

1 Analytical solutions of velocity profile in flow through  
2 submerged vegetation with variable frontal width

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15 **Abstract**

16 Flow within vegetation is one of the main driving forces for material ex-  
17 change and energy transfer in wetland systems. Impacted by vegetation, the  
18 flow velocity profile illustrates distortions to the classic logarithmic velocity  
19 profile and has attracted much attention among researchers. Different from an-  
20 alytical models of velocity distribution in literature, which is mainly suitable  
21 for vegetation with uniform frontal width, this paper establishes new analyti-  
22 cal solutions of the velocity profile for vegetation such as shrub and sedge that  
23 have a variable frontal width in the vertical direction. A new shape function is  
24 proposed under these conditions in which the frontal width exhibits a gradual  
25 increase in the vertical direction from bottom up in the vegetation. Along with  
26 different closure models for eddy viscosity in the vegetation layer and surface  
27 layer, analytical solutions of the velocity profile are derived from the momentum  
28 equations. Good agreement between calculated and measured data shows

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29 our analytical model is effective in predicting velocity profiles.

30 *Keywords:* Analytical solution; Velocity profile; Vegetation; Turbulent flow;  
31 Flow resistance.

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## 32 1. Introduction

33 Human activities along with the rapid development of society and indus-  
34 trialization have unleashed huge pressure on aquatic ecosystems that include  
35 not only the artificial modification of rivers such as projects adopting dams  
36 to intercept rivers, cutting and straightening winding rivers, hardening of side  
37 slopes and channel bottoms with concrete, but also waste water pollution from  
38 agricultural and industrial production. Focusing on these problems, ecological  
39 restoration aims to repair damaged water systems and to rebuild healthy aquatic  
40 ecosystems to provide a sustainable and healthy development of ecosystems (Li  
41 et al., 2015; Yu and Wang, 2014; Mi et al., 2015).

42 Vegetation is widely used in river and wetland water ecological treatment  
43 and restoration (Constança Aguiar, F. et al., 2011; Stromberg, 2001) because  
44 it serves many eco-functions, such as fixation through roots for maintaining  
45 riverbed stability, water purification through the absorption capacity of the  
46 epidermis, and enriching biodiversity features by providing attachment matrices  
47 and habitats for organisms.

48 All of these ecological restoration measures require a description of flow  
49 through vegetation, where the flow region is partitioned into different layers  
50 according to the dominant vortical structures, resulting in a complex flow pat-  
51 tern (Nepf, 1999; Nepf and Ghisalberti, 2008; Poggi et al., 2004a). How to  
52 calculate the flow velocity is a key problem and a fundamental research topic  
53 for furthering the studies of contaminant transport and energy loss features.  
54 Flow distributions are calculated with two main approaches: numerical models  
55 and analytical models. Numerical models, such as direct numerical simula-  
56 tion (DNS), large-eddy simulation (LES), and many others, mainly focus on  
57 the local velocity and turbulent features. They yield relatively accurate results

58 by massive calculations with suitable parameters (Lu and Dai, 2016; Shimizu  
59 et al., 1991; Stoesser et al., 2009; Zhang et al., 2013). Sometimes we do not  
60 need to focus on each point in the flow region but instead care more about  
61 the planar-averaged velocity or bulk velocity for a preliminary prediction. In  
62 such instances, an analytical solution with less calculation arises that reveals  
63 the principle or bulk law for flow through vegetation. For simplicity, vegetation  
64 can always be represented as cylinders or strips (Klopstra et al., 1996; Shimizu  
65 et al., 1991; Kouwen et al., 1969; Nepf and Ghisalberti, 2008; Huai et al., 2009;  
66 Wang et al., 2018b), and the flow region can be divided into different layers  
67 depending on the turbulence model adopted: (1) The one-layer model takes the  
68 flow region as a whole, and the friction factor scales with the ratio of vegetation  
69 height to flow depth (Cheng, 2015) or scales with the ratio of vegetation-related  
70 roughness height to vegetation-related hydraulic radius (Wang et al., 2018c).  
71 Interestingly, both scale parameters are around  $3/2$ . (2) In the two-layer model,  
72 the flow region is divided into a vegetation layer and a surface layer (Yang and  
73 Choi, 2010; Huai et al., 2013), and different momentum equations are proposed  
74 for each layer. For rigid dense vegetation, the flow velocity is assumed to be uni-  
75 form in the vegetation layer and is derived from the momentum balance between  
76 the vegetation drag and the gravity component (Yang and Choi, 2010; Baptist  
77 et al., 2007). Furthermore, the velocity at the surface layer is expressed by  
78 a logarithmic formula with zero-plane displacement (Thom, 1971). For flexible  
79 vegetation, the bending of the stem was considered by Huai et al. (2013) with  
80 two kinds of resistance force, specifically, drag force and friction force. These  
81 forces scale with the square of the flow velocity, and an analytical solution was  
82 proposed by solving the momentum equation in each layer. Further investiga-  
83 tion showed that a linear drag-velocity relation occurs when bending is very  
84 large (Wang et al., 2015b). (3) In the multiple-layer model, a sub-layer occu-  
85 pying a very thin layer near the bottom of the channel is separated from the  
86 vegetation layer (Baptist et al., 2007), and an additional layer called the mixing  
87 layer, which is separated from both the vegetation layer and the surface layer  
88 near the top of the vegetation (Okamoto and Nezu, 2009; Katul et al., 2002;

89 Nepf, 2012; Ghisalberti and Nepf, 2002), where the flow features are related  
 90 to the frequency of the Kelvin–Helmholtz instability (Peters, 2012). Moreover,  
 91 vegetation in this research has the same height, whereas vegetation in nature  
 92 is always non-uniform in height. Research was conducted on this topic (Huai  
 93 et al., 2014; Liu et al., 2010), in which a combination of the aforementioned  
 94 two-, three- or multiple-layer model was adopted and improved for different  
 95 vegetation heights.

