuting VMT and traffic, because the reduction in the number of commuters results in taking the vehicles of the stay-home workers completely off the roads

According to one model, 30% of PM<sub>2.5</sub> concentration in downtown Fairbanks is caused by vehicle traffic. Using the results of our evaluation, for every 1% of commuters (i.e., approximately 400 commuters) riding a bus to work, we can expect a 0.079% reduction in PM<sub>2.5</sub> in the downtown area. If 5% of the total commuters (approximately 2000 commuters) participate in the work at home program, we can expect 0.36% reduction in PM<sub>2.5</sub> concentration in downtown Fairbanks. So, to achieve a significant reduction in downtown PM<sub>2.5</sub>, we would need a major participation in programs such as these.

## **Part VI CMB Analysis; Cathy Cahill and Ron Johnson**

There have been dozens of source apportionment studies for PM<sub>2.5</sub> completed in the last decade or so in the United States and elsewhere. The purpose of such a study is to delineate the major sources that contribute to the observed concentrations of PM<sub>2.5</sub> in the atmosphere. Three widely used source apportionment techniques include positive matrix factorization [PMF], UNMIX, and chemical mass balance [CMB]. Each of these techniques attempts to explain the measured chemistry of the particles in the atmosphere by looking at the chemical profiles for the sources. Others utilize meteorological data to make inferences about sources. For example, if one had data on the temporal variation of wind speed and direction, one could calculate the route taken by an air parcel prior to its being sampled at a specific receptor and, by identifying specific sources that the air parcel crossed, infer likely sources of the aerosols in the sampled parcel.

Studies conducted in the United States indicate that secondary sulfate originating from coal-fired power plants and secondary organic matter originating from motor vehicle emissions are major sources of observed aerosol. Separating the mobile sources into gasoline and diesel categories has been a challenge due to mixture of vehicles on the roads, the variability in mobile source emissions under different vehicle operating conditions and the similarity of diesel exhaust to oil-fired home heating fuel emissions. Other major source categories include biomass burning, crustal emissions from roads or windblown dust, and specific industrial emissions such as identified smelters or refineries. Interpretation of the source apportionment results requires human judgment.

Coutant et al, 2003, COMPILATION OF EXISTING STUDIES ON  
SOURCE APPORTIONMENT FOR PM<sub>2.5</sub>, BATTELLE, prepared for  
Emissions, Monitoring, and Analysis Division  
Office of Air Quality Planning and Standards  
U.S. ENVIRONMENTAL PROTECTION AGENCY  
Research Triangle Park, North Carolina 27711

Receptor models use chemical and physical characteristics of particles or gases at both sources and receptors as input variables. Chemical mass balance [CMB] models use chemical compositions at receptors to estimate the contributions of different source types to the observed quantities at the receptors. This is in contrast to dispersion models which start with assumed source emission rates and then incorporate transport phenomena plus chemical reaction mechanisms plus physical mechanisms such as sedimentation to calculate concentrations at receptors. A CMB model estimates contributions from sources of different types such as coal combustion rather than from individual emitters.

It is normally used to apportion PM that is directly emitted as opposed to secondary PM formed by subsequent reactions in the atmosphere. An example of the former is soot while nitrate compounds could be part of the latter. The model consists of a set of equations relating chemical concentrations at the receptors to a linear sum of the source concentrations times each source contribution fraction. A solution is typically found by

the method of least squares. A necessary condition for a solution to exist is the number of species is at least equal to the number of sources. Appropriate statistical variables are used to quantify parameters such as the source contribution estimate divided by the standard error. The higher this value, the better. Another parameter is the ratio of the sum of the source contributions to the measured mass at a receptor to the measured mass. Acceptable values may range from 80 % to 120 % (Watson et al, 1990).

Watson, J., N. Robinson, J.C. Chow, R.C. Henry and B.M. Kim  
T.G. Pace, E.L. Meyer and Q. Nguyen, The USEPAIDRI Chemical Mass Balance  
Receptor Model, CMB 7.0., 1990, Environmental software, 5, no 1, pp 38 – 49

The model used in our study was CMB 8.2 developed by the US EPA. The source code, executable code, and test cases are available from the EPA's website ([www.epa.gov/scram001](http://www.epa.gov/scram001)).

Results from Montana indicate the PM<sub>2.5</sub> attributed to wood smoke via CMB 8.2 [range from 56 to 82 %] was within 10 % of that inferred from <sup>14</sup>C data for five of six sites {Ward, 2009}. If the <sup>14</sup>C is present at atmospheric levels, it is assumed to be derived from biomass burning. Meanwhile <sup>14</sup>C data collected at 4 sites in the Fairbanks NSB in Feb of 2009 indicate 43 % of the PM<sub>2.5</sub> is from wood smoke.

We used SASS data obtained in downtown Fairbanks from 2005 – 2009, as well as in North Pole, the FNSB bus barn, and various RAMs sites in 2009, for the PM<sub>2.5</sub> chemical concentration at the receptors. For the source profiles, we used profiles from numerous studies including the Pacific Air Quality Study, the Northern Front Range Air Quality Study, etc. obtained from the EPA CMB web site that incorporated profiles for dust, auto emissions, biomass burning, coal power plants, secondary processes, as well as certain industrial processes such as blast furnaces and kraft recovery boilers. These profiles specify the fraction of each source's aerosol that is due to a specific component and highlight which components are specific to different sources. For example, zinc would be an example of a species attributed to industrial processes using waste oil and soluble potassium would be an example of a species that is attributed to wood smoke.

