

1 Towards a flexible Decision Support Tool for MSY- 2 based Marine Protected Area design for skates and 3 rays

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10 Abstract

11 It is recommended that demersal elasmobranchs be managed using spatial proxies for
12 Maximum Sustainable Yield. Here we combine *escapement biomass* – the percentage of
13 the stock which must be retained each year to conserve it – with maps of predicted
14 CPUE of four ray species (cuckoo (*Leucoraja naevus*), thornback (*Raja clavata*), blonde
15 (*R. brachyura*), and spotted (*R. montagui*)), created using Boosted Regression Tree
16 modelling. We then use a Decision Support Tool to generate location and size options for
17 Marine Protected Areas to protect these stocks, based on the priorities of the various
18 stakeholders, notably the minimisation of fishing effort displacement. Variations of
19 conservation/fishing priorities are simulated, as well as differential priorities for
20 individual species, with a focus on protecting nursery grounds and spawning areas.
21 Prioritising high CPUE cells results in a smaller closed area that displaces the most
22 fishing effort, whereas prioritising low fishing effort results in a larger closed area that
23 displaces the least fishing effort. The final result is a complete software package that
24 produces maps of predicted species CPUE from limited survey data, allowing disparate
25 stakeholders and policymakers to discuss management options within a mapping
26 interface.

27 Keywords

28 Decision Support Tool DST; Marine Protected Area MPA; Maximum Sustainable Yield
29 MSY; elasmobranch; Boosted Regression Trees BRT; escapement; ray

30 **Abbreviations**

- 31 • *Bpa* – Precautionary reference point for spawning stock biomass
- 32 • BRT - Boosted Regression Tree
- 33 • CPUE - Catch Per Unit Effort
- 34 • DST – Decision Support Tool
- 35 • GAM - Generalised Additive Modelling
- 36 • GLM - Generalised Linear Modelling
- 37 • HR – Harvest Rate
- 38 • ICES - International Council for the Exploration of the Sea
- 39 • LPUE - Length Per Unit Effort
- 40 • MARXAN - Marine spatially Explicit Annealing
- 41 • MaxEnt - Maximum Entropy
- 42 • MPA - Marine Protected Area
- 43 • MSY - Maximum Sustainable Yield
- 44 • SSB – Spawning Stock Biomass
- 45 • TAC – Total Allowable Catch
- 46 • WGEF - Working Group for Elasmobranch Fisheries

47 **1 Introduction**

48 The large size and low fecundity of elasmobranchs such as rays makes them especially
49 vulnerable to fishing pressure (Baum et al., 2003; Ellis et al., 2005b; Worm et al.,
50 2013), and decades of high fishing effort have reduced the size, range, and diversity of
51 Irish Sea rays (Brander, 1981; Rogers and Ellis, 2000; Walker and Hislop, 1998) such
52 that these data-limited stocks require appropriate fisheries management in order to
53 reach Maximum Sustainable Yield (MSY) by 2020 (European Commission, 2013). Not

54 only is it important to manage species to MSY because it's a minimally precautionary
 55 target to ensure stocks and biodiversity are maintained (Kaplan and Levin, 2009; Levin
 56 et al., 2009; Zabel et al., 2003), but we are legally mandated to do so by 2015, 2020 at
 57 the latest (European Commission, 2013). Traditional Total Allowable Catch (TAC) based
 58 limits are often difficult to operationalise for species such as elasmobranchs, generally
 59 due to data deficiencies, particularly on catches, among other reasons (Ellis et al., 2010;
 60 ICES WGEF, 2012a). For this reason, spatial management is an alternative approach
 61 recommended (ICES WGEF, 2012a; NWWRAC, 2013). Spatial management tools
 62 explored by ICES WGEF (2012b) have been further developed (Dedman et al., 2015)
 63 using Boosted Regression Trees (BRT). BRTs outperform many other statistical methods
 64 (Elith et al. (2006), see also Dedman et al. (2015), in review for comparisons). They
 65 have a demonstrated ability to reveal species-level Catch Per Unit Effort (CPUE) maps for
 66 the Irish Sea based on limited data (Dedman et al., 2015), to identify candidate nursery
 67 ground and spawning areas (Dedman et al., In Review), as well as amalgamate
 68 conservation priority areas for four species of differing vulnerability (Table 1).
 69

Species	Area	Fishing pressure	Stock size	%SSA	Total V.	Scaled ratio	V. Rank
Blonde ray	VIIa,f,g	Overexploited: 1	Unknown: 1	0.5	2.5	4.17	1
Cuckoo ray	VI, VII	Overexploited: 1	Decreasing: 1	0.1	2.1	3.5	2
Spotted ray	VIIa, e-h	Overexploited: 1	Increasing: 0	0.4	1.4	2.33	3
Thornback ray	VIIa, f, g	Appropriate: 0	Increasing: 0	0.6	0.6	1	4

70 **Table 1: Conservation status, percent of spawning in study area, and vulnerability of key**
 71 **Irish Sea rays (ICES WGEF, 2014) with calculated total vulnerability metric, ratios from**
 72 **scaling the least vulnerable to 1, and rank**

73
 74 Locating areas of essential habitat for species is a key step in the process towards spatial
 75 management (Foley et al., 2010; Kelleher, 1999). However, implementing area closures,
 76 for example by creating Marine Protected Areas (MPAs), must be based on robust
 77 biological knowledge in order to correctly size and locate the closed areas, to maximise
 78 their chances of success (Agardy et al., 2011; Kelleher, 1999). In this study we
 79 demonstrate a method that links fishing mortality reference points (i.e. F_{MSY}) to life

80 history traits (Zhou et al., 2012), as applied to these species by Shephard et al. (2015).
81 This results in a per-species Harvesting Rate (HR_{MSY}), i.e. the percentage of the total
82 stock biomass which can be sustainably removed each year. The inverse of this is
83 therefore the percentage of total stock biomass which must be *retained* each year – the
84 *escapement biomass*. Protecting that proportion of each species in the study area should
85 protect the Irish Sea element of the stocks. So species that have a higher proportion of
86 their spawning stock in the Irish Sea, e.g. blonde rays (Table 1) should be the main
87 priority.

