

Simulation of remanent, transient, and induced first-order reversal curve (FORC) diagrams for interacting particles with uniaxial, cubic, and hexagonal anisotropy

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Key Points:

- First-order reversal curve (FORC) diagrams were simulated numerically for an extended set of FORC diagram types.
- Diagnostic features in remanent, transient, and induced FORCs for interacting uniaxial, cubic, and hexagonal anisotropy are predicted.
- Results compare favorably with experimental data and provide a theoretical framework for interpreting these FORC diagram types.

29 **Abstract**

30 The diagnostic power of first-order reversal curve (FORC) diagrams has recently been
31 enhanced by an extended measurement protocol that yields three additional FORC-like diagrams:
32 the remanent (remFORC), induced (iFORC), and transient (tFORC) diagrams. Here we present
33 micromagnetic simulations using this extended protocol, including numerical predictions of
34 remFORC, iFORC, and tFORC signatures for particle ensembles relevant to rock magnetism.
35 Simulations are presented for randomly packed single-domain (SD) particles with uniaxial, cubic,
36 and hexagonal anisotropy, and for chains of uniaxial SD particles. Non-interacting particles have
37 zero tFORC, but distinct remFORC and iFORC signals, that provide enhanced discrimination
38 between uniaxial, cubic, and hexagonal anisotropy types. Increasing interactions lessen the ability
39 to discriminate between uniaxial and cubic anisotropy but reproduces a change in the pattern of
40 positive and negative iFORC signals observed for SD-dominated versus vortex-dominated samples.
41 Interactions in SD particles lead to the emergence of a bi-lobate tFORC distribution, which is
42 related to formation of flux-closure in super-vortex states. A predicted iFORC signal associated
43 with collapsed chains is observed in experimental data and may aid magnetofossil identification in
44 sediments. Asymmetric FORC and FORC-like distributions for hexagonal anisotropy are explained
45 by the availability of multiple easy axes within the basal plane. A transition to uniaxial switching
46 occurs below a critical value of the out-of-plane/in-plane anisotropy ratio, which may allow FORC
47 diagrams to provide insight into the stress state of hexagonal minerals, such as hematite.

48

49 **1. Introduction**

50 First-order reversal curve (FORC) diagrams provide a powerful method to characterize the
51 distribution of domain states in natural samples (Pike et al., 1999; Roberts et al., 2000; Roberts et
52 al., 2014). The advantage of FORCs lies in the two-dimensional nature of the FORC diagram: the
53 horizontal axis provides information related to the coercivity distribution, while the vertical axis
54 provides additional sensitivity to the presence of viscous superparamagnetic (SP), single-domain
55 (SD), single-vortex (SV), multi-vortex (MV), and multi-domain (MD) states. FORC diagrams also
56 provide a way to discriminate between minerals with different anisotropy types and to detect the
57 presence of inter-particle magnetostatic interactions. Combined with recently developed methods to
58 quantify FORC diagrams of multi-component mixtures (Ludwig et al., 2013; Lascau et al., 2015;
59 Harrison et al., 2018), FORC diagrams are an essential part of the rock-magnetic toolkit and help to
60 alleviate some of the ambiguities associated with popular parametric-ratio methods for domain state
61 classification (Day et al., 1977; Roberts et al., 2018a, 2019).

62 Our ability to interpret FORC diagrams relies heavily on empirical observations of well-
63 characterized samples made over the last 20 years (see Roberts et al. 2014, and references therein
64 for a review of this work). This empirical knowledge is further supported by theory and simulations,
65 much of which is based on robust micromagnetic principles (Pike et al., 2001a, b; Carvallo et al.,
66 2003; Muxworthy et al., 2004; Newell, 2005; Egli, 2006; Egli & Winklhofer, 2014; Harrison &
67 Lascu, 2014; Roberts et al., 2017; Chang et al., 2018; Lanci & Kent, 2018; Lascu et al., 2018;
68 Valdez-Grijalva et al., 2018; Valdez-Grijalva & Muxworthy, 2019). Despite this, FORC diagram
69 interpretation is not always straightforward or unambiguous. Ambiguity can be caused by
70 overlapping contributions associated with different aspects of the magnetization process (e.g., field-
71 induced switching, thermal relaxation, coherent rotation, domain wall movement, vortex nucleation
72 and annihilation, etc.). To address this issue, a new extended FORC measurement protocol has
73 recently been developed (Zhao et al. 2017), which enables the FORC signal to be expressed as the
74 sum of three separate FORC-like signals: the remanent FORC (remFORC), induced FORC
75 (iFORC), and transient FORC (tFORC) components. The remFORC diagram contains information
76 about irreversible remanent state changes of a sample. Such changes are associated with irreversible
77 magnetization switching between easy axes (for non-interacting particles) or between local energy
78 minimum states (for strongly interacting particles). The iFORC diagram contains information about
79 reversible magnetization changes, such as spin rotation or domain wall bowing. The tFORC
80 diagram contains information about irreversible switching driven purely by self-demagnetizing or
81 interaction fields (as opposed to switching driven by applied magnetic field reversal) and viscous
82 magnetization changes driven by thermal relaxation. Separating the FORC signal into these three
83 components provides additional diagnostic power because some magnetization processes are either
84 dominantly or exclusively partitioned into one or other of the remFORC, iFORC, and tFORC
85 signals, which makes them easier to isolate and quantify. This approach has led to wider recognition
86 of the importance of, for example, vortex states in rocks, sediments, and soils (Roberts et al., 2017;
87 Hu et al., 2018).

