

# PROCEEDINGS OF THE ROYAL SOCIETY A

MATHEMATICAL, PHYSICAL AND ENGINEERING SCIENCES

## A new Rayleigh-like wave in guided propagation of antiplane waves in couple stress materials

Journal:	<i>Proceedings A</i>
Manuscript ID	RSPA-2019-0822.R1
Article Type:	Research
Date Submitted by the Author:	24-Jan-2020
Complete List of Authors:	Nobili, Andrea; University of Modena and Reggio Emilia, Engineering Enzo Ferrari Radi, Enrico; University of Modena and Reggio Emilia, Sciences and Methods of Engineering Signorini, Cesare; University of Modena and Reggio Emilia, Sciences and Methods of Engineering
Subject:	Applied mathematics < MATHEMATICS, Mechanics < PHYSICS, Wave motion < PHYSICS
Keywords:	couple stress, Rayleigh waves, mode conversion, guided propagation
Subject Category:	Mathematics

SCHOLARONE™  
Manuscripts

1  
2  
3 **Author-supplied statements**  
4

5 Relevant information will appear here if provided.  
6

7  
8 **Ethics**  
9

10 *Does your article include research that required ethical approval or permits?:*

11 This article does not present research with ethical considerations  
12

13 *Statement (if applicable):*

14 CUST\_IF\_YES\_ETHICS :No data available.  
15

16  
17 **Data**  
18

19 *It is a condition of publication that data, code and materials supporting your paper are made publicly*  
20 *available. Does your paper present new data?:*

21 My paper has no data  
22

23 *Statement (if applicable):*

24 CUST\_IF\_YES\_DATA :No data available.  
25

26  
27 **Conflict of interest**  
28

29 I/We declare we have no competing interests  
30

31 *Statement (if applicable):*

32 CUST\_STATE\_CONFLICT :No data available.  
33

34  
35 **Authors' contributions**  
36

37 This paper has multiple authors and our individual contributions were as below  
38

39 *Statement (if applicable):*

40 ER and AN developed the model, AN studied wave propagation and drafted the manuscript, CS  
41 checked the calculations and drew the figures. All authors gave final approval for publication and  
42 agree to be held accountable for the work performed therein.  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## PROCEEDINGS A

[rspa.royalsocietypublishing.org](http://rspa.royalsocietypublishing.org)

Research



Article submitted to journal

**Subject Areas:**

Mathematical Modelling, Mechanics,  
Wave Motion

**Keywords:**

Couple stress, Rayleigh waves, Mode  
conversion, Guided propagation

**Author for correspondence:**

Andrea Nobili

e-mail: [andrea.nobili@unimore.it](mailto:andrea.nobili@unimore.it)

## A new Rayleigh-like wave in guided propagation of antiplane waves in couple stress materials

A. Nobili<sup>1,3</sup>, E. Radi<sup>2,3</sup> and C. Signorini<sup>3</sup>

<sup>1</sup>Department of Engineering Enzo Ferrari, University of Modena and Reggio Emilia, via Vivarelli 10, 41125 Modena, Italy

<sup>2</sup>Department of Sciences and Methods of Engineering, University of Modena and Reggio Emilia, via Amendola 2, 42122 Reggio Emilia, Italy

<sup>3</sup>Centre En&Tech, Tecnopolo, p.le Europa 1, 42124 Reggio Emilia, Italy

Motivated by the unexpected appearance of shear horizontal Rayleigh surface waves, we investigate the mechanics of antiplane wave reflection and propagation in couple stress (CS) elastic materials. Surface waves arise by mode conversion at a free surface, whereby bulk travelling waves trigger inhomogeneous modes. Indeed, Rayleigh waves are perturbations of the travelling mode and stem from its reflection at grazing incidence. As well known, they correspond to the real zeros of the Rayleigh function. Interestingly, we show that the same generating mechanism sustains a new inhomogeneous wave, corresponding to a purely imaginary zero of the Rayleigh function. This wave emerges from "reflection" of a bulk standing mode: This produces a new type of Rayleigh-like wave that travels *away from*, as opposed to along, the free surface, with a speed lower than that of bulk shear waves. Besides, a third zero of the Rayleigh function may exist, which represents waves attenuating/exploding both along and away from the surface. Since none of these zeros correspond to leaky waves, a new classification of the Rayleigh zeros is proposed. Furthermore, we extend to CS elasticity Mindlin's boundary conditions, by which partial waves are identified, whose interference lends Rayleigh-Lamb guided waves. Finally, asymptotic analysis in the thin-plate limit provides equivalent 1-D models.

© The Authors. Published by the Royal Society under the terms of the Creative Commons Attribution License <http://creativecommons.org/licenses/by/4.0/>, which permits unrestricted use, provided the original author and source are credited.

THE ROYAL SOCIETY  
PUBLISHING

## 1. Introduction

1 The discovery of surface waves by Lord Rayleigh [1] revealed that bulk waves may interact with  
2 a free surface to produce a substantially different type of wave, that still propagates along the  
3 surface and yet it decays exponentially in the interior. The recognition of surface waves came  
4 timely, for it explained the large vertical tremors (ground roll) that could be clearly identified  
5 in those early days of seismogram recording. Yet, as pointed out in [2], large low-frequency  
6 horizontal vibrations, similar in nature to Rayleigh waves, appear in seismograms, which can  
7 be only explained, within the classical theory, assuming a layered (inhomogeneous) structure for  
8 the earth. Indeed, [3] shows “how the layering in the earth affects surface waves far more strongly  
9 than it does body waves” [2, §2.9]. Consequently, one is led to understand that horizontally  
10 and vertically polarized surface waves are fundamentally different in nature, for the former are  
11 an outcome of the double boundary, while the latter are embedded in the mechanics of wave  
12 reflection at a surface [4].

13 Although this might well be the situation in classical elasticity (CE), the recent discovery  
14 that antiplane surface waves are supported by the indeterminate couple stress (alias constrained  
15 micropolar) theory suggests that horizontally polarized surface waves may also be incorporated  
16 in the theory of surface reflection [5,6]. Immediately, the question arises with regard to what  
17 specific feature of the theory is required for that to be the case. In fact, shear horizontal surface  
18 acoustic waves are also retrieved in the context of the complete Toupin-Mindlin gradient theory,  
19 that involves 5 microstructural parameters, although they are no longer supported by the  
20 simplified version of gradient isotropic elasticity [4]. In [7], the appearance of SH surface waves is  
21 interpreted as a general perturbation (relaxation) of the CE boundary conditions, which binds  
22 “otherwise essentially skimming bulk SH waves to the limiting surface”. To the same effect,  
23 several approaches are possible: from material inhomogeneity to surface periodicity (grating),  
24 from multiple interfaces (layering) to magneto-elastic coupling. A combination of the above  
25 is considered in [8], dealing with piezoacoustic (Bleustein–Gulyaev) SH surface waves in a  
26 functionally graded material (FGM).

27 This notwithstanding, no study appears in the literature investigating the mechanics of surface  
28 reflection in the presence of SH surface waves, in an attempt to single out the characteristic  
29 feature that triggers their appearance. This analysis is most easily carried out in the context of the  
30 indeterminate couple stress (CS) theory, that is perhaps the simplest strain-gradient theory [9–11].  
31 Indeed, for isotropic materials, it introduces, alongside the classical Lamé moduli, two extra  
32 elastic constants, which incorporate the role of the microstructure, for a total of four material  
33 parameters. In the case of antiplane motion, only three of these really matter, plus the possible  
34 contribution of rotational inertia. In contrast to CE, this theory is no longer self-similar and  
35 therefore it successfully predicts some important observable phenomena, such as dispersion of  
36 bulk and surface waves [6,12] and size effects [13,14].

37 A number of contributions have appeared in the literature investigating wave propagation  
38 in CS materials. In their pioneering work [15], Graff and Pao consider wave reflection and  
39 propagation in the sagittal plane (i.e. plane-strain) of an isotropic CS half-space, in the absence  
40 of rotational inertia. In particular, study of mode conversion at a free surface “is found to be more  
41 complicated because of the existence of three types of waves”. Even greater complexity is recently  
42 encountered in [16], dealing with wave reflection in the context of plane-strain propagation within  
43 gradient isotropic elasticity. Indeed, although the simplified version of the theory is considered,  
44 four different waves are triggered upon reflection. In [17], sagittal guided wave propagation in  
45 a plate (Rayleigh-Lamb waves) made of isotropic CS material is investigated, in the absence of  
46 rotational inertia, and dispersion relations are obtained. Very recently, dispersion of Rayleigh-  
47 Lamb waves within three CS theories, including indeterminate CS, was analysed in [18]. [12]  
48 studies propagation of Rayleigh waves in the sagittal plane for CS materials in the absence of  
49 rotational inertia. A similarity between Rayleigh wave dispersion in CS materials and in lattice  
50 structures is pointed out in [19]. Steady-state mode III fracture propagation is considered in [20],

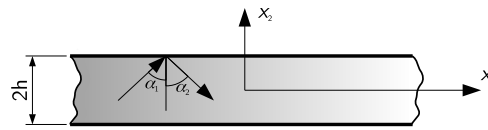


Figure 1: Wave propagation in a homogeneous plate of couple stress elastic material

51 which extends the results already obtained in [13] for statics and shows the dispersion diagram of  
 52 bulk SH waves. Scattering of antiplane shear waves at the interface of a cylindrical nano-fibre in  
 53 CS materials is investigated by [21]. Diffraction of waves originating from time harmonic loading  
 54 of a semi-infinite crack is discussed in [6].

55 In this paper, we extend the work of Graff and Pao to antiplane waves and upon this we  
 56 develop the theory of surface and Rayleigh-Lamb antiplane waves in CS materials. With respect  
 57 to the original work of Graff and Pao, the mechanical framework is simpler and thus we can  
 58 develop full analytical insight. Besides, the important role of microstructure inertia is assessed.  
 59 In the process, we discover analogies and differences with sagittal plane propagation in CE. In  
 60 particular, a standing horizontally polarized bulk wave, associated to a purely imaginary branch-  
 61 point in the Rayleigh function, takes the place of the familiar longitudinal P-wave in sagittal plane  
 62 propagation of CE (Sec.3). Still, its role is essential in coupling with the bulk travelling SH-wave  
 63 at the free surface to produce the antiplane surface wave, much like P and SV waves couple in CE  
 64 to produce Rayleigh waves (Sec.3(b)). Indeed, Rayleigh waves arise in CE at grazing incidence,  
 65 beyond the critical angle that is attached to reflected P waves being converted into surface waves.  
 66 Such surface waves are precisely the form in which standing bulk waves appear at the free surface  
 67 of CS materials. Interestingly, we investigate a novel type of "reflection" that involves standing  
 68 waves and leads to a new Rayleigh-like wave, propagating in the interior of the material and  
 69 exponentially exploding/decaying along the surface (Sec.3(c)). Clearly, this wave cannot exist  
 70 on an infinite surface. However, it is precisely this wave, associated with a purely imaginary  
 71 zero of the Rayleigh function, that is found in [6] radiating from the tip of a semi-infinite crack.  
 72 Guided propagation in a plate is investigated in Sec.4, where reduced 1-D models for beams with  
 73 microstructure are also obtained.

## 74 2. Antiplane couple stress elasticity

75 Let us consider a Cartesian co-ordinate system  $(O, x_1, x_2, x_3)$  and a thin plate  $B_0 = \{(x_1, x_2, x_3) :$   
 76  $-h < x_2 < h\}$  made of isotropic elastic couple stress (CS) material, Fig.1. This is a polar material,  
 77 for which, alongside the classical Cauchy stress tensor  $t$ , we define the couple stress tensor  $\mu$   
 78 such that, for any surface of unit normal  $n$ , it determines the internal reduced couple vector  
 79  $q = \mu n$  acting across that surface. It is expedient to decompose the Cauchy stress tensor  $t$  into its  
 80 symmetric and skew-symmetric parts, respectively  $\sigma$  and  $\tau$ ,

$$77 \quad t = \sigma + \tau, \quad \sigma = \text{Sym } t, \quad \tau = \text{Skw } t. \quad (2.1)$$

81 In addition, the couple stress tensor  $\mu$  is split into its deviatoric and spherical parts

$$78 \quad \mu = \mu^D + \mu^S, \quad \mu^S = \frac{1}{3}(\mu \cdot \mathbf{1})\mathbf{1}, \quad (2.2)$$

82 where  $\mathbf{1}$  is the identity tensor and  $\cdot$  denotes the scalar product, i.e. componentwise  $A \cdot B = A_{ij}B_{ij}$   
 83 and Einstein's summation convention on twice repeated subscripts is assumed. According to the  
 84 principle of virtual work [11,12], one has

$$79 \quad W = \int_B (\sigma \cdot \text{grad}^T u + \mu \cdot \text{grad}^T \varphi) dV, \quad (2.3)$$

85 where  $u$  and  $\varphi$  are, respectively, the displacement and micro-rotation vector fields, while the  
 86 superscript  $T$  denotes the transposed tensor. Unlike Cosserat micro-polar theories, for which

displacements and micro-rotations are independent fields, CS theory relates one to the other, through [11, Eqs.(4.9)]

$$\varphi = \frac{1}{2} \text{curl } \mathbf{u}. \quad (2.4)$$

Component-wise, this is  $\varphi_i = \frac{1}{2} \mathbb{E}_{ijk} u_{k,j}$ , where  $\mathbb{E}$  is the rank-3 alternator tensor. Hereinafter, a subscript comma denotes partial differentiation, e.g.  $(\text{grad } u)_{kj} = u_{k,j} = \partial u_k / \partial x_j$ . Thus, we speak of latent micro-structure, for micro-rotations are induced by the displacement field. As in CE, we define the linear strain tensor

$$\boldsymbol{\varepsilon} = \text{Sym grad } \mathbf{u} \quad (2.5)$$

and thereby observe that, according to (2.3),  $\boldsymbol{\sigma}$  is work-conjugated to  $\boldsymbol{\varepsilon}$ . Further, we introduce the *torsion-flexure (wryness) tensor*

$$\boldsymbol{\chi} = \text{grad } \boldsymbol{\varphi}, \quad (2.6)$$

that, in light of the connection (2.4), is purely deviatoric, i.e.  $\boldsymbol{\chi} = \boldsymbol{\chi}^D$ . Consequently, to any effect,  $\boldsymbol{\mu}$  may be replaced by  $\boldsymbol{\mu}^D$  in Eq.(2.3). Indeed, the CS theory is named indeterminate after the observation that the first invariant of the couple stress tensor, i.e.  $\text{tr } \boldsymbol{\mu} = \boldsymbol{\mu} \cdot \mathbf{1} = \mu_{11} + \mu_{22} + \mu_{33}$ , rests indeterminate and therefore it may be set equal to zero without loss of generality. Therefore,  $\boldsymbol{\mu}$  collapses on  $\boldsymbol{\mu}^D$  and it is work conjugated with  $\boldsymbol{\chi}^T$  [11, Eq.(2.22)]. For the sake of brevity, in the following we shall write  $\boldsymbol{\mu}$ , with the understanding that  $\boldsymbol{\mu}^D$  is meant.