96 In some manner, a solution of the velocity profile is adopted for determining  
 97 the resistance of the vegetated channel when solving the Saint-Venant equations  
 98 (SVEs) describing water flow. As is well-known, there is a need for the closure  
 99 of the energy slope  $S_f$ , for the flow, which may be derived from the force balance  
 100 with a ‘local uniform’ assumption (Thompson et al., 2011; Wang et al., 2015a,  
 101 2018a). When dealing with open channel flow without obstacles, the classic  
 102 Manning formula is adopted,

$$S_f = \left(\frac{2gn^2}{R^{4/3}}\right) \frac{U_b^2}{2g}, \quad (1)$$

103 where  $g$  is acceleration due to gravity,  $n$  Manning’s coefficient of roughness,  $U_b$   
 104 the bulk velocity for whole flow depth, and  $R$  the hydraulic radius. For flow  
 105 within the vegetation, the energy slope  $S_f$  is complicated and may be derived  
 106 from a force balance equation. Our previous work (Wang et al., 2015a) derived  
 107 an equation for the flow through emergent vegetation for a given length-scale  
 108  $dx$  along the streamwise direction,

$$\gamma B h_w dx (1 - \phi) S_f = B dx F_{d,bulk} + B dx (1 - \phi) \tau_{ground} + 2 h_w dx \tau_{wall}, \quad (2)$$

109 where  $\gamma$  denotes the bulk density of water,  $B$  channel width,  $h_w$  flow depth,  $\phi$  the  
 110 area concentration of vegetation stems,  $F_{d,bulk}$  the vegetation form drag per unit  
 111 ground area,  $\tau_{ground}$  friction along the bottom of the channel, and  $\tau_{wall}$  friction  
 112 along the sidewall of the channel. For flow through vegetation, friction along  
 113 the sidewall and bottom can always be ignored Wang et al. (2015a), resulting  
 114 in a balance between the streamwise gravity and form drag of vegetation as

$$\gamma h_w (1 - \phi) S_f = F_{d,bulk}, \quad (3)$$

115 where the form drag acting on the unit ground area is calculated from

$$F_{d,bulk} = C_d A_{frontal} \frac{\rho U_b^2}{2}, \quad (4)$$

116 with  $C_d$  the drag coefficient and  $A_{frontal}$  the total frontal area of vegetation  
117 stems per unit bed ground, obtained using

$$A_{frontal} = m D h_w, \quad (5)$$

118 where  $m$  is the vegetation density, denoting the number of vegetation stems per  
119 unit bed ground, and  $D$  the frontal width for a single stem. The bulk form drag  
120 in equation 3 then becomes

$$F_{d,bulk} = C_d m D h_w \frac{\rho U_b^2}{2}. \quad (6)$$

121 For submerged vegetation, the flow region is divided into two layers (vege-  
122 tation layer and surface layer). The drag in the vegetation layer is expressed  
123 by

$$F_{d,bulk} = C_d m D h_v \frac{\rho U_v^2}{2}, \quad (7)$$

124 where  $h_v$  is the vegetation height and  $U_v$  the depth-averaged velocity for the  
125 vegetation layer.

126 The closure of  $S_f$  for emergent vegetation in flow is then

$$S_f = \left( \frac{C_d m D}{1 - \phi} \right) \frac{U_b^2}{2g}, \quad (8)$$

127 and for submerged vegetation is

$$S_f = \left[ \frac{C_d m D h_v}{(1 - \phi) h_w} \right] \frac{U_v^2}{2g}. \quad (9)$$

128 All considered, the velocity profile is key for the description of fluid motion.  
129 However, several analytical models exist that have been derived for simplified  
130 vegetation models, i.e., a cylinder or single strip with a constant frontal width  
131 limited by the solvability of the momentum equation. Different from existing  
132 studies on analytical models of velocity distribution with a uniform frontal width  
133 of vegetation, the main innovative points of this paper are: (1) a new shape

134 function describing frontal width of the vegetation array; (2) new solutions  
 135 of the velocity profile derived from momentum equations taking into account  
 136 vegetation shape; and (3) new empirical expressions for parameters in solutions  
 137 are provided. Comparison between modeled results and measured ones proves  
 138 that the new solutions proposed here are effective for predicting velocity profiles  
 139 in vegetated flows.

## 140 2. Theory

### 141 2.1. Governing equations

142 For steady, fully developed turbulent flow with vegetation, the flow region  
 143 is divided into two layers: the vegetation layer and the surface layer (Fig. 1).  
 144 In the Navier–Stokes momentum equation, the vegetation drag is considered  
 145 as a body force in the vegetation layer. Choosing Cartesian coordinates, we  
 146 define the streamwise direction as  $x$ , the transverse direction as  $y$ , and the  
 147 vertical direction as  $z$ , with velocity components  $\mathbf{V} = (u, v, w)$  corresponding  
 148 to the coordinate directions  $(x, y, z)$ . Then, for a control volume, its governing  
 equation is expressed in the streamwise direction as follows:

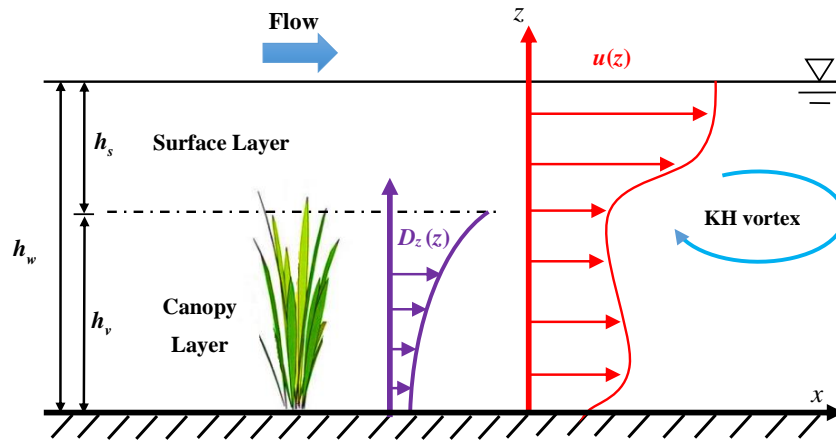


Figure 1: Sketch of flow through vegetation with non-uniform frontal width in the vertical direction

$$\frac{Du}{Dt} = f_x - \frac{1}{\rho} \frac{\partial p}{\partial x} + \nu \left( \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2} \right), \quad (10)$$

150 where  $f_x$  is the force acting on the control volume indicating the body force per  
151 unit mass,  $p$  pressure, and  $\nu$  kinematic viscosity.