88 Our current understanding of the information contained within remFORC, iFORC, and
89 tFORC signals is largely empirical, and detailed micromagnetic simulations of the expected form of
90 the resulting FORC-like diagrams have yet to be performed. In this paper we seek to address this
91 gap by adapting the FORCulator micromagnetic simulation method of Harrison & Lascu (2014) to
92 include the extended measurement protocol of Zhao et al. (2017), thereby enabling prediction of the
93 form of remFORC, iFORC, and tFORC signals for particle ensembles relevant to rock magnetism.
94 The method is applied to: 1) non-interacting and strongly interacting SD particle ensembles with
95 uniaxial or cubic anisotropy (representing, for example, SD magnetite or greigite in dispersed or

96 clustered arrangements); 2) collapsed chains of uniaxial SD particles (representing bacterial
97 magnetofossils); and 3) non-interacting SD particle ensembles with hexagonal basal-plane
98 anisotropy (representing pseudo-hexagonal magnetic minerals, such as hematite or pyrrhotite). A
99 secondary motivation for this study is to contribute to development of a set of reference FORC,
100 remFORC, iFORC, and tFORC diagrams for known particle ensembles. Such a dataset could
101 provide a qualitative framework to aid experimental data interpretation and could assist in training
102 machine-learning algorithms to automatically recognize diagnostic features of FORC diagrams. Use
103 of machine learning for pattern recognition is well known in many fields of science, medicine, and
104 engineering (Bishop 2006), but machine learning has not been applied to automated FORC diagram
105 classification. A major limitation in developing such an approach is the lack of a training set of
106 FORC diagrams that can be assigned to a given category of magnetic behavior. Provided that they
107 are sufficiently representative of experimental results, simulated FORC diagrams provide an
108 attractive method to generate such training data because assignment to a given magnetic behavior
109 class can be made unambiguously as the domain state and particle arrangement of magnetic
110 particles are known exactly for simulations.

111

112 **2. Methods**

113 **2.1. The extended FORC measurement protocol**

114 The extended FORC measurement protocol of Zhao et al. (2017) is illustrated in Fig. 1.
115 Numbered reference points are used to illustrate measurement sequences required to obtain FORC,
116 remFORC, and transient-free (tfFORC) data, from which the iFORC and tFORC signals are
117 derived. The protocol begins with a standard FORC measurement (Pike et al., 1999; Roberts et al.,
118 2000). A saturating field (B_{sat}) is applied (measurement point 1) and is then decreased to a defined
119 reversal field (B_a) (e.g. measurement points 2, 3, or 5). A FORC is acquired as the measurement
120 field (B_b) is swept from B_a back to B_{sat} . The process is repeated to obtain FORCs at a number of
121 reversal fields spanning the range $-B_{\text{sat}} \leq B_a \leq B_{\text{sat}}$ to yield a magnetization surface, $M_{\text{FORC}}(B_a, B_b)$.
122 The measurement sequence 1-5-6-7 illustrates part of a FORC with reversal field $B_a = B_y$ and
123 measurement fields $B_b = B_y, B_z$ and 0. The measurement sequence 1-3-4 represents part of a special
124 FORC, termed a ‘zero FORC’ (Fabian, 2003; Yu and Tauxe, 2005), which has reversal field $B_a = 0$.

125 After a set of standard FORCs has been measured, a second set of measurements is
126 performed to obtain two additional FORC-like data sets: remFORC and tfFORC data. The
127 remFORC is a type of second-order reversal curve (SORC), in which a zero-field measurement
128 point is inserted between each in-field measurement point of the standard FORC protocol (Stancu et

129 al., 2006; Winklhofer et al., 2008). A saturating field is applied to the sample (measurement point 1)
 130 and is then decreased to zero (measurement point 3). The field is then either increased (e.g.
 131 measurement point 4) or decreased (e.g. measurement point 5) to the desired reversal field and
 132 swept back to zero to obtain the first point in the remFORC, $M_{rem}(B_a, B_a)$. For example,
 133 measurement sequence 1-3-5-7 yields $M_{rem}(B_y, B_y)$. Subsequent points in the remFORC are
 134 obtained by alternately sweeping the field from zero to the next measurement field, $B_a < B_b \leq B_{sat}$,
 135 and then back to zero. For example, the sequence 7-8-7 would yield $M_{rem}(B_y, B_z)$.

136 The term transient hysteresis was introduced by Fabian (2003) to define the difference in
 137 magnetization between a point on the upper branch of the hysteresis loop (e.g. measurement point
 138 2) and the corresponding point on the zero FORC (e.g. measurement point 4). Transient hysteresis
 139 is associated with irreversible magnetization changes driven by self-demagnetizing fields as the
 140 applied field is decreased from positive saturation to zero. By definition, transient hysteresis is zero
 141 when the field is zero, and remains zero as the field is ramped back in the same direction from
 142 which remanence was approached. Hence, magnetization curves that start at a remanence state
 143 approached from the positive (negative) field direction, and that are measured as a function of
 144 increasing positive (negative) field, are referred to as transient-free curves (Zhao et al. 2017), as
 145 shown in red in Fig. 1. According to the remFORC protocol described above, every in-field
 146 measurement that follows a zero-field measurement corresponds to a point on a transient-free curve
 147 (e.g. measurement points 4 and 8). The remFORC protocol inherently contains, therefore, a
 148 measurement of the transient-free magnetization, $M_{tf}(B_a, B_b)$.