Within the framework of hyperelastic materials, the total strain  $\boldsymbol{\varepsilon}$  and the torsion-flexure  $\boldsymbol{\chi}$  are connected to the stress and to the couple stress through the constitutive relations [21, Eq.(12)]

$$\boldsymbol{\sigma} = \frac{\partial U}{\partial \boldsymbol{\varepsilon}}, \quad \boldsymbol{\mu} = \frac{\partial U}{\partial \boldsymbol{\chi}},$$

where  $U = U(\boldsymbol{\varepsilon}, \boldsymbol{\chi})$  is the stored energy potential. At leading order for small deformations of an isotropic material, we get [11, Eqs.(4.7)]

$$\boldsymbol{\sigma} = 2G\boldsymbol{\varepsilon} + \Lambda(\text{tr } \boldsymbol{\varepsilon})\mathbf{1}, \quad \boldsymbol{\mu} = 2G\ell^2 (\boldsymbol{\chi}^T + \eta\boldsymbol{\chi}) \quad (2.7)$$

where  $\Lambda$  and  $G > 0$  take up the role of Lamé moduli,  $\ell > 0$  is a characteristic length and  $-1 < \eta < 1$  is a dimensionless number similar to Poisson's ratio. The material parameters  $\ell$  and  $\eta$  depend on the microstructure and can be connected to the material characteristic length in bending,  $\ell_b$ , and in torsion,  $\ell_t$ , through

$$\ell_b = \ell/\sqrt{2}, \quad \ell_t = \ell\sqrt{1+\eta}. \quad (2.8)$$

Values of  $\ell_b$  and  $\ell_t$  may be found in [22,23] and, as an example, for polyurethane foam we have

$$\ell = 0.462 \text{ mm}, \quad \eta = 0.797$$

The limiting value  $\eta = -1$  corresponds to a vanishing characteristic length in torsion, which is typical of polycrystalline metals. Clearly, the definitions (2.8) show that  $\ell_t = \ell_b$  for  $\eta = -\frac{1}{2}$  and  $\ell_t = \ell = \sqrt{2}\ell_b$  for  $\eta = 0$ , the latter situation being the strain gradient effect considered in [24]. For the limiting value  $\eta = 1$ , the constitutive equation (2.7) provides a symmetric couple stress tensor and, consequently, the present theory reduces to the modified couple stress theory of elasticity introduced in [25]. Indeed, the modified couple stress theory involves only the material length  $\ell$ , in consideration of the restriction  $\ell_b = \ell_t/2 = \ell/\sqrt{2}$ .

The equations of motion read, in the absence of body forces,

$$\text{div } \mathbf{t} = \rho \ddot{\mathbf{u}}, \quad (2.9a)$$

$$\text{axial } \boldsymbol{\tau} + \text{div } \boldsymbol{\mu} = J \ddot{\boldsymbol{\varphi}}, \quad (2.9b)$$

where  $\rho$  is the mass density and  $J \geq 0$  is rotational inertia and a superposed dot denotes time differentiation. Here,  $(\text{axial } \boldsymbol{\tau})_i = \mathbb{E}_{ijk} \tau_{kj}$  denotes the axial vector attached to a skew-symmetric

117 tensor. Eq.(2.9b) may be solved for  $\tau$

$$\tau = \frac{1}{2}\mathbb{E}(\operatorname{div} \boldsymbol{\mu} - J\dot{\varphi}), \quad (2.10)$$

118 whence the skew-symmetric part of the total stress tensor  $\boldsymbol{t}$  is determined by rotational  
119 equilibrium. Clearly, CE is retrieved taking  $\ell = 0$  and  $J = 0$ , for then  $\boldsymbol{\mu} = \boldsymbol{\tau} = \boldsymbol{o}$  by Eqs.(2.7) and  
120 (2.10). As nicely discussed in [12,16], Eq.(2.10) is generally not objective, in the sense that, owing  
121 to the acceleration term, it does not fulfil the requirement of frame indifference. However, for  
122 time-harmonic motion, this issue is of no concern [21].

Under antiplane shear deformations, the displacement field  $\boldsymbol{u} = (u_1, u_2, u_3)$  is completely  
defined by the out-of-plane component  $u_3 = u_3(x_1, x_2, x_3, t)$ . The non-zero components of the  
micro-rotation vector, of the strain and of the flexure-torsion tensor become

$$\varepsilon_{13} = \frac{1}{2}u_{3,1}, \quad \varepsilon_{23} = \frac{1}{2}u_{3,2}, \quad (2.11a)$$

$$\varphi_1 = \frac{1}{2}u_{3,2}, \quad \varphi_2 = -\frac{1}{2}u_{3,1}, \quad (2.11b)$$

$$\chi_{11} = -\chi_{22} = \frac{1}{2}u_{3,12}, \quad \chi_{21} = -\frac{1}{2}u_{3,11}, \quad \chi_{12} = \frac{1}{2}u_{3,22}. \quad (2.11c)$$

Consequently, Eqs.(2.9) now read [11, Eqs.(2.7) and (2.9)]

$$\sigma_{13,1} + \sigma_{23,2} + \tau_{13,1} + \tau_{23,2} = \rho\ddot{u}_3, \quad (2.12a)$$

$$\mu_{11,1} + \mu_{21,2} + 2\tau_{23} = J\ddot{\varphi}_1, \quad (2.12b)$$

$$\mu_{12,1} + \mu_{22,2} - 2\tau_{13} = J\ddot{\varphi}_2. \quad (2.12c)$$

The constitutive equations (2.7), in light of the definitions (2.5,2.6) and with the help of the  
kinematic relations (2.11), give stress and couple stress in terms of displacement [6]

$$\sigma_{13} = Gu_{3,1}, \quad \sigma_{23} = Gu_{3,2}, \quad (2.13a)$$

$$\mu_{11} = -\mu_{22} = G\ell^2(1 + \eta)u_{3,12}, \quad \mu_{21} = G\ell^2(u_{3,22} - \eta u_{3,11}), \quad (2.13b)$$

$$\mu_{12} = -G\ell^2(u_{3,11} - \eta u_{3,22}). \quad (2.13c)$$

123 We observe that the contribution of  $\Lambda$  is immaterial for antiplane deformations, cf. [24, Eqs.(8-9)].  
124 Besides, introducing Eqs.(2.11b,2.13) into (2.10) yields

$$\tau_{13} = -\frac{1}{2}G\ell^2\hat{\Delta}u_{3,1} + \frac{J}{4}\ddot{u}_{3,1}, \quad \tau_{23} = -\frac{1}{2}G\ell^2\hat{\Delta}u_{3,2} + \frac{J}{4}\ddot{u}_{3,2}, \quad (2.14)$$

125 which correspond to Eqs.(9) of [20]. Here,  $\hat{\Delta}$  denotes the 2-D Laplace operator in the  $x_1, x_2$  co-  
126 ordinates. Plugging Eqs.(2.13a) and (2.14) into (2.12a) gives, for a homogeneous material,

$$G\left(\frac{1}{2}\ell^2\hat{\Delta}\hat{\Delta}u_3 - \hat{\Delta}u_3\right) - \frac{J}{4}\hat{\Delta}\ddot{u}_3 + \rho\ddot{u}_3 = 0. \quad (2.15)$$

127 In the static case and in the absence of rotational inertia, we retrieve Eq.(18) of [26] and Eq.(11)  
128 of [24].

129 At any point of a smooth surface we may specify the *reduced force traction* vector  $\boldsymbol{p}$  and the  
130 tangential part of the *couple stress traction* vector  $\boldsymbol{q}$  [11, Eqs.(3.5-6)]

$$\boldsymbol{p} = \boldsymbol{t}^T \boldsymbol{n} + \frac{1}{2} \operatorname{grad} \mu_{nn} \times \boldsymbol{n}, \quad \boldsymbol{q} = \boldsymbol{\mu}^T \boldsymbol{n} - \mu_{nn} \boldsymbol{n}, \quad (2.16)$$

131 where we have  $\mu_{nn} = \boldsymbol{n} \cdot \boldsymbol{\mu} \boldsymbol{n} = \boldsymbol{q} \cdot \boldsymbol{n}$ . The reason why only the tangential part of  $\boldsymbol{q}$  may be  
132 enforced is discussed in [11] and [12]. In particular, at the bottom/top plate face  $x_3 = \mp h$ , it  
133 is  $\boldsymbol{n} = \pm(0, 1, 0)$  and, according to Eqs.(2.16), the out-of-plane component of the reduced force  
134 traction and the in-plane components of the couple stress traction read, respectively,

$$p_3 = \pm\left(t_{23} + \frac{1}{2}\mu_{22,1}\right), \quad q_1 = \pm\mu_{21}, \quad q_2 = 0. \quad (2.17)$$

### 3. Time-harmonic solutions

We introduce the reference length  $\Theta\ell$  and the reference time  $T = \ell/c_s$  by which we define the dimensionless co-ordinates  $(\xi_1, \xi_2, \xi_3) = (\Theta\ell)^{-1}(x_1, x_2, x_3)$  and the dimensionless time  $\tau = t/T$ . Here,  $c_s = \sqrt{G/\rho}$  is the shear wave speed of classical elastic media and  $\Theta$  is a convenient scaling parameter to be defined in the following. Besides, we let the dimensionless plate half-thickness  $H = h/\ell$ . With these definitions, the equilibrium equation (2.15) becomes

$$\Delta\Delta u_3 - 2\Theta^2\Delta u_3 + 2\Theta^4\left(\frac{\ell_0^2}{\Theta^2}\Delta u_{3,\tau\tau} - u_{3,\tau\tau}\right) = 0, \quad (3.1)$$

where  $\Delta$  is the 2-D Laplace operator in  $\xi_1$  and  $\xi_2$  and we have let the dimensionless parameter [20]

$$\ell_0 = \frac{\ell_d}{\ell}, \quad \text{with} \quad \ell_d = \frac{1}{2}\sqrt{\frac{J}{\rho}}.$$

We observe that  $\ell_d$  is proportional to the dynamic characteristic length,  $l_d = 2\sqrt{6}\ell_d$ , introduced in [21].

Under the time-harmonic assumption and considering straight-crested waves in the sagittal plane  $(\xi_1, \xi_2)$ , we let

$$u_3 = W(\xi_1, \xi_2) \exp(-i\Omega\tau),$$

independent of  $\xi_3$ . Here,  $i$  is the imaginary unit and  $\Omega = \omega T > 0$  the dimensionless (time) frequency. Then, Eq.(3.1) yields the bi-harmonic PDE [19, Eq.(19)] for the function  $W$ :

$$\left[\Delta\Delta - 2\left(1 - \ell_0^2\Omega^2\right)\Theta^2\Delta - 2\Omega^2\Theta^4\right]W = 0. \quad (3.2)$$

This homogeneous equation may be easily factored out

$$\left(\Delta + \delta^2\right)\left(\Delta - 1\right)W = 0, \quad (3.3)$$

provided that  $\Theta$  is chosen as to satisfy the bi-quadratic equation

$$2\Omega^2\Theta^4 + 2(1 - \ell_0^2\Omega^2)\Theta^2 - 1 = 0.$$

We select the positive root

$$\Theta^2 = \frac{\sqrt{(1 - \ell_0^2\Omega^2)^2 + 2\Omega^2} - 1 + \ell_0^2\Omega^2}{2\Omega^2} \quad (3.4)$$

and observe that  $\Theta$  is frequency dependent (Fig.2). Indeed, it is a strictly monotonic increasing (decreasing) function of  $\Omega$ , inasmuch as  $\ell_0 \geq \ell_{0cr} \equiv 1/\sqrt{2}$ , that starts from  $\ell_{0cr}$  at  $\Omega = 0$  and asymptotes to  $\Theta = \ell_0$  for  $\Omega \rightarrow +\infty$ . In fact, the special case  $\ell_0 = \ell_{0cr}$  gives the constant behaviour  $\Theta \equiv \ell_{0cr}$ . In any case,  $\Theta$  is a bounded function of  $\Omega$ . By Vieta's formulas applied to (3.2) and (3.3), we have the connection

$$\delta = 2\delta_{cr}\Theta^2, \quad \text{with} \quad \delta_{cr} = \ell_{0cr}\Omega, \quad (3.5)$$

whence, by Eq.(3.4), we get

$$\delta = \frac{1}{2\delta_{cr}} \left[ \sqrt{(1 - \ell_0^2\Omega^2)^2 + 2\Omega^2} - 1 + \ell_0^2\Omega^2 \right]. \quad (3.6)$$

