



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

## Resolving small-scale forest snow patterns using an energy-balance snow model with a 1-layer canopy

**Citation for published version:**

Mazzotti, G, Essery, R, Moeser, CD & Jonas, T 2020, 'Resolving small-scale forest snow patterns using an energy-balance snow model with a 1-layer canopy', *Water Resources Research*, vol. 56, no. 1. <https://doi.org/10.1029/2019WR026129>

**Digital Object Identifier (DOI):**

[10.1029/2019WR026129](https://doi.org/10.1029/2019WR026129)

**Link:**

[Link to publication record in Edinburgh Research Explorer](#)

**Document Version:**

Peer reviewed version

**Published In:**

Water Resources Research

**General rights**

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

**Take down policy**

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact [openaccess@ed.ac.uk](mailto:openaccess@ed.ac.uk) providing details, and we will remove access to the work immediately and investigate your claim.



## **Resolving small-scale forest snow patterns using an energy-balance snow model with a 1-layer canopy**

Giulia Mazzotti<sup>1,2\*</sup>, Richard Essery<sup>3</sup>, C. David Moeser<sup>4</sup>, Tobias Jonas<sup>1</sup>

<sup>1</sup> WSL Institute for Snow and Avalanche Research SLF, Davos Dorf, Switzerland

<sup>2</sup> Laboratory of Hydraulics, Hydrology and Glaciology, ETHZ, Zurich, Switzerland

<sup>3</sup> School of Geosciences, University of Edinburgh, Edinburgh, UK

<sup>4</sup> U.S. Geological Survey, New Mexico Water Science Center, Albuquerque, NM, USA

\* Correspondence to: G. Mazzotti, giulia.mazzotti@slf.ch

### **Key points:**

- Alternative strategies to represent fine-scale forest canopy structure within a standard energy-balance snow model were tested.
- Only canopy representations that distinguish between near and distant canopy elements simulated realistic snow distributions.
- The proposed approach uses standard canopy parameters only and can thus be transferred to other model frameworks.

## 1 **Abstract**

2 Modelling spatiotemporal dynamics of snow in forests is challenging, as involved processes are  
3 strongly dependent on small-scale canopy properties. In this study, we explore how local canopy  
4 structure information can be integrated in a medium-complexity energy-balance snow model to  
5 replicate observed snow patterns at very high spatial resolutions. Snow depth distributions  
6 simulated with the Flexible Snow Model (FSM2) were tested against extensive experimental data  
7 acquired in discontinuous subalpine forest stands in Eastern Switzerland over three winters.  
8 While the default canopy implementation in FSM2 fails to capture the observed snow depth  
9 variability, performance is considerably improved when local canopy cover fraction and  
10 hemispherical sky view fraction are additionally accounted for (30% reduction in RMSE).  
11 However, realistic snow depth distribution patterns throughout the season are only achieved if  
12 effective temperatures of near and distant canopy elements are discerned, and if a mechanism to  
13 mimic preferential deposition of snow in canopy gaps is included. We demonstrate that by  
14 diversifying the canopy structure input in order to reflect respective portions of the canopy  
15 relevant to different processes, even a simple model based on widely used process  
16 parameterizations and canopy metrics can be applied for high-resolution simulations of the sub-  
17 canopy snow cover with just a few modifications. The presented approaches could be  
18 implemented in commonly used land surface models, allowing upscaling experiments and  
19 development of sub-grid parameterizations without necessitating complex high-resolution  
20 models.

## 21 **1. Introduction**

22 The large spatial overlap of forest and seasonal snow makes the sub-canopy snow cover  
23 a key control of eco-hydrological processes at high latitudes and in alpine regions (Lundquist et  
24 al. 2013; Trujillo et al. 2012). In these environments, accurate models are needed to predict  
25 potential effects of ongoing climate and vegetation changes in support of water resources  
26 management (Beniston 2003; Marty et al. 2017; Tape et al. 2006). However, forest snow  
27 dynamics are shaped by complex interacting processes that are controlled by the structure of the  
28 overhead canopy and thus display large spatial and temporal variation. Snow interception by the  
29 canopy (Hedstrom & Pomeroy 1998; Moeser et al. 2015b; Roth & Nolin 2019) and subsequent  
30 sublimation and unloading to the ground (MacKay & Bartlett 2006; Pomeroy et al. 1998),  
31 shading of shortwave radiation (Hardy et al. 2004; Malle et al. 2019; Musselman et al. 2012a)  
32 and emission of longwave radiation by the vegetation (Essery et al. 2008b; Pomeroy et al. 2009;  
33 Webster et al. 2016) all vary with canopy structure in specific ways and thus contribute to  
34 heterogeneous snow depth distribution patterns, which are difficult to replicate with models  
35 (Clark et al. 2011a).

36 The forest snow model inter-comparison project SNOWMIP2 (Essery et al. 2009; Rutter  
37 et al. 2009) evaluated 33 forest snow models differing in both process complexity and canopy  
38 implementation approaches. Major deficiencies of forest snow models were identified, and it was  
39 concluded that increased model complexity did not necessarily entail better performance (Rutter  
40 et al. 2009). Since then, the forest snow research community has come a long way: numerous  
41 measurement campaigns have generated a wealth of field data, comprising snow distribution  
42 observations (Dickerson-Lange et al. 2015; Harpold et al. 2014; Mazzotti et al. 2019a; Schneider  
43 et al. 2019), micrometeorological records (Mahat & Tarboton 2014; Roth & Nolin 2017) and  
44 distributed measurements at the level of individual processes (Lawler & Link 2011; Mazzotti et  
45 al. 2019b; Moeser et al. 2015b; Webster et al. 2016). Forest snow research has also substantially

46 benefited from the increased availability of canopy structure information from a variety of remote  
47 sensing products (Ginzler & Hobi 2015; Harpold et al. 2014; Moeser et al. 2015a; Varhola &  
48 Coops 2013). As a consequence, many snow routines in hydrological and land surface models  
49 have been enhanced to incorporate more accurate representations of forest snow processes  
50 (Boone et al. 2017; Ellis et al. 2013; Gouttevin et al. 2015; Mahat & Tarboton 2014; Mahat et al.  
51 2013; Sun et al. 2018). Yet in many cases, the canopy is represented as one layer whose energy  
52 balance is coupled to that of the snowpack (Broxton et al. 2015; Mahat & Tarboton 2012; Moeser  
53 et al. 2016; Musselman et al. 2012b).

54 Recent studies concerned with physically-based forest snow modelling have generally  
55 either focused on describing individual processes, or on implementing parameterizations of these  
56 processes into full snow cover models. In the former case, efforts to link process variability to  
57 canopy-structural variability at very small scales are common (Lawler & Link 2011; Musselman  
58 et al. 2012a; Webster et al. 2016). In contrast, studies evaluating the performance of full forest  
59 snow models have mostly been tested at the site scale only, using canopy parameters that  
60 represented effective spatial averages (Ellis et al. 2010; Gouttevin et al. 2015; Mahat et al. 2013).  
61 To date, few studies have incorporated local canopy structure into energy balance forest snow  
62 models to evaluate simulated variations across very small spatial scales: Musselman et al.  
63 (2012b) improved simulations of point-scale snowmelt dynamics by forcing the detailed snow-  
64 physics model SNOWPACK (Lehning et al. 2006) coupled to a 1-layer canopy with time series  
65 of direct-beam shortwave radiation transmissivity. Moeser et al. (2016) obtained realistic spatial  
66 snow-depth distributions (2m resolution) with the Factorial Snowpack Model (Essery 2015) by  
67 implementing a novel interception model that uses detailed canopy structure parameters (Moeser  
68 et al. 2015b). Broxton et al. (2015) introduced SnowPALM, a model specifically designed for  
69 distributed forest-stand simulations (1m resolution), which aims at capturing differences between  
70 under-canopy and near-canopy pixels. SnowPALM accounts for horizontal interactions between  
71 grid cells through explicit simulation of shading and wind-redistributed snowfall.

72 Broxton et al. (2015) further demonstrated the utility of meter-scale simulations for  
73 evaluating errors that arise when the non-linear forest snow processes are integrated over larger  
74 modelling units. With increasing availability of canopy structure information and computational  
75 resources, high-resolution modelling could enhance our understanding of inaccuracies inherent to  
76 common model applications (Essery et al. 2009; Sohrabi et al. 2019). Such model experiments  
77 should use process formulations that can be applied consistently throughout varying spatial  
78 scales. However, it is essentially unknown how well ‘standard’ medium-complexity models  
79 intended for and validated at the site scale are suited to replicate small-scale spatial snow  
80 variability that arises from complex processes.

81 In this study, we explore how a physically-based snow model with simple  
82 parametrizations of energy fluxes and a 1-layer canopy representation can be applied to yield  
83 meaningful high-resolution simulations (<10m). To this end we use a forest snow scheme that  
84 incorporates process parameterizations used in many land surface models. Specific objectives  
85 are:

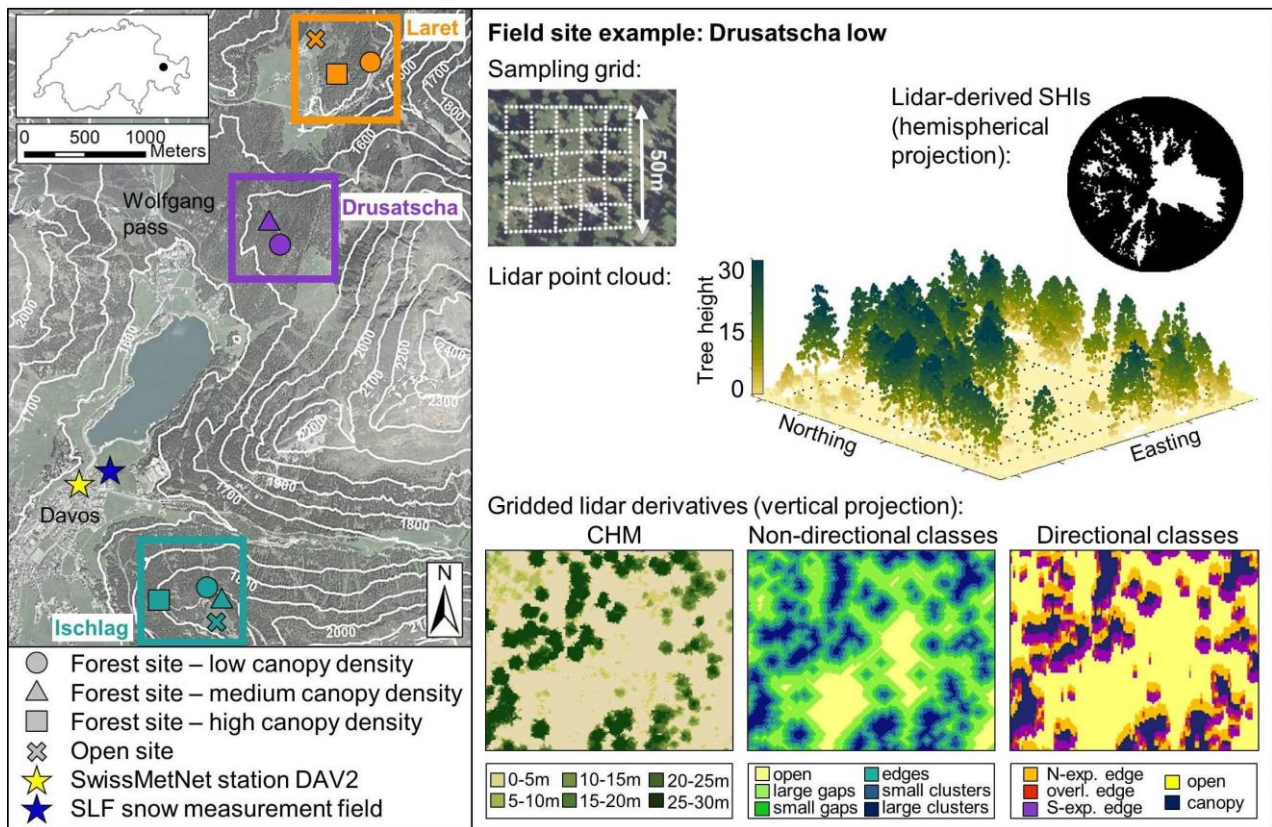
- 86 1. To assess empirical relations between extensive snow depth and co-located canopy  
87 structure data, motivating the choice of local canopy parameters for modelling.
- 88 2. To evaluate how commonly used canopy structure representations can be adapted to  
89 allow realistic replication of observed snow depth distribution patterns.

90 3. To provide a framework for consistent and accurate model simulations of forest  
 91 snow distributions from fine to coarser scales that will facilitate upscaling  
 92 experiments.

93 This paper is structured as follows: Section 2 gives an overview of the available snow  
 94 depth and canopy structure data and our modelling strategy. Experimental findings and modelling  
 95 results are presented in section 3. As the design of each model version resulted from insights  
 96 gained with the previous one, results are outlined and interpreted sequentially and unavoidably  
 97 anticipate some discussion elements. Section 4 discusses the utility of our approach in a broader  
 98 forest snow modelling context, while conclusions from this study are drawn in section 5.

99 **2. Methods**

100 **2.1 Study sites and snow depth data**



101 **Figure 1:** Left: Overview map of the field areas, locations of the automatic weather station and  
 102 the SLF snow measuring field (left) in Switzerland. Right: Example of the Drusatscha low field  
 103 site, including the sampling grid, a visualization of the lidar point cloud, and lidar-derived  
 104 datasets (see section 2.2.): canopy height model (CHM), directional and non-directional pixel  
 105 classification and synthetic hemispherical image (SHI).  
 106

107 Observational data come from discontinuous forest stands in the vicinity of Davos,  
 108 Eastern Swiss Alps (Figure 1). The area is characterized by complex terrain and inner-alpine  
 109 climate, with mean winter temperatures around  $-2\text{ }^{\circ}\text{C}$  and an average precipitation of  $\sim 400\text{mm}$   
 110 during the winter half-year (October to March, MeteoSwiss, [www.meteoswiss.admin.ch](http://www.meteoswiss.admin.ch)). Its  
 111 subalpine forests are dominated by Norway spruce (*Picea abies*) ranging from new-growth to  
 112 45m in height. The seven study sites, grouped into three field areas Drusatscha, Ischlag and Laret

113 (Figure 1), were established for a long-term forest snow study and have been described in detail  
 114 by Moeser et al. (2014). Canopy cover exhibited pronounced variability both between and within  
 115 sites (hence the site tags ‘low, medium and high’), whereas terrain influences were minimal. Each  
 116 site included six parallel 50m transects intersected orthogonally by six further transects to form a  
 117 50x50m grid. Metal poles at intersection points and nylon cord between these were installed to  
 118 mark snow depth measurement locations every 2 m along the transects. Intersection points were  
 119 georeferenced with a differential GPS (Trimble Geo XH 6000). Predetermining and marking  
 120 measurement locations (276 points per site) was key to efficient data collection. Additionally,  
 121 two reference open sites comprising a 100m transect each were established at the Laret and the  
 122 Ischlag field areas.

