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Listeria monocytogenes Exploits Host Caveolin for Cell-to-Cell Spreading

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ABSTRACT *Listeria monocytogenes* moves from one cell to another using actin-rich membrane protrusions that propel the bacterium toward neighboring cells. Despite cholesterol being required for this transfer process, the precise host internalization mechanism remains elusive. Here, we show that caveolin endocytosis is key to this event as bacterial cell-to-cell transfer is severely impaired when cells are depleted of caveolin-1. Only a subset of additional caveolar components (cavin-2 and EHD2) are present at sites of bacterial transfer, and although clathrin and the clathrin-associated proteins Eps15 and AP2 are absent from the bacterial invaginations, efficient *L. monocytogenes* spreading requires the clathrin-interacting protein epsin-1. We also directly demonstrated that isolated *L. monocytogenes* membrane protrusions can trigger the recruitment of caveolar proteins in a neighboring cell. The engulfment of these bacterial and cytoskeletal structures through a caveolin-based mechanism demonstrates that the classical nanometer-scale theoretical size limit for this internalization pathway is exceeded by these bacterial pathogens.

IMPORTANCE *Listeria monocytogenes* moves from one cell to another as it disseminates within tissues. This bacterial transfer process depends on the host actin cytoskeleton as the bacterium forms motile actin-rich membranous protrusions that propel the bacteria into neighboring cells, thus forming corresponding membrane invaginations. Here, we examine these membrane invaginations and demonstrate that caveolin-1-based endocytosis is crucial for efficient bacterial cell-to-cell spreading. We show that only a subset of caveolin-associated proteins (cavin-2 and EHD2) are involved in this process. Despite the absence of clathrin at the invaginations, the classical clathrin-associated protein epsin-1 is also required for efficient bacterial spreading. Using isolated *L. monocytogenes* protrusions added onto naive host cells, we demonstrate that actin-based propulsion is dispensable for caveolin-1 endocytosis as the presence of the protrusion/invagination interaction alone triggers caveolin-1 recruitment in the recipient cells. Finally, we provide a model of how this caveolin-1-based internalization event can exceed the theoretical size limit for this endocytic pathway.

KEYWORDS endocytosis, epsin-1, membrane protrusion, invaginations, actin, *Listeria monocytogenes*, actin-based motility, cell-to-cell spreading

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Listeria monocytogenes kills ~25% of the people that it infects (1). This microbe uses clathrin-mediated endocytosis to initially invade a target host cell (2), resulting in vacuole-residing bacteria. Secretion of pore-forming toxins from *L. monocytogenes* bacteria that include listeriolysin O (LLO) enables the microbe to escape the vacuole and reside in the host cell cytoplasm (3, 4). Once free in the host cell cytosol, *L. monocytogenes* initiates several processes to spread into neighboring cells, thus propagating infection. To accomplish this, the bacterium generates actin-rich structures (comet/rocket tails) at one of its poles, which enables its intracellular motility (3; see also reference 5 for a review). When in close opposition to the host cell plasma membrane, these actin-rich tails provide the propulsive force necessary to distend the host plasma membrane, forming bacterium-led actin-rich protrusions that can extend up to 100 μm (3, 6; see also references 7 and 8 for reviews). The force generated by these structures is thought to drive the bacterium into neighboring cells. This idea of an indispensable role of actin polymerization at the bacterial cell surface is supported by several observations of abolished intracellular and intercellular movement of *L. monocytogenes* bacteria within and among host cells treated with filamentous actin inhibitors (3, 9, 10). The subsequent uptake of *L. monocytogenes* membrane protrusions by neighboring host cells ultimately allows the bacteria to continue the disease process.

Classical endocytic mechanisms often exploit clathrin or caveolin as the key protein for the internalization of various particles (11–13). Although clathrin commonly internalizes material into 30-nm to 150-nm vesicles, bacteria (including *L. monocytogenes*) have devised strategies to control the clathrin-mediated endocytic machinery for their own large-scale endocytic events (2, 14, 15). Alternatively, caveolin-based endocytosis remains a process that internalizes extracellular material into bulb-shaped caveolae of a maximum size of ~100 nm (16–18).

Both clathrin endocytosis and caveolin endocytosis require an assortment of accessory proteins to form invaginations and ultimately cut the membranous neck at the terminal stages of the formation of the structures prior to vesicle release (13). While some of these accessory proteins (dynamin-2 [19, 20] and actin [21, 22]) are shared by both endocytic mechanisms, most remain segregated to their respective internalization pathways.

Fundamental mechanistic questions concerning how *L. monocytogenes* membrane protrusions are internalized into neighboring cells remain unanswered. In this study, we explored the involvement of the host endocytic machinery during *L. monocytogenes* infections and found that *L. monocytogenes* intercellular spreading relies primarily on caveolar elements (caveolin-1, cavin-2, EHD2) but also usurps phosphatidyserine, dynamin-2 and epsin-1.

RESULTS

To begin to unravel the endocytic mechanism used by *L. monocytogenes* bacteria as they move from cell to cell, we initially immunolocalized clathrin and caveolin-1 to invaginations generated by *L. monocytogenes* protrusions during MDCK cell infections. We found that caveolin-1, but not clathrin, accumulated at those sites (Fig. 1A). Morphologically, caveolin-1 delineated the entire invagination and accumulated as bright puncta along the structures (Fig. 1A). To confirm that the cell forming the bacterial invagination (receiving the *L. monocytogenes* membrane protrusion) was the source of the observed increase in caveolin-1 levels, we utilized a mixed-cell assay whereby infected HeLa cells were overlaid on uninfected cells that had also been transfected previously with fluorescently tagged caveolin-1. We found that caveolin-1-mCherry was recruited along the entire length of the invaginations when expressed in the invagination-forming cells (Fig. 1B). Conversely, there was no obvious enrichment of clathrin-green fluorescent protein (GFP) at the invaginations (Fig. 1B). To characterize caveolin-1 accumulation at these sites in more detail, we plotted the pixel intensity profiles of F-actin and caveolin-1 (or clathrin) based on a 1.5- μm line drawn perpendicularly across the membrane protrusion/invagination. As seen in our analyses of

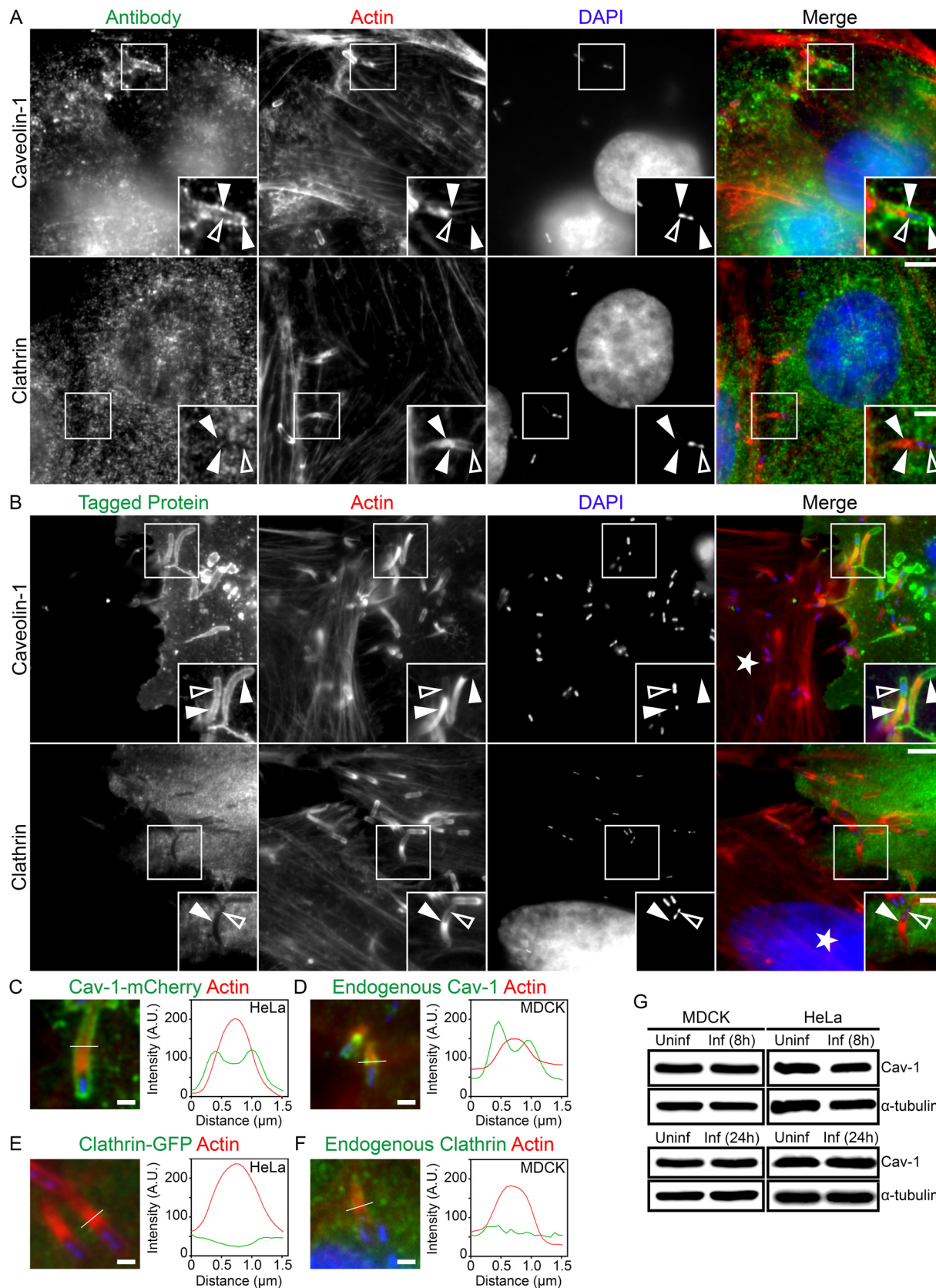


FIG 1 Localization of caveolin-1 at *L. monocytogenes* membrane invaginations. (A) MDCK cells were infected with *L. monocytogenes* for 8 h, fixed, and stained with caveolin-1 or clathrin targeting antibodies (green), DAPI (blue) to visualize host cell DNA and bacteria, and Alexa 594-phalloidin (red) to visualize actin. Insets shown are enlargements of the boxed regions. Color intensities are enhanced in insets to clearly visualize the

(Continued on next page)

spreading events in MDCK and HeLa cells, endogenous caveolin-1 as well as the caveolin-1-mCherry signal at the structures generally presented as 2 peaks that were peripheral to a strong single F-actin peak originating from the actin-rich core of the membrane protrusions (Fig. 1C and D; see also Fig. S1A to F' in the supplemental material). Neither endogenous clathrin nor clathrin-GFP generated the 2 characteristic peaks such as were observed with caveolin-1. Instead, clathrin generated a low-level signal across the entire structure (Fig. 1E and F; see also Fig. S2A to F'). The increased signal of caveolin-1 at *L. monocytogenes* membrane invaginations did not coincide with alterations to endogenous caveolin-1 protein levels during 8-h or 24-h infections of MDCK or HeLa cells compared to the results seen with uninfected samples (Fig. 1G).

We recently identified CD147 as a marker of *L. monocytogenes* membrane invaginations (23). Thus, to quantify the frequency with which caveolin-1 delineates *L. monocytogenes* membrane invaginations, we coexpressed CD147-GFP with caveolin-1-mCherry in invagination-forming host cells. We found that ~95% of CD147-GFP-positive membrane invaginations were also enriched with caveolin-1-mCherry during infections of either HeLa or MDCK cells (Fig. S3A to D). We also examined CD147-positive membrane invaginations using CD147-targeting antibodies and found that ~92% of (endogenous) CD147-positive invaginations were delineated with caveolin-1-mCherry in both HeLa and MDCK cell lines (Fig. S3E to H). The empty mCherry vector did not localize to the CD147-positive membrane invaginations (Fig. S3). Taken together, these data point to caveolin-1 as another component of *L. monocytogenes* membrane invaginations.