In the special case  $\ell_0 = \ell_{0cr}$ , it is  $\delta = \delta_{cr}$ , that is linear in  $\Omega$ . Fig.2 plots  $\Theta$  and  $\delta$  in terms of the dimensionless frequency  $\Omega$ . We have the asymptotic behaviour for large  $\Omega$

$$\delta \sim \begin{cases} 2\ell_0^2\delta_{cr}, & \ell_0 \neq 0, \\ 1, & \ell_0 = 0, \end{cases} + O(\Omega^{-1}), \quad \text{as } \Omega \rightarrow \infty, \quad (3.7)$$

and for small  $\Omega$

$$\delta \sim \delta_{cr}, \quad \text{as } \Omega \rightarrow 0^+. \quad (3.8)$$



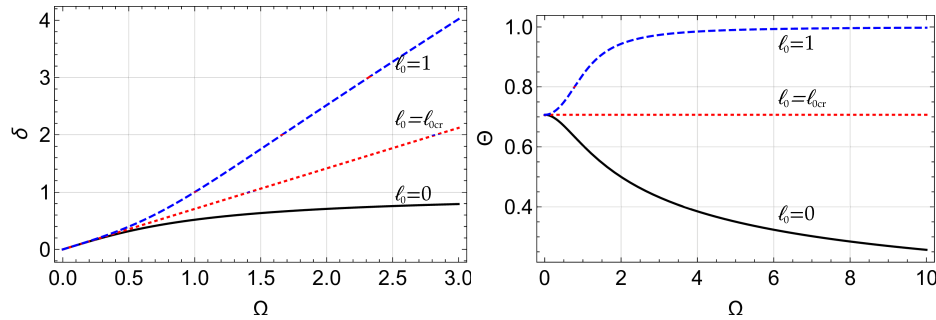


Figure 2: Rescaling parameter  $\Theta$  (left) and bulk SH wavenumber  $\delta$  (right) vs.  $\Omega$  at  $\ell_0 = 0$  (black, solid),  $\ell_{0cr}$  (red, dotted) and 1 (blue, dashed)

For guided waves propagating along the plate, we have

$$W(\xi_1, \xi_2) = \ell w(\xi_2) \exp(\iota \kappa \xi_1),$$

where  $K = k\ell$  denotes the dimensionless (spatial) wavenumber in the propagation direction  $\xi_1$  and we let the shorthand  $\kappa = \Theta K$ . Letting  $V = \Omega/K$ , the dimensionless phase speed along  $\xi_1$ , we get that

$$c = \omega/k = V c_s,$$

is the dimensional phase speed in the propagation direction. Similarly, we take

$$\begin{aligned} p_3(\xi_1, \xi_2, \xi_3, \tau) &= Gt(\xi_2) \exp \iota (\kappa \xi_1 - \Omega \tau), \\ q_1(\xi_1, \xi_2, \xi_3, \tau) &= G\ell m(\xi_2) \exp \iota (\kappa \xi_1 - \Omega \tau). \end{aligned}$$

The general solution of Eq.(3.3) is given by

$$w(\xi_2) = \cosh(\lambda_1 \xi_2) e_1 + \cosh(\lambda_2 \xi_2) e_2 + \lambda_1^{-1} \sinh(\lambda_1 \xi_2) o_1 + \lambda_2^{-1} \sinh(\lambda_2 \xi_2) o_2 \quad (3.9)$$

where the wavenumbers in the thickness direction  $\xi_2$  are  $\iota \lambda_{1,2}$ , with

$$\lambda_1 = \sqrt{\kappa^2 - \delta^2}, \quad \lambda_2 = \sqrt{\kappa^2 + 1}. \quad (3.10)$$

Branch cuts are taken as to warrant a positive real part for the square root on the real axis, see [6]. The solution (3.9) produces plane bulk waves upon looking for the roots of  $\lambda_{1,2} = 0$ . In fact, according to this definition of bulk waves, the wavenumber  $\kappa$  is a branch-point of the Rayleigh function and, therefore, a multiple root (here a double root) of the characteristic equation. Consequently, the general form of a bulk wave is given by superposition of a homogeneous with an inhomogeneous mode, with linearly varying amplitude. The real solution  $\kappa = \delta$  corresponds to SH travelling waves moving with phase speed

$$V_{SH} = \frac{\Omega \Theta}{\delta} = \frac{1}{\sqrt{2} \Theta} = \sqrt{\frac{\delta_{cr}}{\delta}}. \quad (3.11)$$

The purely imaginary solution  $\kappa = \iota$  corresponds to a bulk evanescent mode. We name *evanescent* any harmonic solution (mode) whose wave vector has complex-valued components, as opposed to *travelling* modes for which the wave vector is real. Inhomogeneous waves that possess an exponentially varying amplitude are special evanescent modes; in the context of guided wave propagation they go under the name of *surface waves* [27, §7].

1  
2  
3  
4  
5  
6  
7  
174 The plate is subjected to free surface conditions

$$p_3(\xi_1, \pm\Theta^{-1}H, \xi_3, \tau) = 0, \quad q_1(\xi_1, \pm\Theta^{-1}H, \xi_3, \tau) = 0. \quad (3.12)$$

8  
9  
10 Using Eqs.(2.1,2.13, 2.14) into Eqs.(2.17), the free boundary conditions (3.12) give

$$(1 - \delta^2)w' - \left[-(2 + \eta)\kappa^2 w + w''\right]' = 0, \quad (3.13a)$$

$$w'' + \kappa^2 \eta w = 0, \quad (3.13b)$$

11  
12  
13  
14  
15 where prime denotes differentiation with respect to the co-ordinate  $\xi_2$ .

### 16 17 18 (a) Extending Mindlin's mixed conditions to antiplane CS

19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
177 As well known, in CE, Rayleigh-Lamb (RL) dispersion curves emerge from interference of  
178 fundamental waves, named *partial (or resonant) waves*, that are obtained imposing suitable  
179 boundary conditions [28–30]. For isotropic (transversely isotropic in general) materials, such  
180 conditions decouple into sagittal plane (plane-strain) and out-of-plane (antiplane) propagation  
181 [28].

182 In plane-strain propagation, the boundary conditions required to single out partial waves were  
183 first illustrated by [31] and are either the "lubricated rigid wall" conditions

$$u_2 = 0, \quad \sigma_{12} = 0, \quad (3.14)$$

184 or the "flexible micro-chain" conditions

$$u_1 = 0, \quad \sigma_{22} = 0. \quad (3.15)$$

185 Mindlin's conditions produce a pair of partial waves, named longitudinal (P) and shear vertical  
186 (SV) partial waves, which travel across the plate thickness with an even or an odd integral number  
187 of half wavelengths (transverse resonance). Their name stem from the observation that the Short-  
188 Wave High-Frequency (SWHF) limiting behaviour of P and SV partial waves asymptotes to  
189 longitudinal and shear bulk waves, respectively. Even P and even SV partial waves combine to  
190 give symmetric RL waves, while interference of odd P and odd SV waves gives antisymmetric  
191 (flexural) RL waves. Since no corresponding P partial wave exists in the region  $V < 1$ , symmetric  
192 and antisymmetric branches of the RL spectrum are guided, in the SWHF limit, by even and odd  
193 SV waves, respectively, the exception being the first branch which asymptotes to the Rayleigh  
194 wave speed.

195 When considering the motion out of the sagittal plane (antiplane motion), Mindlin's conditions  
196 are simply

$$\sigma_{23} = 0, \quad (3.16)$$

197 and only one family of shear horizontal (SH or antiplane) partial wave exists in CE, with even  
198 and odd behaviour. As a consequence, no interference may occur and SH partial waves coincide  
199 with the corresponding antiplane guided RL waves. Furthermore, no Rayleigh wave speed is  
200 supported.

201 In the case of antiplane couple stress elasticity, the picture becomes more involved. We now  
202 prove that the generalization of Mindlin's boundary conditions (3.16) for antiplane motion in CS  
203 is either

$$w = m = 0, \quad (3.17)$$

204 or

$$w' = t = 0. \quad (3.18)$$

205 A graphical representation of such boundary conditions is given in Fig.3.

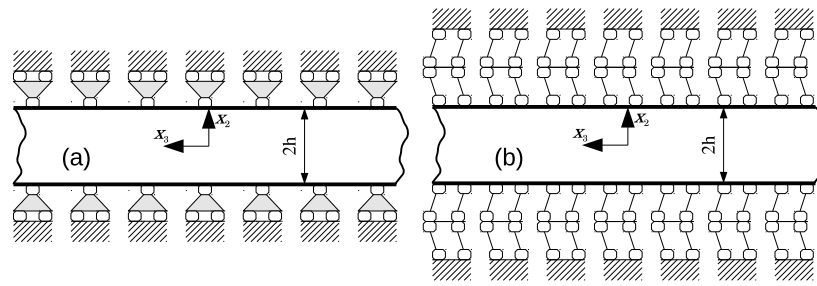


Figure 3: Sketch of the constraining conditions for the extended Mindlin's boundary conditions in the  $(x_2, x_3)$ -plane: (a) as in Eqs.(3.17), (b) as in Eqs.(3.18)

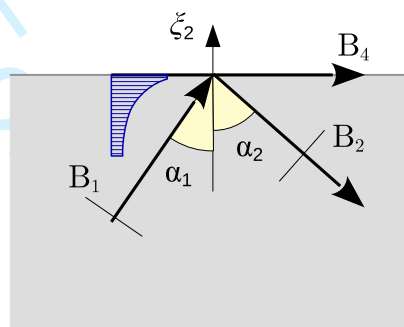


Figure 4: Travelling bulk shear plane wave  $B_1$ , impinging on a free surface with the angle  $\alpha_1$  to the surface normal, and generating a reflected travelling bulk shear wave  $B_2$  plus a surface wave  $B_4$

### 206 (b) Wave reflection and mode conversion

The presence of a bulk evanescent wave gives rise to an interesting phenomenon of mode conversion between travelling waves and evanescent modes which has no parallel in CE. To see this, we consider a travelling wave impinging on either plate surface, say the top surface, at an incident angle  $\alpha_1$  with respect to  $\xi_2$ , in the presence of an evanescent mode travelling along  $\xi_1$ ,

$$W(\xi_1, \xi_2) = B_1 \exp i [\delta(\sin \alpha_1 \xi_1 + \cos \alpha_1 \xi_2)] + B_2 \exp i [\delta(\sin \alpha_2 \xi_1 - \cos \alpha_2 \xi_2)] \\ + B_4 \exp i \left[ \delta \sin \alpha_1 \xi_1 \pm i \sqrt{1 + (\delta \sin \alpha_1)^2} \xi_2 \right]. \quad (3.19)$$

207 Here,  $B_1$  is the amplitude of the impinging wave,  $B_2$  the amplitude of the reflected wave forming  
 208 an angle  $\alpha_2$  with  $\xi_2$  and  $B_4$  is the amplitude of the evanescent mode, see Fig.4. In particular, the  
 209 evanescent mode is so constructed that (a) it possesses the same wavenumber along  $\xi_1$  as the  
 210 impinging wave and (b) the wave vector has norm squared  $-1$ , i.e. it is indeed evanescent. Clearly,  
 211 this evanescent mode is a surface wave. Such wave system satisfies the governing equation (3.3).

212 We observe that, if reflection at the surface of an half-plane is considered, then wave  
 213 propagation occurs in  $\xi_2 \leq 0$  and only the minus sign has to be taken in (3.19) to warrant  
 214 depthwise decay (on account of the definition for the square root). In fact, if we reversed the  
 215 direction of  $\xi_2$ , we would also need to change the sign of the  $\xi_2$ -component of the wave vector for  
 216 the impinging wave and results would turn out the same. When, however, a plate is considered,  
 217 both signs can be retained, i.e. two evanescent modes are triggered. This *non-uniqueness of the*  
 218 *reflection* occurs also in CE for P-waves at grazing incidence [32, §3.1.4.5].

219 Imposing the first set of generalized Mindlin's boundary conditions, Eqs.(3.17), we find that

$$\alpha_1 = \alpha_2 = \alpha, \quad (3.20)$$

and, as expected, no mode conversion occurs for

$$B_2 = -B_1, \quad B_4 = 0.$$

220 Indeed, this is a case of *total reflection* with  $\pi$  phase shift. This is at variance with respect to the  
221 behaviour of SH waves in CE, which reflect unaltered. In fact, this reflection scenario corresponds  
222 to that of P and SV waves hitting an in-plane constrained boundary, see [32, §3.1.1.2]. This result  
223 confirms that indeed (3.17) extends Mindlin's mixed boundary condition to CS elasticity.