152 The Reynolds-averaged approach applied to the above governing equation  
153 gives

$$\frac{D\bar{u}}{Dt} = \bar{f}_x - \frac{1}{\rho} \frac{\partial \bar{p}}{\partial x} + \nu \left( \frac{\partial^2 \bar{u}}{\partial x^2} + \frac{\partial^2 \bar{u}}{\partial y^2} + \frac{\partial^2 \bar{u}}{\partial z^2} \right) + \left( \frac{\partial(-\overline{u'u'})}{\partial x} + \frac{\partial(-\overline{u'v'})}{\partial y} + \frac{\partial(-\overline{u'w'})}{\partial z} \right), \quad (11)$$

154 which can be reduced to the following form when focusing on the streamwise  
155 direction

$$\frac{D\bar{u}}{Dt} = \bar{f}_x - \frac{1}{\rho} \frac{\partial \bar{p}}{\partial x} + \frac{1}{\rho} \frac{\partial \tau}{\partial z}, \quad (12)$$

156 where the total shear stress  $\tau$ , including viscous stress (which can always be  
157 ignored for turbulent flow) and Reynolds stress, is expressed as

$$\tau = \rho\nu \frac{\partial \bar{u}}{\partial z} - \rho \overline{u'w'}, \quad (13)$$

158 with  $-\rho \overline{u'w'}$  the Reynolds stress. The planar-averaged momentum equation for  
159 vegetated flow is then described as (Klopstra et al., 1996; Baptist et al., 2007;  
160 Liu et al., 2012; Huai et al., 2009; Poggi et al., 2009; Katul et al., 2011; Wang  
161 et al., 2015b)

$$G_x + \delta F_d + \frac{1}{\rho} \frac{\partial \tau}{\partial z} = 0, \quad (14)$$

162 where  $G_x = gS_o$  is the gravitational component in the streamwise direction,  $S_o$   
163 the bed slope, parameter  $\delta = -1$  denoting the vegetation layer and  $\delta = 0$  the  
164 surface layer,  $F_d$  denotes the drag from the canopy acting on the control volume  
165 (different from the above mentioned  $F_{d,bulk}$ ) and is calculated using

$$F_d = \frac{1}{2} C_d m D_z [u(z)]^2, \quad (15)$$

166 where  $D_z$  is the frontal width of the vegetation stem (here the subscript  $z$   
167 signifies that this frontal width may vary with vertical direction  $z$ ).

168 *2.2. Models for shear stress*

169 To solve the momentum equation, the model for shear stress is the key  
170 component and has been investigated by many researchers. One should note  
171 that viscous stress can always be ignored for turbulent flow (Baptist et al.,  
172 2007; Luhar et al., 2008; Liu et al., 2012; Huai et al., 2014). Several models or  
173 theories have been proposed. They fall into two categories: non-differential and  
174 differential formulas.

175 *2.2.1. Non-differential formulas for shear stress*

176 For the non-differential formula, Shimizu et al. (1991) indicates that Reynolds  
177 stress follows an exponential profile for the vegetation layer, and this feature was  
178 confirmed in Dijkstra and Uittenbogaard (2010), in which the data displayed a  
179 similar trend with a peak value at the vegetation top. This trend was also vali-  
180 dated in experiments for rigid (Huai et al., 2009) and flexible vegetation (Huai  
181 et al., 2013), and can be expressed in the form

$$\tau_v = \tau_{max} \exp[\alpha(z - h_v)], \quad (16)$$

182 where subscript ‘v’ of the shear stress  $\tau$  signifies the vegetation layer,  $\alpha$  denotes  
183 a constant to be determined by the boundary conditions, and  $\tau_{max} = gS_o h_s$  is  
184 the peak value for shear stress at the top of the vegetation,  $h_s = h_w - h_v$  being  
185 the height of the surface layer.

186 *2.2.2. Differential formula for shear stress*

187 For the differential formula of shear stress, the classic Boussinesq eddy  
188 viscosity approach yields

$$\tau = \rho \nu_t \frac{\partial u(z)}{\partial z}, \quad (17)$$

189 where  $\nu_t$  is the eddy viscosity. Considered constant by Boussinesq in earlier  
190 years, a large body of experimental result indicate a variational behavior for  
191 eddy viscosities that depend on flow conditions. Theories for describing a vari-  
192 able eddy viscosity are summarized in the following:



193 (i) Classic von Kármán–Prandtl mixing-length theory shows the eddy vis-  
 194 cosity is linked with the velocity gradient as

$$\nu_t = l^2 \left| \frac{\partial u(z)}{\partial z} \right|, \quad (18)$$

195 where the mixing lengths  $l = \kappa z$ ,  $\kappa = 0.41$  is the Kármán constant, which is  
 196 widely used in channel flow in the absence of vegetation. Further investigations  
 197 (Katul et al., 2011; Poggi et al., 2004b,a) showed that the mixing length is asso-  
 198 ciated with the size of the vortex for different zones when vegetation is present.  
 199 In the vegetation layer, the mixing length may be calculated using  $l = 2\beta^3 L_c$ ,  
 200 where  $\beta = u_* / u(h_v)$  is the coefficient of momentum absorption, and the friction  
 201 velocity is  $u_* = \sqrt{g S_o h_s}$ . For the surface layer, the mixing length is described by  
 202  $l = \kappa(z - d)$ , where  $d$  is the zero-plane displacement, which is defined by Thom  
 203 (1971) adopting  $d = \int_0^{h_v} \frac{d\tau}{dz} z dz / \int_0^{h_v} \frac{d\tau}{dz} dz$  and can be calculated using the for-  
 204 mula from Katul et al. (2011) with  $d = h_v - 2\beta^3 L_c / \kappa$ , where  $L_c = (C_d a)^{-1}$  is  
 205 the adjustment length scale (Belcher et al., 2003), indicating the loss of turbu-  
 206 lent kinetic energy from advecting eddies due to vegetation (Katul et al., 2004),  
 207  $a \approx LAI / h_v$  is the mean leaf area density, and  $LAI$  the one-sided leaf area  
 208 index.