Using the same mixed-cell assay, we continued to catalogue proteins used by the caveolin pathway that might also be hijacked at *L. monocytogenes* membrane invaginations and found only cavin-2 and EHD2 present at the structures (Fig. 2A). Caveolar components such as cavin-1, cavin-3, and pacsin2 as well as the clathrin-associated proteins AP2 and Eps15 were absent from the *L. monocytogenes* membrane invaginations (Fig. S4 and S5). Line scan analysis of cavin-2 and EHD2 at the invaginations resembled those performed on *L. monocytogenes* membrane invaginations labeled by caveolin-1, with 2 peaks present at the periphery of a single actin peak (Fig. 2B; see also Fig. S6A to F').

To further characterize *L. monocytogenes* membrane invaginations, we examined them at various stages of their progression using caveolin-1-mCherry localization. Caveolin-1-mCherry was present at the initial contact sites when the membrane protrusions interacted with the receiving cell membrane (Fig. 2C). A trailing streak of caveolin-1-mCherry was routinely observed when the invaginations elongated within the receiving cells. This presumably was labeling the plasma membrane prior to vacuole formation (Fig. 2C). Caveolin-1-mCherry maintained its presence following apparent scission events as it continued to surround the microbes contained within vacuole-like structures (Fig. 2C). Multiple bacteria were often found contained within those structures (Fig. 2C). Vacuolar localization of caveolar proteins also held true for cavin-2-GFP and EHD2-GFP (Fig. 2D and E).

FIG 1 Legend (Continued)

invaginations. Solid arrowheads indicate the *L. monocytogenes* protrusion/invagination regions, and open arrowheads indicate spreading bacteria. Scale bars are 5 μm or 2 μm (inset). (B) Mixed HeLa cell assay demonstrating caveolin-1-mCherry (pseudocolored green) but not clathrin-GFP (green) concentrated at invaginations when expressed in *L. monocytogenes* protrusion-receiving cells. Samples were fixed and stained with Alexa 488-phalloidin (red) to visualize actin and with DAPI (blue) to visualize host DNA and bacteria within the invaginations. The white star indicates the location of the untransfected protrusion-sending cell. Insets are enlargements of the boxed regions. Color intensities are enhanced in insets to more clearly visualize the labeled proteins. Solid arrowheads indicate the invaginations, and open arrowheads indicate spreading bacteria. Scale bars are 5 μm or 1 μm (inset). (C to F) Line scan analysis of the *L. monocytogenes* membrane protrusion/invagination from samples stained with fluorescent phalloidin (red) to visualize F-actin and with DAPI (blue) to confirm the presence of the bacteria at the structures. The caveolin-1 (C and D) or clathrin (E and F) signal is representative of exogenous protein (pseudocolored green) from the mixed HeLa cell assays (C and F) or endogenous protein (green) from infections of MDCK cells (D and F). A 1.5- μm line (white line) was drawn through the protrusion/invagination, and F-actin intensity and the corresponding caveolin-1 or clathrin intensity were plotted. Scale bar is 1 μm . (G) Caveolin-1 protein levels are unaltered during *L. monocytogenes* infections. Whole MDCK or HeLa cell lysates from uninfected (Uninf) cells versus cells from 8 h (top blots) or 24 h (bottom blots) *L. monocytogenes* infections (Inf) were probed for endogenous caveolin-1 using rabbit polyclonal anti-caveolin-1 (Cav-1) antibodies. α -Tubulin is shown as a loading control. A.U., arbitrary units.

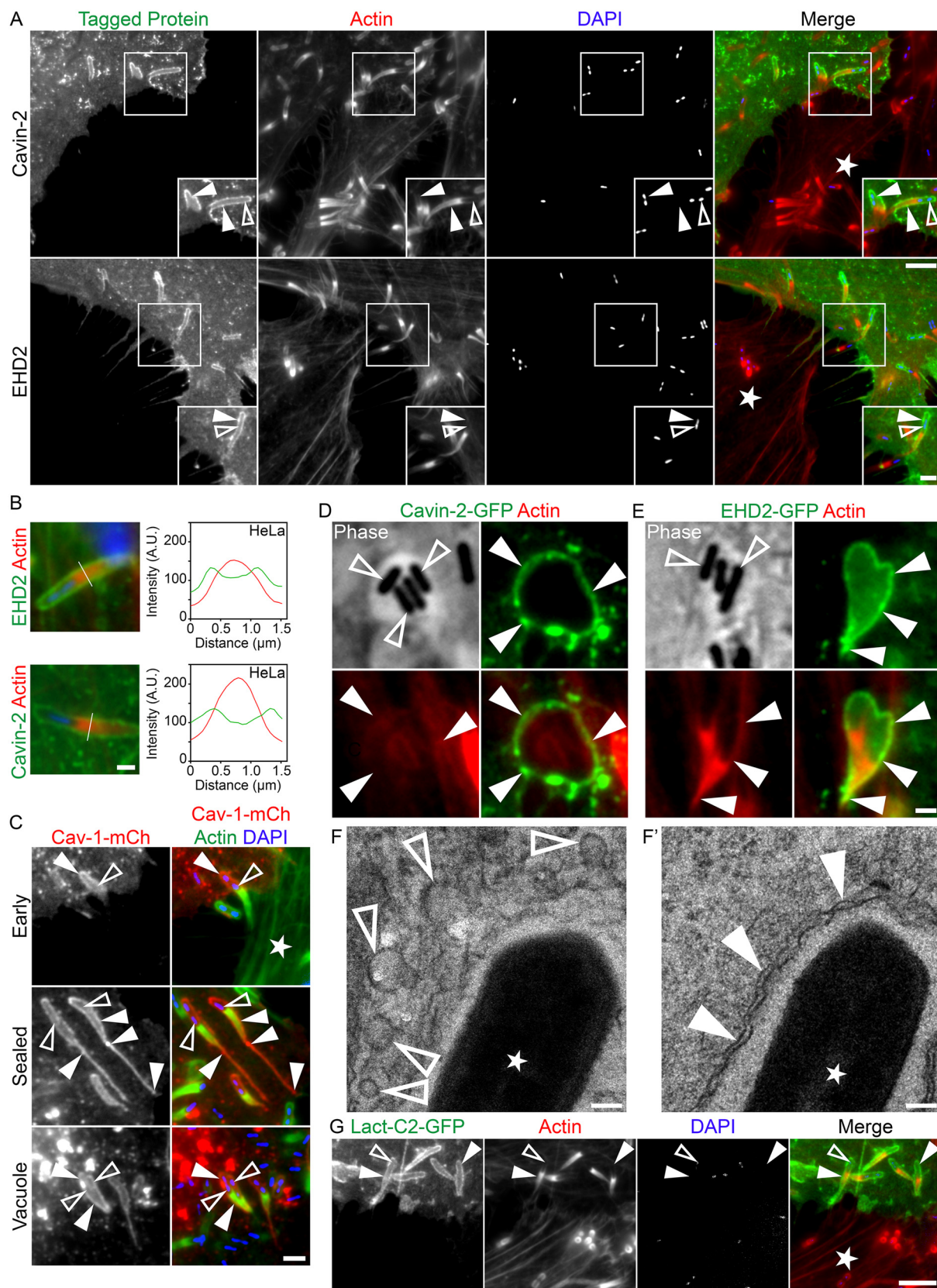


FIG 2 Cavin-2, EHD2, and phosphatidyserine enrichment as well as caveolae presence at *L. monocytogenes* membrane invaginations. (A) Mixed HeLa cell assay demonstrating cavin-2-GFP and EHD2-GFP (green) concentrated at invaginations when expressed in *L. monocytogenes* (Continued on next page)

Our observations of linear and punctate caveolin-1 localization at *L. monocytogenes* membrane invaginations suggested that at least a subset of these structures could have caveolae associated with them. To examine this, we used electron microscopy and found structures resembling caveolae at the tips of some of the invaginations (Fig. 2F). However, in most instances the invaginations lacked any noticeable caveolar structures (Fig. 2F').

It is well known that caveolin and other caveolar components interact with or regulate phospholipid species at the plasma membrane (24–27). Phosphatidylserine plays a key role in caveolae biogenesis and stability as well as in proper caveolin-1 clustering at the structures (28). We examined whether this lipid was also enriched at *L. monocytogenes* membrane invaginations. To visualize the distribution of phosphatidylserine in the invagination-forming cells, we utilized a previously generated phosphatidylserine sensor that makes use of the discoidin C2 domain of lactadherin fused to GFP (Lact-C2-GFP) (29). Using our mixed-cell assays, we saw an enrichment of the phosphatidylserine probe along the entire length of *L. monocytogenes* invaginations (Fig. 2G). Line scan analysis of Lact-C2-GFP at the structures also generated the characteristic dual peak surrounding actin (Fig. S7A to A'). Although few studies have examined the precise lipid composition of caveolae, recent work from Román-Fernández and colleagues (2018) showed that PIP2 [phosphatidylinositol (3,4)-bisphosphate] is a crucial determinant of caveolin-1-positive apical endocytic bodies (30). Thus, to further our examination of lipids at *L. monocytogenes* invaginations, we utilized the pleckstrin homology (PH) domain of Akt fused to mCherry (Akt-PH-mCherry) (31), which targets both PIP2 [phosphatidylinositol (3,4)-bisphosphate] and PIP3 [phosphatidylinositol (3,4,5)-trisphosphate]. Examination of *L. monocytogenes* invaginations revealed this probe along the entire structure (Fig. S8A). To confirm that the localization of these caveola-associated lipids at *L. monocytogenes* membrane invaginations was specific, we looked for lactosylceramide (LacCer), a lipid species known to be absent at caveolae (32). Using the mixed-cell assay, we saw no obvious enrichment of LacCer at the invaginations in cells that were prelabeled with the fluorescent LacCer probe (BODIPY-LacCer) (Fig. S8B).

Induction of plasma membrane curvature is crucial during endocytosis (33, 34). This is often accomplished by the activity of the BAR domain-containing family of proteins such as amphiphysin 1 as well as the clathrin-associated protein epsin-1 (35–39). To determine whether these types of proteins are involved in the internalization of *L.*

FIG 2 Legend (Continued)

protrusion-receiving cells. Samples were fixed and stained with Alexa 594-phalloidin (red) to visualize actin and with DAPI (blue) to visualize host DNA and bacteria within the invaginations. The white star indicates the location of the untransfected protrusion-sending cells. Insets are enlargements of the boxed regions. Color intensities are enhanced in insets to clearly visualize the invaginations. Solid arrowheads indicate the invaginations, and open arrowheads indicate spreading bacteria. Scale bars are 5 μm or 2 μm (inset). (B) Line scan analysis of *L. monocytogenes* membrane protrusion invaginations from samples of mixed HeLa cell infections stained with Alexa 594-phalloidin (red) to visualize F-actin and with DAPI (blue) to confirm the presence of the bacteria at the structures. The cavin-2 or EHD2 signal (green) is representative of cavin-2-GFP or EHD2-GFP. A 1.5- μm line (white line) was drawn through the invagination, and F-actin intensity and the corresponding cavin-2-GFP or EHD2-GFP intensity were plotted. Scale bar is 1 μm . (C) Mixed HeLa cell assay demonstrating caveolin-1-mCherry (red) localization at various stages of invaginations. Fixed samples were stained with Alexa 488-phalloidin (green) to visualize actin and with DAPI (blue) to confirm the presence of bacteria at invaginations. (Top panel [Early]) Initial contact of a protrusion from an untransfected cell with a neighboring caveolin-1-mCherry-expressing receiving cell (the white star indicates the location of the untransfected protrusion-sending cell). (Middle panel [Sealed]) Deep invaginations sealed at the distal ends with a trailing membrane strand found within caveolin-1-mCherry-expressing cells. (Bottom panel [Vacuole]) Vacuoles formed from resolved invaginations found within caveolin-1-mCherry-expressing cells. Solid arrowheads indicate caveolin-1 localization and open arrowheads indicate the location of the spreading bacteria. Scale bar is 2 μm . (D and E) Mixed HeLa cell assay demonstrating that cavin-2-GFP (D) and EHD2-GFP (E) (green) encapsulated vacuoles containing bacteria when both proteins were expressed in *L. monocytogenes* protrusion-receiving cells. Fixed samples were stained with Alexa 594-phalloidin (red) to visualize actin. Phase-contrast images are shown to indicate the presence of *L. monocytogenes* bacteria residing within the vacuoles. Solid arrowheads indicate cavin-2-GFP or EHD2-GFP localization at the vacuole membrane and open arrowheads indicate bacteria. Scale bar is 1 μm . (F-F') Electron micrographs of *L. monocytogenes* invaginations. (F) Caveolar structures (open arrowheads) are seen at the tips of select invaginations. (F') Classical double-membrane (solid arrowhead) feature of invaginations (without obvious caveolar structures). Stars indicate *L. monocytogenes* bacteria. Scale bars are 100 nm. (G) Mixed HeLa cell assay demonstrating phosphatidylserine (via the phosphatidylserine sensing probe Lact-C2-GFP; green) concentrated at invaginations when expressed in *L. monocytogenes* protrusion-receiving cells. Samples were fixed and stained with Alexa 594-phalloidin (red) to visualize actin and with DAPI (blue) to visualize the bacteria within the invaginations. The white star indicates the location of the untransfected protrusion-sending cell. Solid arrowheads indicate the invaginations, and open arrowheads indicate spreading bacteria. Scale bars are 5 μm .