Similarly, imposing the second set of Mindlin's boundary conditions, Eqs.(3.18), we find again  
(3.20) and the wave reflects in its likeness (i.e. no phase shift) with no mode conversion

$$B_2 = B_1, \quad B_4 = 0.$$

224 This result corresponds to mode conservation of SH waves in CE, see [32, §3.2.1].

225 Moving now to the free surface conditions (3.12), we get a system of equations depending  
226 on the sign in (3.19) for the evanescent wave. Accounting again for (3.20), this system gives the  
227 displacement reflection coefficients

$$B_2/B_1 = -\exp(2i\theta_2), \quad B_4/B_1 = \Phi_4 \exp(-i\theta_4), \quad (3.21)$$

with

$$\theta_2 = \pm \arctan(b_2/a_2), \quad \Phi_4 = \frac{c_4}{|\Delta|}, \quad \tan \theta_4 = \cot \theta_2,$$

being

$$\begin{aligned} a_2 &= \sqrt{2}\delta^3 \sqrt{2 + \delta^2(1 - \cos 2\alpha_1) [(\eta + 1) \cos(2\alpha_1) - \eta + 1]^2}, \\ b_2 &= 2 \cos \alpha_1 \left[ \delta^2(\eta + 1)(1 - \cos 2\alpha_1) + 2 \right]^2, \\ c_4 &= 4\delta^2 \cos \alpha_1 [(\eta + 1) \cos(2\alpha_1) - \eta + 1] \left[ \delta^2(\eta + 1)(1 - \cos 2\alpha_1) + 2 \right]. \end{aligned}$$

228 Here,  $\Delta = a_2 - ib_2$  is the determinant of the system (3.13) and  $|\Delta| = \sqrt{a_2^2 + b_2^2}$  its norm, that is  
229 always positive. Hence, we see that this is a case of *total reflection*, whereby the incident wave  
230 reflects with equal (in absolute term) amplitude and phase shift  $2\theta_2 + \pi$ . At the same time,  
231 an evanescent wave is triggered with reflection coefficient  $\Phi_4$  and phase shift  $\theta_4 = \pi/2 - \theta_2$ ,  
232 see Fig.5. A similar, but not equivalent, condition occurs in CE for the reflection of SV waves  
233 beyond the critical angle of incidence, with the P wave turning into a surface wave with complex  
234 amplitude [32, §3.1.4.5].

235 Reflection coefficients (3.21) are plotted in Fig.5. We observe that the reflection coefficient  
236  $B_4/B_1$  is generally complex, which means that phase change occurs upon reflection into  
237 evanescent modes. The occurrence of complex reflection coefficients in CE is connected to the  
238 incidence of SV waves taking place beyond the critical angle, which determines complex reflection  
239 angles for P waves [32, §3.1.2.2].

240 In light of (3.21), *total mode conversion* from travelling to evanescent modes is impossible,  
241 which result is expected in consideration of the fact that surface waves carry negligible energy  
242 compared to plane waves. Furthermore, total reflection generally triggers evanescent modes, with  
243 the notable exception of the critical incidence angle  $\alpha_0 \geq \pi/4$

$$\cos(2\alpha_0) = 1 - \frac{2}{1 + \eta}, \quad (3.22)$$

244 that exists provided that  $\eta \geq 0$ . For  $\eta \ll 1$ , we have the expansion

$$\alpha_0 = \frac{1}{2}\pi - \sqrt{\eta} + \frac{\eta^{3/2}}{3} + \dots \quad (3.23)$$

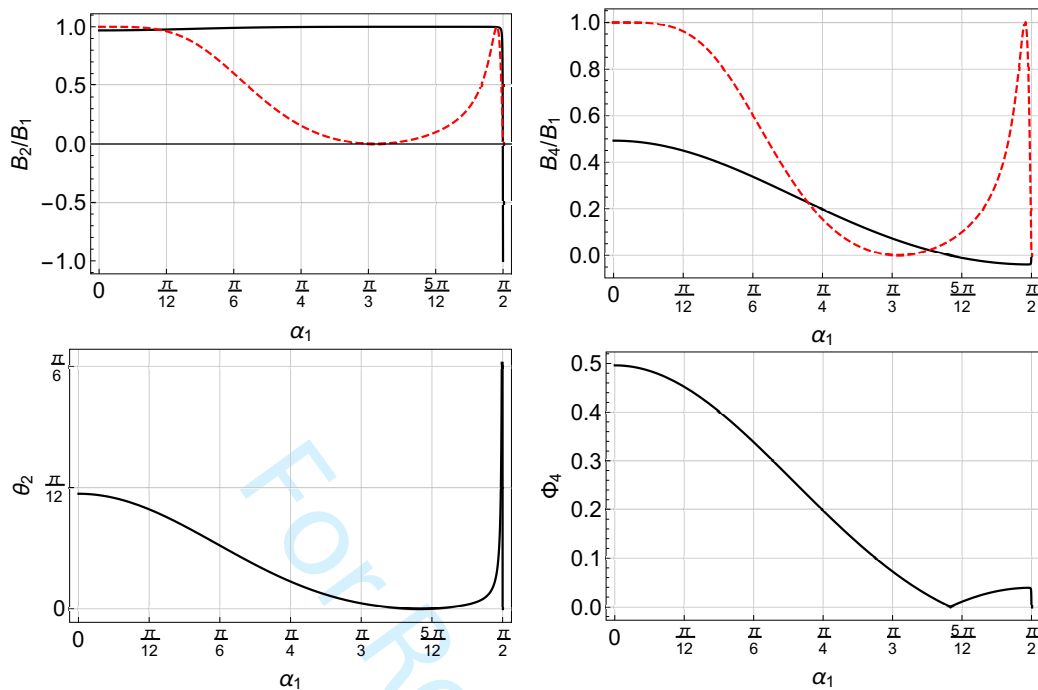


Figure 5: Real (solid, black) and imaginary part (dashed, red) of the reflection coefficients  $B_2/B_1$  and  $B_4/B_1$ , phase angle  $\theta_2$  and amplitude ratio  $\Phi_4$  for an incident travelling wave, as a function of the angle of incidence  $\alpha_1$  ( $\delta = 0.5, \eta = 0.1$ ). Total reflection, in the absence of mode conversion (i.e.  $B_4 = 0$ ), is obtained at  $\alpha_1 = 1.26452 \approx 5\pi/12$ , according to Eq.(3.22). Here, minus has been chosen in (3.19), the case of plus being obtained by reversing the sign of the imaginary part of  $B_2$  and  $B_4$ .

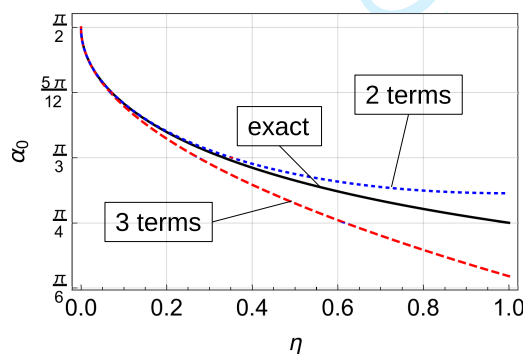


Figure 6: Critical angle for total reflection in the absence of mode conversion as a function of  $\eta$  (black, solid), alongside the two- (red, dashed) and three-term (blue, dotted) expansions

245 that is shown in Fig.6 alongside the exact curve. The plot is remarkable for it shows that, at  $\eta = 0$ ,  
 246 we have  $\alpha_0 = \pi/2$ , that is grazing incidence. As it will presently appear, the existence of Rayleigh  
 247 waves is connected to the appearance of evanescent modes precisely at grazing incidence and, in  
 248 fact, the situation  $\eta = 0$  does not support antiplane Rayleigh waves.

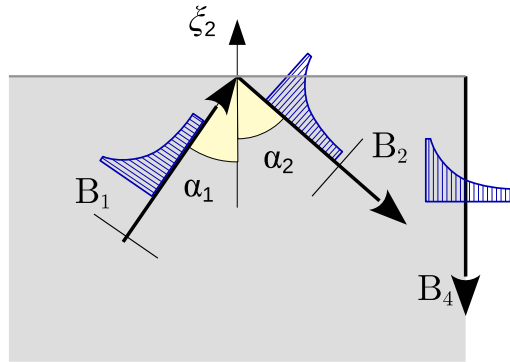


Figure 7: Evanescent bulk standing wave  $B_1$ , acting on a free surface with the angle  $\alpha_1$  to the surface normal and generating a "reflected" standing bulk wave  $B_2$  together with a Rayleigh-like wave  $B_4$  travelling in the direction normal to the surface

249 Approaching grazing incidence, i.e. as the angle of emergence  $\epsilon = \frac{1}{2}\pi - \alpha$  tends to zero, the  
250  $O(1)$  term in the solution vanishes and we have

$$251 \quad W(\xi_1, \xi_2) = \epsilon W_1(\xi_1, \xi_2) + \epsilon^2 W_2(\xi_1, \xi_2) + \dots \quad (3.24)$$

252 Thus, the leading order term in the expansion of the displacement is

$$253 \quad W_1(\xi_1, \xi_2) = \mp B'_1 e^{i\delta\xi_1} + B'_2 \xi_2 e^{i\delta\xi_1} \pm B'_4 e^{i\delta\xi_1 + \sqrt{1+\delta^2}\xi_2}, \quad (3.25)$$

254 with the coefficients

$$255 \quad B'_1 = 2\nu \frac{\zeta_{11}^2(\delta)}{\eta^2 \delta^3 \sqrt{1+\delta^2}} B_1, \quad B'_2 = \frac{\eta^2 \delta^4 \sqrt{1+\delta^2}}{\zeta_{11}^2(\delta)} B_1, \quad B'_4 = \frac{\eta \delta^2}{\zeta_{11}(\delta)} B_1, \quad (3.26)$$

256 where we have let

$$257 \quad \zeta_{11}(\kappa) = (1 + \eta)\kappa^2 + 1, \quad \zeta_{12}(\kappa, \delta) = (1 + \eta)\kappa^2 - \delta^2.$$

258 Hence, we have an "incident" plane travelling wave,  $B'_1$ , that generates a "reflected" travelling  
259 wave,  $B'_2$ , whose amplitude is proportional to  $\xi_2$  and thereby it is sometimes denoted  $SHy$ , plus  
260 a surface wave  $B'_4$ . All such waves move along  $\xi_1$  with speed  $c_{SH}$ . Together, incident and reflected  
261 waves represent the most general form of bulk shear plane waves (see also [33]), while the surface  
262 wave is a bulk evanescent mode, for its wave vector is complex-valued with norm  $-1$ , and it exists  
263 only inasmuch as  $\eta \neq 0$ .

264 At normal incidence,  $\alpha = 0$ , we get

$$265 \quad \theta_2 = \pm \arctan \delta^{-3}, \quad \Phi_4 = \frac{2\delta^2}{\sqrt{1+\delta^6}}, \quad \theta_4 = \pm \arctan \delta^3, \quad (3.27)$$

266 depending on the sign in (3.19) and irrespective of  $\eta$ . This result differs substantially from the  
267 corresponding result in CE, where reflection at normal incidence occurs in the absence of mode  
268 conversion [32, §3.1.4.1]. Indeed, in CS elasticity, we always have the appearance of an evanescent  
269 mode, regardless of  $\eta$ .

### 270 (c) Reflection of evanescent modes

271 Eq.(3.19) does not exhaust all possible scenarios of wave reflection at a free surface. Indeed, with  
272 an approach that has no counterpart in CE, we may consider reflection of evanescent modes. To

see this, we consider a system of waves in the form

$$W(\xi_1, \xi_2) = B_1 \exp(-\sin \alpha_1 \xi_1 - \cos \alpha_1 \xi_2) + B_2 \exp(-\sin \alpha_2 \xi_1 + \cos \alpha_2 \xi_2) + B_4 \exp(i \sin \alpha_1 \xi_1 + \sqrt{\sin^2 \alpha_1 + \delta^2} \xi_2), \quad (3.28)$$

where the first two contributions represent evanescent bulk plane standing waves and the last is an evanescent bulk wave (with wave vector norm  $\delta$ ) that travels along  $\xi_2$  and decays along  $\xi_1$ , i.e. it is a surface wave, see Fig.7. Strictly speaking,  $B_1$  is not impinging on the boundary, for it is not travelling, yet its presence in the bulk is tied with the appearance, due to the boundary, of the other pair of waves. This wave system satisfies the governing equation (3.3) and, upon assuming (3.20), it is "reflected" with no mode conversion, when subjected to either of the extended Mindlin's conditions (3.18) or (3.17). Consequently, these mixed boundary conditions work for evanescent modes just as well as for travelling modes.