209 (ii) Aside from the differential formula for eddy viscosity, several researchers  
 210 have proposed that eddy viscosity is linked with the friction velocity times an  
 211 adjusted length scale (Wang, 2012; Wang et al., 2015b)

$$\nu_t = l u_*. \quad (19)$$

212 or the velocity multiplied by an adjusted length scale (Baptist et al., 2007)

$$\nu_t = (c_p l) u, \quad (20)$$

213 where  $c_p$  is the intensity of turbulence calculated based on the turbulent kinetic  
 214 energy  $k_t$  and velocity  $u(z)$ . Further investigations have found that the product  
 215 of turbulence  $c_p$  and mixing length  $l$  does not vary with vertical height  $z$  (Liu  
 216 et al., 2012; Van Velzen et al., 2003), expressed as a function of layer height

$$c_p l = 0.015 \sqrt{h_v h_w}, \quad (21)$$

217 and this expression was improved by Baptist et al. (2007), adopting the data of  
 218 Nepf and Vivoni (2000) to obtain

$$c_p l = \frac{h_s}{20}. \quad (22)$$

219 In the following derivation, the eddy viscosity of type (ii) (equations 19 and  
 220 20) was adopted in the momentum equations in solving the velocity distribution.  
 221 The empirical equations for  $c_p l$  from different research groups (equations 21 and  
 222 22) were later tested.

### 223 2.3. Velocity solution in the vegetation layer

224 Considering the solvability of the momentum equation, and with the adop-  
 225 tion of equation (20), shear stress is expressed as

$$\tau_v = c_p l \rho u(z) \frac{\partial u(z)}{\partial z}. \quad (23)$$

226 Then the momentum equation gives

$$\frac{1}{2} c_p l \frac{\partial^2 [u(z)]^2}{\partial z^2} - \frac{1}{2} C_d m D_z [u(z)]^2 + g S_o = 0, \quad (24)$$

227 which takes the form

$$p_1 \frac{\partial^2 [u(z)]^2}{\partial z^2} + p_2 D_z [u(z)]^2 + p_3 = 0, \quad (25)$$

228 with the introduction of parameters

$$p_1 = \frac{1}{2} c_p l, \quad (26)$$

$$p_2 = -\frac{1}{2} C_d m, \quad (27)$$

$$p_3 = g S_o. \quad (28)$$

231 (i) For vegetation with uniform vertical width,  $D_z = D_0$  is a constant, and  
 232 the analytical solution of velocity profile gives

$$u_v(z) = \sqrt{c_1 \exp\left(\sqrt{-\frac{p_2}{p_1}} D_0 z\right) + c_2 \exp\left(-\sqrt{-\frac{p_2}{p_1}} D_0 z\right) - \frac{p_3}{p_2 D_0}}. \quad (29)$$

233 (ii) For vegetation with width-varying shape, here we take shrub and sedge  
 234 as representative (Liu et al., 2011, 2012; Huai et al., 2019), where the frontal  
 235 width increases from bottom up (narrow at the bottom and wide near the top  
 236 of the vegetation). Liu et al. (2011, 2012) proposed two shape functions to  
 237 describe the effect of variable frontal width in flow the first being

$$D_z = \frac{(1 - 2n_1)D_{max}}{4(n_1z/n_2 + n_3)^2}, \quad (30)$$

238 and the second

$$D_z = \left[ \frac{n_4z + n_5}{n_6z^2 + n_7z + n_8} \right]^2 D_{max}, \quad (31)$$

239 where  $n_i$  ( $i = 1, 2, 3, \dots, 8$ ) are parameters to be determined from vegetation  
 240 morphotype and flow characteristics, and  $D_{max}$  is the maximum frontal width  
 241 of vegetation. Three or five parameters are needed to build the shape of the  
 242 vegetation array in these two approaches. From another point of view, these  
 243 parameters include not only the physical attributes of the vegetation (frontal  
 244 width), but also flow features such as turbulence intensity  $C_p$ , drag coefficient  
 245  $C_d$ , and mixing length scale  $l$ . Here, considering the solvability of the governing  
 246 equation, a new shape function is proposed that only focuses on the physical  
 247 shape of the vegetation. Requires just two parameters, we have

$$D_z = (q_1z + q_2)^{-2}, \quad (32)$$

248 where  $q_1$  and  $q_2$  are determined by the physical shape of the vegetation. As-  
 249 suming the vegetation gradually widens from bottom up, which is very common  
 250 in nature (Liu et al., 2012), expressions for  $q_1$  and  $q_2$  linked with vegetation  
 251 attributes were obtained,

$$q_1 = \frac{D_{max}^{-1/2} - D_{min}^{-1/2}}{h_v}, \quad (33)$$

252 and

$$q_2 = D_{min}^{-1/2}, \quad (34)$$

253 where  $D_{min}$  is the minimum width at the bottom.

254 Then the momentum equation (equation 25) with variable width (equation  
 255 32) was solved analytically to get the velocity distribution in the vegetation  
 256 layer

$$u_v(z) = \sqrt{c_3(q_1z + q_2)^{L_1} + c_4(q_1z + q_2)^{L_2} + c_5(q_1z + q_2)^2}, \quad (35)$$

257 where

$$L_1 = \frac{q_1\sqrt{p_1} + \sqrt{p_1q_1^2 - 4p_2}}{2q_1\sqrt{p_1}}, \quad (36)$$

$$L_2 = \frac{q_1\sqrt{p_1} - \sqrt{p_1q_1^2 - 4p_2}}{2q_1\sqrt{p_1}}, \quad (37)$$

$$c_5 = \frac{4p_3}{p_1q_1^2 - 4p_2 - 9q_1^2p_1}, \quad (38)$$

260 where  $c_3$  and  $c_4$  are integration constants determined by the boundary condi-  
 261 tions.