monocytogenes during cell-to-cell spreading, we utilized the mixed-cell assay to examine epsin-1 and the BAR domain-containing proteins amphiphysin 1 and FCHO1 as well as other membrane curving proteins such as intersectin-1 and NECAP at *L. monocytogenes* invaginations. We found that only epsin-1 was present at these sites (Fig. 3A and B). This was surprising as epsin-1 is generally recognized as a clathrin-dependent protein but was present despite the absence of clathrin at the invaginations. Finally, we used the mixed-cell assay to examine dynamin-2 and filamentous actin in the invagination-forming cells as both proteins are used during terminal endocytic processes (40, 41). Interestingly, dynamin-2 did not concentrate at the scission point but instead localized predominantly around the bacterial region of the invagination (Fig. 3C). To examine the actin filaments in only the invagination-forming cells, we utilized a fluorescently tagged version of the small F-actin-binding peptide LifeAct (42). The LifeAct-associated actin filaments from those cells clearly accumulated around the entire length of the invagination (Fig. 3C). Line scan analyses of both epsin-1 and F-actin (LifeAct) at invaginations again produced 2 peaks, characteristic of those previously seen at the sites (Fig. 3D). Although several studies previously reported on the extent to which *L. monocytogenes* membrane protrusions can grow in size (6, 43, 44), there is a dearth of analysis on the size of the corresponding invaginations that are generated. To address this issue, we measured the lengths of 35 caveolin-1-mCherry-positive membrane invaginations where fusion of the membrane at their distal ends was morphologically identifiable. The average length of these structures was found to be 9.5 μm (Fig. 3E).

We made further use of CD147 as a marker of *L. monocytogenes* membrane invaginations to examine the localization frequency of other invagination-associated components, namely, cavin-2, EHD2, epsin-1, and Lact-C2 (phosphatidylserine). We found that cavin-2, EHD2, epsin-1, and Lact-C2 localized to CD147-positive membrane invaginations in HeLa cells on average 95%, 94%, 84%, and 91% of the time, respectively (Fig. S9). We localized the same proteins to CD147-positive invaginations generated in MDCK cells and observed similar frequencies of colocalization: 94% (cavin-2), 95% (EHD2), 79% (epsin-1), and 95% (Lact-C2 [phosphatidylserine]) (Fig. S10).

We next set out to ascertain the functional importance of caveolin-1 during the cell-to-cell spreading of *L. monocytogenes*. Researchers have routinely used cholesterol-depleting agents such as methyl- β -cyclodextrin and filipin to study caveolin/caveola-mediated endocytosis (45–48). However, it is well known that these agents can severely inhibit an assortment of endocytic processes, including clathrin-mediated endocytosis and lipid raft homeostasis (49–52). To get around the broad action of these drugs, we generated a stably transfected short hairpin RNA (shRNA) caveolin-1 knockdown (KD) cell line (Fig. 4A). Western blot analysis of these cells indicated an $\sim 95\%$ reduction in caveolin-1 protein levels compared to control shRNA cells (Fig. 4B). We first examined the general appearance of the caveolin-1 KD cells by phase imaging and phalloidin staining and saw that single isolated cells as well as cell monolayers appeared morphologically indistinguishable from control shRNA cells (data not shown). Furthermore, caveolin-1 depletion did not visibly affect the endogenous protein levels of clathrin or epsin-1 (Fig. 4C and D). We used these cells for bacterial intercellular spreading assays and found that cell-to-cell spreading of *L. monocytogenes* was significantly ($\sim 70\%$) impaired relative to the control cell results (Fig. 4E and F; see also Fig. S11A at <https://figshare.com/s/8f0fd1b824a579d16cfa>), with the majority of bacteria occasionally even restricted to a single host cell (Fig. 4G). To ensure that the observed defects in spreading from the caveolin-1 KD cells were not caused by bacterial replication or invasion deficiencies, we performed gentamicin protection assays. Examining bacterial loads from 3-h infections, we found that the caveolin-1 KD cells showed a marginal ($\sim 1.13\times$) and yet significant increase in bacterial loads compared to those from control shRNA cells (Fig. 4H). After 8-h infections, we found no significant differences between the bacterial loads obtained from caveolin-1 KD and control shRNA cells (see also Fig. S11B at <https://figshare.com/s/8f0fd1b824a579d16cfa>). Another potential factor which could affect bacterial spreading in the caveolin-1 KD cells is the proper formation of

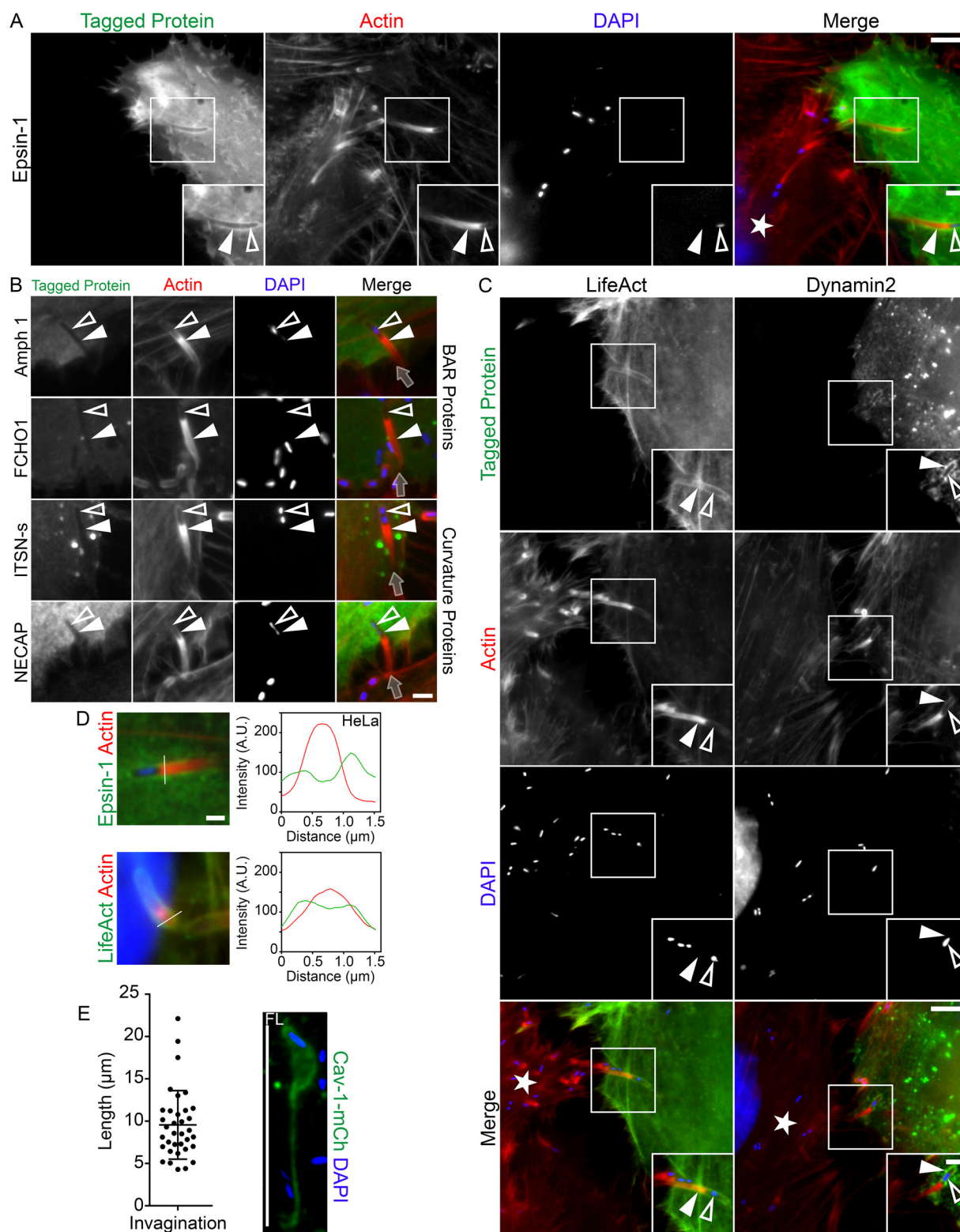


FIG 3 Epsin-1, filamentous actin, and dynamin-2 localize to *L. monocytogenes* membrane invaginations. (A) Mixed HeLa cell assay showing epsin-1-GFP (green) concentrated at *L. monocytogenes* invaginations. Samples were fixed and stained with Alexa 594-phalloidin (red) to visualize actin and with DAPI (blue) to visualize host DNA and bacteria within the invaginations. The white star indicates the location of the untransfected protrusion-sending cell. Insets shown are enlargements of the boxed regions. Color intensities are enhanced in insets to clearly visualize the fluorescent labels. Solid arrowheads indicate the invaginations, and open arrowheads indicate spreading bacteria. Scale bars are 5 μm or 2 μm (inset). (B) Mixed HeLa cell assay demonstrating that the membrane curvature inducing proteins amphiphysin1 (Amph 1), FCHO1, Intersectin-1 (ITSN-s), and NECAP were absent at invaginations when expressed in *L. monocytogenes* receiving cells. Samples were fixed and stained with Alexa 594-phalloidin (red) to visualize actin and with DAPI (blue) to visualize bacterial DNA within the invaginations. Transparent gray arrows indicate (Continued on next page)

actin comet/rocket tails, as those structures are used to propel the bacteria toward the host cell periphery prior to membrane protrusion generation. We measured the length and linearity (or tortuosity [10]) of 60 comet/rocket tails generated in the caveolin-1 KD and control shRNA cell lines and found that the morphology of comet/rocket tails remained unchanged with caveolin-1 depletion (Fig. 4I and J). Similarly, we analyzed the morphology of at least 40 *L. monocytogenes* membrane protrusions, as the proper formation of these structures is also required for efficient bacterial cell-to-cell dissemination (23, 44, 53, 54), and found no significant differences in the length or tortuosity of the structures (Fig. 4K and L). Finally, we quantified the frequency of membrane protrusion formation and found that there was no significant difference in the number of protrusions generated in the caveolin-1 KD and control shRNA cell lines (see also Fig. S11C at <https://figshare.com/s/8f0fd1b824a579d16cfa>). These findings suggest that the spreading defects likely arise due to an irregularity at the invaginations. One potential explanation for this might be that the invaginations generated in caveolin-1 KD cells are shorter than those formed in control shRNA cells. To test this, we measured the length of invaginations generated in the caveolin-1 KD and control shRNA cell lines and found that the invaginations were ~47% shorter in the caveolin-1 KD cells than their counterparts generated in control cells (see also Fig. S11D at <https://figshare.com/s/8f0fd1b824a579d16cfa>).