On a free surface, we get the displacement reflection coefficients

$$B_2/B_1 = \exp(2i\theta'_2), \quad B_4/B_1 = \Phi'_4 \exp(-i\theta'_4), \quad (3.29)$$

with

$$\theta_2 = \arctan(b'_2/a'_2), \quad \Phi_4 = \frac{c'_4}{\sqrt{a'^2_2 + b'^2_2}}, \quad \theta'_4 = -\theta'_2,$$

being

$$\begin{aligned} a'_2 &= 4 \cos \alpha_1 \left[ 2\delta^2 + \eta + 1 - (1 + \eta) \cos(2\alpha_1) \right]^2, \\ b'_2 &= 2\sqrt{2} \sqrt{1 + 2\delta^2 - \cos(2\alpha_1)} \left[ (\eta + 1) \cos(2\alpha_1) - \eta + 1 \right]^2, \\ c'_4 &= 8 \cos \alpha_1 \left[ (\eta + 1) \cos(2\alpha_1) - \eta + 1 \right] \left[ 2\delta^2 + \eta + 1 - (\eta + 1) \cos(2\alpha_1) \right]. \end{aligned}$$

Reflection coefficients (3.29) are plotted in Fig.8. They equal the corresponding coefficients for travelling waves (3.21) when  $\delta = 1$ , for then the Rayleigh function is centrally symmetric. The critical angle that triggers no surface mode  $B_4$  is again given by Eq.(3.22). The reflection coefficients at normal incidence,  $\alpha = 0$ , are given by

$$\theta'_2 = -\theta'_4 = \arctan \delta^{-3}, \quad \Phi'_4 = \frac{2\delta^2}{\sqrt{1 + \delta^6}}. \quad (3.30)$$

In the limit of grazing incidence, the zero order solution disappears and we consider an expansion in the angle of emergence  $\epsilon = \pi/2 - \alpha_1$  as in (3.24). The leading order solution consists of two standing waves plus a Rayleigh-like wave, that travels *away from* the surface at a speed smaller than that of shear bulk waves,

$$W_1(\xi_1, \xi_2) = B''_1 e^{-\xi_1} - B''_4 e^{-\xi_1 + i\sqrt{\delta^2 + 1}\xi_2} + B''_2 \xi_2 e^{-\xi_1} + O(\epsilon^2), \quad (3.31)$$

having let

$$B''_1 = 2i \frac{(\delta^2 + \eta + 1)^2}{\eta^2 \sqrt{1 + \delta^2}} B_1, \quad B''_4 = \frac{\eta}{\delta^2 + \eta + 1} B''_1, \quad B''_2 = i \frac{\eta^2 \sqrt{1 + \delta^2}}{(\delta^2 + \eta + 1)^2} B''_1. \quad (3.32)$$

#### (d) Classification of the Rayleigh zeros

We consider the general decaying solution for an half-plane  $\xi_2 \leq 0$  [32, §3.1.4.7]

$$w(\xi_2) = e_1 \exp(\lambda_1 \xi_2) + e_2 \exp(\lambda_2 \xi_2), \quad (3.33)$$

provided that branch cuts in the square root are taken as to give positive real part on the real axis, see [6]. Plugging this form into the boundary conditions (3.13) and demanding for non-trivial

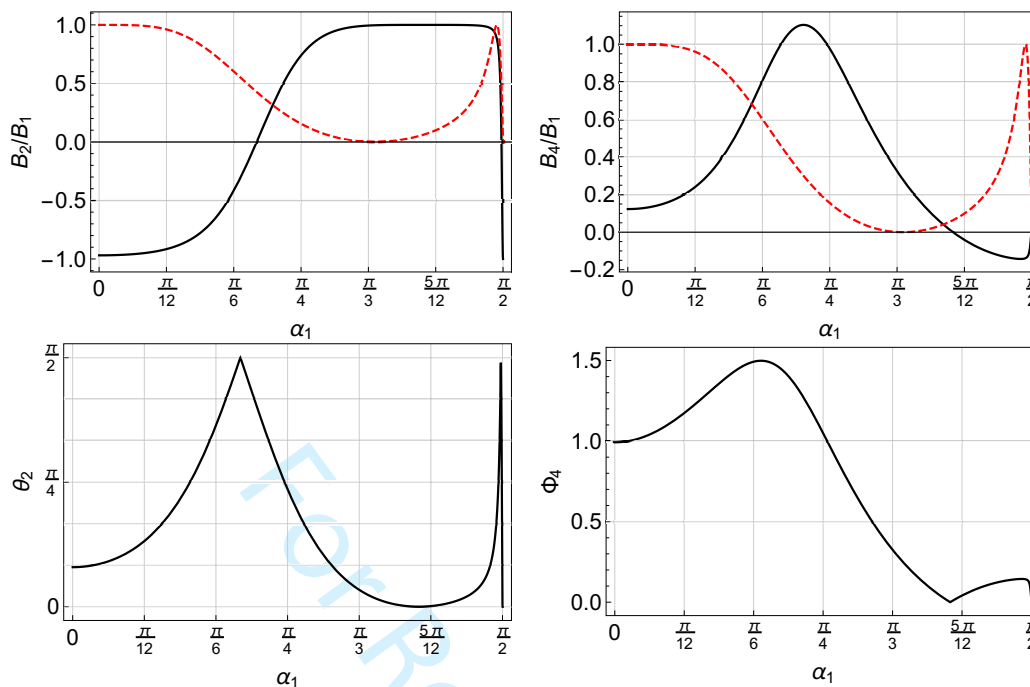


Figure 8: Real (solid, black) and imaginary part (dashed, red) of the reflection coefficients  $B_2/B_1$  and  $B_4/B_1$ , phase angle  $\theta_2$  and amplitude ratio  $\Phi_4$  for *evanescent modes*, as a function of the angle of incidence  $\alpha_1$  ( $\delta = 0.5, \eta = 0.1$ ). Total reflection, in the absence of mode conversion (i.e.  $B_4 = 0$ ), is obtained at  $\alpha_1 = 1.26452 \approx 5\pi/12$ , according to Eq.(3.22)

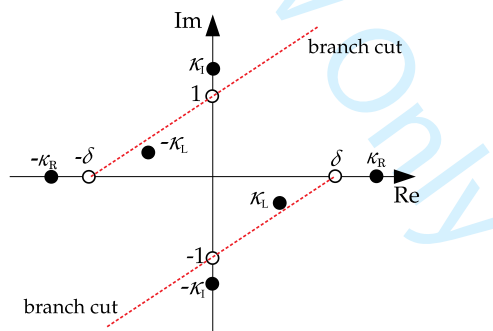


Figure 9: Branch-points (circles), zeros (dots) and branch cuts (dashed line) for the Rayleigh function  $R(\kappa, \delta)$ , given by Eq.(3.34)

287 solutions to exist, yields the Rayleigh function

$$R(\kappa, \delta) = \zeta_{11}^2 \lambda_1 - \zeta_{12}^2 \lambda_2. \tag{3.34}$$

288 Zeros and branch-points for the Rayleigh function are presented in Fig.9. The Rayleigh  
289 wavenumber  $\kappa_R$  is obtained looking for the real root of

$$R(\kappa, \delta) = 0, \tag{3.35}$$



and the corresponding eigenform is given by

$$W(\xi_1, \xi_2) = e^{\nu\kappa_R\xi_1} \left[ e^{\sqrt{\kappa_R^2 - \delta^2}\xi_2} - \frac{\zeta_{12}(\kappa_R, \delta)}{\zeta_{11}(\kappa_R)} e^{\sqrt{\kappa_R^2 + 1}\xi_2} \right]. \quad (3.36)$$

The special case  $\eta = 0$  is interesting for we have

$$R(\kappa, \delta) = -\lambda_1\lambda_2(\lambda_1^3 - \lambda_2^3)$$

which possesses the obvious order 1/2 roots  $\kappa = \pm\delta$  and  $\kappa = \pm\nu$ , respectively corresponding to bulk SH and bulk evanescent waves, i.e. as anticipated, for  $\eta = 0$ , Rayleigh waves collapse into bulk waves.

The Rayleigh wavenumber  $\kappa_R$  may be expressed in terms of the distance from the bulk shear wavenumber  $\delta$ ,

$$\kappa_R = \delta \left( 1 + \kappa_{1R}^2 \right), \quad \text{with} \quad \kappa_{1R}^2 = \frac{\delta^6(1 + \delta^2)}{2c_{11}^4(\delta)} \eta^4 \ll 1, \quad (3.37)$$

from which we see that  $\kappa_R > \delta$  and therefore  $c_R < c_{SH}$  inasmuch as  $\eta \neq 0$ , i.e. the Rayleigh wave speed is lower than the bulk wave speed. Given that  $|\eta| < 1$ , we see that Eq.(3.37) is extremely accurate, in light of the fact that  $\kappa_{1R}^2 = O(\eta^4)$ . Rayleigh waves come in pairs and decay exponentially depth-wise with attenuation indices that may be expanded in powers of  $\kappa_{1R}$

$$\lambda_1 = \sqrt{2}\delta\kappa_{1R} + O(\kappa_{1R}^3), \quad \lambda_2 = \sqrt{1 + \delta^2} + O(\kappa_{1R}^2),$$

whence (3.36) lends (we take  $e_1 = B'_1$ )

$$W_R(\xi_1, \xi_2) = B'_1 e^{i\delta\xi_1} - B'_4 e^{i\delta\xi_1 + \sqrt{1 + \delta^2}\xi_2} + B'_2 \xi_2 e^{i\delta\xi_1} + O(\kappa_{1R}^2). \quad (3.38)$$

We observe that Eq.(3.38) perfectly matches the leading order term in the expansion of the displacement (3.25), when approaching grazing incidence. Indeed, we can interpret the grazing incident solution as the expansion of the Rayleigh solution in the small parameter  $\kappa_{1R}$ , expressing the distance of the Rayleigh wavenumber from the bulk shear-wave wavenumber. However, relating the two expansions is not straightforward, for the leading order term solution at grazing incidence,  $W_1$ , matches the leading and first correction terms of the Rayleigh expansion  $W_R$ . Indeed,  $B'_2 = \sqrt{2}\kappa_{1R}B'_1$  brings a small term correction in (3.38). Still, it is tantalizing to interpret Rayleigh waves as being originated from the reflection of bulk shear waves impinging on the free surface at "almost" grazing incidence, the distance from perfect grazing being related to their slowness with respect to bulk shear waves.

Eq.(3.35) admits the pair of purely imaginary zeros  $\pm\kappa_I$ , that are located close to the purely imaginary branch points  $\pm\nu$ , see Fig.9. Writing  $\kappa_I$  in terms of the distance from  $\nu$ , we find

$$\kappa_I = \nu \left( 1 + \kappa_{1I}^2 \right), \quad \text{with} \quad \kappa_{1I}^2 = \frac{1 + \delta^2}{2(1 + \delta^2 + \eta)^4} \eta^4 \ll 1.$$

Looking at the attenuation indices, it is

$$\lambda_1 = \nu\sqrt{1 + \delta^2} + O(\kappa_{1I}^2), \quad \text{and} \quad \lambda_2 = \nu\sqrt{2}\kappa_{1I} + O(\kappa_{1I}^3),$$

and we have the expansion

$$W_I(\xi_1, \xi_2) = B''_1 e^{-\xi_1} - B''_4 e^{-\xi_1 + \nu\sqrt{\delta^2 + 1}\xi_2} + B''_2 \xi_2 e^{-\xi_1} + O(\kappa_{1I}^2), \quad (3.39)$$

with

$$B''_1 = -\frac{\delta^2 + \eta + 1}{\eta} e_1, \quad B''_2 = \nu\sqrt{2}\kappa_{1I}B''_1, \quad B''_4 = \sqrt[4]{\frac{2\kappa_{1L}^2}{1 + \delta^2}} B''_1. \quad (3.40)$$

Again, the wave system (3.39) with the coefficients (3.40) matches the expansion of the evanescent mode wave system (3.31,3.32) when approaching grazing incidence. We conclude that the purely imaginary zero of the Rayleigh equation expresses a perturbation of the grazing incident condition for bulk evanescent modes, the distance from it (along the imaginary axis) expressing how stronger the decay rate is with respect to the bulk mode. We note that none of the three

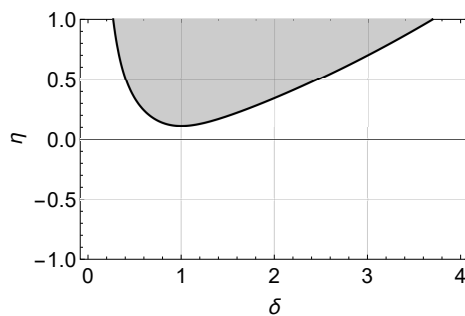


Figure 10: Domain  $(\delta, \eta)$  for the complex root  $\kappa_L$  to sit in the physical Riemann sheet: when moving outside the shaded area,  $\kappa_L$  slips through the branch cut out of the physical sheet. The domain shape is independent of  $\ell_0$  and existence of the root is possible only inasmuch as  $\eta > \eta_L$

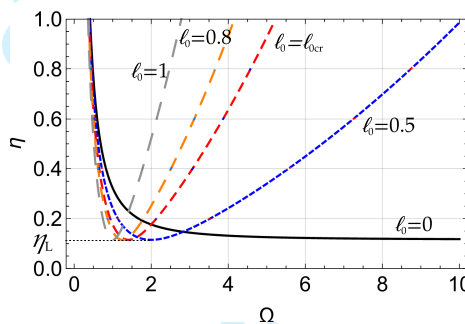


Figure 11: The way the parameter  $\ell_0$  affects the shape of the domain of existence of  $\kappa_L$  can be appreciated only in the plane  $(\Omega, \eta)$

319 terms in this system is a proper leaky wave, i.e. according to [34] “an inhomogeneous wave that  
 320 propagates along the surface with a phase velocity larger than the shear wave but smaller than the  
 321 pressure wave”. In fact, the  $B_4''$  term looks more like a Rayleigh wave moving *away from*, rather  
 322 than *along*, the free surface with speed  $c < c_{SH}$ . This is precisely the wave found in [6] radiating  
 323 from the tip of a semi-infinite rectilinear crack. Thus, the claim put forward in [34], according  
 324 to which any complex solution of the Rayleigh function is a leaky wave, does not hold in CS  
 325 elasticity.