149 Any point on the FORC magnetization surface, $M_{FORC}(B_a, B_b)$, can be described as the sum
 150 of three components (Fabian & von Dobeneck, 1997; Fabian, 2003; Yu & Tauxe, 2005):

151

$$152 \quad M_{FORC}(B_a, B_b) = M_{rem}(B_a, B_b) + M_i(B_a, B_b) + M_t(B_a, B_b), \quad (1)$$

153

154 where $M_i(B_a, B_b)$ is an induced magnetization component and $M_t(B_a, B_b)$ is the transient hysteresis
 155 magnetization. Here we follow Zhao et al. (2017) by including within the definition of $M_t(B_a, B_b)$
 156 transient magnetization caused by thermal relaxation effects. From Fig. 1, it can be seen that:

157

$$158 \quad M_i(B_a, B_b) = M_{tf}(B_a, B_b) - M_{rem}(B_a, B_b), \quad (2)$$

$$159 \quad M_t(B_a, B_b) = M_{FORC}(B_a, B_b) - M_{tf}(B_a, B_b). \quad (3)$$

160

161 From the four magnetization surfaces (M_{FORC} , M_{rem} , M_i , and M_t) the corresponding FORC,
 162 remFORC, iFORC, and tFORC distributions are defined as:

$$163 \quad \rho_{FORC} = -\frac{1}{2} \frac{\partial^2 M_{FORC}}{\partial B_a \partial B_b}, \quad (4)$$

$$164 \quad \rho_{rem} = -\frac{1}{2} \frac{\partial^2 M_{rem}}{\partial B_a \partial B_b}, \quad (5)$$

$$165 \quad \rho_i = -\frac{1}{2} \frac{\partial^2 M_i}{\partial B_a \partial B_b}, \text{ and} \quad (6)$$

$$166 \quad \rho_t = -\frac{1}{2} \frac{\partial^2 M_t}{\partial B_a \partial B_b}. \quad (7)$$

167

168 **2.2. FORCulator micromagnetic simulations**

169 FORCulator is a micromagnetic tool for simulating FORC diagrams for ensembles of
 170 interacting SD particles (Harrison & Lascu 2014). We provide here a brief overview of the method,
 171 together with a description of the changes required to incorporate the extended measurement
 172 protocol and particles with hexagonal anisotropy. Other details of the theory and method used to
 173 perform FORC simulations are unchanged from those described by Harrison & Lascu (2014).

174

175 **2.2.1. Overview of the micromagnetic method**

176 Particles are treated as freely rotating point dipoles with a specified magnetic moment and
 177 anisotropy type (either uniaxial, cubic, or hexagonal). The magnetization vector for the i th particle
 178 is denoted \mathbf{m}_i . Each particle experiences an effective magnetic field, \mathbf{B}_i^{eff} , which is the sum of the
 179 applied field, \mathbf{B} , an anisotropy field, \mathbf{B}_i^{ani} , and a magnetostatic interaction field, \mathbf{B}_i^{int} , generated by
 180 all other particles in the ensemble. A local energy minimum (LEM) state of the ensemble occurs
 181 when all \mathbf{m}_i are parallel to their corresponding \mathbf{B}_i^{eff} . For any given magnetic configuration of the
 182 ensemble, \mathbf{m}_i deviates from \mathbf{B}_i^{eff} by an angle δ_i , and experiences a corresponding torque, $\tau_i =$
 183 $\mathbf{m}_i \times \mathbf{B}_i^{eff}$, that causes the moment to precess around the effective field. Dynamic time-integration
 184 of the Landau-Lifshitz-Gilbert (LLG) equation provides the most physically meaningful pathway to
 185 the nearest LEM state, but it is too slow to enable the thousands of field steps required to be
 186 calculated on a practical timescale. Instead, energy minimization is sought by rotating \mathbf{m}_i directly
 187 toward \mathbf{B}_i^{eff} by an amount $f\delta$, where $0 < f < 1$ is a damping factor. In favorable cases, f values
 188 close to one allow rapid convergence of the system toward an LEM. However, for some anisotropy

189 types, e.g. cubic anisotropy with <100> easy axes and hexagonal anisotropy, large f leads to
 190 oscillatory solutions that do not converge. Reducing f in those cases leads to stable solutions, at the
 191 expense of increasing the number of iterations needed to achieve convergence. Convergence is
 192 achieved when the mean magnitude of the torque is below a certain value:

193

$$194 \quad \tau = \frac{1}{N} \sum_{i=1}^N |\mathbf{m}_i \times \mathbf{B}_i^{eff}| < C_{lim}. \quad (8)$$

195

196 A value of $C_{lim} = 10^{-4}$ was used throughout this paper.

197

198 **2.2.2. Incorporation of hexagonal anisotropy**

199 As well as the uniaxial and cubic anisotropy cases explored by Harrison & Lascu (2014), we
 200 include here simulations for hexagonal anisotropy within a basal plane, which is relevant for
 201 hematite or pyrrhotite. Unit vectors \mathbf{c}_x , \mathbf{c}_y , and \mathbf{c}_z represent three orthogonal reference axes, with \mathbf{c}_x
 202 and \mathbf{c}_y lying within the basal plane and \mathbf{c}_z lying normal to the basal plane. A uniaxial out-of-plane
 203 (oop) anisotropy energy is defined as:

204

$$205 \quad E_{oop} = K_U \sin^2(\theta), \quad (9)$$

206

207 where θ is the angle between \mathbf{m}_i and \mathbf{c}_z . For large negative K_u values, energy is minimized when θ
 208 = 90° , which forces moments to remain close to the \mathbf{c}_x - \mathbf{c}_y plane. Within that plane, moments are
 209 exposed to a hexagonal in-plane (ip) anisotropy energy of the form:

210

$$211 \quad E_{ip} = K_H \cos(6\phi), \quad (10)$$

212

213 where ϕ is the azimuthal angle between \mathbf{m}_i and \mathbf{c}_x . Eqn. 10 describes anisotropy with 6-fold
 214 symmetry (six easy and six hard directions). Corresponding contributions to the effective field are:

215

$$216 \quad \mathbf{B}_U^{ani} = \frac{2K_U}{M_S} m_z \mathbf{c}_z, \text{ and} \quad (11)$$

217

$$218 \quad \mathbf{B}_H^{ani} = -\frac{2K_H}{M_s}(6m_x^5 - 60m_x^3m_y^2 + 30m_xm_y^4)\mathbf{c}_x - \frac{2K_H}{M_s}(-30m_x^4m_y + 60m_x^2m_y^3 - 6m_y^5)\mathbf{c}_y, \quad (12)$$

219

220 where M_s is the saturation magnetization. For convenience, the anisotropy constants are specified
 221 using switching-field parameters $B_U = 2K_U/M_s$ and $B_H = 2K_H/M_s$. For positive B_H , the easy axes lie
 222 at $30^\circ, 90^\circ, 150^\circ, 210^\circ, 270^\circ$, and 330° from \mathbf{c}_x , with hard axes at $0^\circ, 60^\circ, 120^\circ, 180^\circ, 240^\circ$, and
 223 300° . Small damping factors of $f = 0.02-0.06$, with maximum iterations set to 1500, were used to
 224 obtain stable solutions for hexagonal simulations.

225

226 **2.2.3. Incorporating the extended measurement protocol into FORCulator**

227 The first stage of our simulations uses the method of Harrison & Lascu (2014) to simulate a
 228 conventional FORC diagram. Simulations were first performed as a function of field from B_{sat} to -
 229 B_{sat} , to define the upper branch of the hysteresis loop and to store the magnetic configuration of the
 230 ensemble at each reversal field, B_a . These stored configurations are used for the remFORC
 231 simulations, as discussed below. Simulations are then performed for each FORC by sweeping the
 232 field from B_a to B_{sat} . For FORCs with $B_a \leq 0$ (e.g. measurement sequence 5-6-7 in Fig. 1), the
 233 measurement field, B_b , passes through zero, which allows a record to be kept of the magnetic
 234 configuration of the ensemble in each back-field remanent state (e.g. measurement point 7 in Fig.
 235 1). These stored configurations are also used for the remFORC simulations, as discussed below. For
 236 remFORC simulations, the protocols used for $B_a \geq 0$ and $B_a < 0$ differ slightly. For $B_a \geq 0$,
 237 initialization of each remFORC simulation is always the same, and corresponds to the stored
 238 magnetic configuration of the saturation remanent state (measurement point 3 in Fig. 1). The
 239 simulation then proceeds by alternately setting the field to the desired measurement field (tfFORC)
 240 and then back to zero (remFORC). For $B_a < 0$, there are two options for initializing the simulation.
 241 In Option 1, the simulation is initialized using the stored magnetic configuration of the ensemble at
 242 the reversal field (e.g. measurement point 5 in Fig. 1). The simulation then proceeds by alternately
 243 setting the field to zero (remFORC) and then to the desired measurement field (tfFORC). In Option
 244 2, the simulation is initialized with the stored magnetic configuration of the back-field remanence
 245 state (e.g. point 7 in Fig. 1). The simulation then proceeds by alternately setting the field to the
 246 desired measurement field (tfFORC) and then back to zero (remFORC).

247 Note that the remFORC simulation protocol makes repeated large applied field increments
 248 and decrements. The LEM state obtained after a single large field increment or decrement may

249 differ significantly from that obtained by gradually stepping the field to the same value. Although
 250 small field steps are always desirable in micromagnetic simulations, it would be prohibitively
 251 expensive computationally to sweep the measurement field and back again repeatedly. Possible
 252 errors and artefacts related to use of large field increments are considered in Section 4.2.

253 The extended measurement protocol of Zhao et al. (2017) employs a variable resolution grid
 254 of reversal and measurement fields. An irregular grid provides more efficient sampling of the
 255 FORC space, by focusing more measurements in regions where the magnetization changes most
 256 rapidly (Zhao et al., 2015). The extended protocol does not require use of irregular grids; we here
 257 adopt a regular grid with constant field step sizes used for both reversal fields and measurement
 258 fields. Choice of a regular grid is driven primarily by the desire to use consistent sampling for all
 259 simulations. As long as the FORC space is sufficiently well sampled in both cases, the choice of
 260 irregular versus regular grids has no impact on comparisons of measured versus simulated data.