88

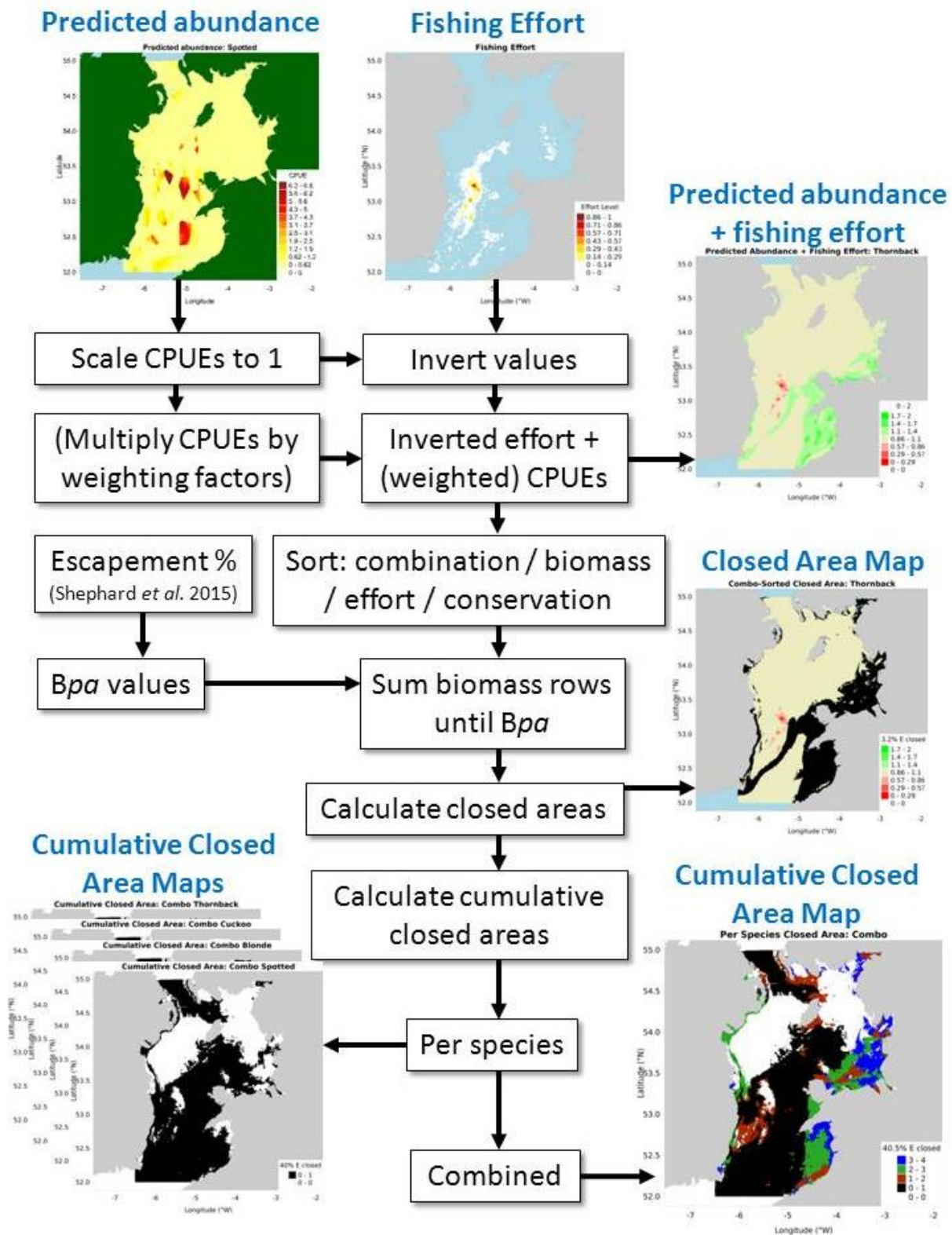
89 A key objective in MPA design might be to minimise fishing fleet disruption and effort
90 displacement by considering the impact on fisheries (Agardy et al., 2011; Klein et al.,
91 2013; Suuronen et al., 2010), not least because displaced effort can have unpredictable
92 and often negative consequences on the stocks (Baum et al., 2003; Penn and Fletcher,
93 2010). Stakeholder involvement is an important consideration in MPA design (Kelleher,
94 1999). It increases the likelihood of compliance (Agardy et al., 2011), without
95 compromising conservation goals (Klein et al., 2013). Giving fishermen and policy-
96 makers equal access to Decision Support Tools (DST) enables all parties to explore
97 spatial management options without compromising scientific quality, increasing the
98 shared ownership of conservation outcomes.

99 **2 Aims**

100 Here we use the estimated proportions of population biomass that must be conserved
101 annually to meet MSY (via HR_{MSY})(Shephard et al., 2015) and combine that information
102 with fishing effort data and modelled ray CPUE maps to identify the location and size of
103 habitat areas where management could protect the escapement biomass, while
104 minimising disruption to fishing activity and the displacement of effort. We do this under
105 a range of exploitation and conservation scenarios then propose a target-based rationale

106 for the size and location of protected areas for Irish Sea skates and rays, and present a
107 DST that allows fishermen and policymakers to evaluate closed area options.

108 **3 Methods**



109

110

111

112 The BRT-predicted CPUE maps were normalised to a 0 to 1 scale and multiplied by per-

113 species weighting factors, if required, for fishing versus conservation, and/or individual

Figure 1: Conceptual diagram of Bpa closed area approach

114 species conservation weightings. Fishing effort was inverted and also normalised, from 0
115 for maximum effort to 1 for no effort. This was then added to the CPUEs, creating a
116 combination metric running from 0 (no CPUE and maximum effort) to 2 (maximum CPUE
117 and no effort). To evaluate alternative management priorities, species data were sorted
118 in four different ways:

- 119 - the aforementioned combination metric, high to low (*Combination Sort*)
- 120 - CPUE only, high to low, emphasising protecting high biomass areas (*Biomass*
121 *Sort*)
- 122 - fishing effort data only, low to high, emphasising protecting low fishing effort
123 areas (*Effort Sort*)
- 124 - conservation data, high to low, emphasising protecting high conservation areas
125 (*Conservation Sort*)

126 Weighting only affects the Combination Sort, since the combination metric is a product
127 of CPUE and effort, and the relationship between these is changed by the weighting
128 process.

129

130 After the full dataset was sorted according to the desired schema (above), the model
131 cumulatively summed down the species CPUE rows until reaching the HR_{MSY} proportion of
132 the species' total. HR_{MSY} values for cuckoo, thornback, and spotted ray were taken from
133 Shephard et al. (2015); the value for blonde ray, 0.08, was derived using Shephard's
134 method. These summed rows in the dataset will contain the escapement biomass and
135 the cells represented by these rows are thus the candidate closed area. These are then
136 mapped over the combination metric background, producing one map per species.

137 Displaced effort is calculated as the effort in the closed cells, and expressed as a
138 percentage of total effort in the map legend.

139

140 Cumulative closed area maps are then calculated for each sort type, starting with the
141 most vulnerable species. The first species' closed area is calculated as before, then
142 extended for the second species, cumulatively summing that species' biomass rows until

143 its HR_{MSY} proportion is reached, but starting with the first species' rows already selected.
144 That is, the process starts by summing the species 2 biomass contained within the
145 species 1 closed area, then expands the species 1 closed area until it reaches the HR_{MSY}
146 proportion for species 2 as well. This process is repeated for all species in descending
147 order of vulnerability. In some cases a species' HR_{MSY} proportion may already be met by
148 the cumulative closed area calculated for the previous species. In this study, the HR_{MSY} is
149 a theoretical concept, because we only consider a subset of the extent of the four ray
150 stocks.