Eq.(3.34) possesses the extra pair of complex roots  $\kappa = \pm \kappa_L$ , provided that parameters  $(\delta, \eta)$   
 45 lay in the domain of Fig.10. This domain of existence is mapped onto the  $(\Omega, \eta)$  plane, for different  
 46 values of  $\ell_0$ , in Fig.11. The root  $\kappa_L$  sits close to the branch cut and for it we choose  $\Im(\kappa_L)\Re(\kappa_L) < 0$   
 47 (see Fig.9). Its precise location may be found explicitly only for  $\delta = 1$ , making the observation that  
 48 in such special situation  $\kappa_L$  lies on the fourth quadrant bisector

$$\kappa_L = \gamma_L \exp(-i\pi/4), \quad \gamma_L = \sqrt[4]{\frac{-1 - 3\eta + 2\sqrt{1 + 2\eta + 2\eta^2}}{(1 + \eta)^2(3 - \eta)}}.$$

326 Using (3.6), we see that  $\delta = 1$  corresponds to  $\Omega = \ell_0^{-1}$ , provided that  $\ell_0 \neq 0$ . Under the  
 327 connection  $\nu = -\eta$ ,  $\gamma_L$  becomes proportional to Konenkov’s well known constant  $\gamma_e =$   
 328  $\left[ (1 - \nu)(3\nu - 1 + 2\sqrt{1 - 2\nu + 2\nu^2}) \right]^{1/4}$  arising in edge-wave propagation in a plate [35]. The root  
 329 is admissible inasmuch as it rests inside the branch cut, i.e.  $|\kappa_L| < \sqrt{2}/2$  that demands  $\eta > \eta_L$   
 330 where  $\eta_L = \sqrt{2(5 - \sqrt{5})} - \sqrt{5} \approx 0.1151$ . Interestingly,  $\eta_L$  is also the minimum value of  $\eta$  that is

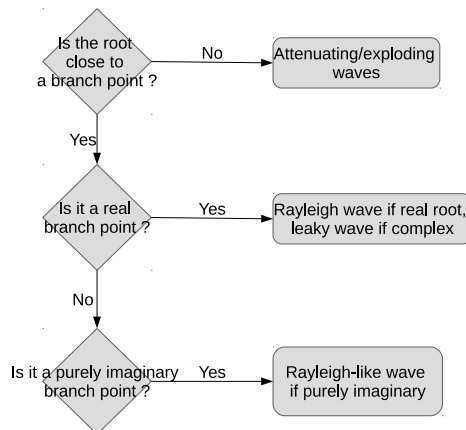


Figure 12: Classification work-flow for the Rayleigh zeros

331 capable of supporting the root  $\kappa_L$  in the physical sheet in general, that is for any  $\ell_0$ , see Fig.11.  
 332 Indeed,  $\gamma_L$  is a decreasing function of  $\eta$ , whose minimum 0.492883 is attained for  $\eta = 1$ .

333 Plugging  $\kappa = \pm\kappa_L$  into the eigenmode (3.36), we get

$$W(\xi_1, \xi_2) = e^{\pm \frac{1+i}{\sqrt{2}} \gamma_L \xi_1} \left( e^{\sqrt{-1-i\gamma_L^2} \xi_2} + \frac{i - \gamma_L^2(\eta+1)}{i + \gamma_L^2(\eta+1)} e^{\sqrt{1-i\gamma_L^2} \xi_2} \right),$$

334 and the first (second) exponential term inside the parenthesis has negative (positive) real part  
 335 argument. Consequently, either root is associated with a pair of waves that propagate and explode  
 336 (decay in the case of  $-\kappa_L$ ) along the free surface, with a longitudinal speed  $c_L = \sqrt{2}\delta c_{SH}/\gamma_L$   
 337 greater than that of bulk shear waves  $c_{SH}$ . One wave *decays* moving away from the surface,  
 338 the other *explodes*. Consequently, these are not leaky waves either, at least according to the  
 339 classical definition. Furthermore, it is unclear what bulk wave such roots couple with, for they  
 340 are perturbations of none. We also point out that, at variance with [34], for an half-plane we are  
 341 not free to chose the sign in front of square roots  $\lambda_{1,2}$ , that is univocally determined by the choice  
 342 of the branch cuts. Such choice is determined by Sommerfeld's condition and by the boundedness  
 343 requirement at infinity, as detailed in [36] and in [6].

344 On account of these results, we suggest the classification work-flow of Fig.12 for the zeros  
 345 of the Rayleigh function. This classification is not complete, for it only covers the possibilities  
 346 explored in this paper.

### 346 (e) Antiplane partial waves

347 We now apply the extended Mindlin's conditions for CS, Eqs.(3.17) and (3.18), to the case of  
 348 guided propagation in a plate. Demanding that the even (odd) part of the boundary conditions  
 349 vanishes, produces odd

$$\cosh\left(\Theta^{-1}\lambda_1 H\right) \cosh\left(\Theta^{-1}\lambda_2 H\right) = 0, \quad (3.41)$$

350 and even partial waves

$$\sinh\left(\Theta^{-1}\lambda_1 H\right) \sinh\left(\Theta^{-1}\lambda_2 H\right) = 0. \quad (3.42)$$

351 Only one family of antiplane *travelling* partial waves exist, namely those associated with  $\lambda_1$   
 352 (Fig.13),

$$\kappa^2 - \delta^2 = -\left(n \frac{\Theta\pi}{2H}\right)^2, \quad n = 0, 1, 2, \dots, \quad (3.43)$$

353 the first of which, attained for  $n = 0$ , corresponds to SH bulk waves. For this reason, and in  
 354 analogy with RL partial waves in CE, we denote such waves as SH partial waves. It is important

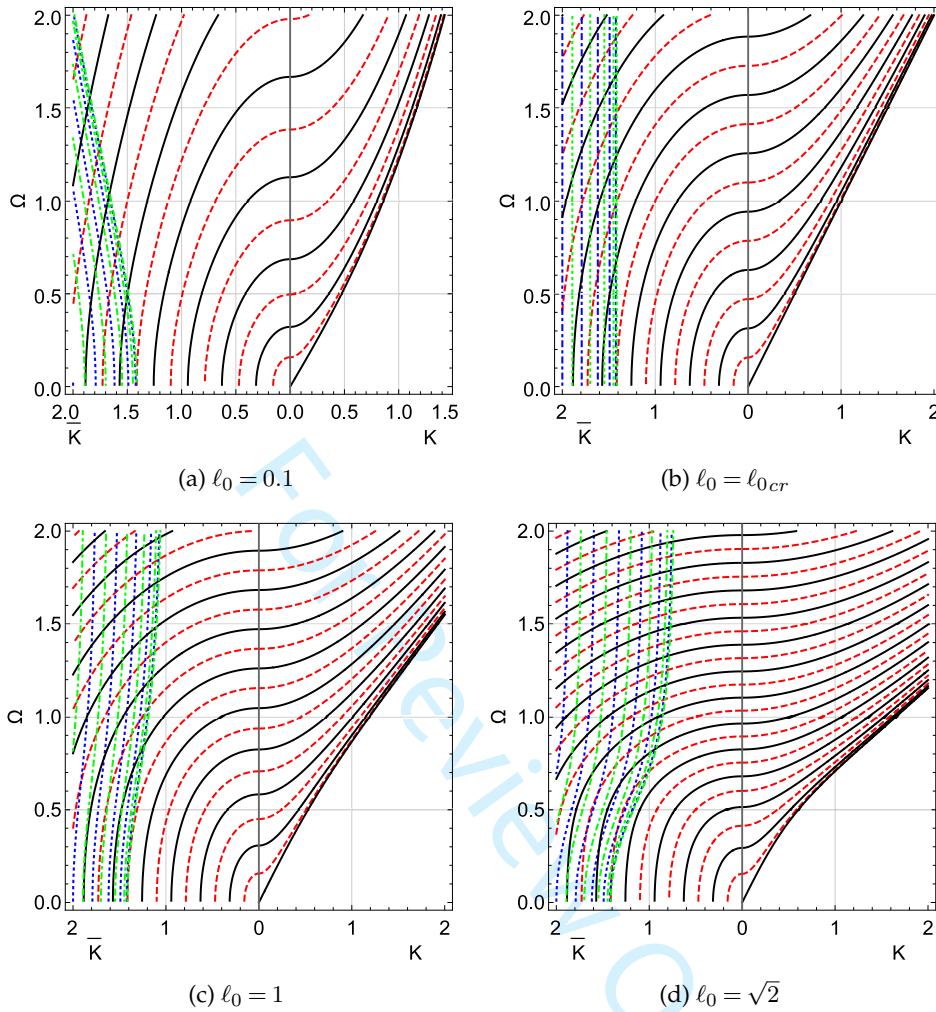


Figure 13: Even (solid, black) and odd (dashed, red) antiplane travelling partial waves frequency spectrum ( $\eta = 0.1$ ,  $H = 10$ ) superposed onto evanescent even (dotted, blue) and odd (dash-dotted, green) partial waves

to observe that, in the SWHF limit, Eq.(3.43) gives  $\kappa \rightarrow \delta$  from *below* and the bulk SH wave speed is approached from above, i.e. partial waves are supersonic. According to the parity of  $n$ , we distinguish even and odd partial waves, the former set being composed by the level curves  $\sinh(\Theta^{-1}\lambda_1 H) = 0$  and the latter by the solution curves  $\cosh(\Theta^{-1}\lambda_1 H) = 0$ . Using Eq.(3.5), the group velocity of SH partial waves may be written as

$$V_g = \frac{2\delta_{cr}\delta - \left(\frac{n\pi}{2H}\right)^2}{2K}, \quad (3.44)$$

that is always positive for the first branch in general and for all branches when a thick plate is considered, i.e. as  $H \rightarrow +\infty$ . Indeed, in the latter case, partial waves collapse into SH body waves.

In light of Eqs.(3.10), we see that partial waves associated with  $\lambda_2$  are evanescent, for they are connected with a purely imaginary wavenumber  $\kappa = i\bar{\kappa}$ ,  $\bar{\kappa} > 0$ . However, as we have just shown when discussing wave reflection, they are equally important, because they may combine with travelling waves at the boundaries. Besides, such waves originate localized effects when

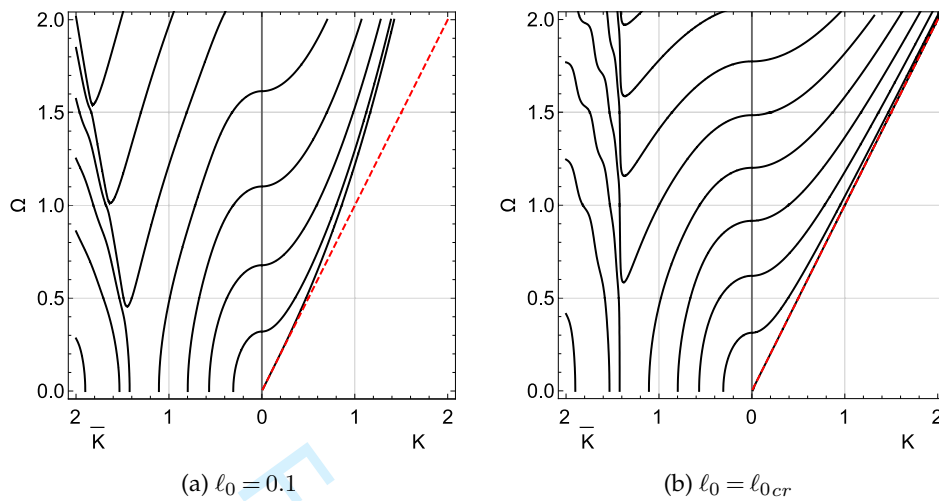


Figure 14: Frequency spectrum for symmetric antiplane Rayleigh-Lamb waves (solid, black) superposed onto the LWLF approximation (4.2) (dashed, red) ( $\eta = 0.1$ ,  $H = 10$ )

semi-infinite or finite domains are dealt with, e.g. see [35]. They are given by

$$\bar{\kappa}^2 = 1 + \left( n \frac{\Theta \pi}{2H} \right)^2, \quad n = 0, 1, 2, \dots, \quad (3.45)$$

and the case  $n = 0$  corresponds to bulk evanescent waves. Interestingly, evanescent modes possess positive (negative) group velocity, inasmuch as  $\ell_0 \leq \ell_{0cr}$ . Besides, in consideration of the monotonic behaviour of  $\Theta$ , see Fig. 2, we see that evanescent modes exist in the bounded range  $\bar{\kappa}_m < \bar{\kappa} < \bar{\kappa}_M$ , where

$$\bar{\kappa}_m = \min \left( \bar{\kappa}^{(\text{LWLF})}, \bar{\kappa}^{(\text{SWHF})} \right), \quad \bar{\kappa}_M = \max \left( \bar{\kappa}^{(\text{LWLF})}, \bar{\kappa}^{(\text{LWLF})} \right),$$

being

$$\bar{\kappa}^{(\text{LWLF})} = 1 + \frac{1}{2} \left( n \frac{\pi}{2H} \right)^2, \quad \bar{\kappa}^{(\text{SWHF})} = 1 + \left( n \frac{\ell_0 \pi}{2H} \right)^2.$$

In the SWHF regime, they asymptote to the wavenumber  $\bar{\kappa}^{(\text{SWHF})}$ .

## 4. Antiplane Rayleigh-Lamb waves

We are now in a position to discuss antiplane RL waves in CS isotropic materials. They will emerge from combination of travelling and evanescent partial waves through the boundary conditions. To a certain extent, the process is similar to what occurs in plane-stain CE, where two families of travelling waves interact.