123 Snow depth (HS) was surveyed bi-weekly during three winters (water year 2012-13 to  
 124 2014-15). Unfortunately, the sites Ischlag low and Laret high had to be abandoned in the last  
 125 winter due to logging activities. Over the entire study period, 34 campaigns generated an  
 126 unprecedented dataset of manual forest snow depth measurements including ca. 60,000 data  
 127 points.

## 128 **2.2 Canopy structure metrics**

129 Canopy structure information was retrieved from a detailed lidar dataset (approx. 35  
 130 points per m<sup>2</sup>) acquired in September 2010 with a helicopter-borne Riegl LMS Q560 sensor.  
 131 Details on lidar survey parameters are outlined in Moeser et al. (2014) who used the dataset to  
 132 develop their algorithm for the creation of synthetic hemispherical images by coordinate  
 133 transformation (Figure 1). From their study, synthetic hemispherical images were available at all  
 134 surveyed points of all our study sites. Point cloud data were also processed to obtain vertically  
 135 projected gridded datasets (Figure 1). Canopy height models (CHMs) were computed for 200 x  
 136 200 m areas encompassing each site at a 0.5 m resolution following the approach proposed by  
 137 Khosravipour et al. (2014). Based on LAStools software (<https://rapidlasso.com/lastools/>), their  
 138 algorithm creates pit-free CHMs by merging partial CHMs corresponding to defined canopy  
 139 height bands generated by triangulated irregular networks (TIN) interpolation. The canopy height  
 140 models were further binarized based on a 2m threshold as in e.g. Harpold et al. (2014) and  
 141 Currier et al. (2019). The resulting binary raster was input to the algorithm presented by Mazzotti  
 142 et al. (2019a), which computes every pixel’s distance-to-canopy-edge (DCE) as well as distances  
 143 to the north- and south-exposed canopy edges (NDCE, SDCE).

144 Based on these lidar derivatives, the four canopy structure parameters most commonly  
 145 used in snow models (Varhola & Coops 2013) could be computed at each of the surveyed  
 146 locations. They include:

- 147 • *Leaf area index (LAI)*: the dimensionless ratio of one-sided needle leaf area per unit  
 148 ground area (e.g. Chen et al. 1997). Note that in some literature vegetation area index  
 149 (VAI) is used instead, which may also include other vegetation elements such as stems  
 150 and branches.
- 151 • *Sky-view fraction (V<sub>F</sub>)*: the visible portion of sky in the hemispherical field of view seen  
 152 from a specific point, weighted by the sine of elevation angle (e.g. Essery et al. 2008b).
- 153 • *Canopy cover fraction (CC)*: the ratio of area covered by the vertical projection of the  
 154 canopy relative to ground area in a two-dimensional bounding box (e.g. Mazzotti et al.  
 155 2019b).

156 • *Mean canopy height (mCH)*: the average height of the canopy elements in a two-  
157 dimensional bounding box (e.g. Varhola & Coops 2013).

158 LAI and  $V_F$  were derived from the synthetic hemispherical images. We use LAI values  
159 computed by Moeser et al. (2014) who applied standard approaches for calculation of LAI from  
160 real hemispherical images (Miller 1967) implemented in the free software Hemisfer (Schleppi et  
161 al. 2007). Sky-view fraction was calculated according to Essery et al. (2008b). CC and mCH  
162 metrics were derived from the canopy height model over circular domains of varying radii (1-  
163 20m) around the point of interest (i.e.  $CC_5$  for a radius of 5m,  $mCH_x$  with a radius of x m).  
164 Additionally, the DCE, NDCE, and SDCE grids served to characterize each point's position  
165 relative to its surrounding canopy structure. For this purpose, we applied the two classifications  
166 which group pixels that are located within similar canopy structure by defining categories based  
167 on DCE thresholds (Mazzotti et al. 2019a), or alternatively on NDCE and SDCE thresholds  
168 (Figure 1). Pixels were categorized into large and small canopy gaps, canopy edges, and small  
169 and dense clusters of canopy elements according to their DCE value ('non-directional  
170 classification'). Additionally, they were classified as open or canopy pixels, north- and south-  
171 facing edge or overlapping edge pixels based on their NDCE and SDCE values ('directional  
172 classification').

### 173 **2.3 The Flexible Snow Model (FSM2)**

174 The Flexible Snow Model (FSM2) used in this study is a recent upgrade of the Factorial  
175 Snow Model (FSM; Essery 2015). FSM is an open-source energy balance snow model of  
176 medium complexity, i.e. a 'Type 2' model in the classification proposed by Vionnet et al. (2012),  
177 and was originally developed for point simulations at open sites. Implemented as a multi-model  
178 framework (e.g. Clark et al. 2015; Essery et al. 2013), FSM includes two alternative  
179 parameterizations for five snow properties and processes, denoted as options 0 ('simple') and 1  
180 ('more complex'). For the purpose of this study, we considered only one model configuration,  
181 with option 1 chosen for all snow properties and processes (snow albedo, snow density, snow  
182 compaction, and snow hydrology) except for turbulent exchange, as the assumptions underlying  
183 the stability correction implemented in FSM are likely to be violated in discontinuous forests  
184 (Conway et al. 2018).

185 FSM2 offers the addition of a one-layer canopy implementation, which is common in  
186 land-surface models and makes it applicable to forested areas. Standard parameterizations of  
187 canopy processes applied in established models such as CLASS (Bartlett et al. 2006), ISBA  
188 (Boone et al. 2017) and CLM (Oleson et al. 2013) are included; see the Appendix for a  
189 description. At every modelled forest location, FSM2 requires information on site characteristics  
190 in terms of canopy parameters. By default, only vegetation area index (VAI, c.f. Section 2.2) and  
191 canopy height ( $h_c$ ) are needed, while transmissivity  $\tau$  and vegetation fraction  $f_v$  are computed  
192 internally as functions of VAI. However,  $f_v$  and  $\tau$  can also be specified as optional user inputs if  
193 specific values are available. In the context of local-scale modelling, this versatility permits  
194 integration of canopy structure metrics that incorporate different viewing perspectives and / or  
195 portions of the canopy, depending on what is relevant for the process in question.

196 The model used by Moeser et al. (2016) constituted an unpublished precursor of FSM2.  
197 Since then, the model code has undergone substantial re-structuring and replacement of some  
198 process parameterizations. Recently, FSM2 was applied in a study by Magnusson et al. (2019) on  
199 scale errors for simulations ranging between 1 and 50 km. This paper uses FSM2 version 2.0.1  
200 (doi: 10.5281/zenodo.2593345).

## 201 2.4 Meteorological driving data

202 FSM2 is driven by meteorological input data including incoming short- and longwave  
 203 radiation, rain- and snowfall rates, air temperature, relative humidity, wind speed and surface air  
 204 pressure. All required meteorological data were obtained at hourly resolution from the automatic  
 205 weather station in Davos (DAV2), operated by MeteoSwiss ([www.meteoswiss.admin.ch](http://www.meteoswiss.admin.ch), Figure  
 206 1) and located within 4 km of all sites.

207 Total precipitation, measured by a heated gauge, was partitioned into solid and liquid  
 208 components ( $P_S$  and  $P_L$ ) applying the same partitioning function as in Magnusson et al. (2014)  
 209 and Moeser et al. (2016):

$$P_S = P_{tot} \frac{P_{corr}}{1 + e^{\frac{T_a - T_P}{m_P}}}$$

210  $P_{corr}$  denotes an undercatch correction factor for solid precipitation, which was calibrated  
 211 on a seasonal basis by comparing measured precipitation to bi-weekly surveys of snow water  
 212 equivalent (SWE) at the nearby SLF measurement field (Figure 1) during cold periods ( $T_a <$   
 213  $0^\circ\text{C}$ ). Values ranging from 1.3 (2013/14) to 1.4 (2014/15) are in good agreement with those  
 214 reported in other studies in the same region (e.g. Egli et al. 2009; Wever et al. 2014). The  
 215 parameters  $T_P = 1.04^\circ\text{C}$  (threshold temperature where  $P_S = P_L = 0.5$ ) and  $m_P = 0.15^\circ\text{C}$   
 216 (temperature range corresponding to mixed precipitation) were calibrated on FSM2 results at the  
 217 open sites and are consistent with Magnusson et al. (2014) and Moeser et al. (2016).

218 Secondary precipitation correction factors specific to each field area were applied to  
 219 account for the strong horizontal precipitation gradient arising from topographic conditions,  
 220 which generally yield more snow at the field areas Laret and Drusatscha north of Wolfgang pass  
 221 (Figure 1). These factors were computed for each season and field area individually as the ratio of  
 222 peak SWE at the respective open field area to peak SWE measured at the SLF snow field, similar  
 223 to Vögeli et al. (2016). Furthermore, the standard atmospheric lapse rate of  $-0.65^\circ\text{C}/100\text{m}$  of  
 224 elevation gain was applied to the air temperature time series to account for elevation differences  
 225 between the sites. All other data (incoming radiation, relative humidity, wind speed and air  
 226 pressure) were unchanged for all sites. Simulations at the open areas Laret, Ischlag and Davos  
 227 were performed to ensure satisfactory input data quality and model performance independent of  
 228 canopy-induced processes.

## 229 2.5 Model application and evaluation strategy

230 FSM2 was chosen for this study because it uses standard process parameterization  
 231 approaches (c.f. Section 2.3). Moreover, its flexible structure and canopy parameters input offer a  
 232 convenient testbed for alternative canopy structure representations. We explored different ways  
 233 to leverage the experimentally available canopy structure data (LAI,  $V_F$ ,  $CC_X$ ,  $mCH_X$ ) as canopy  
 234 input to FSM2 ( $VAI$ ,  $h_c$ ,  $f$ ,  $\tau$ ) without fundamental changes to the process parameterizations used  
 235 in the model. The four alternative model versions are briefly introduced in the following:

- 236 • *FSM2-A*: This constitutes the default version of FSM2. Leaf area index LAI and mean  
 237 canopy height  $mCH_5$  were used as the only canopy input parameters for  $VAI$  and  $h_c$ ,  
 238 while  $f_v$  and  $\tau$  were estimated by the parameterizations implemented in FSM2. As LAI  
 239 from synthetic hemispherical images is always non-zero,  $mCH_5$  values were set to a



240 minimum of 2m (i.e. the threshold used to binarize the CHM) to ensure parameter  
241 compatibility.

- 242 • *FSM2-B*: Here, we attempted a more accurate representation of local canopy conditions  
243 relevant to each process by providing additional user inputs for  $f_v$  and  $\tau$  in terms of local  
244 canopy closure  $CC_5$  and sky view fraction  $V_F$ . This allowed us to give more weight to  
245 local canopy information for processes such as interception, while maintaining the overall  
246 canopy layout for processes such as shortwave transmission. As in *FSM2-A*,  $h_c$  was given  
247 by  $mCH_5$ . However, as LAI values obtained from hemispherical imagery integrate canopy  
248 information over a large fetch, VAI was instead determined with a linear function scaling  
249 with  $CC_5$  and  $mCH_5$  to achieve a more local approximation:

$$VAI = \max(LAI) \cdot CC_5 \cdot \frac{mCH_5}{\max(mCH_5)}$$

- 250 • *FSM2-C*: This version introduced separate treatment of near and distant canopy elements  
251 in the energy balance. VAI,  $f_v$  and  $h_c$  were determined as in *FSM2-B*, but transmissivity  
252 was split into non-local and local components  $f_{sky}$  and  $\tau$ . The parameter  $f_{sky}$  was  
253 originally implemented in *FSM2* to optionally account for terrain shading at non-forested  
254 sites. In our application to forest simulations, we leveraged the same approach to discern  
255 near and distant canopy elements, with  $(1-f_{sky})$  representing distant canopy and terrain  
256 and  $(1-\tau)$  denoting near canopy. It follows that  $\tau$  and  $f_{sky}$  are constrained by total  
257 hemispherical sky view, i.e.  $\tau f_{sky} = V_F$ . We combined  $V_F$  and  $CC_5$  data to estimate  $f_{sky}$   
258 and  $\tau$  as follows:

$$\tau = 1 - CC_5$$

$$f_{sky} = \frac{V_F}{\tau}$$

259 By using  $CC_5$  to define local canopy components, we could avoid introducing an  
260 additional canopy parameter. In those few cases where this led to  $f_{sky} > 1$ , all canopy was  
261 treated as local, i.e.  $f_{sky} = 1$  and  $\tau = V_F$ . The temperature of distant canopy and terrain was  
262 assumed to equal air temperature, while only near canopy elements were involved in the  
263 coupled snow and canopy energy balances, with implications for radiative transfer.  
264 Moreover,  $f_v$  was replaced by  $(1 - V_F)$  for weighing the turbulent transfer coefficient  
265 between the canopy air space and the ground.

- 266 • *FSM2-D*: In this version, all canopy parameters were computed as in *FSM2-C*. In  
267 addition, a simple local precipitation scaling was introduced to mimic preferential  
268 deposition of precipitation (Lehning et al. 2008) and redistribution of snow intercepted by  
269 the canopy (Mahat & Tarboton 2014):

$$S_{f,corr} = S_{f,raw} (1.1 - 0.2 \cdot CC_5),$$

271 where the limits of this rescaling (+/- 10%) were motivated by Mahat and Tarboton  
272 (2014).

273 The approaches included in each model version were motivated by results from the  
274 previous one, which is why further details on the above model choices will be discussed  
275 alongside results in section 3.2. The four versions were run at all seven sites for the three winters

276 (October 1<sup>st</sup> to May 31<sup>st</sup>; 2012/13-2014/15), yielding a daily time series of snow depth (HS) for  
277 276 points per site, i.e. 1932 points in total.

278 Model performance was evaluated by comparing simulations at the grid intersection  
279 points of each field site to observed values aggregated over a 5m radius (i.e. 9 measurements per  
280 validation point, 16 validation points per site). This choice is discussed in section 3.1, but it  
281 matches the canopy structure evaluation fetch of the parameters  $CC_5$  and  $mCH_5$  used in the  
282 model. We assessed the root mean square error (RMSE) and the mean absolute error (MAE) of  
283 snow depth, the mean absolute error of the standard deviation of snow depth within each field  
284 area (STDerr), Pearson's correlation coefficient (R) between observed and simulated HS, and the  
285 Kling-Gupta efficiency (KGE; Gupta et al. 2009). The KGE statistic combines a correlation, a  
286 bias and a variability component, and has been applied to snow model performance assessment  
287 by Magnusson et al. (2015). These goodness-of-fit metrics were computed separately for each  
288 field area and survey date.