151

152 To compare outcomes of the Combination Sort under different management strategies,
153 we tested four different conservation:fishing weighting scenarios. These were:

- 154 - Parity of biomass and fishing (1:1 ratio for all species)
- 155 - Primacy of conservation over fishing (10:1 ratio for all species)
- 156 - Primacy of fishing over biomass (1:10 ratio for all species)

157 In addition, we investigated the consequences of differing species conservation priority
158 by applying species-specific vulnerability weightings. These were derived from ICES
159 WGEF (2014) conservation status metrics, with negative elements being given a score of
160 1, and positive elements 0. The elements were fishing pressure, stock size, and the
161 percent which each species/stock spawns in study area. These were then added together
162 to give a total vulnerability score of 2.5, 2.1, 1.4 and 0.6 for blonde, cuckoo, spotted and
163 thornback ray respectively. These scores were then scaled to align the least vulnerable
164 (thornback ray) to 1, i.e. by dividing each by 0.6, to give final ratios of 4.17, 3.5, 2.33
165 and 1 respectively (see Table 1), with fishing effort also given a weighting value of 1.
166 The effect of this is that thornback ray is given equal importance to fishing, whereas the
167 other three species are varying degrees of greater importance.

168

169 The predicted-CPUE map inputs were generated using the delta log-normalised BRT-
170 predicted CPUE mapping approach described in Dedman et al. (2015). This method
171 machine-learns the relationship between six environmental variables (temperature,

172 depth, salinity, current speed, substrate grain size, distance to shore), commercial
173 fishing effort (average annual ray LPUE from demersal trawls (Kg-Hr), 2006-2012,
174 Marine Institute), and ray CPUE from 1447 fishery-independent survey sites
175 (International Council for Exploration of the Sea (ICES) International Bottom Trawl
176 Survey (IBTS) series (ICES, 2015)) then predicts ray CPUE to the remainder of the Irish
177 Sea based on the environmental variable values there. These environmental variables
178 are known covariates to elasmobranch abundance (Ellis et al., 2005a; Kaiser et al.,
179 2004; Lauria et al., 2015; Martin et al., 2012) that were recently proven to be influential
180 in predicting ray abundances in the Irish Sea (Dedman et al., 2015). Fishing may be the
181 primary human activity driving marine distributions (Worm et al., 2006), but human
182 impact variables may be of lesser importance for these species in this area (Dedman et
183 al., 2015; Navarro et al., 2016), or co-depend on environmental and spatial factors
184 (Navarro et al., 2015). The fishing effort data layer only patchily covers the Irish Sea,
185 predominantly in an area running down the Irish coast (see Figure 1) – this reflects the
186 activity of the fleet. Prey availability is known to affect elasmobranch distribution
187 (Navarro et al., 2016) but the primary source of such data would be the patchy-coverage
188 ICES IBTS already used for the response variable. Since these are demersal predators
189 (Ajayi, 1982; Ellis et al., 1996), substrate, depth, temperature and other environmental
190 variables are expected to serve as predictive variables to the distribution of their prey
191 communities (EMODnet, 2016).

192

193 The conservation maps were produced by scaling the BRT-predicted CPUE maps
194 (Dedman et al., 2015) values' to 1 by dividing them all by the maximum value, then
195 adding them together, resulting in a single surface of predicted conservation importance
196 for these four rays in the Irish Sea (as per Dedman et al. (In Review)). Predicted CPUE
197 maps and conservation maps were generated using survey data and CPUE covariates as
198 per Dedman et al. (2015), and juvenile ray and eggcase-reducing variables (predatory
199 fish CPUE, fishing effort, scallop dredging effort, whelk CPUE) per Dedman et al. (In
200 Review). The table of datasets used, their sources and resolutions from Dedman et al.

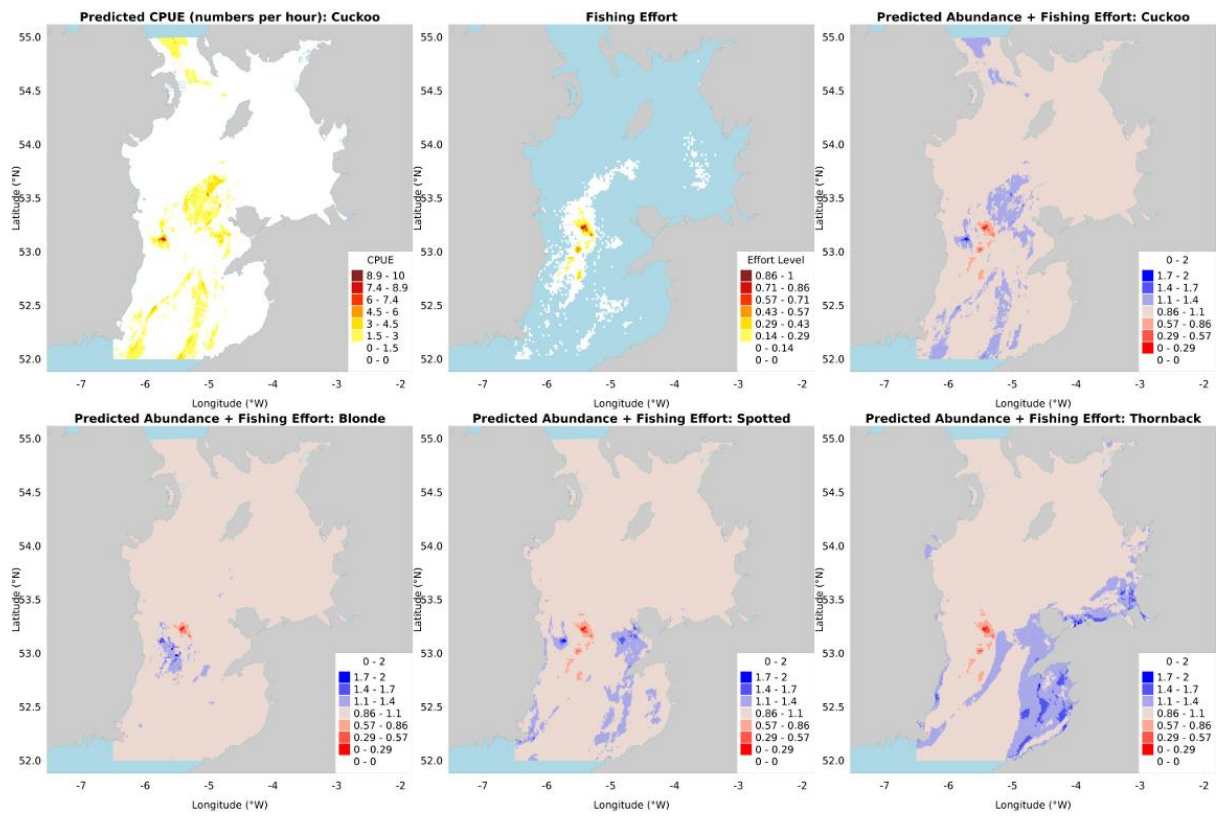
201 (In Review), including the datasets used in Dedman et al. (2015) and thus covering all
202 input data underpinning this study, is reproduced in the supplementary material (Table
203 4).