### (a) Symmetric waves

We now consider symmetric waves, i.e. waves whose profile is an even function of  $\xi_2$ . Then, we enforce that the odd part of  $p_3$  and the even part of  $q_1$  vanish at  $\xi_2 = H/\Theta$ , whence we get a linear

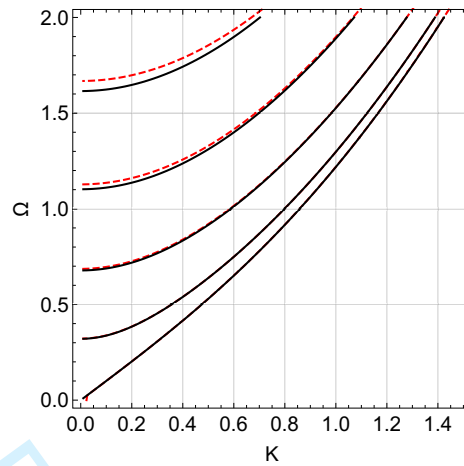


Figure 15: Symmetric antiplane RL waves (solid, black) and even SH partial waves (dashed, red) frequency spectrum ( $\eta = 0.1$ ,  $\ell_0 = 0.1$ ,  $H = 10$ ). In the SWHF limit, all branches but the first asymptote to the bulk SH wavenumber  $\kappa = \delta$ ; instead, the first branch approaches the Rayleigh wavenumber  $\kappa_R > \delta$  from above (i.e. from lower speed)

system in the even vector  $\psi_e = [e_1, e_2]$

$$\mathbf{S}\psi_e = \alpha,$$

where

$$\mathbf{S} = \begin{bmatrix} \zeta_{11}\lambda_1 \sinh(\Theta^{-1}\lambda_1 H) & \zeta_{12}\lambda_2 \sinh(\Theta^{-1}\lambda_2 H) \\ \zeta_{12} \cosh(\Theta^{-1}\lambda_1 H) & \zeta_{11} \cosh(\Theta^{-1}\lambda_2 H) \end{bmatrix}.$$

The frequency equation  $d_s(\kappa, \Omega) = 0$ , where

$$d_s(\kappa, \Omega) = \zeta_{11}^2 \lambda_1 \sinh(\Theta^{-1}\lambda_1 H) \cosh(\Theta^{-1}\lambda_2 H) - \zeta_{12}^2 \lambda_2 \sinh(\Theta^{-1}\lambda_2 H) \cosh(\Theta^{-1}\lambda_1 H), \quad (4.1)$$

is plotted in Fig. 14. The SWHF behaviour of the real spectrum is guided from above by even partial waves, see Fig. 15. In particular, the first branch of the plot rests little below the first even partial wave (that is the bulk shear wave), i.e. for a given  $\Omega$  we have  $\kappa > \delta$ . Consequently, since  $\lambda_1$  and  $\lambda_2$  are real numbers in the region  $\kappa > \delta$ , we see that Eq.(4.1) tends to the Rayleigh equation (3.35) and therefore  $\kappa \rightarrow \kappa_R$  from above. Thus, as it occurs in CE, we obtain the well-known result by which, in the SWHF limit, the lowest travelling mode (that is even) propagates in a plate as a Rayleigh wave. Obviously, the same behaviour is retrieved letting  $H \rightarrow \infty$ . All other branches are located in the region  $\kappa < \delta$ , wherein  $\lambda_1 = i\lambda_1$  is purely imaginary. Given that such branches are located in between two adjacent partial modes, like those they asymptote to the bulk shear wavenumber. This different limiting behaviour of the first branch than higher symmetric modes, is difficult to capture numerically. For example, in [18], in the context of sagittal propagation, it is claimed that “as the frequency increases, all modes converge to the Rayleigh wave propagation speed”.

Upon considering Eq.(3.43) and the limit behaviour (3.8), the asymptotic model [37] for symmetric antiplane waves in the Long-Wave Low-Frequency (LWLF) range is, to leading order in  $\Omega$ ,

$$K^2 - \Omega^2 = 0, \quad (4.2)$$

regardless of  $\eta$ ,  $H$  and  $\ell_0$ . In fact, this model is exact for the entire first branch, that is non-dispersive, when  $\ell_0 = \ell_{0cr}$ , see Fig. 14b. This non-dispersive character of the lowest RL mode also

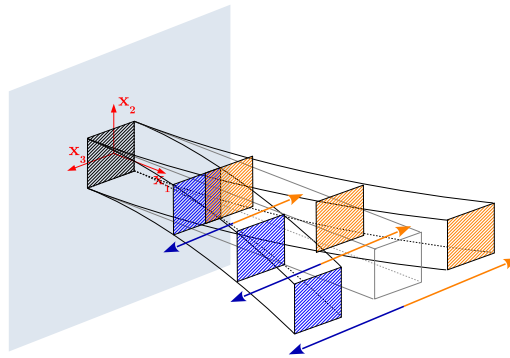


Figure 16: Antiplane symmetric (about the mid-plane  $x_2 = 0$ ) vibrations of a beam-plate made of CS elastic material (for the sake of clarity, in this picture, an element of finite thickness along  $x_3$  is shown). Since antiplane vibrations are dealt with, shaded cross-sections move parallel to the  $(x_2, x_3)$  plane

occurs in CE [15, §8.1.1]. The corresponding eigenform, to leading order, is simply

$$w(\xi_2) = e_2 \cosh \xi_2.$$

Eq.(4.2) provides the leading order differential model for the lowest antiplane vibration mode for a plate made of CS elastic material

$$\frac{\partial^2 W}{\partial x_1^2} - \frac{1}{c_s^2} \frac{\partial^2 W}{\partial t^2} = 0, \quad (4.3)$$

corresponding to travelling waves moving at speed  $c_s$ , that is the shear wave speed in CE. This model may be refined in the thin plate limit  $H \ll 1$ , for then Eq.(4.1) yields, to leading order in  $H$ ,

$$d_{st}(\kappa, \Omega) = \zeta_{11}^2 \bar{\lambda}_1^2 + \zeta_{12}^2 \lambda_2^2 = (1 + \delta^2) \left[ -(1 - \eta^2) \kappa^4 + (\delta^2 - 1) \kappa^2 + \delta^2 \right], \quad (4.4)$$

which corresponds to the differential model in the LWLF regime

$$-\frac{1}{2}(1 - \eta^2) K^4 - K^2 + \frac{1}{2} \Omega^2 K^2 + \Omega^2 = 0. \quad (4.5)$$

When moving back to operators, Eq.(4.5) gives the same governing equation as for Rayleigh flexural beam-columns

$$-\frac{1}{2} \ell^2 (1 - \eta^2) \frac{\partial^4 W}{\partial x_1^4} + \frac{\partial^2 W}{\partial x_1^2} + \frac{1}{2} T^2 \frac{\partial^2 W}{\partial x_1^2 \partial t^2} - \frac{1}{c_s^2} \frac{\partial^2 W}{\partial t^2} = 0, \quad (4.6)$$

where the second term accounts for a tensile loading and the third term provides rotational inertia. This differential model governs antiplane symmetric vibrations of thin beam-plates made of CS material, as in Fig.16. Remarkably, this model is independent on  $\ell_d$  and therefore on rotational inertia. We point out that this PDE corresponds to Eq.(19) of [38], that provides the simplest description for waves propagating in microstructured continua whose internal lengthscale is much smaller than the propagating wavelength. As illustrated in [38], "The special feature of this approximation is that it can be used over the whole range of wavenumbers, since it does not represent a short-wave or long-wave approximation. The underlying assumption is that the influence of the microstructure is small". Also, simplified versions of (4.6) are not accurate, as shown in Fig.14(a).

It is worth marking the difference with CE, where thin-plate transversal vibrations are simply described by the wave equation (4.3). This limiting case may be easily retrieved from Eq.(4.6), by simply taking  $\ell = 0$  (and consequently  $T = 0$ ). Besides, we observe that, in the case of the modified couple stress theory, that occurs for  $\eta = 1$ , the first term of (4.6) drops out and the differential model reduces to that of a vibrating string with rotational inertia. In this case, we have a problem

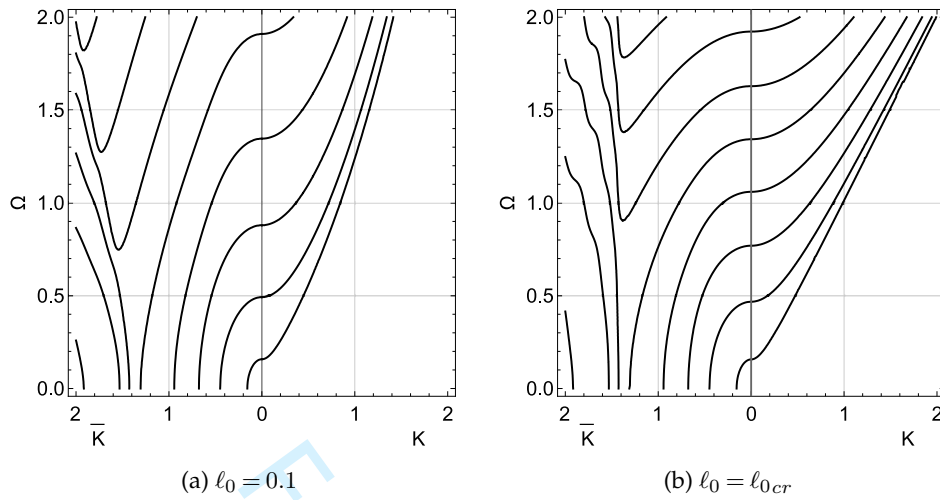


Figure 17: Frequency spectrum for antisymmetric antiplane Rayleigh-Lamb waves (solid, black) superposed onto the LWLF approximation (4.14) (dashed, red) ( $\eta = 0.1, H = 10$ )

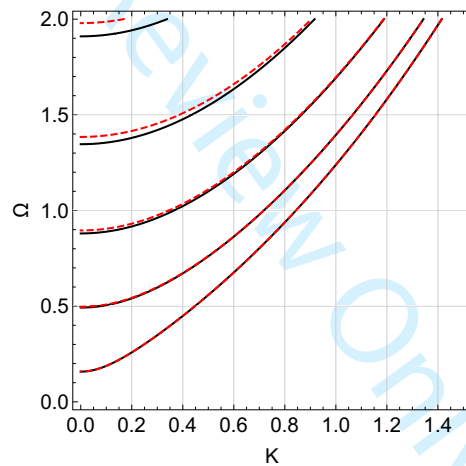


Figure 18: Antisymmetric antiplane RL waves (solid, black) and odd SH partial waves (dashed, red) frequency spectrum ( $\eta = 0.1, \ell_0 = 0.1, H = 10$ ). All branches asymptote to bulk shear waves

415 accommodating the right number of boundary conditions. Indeed, this outcome is expected, for  
 416 the case  $1 - \eta \ll 1$  leads to a singularly perturbed model and to the appearance of a boundary  
 417 layer.

418 **(b) Antisymmetric waves**

For antisymmetric RL waves, we have the linear system in the odd vector  $\psi_o = [o_1, o_2]$

$$\mathbf{A}\psi_o = \mathbf{o},$$

where

$$\mathbf{A} = \begin{bmatrix} \zeta_{11} \cosh(\Theta^{-1}\lambda_1 H) & \zeta_{12} \cosh(\Theta^{-1}\lambda_2 H) \\ \zeta_{12}\lambda_1^{-1} \sinh(\Theta^{-1}\lambda_1 H) & \zeta_{11}\lambda_2^{-1} \sinh(\Theta^{-1}\lambda_2 H) \end{bmatrix}.$$



The dispersion relation  $d_o(\kappa, \Omega) = 0$ , with

$$d_o(\kappa, \Omega) = \zeta_{11}^2 \lambda_2^{-1} \cosh(\Theta^{-1} \lambda_1 H) \sinh(\Theta^{-1} \lambda_2 H) - \zeta_{12}^2 \lambda_1^{-1} \sinh(\Theta^{-1} \lambda_1 H) \cosh(\Theta^{-1} \lambda_2 H), \quad (4.7)$$

is plotted in Fig.17. The frequency spectrum branches are guided by odd partial waves (3.41), see Fig.18. The cutoff frequencies  $\Omega_n^*$  are obtained from solving the transcendental equation  $d_o(0, \Omega) = 0$ , that gives

$$\delta^3 \tan(\Theta^{-1} H \delta) = \tanh(\Theta^{-1} H). \quad (4.8)$$

This equation, besides  $\Omega$ , depends on the parameters  $\ell_0$  and  $H$ . It may be approximated, for  $H \ll \Theta$ , to the simple form for the cutoff equation

$$\delta = \delta^* = 1, \quad \Rightarrow \quad \Omega^* = \ell_0^{-1}. \quad (4.9)$$

We observe that this is exactly the situation discussed in connection with the root  $\kappa_I$  of the Rayleigh function. Conversely, for  $H \gg \Theta$ , a very good approximation is

$$\delta^3 \tan(\Theta^{-1} H \delta) = 1. \quad (4.10)$$

For  $\Omega \ll 1$ , we have  $\Theta \sim \ell_{0cr}$  and  $\delta \sim \delta_{cr}$ , whence  $\delta/\Theta = \Omega$  and Eq.(4.8) gives

$$\frac{\Omega^3}{2\sqrt{2}} \tan(H\Omega) = \tanh(\sqrt{2}H), \quad (4.11)$$

that, as expected, reduces to (4.9) when  $H \ll 1$ . Conversely, when  $H \gg 1$ , we have

$$\Omega_1^* \approx \frac{\pi}{2H}, \quad \delta^* \approx \frac{\pi}{2\sqrt{2}H},$$

that is exactly the situation depicted in Fig.18. For the first cutoff (4.9), we get the eigenform

$$w(\xi_2) = o_1 \sin(\xi_2) + o_2 \sinh(\xi_2). \quad (4.12)$$

The thin-plate limit of the dispersion relation (4.1) gives, to leading order in  $H$ ,

$$d_{ot}(\kappa, \Omega) = (1 + \delta^2) \left( -2(1 + \eta)\kappa^2 + \delta^2 - \delta^{*2} \right), \quad (4.13)$$

that, to leading order in the LWLF approximation, provides the cutoff approximation (4.9). When  $\Omega - \Omega^* \ll 1$ , we have the expansion

$$\delta^2 - \delta^{*2} = \sqrt{2}\ell_0^3(\Omega^2 - \Omega^{*2}) = \sqrt{2}\ell_0^3\Omega^2 - \sqrt{2}\ell_0 \ll 1,$$

whence we obtain the consistent differential model

$$\frac{1 + \eta}{\sqrt{2}\ell_0^3} \frac{\partial^2 W}{\partial x_1^2} - \frac{1}{c_s^2} \frac{\partial^2 W}{\partial t^2} + \frac{1}{\ell_d^2} W = 0. \quad (4.14)$$

The same PDE governs longitudinal (or torsional) vibrations of a beam with distributed elastic restraints. However, it should be pointed out that these elastic restraints possess negative elastic constant. This equation describes the lowest antiplane antisymmetric mode for a beam made of CS material, as in Fig.19. For this model, rotational inertia appears in the first and last terms.