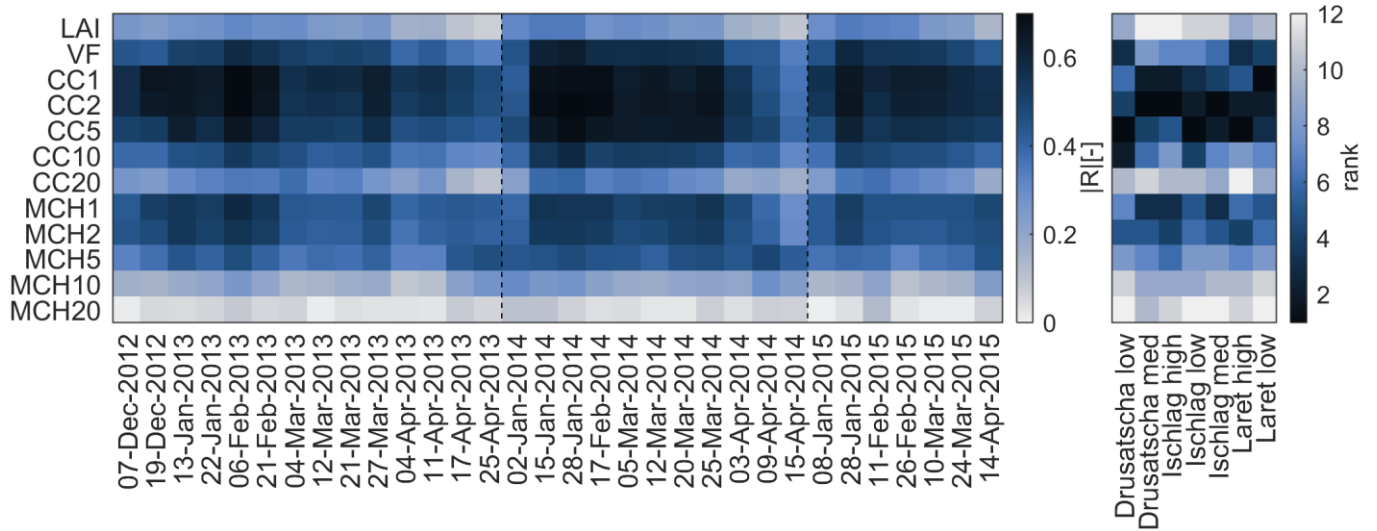
### 289 **3. Results**

#### 290 **3.1 Empirical relationships between snow distribution and canopy structure**

##### 291 *Correlations between snow depth and local canopy structure metrics*

292 Analyzing correlations between snow depth and canopy structure metrics served to  
293 identify canopy parameters to potentially include in FSM2. We computed correlation coefficients  
294 (Pearson's R) between point snow depth measurements and all canopy parameters, including CC  
295 and mCH evaluated with varying radii, for all sites and survey dates. The temporal evolution of  
296 these correlations is shown in Figure 2 (left panel) based on data from Laret low as an example.  
297 A summary of correlation statistics at each site is provided in the right panel, where canopy  
298 metrics were ranked by their R values for each individual campaign and the average rank over the  
299 entire study period is reported for each canopy metric and site. Two general trends emerge: First,  
300 the stronger correlations between snow depth and metrics that are based on a small evaluation  
301 fetch (up to 5m) highlight the control of small-scale canopy structure on snow distribution.  
302 Second, CC-based parameters exhibit the strongest correlations with snow depth, while  
303 correlations to  $V_F$  and LAI are remarkably weaker, suggesting that high-resolution modelling  
304 may benefit from incorporating a local CC metric. Correlation patterns further show strong  
305 temporal consistency, with generally higher R values during the accumulation period than during  
306 the ablation period. This may suggest that a single canopy parameter alone cannot accurately  
307 describe snow distribution once ablations processes have started to superimpose accumulation  
308 patterns.

309 Our choice to implement CC and mCH based on a 5m evaluation fetch (i.e.  $CC_5$ ,  $mCH_5$ )  
310 into FSM2 is also motivated by the data shown in Table 1, reporting correlation coefficients  
311 between CC based on different radii and HS aggregated over the same spatial unit. Contrary to  
312 results in Figure 2, correlations here improve for larger evaluation fetches; this is due to  
313 averaging snow depth data, which smooths out the scatter intrinsic to the observational data  
314 generating from random effects such as local ground roughness. Such random variability cannot  
315 be captured by the model. We therefore assessed the 5m spatial scale to be the best tradeoff  
316 between correlation strength, sample size and aggregation of observational data. At the same  
317 time, this scale is compatible with the experimental design of our sites, as aggregated points  
318 could be centered around transect intersections.



319  
 320 **Figure 2:** Correlations between local snow depth and different canopy structure variables. Left:  
 321 At the Laret low site, for all individual campaigns throughout three seasons (dashed lines mark  
 322 the separation between seasons). Right: At all sites, average rank of R over the entire study  
 323 period. Note that correlation coefficients are reported as absolute values.

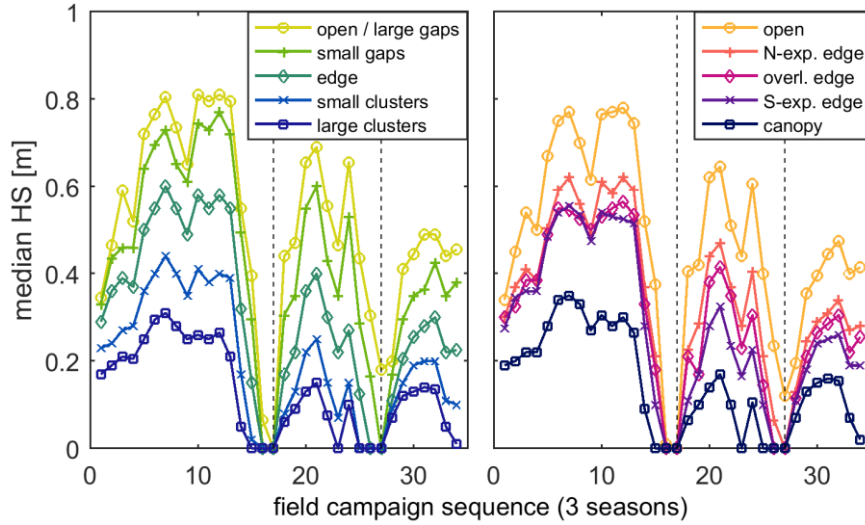
324 **Table 1:** Maximum and average correlation coefficients between canopy closure (CC) computed  
 325 over varying evaluation fetches and snow depth (HS) aggregated over the same spatial scale. R  
 326 max is the maximum correlation found for any site or survey date and R mean is the average over  
 327 all sites and campaigns. The sample size on which these statistics are based is also included.

CC and HS evaluation fetch	1m	2m	5m	10m	20m
R max	-0.79	-0.85	-0.95	-0.99	-0.97
R mean	-0.58	-0.65	-0.82	-0.89	-0.76
Sample size	1932	672	112	28	3

328 **Linking snow depth patterns and spatial canopy arrangement**

329 To derive expected model behavior, we further investigated how the spatial organization  
 330 of the canopy, described in terms of DCE-based directional and non-directional classifications,  
 331 affects snow depth patterns. Median snow depths within each pixel class were compared for both  
 332 the non-directional (Figure 3, left) and the directional (Figure 3, right) classifications. Differences  
 333 in snow depth between non-directional DCE classes are pronounced over the entire course of the  
 334 season(s) and are much more distinct than differences between snow depths at canopy edges  
 335 facing opposite aspects (red and purple lines on the right panel of Figure 3). Data from the  
 336 Ischlag high site are shown as an example, but these patterns are generally consistent for all sites  
 337 and seasons. This finding conforms with Mazzotti et al. (2019a), who came to the same  
 338 conclusion based on forest snow distribution data derived from airborne lidar; however, data  
 339 presented here offer a much larger temporal range. While several studies have highlighted the  
 340 impacts of directional effects such as aspect-dependent irradiance and wind-driven preferential  
 341 deposition on snow distribution (Broxton et al. 2015; Currier & Lundquist 2018; Hiemstra et al.  
 342 2006), these effects are mainly observed along the edges of forest stands and of large forest gaps.  
 343 In contrast, our sites reside within discontinuous forest stands characterized by relatively small  
 344 gaps (<2H, c.f. Lawler & Link, 2011). Our data attest to the prevalence of non-directional effects  
 345 over directional ones at such within-stand locations. This suggests that within discontinuous

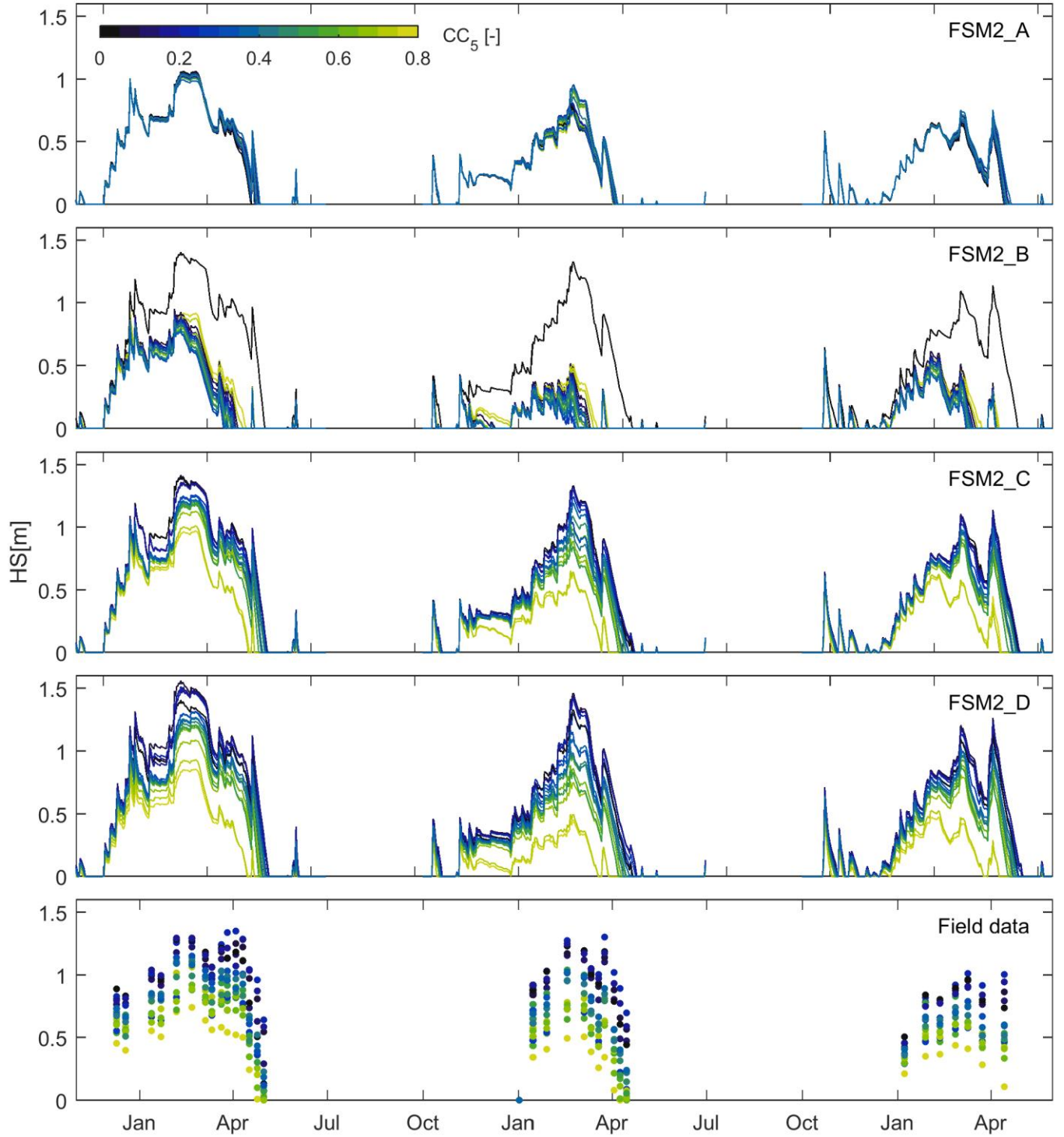
346 forest, even a model with a simple canopy implementation may be sufficient to capture the  
 347 principal links between snow depth and canopy structure pattern.



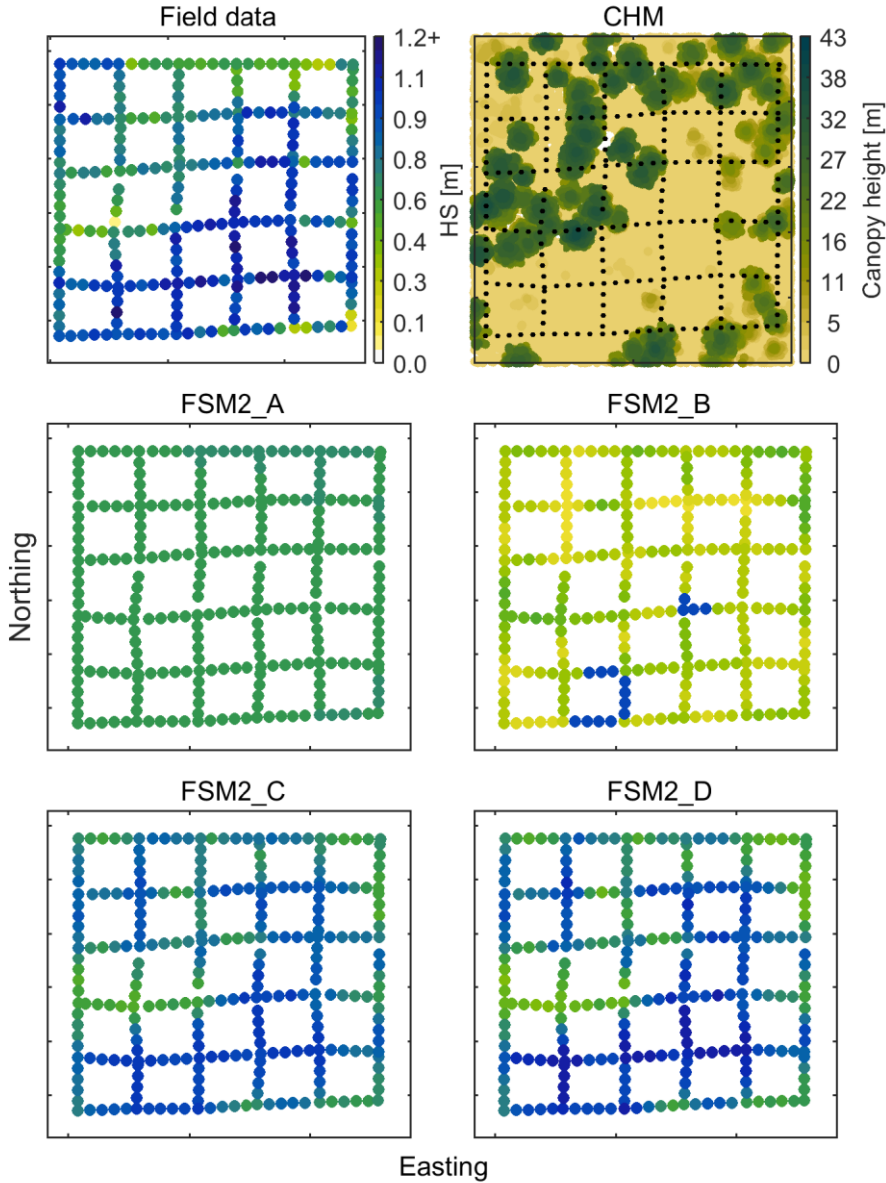
348  
 349 **Figure 3:** Temporal evolution of observed average snow depth (HS) for different pixel  
 350 classifications (dashed lines mark the separation between seasons, 2012/13 to 2014/15). Left:  
 351 Non-directional classification based on distance-to-canopy-edge (DCE) threshold; Right:  
 352 Directional classification aiming at delineating edges of opposite aspect based on NDCE and  
 353 SDCE thresholds.