204

205 Cuckoo rays prefer sandy substrates away from shore at 70 - 100m depths (Dedman et
206 al., 2015; Ellis et al., 2005a; Marine Institute, 2012; Wheeler, 1978; Whitehead et al.,
207 1984). Thornback rays have a wider range of depth preferences (10 - 300m) with
208 juveniles inshore and adults 16 – 24km away, preferring gravel and pebble banks with
209 mid- to strong current speed (Dedman et al., 2015; Ellis et al., 2005a; Fahy and
210 O'Reilly, 1990; Kaiser et al., 2004; Lauria et al., 2015; Martin et al., 2012; Stehmann
211 and Bürkel, 1984). Blonde rays prefer to inhabit offshore sandbanks and coastal shallows
212 (Dedman et al., 2015; Kaiser et al., 2004; Martin et al., 2012). Spotted rays prefer 30 –
213 150m depth sandy substrates (Dedman et al., 2015; Ellis et al., 2005a; Fahy and
214 O'Reilly, 1990; Martin et al., 2012). Peak egg laying periods for these species are within
215 the spring and summer months (Clark, 1922; Gallagher, 2000; Ryland and Ajayi, 1984);
216 juveniles are virtually sedentary (Gallagher, 2000; Holden, 1975; Steven, 1936;
217 Templeman, 1984), but adults often migrate inshore to breed and spawn (Ryland and
218 Ajayi, 1984; Steven, 1936; Walker and Ellis, 1998).

219 **4 Results**

220 The method of inverting scaled fishing effort and adding it to scaled CPUE maps results
221 in maps that clearly show the best and worst areas to close in order to protect each
222 species while minimally disrupting the fishery (Figure 2).



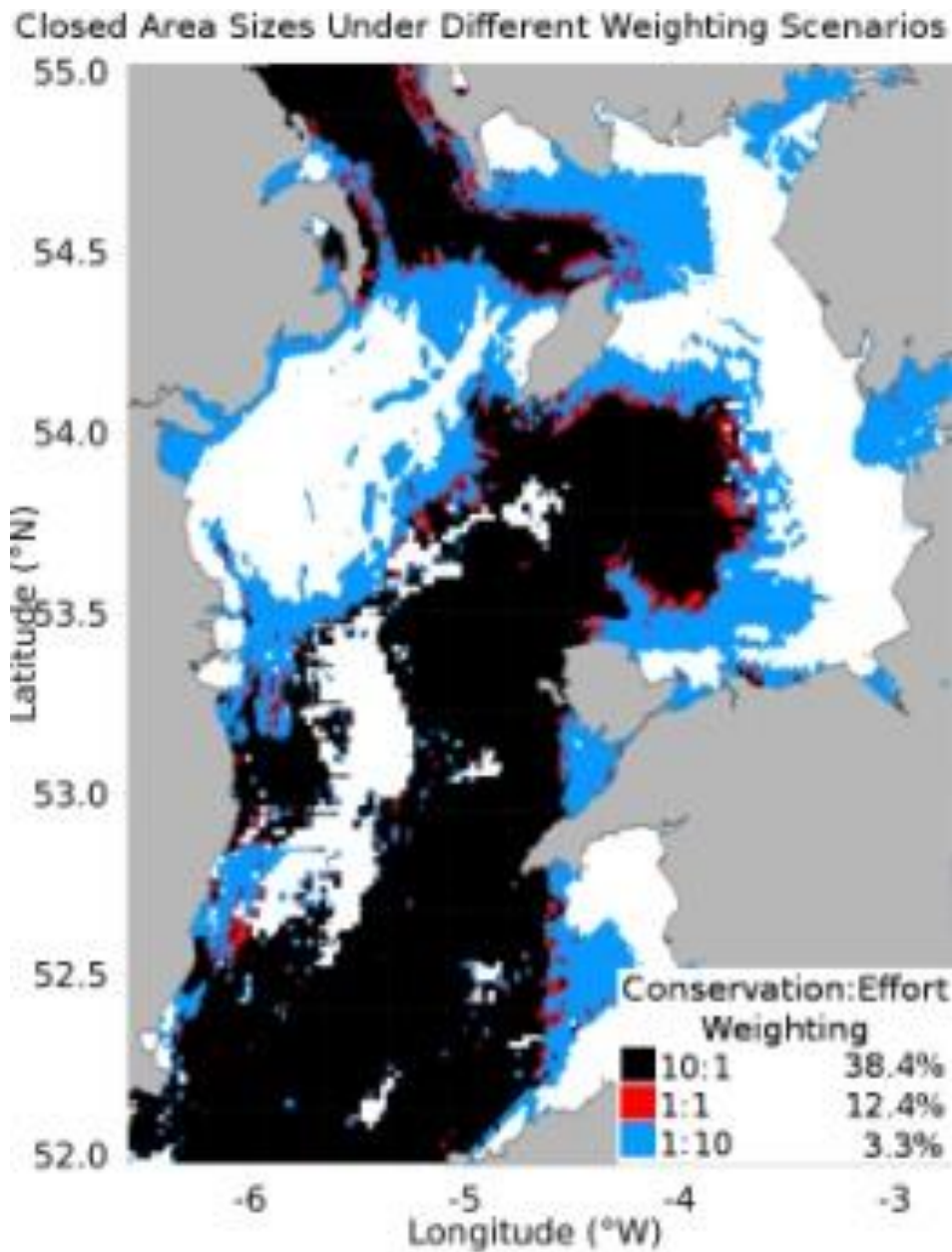
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Figure 2: Maps of modelled CPUE then fishing effort for cuckoo ray, and CPUE plus inverted fishing effort both scaled to 1 (higher value areas are good to close, lower value are bad) for cuckoo, blonde, spotted and thornback ray



227

228 **Figure 3: Maps of cuckoo ray closed areas prioritising combinations of conservation and**
 229 **fishing effort, with conservation:effort weightings of 10:1, 1:1 and 1:10 and**
 230 **corresponding loss of fishing effort percentages. Note that layers mostly overlap i.e.**
 231 **1:10 includes both 1:1 and 10:1, 1:1 includes 10:1.**

232

233 Altering the rays CPUE:effort weighting markedly affects the amount of effort displaced
 234 by the closed area, and the size of those closed areas, as anticipated (Figure 3). For
 235 cuckoo ray, 12.4% of effort is displaced by the area closure required to reach theoretical
 236 *Bpa* for this species when both ray CPUE and fishing effort are scaled to 1 and combined
 237 (1:1 ratio). Giving the rays a weighting of 10 (10:1 ratio) shifts some of the area closure
 238 onto areas of fishing effort, resulting in a total displaced effort of 38.4% but a smaller