The equivalent model in CE may be obtained letting  $\ell \rightarrow 0$ , whence  $\Omega^* = \ell/\ell_d \rightarrow 0$  and cutoff vanishes. Then, in the LWLF regime, Eq.(4.13) is dominated by the  $\delta^*$  term, that is  $O(1)$ , whence we get the trivial solution, which means that no lowest mode antisymmetric antiplane vibrations are supported. When considering the case  $\eta = -1$ , that corresponds to no characteristic length in torsion, the first term of (4.14) drops out and we are left with a simple ODE which warrants that

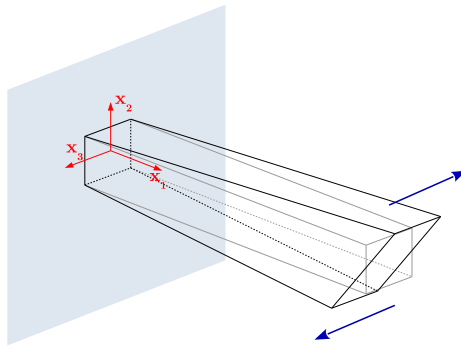


Figure 19: Antiplane antisymmetric (about the mid-plane  $x_2 = 0$ ) vibrations of a thin beam-plate made of CS elastic material (for the sake of clarity, in this picture an element of finite thickness along  $x_3$  is shown). **Since antiplane vibrations are dealt with, any unit cross-section deforms from rectangular to rhombic, while remaining in the same  $(x_2, x_3)$  plane**

solutions have an exponential form in time

$$W(\xi_1, t) = W_1(\xi_1) \exp\left(\frac{c_s}{\ell_d} t\right) + W_2(\xi_1) \exp\left(-\frac{c_s}{\ell_d} t\right).$$

Therefore, within this model, we cannot have proper vibrating antisymmetric LWLF modes either.

## 5. Conclusions

For an elastic theory to support Rayleigh waves, there needs to exist a form of mode conversion from travelling to inhomogeneous (surface) waves upon reflection at a free surface. Besides, this mechanism is required to stand right at grazing incidence. For instance, it may happen beyond a certain critical angle of incidence, like in sagittal plane propagation of SV waves within CE, or, as in antiplane motion for CS materials, the inhomogeneous wave may appear for all incident angles. Consequently, only one family of SV Rayleigh waves is supported in CE, for no mechanism of mode conversion exists for P- and SH-waves to trigger inhomogeneous waves. By the same reasons, SH Rayleigh waves cannot be sustained in CS materials when  $\eta = 0$ , because then mode conversion ceases to stand right at grazing incidence.

In CS materials, a novel "reflection" mechanism occurs, according to which a bulk standing wave acts upon a surface, it is "reflected" in its likeness (still a standing wave) and simultaneously triggers a Rayleigh-like wave that travels *away from*, not along, the surface, with phase speed lower than that of bulk shear waves. Upon approaching the grazing condition, this displacement field may be expanded in terms of the emergence angle to yield precisely the Rayleigh-like wave expressed by the purely imaginary zero of the Rayleigh function. It is exactly this wave that is found in [6] radiating from the tip of a semi-infinite crack under dynamic loadings. It is pointed out that no Rayleigh-like wave is supported in CE, for no evanescent bulk mode exists. This wave is not a leaky wave in the classical sense, for it is travelling away from the surface (while standing along the surface), with speed lower than that of shear bulk waves. Therefore, in general, complex roots of the Rayleigh functions are not expressions of leaky waves. The same result holds true for the third root of the Rayleigh function, which appears for a restricted set of material parameters and represents a attenuating/exploding travelling wave in any direction. Yet, this root differs from the other two (i.e. the real and the purely imaginary root) in that it is located far from either branch-points expressing bulk waves. Consequently, we suggest a classification of the Rayleigh function zeros according to whether they sit in the neighbourhood of or far from a branch-point. In the former case they correspond to Rayleigh, Rayleigh-like or leaky waves

and represent a perturbation of the neighbouring bulk wave. In the latter case, they are waves attenuating/exploding in every direction.

Moving to guided propagation in a plate, we determine a generalized set of Mindlin's boundary conditions for identifying partial modes. Under such conditions, wave reflection occurs in the absence of mode conversion, equally so for travelling and for standing modes. Only one family of travelling partial modes exists in CS materials, along with a family of standing modes. As a result, travelling Rayleigh-Lamb modes are simply guided by and asymptote to travelling partial modes, with the exception of the first even mode (the lowest mode) that asymptotes to the Rayleigh wave speed. Hence, just like in plane-strain elasticity, lowest mode SWHF perturbations are guided by one boundary, as in a half-plane [39]. Conversely, standing Rayleigh-Lamb modes are more complicated, for they are obtained by interference of two families of partial waves. When considering travelling modes, a thin-plate approximation gives the equivalent 1-D model for describing lowest symmetric and antisymmetric modes. Such approximated models should be used when building a theory of antiplane vibrations of thin beam-plates made of CS material [38,40].

**Ethics.** Authors adhere to the ethics in publishing according to the Royal Society Publishing Instructions for Authors.

**Data Accessibility.** This article has no additional data.

**Authors' Contributions.** ER and AN developed the model, AN studied wave propagation and drafted the manuscript, CS checked the calculations and drew the figures. All authors gave final approval for publication and agree to be held accountable for the work performed therein.

**Competing Interests.** Authors have no competing interest to declare.

**Funding.** This work was supported by the European Regional Development Fund, POR FESR 2014-2020 ASSE 1 AZIONE 1.2.2, CUP E81F18000310009. AN and CS are also grateful for the support provided under FAR2019 Piano di sviluppo dipartimentale DIEF, DR nr. 498/2019 on 29/07/2019.

## References

1. Strutt W. 1885 On waves propagated along the plane surface of an elastic solid. *Proceedings of the London Mathematical Society* **1**, 4–11.
2. Ewing W, Jardetzky W, Press F. 1957 *Elastic waves in layered media*. McGraw-Hill Series in the Geological Science. McGraw Hill Book Company Inc.
3. Love A. 1911 *Some problems of geodynamics*. Cambridge University Press.
4. Gourgiotis P, Georgiadis H. 2015 Torsional and SH surface waves in an isotropic and homogenous elastic half-space characterized by the Toupin–Mindlin gradient theory. *International Journal of Solids and Structures* **62**, 217–228.
5. Morini L, Piccolroaz A, Mishuris G. 2014 Remarks on the energy release rate for an antiplane moving crack in couple stress elasticity. *International Journal of Solids and Structures* **51**, 3087–3100.
6. Nobili A, Radi E, Vellender A. 2019 Diffraction of antiplane shear waves and stress concentration in a cracked couple stress elastic material with micro inertia. *Journal of the Mechanics and Physics of Solids* **124**, 663–680.
7. Maugin G. 1988 Shear horizontal surface acoustic waves on solids. In *Recent developments in surface acoustic waves* pp. 158–172. Springer.
8. Collet B, Destrade M, Maugin G. 2006 Bleustein–Gulyaev waves in some functionally graded materials. *European Journal of Mechanics-A/Solids* **25**, 695–706.
9. Mindlin R, Tiersten H. 1962 Effects of couple-stresses in linear elasticity. *Archive for Rational Mechanics and Analysis* **11**, 415–448.
10. Toupin R. 1962 Elastic materials with couple-stresses. *Archive for Rational Mechanics and Analysis* **11**, 385–414.
11. Koiter W. 1964 Couple-stress in the theory of elasticity. In *Proc. K. Ned. Akad. Wet* vol. 67 pp. 17–44. North Holland Pub.
12. Ottosen NS, Ristinmaa M, Ljung C. 2000 Rayleigh waves obtained by the indeterminate couple-stress theory. *European Journal of Mechanics-A/Solids* **19**, 929–947.

- 1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60
- 518 13. Radi E. 2008 On the effects of characteristic lengths in bending and torsion on Mode III crack  
519 in couple stress elasticity. *International Journal of Solids and Structures* **45**, 3033–3058.
- 520 14. Itou S. 2013 Effect of couple-stresses on the stress intensity factors for a crack in an infinite  
521 elastic strip under tension. *European Journal of Mechanics-A/Solids* **42**, 335–343.
- 522 15. Graff KF, Pao YH. 1967 The effects of couple-stresses on the propagation and reflection of  
523 plane waves in an elastic half-space. *Journal of Sound and Vibration* **6**, 217–229.
- 524 16. Gourgiotis P, Georgiadis H, Neocleous I. 2013 On the reflection of waves in half-spaces of  
525 microstructured materials governed by dipolar gradient elasticity. *Wave Motion* **50**, 437–455.
- 526 17. Sengupta P, Ghosh B. 1974 Effect of couple-stresses on the propagation of waves in an elastic  
527 layer. *pure and applied geophysics* **112**, 331–338.
- 528 18. Ghodrati B, Yaghootian A, Ghanbar Zadeh A, Mohammad-Sedighi H. 2018 Lamb wave  
529 extraction of dispersion curves in micro/nano-plates using couple stress theories. *Waves in*  
530 *Random and Complex media* **28**, 15–34.
- 531 19. Georgiadis H, Velgaki E. 2003 High-frequency Rayleigh waves in materials with micro-  
532 structure and couple-stress effects. *International Journal of Solids and Structures* **40**, 2501–2520.
- 533 20. Mishuris G, Piccolroaz A, Radi E. 2012 Steady-state propagation of a Mode III crack in couple  
534 stress elastic materials. *International Journal of Engineering Science* **61**, 112–128.
- 535 21. Shodja H, Goodarzi A, Delfani M, Haftbaradaran H. 2015 Scattering of an anti-plane shear  
536 wave by an embedded cylindrical micro-/nano-fiber within couple stress theory with micro  
537 inertia. *International Journal of Solids and Structures* **58**, 73–90.
- 538 22. Lakes R. 1986 Experimental microelasticity of two porous solids. *International Journal of Solids*  
539 *and Structures* **22**, 55–63.
- 540 23. Nakamura S, Lakes R. 1995 Finite element analysis of Saint-Venant end effects in micropolar  
541 elastic solids. *Engineering Computations* **12**, 571–587.
- 542 24. Zhang L, Huang Y, Chen J, Hwang K. 1998 The mode III full-field solution in elastic materials  
543 with strain gradient effects. *International Journal of Fracture* **92**, 325–348.
- 544 25. Yang F, Chong A, Lam D, Tong P. 2002 Couple stress based strain gradient theory for elasticity.  
545 *International Journal of Solids and Structures* **39**, 2731–2743.
- 546 26. Zisis T. 2018 Anti-plane loading of microstructured materials in the context of couple stress  
547 theory of elasticity: half-planes and layers. *Archive of Applied Mechanics* **88**, 97–110.
- 548 27. Pujol J. 2003 *Elastic wave propagation and generation in seismology*. Cambridge University Press.
- 549 28. Solie L, Auld B. 1973 Elastic waves in free anisotropic plates. *The Journal of the Acoustical Society*  
550 *of America* **54**, 50–65.
- 551 29. Graff KF. 1991 *Wave motion in elastic solids*. New York: Dover Publications Inc.
- 552 30. Achenbach J. 1984 *Wave propagation in elastic solids* vol. 16 *Applied Mathematics and Mechanics*.  
553 North-Holland, Elsevier.
- 554 31. Mindlin R. 1960 Waves and vibrations in isotropic, elastic plates. *Structure Mechanics* pp. 199–  
555 232.
- 556 32. Miklowitz J. 2012 *The theory of elastic waves and waveguides* vol. 22. Elsevier.
- 557 33. Goodier J, Bishop R. 1952 A note on critical reflections of elastic waves at free surfaces. *Journal*  
558 *of Applied Physics* **23**, 124–126.
- 559 34. Schröder C, Scott Jr WR. 2001 On the complex conjugate roots of the Rayleigh equation: The  
560 leaky surface wave. *The Journal of the Acoustical Society of America* **110**, 2867–2877.
- 561 35. Nobili A, Radi E, Lanzoni L. 2017 Flexural edge waves generated by steady-state propagation  
562 of a loaded rectilinear crack in an elastically supported thin plate. In *Proc. R. Soc. A* vol. 473 p.  
563 20170265. The Royal Society.
- 564 36. Noble B. 1958 *Methods based on the Wiener-Hopf technique for the solution of partial differential*  
565 *equations, International Series of Monographs on Pure and Applied Mathematics. Vol. 7*. Pergamon  
566 Press, New York.
- 567 37. Erbaş B, Kaplunov J, Nobili A, Kılıç G. 2018 Dispersion of elastic waves in a layer interacting  
568 with a Winkler foundation. *The Journal of the Acoustical Society of America* **144**, 2918–2925.
- 569 38. Engelbrecht J, Berezovski A, Pastrone F, Braun M. 2005 Waves in microstructured materials  
570 and dispersion. *Philosophical Magazine* **85**, 4127–4141.
- 571 39. Nobili A, Prikazchikov DA. 2018 Explicit formulation for the Rayleigh wave field induced  
572 by surface stresses in an orthorhombic half-plane. *European Journal of Mechanics-A/Solids* **70**,  
573 86–94.
- 574 40. Kaplunov J, Zakharov A, Prikazchikov D. 2006 Explicit models for elastic and piezoelectric  
575 surface waves. *IMA journal of applied mathematics* **71**, 768–782.