The concentration of epsin-1 along the entire *L. monocytogenes* invagination phenocopied the caveolin-1 localization, and, similarly to caveolin-1, epsin-1 expression levels were unaltered compared to uninfected cells (Fig. 5A). To examine the importance of epsin-1 during *L. monocytogenes* cell-to-cell spreading, we utilized stably transfected small interfering RNA (siRNA) epsin-1 KD cells (Fig. 5B). Western blot analysis of these cells showed an ~92.5% reduction in epsin-1 protein levels compared to stably transfected control siRNA cells (Fig. 5C). *L. monocytogenes* intercellular spreading assays performed in these cells revealed a significant (~25%) reduction in bacterial dissemination (Fig. 5D and E; see also Fig. S11E to F at <https://figshare.com/s/8f0fd1b824a579d16cfa>). During these assays, we saw on occasion bacteria that were also restricted to a single host cell (Fig. 5F). Similarly to our previous finding with respect to caveolin-1 depletion, bacterial loads were augmented marginally (~1.15-fold), but statistically significantly, in the epsin-1 KD cells compared to control siRNA cells (Fig. 5G). To examine in more mechanistic detail the role of epsin-1 at invaginations, we used domain deletion mutant constructs to determine which parts of epsin-1 were involved in its localization to the structures (Fig. 5H). All but the Δ ENTH mutant of epsin-1 maintained localization to invaginations (Fig. 5I). Line scan analyses of all epsin-1 constructs (but not the Δ ENTH mutant of epsin-1) depicted the characteristic 2 peaks peripheral to a strong single F-actin peak coming from the membrane protrusions (see Fig. S12A at <https://figshare.com/s/cf00a3963800acb09230>). Epsin-1 ENTH interacts with plasma membrane phosphatidylinositol-4,5-bisphosphate [PtdIns(4,5)P₂], and it is this interaction which permits epsin-1 to directly modify membrane curvature during endocytosis (35, 39, 55). When expressed alone, the ENTH domain of epsin-1

FIG 3 Legend (Continued)

direction of protrusion spread from the untransfected protrusion-sending cells. Solid arrowheads indicate the invaginations, and open arrowheads indicate the bacteria. Scale bar is 2 μ m. (C) Mixed HeLa cell assay demonstrating LifeAct-GFP (F-actin marker, green) and dynamin-2-mCherry ("Dynamin-2," pseudocolored green) concentration at invaginations when expressed in *L. monocytogenes* protrusion-receiving cells. Samples were fixed and stained with fluorescently tagged phalloidin (red) to visualize F-actin (in both the protrusion sending and invagination-forming cells) and DAPI (blue) to visualize DNA and bacteria within the invaginations. The white star indicates the location of the untransfected protrusion-sending cell. Insets are enlargements of the boxed regions. Color intensities are enhanced in insets to more clearly visualize the invaginations. Solid arrowheads indicate the invaginations, and open arrowheads indicate spreading bacteria. Scale bars are 5 μ m or 2 μ m (inset). (D) Line scan analysis of *L. monocytogenes* membrane protrusions/invaginations from samples of mixed HeLa cell infections stained with Alexa 594-phalloidin (red) to visualize F-actin and with DAPI (blue) to confirm the presence of the bacteria at the structures. Epsin1-GFP or F-actin signal (green) at the invaginations is representative of epsin1-GFP or LifeAct-GFP, respectively. A 1.5- μ m line (white line) was drawn through the invagination, and F-actin intensity and the corresponding epsin1-GFP or LifeAct-GFP (receiving cell F-actin) intensity were plotted. Scale bar is 1 μ m. (E) Quantification of the length of caveolin-1-mCherry-positive invaginations (pseudocolored green) pinched off at their distal ends from several mixed HeLa cell assays. Results are presented as a scatter plot of the pinched-off invaginations (\pm standard deviation [s.d.]). A total of 35 caveolin-1-mCherry-positive invaginations (from 15 fields of view) were analyzed. The average invagination length was 9.56 μ m.

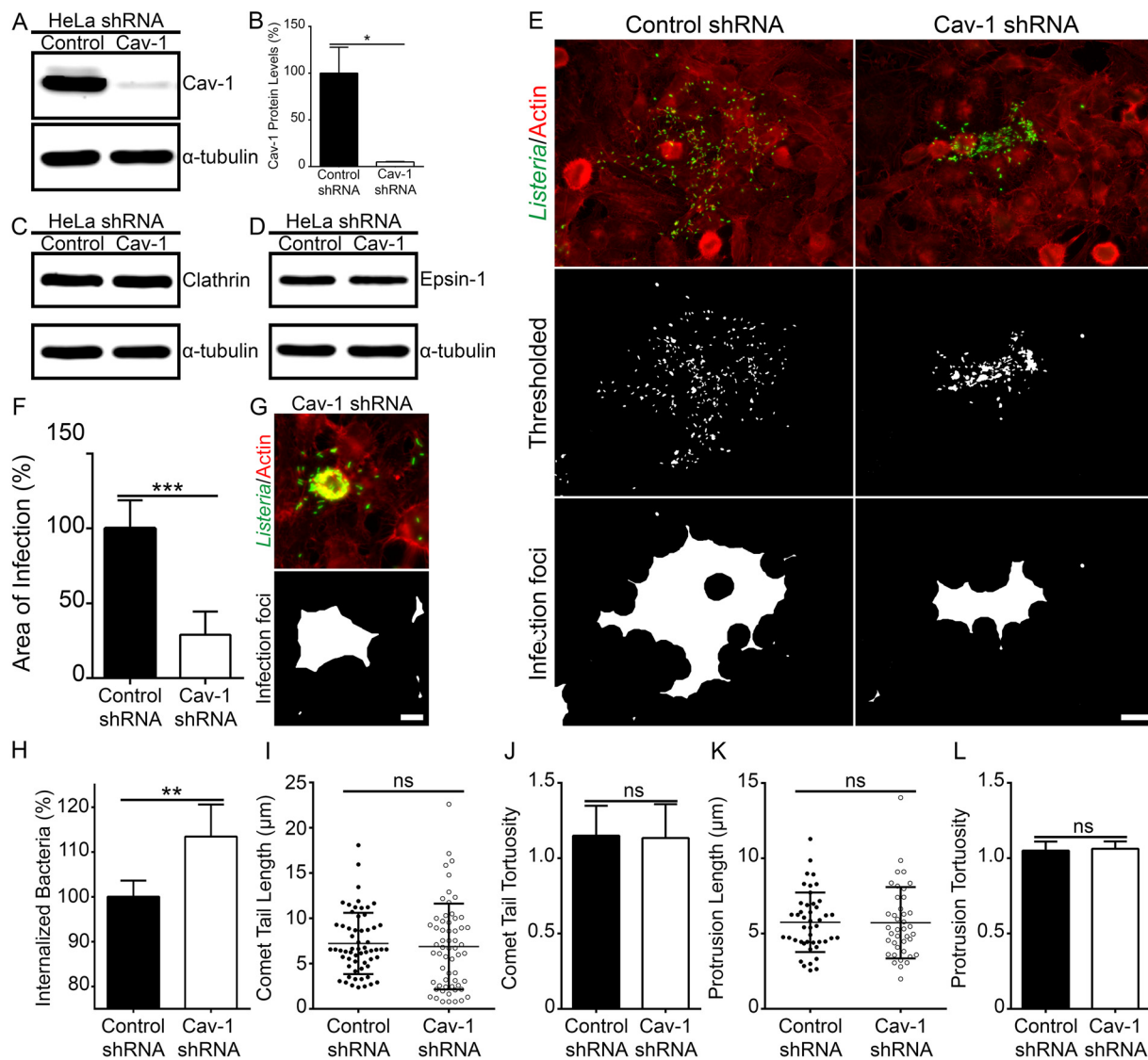


FIG 4 Caveolin-1 is crucial for *L. monocytogenes* cell-to-cell spreading. (A) HeLa cells stably transfected with caveolin-1-targeting shRNA or nontargeting control shRNA. Whole-cell lysates from cells stably transfected with nontargeting control shRNA (Control) and caveolin-1 shRNA (Cav-1) were collected and probed for endogenous caveolin-1 using rabbit polyclonal caveolin-1-targeting antibodies. α -Tubulin is shown as a loading control. (B) Quantification of caveolin-1 protein levels from HeLa cells stably transfected with caveolin-1-targeting shRNA (Cav-1) or nontargeting control shRNA (Control). Five lanes each from samples of nontargeting control shRNA (Control)-expressing cells and caveolin-1 shRNA (Cav-1)-expressing cells were analyzed. Values (\pm s.d.) (relative to control) are 100% (Control shRNA) and 5% (Cav-1 shRNA). * $P < 0.01$ (unpaired Mann-Whitney U test). (C and D) Whole-cell lysates of cells stably transfected with nontargeting control shRNA (Control) and caveolin-1-targeting shRNA (Cav-1) were collected and probed for endogenous clathrin (C) or endogenous epsin-1 (D) using mouse monoclonal clathrin- or epsin-1-targeting antibodies. α -Tubulin is shown as a loading control. (E) Images of foci from 8-h *L. monocytogenes* infections of HeLa cells stably expressing nontargeting control shRNA or caveolin-1-targeting shRNA (Cav-1 shRNA). Cells were fixed and stained with rabbit anti-*Listeria monocytogenes* polyclonal antibodies (green) and Alexa 594-phalloidin (red) to visualize F-actin. *L. monocytogenes* bacteria only (Thresholded; middle) and delineated infection foci (bottom). Scale bar is 20 μm . (F) Quantification of infection foci area from HeLa cells stably expressing nontargeting control shRNA or caveolin-1-targeting shRNA (Cav-1 shRNA). At least 26 infection foci from 3 independent experiments (26 foci from cells stably transfected with control shRNA and 41 foci from cells stably transfected with caveolin-1-targeting shRNA (Cav-1 shRNA)) were imaged and analyzed. Values (\pm s.d.) (relative to control) are 100% (Control shRNA) and 29% (Cav-1 shRNA). *** $P < 0.0001$ (unpaired parametric two-tailed t tests [with Welch's correction]). (G) An example of a spreading event where the majority of visible *L. monocytogenes* bacteria (green) were restricted to a single caveolin-1 shRNA stably transfected cell (Cav-1 shRNA). Scale bar is 10 μm . (H) Quantification of *L. monocytogenes* internalization 3 h postinfection of HeLa cells stably expressing nontargeting control shRNA or caveolin-1-targeting shRNA (Cav-1 shRNA). Bacterial load counts from 3 separate experiments (where each experiment was run in triplicate) were obtained and plotted as a bar graph of the percentage of internalized bacteria (relative to control [\pm s.d.]). The proportions of internalized bacteria (relative to control, \pm s.d.) are 100% (Control shRNA) and 113.4% (Cav-1 shRNA). ** $P < 0.001$ (unpaired parametric two-tailed t tests [with Welch's correction]). (I and J) Quantification of *L. monocytogenes* comet/rocket tail lengths (I) and tortuosity indices (J) from 8-h infections of HeLa cells stably expressing nontargeting control shRNA or caveolin-1-targeting shRNA (Cav-1 shRNA). For both cell lines, 60 comet/rocket tails were measured (from 10 microscopy fields of views). The average comet/rocket tail lengths (depicted as a scatter plot, \pm s.d.) were 7.229 μm (Control shRNA) and 6.880 μm (Cav-1 shRNA). The average comet/rocket tail tortuosity indices (\pm s.d.) were 1.150 (Control shRNA) and 1.134 (Cav-1 shRNA). ns, not statistically significant. (K and L) Quantification of *L. monocytogenes* membrane protrusion lengths (K) and tortuosity indices (L) from 8-h infections of HeLa cells stably expressing

(Continued on next page)

localized to *L. monocytogenes* invaginations, suggesting that the ENTH domain likely plays a crucial role during *L. monocytogenes* invagination formation (Fig. 5J). Line scan analysis of ENTH-GFP at the invagination also generated the characteristic dual peak surrounding actin (see Fig. S12B at <https://figshare.com/s/cf00a3963800acb09230>).