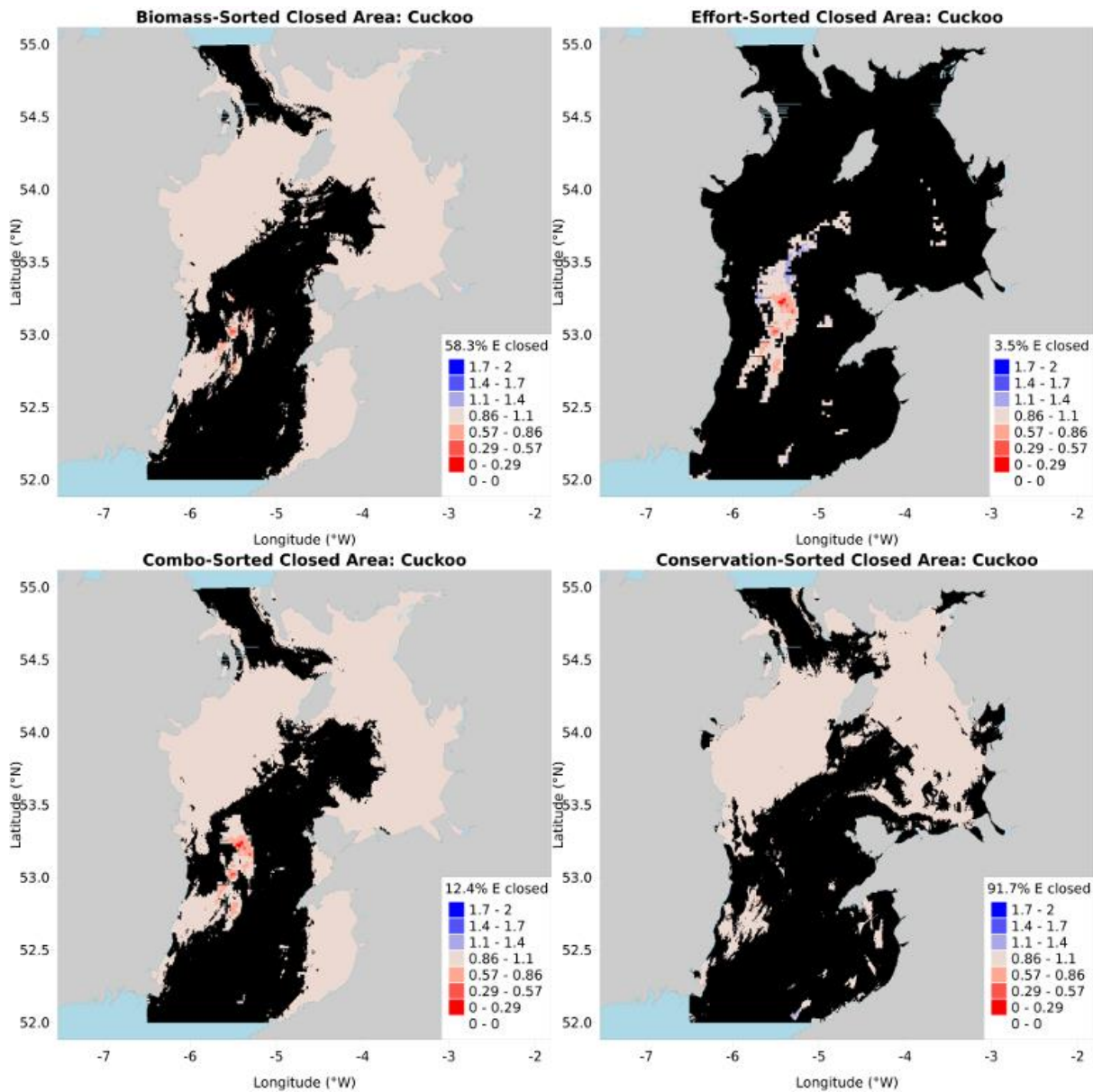
239 area closure. Prioritising effort (1:10 ratio) results in only 3.3% displaced effort, with the
 240 closed area avoiding sites of even low effort thus expanding across a greater area of
 241 moderate ray CPUE. In conclusion we can see that prioritising high CPUE cells results in
 242 a smaller closed area that displaces the most fishing effort, whereas prioritising low
 243 fishing effort results in a larger closed area that displaces the least fishing effort.
 244

Species	Ray : Effort Weighting			
	1:1	1:10	10:1	(4.17, 3.5, 2.33, 1)*:1
Blonde	34.7	24.5	90.1	73.4
Cuckoo	12.4	3.3	38.4	20.4
Spotted	7.3	1.6	19	10.9
Thornback	3.2	1	5.3	3.2
Blonde Cumulative	34.7	24.5	90.1	73.4
Cuckoo Cumulative	39.5	24.5	93.8	77.6
Spotted Cumulative	40	24.5	94.2	77.9
Thornback Cumulative	40.5	24.5	94.6	78.3

*for blonde, cuckoo, spotted and thornback ray respectively

Table 2: Fishing effort (%) displaced by the closed areas of different ray:effort weightings, using the Combination Sort

248
 249 Table 2 shows the percentages of fishing effort that closed areas displace under different
 250 weighting scenarios, within the Combination Sort scenario. These are given for individual
 251 species and cumulative (multiple) species area closures. Weighting in favour of rays
 252 produces the highest displacement of effort (95 and 78% respectively). Weighting in
 253 favour of effort results in less displacement than weighting 1:1, as expected (25 and
 254 41% respectively). One can see the effect of the weighting process when comparing the
 255 individual-species closed area displacements for the 1:1 ray scores to the per-species
 256 weightings: blonde and cuckoo ray have weightings of 4.17 and 3.5 respectively, which
 257 sees the effort their closures displace rising from 35 to 73%, and 12 to 20%
 258 respectively. Spotted and thornback ray have lower weightings (2.33 and 1 respectively)
 259 which sees spotted ray's displacement rise from 7 to 11 and thornback ray's obviously
 260 unchanged. So again, prioritising effort displaces less effort, prioritising conservation
 261 displaces more.