### 354 3.2 Simulations of spatiotemporal snow depth distribution dynamics with alternative 355 canopy representations

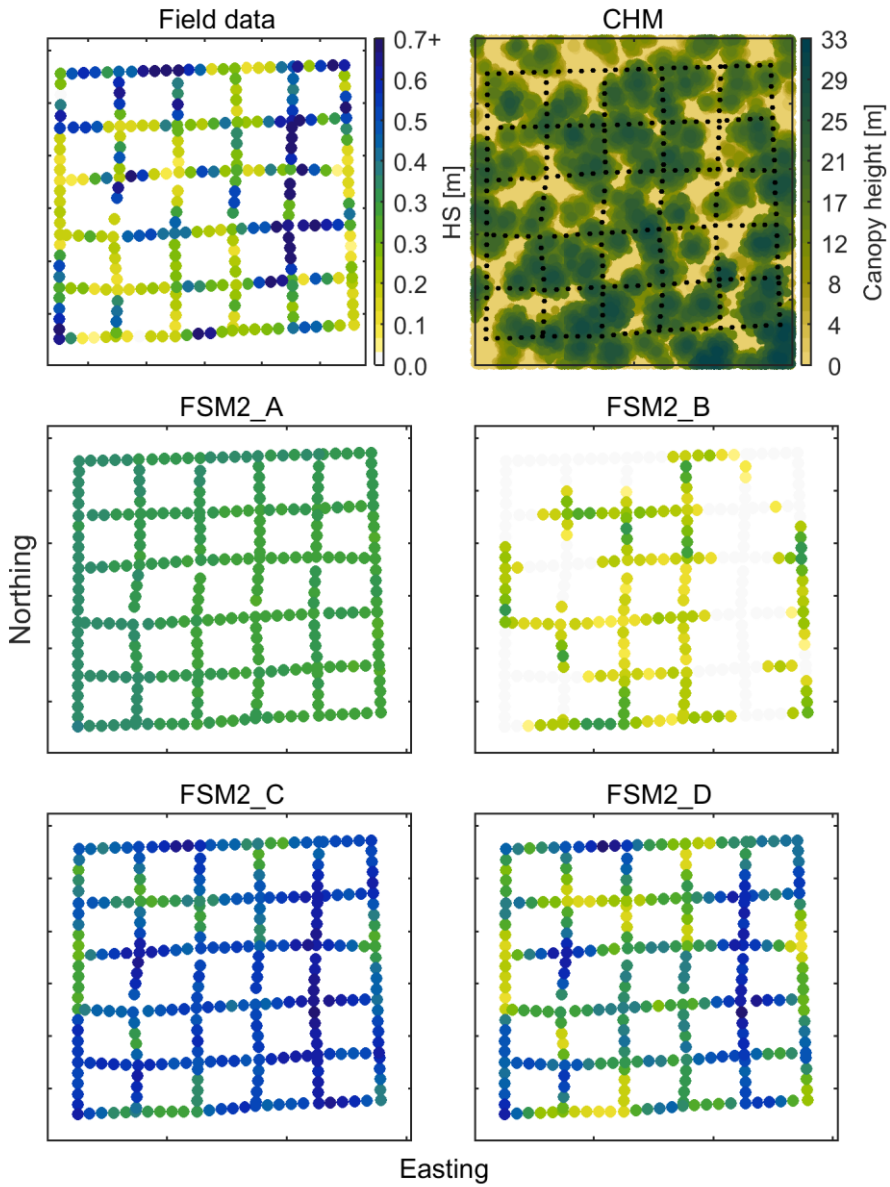
356 The following sections outline and discuss results obtained with the four model versions  
 357 individually, where the sequential order reflects the learning process that drove our model  
 358 development. Different aspects of our results are presented in four separate figures, which are  
 359 repeatedly referred to as we interpret and discuss the results of every model version separately.  
 360 We briefly introduce these figures here for context: Figure 4 presents the temporal evolution of  
 361 snow depths simulated by the four FSM2 versions at the 16 intersection points of the Drusatscha  
 362 low site alongside corresponding observations.  $CC_5$  is used as a color scale, where each line  
 363 represents one of the 16 intersection points with its unique  $CC_5$  value and serves to illustrate the  
 364 variation of snow depth with local canopy structure. In contrast, Figure 5 and Figure 6 show  
 365 temporal snapshots of observed and modelled snow depth distributions around peak of winter for  
 366 two different sites and seasons, helping to visualize spatial snow depth patterns and their position  
 367 relative to the canopy. The corresponding canopy height models reveal strong differences in  
 368 canopy structure between these two examples. Lastly, observed and simulated snow depths at all  
 369 field sites are directly compared at individual locations in Figure 7, from a survey in the  
 370 accumulation period (left panels) and one in the ablation period (right panel).



371  
372 **Figure 4:** Temporal evolution of simulated (FSM2-A to D) and observed (field data) snow depth  
373 (HS) at the 16 intersection points of the Drusatscha low site. The color scale visualizes  $CC_5$  at the  
374 points.

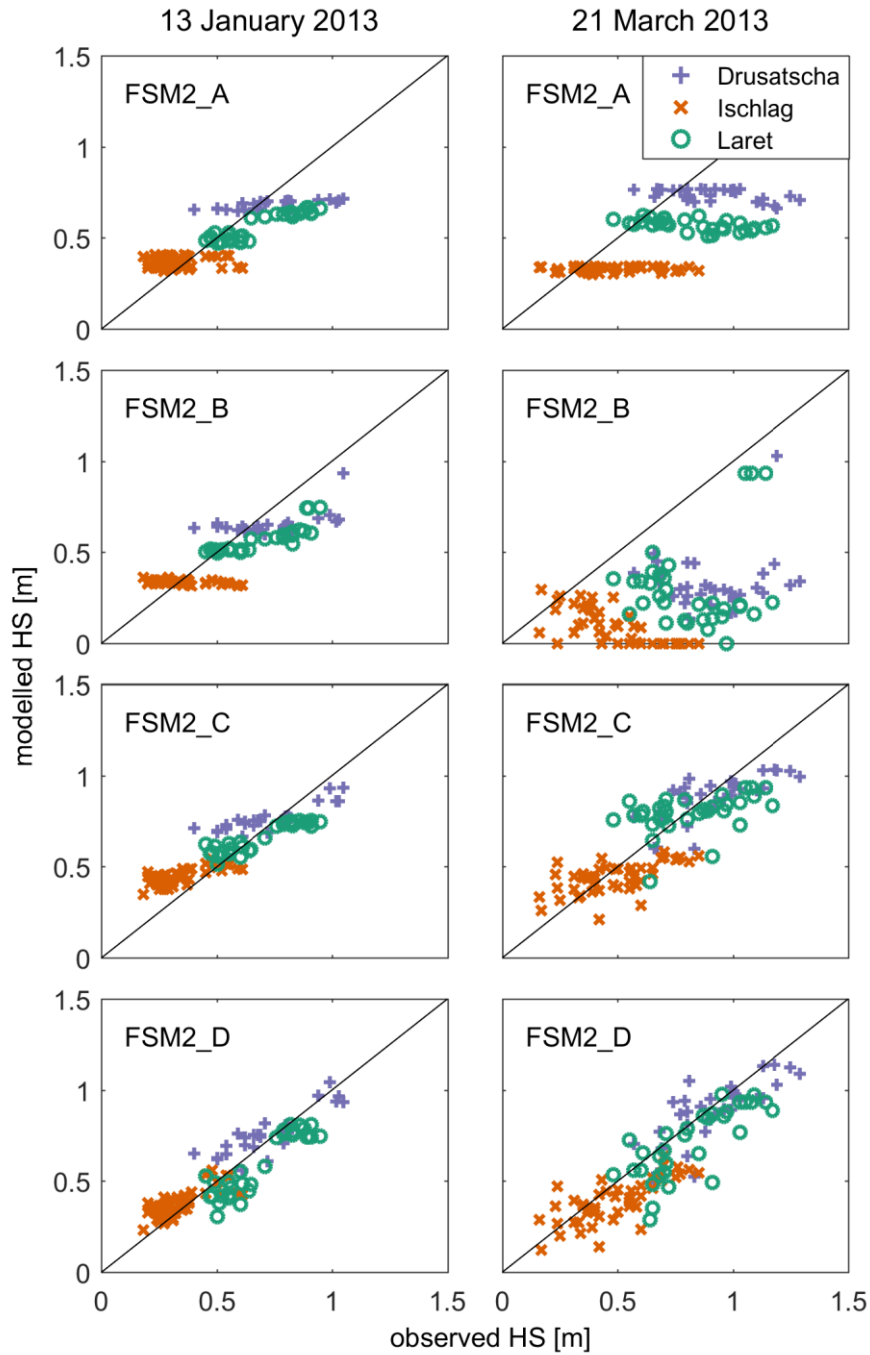


375  
376 **Figure 5:** Spatial snow depth (HS) distribution observed at the Drusatscha low site on 12 March  
377 2013 (upper left), co-located canopy height model (CHM), and model results for the same date  
378 obtained with the four FSM2 versions (lower 4 panels).



379

380 **Figure 6:** Same panels as in Figure 5 but showing data from Ischlag high on 5 March 2014.



381  
 382 **Figure 7:** Comparison of observed snow depths (HS) at the 16 intersection points of all sites and  
 383 model results obtained by the four FSM2 versions, for a campaign in the accumulation season  
 384 (left) and one during the ablation season (right).



**385 *FSM2-A: Default canopy implementation underestimates spatial variability***

386 The default FSM2 version, FSM2-A, strongly underestimates the spread in snow depth  
387 at points characterized by varying canopy cover fraction throughout the whole simulation period  
388 (Figure 4, first panel). As a consequence, simulated snow depth distributions at peak of winter are  
389 homogeneous, regardless of whether strong local differences in canopy density exist within the  
390 site or whether the site features low canopy-structural variability (Figure 5 vs. Figure 6, center  
391 left panels). Simulated HS values therefore poorly match individual observations during both the  
392 accumulation and the ablation period (Figure 7, first row).

393 These results suggest that canopy structure variability is not adequately captured by  
394 standard LAI estimates and canopy height alone. At the local scale, different processes involved  
395 in the snow mass and energy balances are affected by different portions of the canopy (Moeser et  
396 al. 2015a), but the limited canopy structure input in FSM2-A does not account for these  
397 differences. In particular, LAI estimates based on hemispherical photography are inappropriate  
398 for characterizing local canopy in gaps: LAI is always non-zero even when no canopy is present  
399 directly overhead. Unavoidably, this leads to overestimations of interception in gaps, creating  
400 comparably homogeneous snow depth distribution as a consequence (Moeser et al. 2016). This  
401 example illustrates issues arising from the application of parameterizations developed at the stand  
402 scale (such as the Hedstrom & Pomeroy (1998) interception model) to simulations at the point (or  
403 meter) scale. Achieving successful process representation at very small scales may require  
404 diverse canopy structure input to allow distinction between overhead and surrounding canopy.  
405 Respective approaches have been implemented by Ellis et al. (2013) and Broxton et al. (2015) to  
406 enable simulations at gap locations that are sheltered and shaded by the canopy but have no  
407 interception.

**408 *FSM2-B: Default inclusion of local parameters entails shortcomings in both accumulation***  
**409 *and ablation processes***

410 To address issues identified with FSM2-A, the canopy parameterization strategy applied  
411 in FSM2-B attempted to diversify the canopy structure input, with the aim of representing the  
412 different processes by using canopy parameters that incorporate a spatial scale relevant to those  
413 processes. By providing FSM2 with locally measured inputs of  $CC_5$ ,  $V_F$  and  $mCH_5$ , simulated  
414 interception could be controlled by the overhead canopy ( $CC_5$ , vertical perspective). At the same  
415 time, radiation transfer remained affected by surrounding canopy elements ( $V_F$ , hemispherical  
416 perspective).

417 Including additional forest structure information changed simulation results  
418 dramatically, but not generally for the better (Figure 4, second panel). Despite improved  
419 representation of local interception, the spread in HS is still underestimated during the  
420 accumulation period (Figure 7, second row left). The resulting snow depth patterns at peak of  
421 winter are still hardly visible (Figure 5, center right panel) or even reversed compared to  
422 observations (Figure 6, center right panel). The model melts snow too early in general and in  
423 gaps in particular. This resulted in consistent underestimations of snow depths during ablation  
424 (Figure 7, second row right).

425 Combining canopy parameters that integrate different perspectives entails potential  
426 problems that are best illustrated by considering the single point with consistently the highest  
427 accumulation and latest melt (dark blue line in Figure 4, second panel), the only intersection point  
428 with  $CC_5 = 0$  (i.e. within a large gap) at the Drusatscha low site. The much faster melt of points

429 characterized by a small  $CC_5$  (other blue lines in Figure 4) reveals a discontinuity in the model at  
430 the transition from zero to non-zero  $CC_5$  values (for equal  $V_F$ ), which is a consequence of model  
431 structure: while shortwave radiation is attenuated by the same transmissivity  $\tau = V_F$  in both cases,  
432 coupled energy balances of canopy and sub-snow require a canopy cover fraction (i.e. a non-zero  
433  $f_v$ ). Where this is not fulfilled, i.e. for  $CC_5 = 0$ , fluxes resulting from the energy balance  
434 equations, for instance longwave radiation enhancement, are completely eliminated. In contrast,  
435 longwave radiation is dictated by  $V_F$  at locations with  $CC_5 > 0$ , where rapid snowmelt indicates  
436 too high sub-canopy energy input. As shown by Gouttevin et al. (2015), this known shortcoming  
437 of 1-layer canopy models is likely due to an overestimation of effective canopy temperatures.

438 These results highlight that given the interplay between energy balance components, it is  
439 important that parametrizations of individual processes be evaluated within the full context of an  
440 energy balance model. Canopy gaps characterized by  $CC_5 = 0$  and  $V_F < 1$  are frequent in forest  
441 stands, contributing substantially to forest snow spatial variability (Dickerson-Lange et al. 2015;  
442 Mazzotti et al. 2019a; Murray & Buttle 2003; Sun et al. 2018), but FSM2-B fails to correctly  
443 capture snow cover dynamics at these locations, with shortcomings both in the accumulation and  
444 the ablation periods (Figure 7, second row). These were sequentially addressed in the two  
445 following model versions.