262 The parameters in the shape function also can be determined by boundary  
 263 conditions. When  $z = 0$ , the slip velocity is

$$u_{slip} = \sqrt{c_3(q_2)^{L_1} + c_4(q_2)^{L_2} + c_5(q_2)^2}, \quad (39)$$

264 which is calculated from the momentum balance at the bottom of the channel

$$u_{slip} = \sqrt{\frac{2gS_f}{C_d m D_{min}}}, \quad (40)$$

265 where  $S_f$  is the energy slope.

266 From the momentum balance, the interfacial shear stress between the vege-  
 267 tation layer and the surface layer establishes another boundary condition at the  
 268 top of the canopy (Klopstra et al., 1996; Wang et al., 2015b; Yang and Choi,  
 269 2010). When considering the shear stress in the vegetation layer at  $z = h_v$ ,

$$\tau_v|_{z=h_v} = \rho c_p l \cdot \left[ u(z) \frac{\partial u(z)}{\partial z} \right] \Big|_{z=h_v}. \quad (41)$$

270 The shear stress in the surface layer at  $z = h_v$  is

$$\tau_s|_{z=h_v} = \rho g h_s S_f. \quad (42)$$

271 Then, parameters  $c_3$  and  $c_4$  are obtained by solving the momentum equation  
 272 combined with these two boundary conditions,

$$c_3 = -\frac{q_2^{L_2} [c_5 (c_p l) q_1 (h_v q_1 + q_2) - g h_s S_f] - \frac{1}{2} (c_p l) L_2 q_1 (c_5 q_2^2 - u_{slip}^2) (h_v q_1 + q_2)^{L_2-1}}{\frac{1}{2} (c_p l) L_1 q_1 q_2^{L_2} (h_v q_1 + q_2)^{L_1-1} - \frac{1}{2} (c_p l) L_2 q_1 q_2^{L_1} (h_v q_1 + q_2)^{L_2-1}}, \quad (43)$$

$$c_4 = \frac{q_2^{L_1-L_2} \left\{ q_2^{L_2} [c_5 (c_p l) q_1 (h_v q_1 + q_2) - g h_s S_f] - \frac{1}{2} (c_p l) L_2 q_1 (c_5 q_2^2 - u_{slip}^2) (h_v q_1 + q_2)^{L_2-1} \right\}}{\frac{1}{2} (c_p l) L_1 q_1 q_2^{L_2} (h_v q_1 + q_2)^{L_1-1} - \frac{1}{2} (c_p l) L_2 q_1 q_2^{L_1} (h_v q_1 + q_2)^{L_2-1}} - q_2^{-L_2} (c_5 q_2^2 - u_{slip}^2). \quad (44)$$

#### 274 2.4. Velocity solution in the surface layer

275 For flow in the surface layer ( $z > h_v$ ), the traditional method adopts the  
 276 logarithmic function with

$$u_s(z) = \frac{u_*}{\kappa} \ln\left(\frac{z-d}{z_0}\right), \quad (45)$$

277 where two parameters are needed such as roughness height  $z_0$  and zero-plane  
 278 displacement  $d$ .

279 Here, we adopt another approach to predict velocity that needs only one  
 280 parameter to shape the velocity profile. First, the momentum equation for  
 281 surface layer gives

$$\frac{\partial \tau_s}{\partial z} + \rho g S_o = 0, \quad (46)$$

282 where the shear stress adopted equation (19) with mixing length  $l = k_n z$ , and  $k_n$   
 283 is a coefficient determined by the flow characteristics and vegetation attributes

$$\tau_s = \rho k_n u_* z \frac{\partial u(z)}{\partial z}, \quad (47)$$

284 where subscript 's' to the shear stress denotes the surface layer. Then, the  
 285 analytical solution is obtained by substituting equation (47) into (46) yielding

$$u_s(z) = -\frac{g S_o}{k_n u_*} z + c_6 \ln z + c_7, \quad (48)$$

286 where parameters  $c_6$  and  $c_7$  are constants determined by the following two  
 287 boundary conditions.

288 Boundary condition (i): the velocity at the top of the canopy in the surface  
 289 layer and the vegetation layer is the same

$$u_v(h_v) = u_s(h_v). \quad (49)$$

290 Boundary condition (ii): the velocity gradient is zero at the flow surface

$$\left. \frac{\partial u(z)}{\partial z} \right|_{z=h_w} = 0. \quad (50)$$

291 One obtains then parameters values

$$c_6 = \frac{gh_w S_o}{k_n u_*}, \quad (51)$$

$$c_7 = \frac{gS_o(h_v - h_w \ln h_v) + k_n u_* \sqrt{c_3(h_v q_1 + q_2)^{L_1} + c_4(h_v q_1 + q_2)^{L_2} + c_5(h_v q_1 + q_2)^2}}{k_n u_*}. \quad (52)$$

293 Next, the flow profile in the surface layer is described by equation (48). The  
 294 approach in determining parameter  $k_n$  is discussed in Section 4.

### 295 3. Experiments

296 We conducted flume experiments in an open channel using modelled plants  
 297 for simulating variable-width vegetation. Moreover, two set of experimental  
 298 data from the literature were included to enrich the scope of the experimental  
 299 data. Their details are described in the following.

#### 300 3.1. Present experiments

301 Experiments were conducted in two glass channels at the State Key Lab-  
 302 oratory of Water Resources and Hydropower Engineering Science in Wuhan  
 303 University, China (details are given in Huai et al. (2019)). The size of these two  
 304 channels were: 20 m long  $\times$  0.6 m wide  $\times$  0.4 m deep (bed slope 0.04%) and  
 305 20 m long  $\times$  1.0 m wide  $\times$  0.4 m deep (bed slope 0.01%). The channels were  
 306 equipped with electro-magnetic flow meters for measuring flow discharge, and a  
 307 tailgate located at the end of the channels to control flow depth. The vegetation  
 308 was installed in a staggered arrangement along the bottom of the channel. The

309 length of vegetation zone was set to 8 m to ensure a complete development of  
310 the flow within and above the vegetation.