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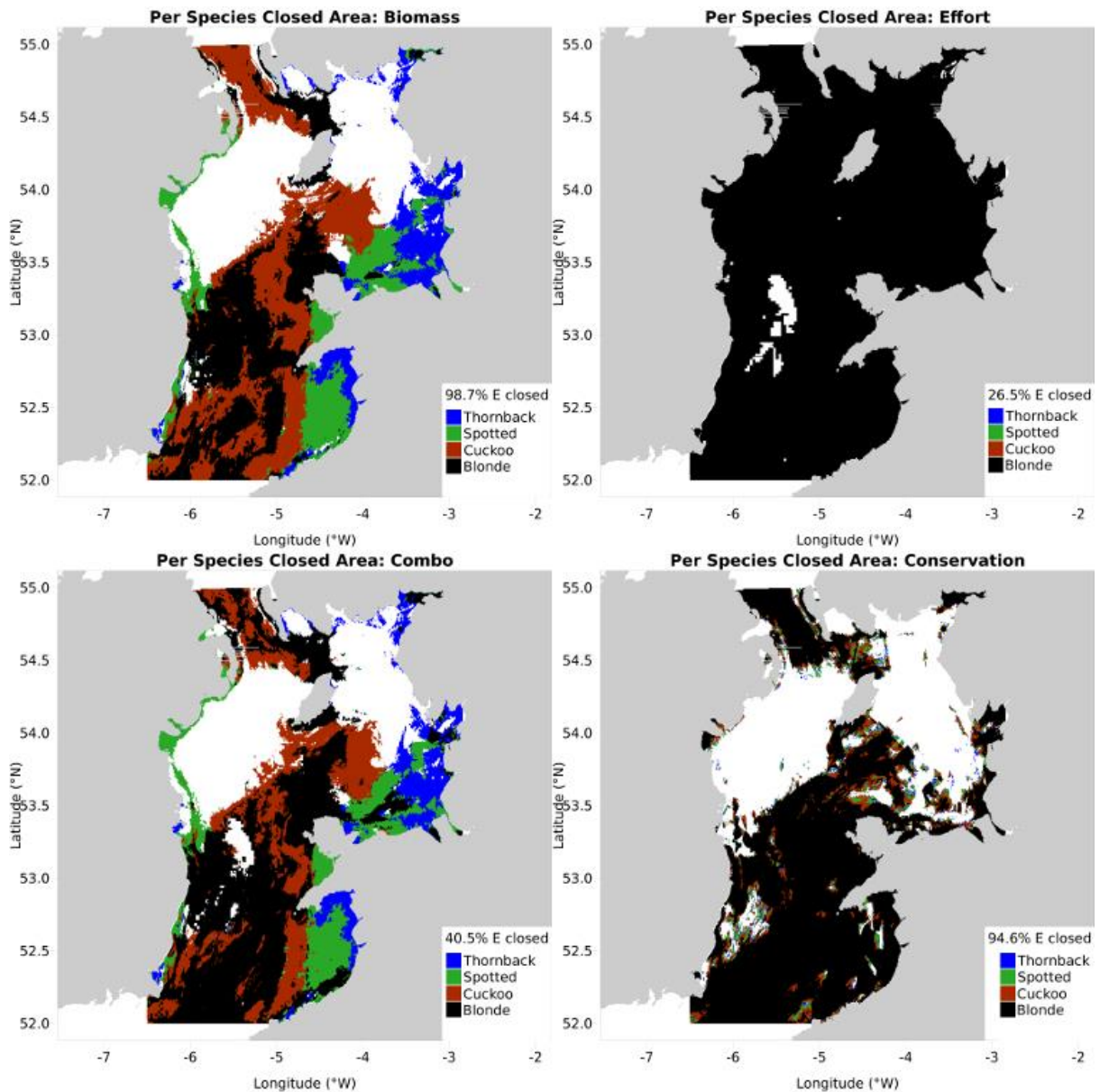
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Figure 4: Maps of cuckoo ray closed areas prioritising species biomass, fishing effort, a combination of both, and conservation areas

With the default 1:1 ratio of ray CPUE to fishing effort, the closed areas produced by the different sorting strategies are displayed in Figure 4, again for cuckoo rays only (see Supplementary Material for all species). The Biomass Sort displaces 58% of the fishing effort and covers a large area, tightly bunched around the high fishing effort area fringes then spread over the deep water areas. The Effort Sort displaces only 4% of the effort, but closes a larger area. The Combination Sort displaces 12% of the effort while still closing a very similar area to the Biomass sort. The Conservation Sort displaces 92% of

273 the effort and closes much of the Irish Sea. Evidently, then, the Combination Sort
 274 achieves the best combination of small closed area but also reduced displacement of
 275 fishing effort.



276
 277 **Figure 5: Maps of cumulative closed areas prioritising species biomass, fishing effort, a**
 278 **combination of both, and conservation areas. Areas are successively closed from the**
 279 **most to least vulnerable: blonde ray, cuckoo ray, spotted ray, thornback ray, until each**
 280 **species reaches HR_{MSY} . Legend percentages are the amount of fishing effort displaced**

281
 282 Again with the default 1:1 ratio of ray CPUE to fishing effort, the *cumulative* closed areas
 283 produced by the different sorting strategies are displayed in Figure 5, expanding from
 284 the most to least vulnerable: blonde ray, cuckoo ray, spotted ray, thornback ray. The
 285 Biomass Sort displaces 99% of the fishing effort, as this method places no importance

286 on fishing effort. The Effort Sort displaces 27% of the effort, but closes all of the Irish
 287 Sea *except* the effort hotspots. The Combination Sort closes a similar area to the
 288 Biomass Sort, but co-prioritises reduction of effort displacement, so the main effort
 289 hotspot is largely retained, with only 41% of the effort displaced. The Conservation Sort
 290 displaces 95% of the effort and closes much of the Irish Sea. The Biomass, Combination
 291 and Conservation Sorts close off a large proportion of the Irish Sea, with the Biomass
 292 and Conservation Sorts displacing the main fishing grounds as part of those closures.
 293 The Effort Sort basically closes all of the Irish Sea except for the main fishing grounds,
 294 including the very low ray productivity areas like the muddy nephrops grounds off 53.5
 295 to 54.5°N off the Irish coast, and in the North Eastern bays.

	Combination	Biomass	Effort	Conservation
Blonde	34.7	94.7	26.5	85.4
Cuckoo	12.4	58.3	3.5	91.7
Spotted	7.3	50.7	1.1	95.2
Thornback	3.2	6.1	0	96
Blonde Cumulative	34.7	94.7	26.5	86.8
Cuckoo Cumulative	39.5	97.7	26.5	91.4
Spotted Cumulative	40	98.2	26.5	93.6
Thornback Cumulative	40.5	98.7	26.5	94.6

297 **Table 3: Fishing effort displaced by the closed areas of different sorting methods (%)**

299
 300 Table 3 shows the percentages that closed areas displace the fishing effort, for different
 301 species under different sorting scenarios, both as individual species and cumulative
 302 (multiple) species closures. The cumulative scores in the bottom row are the final
 303 displacement percentages displayed in the legends in Figure 5. As one might anticipate,
 304 the Biomass and Conservation Sorts show high displacement as they focus solely on the
 305 rays. Conversely the Effort Sort shows low displacement as it focuses primarily on
 306 minimising effort displacement, similar to the effort-weighted Combination Sort (Table
 307 2). The Combination Sort has a displacement a little higher than the Effort Sort but
 308 noticeably lower than the Biomass and Conservation sorts.