261

262 2.2.4. Simulation and smoothing parameters used

263 All simulations were performed using 151 FORCs, $B_{\text{sat}} = 0.15$ T, and a uniform field-step
 264 size of 2 mT for both B_a and B_b . Particles were assigned a magnetic moment equivalent to that of a
 265 magnetite sphere with 100 nm diameter. Interacting clusters of 50-500 randomly oriented particles
 266 were created using either random (volume packing fractions 0 to 40%) or face-centered-cubic (fcc)
 267 arrangements. For fcc arrangements, center-center separations of 110 nm and 100 nm were used,
 268 which correspond to packing fractions of 56% and 74%, respectively. Chain configurations were
 269 created using the constrained, self-avoiding random walk procedure of Harrison & Lascu (2014).
 270 Chain collapse is defined by the chain collapse factor $0 < c < 1$, where $c = 0$ corresponds to
 271 perfectly straight chains and $c = 1$ corresponds to the most collapsed chain. Particle separations
 272 within chains were 110 nm, and uniaxial anisotropy axes were aligned with the chain axis. Chains
 273 were oriented randomly and do not interact magnetically with each other. For uniaxial anisotropy
 274 simulations, particles were assigned random switching fields with log-normal distribution:

275

$$276 \quad \rho(B_U) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{1}{2}\left(\frac{\ln(\beta B_U)}{\sigma}\right)^2\right], \quad (13)$$

277

278 with $\sigma = 0.5$ and $\beta = 20$ (Harrison & Lascu, 2014). These parameters yield a coercivity distribution
 279 typical of those encountered in magnetite-bearing rocks. For cubic anisotropy simulations,
 280 switching-field parameters $B_C = 3B_U$ were used, so that resulting coercivity distributions are

281 roughly comparable to uniaxial simulations. For simulations with hexagonal anisotropy, all particles
282 were assigned identical switching fields. Simulations with a range of B_U/B_H ratios were performed.
283 In each case, absolute B_U and B_H values were adjusted to yield roughly comparable coercivities to
284 the uniaxial and cubic cases. Results represent the average of 100-200 simulations. FORC,
285 remFORC, iFORC, and tFORC distributions (Eqns. 4-7) were processed in FORCinel (Harrison &
286 Feinberg, 2008) using VARIFORC smoothing (Egli, 2013). Processed diagrams are presented using
287 B_a, B_b axes, rather than the more usual $B_c = (B_b - B_a)/2, B_u = (B_b + B_a)/2$ axes, to facilitate direct
288 comparison of simulations with data presented by Zhao et al. (2017), Roberts et al. (2017), and Hu
289 et al. (2018).

290

291 **3. Results**

292 Simulated FORCs, remFORCs, iFORCs, and tFORCs for non-interacting uniaxial,
293 interacting uniaxial (packing fraction 40%), non-interacting cubic, interacting cubic (packing
294 fraction 40%), straight uniaxial chains, and non-interacting hexagonal particles are shown in Figs.
295 2-5. Summaries of the evolution in processed FORC, remFORC, iFORC, and tFORC diagrams as a
296 function of either packing fraction, chain collapse or B_U/B_H ratio, are shown in Figs. 6-9. Key
297 features of individual cases are highlighted below. Except for uniaxial chains, simulations were
298 performed using Option 2 of the remFORC protocol described in Section 2.2.3.

299

300 **3.1. Results for randomly-oriented uniaxial particles**

301 **3.1.1. Conventional FORCs**

302 Processed FORC diagrams for a range of packing fractions are summarized in Fig. 6.
303 Interactions cause obvious changes in the simulated FORC diagrams for packing fractions $\geq 1\%$.
304 Interactions dominate FORC diagrams for higher packing fractions, representing particles that are
305 clustered more strongly. The most extreme packing fraction used here (74%) represents a close-
306 packed arrangement of spherical particles in contact, such as that found in framboids. Standard
307 FORC diagrams (Fig. 6a-d) reproduce the results of Harrison & Lascu (2014), with the well-
308 established evolution from central ridge (Newell, 2005; Egli et al., 2010) to teardrop (Pike et al.,
309 1999; Egli, 2006) to wishbone (Pike et al., 2005), to winged (Pike et al., 2001a) structures with
310 increasing packing. A prominent negative feature along the negative B_u axis is visible in all cases.

311

312

3.1.2. remFORCs

313 Comparison of non-interacting (Fig. 2b) and interacting (Fig. 2f) SD particles reveals
 314 several anomalous features in raw remFORCs for the interacting case. We observe $M_{\text{rem}}(B_a < 0, B_a$
 315 $\leq B_b \leq 0) = \text{constant} = M_{\text{rem}}(B_a < 0, B_a)$ in the non-interacting case (Fig. 2b), while an initial
 316 decrease in $M_{\text{rem}}(B_a < 0, B_a \leq B_b \leq 0)$ with increasing measurement field is observed in the strongly
 317 interacting case (Fig. 2f). Processed remFORC diagrams (Fig. 6e-h) have almost exclusively
 318 positive distributions that are restricted almost entirely to the remanence region of the FORC space,
 319 which is bounded by the lines $B_a < 0$ and $B_b > 0$ (horizontal and vertical dotted lines, respectively,
 320 in Fig. 6e-h). The remFORC distribution begins as a positive central ridge for non-interacting
 321 particles (Fig. 6e), which broadens with increasing interactions until it reaches the bounds of the
 322 remanence region (Fig. 6f). Thereafter, no further broadening is possible and there is, instead, a
 323 gradual ‘squaring’ of the remFORC distribution (Fig. 6g) and a reduction in its maximum intensity
 324 (Fig. 6h) with increasing packing fraction. A weak positive signal along the $-B_u$ axis (outside the
 325 remanence region) appears for strongly interacting particles (labelled P* in Fig. 6h).