The prevailing hypothesis regarding the mechanism behind *L. monocytogenes* cell-to-cell transfer largely hinges on the actin-based motility of the microbes and the resulting propulsive forces generated at their cell surface (3, 43, 56). While it is possible that our observed recruitment of caveolin-1 to invaginations arose solely due to the actin-based motility of the bacteria, we do not think this is the principal mechanism. In fact, potential mechanisms underlying the requirement of caveolar components in *L. monocytogenes* spreading could involve global effects on the plasma membrane, such as lipid/protein composition and/or membrane tension. To begin to resolve these possibilities, we set out to demonstrate that the physical membrane protrusions alone can trigger caveolin-1 recruitment upon their contact with the host cell surface. To do this, we adapted a previously developed technique to isolate *L. monocytogenes* membrane protrusions from infected epithelial cells (6) and added these preparations onto naive epithelial cells that had previously been transfected with fluorescently tagged caveolin-1. To first confirm the presence of intact isolated membrane protrusions, we isolated the structures from cells expressing the plasma membrane marker pmKate2-f-mem and saw that pmKate2-f-mem clearly delineated the structures (Fig. 6A). We also stained samples of isolated membrane protrusions for the host protein ezrin, a well-known marker of *L. monocytogenes* membrane protrusions (53), and saw that endogenous ezrin levels were enriched within the actin-rich core of the structures, confirming that the isolated structures were in fact bacterially derived membrane protrusions (Fig. 6B). In both preparations, DAPI (4',6-diamidino-2-phenylindole) staining as well as phase-contrast images indicated the presence of bacterial cells at one pole of the structures (Fig. 6A and B). When we overlaid isolated membrane protrusions onto naive cells, we saw that the membrane protrusions, some as large as $\sim 8 \mu\text{m}$, had concentrated caveolin-1 around the entire structure (Fig. 6C). Caveolin-1 enrichment around the isolated membrane protrusion was further reflected in our line scan analysis of the structure (Fig. 6D). When we performed similar experiments examining other caveolar proteins, fluorescently tagged cavin-2 and EHD2, but not dynamin-2, was found concentrated around the overlaid isolated membrane protrusions (Fig. 6E; see also Fig. S13 at <https://figshare.com/s/b7f90aaaf70fbb1e0dfc>).

DISCUSSION

Examinations of cell-to-cell spreading events during *L. monocytogenes* infections have historically concentrated on the formation of the protrusions, leaving the invaginations poorly studied. Here, we report that *L. monocytogenes* hijacks the caveolin-endocytic machinery to move from one epithelial cell to another. Our data point to a subset of classical caveolar proteins (caveolin-1, cavin-2, and EHD2) as key components for the internalization of these large formations. We also document the presence of known caveolin-associated lipids at the structures.

The involvement of the clathrin-mediated endocytic protein epsin-1 at the membrane of these caveolin-based invaginations is peculiar, as other examples of clathrin-associated machinery such as clathrin itself, eps15, and AP2 are excluded from the sites. The reasons for the presence of epsin-1 may lie in its ability to curve the plasma membrane during endocytosis (35). Epsin-1 is classically known to induce the curvature of membrane through the direct insertion of its N-terminal alpha-helix, helix0, into the

FIG 4 Legend (Continued)

nontargeting control shRNA or caveolin-1-targeting shRNA (Cav-1 shRNA). A total of 45 membrane protrusions (from 12 microscopy fields of view) were measured from HeLa cells stably expressing control shRNA. A total of 41 membrane protrusions (from 12 microscopy fields of view) were measured from HeLa cells stably expressing caveolin-1-targeting shRNA (Cav-1 shRNA). The average membrane protrusion lengths (depicted as a scatter plot, \pm s.d.) were $5.752 \mu\text{m}$ (Control shRNA) and $5.718 \mu\text{m}$ in (Cav-1 shRNA). The average membrane protrusion tortuosity indices (\pm s.d.) were 1.051 (Control shRNA) and 1.062 (Cav-1 shRNA).

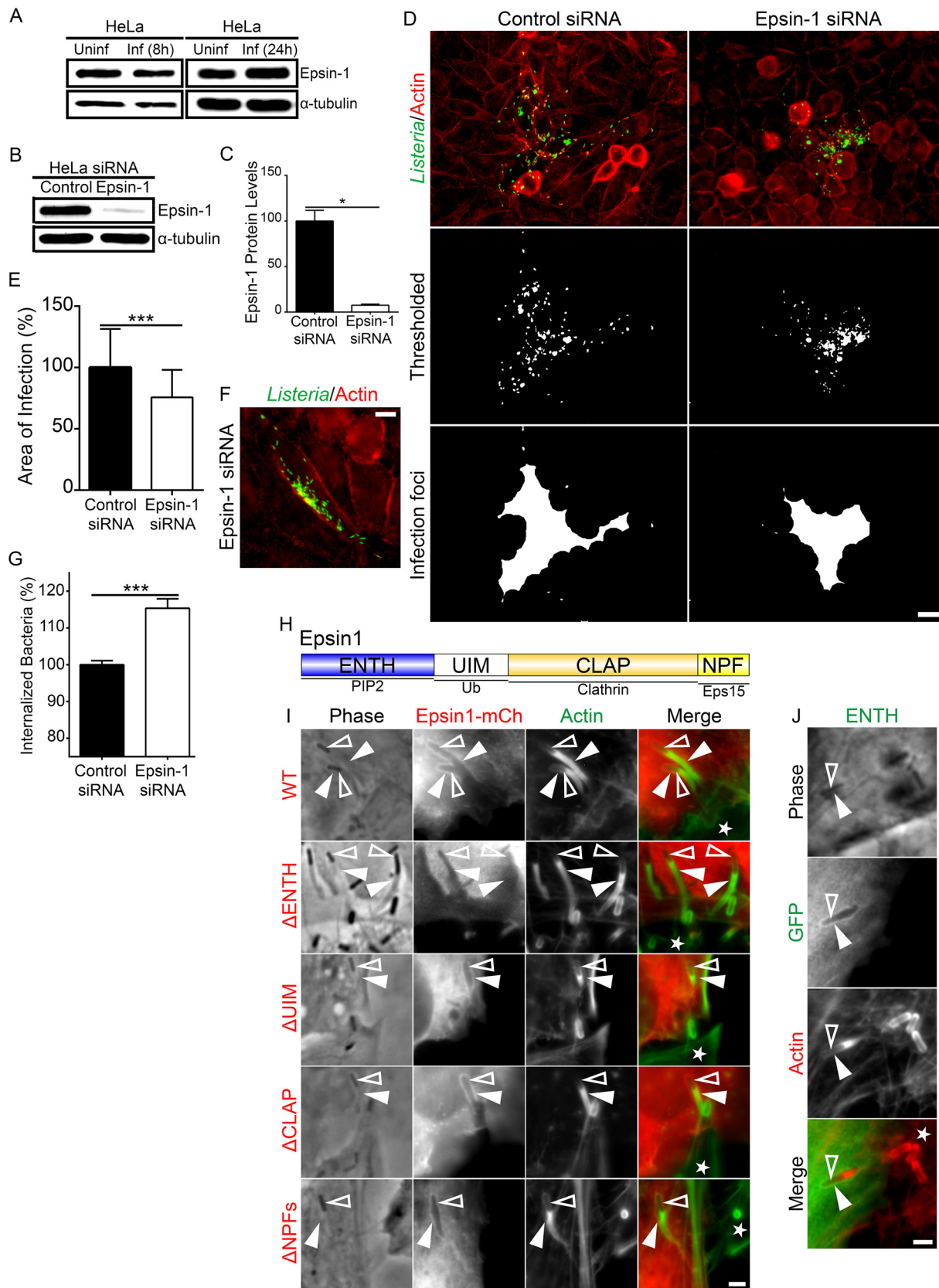


FIG 5 Epsin-1 is important for *L. monocytogenes* cell-to-cell spreading. (A) Whole-HeLa-cell lysate from uninfected versus 8-h (left blots) or 24-h (right blots) *L. monocytogenes* infections were probed for endogenous epsin-1 using mouse monoclonal anti-epsin-1 antibodies. α-Tubulin is

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cytosolic leaflet of the plasma membrane (35, 57, 58). Given that a spheroid shape would be generated at the region where the *L. monocytogenes* protrusion makes initial contact with the plasma membrane of the neighboring cell, which is a curved shape similar to that of an initially forming endocytic vesicle, epsin-1 could function at these sites as a membrane curving protein, inserting itself into the membrane to help promote the initial formation of the invagination. Although epsin-1 is normally used for clathrin-coated endocytic pit curve formation (35–38), work in the McMahon laboratory clearly demonstrated that under conditions of coinubation with liposomes (35; see also references 39 and 57), epsin-1 (or even just the ENTH domain of epsin-1) can generate elongated tubules that morphologically resemble the tubular component of *L. monocytogenes* membrane invaginations. Thus, we postulate that epsin-1 could also interact with the highly curved membrane along the tubular aspect of the *L. monocytogenes* membrane invagination (oriented perpendicularly to the long axis of the structures). Further support for this possibility comes from the work of Capraro and colleagues, who demonstrated that the epsin-1 ENTH domain binds preferentially to highly curved membrane tethers (59). In the future, it will be interesting to see whether epsin-1 is also used for the caveolin-dependent internalization of other large particles.

How might caveolae and their associated proteins manage to physically distort the plasma membrane and engulf the entirety of *L. monocytogenes* protrusions? Clues to this may lie in evidence from Sinha and coworkers, who demonstrated that caveolae flatten with a concomitant integration of caveolin-1 into the plasma membrane as the cells experience mechanical stress (60). Our images show caveolin-1 delineating the invaginating membrane in a manner similar to the model proposed by Sinha and colleagues, and our observation that caveolae are present at invaginations supports this model. Additionally, while we have electron microscopic evidence documenting structures resembling caveolae at the tip of the membrane invagination (adjacent to the bacteria), it is likely that these structures also populate the stalk and distal regions of invaginations, as fluorescent images of endogenous caveolin-1 show a punctate staining pattern reminiscent of caveolae staining. Thus, given the presence of the caveola-like structures in electron micrographs together with our observation of linear and punctum-like caveolar protein localization along the entirety of invaginations, we propose a model whereby the *L. monocytogenes* protrusion initially contacts the recipient cell and uses the membrane from already present caveolae to begin to

FIG 5 Legend (Continued)

shown as a loading control. (B) Whole-HeLa-cell lysates from stably transfected control siRNA (Control) and epsin-1 siRNA (Epsin-1) cells were collected and probed for endogenous epsin-1 using mouse monoclonal epsin-1-targeting antibodies. α -Tubulin is shown as a loading control. (C) Quantification of epsin-1 protein levels from HeLa cells stably transfected with control siRNA or epsin-1 siRNA to knock down epsin-1 (Epsin-1 siRNA). Five lanes each from samples of control siRNA-treated and epsin-1 siRNA treated cells (Epsin-1 siRNA) were analyzed. Values (\pm s.d.) (relative to control) are 100% (Control siRNA) and 7.5% (Epsin-1 siRNA). *, $P < 0.01$ (unpaired Mann-Whitney U test). (D) Images of 8-h *L. monocytogenes* infection foci from HeLa cells stably expressing control siRNA or epsin-1-targeting siRNA (Epsin-1 siRNA). Cells were fixed and stained with rabbit anti-*Listeria monocytogenes* polyclonal antibodies (green) and Alexa 594-phalloidin (red) to visualize F-actin. *L. monocytogenes* bacteria only (Thresholded; middle) and delineated infection foci (bottom). Scale bar is 20 μ m. (E) Quantification of infection foci area from HeLa cells stably expressing control siRNA or epsin-1-targeting siRNA (Epsin-1 shRNA). At least 50 infection foci from 3 independent experiments (50 foci from cells stably transfected with control siRNA and 53 foci from cells stably transfected with epsin-1-targeting siRNA (Epsin-1 siRNA) were imaged and analyzed. Values (\pm s.d.) (relative to control) are 100% (Control siRNA) and 75.66% (Epsin-1 siRNA). ***, $P < 0.0001$ (unpaired parametric two-tailed t tests [with Welch's correction]). (F) An example of a spreading event where the majority of visible *L. monocytogenes* bacteria (green) were restricted to a single epsin-1 siRNA stably transfected cell. Scale bar is 10 μ m. (G) Quantification of *L. monocytogenes* internalization into HeLa cells stably expressing control siRNA or epsin-1 targeting siRNA (Epsin-1 siRNA). Bacterial load counts from 3 separate experiments (where each experiment was run in triplicate) were obtained and plotted as a bar graph of the percentage of internalized bacteria (relative to control [\pm s.d.]). The proportions of internalized bacteria (relative to control, \pm s.d.) are 100% (Control siRNA) and 115.4% (Epsin-1 siRNA). ***, $P < 0.0001$ (unpaired parametric two-tailed t tests [with Welch's correction]). (H) Schematic diagram of Epsin-1 indicating the following domains of interest: ENTH (N-terminal homology; blue), UIM (ubiquitin interaction motifs; white), CLAP (clathrin/AP2; orange), and NPF (asparagine-proline-phenylalanine; yellow). Binding partners to each domain are indicated at the bottom as follows: PIP2 (phosphatidylinositol 4,5-bisphosphate); Ub (ubiquitin); Clathrin; Eps15. (I) Mixed HeLa cell infections with the protrusion-receiving cells expressing various epsin-1-mCherry (red) domain deletion constructs (each epsin-1 domain is represented in panel H). Samples were fixed and stained with Alexa 488-phalloidin (green) to visualize F-actin. The white stars indicate the location of the untransfected protrusion-sending cells. Solid arrowheads indicate the invaginations, and open arrowheads indicate spreading bacteria. Scale bar is 2 μ m. (J) Mixed HeLa cell infections with the protrusion-receiving cells expressing the ENTH domain of epsin-1 (ENTH-GFP; green). Samples were fixed and stained with Alexa 488-phalloidin (red) to visualize F-actin. The white star indicates the location of the untransfected protrusion-sending cell. Solid arrowheads indicate the invaginations, and open arrowheads indicate spreading bacteria. Scale bar is 2 μ m.