#### 446 ***FSM2-C: Distinction between near and distant canopy elements improves simulated energy*** 447 ***exchange***

448 The distinction between local and non-local canopy elements implemented in FSM2-C  
449 specifically tackled the discontinuity in canopy gaps identified in FSM2-B. By accounting for  
450 distant canopy elements with vegetation temperature given by air temperature and independent of  
451 the canopy energy balance, longwave radiation enhancement can take effect even at locations  
452 with  $CC_5 = 0$ . At the same time, the dissimilar canopy temperatures of near and distant elements  
453 dampen the impact of too high vegetation temperatures for locations with  $CC_5 > 0$ . Indeed, this  
454 approach eliminated the discontinuity effectively, delayed snowmelt in canopy gaps relative to  
455 dense canopy (Figure 4, third panel), and improved the match between simulations and  
456 observations, both at the level of snow distribution patterns (Figure 5 and Figure 6, lower left  
457 panels) and individual values, especially later in the season (Figure 7, third row).

458 The 1-layer canopy models fail to represent shading of the lower canopy by the upper  
459 canopy, which is why multi-layer canopies have been proposed to arrive at more realistic  
460 estimates of effective canopy temperatures (Gouttevin et al. 2015). With the presented approach,  
461 the limitations associated with a 1-layer canopy could be circumvented without a considerable  
462 increase in model complexity. Although the proposed weighting based on  $CC_5$  is certainly  
463 simplistic, it is justified from a process perspective: based on measurements of incoming sub-  
464 canopy longwave radiation, Webster et al. (2016) showed that the approximation of effective  
465 vegetation temperature by air temperature gained accuracy with increasing distance from the  
466 canopy. Distance based weighting of longwave radiation emissions from trees is also  
467 implemented in SnowPALM (Broxton et al. 2015). The relative contributions of sky and canopy  
468 to incoming longwave radiation are dictated by sky-view fraction, but canopy skin temperature is  
469 weighted by a function with length scale parameters calibrated on snow distribution. Their  
470 longwave radiation parametrization is briefly mentioned in the appendix but not discussed in their  
471 study, yet its conceptual similarity to our approach is noteworthy, and it is conceivable that this  
472 parameterization also contributed to the successful representation of spatial snow cover  
473 variability achieved with SnowPALM.

**474 *FSM2-D: Redistribution of canopy snow enhances variability during accumulation***

475 Local variations in canopy snow interception generate spatial variability of snow on the  
476 ground during accumulation, but it is the fate of the intercepted snow that ultimately determines  
477 whether this variability persists over time. Unloading snow from the canopy generally involves  
478 some degree of horizontal redistribution and may even exacerbate spatial variability (Mahat and  
479 Tarboton 2014). Within a 1-D model, however, snow is typically unloaded at the location where  
480 it is intercepted, diminishing variability created by interception (e.g. Moeser et al. 2016).  
481 Disparities between snow depth in canopy gaps and under-canopy locations can be further  
482 enhanced by preferential deposition (Lehning et al. 2008), which likely occurs as a result of  
483 modified near-surface flow fields by the canopy and reduced wind speeds as a consequence (Roth  
484 & Nolin 2017). Both redistribution of intercepted snow and preferential deposition are difficult to  
485 observe, have not been quantified to date and are not usually included in forest snow models.

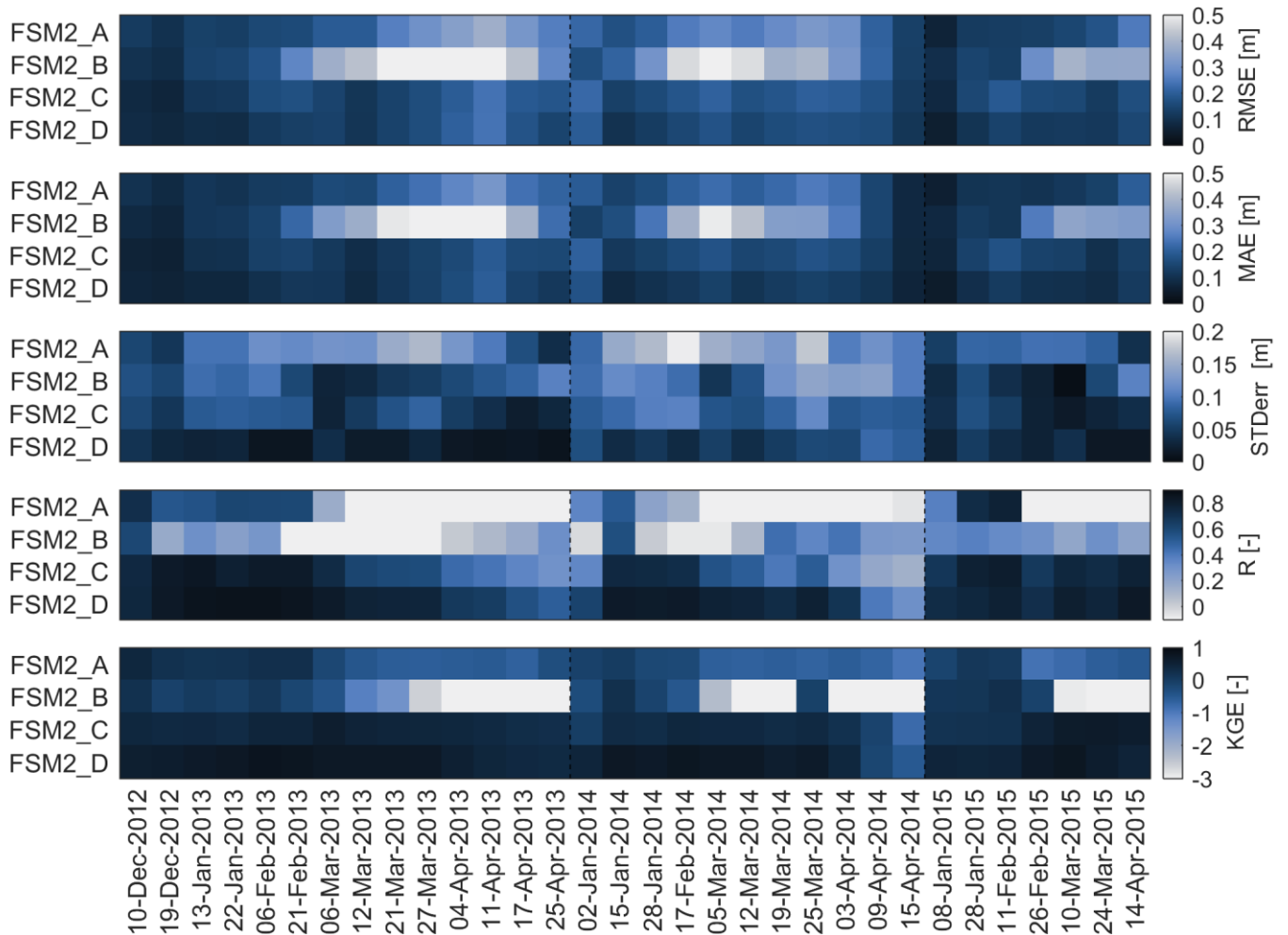
486 The precipitation scaling implemented in FSM2-D, suggested to mimic preferential  
487 deposition and redistribution processes, effectively increases snow depth spread during  
488 accumulation without requiring horizontal coupling (Figure 4, fourth panel; Figure 7, fourth row  
489 left). Spatial differences were further facilitated by slightly increasing the canopy snow holding  
490 capacity (motivated by the fact that the default value taken from literature has been suggested  
491 based on stand-scale studies) as well as the residence time of snow in the canopy (allowing  
492 sublimation to be active for longer). The resulting snow depth patterns match observations very  
493 well even at a site with little variability in canopy structure (Figure 5 and Figure 6, lower right  
494 panel), while the good results achieved with FSM2-C in the ablation period remain unaffected  
495 (Figure 7, lower right panel). For better visualization of resulting forest snow patterns, two  
496 animations showing a distributed simulation at the sites Drusatscha low and Ischlag low over the  
497 entire study period are included as supplementary material (Text S1, Movies S1 and S2).

498 Underestimation of spatial variability during accumulation has been identified in prior  
499 studies and tackled in different ways. Moeser et al. (2016) successfully simulated spatial patterns  
500 of canopy interception, but additionally modified the parameterization of canopy snow  
501 sublimation implemented in FSM2 to arrive at equally distinct below-canopy snow depth  
502 patterns. While the resulting sublimation rates were sufficiently high to preserve these patterns,  
503 potential impacts on other energy fluxes were not addressed in their study. Broxton et al. (2015)  
504 implemented wind-redistributed snow according to Winstral et al. (2002), introducing additional  
505 model parameters calibrated on distributed snow depth data. In contrast, constant precipitation  
506 correction factors were applied to under-canopy areas by Mahat and Tarboton (2014). Our  
507 precipitation scaling function attempts to reconcile these approaches by including a dependency  
508 on small scale canopy structure without increasing the number of canopy structure parameters  
509 involved.

**510 *3.3 Model performance metrics***

511 Qualitative results presented in the previous section translate into goodness-of-fit  
512 metrics (Figure 8) that quantify the strong differences in model performance of the four FSM2  
513 versions. The values shown in Figure 8 represent averages of the respective metrics over the three  
514 field areas for each individual survey date. Relative to the default version FSM2-A, deteriorated  
515 performance metrics are found for FSM2-B, with RMSE increasing by 52% (from 0.21m to  
516 0.32m) and MAE by 71% (0.17m to 0.29m) on average. In contrast, model performance is  
517 improved considerably by the modifications introduced in version FSM2-C. RMSE and MAE are  
518 reduced considerably for both FSM2-C and FSM2-D, by 25% (0.16m, 0.13m) and 30% (0.14m,

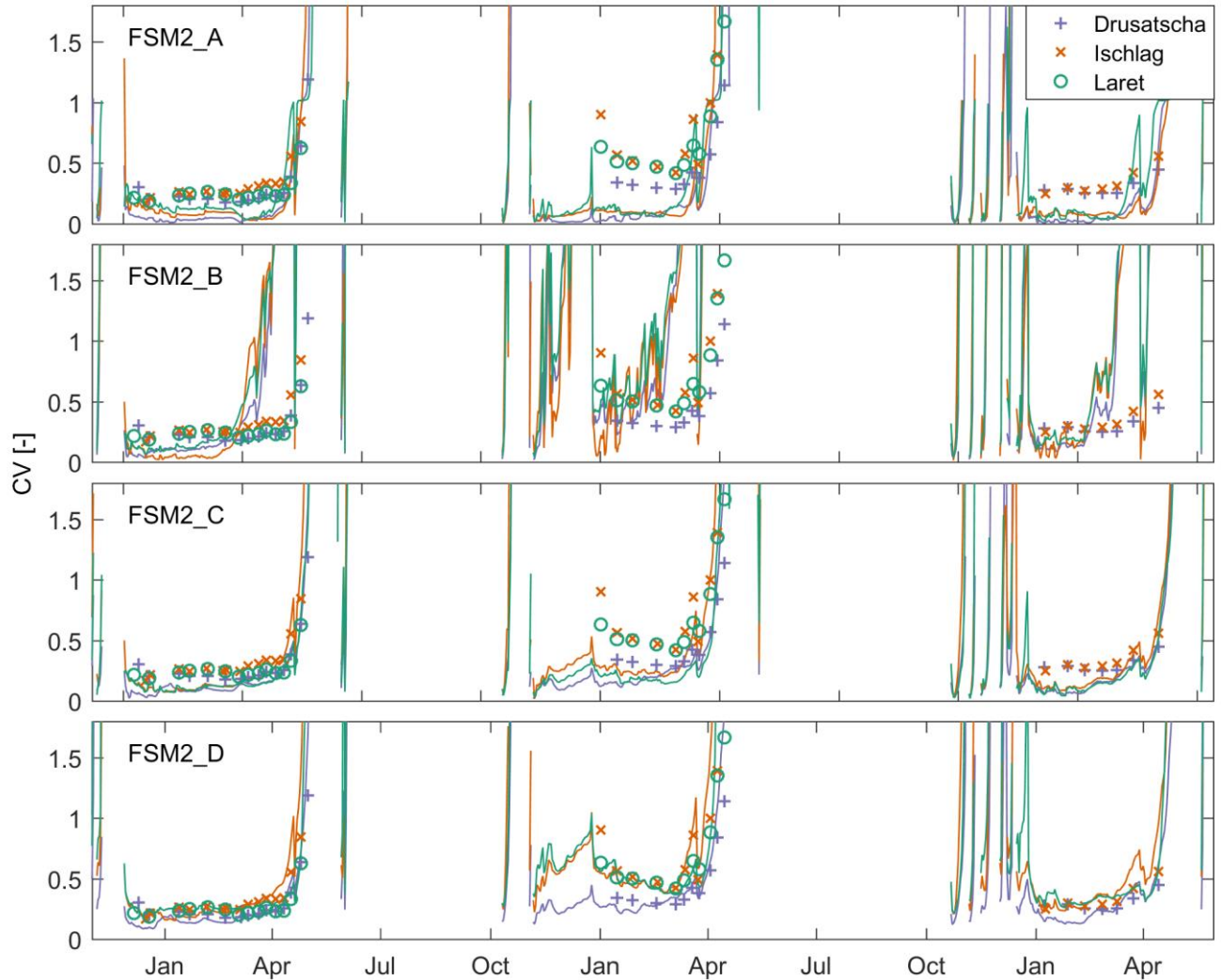
519 0.11m), respectively. The improvements in model spread achieved with FSM2-D are reflected in  
 520 a very small error in standard deviation (0.035m averaged over all campaigns), which is only  
 521 32% of the error found for FSM2-A (0.108m). Similarly, the slightly negative Pearson’s R  
 522 resulting for FSM2-A (-0.15) transforms into a strong positive correlation (0.73) for FSM2-D.  
 523 These performance metrics are slightly better than for FSM2-C (STDerr = 0.052m; R = 0.69) due  
 524 to the skill of FSM2-D to capture HS variability during the accumulation season. However,  
 525 temporal differences are evident even in the case of FSM2-D. The best model performance is  
 526 achieved around peak winter (up to R max = 0.86), while model deficiencies are larger early in  
 527 the season and towards the end of the accumulation period (R min = 0.3). This could partly be  
 528 due to inaccuracies in the model driving data and the function used to partition precipitation  
 529 components. The smaller signal-to-noise ratio of the validation data in these periods further  
 530 favors lower (apparent) model performance.



531  
 532 **Figure 8:** Temporal evolution of the five goodness of fit measures (one panel each) computed  
 533 over all field areas for the four FSM2 versions.