327

3.1.3. iFORCs

328 For non-interacting particles, $M_i(B_a < 0, B_b)$ typically reaches a maximum in positive
 329 measurement fields, followed by a discontinuous change in slope at $B_b = -B_a$ (Fig. 2c). Lower-
 330 branch-subtracted iFORCs have two negative peaks (Fig. 2c inset). For strongly interacting
 331 particles, the discontinuous change in slope at $B_b = -B_a$ disappears, and a clear difference in slope at
 332 the beginning of each iFORC appears for positive reversal fields (Fig. 2g). Lower-branch-
 333 subtracted iFORCs have two positive peaks (Fig. 2g inset). Processed iFORC diagrams (Fig. 6i-l)
 334 have a mixture of positive (P) and negative (N) signals in distinct patterns that evolve with
 335 increasing packing fraction. For non-interacting particles (Fig. 6i), a clear N-P-N signature is
 336 evident, which comprises negative and positive background signals and a strong negative central
 337 ridge. For 5% packing (Fig. 6j), this N-P-N pattern is still visible, albeit with some disruption to the
 338 positive background signal and broadening of the negative central ridge. For 40% packing (Fig. 6k),
 339 a change to an N-P-N-P pattern is observed. The negative central ridge is no longer visible, and an
 340 additional positive signal (labelled P* in Fig. 6k) appears along the positive B_u axis. The change
 341 from the N-P-N to N-P-N-P pattern coincides with the change from negative to positive lower-
 342 branch-subtracted iFORCs (Figs. 2c, 2g insets), with the crossover at $\sim 10\%$ packing. For 74%
 343 packing (Fig. 6l), the iFORC features become weak and poorly resolved within simulation noise.
 344 The strongest feature remains the positive signal (P*) along the positive B_u axis.

346

347 **3.1.4. tFORCs**

348 Non-interacting particles have zero tFORC signal (Fig. 2d). Interacting particles develop a
 349 double-peaked tFORC structure, with positive and negative peaks observed in positive and negative
 350 measurement fields, respectively (Fig. 2h). A clear difference in slope at the beginning of each
 351 tFORC appears for positive reversal fields (Fig. 2h). Processed tFORC diagrams (Fig. 6m-p) for
 352 interacting particles have a distinct positive bilobate pattern that occupies the two transient regions
 353 that bound the remanence region. The two lobes emerge gradually from the origin with increasing
 354 packing fraction. For 40% packing, each lobe forms a distinct peak with closed, closely spaced
 355 contours (Fig. 6o). A distinct negative region (labelled N in Fig. 6o) is visible along the negative B_u
 356 axis, and a weak negative region (labelled N* in Fig. 6o) emerges along the positive B_u axis, which
 357 mirrors the positive signal P* in the corresponding iFORC (Fig. 6k). For 74% packing, the lobes are
 358 spread more broadly with less well-defined peaks and more broadly spaced contours (Fig. 6p).

359

360 **3.2 Results for randomly-oriented cubic particles**361 **3.2.1. Conventional FORCs**

362 Processed FORC diagrams for a range of packing fractions are summarized in Fig. 7. A
 363 FORC diagram for non-interacting particles (Fig. 7a) has an N-N-P-P structure, with two negative
 364 background signals, a positive background signal, and a positive central ridge. An evolution is
 365 observed to teardrop (Fig. 7b), wishbone (Fig. 7c), and winged (Fig. 7d) structures with increasing
 366 packing fraction, similar to the uniaxial case. However, both the shape and distribution of the
 367 ‘wings’ for 74% packing are distinct for the uniaxial (Fig. 6d) and cubic (Fig. 7d) cases.

368

369 **3.2.2. remFORCs**

370 Interacting cubic particles have a pronounced $M_{\text{rem}}(B_a > 0, B_b)$ decrease with increasing
 371 measurement field up to ~ 0.1 T, reaching a value that is considerably lower than M_{rs} even at the
 372 maximum field of 0.15 T (Fig. 3f). An initial decrease in $M_{\text{rem}}(B_a < 0, B_a \leq B_b \leq 0)$ with increasing
 373 measurement field is also observed in the strongly interacting case (Fig. 3f). In contrast to the
 374 uniaxial case, the remFORC diagram for non-interacting cubic particles has an N-P-P structure,
 375 with a background negative signal, a positive background signal, and a positive central ridge (Fig.
 376 7e). With increasing packing fraction, the negative background signal is quickly swamped by
 377 broadening positive background and ridge signals (Fig. 7f) and the remFORC diagram is less
 378 ‘teardrop’ shaped compared to the uniaxial case (Fig. 6f). For higher packing fractions, differences

379 are less pronounced between uniaxial and cubic cases (Fig. 6g, h, Fig. 7g, h). A weak positive
380 signal along the $-B_u$ axis (outside the remanence region) appears for strongly interacting particles
381 (labelled P* in Fig. 7h).