309 **5 Discussion**

310 **5.1 Overview**

311 Managing vulnerable, data-poor elasmobranch species to MSY by 2020 is a challenge
312 that may be addressed using spatial management approaches. We combined modelled
313 CPUE (a proxy for abundance) of four ray species with different vulnerabilities, with
314 average annual fishing effort from the targeting fleet, and per-species HR_{MSY} values.
315 These values are the proportions of each species that can be sustainably harvested
316 annually (Shephard et al., 2015). We built a Decision Support Tool which can allow
317 stakeholders to input different management priorities, which then produces guidance on
318 MPA candidates for management consideration. This approach should help increase
319 stakeholder buy-in, thus improve implementation and compliance, and thus increase the
320 likelihood MPA success (Game et al., 2013; Kelleher, 1999).

321 **5.2 Stakeholder and management requirements**

322 BRT approaches have been demonstrated to identify modelled CPUE hotspots for these
323 rays in this area, based on sparse data (Dedman et al., 2015). However, such hotspots
324 cannot be used directly as MPAs without consideration of the effects on stakeholders,
325 especially the commercial fisheries sector. Two of the key principles of successfully siting
326 MPAs are stakeholder engagement, and avoiding effort displacement and non-
327 compliance (Agardy et al., 2011; Fulton et al., 2015; Kelleher, 1999; Suuronen et al.,
328 2010). Spatial modelling can act as a common ground to catalyse discussions between
329 stakeholders with disparate objectives, to address critical questions, and to distil
330 numerous opinions into a few clear and tractable aims (Fulton et al., 2015).
331 Policymakers need models that integrate science into the management process, increase
332 their available options, and help them identify the option that best meets their needs
333 (Fulton et al., 2015; Pielke, 2007). The BRT modelling plus DST approach developed
334 here addresses the above concerns. In addition, this DST approach will address the

335 problem in fisheries management whereby policymakers often adopt positions they feel
336 will disappoint all parties as little as possible (Pope, 1983).

337 **5.3 MSY underpinning and proxies**

338 Typically managing a stock to MSY would involve calculating its F_{MSY} and using that to
339 calculate a Total Allowable Catch (TAC) limit, based on the Spawning Stock Biomass
340 (SSB), at the appropriate stock-specific spatial scale. However this is not possible in this
341 and many similar cases, either due to a lack of the data required to calculate a species'
342 MSY, or because the management regime doesn't lend itself to single-species TACs. The
343 rays in this case study are mostly caught as bycatch, so applying single-species TACs
344 would increase discarding because the rays would become *choke species* (Schrope,
345 2010) to fleets primarily targeting other stocks (*i.e.* their TACs would be depleted faster
346 than the target species' TACs, preventing the fleets from any further fishing for the
347 target species, since that would risk illegally catching more rays)(ICES WGEF, 2014).
348 Because of these technical barriers to implementing the traditional MSY approach, ICES
349 has called for fisheries scientists to evaluate MSY *proxies* for stocks such as these (Ellis
350 et al., 2010; ICES WGEF, 2012a, 2012b).

351 **5.4 Sorting methodologies revealing stakeholder viewpoints**

352 The method developed in this paper incorporates MSY via the HR_{MSY} proxy, to calculate
353 the CPUE proportion to protect to conserve the stock. The shape and size of a closed
354 area containing that biomass is not predefined. This allows for genuine stakeholder input
355 into the decision-making process, as MPAs can also be created using weighting factors
356 based on (e.g. ICES WGEF (2014)) spawning and nursery areas, and fishermen's first-
357 hand understanding of the stocks. Recognising that conservation plans are prioritisations
358 is a key aspect in spatial planning (Game et al., 2013). Different priorities can be built
359 into the scenario design, such as giving rays individual vulnerability weightings, and
360 balancing stock conservation against effort displacement minimisation.

361

362 The results show that the Effort Sort (Figure 4 and 5) achieved the least effort
363 displacement while satisfying the theoretical HR_{MSY} threshold, but at a cost of the largest
364 closed area (Figure 5 and Table 3). Conversely the Biomass and Conservation Sorts both
365 closed most of the Irish Sea in order to reach the theoretical HR_{MSY} thresholds, with both
366 displacing almost all of the fishing effort as well. The Combination Sort achieved a
367 balance between low effort displacement and closed area size, and allows for individual
368 species vulnerability weightings unlike the other sort types. These weightings are
369 another useful way to introduce compromise between species conservation and effort
370 displacement minimisation, and to trade-off total area closed with effort displaced.
371 One could infer that fishermen would prefer the Effort Sort since it reduces effort
372 displacement and still achieves HR_{MSY} . However, this study only includes the ray-
373 targeting fleet: any detrimental impacts on other fleets or human activities, caused by
374 closing most of the Irish Sea to fishing, are not accounted for. Since MPA setting
375 requires consideration and consultation with *all* affected groups (Kelleher, 1999), it is our
376 belief that the Combination Sort will tend to be the most universally attractive, since it
377 quantifiably balances the priorities of multiple groups. This remains to be tested.

378

379 Weighting towards individual ray species or fishing effort changes the candidate closed
380 areas in the resulting map, allowing stakeholders to view the impact of their priority
381 choices. The rationale underpinning the weightings in this study were individual ray
382 species vulnerability ratios (ICES WGEF, 2014) and simple 1:10 / 1:1 / 10:1 ray
383 conservation:effort examples. Although already based upon stock status metrics, these
384 ratios were derived simply to demonstrate the changing outcomes produced under
385 different scenarios: more scientifically defensible, mutually agreed figures would be
386 required for actual operation. Factors like market value could be used here instead of
387 species vulnerability, allowing for the inclusion of other management priorities into the
388 modelling procedure, and thus the resultant MPA candidates.

389 **5.5 Closed area results and siting principles**

390 The individual-species Combination Sort closed areas (e.g. Figure 3) align well with the
391 arbitrary '50% maximum CPUE' closed area suggestion in Figure 8 of Dedman et al.
392 (2015), but cover a notably larger area. As the closed areas in this study are derived
393 from HR_{MSY} calculations rather than an arbitrary cut-off, they are based on solid fisheries
394 science foundations. The closed areas also align well with the peak CPUE 'conservation
395 priority areas' in Figure 6 of Dedman et al. (In Review), but again cover a greater area
396 than just these peaks. The positional similarities across the three studies are
397 unsurprising given all three analyses are underpinned by the same datasets, but the
398 recurrence of these hotspots in the face of additional explanatory variables and different
399 management priorities underlines the reliability and reproducibility of this technique.