534 The Kling-Gupta efficiency combines the aspects quantified by all other goodness-of-fit  
 535 metrics. As expected, FSM2-C and FSM2-D clearly outperform FSM2-A and FSM2-B, and  
 536 FSM2-D exhibits slightly improved performance relative to FSM2-C. For FSM2-D, KGE  
 537 averaged over all campaigns amounts to 0.54 and maximum KGE to 0.80, while FSM2-A  
 538 features a negative average KGE of -0.34. Lastly, the benefits of model performance

539 improvements obtained with FSM2-D can be seen from the temporal evolution of the coefficient  
 540 of variation of snow depth (CV; Figure 9). The CV is an important variability descriptor, applied  
 541 e.g. in the parametrization of snow cover depletion curves (Liston 2004; Luce & Tarboton 2004)  
 542 and FSM2-D arrives at the most accurate estimates of the CV metric throughout the season.



543  
 544 **Figure 9:** Temporal evolution of simulated (solid lines) and observed (symbols) coefficient of  
 545 variation of snow depth (CV) at the three field areas for the four FSM2 versions.

#### 546 4. Discussion

547 The spatial dynamics of snow accumulation and melt in forested environments is of  
 548 great relevance for eco-hydrological processes (Lundquist & Dettinger 2005; Trujillo et al., 2012)  
 549 and land-surface energy exchange (Liston, 2004; Loranty et al., 2014). They should hence be  
 550 captured effectively in model applications from catchment to regional scales. Because mass and  
 551 energy exchange processes are controlled by small-scale canopy-structural features, models  
 552 require a high spatial resolution to explicitly resolve canopy-snow interactions (Clark et al.,  
 553 2011a; Broxton et al., 2015). Recent efforts to incorporate such canopy-dependent process  
 554 representations into forest snow models have generally increased model complexity at the

555 expense of parsimony. Here, we have demonstrated that spatiotemporal forest snow distribution  
556 dynamics can also be reproduced with standard forest snow models commonly used in larger-  
557 scale applications. We have particularly showcased how the integration of local canopy  
558 information allowed accurate high-resolution (2m) simulations with only minor modifications to  
559 model structure.

560 Most modelling applications require spatial resolutions coarser than typical forest snow  
561 process scales (Blöschl, 1999; Clark et al. 2011a). Yet, they can benefit from high-resolution  
562 simulations in two ways: First, variability that arises from relevant but unresolved processes is  
563 commonly treated by sub-grid parametrizations, an example being the derivation of fractional  
564 snow-covered area from depletion curves (Essery & Pomeroy, 2004; Luce & Tarboton, 2004;  
565 Helbig et al., 2015). However, approaches specific to forested terrain are still rare (Czyzowska-  
566 Wisiniewski et al., 2015; Kostadinov et al., 2019) and further development of these methods  
567 demands data or simulations that depict realistic levels of spatial variability. While forest snow  
568 models set up based on stand-scale parameters (i.e. FSM2-A) underestimate spatial variations,  
569 simulations following the approach of FSM2-D are potentially suited to inform novel  
570 parameterizations of sub-grid variability. Second, model upscaling experiments can serve to  
571 investigate errors arising from model coarsening and corresponding spatial aggregation of canopy  
572 properties (e.g. Broxton et al., 2015). Respective studies may even lead to the derivation of  
573 effective canopy parameters suitable for coarser-scale simulations and/or correction functions to  
574 account for resolution-induced biases (Essery et al., 2009). To this end, single-model solutions  
575 that allow consistent process representation and coupling across spatial resolutions from meter to  
576 coarse grid scale constitute an ideal framework, rather than assuming transferability of results  
577 from a separate high resolution model to a coarse-scale model with a different set of  
578 parameterizations.

579 It is therefore a particular asset of our modelling approach that spatial accuracy is  
580 enhanced while the structure of standard, widely used models is preserved. More complex  
581 solutions put either high demands on computational resources and data availability, or do not  
582 easily translate to coarser scales if model structure changes are involved: For example,  
583 Musselman et al (2012b) introduced a space- and time-varying external input variable to account  
584 for direct-beam irradiance; the interception parametrization of Moeser et al. (2016) includes three  
585 dedicated canopy metrics and requires the model to track cumulative precipitation per storm  
586 event; and snowfall distribution as implemented by Broxton et al. (2015) relies on information  
587 about wind-direction dependent exposure at each modelled location. In FSM2-D however, spatial  
588 variability of energy balance terms is achieved by discerning near and distant canopy elements  
589 based on already used canopy descriptors, while preferential deposition and redistribution of  
590 snow are treated conceptually without necessitating horizontal coupling between grid cells.

591 FSM2-D achieves considerably improved performance with only minimal model  
592 changes, yet our approach also entails limitations. Disregarding directionality in radiation transfer  
593 may deteriorate model performance, particularly along forest edges during the ablation period  
594 where preferential melt is most evident (Mazzotti et al., 2019). Further, tree wells cannot be  
595 accurately resolved if very specific processes such as the bending of branches under snow load  
596 and subsequent unloading patterns are neglected (Sturm 1992). By confining canopy structure  
597 parameters to the widely used metrics LAI,  $V_F$ ,  $CC_x$  and  $mCH_x$ , our modelling approach is  
598 essentially well suited to assess forest snow distribution over larger areas (Varhola et al. 2014).  
599 But the relevance of directional processes varies with climatic conditions and may hamper model

600 transferability under certain circumstances, particularly (1) in dry high-isolation environments  
601 that feature pronounced discontinuities due to disturbances (Biederman et al., 2014; Harpold et  
602 al., 2014b), and (2) where forest snow is affected by wind-drift (Revuelto et al., 2015; Dickerson-  
603 Lange et al., 2017). Similar uncertainties arise when considering model transferability across  
604 different forest types. Like many other forest snow studies (Varhola et al., 2010), our work has  
605 been focused on evergreen conifer forests; but to date, datasets from deciduous stands are more  
606 scarce, and model applicability to these environments remains to be tested. In this context, the  
607 increasing availability of snow distribution datasets from airborne lidar offers more opportunities  
608 to further validate and improve the modeling approaches presented here.

609 Yet, the sole use of snow depth data for model performance assessment does not allow  
610 investigating potential equifinality issues and error compensation mechanisms (Beven, 2006;  
611 Clark et al., 2011b). Future forest snow model development and validation efforts should  
612 therefore also verify that the variability of individual processes is adequately captured. This is  
613 particularly important for processes that are controlled by local canopy-structure characteristics  
614 and thus exhibit strong spatial heterogeneity, such as shortwave radiation transfer, snow  
615 interception, and its subsequent unloading and sublimation. Experimental data that would have  
616 permitted process-level model evaluation were unfortunately not available at our sites for the  
617 period of this study. Follow-up research should leverage latest methods for the acquisition of  
618 spatially resolved micrometeorological data under heterogeneous canopy (Malle et al., 2019;  
619 Mazzotti et al. 2019b) to better constrain sub-canopy energy fluxes. Eventually, a multi-layer  
620 canopy representation may be needed to better resolve individual energy balance components that  
621 involve vertical gradients, for instance absorption of shortwave radiation and resulting canopy  
622 surface temperature inhomogeneities (Gouttevin et al., 2015; Webster et al., 2017).

## 623 5. Conclusion

624 This study has investigated how an energy-balance snow model of medium complexity  
625 coupled to a 1-layer canopy representation can be applied to realistically replicate small scale  
626 (<10m) variability of forest snow. Our results suggest that separate treatment of near and distant  
627 canopy elements allows balancing their impact on local energy exchange, mitigating  
628 discontinuity issues in canopy gaps and preventing overly rapid melt during ablation. Preferential  
629 deposition of precipitation and redistribution processes should be accounted for to create  
630 sufficient variability during snow accumulation. Both concepts were successfully implemented in  
631 FSM2 without increasing either model complexity or the number of canopy parameters involved,  
632 but with substantial improvements in model performance. The suggested approach is compatible  
633 with commonly used land surface models and may therefore allow a large community of model  
634 developers to assess their model in similar high-resolution applications.

635 Rapidly evolving remote sensing technologies and computational resources are  
636 increasing the availability of detailed canopy structure datasets and the potential to run high-  
637 resolution simulations over more and larger areas. In view of future work, we envision three  
638 cases: For regional scale applications, our single-model approach facilitates transference of  
639 process understanding gained from high-resolution simulations to coarser scales through intrinsic  
640 upscaling experiments. For catchment scale applications, the efficiency of the approaches  
641 presented here enables high resolution simulations that explicitly resolve canopy-snow  
642 interactions, even over entire watersheds. This provides unique opportunities to assess eco-  
643 hydrological implications of, e.g., natural and management-induced forest disturbances. For  
644 process-level studies, an approach that resolves detailed forest snow distribution patterns with

645 commonly-used model concepts provides a suitable baseline for the evaluation of alternative,  
646 more complex process representations.

### 647 **Acknowledgements**

648 This project was funded by the Swiss National Science Foundation (SNF, projects 169213 and  
649 200021\_146184/1). Development of FSM2 is supported by NERC grant NE/P011926/1. C. D.  
650 Moeser was partially funded by the USGS South Central Climate Adaptation Science Center. We  
651 thank Pascal Egli, Saskia Gindraux, Nena Griessinger, Timea Marekova, Matthias Rieckh, Jiri  
652 Roubinek, Clare Webster, Franziska Zahner and Franziska Zieger from the SLF snow hydrology  
653 group for assistance in the field. We are particularly grateful to Clare Webster for providing  
654 synthetic hemispherical images and distributed sky view fraction calculations used to create the  
655 animations (SI), and for valuable input and discussions throughout the course of this work. We  
656 would further like to thank Adrian Harpold and Anne Nolin for their insightful and constructive  
657 reviews which helped improve the quality of this article. Data presented in this study are  
658 available from the WSL data repository Envidat (<https://www.envidat.ch/dataset/forest-snow-modelling-davos-2012-2015>). Any use of trade, firm, or product names is for descriptive  
659 purposes only and does not imply endorsement by the U.S. Government.  
660

### 661 **Appendix: Description of the FSM2 forest canopy model**

662 The canopy energy balance in FSM2 largely follows Bewley et al. (2010). Shortwave  
663 transmission through the canopy is

$$\tau = \exp(-0.5VAI)$$

664 and the above-canopy albedo is

$$\alpha = (1 - \tau)\alpha_c + \tau^2\alpha_g$$

665 for dense canopy albedo  $\alpha_c$  and ground albedo  $\alpha_g$ , neglecting multiple reflections and assuming  
666 diffuse radiation. Snow cover fractions  $f_{cs}$  on the canopy and  $f_{gs}$  on the ground are used to  
667 interpolate between snow-free and snow-covered albedos (Essery, 2015). Net shortwave radiation  
668 absorbed by vegetation and the ground are

$$SW_v = (1 - \tau)(1 - \alpha_c + \alpha_g\tau)SW_{\downarrow}$$

669 and

$$SW_g = (1 - \alpha_g)\tau SW_{\downarrow},$$

670 where  $SW_{\downarrow}$  is the downwards shortwave radiation flux above the canopy. Assuming that  
671 vegetation and snow on the ground are blackbodies with surface temperatures  $T_v$  and  $T_g$ , net  
672 longwave radiation is

$$LW_v = (1 - \tau)(LW_{\downarrow} + \sigma T_g^4 - 2\sigma T_v^4)$$

673 and

$$LW_g = \tau LW_{\downarrow} - \sigma T_g^4 + (1 - \tau)\sigma T_v^4,$$

674 where  $\sigma$  is the Stefan-Boltzmann constant and  $LW_{\downarrow}$  is the downwards longwave radiation flux  
675 above the canopy.

676 Momentum roughness lengths  $z_{0f}$  for snow-free ground and  $z_{0s}$  for snow are combined to give a  
677 ground roughness length

$$z_{0g} = z_{0f}^{1-f_s} z_{0s}^{f_s}.$$



678 For vegetation of height  $h$  covering fraction  $f_v$  of the ground, the roughness length and  
 679 displacement height are  $z_{0v} = 0.1h_c$  and  $d = 0.67f_v h_c$ . The combined roughness length is

$$z_0 = z_{0g}^{1-f_v} z_{0v}^{f_v}.$$

680 Neglecting the influences of atmospheric stability, aerodynamic resistances for heat transfer are

$$r_a = \frac{1}{ku_*} \ln\left(\frac{z-d}{z_0}\right)$$

681 between the canopy air space and the atmosphere,

$$r_g = \frac{1}{ku_*} \left[ \frac{1-f_v}{\ln 10} + 0.004f_v \right]^{-1}$$

682 between the ground and the canopy air space, and

$$r_v = \frac{20}{VAIu_*^{1/2}}$$

683 between the vegetation and the canopy air space, where  $k$  is the von Kármán constant,  $z$  is the  
 684 meteorological measurement height and

$$u_* = kU_a \left[ \ln\left(\frac{z-d}{z_0}\right) \right]^{-1}$$

685 is the friction velocity for above-canopy wind speed  $U_a$ .

686 Sensible heat fluxes are parametrized as

$$H = \frac{\rho c_p}{r_a} (T_c - T_a)$$

687 between the canopy air space at temperature  $T_c$  and above-canopy air at temperature  $T_a$ ,

$$H_g = \frac{\rho c_p}{r_g} (T_g - T_c)$$

688 between the ground and the canopy air space, and

$$H_v = \frac{\rho c_p}{r_v} (T_v - T_c)$$

689 between the vegetation and the canopy air space. Similarly, moisture fluxes are parametrized as

$$E = \frac{\rho}{r_a} (Q_c - Q_a)$$

690 between the canopy air space with humidity  $Q_c$  and above-canopy air with humidity  $Q_a$ ,

$$E_g = \frac{\rho}{r_{ag}} [Q_{\text{sat}}(T_g) - Q_c]$$

691 between the ground and the canopy air space, and

$$E_v = \frac{\rho}{r_{av}} [Q_{\text{sat}}(T_v) - Q_c]$$

692 between the vegetation and the canopy air space, where  $Q_{\text{sat}}$  is the temperature-dependent  
 693 saturation humidity if the vegetation and the ground are snow-covered. If they are not, moisture  
 694 fluxes are limited by water availability factors depending on soil moisture.

695 The energy and mass conservation equations

$$H = H_g + H_v,$$

$$E = E_g + E_v,$$

$$LW_g + SW_g = G + H + L_s E_g + L_f M$$

696 and

$$LW_v + SW_v = H_v + L_s E_s + C_{\text{can}} \frac{dT_v}{dt}$$

697 form a set of equations for the unknown  $Q_c$ ,  $T_c$ ,  $T_s$ ,  $T_v$ , ground heat flux  $G$  and melt rate  $M$ ;  $L_f$   
 698 and  $L_s$  are latent heats for melting and sublimation of snow, and  $C_{\text{can}}$  is the canopy heat capacity,  
 699 assumed to be proportional to VAI. The equations are linearized and solved iteratively.