311 For the simulated vegetation in this experiment, a meadow model plant was  
312 adopted to represent sedge. Each plant has 11 plastic slips and the diameter of  
313 the trunk was approximately 0.015 m. The original averaged height of the sedge  
314 meadow model was 0.210 m, and the lateral width ranged from  $D_{min} = 0.02$  m  
315 (at the bottom) to  $D_{max} = 0.170$  m (near the top). The artificial vegetation  
316 produced a small deflection because of its flexibility.

317 Velocity measurements were taken using an acoustic Doppler velocimeter.  
318 For model verification in Section 4, the velocity profile at the location where  
319  $x/L_{veg} > 0.61$  was selected, at which point the flow reaches its fully developed  
320 condition (Huai et al., 2019).

### 321 3.2. Liu et al.'s experiments

322 Liu et al. (2011, 2012) conducted a series of experiments at the Hydraulic  
323 laboratory of Tsinghua University, China. They modeled shrub-like vegetation  
324 in an open channel 22.6 m long  $\times$  1.6 m wide  $\times$  0.8 m deep, with a bed slope of  
325 0.67 %. The average height of the shrubs was 0.275 m, with an increasing trend  
326 for the frontal width along the vertical direction from the bottom up. Here,  
327  $D_{min} = 0.05$  m near the bottom (this value was not given in their publication  
328 but can be reasonably estimated from their photographs), and  $D_{max} = 0.200$  m  
329 near the top of the vegetation. The vegetation was fixed in a staggered arrange-  
330 ment with two distinct canopy densities:  $m = 15.71$  and  $7.85$  stems/m<sup>2</sup>. The  
331 velocity profile was measured using an acoustic Doppler velocimeter.

### 332 3.3. Nepf and Vivoni's experiments

333 Nepf and Vivoni (2000) conducted experiments with a 24 m long  $\times$  0.38m  
334 wide recirculating glass wall flume. The model vegetation zone was 7.4 m long  
335 with a height of 0.16 m, with a staggered arrangement of plants (vegetation den-  
336 sity was  $m = 330$  stems/m<sup>2</sup>). In addition, according to the principle proposed  
337 by Kouwen and Li (1980), their vegetation was constructed based on similarity

Table 1: Summary of present research on flow in vegetation

Data type	Present experiments			Liu et al.		Nepf and Vivoni
	Case 1	Case 2	Case 3	Case 1	Case 2	Case 1
Flow depth, $h_w$ (m)	0.27	0.27	0.33	0.45	0.45	0.44
Adjusted vegetation height, $h_v$ (m)	0.165	0.175	0.190	0.255	0.255	0.14
Max width, $D_{max}$ (m)	0.17	0.17	0.17	0.2	0.2	0.017
Min width, $D_{min}$ (m)	0.02	0.02	0.02	0.05	0.05	0.005
Vegetation density, $m$ (stems/m <sup>2</sup> )	43.3	108.3	108.3	15.71	7.85	330
Drag coefficient $C_d$	0.13	0.17	1.3	1.5	1.5	0.5
Turbulence scale, $c_{pl}$ ( $\times 10^{-4}$ m)	5	4.5	12	30	32	10
Turbulence index, $k_n$ ( $\times 10^{-3}$ )	40	40	50	50	45	60

338 in geometry and flexural rigidity to prototype aquatic vegetation. Each plant  
 339 consisted of six blades, each of width 0.003 m. The morphology of a single plant  
 340 featured a variable frontal width ranging from 0.005 to 0.017 m.

### 341 3.4. Summary of experimental parameters

342 The experimental setup and vegetation attributes are listed in Table 1. As  
 343 there were waves near the top of the vegetation resulting in a greatly reduced  
 344 resistance at this point, an adjusted vegetation height was proposed where the  
 345 height was slightly lower (0.02 m) than the reported vegetation height. More-  
 346 over, note that some parameters, such as flow depth of the experiments of Liu  
 347 et al. (2012)’s were not given and hence we estimated values from velocity pro-  
 348 files of their paper (Although there may be minor errors, such errors would have  
 349 little effect on the final calculation).

## 350 4. Results and discussion

351 This section is divided into three subsections: (1) A comparison of the an-  
 352 analytical velocity solutions for the whole depth with measured data is discussed  
 353 in Section 4.1; (2) Features of the drag coefficient are discussed in Section 4.2;  
 354 and (3) turbulence features are discussed in Section 4.3.



355 *4.1. Velocity profile comparisons*

356 A comparison between the analytical solutions of the velocity profile (adopt-  
357 ing best-fitted parameters, which will be discussed later) and measured data is  
358 shown in Fig. 2. The solution proposed here is seen to reproduce well the veloc-  
359 ity profile for flow through vegetation with variable frontal width. The following  
360 subsections present the procedure used in deriving the empirical expressions for  
361 the adjusted parameters.