400 **5.6 MSY and Spatial Management**

401 This study generated closed area proposals using predicted CPUE maps created by BRT
402 modelling of the full species (Dedman et al., 2015) or subset (Dedman et al., In Review)
403 databases. The base layer could instead be provided by other means, providing the data
404 are in a gridded format. This allows practitioners to use alternative methodologies to
405 derive species abundance predictions, such as generalised linear or additive models
406 (GLMs/GAMs (e.g. De Raedemaeker et al. (2012) and references therein), MaxEnt (Elith
407 et al., 2011; Phillips et al., 2004), or MARXAN and its add-ons (Ball and Possingham,
408 2003; Watts et al., 2009). Delta log-normal BRTs are the best choice for this case study,
409 however – see Dedman et al. (2015) and Elith et al. (2006) for detailed comparisons and
410 performance metrics.

411

412 The closed area proposals generated by this approach advance the work of Dedman et
413 al. (2015) by underpinning them with the established fisheries science principles of
414 escapement and MSY. The resulting fine-scale MPA proposals are in demand (Warton et
415 al., 2015), since small-scale MPAs are the most management relevant (Fulton et al.,
416 2015). Fisheries managers and politicians do still need to be mindful of certain mitigating

417 factors and opportunities before establishing MPAs based on these area proposals,
418 however.

419 The approach detailed in this paper considers MPA-siting relative to its effects on the
420 displacement of fishing effort for the commercial fisheries sector that targets these
421 stocks (TR1 metier: otter trawl and demersal seine with mesh size $\geq 100\text{mm}$), but
422 doesn't yet consider other stakeholders, like other fishery metiers, tourism, wind farms,
423 and so forth. Incorporating these elements could be achieved by factoring in certain
424 areas as pre-set closed areas (like wind farms and buffer zones around them), and
425 summing the losses for the other groups as we currently do for the TR1 metier. This
426 would allow for a more holistic appraisal of the effects of proposed areas closures, and
427 invite representative inclusion of those stakeholder groups.

428

429 There is value in assessing whether the underlying BRT CPUE hotspot maps change over
430 time. Inflexibility towards mobile species and climate change is a common failing of
431 closed areas (Fulton et al., 2015), while repeated high CPUE is required to define nursery
432 areas (Heupel et al., 2007). Dedman et al. (2015) pooled the data from all years into a
433 single analysis. Teasing out yearly CPUE hotspot maps (e.g. with bootstrapping) would
434 allow this study's analysis to generate yearly closed area maps, which would then allow
435 the spatial management of these stocks to adapt to changing conditions in an open
436 dialogue with stakeholders. This would of course be facilitated by a richer dataset or with
437 dedicated sampling, but these are luxuries one cannot expect to prescribe, especially for
438 elasmobranchs which are frequently data deficient (Dulvy et al., 2014). Further, creating
439 a high-resolution abundance modelling DST for data-poor species was the aim of this
440 and related studies; the tools are understandably anticipated to work even better with
441 richer underlying data.

442 **5.7 Caveats and further work**

443 Fishing effort was used to model the priorities of the fleet, but CPUE or LPUE (landings
444 per unit effort) may more accurately represent fishermen's spatial preferences, and

445 could be incorporated into future applications of the tool. An alternative to the current
446 algorithmic priority-weighting would be to allow stakeholders to digitally draw their own
447 MPAs, and have the software then calculate and display the proportion of each species'
448 theoretical HR_{MSY} that is protected by that MPA, in real time. The digital maps could be
449 pre-populated with the current algorithm-determined MPAs, with stakeholders then
450 editing them based on their tacit knowledge. It would allow fishermen to factor in
451 steaming time and therefore fuel costs, for example. Incorporating fishermen's
452 knowledge into fisheries management is typically problematic, but highly desirable given
453 the value of such knowledge (Hind, 2012; Johannes, 2003; Johannes et al., 2000; Soto,
454 2006).

455

456 The HR_{MSY} figures were calculated for the adjoining Celtic Sea (ICES area VIIg) by
457 Shephard et al. (2015), and thus may not be perfectly suited to the neighbouring Irish
458 Sea (VIIa). Management utilisation of this approach as an advisory tool may thus require
459 investment in validating the key inputs on HR_{MSY} , vulnerability and harvest ratio.

460

461 Dissolved oxygen and chlorophyll were omitted as explanatory variables due to a lack of
462 availability and data processing time constraints. It has been shown that elasmobranchs
463 are sensitive to these variables (Navarro et al., 2016, 2015; Speers-Roesch et al., 2012)
464 so it would be valuable to re-run the analysis with them included.

465 **6 Conclusion**

466 This methodology allows us to map vulnerable ray CPUEs with reference to their habitat,
467 and use this information to develop MSY-proxy spatial closure candidates, based on the
468 principle of conserving an escapement biomass. We were able to build management
469 priorities directly into the mapping process, and then propose closures which can
470 minimise the displacement of effort, which is classic problem in spatial management of
471 fisheries. This method gives fishermen the ability to propose closures based on their own

472 preferences but still underpinned by biological science, and within the remit of the
473 Common Fisheries Policy.

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487 **8 Author Contributions**

488 Conceived and designed analyses: SD DGR DB RO MC. Performed analyses: SD. Wrote
489 paper: SD DGR DB RO MC

490 **9 References**

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750 **10 Supplementary Material**

Environmental Dataset	Spatial Resolution	Source
Depth	275x455m grids	EMODnet (European Marine Observation and Data Network)(EMODnet, 2014)
Average Monthly sea bottom temperatures 2010-2012 (°C), Average Monthly sea bottom salinities 2010-2012 (ppm), Maximum monthly 2 dimensional velocity (m.s ⁻¹)	1185x1680m grids	Marine Institute, 2014 (http://www.marine.ie/Home/site-area/data-services/data-services)
Substrate (grain size in mm)	>= 250m ² grids	British Geological Survey, 2011 (British Geological Survey, 2011)
Distance to shore (m)	275x455m grids	via European coastline layer (freely available)
Fishing & Predation Dataset	Spatial Resolution	Source
Surveyed ray CPUE (numbers per hour), 1990-2014	Point data (n=1447)	ICES DATRAS (ICES, 2015)
Surveyed fish predator CPUE (numbers per hour), 1990-2014	Point data	ICES DATRAS (ICES, 2015)
Average annual ray LPUE from demersal trawls (Kg ^{-Hr}), 2006-2012	0.02° lat * 0.03° lon grids	Marine Institute, 2014
Average annual whelk LPUE (Kg ^{-KwH}), 2009-2013	0.5° lat * 1° lon ICES rectangles	Marine Management Organisation, 2015
Average annual scallop dredging effort (KwH), 2006-2013/2014	0.5° lat * 1° lon ICES rectangles	Marine Management Organisation, and Marine Institute, 2015
Average annual scallop dredging effort (hours), 2006-2014	0.02° lat * 0.03° lon grids	Marine Institute, 2015

751 **Table 4: Datasets used during modelling, and their sources. Ppm: parts per million. Mm:**
752 **millimetres. M.s⁻¹: metres per second. M: metres. CPUE/LPUE: catch/landings per unit**
753 **effort. Kg: kilogrammes. Hr: hour. KwH: Kilowatt-hour.**