The results from the source apportionment conducted on the data from the downtown Fairbanks site appear in Fig. 1 and reveal that biomass burning, auto emissions and dust are all significant in the wintertime with biomass combustion contributing 78, 62, 51, and 53 % of the downtown PM<sub>2.5</sub> for the months of November, December, January, and February, respectively. The corresponding percentages for automobiles are 24, 17, 20 and 24 %. At the bus barn during January and February, 2009, [Fig. 2] biomass burning, auto emissions and dust were each found to contribute about one-third of the PM<sub>2.5</sub> mass. Similar results were found for the North Pole site.

Ward, Tony, Univ. of Montana, July, 2009, presentation at Fairbanks Air Quality Symposium.

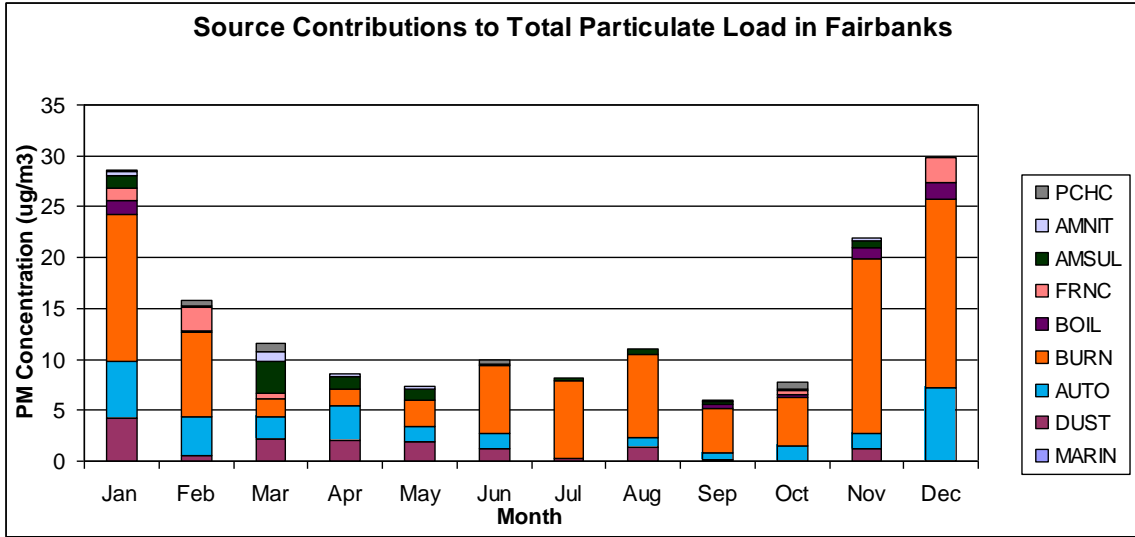


Fig. 1 Source Contributions to Total Particulate Load in Fairbanks

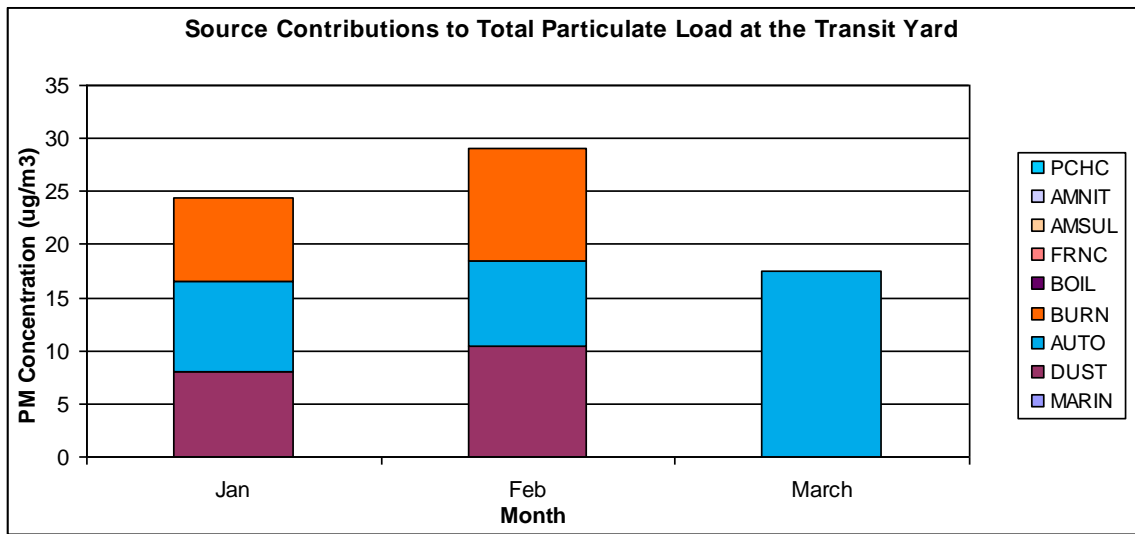


Fig. 2 Source Contributions to Total Particulate Load at the Transit Yard