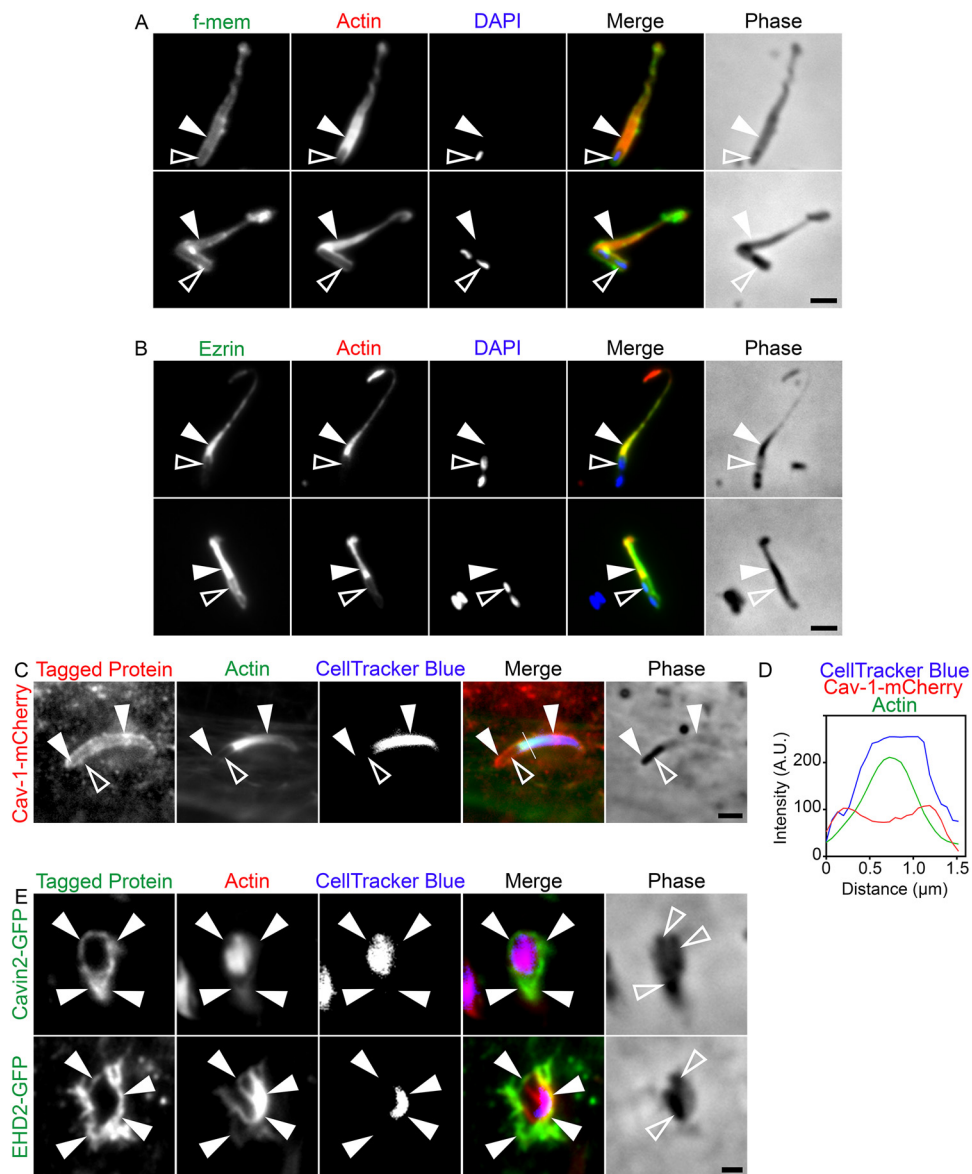


FIG 6 Interactions between isolated *L. monocytogenes* membrane protrusions and the host cell surface trigger the recruitment of caveolin-1, cavin-2, and EHD2. (A and B) Validation of *L. monocytogenes* membrane protrusion isolation experiments using pmKate2-f-mem (f-mem) (A) and ezrin (B). All samples were fixed and stained with Alexa 488-phalloidin (red) to visualize actin and with DAPI (blue) to visualize bacteria within the protrusions. Solid arrowheads indicate the isolated membrane protrusions and open arrowheads indicate the bacteria within the structures. Scale bar is 2 μm . (C) *L. monocytogenes* membrane protrusions were isolated from infected HeLa cells that were labeled with CellTracker Blue (blue). The isolated protrusions were overlaid on naive cells expressing caveolin-1-mCherry (red). Alexa 488-phalloidin (green) was used to visualize the F-actin within the protrusions. Solid arrowheads indicate caveolin-1-mCherry encapsulating the entire isolated protrusion. Open arrowheads indicate the bacterium inside the protrusion. Scale bar is 2 μm . (D) Line scan analysis of the isolated *L. monocytogenes* membrane protrusions overlaid on naive HeLa cells transfected with caveolin-1-mCherry and stained with Alexa 488-phalloidin (green) to visualize F-actin. A single 1.5- μm line (white line) was drawn across the isolated protrusion, and F-actin intensity and the corresponding caveolin-1-mCherry and CellTracker Blue intensity were plotted. (E) *L. monocytogenes* membrane protrusions were isolated from infected HeLa cells labeled with CellTracker Blue (blue). The isolated protrusions were overlaid on naive cells expressing either cavin-2-GFP or EHD2-GFP (green). Alexa 594-phalloidin (red) was used to visualize the actin within the protrusions. Solid arrowheads indicate cavin-2-GFP or EHD2-GFP encapsulating the entire isolated protrusion. Open arrowheads indicate the bacterium inside the protrusion. Scale bar is 2 μm .

lengthen the invaginations until the caveolae in the invaginating zone all flatten. Consequently, the resulting elongated invagination contains caveolar proteins but no caveolae (Fig. 7). Our findings showing that invaginations are shorter in cells depleted of caveolin-1 gives credence to this possibility. Whether or not caveolae preferentially

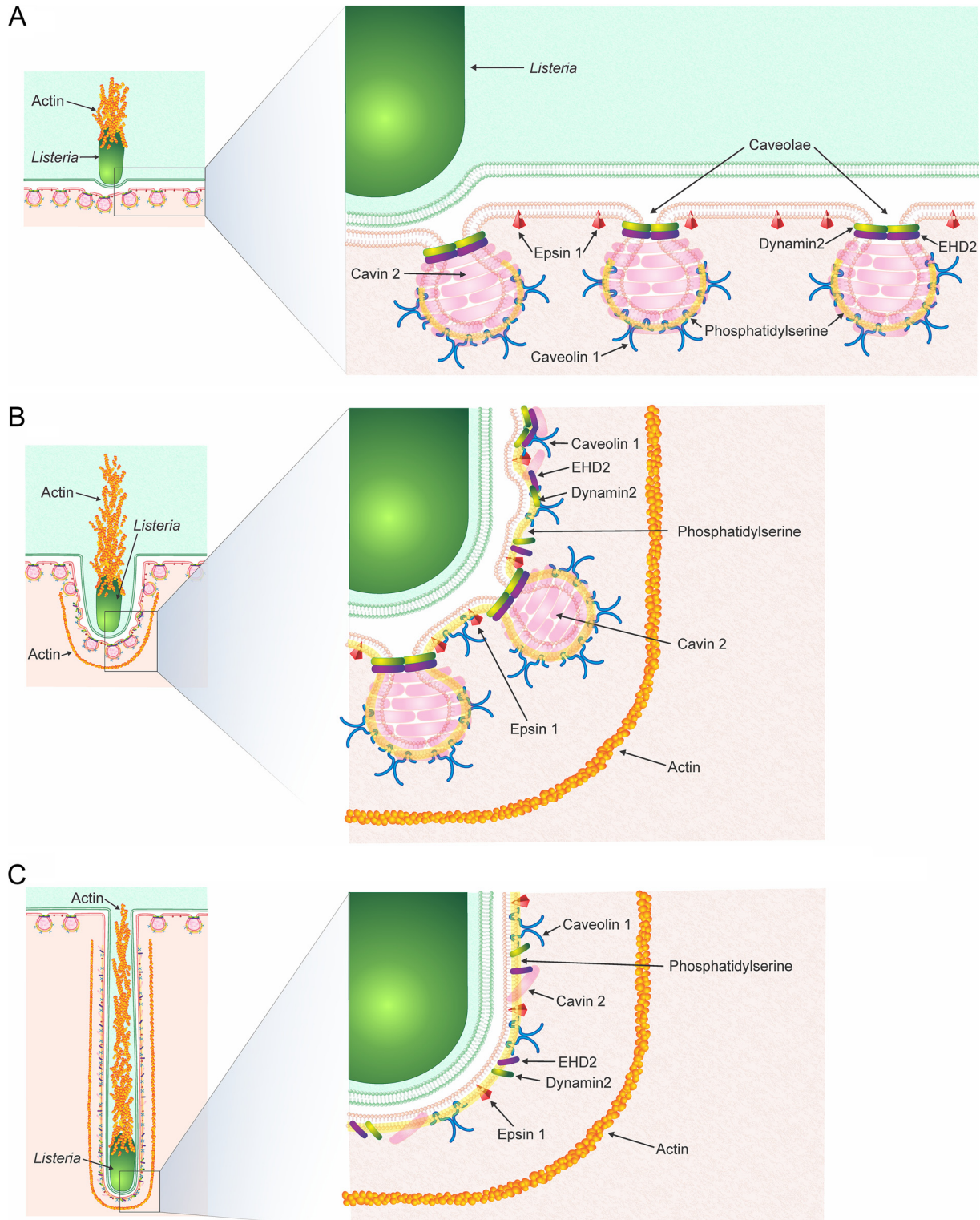


FIG 7 Proposed model for the caveolin-mediated uptake of *L. monocytogenes* membrane protrusions. Model representing the different stages of *L. monocytogenes* protrusion uptake into corresponding invaginations of neighboring cells are shown. (A) Initial contact of *L. monocytogenes* with the neighboring cell. Caveolae and their protein components remain intact. Epsin-1 is positioned in the membrane, but not at caveolae, in this model as it is not a bona fide component of caveolae. (B) Upon extension of the invagination, caveolae begin to flatten along the entire length of the structure. Their protein components remain within the inner leaflet of the invaginating cell plasma membrane, and filamentous actin surrounds the forming invagination. Phosphatidylserine that was initially at the caveolae now spreads across the forming invagination. (C) Once invaginations fully elongate, caveolae flatten, but caveolar proteins and epsin-1 remain associated with the invagination. Dynamin-2 remains associated at regions surrounding the bacterium. Filamentous actin also elongates with the invagination.

flatten at the earlier stages of the invagination process rather than once they have elongated will require future study.