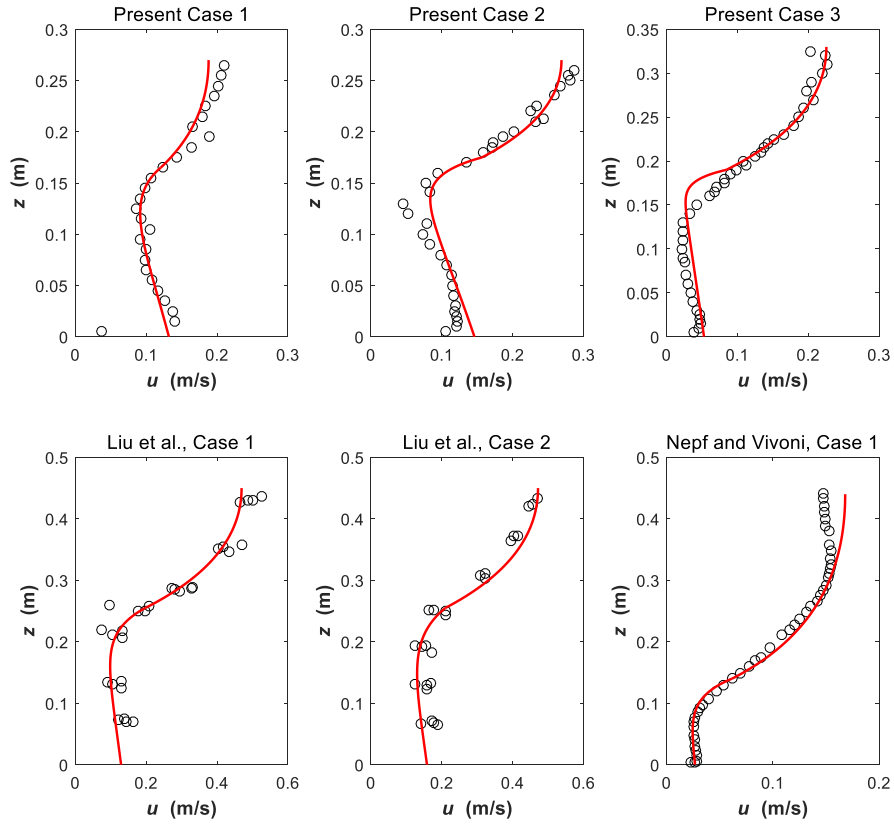


Figure 2: Comparison of analytical solutions with measured data for different cases

362 *4.2. Features of the drag coefficient*

363 Drag coefficient  $C_d$  is a key factor for quantifying the resistance to flow (Nepf  
364 and Ghisalberti, 2008; Wang et al., 2018a; Baptist et al., 2007). For an isolated  
365 cylinder, the local  $C_d$  can be calculated from (Cheng, 2012)

$$C_{d,iso} = 11Re_d^{-0.75} + 0.9\Gamma_1(Re_d) + 1.2\Gamma_2(Re_d), \quad (53)$$

366 where

$$\Gamma_1(Re_d) = 1 - \exp\left(-\frac{1000}{Re_d}\right), \quad (54)$$

367 and

$$\Gamma_2(Re_d) = 1 - \exp\left[-\left(\frac{Re_d}{4500}\right)^{0.7}\right], \quad (55)$$

368 where the Reynolds number for a cylinder is  $Re_d = Ud/\nu$  with  $U$  the approach-  
369 ing velocity. However, for flow through a vegetation array ('array' means more  
370 than just a single stem in the flow), resistance mechanisms become complicated,  
371 and the  $C_d$  of a vegetated array differs much from an isolated stem ( $C_{d,iso}$ ).  
372 When only considering the effect of vegetation density on the drag coefficient,  
373  $C_d$  displays an increasing trend (Tanino and Nepf, 2008; Stoesser et al., 2010)  
374 or a decreasing trend (Nepf, 1999; Lee et al., 2004) when increasing the vege-  
375 tation density (Etminan et al., 2017). This indicates that the drag due to the  
376 vegetation array cannot be assessed by only the vegetation density. Hence a  
377 vegetation-related Reynolds number is introduced,  $Re_v = (R_v/D)Re_d$ . Many  
378 experiments show a monotonic decline in  $C_d$  with increasing vegetation-related  
379 Reynolds number ( $Re_v$ ) for canopies composed of cylinders (Ishikawa et al.,  
380 2000; James et al., 2004; Tanino and Nepf, 2008; Liu et al., 2008; Ferreira et al.,  
381 2009; Kothiyari et al., 2009; Stoesser et al., 2010), which can be expressed as  
382 (Wang et al., 2019)

$$C_{d,wang} = 0.819 + \frac{58.5}{\sqrt{\frac{\pi(1-\phi)}{4\phi}Re_d}}. \quad (56)$$

383 Due to the variation of  $D_z$  in the vertical direction, there is a need to calcu-  
384 late the averaged width  $D_{ave}$  when adopting these formulas (equations 53 and

385 56). Based on shape function (equation 32), the averaged width is calculated  
 386 from

$$D_{ave} = \frac{1}{q_2(q_1 h_v + q_2)}. \quad (57)$$

387 Then, the Reynolds number for vegetation with variable frontal width reads

$$Re_d = \frac{U_v D_{ave}}{\nu}, \quad (58)$$

388 where  $U_v$  is the averaged velocity for the vegetation layer.

389 Although the above formula of  $C_d$  was mainly derived based on cylinder  
 390 or strip with constant width  $D$ , the left and middle panels in Fig. 3 illustrate  
 391 that the predicted  $C_d$  using equations (53) and (56) deviates from the measured  
 392 value, and shows that they are not suitable for vegetation with variable  $D_z$ ,  
 393 where the measured  $C_d$  is obtained by matching the calculated velocity profile  
 394 to the measured value. Hence the drag coefficient  $C_d$  here needs to reflect the  
 395 above-mentioned vegetation shape with  $D_{min}$  and  $D_{max}$ . The following formula  
 396 was proposed by incorporating the traditional Reynolds number in with the  
 397 plant morphology descriptors

$$C_{d,new} = \frac{Re_d}{1110} \left( \frac{2D_{min} - D_{ave}}{D_{ave}} \right) + \left( \frac{51.4D_{ave} - 9.85D_{max} - 60.5D_{min}}{D_{ave}} \right). \quad (59)$$

398 A comparison between measured and the newly derived empirical drag coeffi-  
 399 cient (right panel of figure 3) show good agreement proving that equation 59  
 400 matches the measured value very well.

### 401 4.3. Turbulence features

#### 402 4.3.1. Vegetation layer

403 The turbulence scale  $c_p l$  derived in previous studies on vegetation flow with  
 404 cylinders or stripes shows a dependence on layer height (equations 21 and 22).  
 405 However, comparisons between the measured  $C_p l$  with values from these two  
 406 equations show that they are not suitable for vegetation of distinct shape (see  
 407 left and middle panels of Fig. 4). Based on the height of each layer, a new

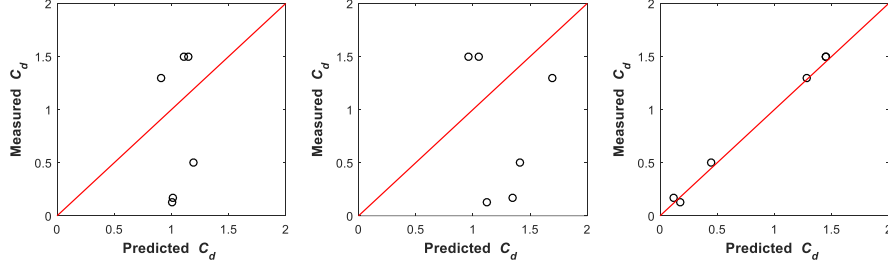


Figure 3: Comparison between measured and predicted drag coefficients. The predicted  $C_d$ s given in the left, middle, and right panels are  $C_{d,iso}$  from equation (53),  $C_{d,wang}$  from equation (56), and  $C_{d,new}$  from equation (59), respectively.