700 The model for interception of falling snow by the canopy is based on Hedstrom and Pomeroy  
 701 (1998) as implemented by Essery et al. (2003). If the canopy holds a mass of  $S_v$  at the beginning  
 702 of a timestep of length  $\delta t$  with snow falling at rate  $S_f$ , the increase in intercepted mass over the  
 703 timestep is

$$\delta S_v = (S_{\text{max}} - S_v) \left[ 1 - \exp\left(-\frac{f_v S_f \delta t}{S_{\text{max}}}\right) \right]$$

704 where  $S_{\text{max}} = 4.4\text{VAI}$  is the maximum canopy snow holding capacity. Snow unloads from the  
 705 canopy at rate  $\tau_u^{-1} S_v$  with different values of the time constant  $\tau_u$  for cold and melting snow.

## 706 References

- 707 Bartlett, P.A., MacKay, M.D., & Verseghy, D.L. (2006) Modified snow algorithms in the  
 708 Canadian land surface scheme: Model runs and sensitivity analysis at three boreal forest stands.  
 709 *Atmosphere-Ocean* 44(3), 207-222. <https://doi.org/10.3137/ao.440301>.
- 710 Beniston, M. (2003) Climatic change in mountain regions: a review of possible impacts. *Climatic*  
 711 *Change* 59, 5-31. <https://doi.org/10.1023/A:1024458411589>.
- 712 Beven, K. (2006) A manifesto for the equifinality thesis. *Journal of Hydrology*, 320, 18-36.  
 713 <https://doi.org/10.1016/j.jhydrol.2005.07.007>.
- 714 Biederman, J.A., Brooks, P.D., Harpold, A.A., Gochis, D.J., Gutman, E., Reed, D.E., Pendall, E.,  
 715 & Ewers, B.E. (2014) Multiscale observations of snow accumulation and peak snowpack  
 716 following widespread, insect-induced lodgepole pine mortality. *Ecohydrology*, 7, 150-162.  
 717 <https://doi.org/10.1002/eco.1342>.
- 718 Bewley, D., Essery, R., Pomeroy, J., & Ménard, C. (2010) Measurements and modelling of  
 719 snowmelt and turbulent heat fluxes over shrub tundra. *Hydrology and Earth System Sciences* 14,  
 720 1331-1340. <https://doi.org/10.5194/hess-14-1331-2010>.
- 721 Blöschl, G. (1999) Scaling issues in snow hydrology. *Hydrological Processes* 13, 2149-2175.  
 722 [https://doi.org/10.1002/\(SICI\)1099-1085\(199910\)13:14/15<2149::AID-HYP847>3.0.CO;2-8](https://doi.org/10.1002/(SICI)1099-1085(199910)13:14/15<2149::AID-HYP847>3.0.CO;2-8)
- 723 Boone, A., Samuelsson, P., Gollvik, S., Napoly, A., Jarlan, L., Brun, E., & Decharme, B. (2017)  
 724 The interactions between soil–biosphere–atmosphere land surface model with a multi-energy  
 725 balance (ISBA-MEB) option in SURFEXv8 – Part 1: Model description. *Geoscientific Model*  
 726 *Development* 10(2), 843-872. <https://doi.org/10.5194/gmd-10-1621-2017>.
- 727 Broxton, P.D., Harpold, A.A., Biederman, J.A., Troch, P.A., Molotch, N.P., & Brooks, P.D.  
 728 (2015) Quantifying the effects of vegetation structure on snow accumulation and ablation in  
 729 mixed-conifer forests. *Ecohydrology* 8(6), 1073-1094. <https://doi.org/10.1002/eco.1565>.

- 730 Chen, J.M., Rich, P.M., Gover, S.T., Norman, J.M., & Plummer, S. (1997) Leaf area index of  
731 boreal forests: Theory, techniques and measurements. *Journal of Geophysical Research*  
732 *102(D24)*, 14. <https://doi.org/10.1029/97JD01107>.
- 733 Clark, M.P., Hendrikx, J., Slater, A.G., Kavetski, D., Anderson, B., Cullen, N.J., et al. (2011a)  
734 Representing spatial variability of snow water equivalent in hydrologic and land-surface models:  
735 A review. *Water Resources Research* *47(7)*. <https://doi.org/10.1029/2011WR010745>.
- 736 Clark, M.P., Kavetski, D. and Fenicia, F. (2011b) Pursuing the method of multiple working  
737 hypotheses for hydrological modelling. *Water Resources Research* *47(9)*, W09301.  
738 <https://doi.org/10.1029/2010WR009827>.
- 739 Clark, M.P., Nijssen, B., Lundquist, J.D., Kavetski, D., Rupp, D.E., Woods, R.A., et al. (2015) A  
740 unified approach for process-based hydrologic modeling: 1. Modeling concept. *Water Resources*  
741 *Research* *51(4)*, 2498-2514. <https://doi.org/10.1002/2015WR017198>.
- 742 Conway, J.P., Pomeroy, J.W., Helgason, W.D., & Kinar, N.J. (2018) Challenges in Modeling  
743 Turbulent Heat Fluxes to Snowpacks in Forest Clearings. *Journal of Hydrometeorology* *19(10)*,  
744 1599-1616. <https://doi.org/10.1175/JHM-D-18-0050.1>.
- 745 Currier, W.R., & Lundquist, J.D. (2018) Snow Depth Variability at the Forest Edge in Multiple  
746 Climates in the Western United States. *Water Resources Research* *54*, 18.  
747 <https://doi.org/10.1029/2018WR022553>.
- 748 Currier, W.R., Pflug, J., Mazzotti, G., Jonas, T., Deems, J.S., Bormann, K.J., et al. (2019)  
749 Comparing aerial lidar observations with terrestrial lidar and snow-probe transects from NASA's  
750 2017 SnowEx campaign. *Water Resources Research*. <https://doi.org/10.1029/2018WR024533>.
- 751 Czyzowska-Wisiniewski, E. H., van Leeuwen, W.J.D., Hirschboeck, K. K., Marsh, S. E. &  
752 Wisiniewski, W. T. (2015) Fractional snow cover estimation in complex alpine-forested  
753 environments using an artificial neural network. *Remote Sensing of Environment*, *156*, 403-417.  
754 <https://doi.org/10.1016/j.rse.2014.09.026>.
- 755 Dickerson-Lange, S.E., Lutz, J.A., Gersonde, R., Martin, K.A., Forsyth, J.E., & Lundquist, J.D.  
756 (2015) Observations of distributed snow depth and snow duration within diverse forest structures  
757 in a maritime mountain watershed. *Water Resources Research* *51(11)*, 9353-9366.  
758 <https://doi.org/10.1002/2015WR017873>.
- 759 Dickerson-Lange, S.E., Gersonde, R., Hubbard, J.A., Link, T.E., Nolin, A.W., Perry, G.H.; Roth,  
760 T. R., et al. (2017) Snow disappearance timing is dominated by forest effects on snow  
761 accumulation in warm winter climates of the Pacific Northwest, United States. *Hydrological*  
762 *Processes* *31*, 1846-1862. <https://doi.org/10.1002/hyp.11144>.
- 763 Egli, L., Jonas, T., & Meister, R. (2009) Comparison of different automatic methods for  
764 estimating snow water equivalent. *Cold Regions Science and Technology* *57(2-3)*, 107-115.  
765 <https://doi.org/10.1016/j.coldregions.2009.02.008>.
- 766 Ellis, C.R., Pomeroy, J.W., Brown, T., & MacDonald, J. (2010) Simulation of snow  
767 accumulation and melt in needleleaf forest environments. *Hydrology and Earth System Sciences*  
768 *14(6)*, 925-940. <https://doi.org/10.5194/hess-14-925-2010>.
- 769 Ellis, C.R., Pomeroy, J.W., & Link, T.E. (2013) Modeling increases in snowmelt yield and  
770 desynchronization resulting from forest gap-thinning treatments in a northern mountain  
771 headwater basin. *Water Resources Research* *49(2)*, 936-949. <https://doi.org/10.1002/wrcr.20089>.
- 772 Essery, R. (2015) A factorial snowpack model (FSM 1.0). *Geoscientific Model Development*  
773 *8(12)*, 3867-3876. <https://doi.org/10.5194/gmd-8-3867-2015>.

- 774 Essery, R., Bunting, P., Rowlands, A., Rutter, N., Hardy, J., Melloh, R., et al. (2008a) Radiative  
775 Transfer Modeling of a Coniferous Canopy Characterized by Airborne Remote Sensing. *Journal*  
776 *of Hydrometeorology* 9(2), 228-241. <https://doi.org/10.1175/2007JHM870.1>.
- 777 Essery, R., Morin, S., Lejeune, Y., & Ménard, C.B. (2013) A comparison of 1701 snow models  
778 using observations from an alpine site. *Advances in Water Resources* 55, 131-148.  
779 <https://doi.org/10.1016/j.advwatres.2012.07.013>.
- 780 Essery, R., Pomeroy, J., Ellis, C., & Link, T. (2008b) Modelling longwave radiation to snow  
781 beneath forest canopies using hemispherical photography or linear regression. *Hydrological*  
782 *Processes* 22(15), 2788-2800. <https://doi.org/10.1002/hyp.6930>.
- 783 Essery, R., Pomeroy, J., Parviainen, J., & Storck, P. (2003) Sublimation of Snow from  
784 Coniferous Forests in a Climate Model. *Journal of Climate* 16), 1855-1864.  
785 [https://doi.org/10.1175/1520-0442\(2003\)016<1855:SOSFCF>2.0.CO;2](https://doi.org/10.1175/1520-0442(2003)016<1855:SOSFCF>2.0.CO;2).
- 786 Essery, R., Rutter, N., Pomeroy, J., Baxter, R., Stahli, M., Gustafsson, D., et al. (2009)  
787 SNOWMIP2: An Evaluation of Forest Snow Process Simulations. *Bulletin of the American*  
788 *Meteorological Society* 90(8), 1120-1135. <http://dx.doi.org/10.1175/2009BAMS2629.1>.
- 789 Essery, R., & Pomeroy, J. (2004) Implications of spatial distributions of snow mass and melt rate  
790 for snow-cover depletion: theoretical considerations. *Annals of Glaciology* 38, 261-265.  
791 <https://doi.org/10.3189/172756404781815275>
- 792 Ginzler, C., & Hobi, M. (2015) Countrywide Stereo-Image Matching for Updating Digital  
793 Surface Models in the Framework of the Swiss National Forest Inventory. *Remote Sensing* 7(4),  
794 4343-4370. <https://doi.org/10.3390/rs70404343>.
- 795 Gouttevin, I., Lehning, M., Jonas, T., Gustafsson, D., & Mölder, M. (2015) A two-layer canopy  
796 model with thermal inertia for an improved snowpack energy balance below needleleaf forest  
797 (model SNOWPACK, version 3.2.1, revision 741). *Geoscientific Model Development* 8(8), 2379-  
798 2398. <https://doi.org/10.5194/gmd-8-2379-2015>.
- 799 Gupta, H.V., Kling, H., Yilmaz, K.K., & Martinez, G.F. (2009) Decomposition of the mean  
800 squared error and NSE performance criteria: Implications for improving hydrological modelling.  
801 *Journal of Hydrology* 377(1-2), 80-91. <https://doi.org/10.1016/j.jhydrol.2009.08.003>.
- 802 Hardy, J.P., Melloh, R., Koenig, G., Marks, D., Winstral, A., Pomeroy, J.W., & Link, T. (2004)  
803 Solar radiation transmission through conifer canopies. *Agricultural and Forest Meteorology*  
804 126(3-4), 257-270. <https://doi.org/10.1016/j.agrformet.2004.06.012>.
- 805 Harpold, A.A., Guo, Q., Molotch, N., Brooks, P.D., Bales, R., Fernandez-Diaz, J.C., et al. (2014)  
806 LiDAR-derived snowpack data sets from mixed conifer forests across the Western United States.  
807 *Water Resources Research* 50(3), 2749-2755. <https://doi.org/10.1002/2013WR013935>.
- 808 Harpold, A.A., Biederman, J.A., Condon, K., Merino, M., Korgaonkar, Y., Nan, T., Sloat, L.L.,  
809 et al. (2014b) Changes in snow accumulation and ablation following the Las Conchas Forest Fire,  
810 New Mexico, USA. *Ecohydrology*, 7, 440-452. <https://doi.org/10.1002/eco.1363>.
- 811 Hedstrom, N., & Pomeroy, J.W. (1998) Measurements and modelling of snow interception in the  
812 boreal forest. *Hydrological Processes* 12, 1611-1625. [https://doi.org/10.1002/\(SICI\)1099-1085\(199808/09\)12:10:11<1611::AID-HYP684>3.0.CO;2-4](https://doi.org/10.1002/(SICI)1099-1085(199808/09)12:10:11<1611::AID-HYP684>3.0.CO;2-4).
- 814 Helbig, N., van Herwijnen, A., Magnusson, J., & Jonas, T. (2015) Fractional snow-covered area  
815 parameterization over complex topography. *Hydrology and Earth System Sciences* 19(3), 1339-  
816 1351. <https://doi.org/10.5194/hess-19-1339-2015>.