Cargo uptake via caveolin-1-mediated endocytosis is normally triggered through ligand-receptor interactions (45, 61–69; see also reference 70 for a review). However, support for ligand-independent caveolin-1 function at plasma membrane caveolae also exists (71). Could ligand receptor-mediated signaling also play a role in the recruitment of caveolin-1 and other caveolar proteins to invaginations? From our experiments involving isolated *L. monocytogenes* membrane protrusions, the results implied that contact of the structures with the host cell surface alone is sufficient to trigger recruitment of caveolin-1, cavin-2, and EHD2. Coupled with our recent finding of the host plasma membrane receptor CD147 at *L. monocytogenes* membrane invaginations (23), the potential for the presence of a ligand(s) on the surface of protrusions, interacting with a corresponding receptor(s) on the cell forming the invaginations, presents a compelling area of further investigation. Furthermore, the visually apparent elevated levels of these caveolar proteins surrounding the structures also point to the possibility that preassembled caveolar vesicles could incorporate their proteins into the plasma membrane as an endocytic unit at sites of membrane invaginations (72, 73) rather than being recruited separately. Unlike those aforementioned proteins, dynamin-2 remained absent at contact sites of the isolated membrane protrusions and the underlying cell. During cell-to-cell-spreading experiments, dynamin-2 showed an unusual localization at the invagination, being restricted to the region of the bacterium. This recruitment contrasts with its classical function at the neck of endocytic pits, where assemblies of dynamin-2 collars catalyze, via GTP, membrane scission of the budding vesicle (19, 74–76). One potential explanation may pertain to the *L. monocytogenes* internalin family of proteins which are involved in several stages of its infection cycle. Cell surface internalin A (InIA) and InIB control initial bacterial invasion into cells (77, 78; see also reference 79 for a review), whereas secreted internalin C (InIC) and InIP have been shown to promote bacterial cell-to-cell and cell-to-basement membrane transfer, respectively (80, 81). Consequently, future investigations into the *L. monocytogenes* infection cycle should focus on evaluating whether or not these bacterial components are also involved in the caveolin-mediated engulfment of membrane protrusions.

Despite the sparsity of studies examining the internalization of *L. monocytogenes* membrane protrusions, one study has provided insight into a process that is at play during the infections. The process of efferocytosis involves the removal of dead (or dying) material primarily by phagocytic cells. This process causes the weakening of the phagocytic cell plasma membrane prior to the removal of the dying material, and *L. monocytogenes* takes advantage of this process (82). Phosphatidylserine is commonly found within host cells; however, Czuczman and coworkers found that annexin V (a phosphatidylserine marker) was present on the surface of membrane protrusions generated by *L. monocytogenes* during HeLa cell infections, suggesting a certain degree of damage to the host cell plasma membrane (82). Through the use of TIM-4 (an efferocytosis component that recognizes phosphatidylserine) knockout (KO) mice and macrophages, they also found that this protein was involved in the dissemination of *L. monocytogenes*. We also examined phosphatidylserine but looked specifically for its presence at invaginations, as phosphatidylserine is also involved in organizing caveolar biogenesis (28). How the efferocytosis event at the protrusion may be linked to the formation of the corresponding invagination in epithelial cells remains to be determined.

Microbes often find strategies to exceed classical endocytic size limits. *L. monocytogenes*, *Staphylococcus aureus*, and *Candida albicans* use clathrin-mediated endocytosis, a process once thought to be limited to ~150-nm vesicles, for their initial entry into epithelial cells (14, 83), whereas *Shigella flexneri* bacteria depend on clathrin and its associated proteins for cell-to-cell spreading (84). Caveolae, strictly defined, are too small (~100 nm) (16–18) to engulf *L. monocytogenes* protrusions; however, our evidence suggests that caveolae have a direct local effect on the membrane that permits

engulfment of large structures into the cell. The idea that caveolae can be locally permissive for endocytosis by potentially providing membrane to release into large invaginations, without themselves budding from the structures, opens the door for researchers to consider this process during other microbial infections and during the general internalization of particles whose size is beyond the current theoretical restriction. Our work also provides a way to reinterpret some of the literature on caveolar endocytosis and to resolve some of the many controversies in the field.

MATERIALS AND METHODS

Cell culture. Human cervical (HeLa) and *Canis familiaris* kidney (MDCK) epithelial cells were purchased from American Type Culture Collection (ATCC) (catalog no. CCL-2 and CCL-34, respectively). Stable HeLa cell lines transfected with control (nontargeting) or epsin-1-targeting sequences were generated from a wild-type HeLa cell line and cultured as described previously (85). All cells were cultured using Dulbecco's modified Eagle's medium (DMEM) containing high levels of glucose (HyClone; GE Healthcare) and supplemented with 10% fetal bovine serum (FBS) (Gibco, Thermo Fisher Scientific). All cell lines were maintained in a cell culture incubator at 37°C and 5% CO₂. To seed cells for experiments, flasks containing cells were washed three times with Dulbecco's phosphate-buffered saline without Ca²⁺ and Mg²⁺ (PBS [−/−]) (Gibco, Thermo Fisher Scientific), trypsinized with 0.05% trypsin-EDTA (Gibco, Thermo Fisher Scientific), counted, and seeded onto clear polystyrene 6-well or 24-well plates (Corning) containing glass coverslips. For electron microscopy, flexible silicone elastomer membranes (Flexcell International) were used in place of glass coverslips.

Caveolin-1 shRNA. HeLa cells with stable knockdown of caveolin-1 (CAV1) as well as control (nontargeting) cells were generated via lentivirus-mediated transduction. Lentivirus particles containing pLKO.1-based plasmids that included gene-specific targeting sequences were produced. This was followed by puromycin selection (2 μg/ml). The ShCav1 sequence used was TRCN000008002 (targeting open reading frame).

Bacterial strains and growth conditions. *L. monocytogenes* strain EGD BUG 600 (gifted by Pascale Cossart) was used throughout this study and was grown at 37°C using either brain heart infusion (BHI) agar or BHI broth (BD Biosciences).

Listeria monocytogenes infections. To infect cells, broth cultures of *L. monocytogenes* (shaken overnight) were diluted 10-fold in fresh BHI broth (final volume of 10 ml) and then incubated at 37°C in a shaking incubator (on an angle) until A₆₀₀ = 1.00. At A₆₀₀ = 1.00, 1 ml of bacteria were centrifuged for 5 min at 10,000 rpm (25°C) and washed twice with prewarmed (37°C) PBS [−/−]. Pelleted bacteria were resuspended with 1 ml of prewarmed serum-free media (DMEM; 37°C) and then diluted 100×. Diluted bacteria were added onto culture plates containing host cells and incubated for at least 6 to 8 h to study actin comet/rocket tail and membrane protrusion/invagination formation.

Mixed-cell infections. One batch of HeLa cells was seeded at a density of 2 × 10⁶ per well in 6-well-format plates without coverslips. On the same day, in separate 6-well plates (containing coverslips), a second batch of HeLa cells seeded at 2.25 × 10⁶ was added per well. The following day, the HeLa cells seeded previously at a density of 2.25 × 10⁶ were transfected (as described below) with DNA plasmids encoding the fluorescently tagged protein of interest to be examined at *L. monocytogenes* invaginations. On day 3, the untransfected HeLa cells (seeded previously at a density of 2 × 10⁶ per well) were infected (as described above) with wild-type *L. monocytogenes* at a multiplicity of infection (MOI) of ~40. At 2-h postinfection, the infected cells were washed three times with PBS [−/−] and then 1 ml of prewarmed DMEM containing 10% FBS and gentamicin (50 μg/ml) was added to each well to kill any remaining extracellular bacteria. After 3 h of infection, the infected cells were detached and enumerated. Approximately 1 × 10⁶ infected cells were then overlaid on well plates containing the previously uninfected/transfected cells. Gentamicin was added to reach a final concentration of 50 μg/ml. Samples were fixed 5 h following the overlaying procedure and stained with fluorescent phalloidin and DAPI as described below. The examination of fluorescently tagged proteins of interest at *L. monocytogenes* spreading events was performed by microscopic analysis whereby untransfected but infected cells (the cells appeared black to the eye of the microscopist) were sending *L. monocytogenes* membrane protrusions directly into and generating invaginations in the adjacent transfected cells (as visually determined by the microscopist through a combination of fluorescent and phase microscopy). Care was taken to ensure that each membrane protrusion could be visually traced back to the original sending location (untransfected cell).

Reagents and antibodies. The antibodies and reagents used in this study included the following: Alexa Fluor 594- and 488-conjugated phalloidin (Invitrogen); CellTracker Blue (Invitrogen); BODIPY-lactosylceramide (Invitrogen); Alexa Fluor 594- and 488-conjugated goat anti-rabbit and goat anti-mouse antibodies (Invitrogen) (2 μg/ml); rabbit anti-caveolin-1 (Abcam, ab2910) (10 μg/ml for immunofluorescence); mouse anti-clathrin heavy chain (BD Biosciences, 610499) (5 μg/ml for immunofluorescence); mouse anti-α-tubulin (Developmental Studies Hybridoma Bank [DSHB], 12G10) (1:1,000 for Western blotting); mouse anti-ezrin (Developmental Studies Hybridoma Bank, CPTC-Ezrin-1) (1:100 for immunofluorescence); rabbit anti-*Listeria monocytogenes* (BD Difco, 223021) (1:300 for immunofluorescence); horseradish peroxidase (HRP)-conjugated goat anti-mouse and goat anti-rabbit antibodies (Invitrogen) (1 μg/ml). The mouse monoclonal anti-α-tubulin antibody (12G10) was deposited into the DSHB by J. Frankel and E. M. Nelsen. The mouse monoclonal anti-ezrin antibody (CPTC-Ezrin-1) was deposited into

the DSHB by Clinical Proteomics Technologies for Cancer. The mouse anti-epsin-1 antibody (clone z33) (1:10 dilution for immunofluorescence) was generated previously (86).

Immunolocalization. Cells on glass coverslips were fixed at room temperature (rt) (in the dark) for 15 min using prewarmed (37°C) 3% paraformaldehyde (prepared in 150 mM NaCl, 4 mM Na/K PO₄, 5.0 mM KCl, pH 7.3) and then washed three times using PBS [−/−]. Cells were permeabilized using room temperature 0.2% Triton X-100 (prepared in PBS [−/−]) for 5 min or −20°C acetone for 10 min. Following Triton permeabilization, coverslips were rinsed three times with PBS [−/−], whereas acetone treated coverslips were dried at room temperature for 30 min. All samples were blocked with 5% normal goat serum (in PBS [−/−]) for 25 min and then incubated overnight at 4°C with primary antibodies prepared in Tris PBS (TPBS)/BSA (PBS [−/−], 0.5% Tween 20, 0.1% bovine serum albumin [BSA]). The next day, the samples were washed three times with TPBS/BSA for 10 min and then treated with secondary antibodies (Alexa Fluor 594- or 488-conjugated goat anti-rabbit or goat anti-mouse) at room temperature in the dark for 2 h. To visualize F-actin, samples were treated with Alexa Fluor 594- or 488-conjugated phalloidin (prepared in PBS [−/−]) for 20 min. Samples were washed three times with PBS [−/−] and mounted onto glass microscope slides using Prolong Diamond antifade mounting medium (Invitrogen, Thermo Fisher Scientific) (with or without DAPI).