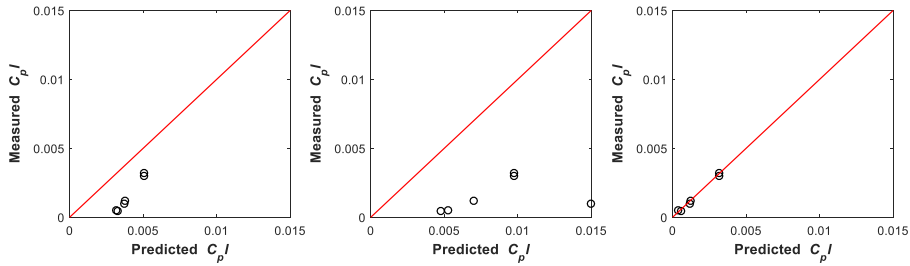


Figure 4: Comparison between measured and predicted formula for turbulence length scale  $c_p l$  in the vegetation layer. The predicted  $C_p l$  for the left, middle, and right panels are obtained from equations (21) and (22), and proposed equation (60), respectively.

408 empirical expression is proposed for the vegetation with variable frontal width,  
 409 specifically

$$c_p l = \frac{1}{42} h_w - \frac{1}{60} h_s - \frac{1}{233}. \quad (60)$$

410 A comparison (right panel of Fig. 4) shows that this formula is in good agreement  
 411 with the measured turbulence length scale for the instance discussed here.

#### 412 4.3.2. Surface layer

413 The traditional logarithmic formula (equation 45) describes the flow profile  
 414 of the surface layer with two parameters: zero-plane displacement  $d$  and hy-  
 415 drodynamic roughness height  $z_0$ . We now adopt another approach which only

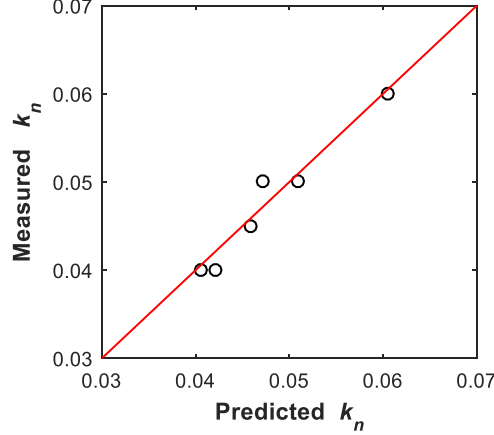


Figure 5: Comparison between measured and predicted turbulence index  $k_n$  in surface layer.

416 needs one parameter  $k_n$  for shaping the velocity profile (equation 48). This  $k_n$   
 417 is seen as a combination of  $d$  and  $z_0$  but is complicated and influenced by many  
 418 factors. Here, for simplicity, dimensionless  $h_v/L_c$  (including the vegetation at-  
 419 tribute and resistance length scale of vegetation) and the ratio of layer height  
 420 are taken as influence factors, yielding the following fitted expression

$$k_n = \frac{2}{25} + \frac{1}{310} \frac{h_v}{L_c} \frac{h_v}{h_s} - \frac{1}{16} \frac{h_v}{h_w}. \quad (61)$$

421 A comparison between measured and predicted  $k_n$  is shown in Fig. 5, indicating  
 422 that the proposed formula well matches the turbulence index  $k_n$  for instances  
 423 reported above.

#### 424 4.4. Limitations

425 Although the shape function proposed in equation (32) illustrates a gradual  
 426 increasing trend in frontal width  $D_z$  from bottom up and is suitable for vegeta-  
 427 tion such as shrub and sedge, the investigation needs to be broadened to other  
 428 types of vegetation. Moreover, although empirical expressions for the three  
 429 parameters  $C_d$ ,  $c_{pl}$ , and  $k_n$  were proposed for various instances studied here,

430 further investigation is still needed in considering different vegetation shapes  
431 and flow conditions.

## 432 5. Conclusion

433 Different from former analytical models of velocity distribution in literature,  
434 which is mainly suitable for vegetation stems with uniform frontal width, this  
435 study established new analytical solutions of the velocity profile for vegetation  
436 with shape. A brief summary of results is presented in the following:

437 (1) Vegetation such as shrub and sedge of certain shape where the frontal  
438 width  $D_z$  displays a gradual increasing trend with vertical direction from the  
439 bottom up was investigated. A new vegetation shape function describing the  
440 frontal width  $D_z$  was proposed linked with  $D_{max}$  and  $D_{min}$  and needs fewer  
441 parameters than previous formulas.

442 (2) Different closure models for eddy viscosity were adopted for the two-  
443 layer vegetated flow, where eddy viscosity was expressed as a product of velocity  
444 scaled by an adjusted length scale in the vegetation layer and the friction velocity  
445 scaled by an adjusted length scale in surface layer. Combined with the proposed  
446 shape function describing frontal width of vegetation, analytical solutions of the  
447 velocity profile were derived from the momentum equations. Good agreement  
448 between calculated and measured data shows the analytical model is effective  
449 for predicting velocity profile.

450 (3) Because the shape of vegetation is different from the simplified vegetation  
451 models using cylinders or single strips, the former empirical formulas of the  
452 drag coefficient and the turbulence length scale were not suitable for the width-  
453 varying vegetation here. New empirical expression were proposed that matched  
454 the observed data well.

455 (4) There were few experiments focused on width-varying vegetation up to  
456 now. Although empirical expressions for determining parameters such as drag  
457 coefficient  $C_d$ , turbulence length scale for vegetation layer  $c_p l$ , and surface layer  
458  $k_n$  were proposed for a number of limited scenarios, further investigation and

459 more experiments are needed in considering different vegetation types and flow  
460 conditions.

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