382

383 3.2.3. iFORCs

384 For non-interacting particles, $M_i(B_a < 0, B_b)$ has a more pronounced maximum in positive
385 measurement fields (Fig. 3c) than the non-interacting uniaxial case (Fig. 2c), followed by a
386 discontinuous change in slope at $B_b = -B_a$. Lower-branch-subtracted iFORCs have two large
387 negative peaks and a smaller positive peak (Fig. 3c inset). For strongly interacting particles, the
388 discontinuous change in slope at $B_b = -B_a$ disappears, and a clear difference in slope at the
389 beginning of each iFORC appears for positive reversal fields (Fig. 3g). Lower-branch-subtracted
390 iFORCs have two positive peaks (Fig. 3g inset). Processed iFORC diagrams (Fig. 7i-l) have distinct
391 positive and negative signals that evolve with increasing packing fraction. For non-interacting
392 particles (Fig. 7i), a clear N-P-N signature is seen, with negative and positive background signals
393 and a strong negative central ridge. This pattern is distinct from that for non-interacting uniaxial
394 particles (Fig. 6i): the positive background signal extends to increasingly negative B_u values with
395 increasing B_c , whereas for uniaxial particles the positive background signal tends to $B_u = 0$ with
396 increasing B_c . For 5% packing (Fig. 7j), the N-P-N pattern becomes similar to that observed in
397 uniaxial particles with a comparable packing fraction (Fig. 6j). For 40% packing (Fig. 7k), a change
398 to an N-P-N-P pattern is observed, which is broadly similar to that in uniaxial particles (Fig. 6k).
399 The change from the N-P-N to N-P-N-P pattern coincides with the change from negative to positive
400 lower-branch-subtracted iFORCs (Figs. 3c, 3g insets), with the crossover occurring between 10%
401 and 20% packing. For 74% packing (Fig. 7l), iFORC features become weak and poorly resolved
402 within simulation noise. The strongest feature is the positive signal (P*) along the positive B_u axis.

403

404 3.2.4. tFORCs

405 Both raw (Fig. 3d, 3h) and processed (Fig. 7m-p) tFORCs for cubic particles have similar
406 features as uniaxial particles at comparable packing fractions, except that the bilobate peaks for
407 74% packing are more intense and better defined for the cubic case (Fig. 7p).

408

409

410 3.3. Results for chains of uniaxial particles

411 3.3.1. FORCs

412 Processed FORC diagrams for a range of chain collapse factors are summarized in Fig. 8.
413 Conventional FORC diagrams (Fig. 8a-c) reproduce the results of Harrison & Lascu (2014), with
414 the well-established evolution from central ridge (Newell, 2005; Egli et al., 2010) to winged (Chen
415 et al., 2007; Li et al., 2012) structures with increasing chain collapse. A prominent negative feature
416 along the $-B_u$ axis is visible in all cases.

417

418 3.3.2. remFORCs

419 Both straight and collapsed chains have $M_{\text{rem}}(B_a > 0, B_b) = \text{constant} = M_{\text{rs}}$ (Fig. 4b, Fig. 8f
420 inset). The processed remFORC diagram for straight chains has an N-P-P structure, with a negative
421 background signal, a positive background signal, and a positive central ridge (Fig. 8d). This is
422 superficially similar to the pattern observed for non-interacting cubic particles (Fig. 7e). The
423 negative background signal is absent for collapsed chains (e.g. $c = 0.6$; Fig. 8e). Instead, a broad,
424 vertically spread low coercivity positive signal and a positive central ridge are observed (Fig. 8f).

425

426 3.3.3 iFORCs

427 For straight chains, $M_i(B_a < 0, B_b)$ has a more pronounced maximum in positive
428 measurement fields (Fig. 4c), more similar to the non-interacting cubic case (Fig. 3c) than the non-
429 interacting uniaxial case (Fig. 2c). Lower-branch-subtracted iFORCs have two large negative peaks
430 (Fig. 4c inset), but lack the intermediate positive peak for the cubic non-interacting case (Fig. 3c).
431 Processed iFORC diagrams (Fig. 8g) have an N-P-N structure, similar to that of the non-interacting
432 uniaxial case (Fig. 6i). With increasing chain collapse, the positive background feature below the B_c
433 axis becomes more distorted, and an additional positive feature appears above the B_c axis (Fig 8h),
434 which is clearly different from both the randomly-packed uniaxial and cubic cases (Figs. 6k, 7k).

435

436 3.3.4 tFORCs

437 Straight chains have zero tFORC signal (Fig. 4d, 8j). Like the processed tFORC diagrams
438 for interacting particles (Fig. 6m-p), collapsed chains have a bilobate distribution in the two
439 transient regions that bound the remanence region (Fig. 8k, l). Rather than the two lobes emerging
440 gradually from the origin, the lobes form ‘in-place’ and slightly increase in strength with increasing
441 chain collapse.