Lysate preparation and Western blotting. Cells were washed three times with prewarmed PBS [+/+] and then treated with 4°C radioimmunoprecipitation assay (RIPA) lysis buffer (150 mM NaCl, 50 mM Tris [pH 7.4], 5 mM EDTA, 1% Nonidet P-40, 1% deoxycholic acid, 10% SDS) containing cOmplete Mini EDTA-free protease inhibitor cocktail (Roche) on ice for 5 min. Cell scrapers were used to disrupt the cells, and lysates were then collected into microcentrifuge tubes. Lysates were spun at 4°C and 10,000 × *g* for 10 min to pellet cellular debris and DNA; supernatants were then collected into fresh 4°C microcentrifuge tubes and immediately stored at −80°C. Protein concentrations were ascertained using a bicinchoninic acid (BCA) assay kit (Pierce). For Western blotting, lysates samples were prepared in 6× Laemmli buffer and then boiled (100°C) for 10 min. Equal amounts of protein were loaded onto 10% SDS-polyacrylamide gels and resolved by electrophoresis. Gels were rinsed in distilled water for 5 min and then transferred onto nitrocellulose membranes using a Trans-Blot SD semidry transfer cell (Bio-Rad). Membranes were washed for 5 min in TBST (Tris-buffered saline, 0.05% Tween 20) with shaking, blocked with 4% Blotto (Santa Cruz Biotechnology) prepared in TBST (1 h shaking), and then treated with primary antibodies (diluted in TBST–1% BSA) overnight at 4°C. The next day, membranes were rinsed three times with TBST for 10 min prior to incubation with secondary antibodies (HRP-conjugated goat anti-rabbit or goat anti-mouse) for 1 h at room temperature. To visualize protein bands, membranes were treated with Western Lightning Plus-ECL (PerkinElmer) following the manufacturer's instructions and imaged using a Fujifilm LAS-4000 imager (Fujifilm). To confirm equivalent levels of loading, membranes were stripped using mild stripping buffer (1.5% glycine, 0.1% SDS, 1% Tween 20, pH 2.2) and reprobed using mouse anti- α -tubulin targeting antibody.

Western blot quantification. Protein quantification was performed using the gels tool in ImageJ. All analyzed lanes were first adjusted for loading by normalizing the loading control signal against a control lane. Following this, the caveolin-1 or epsin-1 signal was normalized against one of the control lanes. To obtain the adjusted and relative caveolin-1 or epsin-1 protein levels of each lane, the relative caveolin-1 or epsin-1 signal of each lane was divided by the relative loading control of that same lane.

DNA constructs. Plasmids containing mCherry-Caveolin-1 (87), eGFP-LifeAct (gifts from Michael Davidson; plasmid no. 55008 and no. 54610, respectively), GFP-clathrin heavy chain (88) (gift from Stephen Royle; plasmid no. 59799), Cavin-1–mEGFP (89), Cavin-2–mEGFP (89), EHD2–mEGFP (90) (gifts from Ari Helenius; plasmid no. 27709, no. 27710, and no. 45932, respectively), GFP-Cavin-3 (27) (gift from Rob Parton; plasmid no. 68399), Dynammin-2–pmCherryN1, Eps15–pmCherryN1, Amphiphysin1–pmCherryN1, FCHO1–pmCherryC1, NECAP–pmCherryC1 (41) (gifts from Christien Merrifield; plasmid no. 27689, no. 27696, no. 27692, no. 27690, and no. 27674, respectively), GFP-Intersectin Short (91) (gift from Peter McPherson; plasmid no. 47394), Lact-C2–GFP (29) (gift from Sergio Grinstein; plasmid no. 22852), and AktPH–pmCherry (92) (gift from Moritoshi Sato; plasmid no. 67301) were obtained from Addgene. GFP-Pacsin2 (93) and CD147–GFP (94) were generated previously. Full-length constructs and all domain deletion epsin-1–mCherry constructs were generated previously (95). GFP-AP2, GFP epsin-1, and GFP-ENTH were gifts from Pietro De Camilli. DNA plasmid encoding pmKate2-f-mem (catalog no. FP186) was purchased from Evrogen.

Cell culture transfections. DNA transfections were performed using jetPEI or jetPRIME transfection reagents (Polyplus Transfection) and carried out according to the manufacturer's instructions. Briefly, cells were transfected (3 μ l reagent and 1.5 μ g plasmid DNA per well [6-well plate]) and then incubated at 37°C for 4 h. After 4 h, the medium in the wells was replaced and the cells were incubated for at least 24 h at 37°C to allow expression of the respective gene product.

Gentamicin protection assay. Cultured cells in 24-well cell-binding plates were infected with wild-type *L. monocytogenes* at an MOI of ~15 to 20 for 2 h to allow bacterial invasion. After 2 h of infection, the well plates were rinsed three times with Dulbecco's phosphate-buffered saline with Ca²⁺ and Mg²⁺ (PBS [+/+]) (Gibco, Thermo Fisher Scientific). The infections were then allowed to proceed for an additional 1 h in media containing 50 μ g/ml gentamicin (to kill extracellular bacteria). Bacterial loads were also examined after 8 h following the intercellular spreading assay infection protocol. At the end of the infections, cells were rinsed five times with PBS [+/+] and intracellular bacteria were released by treatment of cells with a 1% solution of Triton X-100 (made in PBS [+/+]) for 5 min. After the 5-min incubation, serial dilutions of the wells were performed in 96-well-format assay blocks. Dilutions were selected (so as to give a count of between 30 and 300 bacterial colonies), and cells were spread onto BHI agar plates and incubated for 24 h at 37°C prior to their enumeration.

Listeria monocytogenes intercellular spreading assay. Cells were infected with diluted wild-type *L. monocytogenes* (as described above). Cells were rinsed five times after 2 h using prewarmed PBS [–/–], and then 1 ml of prewarmed DMEM containing 10% FBS and gentamicin (50 µg/ml) was added to each well to kill any remaining extracellular bacteria. After 8 h of infection, cells were fixed and permeabilized using warm (37°C) 3% paraformaldehyde and 0.2% Triton X-100 (as described above). *L. monocytogenes* bacteria were detected with the rabbit anti-*Listeria monocytogenes* antisera followed by secondary antibody labeling with Alexa Fluor 594-conjugated goat anti-rabbit antibodies. Determinations of infection foci (imaged at ×400 magnification) and of the bacterial spreading area were performed as described previously (43).

Listeria monocytogenes membrane protrusion isolation. Cells cultured in 6-well plates (without coverslips) were infected with wild-type *L. monocytogenes* for 8 h (as described above). After 3 h, the cell were treated for 1 h with CellTracker Blue (following the manufacturer's instructions). After 8 h, cells were very gently rinsed three times with prewarmed media (37°C). Following the washes, 1 ml of the prewarmed media was added against the side of each well. A micropipette set to 0.9 ml was used to aspirate the 1 ml of media 10 times onto the surface of the cells (with care to avoid generation of bubbles) in order to detach *L. monocytogenes* membrane protrusions. The CellTracker Blue-labeled membrane protrusion-containing media were added onto culture plates of naive cells. Plates were spun at 2,000 × *g* for 5 min. Samples were then fixed and processed as described above.

Listeria monocytogenes comet/rocket tail and membrane protrusion analysis. *L. monocytogenes* comet/rocket tail lengths were measured manually by the microscopist using the line tool plug-in in the ImageJ software. Measurements were taken from cells infected for 8 h. Comet/rocket tails which were not visually in focus within 5 *z* slices (height of 0.8 µm) were excluded from the measurements as well as those where a clear beginning (bacterium-tail interface) and a clear end of the tail could not be ascertained. Membrane protrusion lengths were measured as described above. Only membrane protrusions that extended outwards from the edges of the host cell (in the *x-y* plane) were included in the measurements. Those that protruded upwards (in the *z* plane) and were not at the edges of the cell were not included. To determine the distortedness of comet/rocket tails and membrane protrusions, we determined the tortuosity index of the structures, which is defined as the ratio of the measured tail/protrusion length to the shortest distance between the bacterium-tail interface and the end of the tail/protrusion. The average frequency of *L. monocytogenes* membrane protrusions generated was calculated as the ratio of the total enumerated *L. monocytogenes* membrane protrusions to the total enumerated bacterially generated actin-rich structures (actin clouds, comet tails, and membrane protrusions).

Electron microscopy. Media were replaced with fixative (1.5% paraformaldehyde, 1.5% glutaraldehyde, 0.1 M sodium cacodylate, pH 7.3, at room temperature [rt]) for 2 to 3 h, and then the fixative was replaced with buffer (0.1 M sodium cacodylate, pH 7.3, rt). The membranes, with attached cells, were cut into 1 to 2 cm² pieces. The pieces were placed in glass vials and washed twice (10 min each wash) with fresh buffer. The samples were postfixed for 1 h on ice with 1% osmium tetroxide in 0.1 M sodium cacodylate (pH 7.3). The samples were washed three times (10 min each wash) with double-distilled water (ddH₂O) (at rt) and then stained *en bloc* with 1% aqueous uranyl acetate (at rt). The membranes were again washed three times with ddH₂O (at rt) and then dehydrated through an ascending series of ethyl alcohol concentrations (30%, 50%, 70%, 95%, 2 × 100% [10 min each]) followed by two treatments with propylene oxide (15 min each). The samples were then placed in 1:1 propylene oxide/EMBED 812 resin (Electron Microscopy Sciences, Hatfield, PA) and left overnight. The next day, the membranes were passed through two changes of 100% EMBED 812 resin and then placed on glass slides with the cells face up. The embedding capsules were filled with resin and inverted onto the membranes, and then the slides with membranes and embedding capsules were placed in an oven (60°C) to enable the resin to polymerize for 48 h. After polymerization, the capsules (with embedded cells) were carefully separated from the membranes that remained attached to the slides. The cell layers at the surfaces of the block were sectioned *en face* using a Leica ultramicrotome and collected onto copper grids. The sections were stained with uranyl acetate and lead citrate and then viewed, and images were collected using a FEI Tecnai G2 Spirit electron microscope operated at 120 kV.

Microscopy. A Leica DMI4000B (Leica Microsystems) inverted fluorescence microscope equipped with a Hamamatsu Orca R2 charge-coupled-device (CCD) camera (Hamamatsu Photonics) was used to acquire all immunofluorescent images. All devices were controlled by MetaMorph Imaging System software (Universal Imaging). Images were evaluated and processed using Metamorph Imaging System software or ImageJ software. The pixel intensity plots (line scan analyses) were performed by the microscopist using ImageJ whereby the line tool was used to first draw a 1.5-µm line perpendicularly across the invagination. Following this, the “plot profile” tool was used to obtain the pixel intensity value (from 0 to 255) corresponding to the protein of interest, actin, and when indicated, CellTracker Blue. Lines were excluded or shifted if intense signal from cellular structures (such as stress fibers), random artifacts, or other nearby invaginations interfered with the profile of interest. Line scan analyses were replicated at least 3 times (and up to 6 times) for each protein examined.

Statistical analysis. Statistical analysis was performed (unblinded) using GraphPad Prism version 6.01. All results involving immunofluorescence microscopy, line scan analyses, and Western blotting were obtained from experiments performed at least 3 times (*n* = 3). For quantification involving protein localization frequency at membrane invaginations, Western blotting (protein levels), membrane invaginations (lengths), infection foci (areas), number of intracellular bacteria, comet/rocket tails (length and tortuosity), and membrane protrusions (length, tortuosity, and frequency of formation), the exact number of repeats performed or samples/fields of view analyzed and whether measurements were

normalized to controls are indicated in the corresponding figure legends. All presented images are representative of the experiments performed. For all quantified data, the statistical tests utilized and accompanying *P* values are indicated in the corresponding figure legends.

SUPPLEMENTAL MATERIAL

Supplemental material is available online only.

FIG S1, PDF file, 1.6 MB.

FIG S2, PDF file, 1.7 MB.

FIG S3, PDF file, 1.9 MB.

FIG S4, PDF file, 1.2 MB.

FIG S5, PDF file, 1 MB.

FIG S6, PDF file, 1.5 MB.

FIG S7, PDF file, 0.8 MB.

FIG S8, PDF file, 1.1 MB.

FIG S9, PDF file, 1.7 MB.

FIG S10, PDF file, 1.7 MB.

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A.S.D. and J.A.G. conceived the study. C.Y. provided results for Fig. 1E and 4E to G and Fig. S4. K.T.L. provided results for Fig. 3A and Fig. S5B. D.Y. provided results for Fig. S5A. A.W.V. performed electron microscopy imaging. V.R. generated the shRNA stable cell lines. A.S.D. performed all other experiments, data collection, and postanalysis. B.J.N., S.H.K., S.P., and C.G.H. provided reagents as well as expertise. All of us analyzed the data and wrote the manuscript.

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