- 817 Hiemstra, C.A., Liston, G.E., & Reiners, W.A. (2006) Observing, modelling, and validating snow  
818 redistribution by wind in a Wyoming upper treeline landscape. *Ecological Modelling* 197(1-2),  
819 35-51. <https://doi.org/10.1016/j.ecolmodel.2006.03.005>
- 820 Khosravipour, A., Skidmore, A.K., Isenburg, M., Wang, T., & Hussin, Y.A. (2014) Generating  
821 Pit-free Canopy Height Models from Airborne Lidar. *Photogrammetric Engineering & Remote*  
822 *Sensing* 80(9), 863-872. <https://doi.org/10.14358/PERS.80.9.863>.
- 823 Lawler, R.R., & Link, T.E. (2011) Quantification of incoming all-wave radiation in discontinuous  
824 forest canopies with application to snowmelt prediction. *Hydrological Processes* 25(21), 3322-  
825 3331. <https://doi.org/10.1002/hyp.8150>.
- 826 Lehning, M., Löwe, H., Ryser, M., & Raderschall, N. (2008) Inhomogeneous precipitation  
827 distribution and snow transport in steep terrain. *Water Resources Research* 44(7).  
828 <https://doi.org/10.1029/2007WR00654>.
- 829 Lehning, M., Völksch, I., Gustafsson, D., Nguyen, T.A., Stähli, M., & Zappa, M. (2006)  
830 ALPINE3D: a detailed model of mountain surface processes and its application to snow  
831 hydrology. *Hydrological Processes* 20(10), 2111-2128. <https://doi.org/10.1002/hyp.6204>.
- 832 Liston, G.E. (2004) Representing Subgrid Snow Cover Heterogeneities in Regional and Global  
833 Models. *Journal of Climate* 17(1381-1397). [https://doi.org/10.1175/1520-0442\(2004\)017<1381:RSSCHI>2.0.CO;2](https://doi.org/10.1175/1520-0442(2004)017<1381:RSSCHI>2.0.CO;2).
- 835 Luce, C.H., & Tarboton, D.G. (2004) The application of depletion curves for parameterization of  
836 subgrid variability of snow. *Hydrological Processes* 18(8), 1409-1422.  
837 <https://doi.org/10.1002/hyp.1420>.
- 838 Lundquist, J.D., & Dettinger, M.D. (2005) How snowpack heterogeneity affects diurnal  
839 streamflow timing. *Water Resources Research* 41(5). <https://doi.org/10.1029/2004WR003649>.
- 840 Lundquist, J.D., Dickerson-Lange, S.E., Lutz, J.A., & Cristea, N.C. (2013) Lower forest density  
841 enhances snow retention in regions with warmer winters: A global framework developed from  
842 plot-scale observations and modeling. *Water Resources Research* 49(10), 6356-6370.  
843 <https://doi.org/10.1002/wrcr.20504>.
- 844 MacKay, M.D., & Bartlett, P.A. (2006) Estimating canopy snow unloading timescales from daily  
845 observations of albedo and precipitation. *Geophysical Research Letters* 33(19).  
846 <https://doi.org/10.1029/2006GL027521>.
- 847 Magnusson, J., Eisner, S., Huang, S., Lussana, C., Mazzotti, G., Essery, R., et al. (2019)  
848 Influence of spatial resolution on snow cover dynamics for a coastal and mountainous region at  
849 high latitudes (Norway). *Water Resources Research* 55. <https://doi.org/10.1029/2019WR024925>.
- 850 Magnusson, J., Gustafsson, D., Hüsler, F., & Jonas, T. (2014) Assimilation of point SWE data  
851 into a distributed snow cover model comparing two contrasting methods. *Water Resources*  
852 *Research* 50(10), 7816-7835. <https://doi.org/10.1002/2014WR015302>.
- 853 Magnusson, J., Wever, N., Essery, R., Helbig, N., Winstral, A., & Jonas, T. (2015) Evaluating  
854 snow models with varying process representations for hydrological applications. *Water*  
855 *Resources Research* 51(4), 2707-2723. <https://doi.org/10.1002/2014WR016498>.
- 856 Mahat, V., & Tarboton, D.G. (2012) Canopy radiation transmission for an energy balance  
857 snowmelt model. *Water Resources Research* 48(1). <https://doi.org/10.1029/2011WR010438>.
- 858 Mahat, V., & Tarboton, D.G. (2014) Representation of canopy snow interception, unloading and  
859 melt in a parsimonious snowmelt model. *Hydrological Processes* 28(26), 6320-6336.  
860 <https://doi.org/10.1002/hyp.10116>.

- 861 Mahat, V., Tarboton, D.G., & Molotch, N.P. (2013) Testing above- and below-canopy  
 862 representations of turbulent fluxes in an energy balance snowmelt model. *Water Resources*  
 863 *Research* 49(2), 1107-1122. <https://doi.org/10.1002/wrcr.20073>.
- 864 Malle, J., Rutter, N., Mazzotti, G., & Jonas, T. (2019) Shading by Trees and Fractional Snow  
 865 Cover Control the Subcanopy Radiation Budget. *Journal of Geophysical Research: Atmospheres*  
 866 124(6), 3195-3207. <https://doi.org/10.1029/2018JD029908>.
- 867 Marty, C., Tilg, A.-M., & Jonas, T. (2017) Recent Evidence of Large-Scale Receding Snow  
 868 Water Equivalents in the European Alps. *Journal of Hydrometeorology* 18(4), 1021-1031.  
 869 <https://doi.org/10.1175/JHM-D-16-0188.1>.
- 870 Mazzotti, G., Currier, W.R., Deems, J.S., Pflug, J.M., Lundquist, J.D., & Jonas, T. (2019a)  
 871 Revisiting Snow Cover Variability and Canopy Structure Within Forest Stands: Insights From  
 872 Airborne Lidar Data. *Water Resources Research*. <https://doi.org/10.1029/2019WR024898>.
- 873 Mazzotti, G., Malle, J., Barr, S., & Jonas, T. (2019b) Spatially continuous characterization of  
 874 forest canopy structure and sub-canopy irradiance derived from handheld radiometer surveys.  
 875 *Journal of Hydrometeorology* 20(7), 1417 – 1433. <https://doi.org/10.1175/JHM-D-18-0158.1>.
- 876 Melloh, R.A., Hardy, J. P., Bailey, R. N. & Hall, T.J. (2002). An efficient snow albedo model for  
 877 the open and the sub-canopy. *Hydrological Processes* 16, 3571-3584.  
 878 <https://doi.org/10.1002/hyp.1229>.
- 879 Miller, J.B. (1967) A formula for average foliage density. *Australian Journal of Botany* 15(1), 4.
- 880 Moeser, D., Mazzotti, G., Helbig, N., & Jonas, T. (2016) Representing spatial variability of forest  
 881 snow: Implementation of a new interception model. *Water Resources Research* 52, 1208-1226.  
 882 <https://doi.org/10.1002/2014WR016724>.
- 883 Moeser, D., Morsdorf, F., & Jonas, T. (2015a) Novel forest structure metrics from airborne  
 884 LiDAR data for improved snow interception estimation. *Agricultural and Forest Meteorology*  
 885 208, 40-49. <https://doi.org/10.1016/j.agrformet.2015.04.013>.
- 886 Moeser, D., Roubinek, J., Schleppei, P., Morsdorf, F., & Jonas, T. (2014) Canopy closure, LAI  
 887 and radiation transfer from airborne LiDAR synthetic images. *Agricultural and Forest*  
 888 *Meteorology* 197, 158-168. <https://doi.org/10.1016/j.agrformet.2014.06.008>.
- 889 Moeser, D., Stähli, M., & Jonas, T. (2015b) Improved snow interception modeling using canopy  
 890 parameters derived from airborne LiDAR data. *Water Resources Research* 51(7), 5041-5059.  
 891 <https://doi.org/10.1002/2014WR016724>.
- 892 Murray, C.D., & Buttle, J.M. (2003) Impacts of clearcut harvesting on snow accumulation and  
 893 melt in a northern hardwood forest. *Journal of Hydrology* 271(197-212).  
 894 [https://doi.org/10.1016/S0022-1694\(02\)000352-9](https://doi.org/10.1016/S0022-1694(02)000352-9).
- 895 Musselman, K.N., Molotch, N.P., Margulis, S.A., Kirchner, P.B., & Bales, R.C. (2012a)  
 896 Influence of canopy structure and direct beam solar irradiance on snowmelt rates in a mixed  
 897 conifer forest. *Agricultural and Forest Meteorology* 161, 46-56.  
 898 <https://doi.org/10.1016/j.agrformet.2012.03.011>.
- 899 Musselman, K.N., Molotch, N.P., Margulis, S.A., Lehning, M. & Gustafsson, D. (2012b)  
 900 Improved snowmelt simulations with a canopy model forced with photo-derived direct beam  
 901 canopy transmissivity. *Water Resources Research* 48(10).  
 902 <https://doi.org/10.1029/2012WR012285>.
- 903 Musselman, K. N., Pomeroy, J. W., & Link, T. E. (2015). Variability in shortwave irradiance  
 904 caused by forest gaps: Measurement, modelling, and implications for snow energetics.

- 905 *Agricultural and Forest Meteorology* 207, 62-82.  
 906 <https://doi.org/10.1016/j.agrformet.2015.03.014>.
- 907 Oleson, K.W., Lawrence, D.M., Bonan, G.B., Drewniak, B., Huang, M., Koven, C.D., et al.  
 908 (2013) Technical description of version 4.5 of the Community Land Model (CLM). NCAR  
 909 Technical Note. <https://doi.org/10.5065/D6RR1W7M>.
- 910 Pomeroy, J., Parviainen, J., Hedstrom, N., & Gray, D. (1998) Coupled modelling of forest snow  
 911 interception and sublimation. *Hydrological Processes* 12(15), 2317-2337.  
 912 [https://doi.org/10.1002/\(SICI\)1099-1085\(199812\)12:15<2317::AID-HYP799>3.0.CO;2-X](https://doi.org/10.1002/(SICI)1099-1085(199812)12:15<2317::AID-HYP799>3.0.CO;2-X).
- 913 Pomeroy, J.W., Marks, D., Link, T., Ellis, C., Hardy, J., Rowlands, A., & Granger, R. (2009) The  
 914 impact of coniferous forest temperature on incoming longwave radiation to melting snow.  
 915 *Hydrological Processes* 23(17), 2513-2525. <https://doi.org/10.1002/hyp.7325>.
- 916 Revuelto, J., Lopez-Moreno, J.I., Azorin-Molina, C., & Vicente-Serrano, S.M. (2015) Canopy  
 917 influence on snow depth distribution in a pine stand determined from terrestrial laser data. *Water*  
 918 *Resources Research*, 51, 3476-3489. <https://doi.org/10.1002/2014WR016496>.
- 919 Roth, T.R., & Nolin, A.W. (2017) Forest impacts on snow accumulation and ablation across an  
 920 elevation gradient in a temperate montane environment. *Hydrology and Earth System Sciences*  
 921 21(11), 5427-5442. <https://doi.org/10.5194/hess-21-5427-2017>.
- 922 Roth, T.R., & Nolin, A.W. (2019) Characterizing Maritime Snow Canopy Interception in  
 923 Forested Mountains. *Water Resources Research* 55(6), 4564-4581.  
 924 <https://doi.org/10.1029/2018WR024089>.
- 925 Rutter, N., Essery, R., Pomeroy, J., Altimir, N., Andreadis, K., Baker, I., et al. (2009) Evaluation  
 926 of forest snow processes models (SnowMIP2). *Journal of Geophysical Research* 114(D6).  
 927 <https://doi.org/10.1029/2008JD011063>.
- 928 Schleppei, P., Conedera, M., Sedivy, I., & Thimonier, A. (2007) Correcting non-linearity and  
 929 slope effects in the estimation of the leaf area index of forests from hemispherical photographs.  
 930 *Agricultural and Forest Meteorology* 144(3-4), 236-242.  
 931 <https://doi.org/10.1016/j.agrformet.2007.02.004>.
- 932 Schneider, E.E., Affleck, D.L.R., & Larson, A.J. (2019) Tree spatial patterns modulate peak snow  
 933 accumulation and snow disappearance. *Forest Ecology and Management* 441, 9-19.  
 934 <https://doi.org/10.1016/j.foreco.2019.03.031>.
- 935 Sohrabi, M.M., Tonina, D., Benjankar, R., Kumar, M., Kormos, P., Marks, D., & Luce, C. (2019)  
 936 On the role of spatial resolution on snow estimates using a process-based snow model across a  
 937 range of climatology and elevation. *Hydrological Processes* 33(8), 1260-1275.  
 938 <https://doi.org/10.1002/hyp.13397>.
- 939 Sturm, M. (1992) Snow distribution and heat flow in the taiga. *Arctic and Alpine Research* 24(2),  
 940 145-152. <https://doi.org/10.1080/00040851.1992.12002939>.
- 941 Sun, N., Wigmosta, M., Zhou, T., Lundquist, J., Dickerson-Lange, S., & Cristea, N. (2018)  
 942 Evaluating the functionality and streamflow impacts of explicitly modelling forest-snow  
 943 interactions and canopy gaps in a distributed hydrologic model. *Hydrological Processes* 32(13),  
 944 2128-2140. <https://doi.org/10.1002/hyp.13150>.
- 945 Tape, K.E.N., Sturm, M., & Racine, C. (2006) The evidence for shrub expansion in Northern  
 946 Alaska and the Pan-Arctic. *Global Change Biology* 12(4), 686-702.  
 947 <https://doi.org/10.1111/j.1365-2486.2006.01128.x>.

- 948 Trujillo, E., Molotch, N.P., Goulden, M.L., Kelly, A.E., & Bales, R.C. (2012) Elevation-  
949 dependent influence of snow accumulation on forest greening. *Nature Geoscience* 5(10), 705-  
950 709. <https://doi.org/10.1038/NGEO1571>.
- 951 Varhola, A., & Coops, N.C. (2013) Estimation of watershed-level distributed forest structure  
952 metrics relevant to hydrologic modeling using LiDAR and Landsat. *Journal of Hydrology* 487,  
953 70-86. <https://doi.org/10.1016/j.jhydrol.2013.02.032>.
- 954 Varhola, A., Coops, N.C., Weiler, M., & Dan Moore, R. (2010) Forest canopy effects on snow  
955 accumulation and ablation: An integrative review of empirical results. *Journal of Hydrology* 392,  
956 219-233. <https://doi.org/10.1016/j.jhydrol.2010.08.009>.
- 957 Varhola, A., Coops, N.C., Alila, Y., & Weiler, M. (2014) Exploration of remotely sensed forest  
958 structure and ultrasonic range sensor metrics to improve empirical snow models. *Hydrological*  
959 *Processes* 28(15), 4433-4448. <https://doi.org/10.1002/hyp.9952>.
- 960 Vionnet, V., Brun, E., Morin, S., Boone, A., Faroux, S., Le Moigne, P., et al. (2012) The detailed  
961 snowpack scheme Crocus and its implementation in SURFEX v7.2. *Geoscientific Model*  
962 *Development* 5(3), 773-791. <https://doi.org/10.5194/gmd-5-773-2012>.
- 963 Vögeli, C., Lehning, M., Wever, N., & Bavay, M. (2016) Scaling Precipitation Input to Spatially  
964 Distributed Hydrological Models by Measured Snow Distribution. *Frontiers in Earth Science* 4.  
965 <https://doi.org/10.3389/feart.2016.00108>.
- 966 Webster, C., Rutter, N., Zahner, F. & Jonas, T. (2016) Modeling subcanopy incoming longwave  
967 radiation to seasonal snow using air and tree trunk temperatures. *Journal of Geophysical*  
968 *Research: Atmospheres* 121(3), 1220-1235. <https://doi.org/10.1002/2015JD024099>.
- 969 Webster, C., Rutter, N., & Jonas, T. (2017) Improving representation of canopy temperatures for  
970 modeling subcanopy incoming longwave radiation to the snow surface. *Journal of Geophysical*  
971 *Research: Atmospheres* 122, 9154-9172. <https://doi.org/10.1002/2017JD026581>.
- 972 Wever, N., Fierz, C., Mitterer, C., Hirashima, H., & Lehning, M. (2014) Solving Richards  
973 Equation for snow improves snowpack meltwater runoff estimations in detailed multi-layer  
974 snowpack model. *The Cryosphere* 8(1), 257-274. <https://doi.org/10.5194/tc-8-257-2014>.
- 975 Winstral, A., Elder, K., & Davis, R.E. (2002) Spatial Snow Modeling of Wind-Redistributed  
976 Snow Using Terrain-Based Parameters. *Journal of Hydrometeorology* 3, 524-538.  
977 [https://doi.org/10.1175/1525-7541\(2002\)003<0524:SSMOWR>2.0.CO;2](https://doi.org/10.1175/1525-7541(2002)003<0524:SSMOWR>2.0.CO;2)