442

443 3.4. Results for non-interacting hexagonal particles

444 3.4.1 FORCs

445 Processed diagrams for non-interacting hexagonal particles with a range of K_U/K_H ratios are
446 summarized in Fig. 9. For high values of $|K_U/K_H|$ (moments strongly restricted to lie within the
447 basal plane), complex FORC diagrams are predicted, with a mixture of positive and negative
448 background and ridge features (labelled 1-5 in Fig. 9a). Feature 1 is a weak negative signal close to
449 the $-B_u$ axis (barely visible in Fig. 9a, but clearer in Fig. 9b). Feature 2 is an elongated positive
450 background signal, which extends below the B_c axis and merges with a highly elongated negative
451 signal that extends in the $-B_a$ direction (Feature 3). Feature 4 is a second elongated positive
452 background signal to the right of Feature 3. Feature 5 is a positive central ridge signal. Features 2
453 and 5 become less elongated, and less separated, as $|K_U/K_H|$ decreases (Fig. 9b). A dramatic change
454 in FORC pattern occurs for $|K_U/K_H| \leq 5$ (Fig. 9c): Features 2-4 disappear entirely, leaving behind
455 only a paired negative Feature 1 and a positive central ridge (Fig. 9d).

456

457 3.4.2 remFORCs

458 All remFORC simulations for particles with hexagonal anisotropy have $M_{\text{rem}}(B_a > 0, B_b) =$
459 constant $= M_{\text{rs}}$ (Fig. 5b, Fig. 9e-h insets). Processed remFORC diagrams differ only from the
460 corresponding conventional FORC diagrams in the absence of negative Feature 1 (Fig. 9e-h).

461

462 3.4.3 iFORCs

463 Raw iFORCs have a distinctive double-peak structure in positive measurement fields (Fig.
464 5c). Processed iFORC diagrams have a complex structure with positive and negative background
465 and ridge features (labelled 6-10 in Fig. 9i-l). The negative Feature 1 that was absent in the
466 remFORC diagram appears in the iFORC diagram. Feature 6 is a positive background signal that is
467 present in the FORC signal, although its weak intensity makes it barely visible on the color scale
468 used for Fig. 9a. Feature 6 is absent from the remFORC signal (Fig. 9e). A sharp change in iFORC
469 pattern occurs for $|K_U/K_H| \leq 5$ (Fig. 9k): Features 7-9 disappear entirely, leaving an N-P-N structure
470 similar to that in non-interacting uniaxial particles (Fig. 9l).

471

472 3.4.4 tFORCs

473 All non-interacting particles with hexagonal anisotropy have zero tFORC signals for all
474 K_U/K_H ratio values.

475

476 **4. Discussion**477 **4.1. Physical origins of remFORC, iFORC, and tFORC signals**478 **4.1.1 Random packing of uniaxial and cubic particles**

479 Our simulations demonstrate how positive and negative background and ridge signals
480 partition into either remFORC, iFORC, or tFORC signals according to their physical origin. The
481 positive central ridge in a FORC diagram for non-interacting uniaxial SD particles (Fig. 6a) appears
482 exclusively in the remFORC signal (Fig. 6e), consistent with its origin resulting from irreversible
483 switching (Newell, 2005). Negative and positive background FORC signals, on the other hand,
484 appear exclusively in the iFORC signal (Fig. 6i), consistent with their origin in different reversible
485 slopes of upper and lower hysteresis branches (Newell, 2005). A negative central ridge in the
486 iFORC signal is associated with the discontinuous change in slope of M_i at $B_b = -B_a$ (Fig. 2c). The
487 resulting N-P-N iFORC structure was treated as diagnostic of weakly interacting SD behavior by
488 Zhao et al. (2017).

489 FORC, remFORC, and iFORC signals of non-interacting cubic particles are distinct from
490 those of uniaxial particles (Fig. 7a, e, i). A conventional FORC diagram for cubic particles has an
491 N-N-P-P structure with two negative background features, a positive background feature, and a
492 positive central ridge (Fig. 7a). The first negative feature appears exclusively in the iFORC diagram
493 (Fig. 7i), which demonstrates that, like the uniaxial case, it is caused by the difference in reversible
494 slope of hysteresis branches for cubic particles. The second negative feature, however, appears
495 exclusively in the remFORC diagram (Fig. 7e), which demonstrates that it is associated with
496 irreversible switching events. Unlike the uniaxial case, where there is just one easy axis and two
497 corresponding hysteresis branches, cubic particles have four $\langle 111 \rangle$ easy axes and eight
498 corresponding hysteresis branches. For certain combinations of applied field and particle
499 orientation, asymmetric switching between different easy axes yields both positive and negative
500 background signals (Valdez-Grijalva & Muxworthy, 2019). A portion of the positive background
501 signal can, therefore, be attributed to asymmetric switching between different easy axes (Fig. 7e).
502 The remaining portion appears in the iFORC diagram (Fig. 7i), and, like the uniaxial case, results
503 from the difference in reversible slopes of different hysteresis branches. The positive ridge signal in
504 the remFORC diagram is associated with symmetric switching involving a single easy axis (Fig.
505 7e). This signal is mirrored by a negative ridge in the iFORC diagram (Fig. 7i), which leads to a
506 similar (yet distinct) N-P-N structure to that of the uniaxial case.

507 With increasing interactions, the distinction between cubic and uniaxial particles becomes
508 less pronounced. Weak negative background features appear in the remFORC diagram for both
509 uniaxial (Fig. 6f) and cubic (Fig. 7f) particles, broadening of the remFORC signal merges the
510 background and ridge signals, and the distinction between N-P-N iFORC patterns for uniaxial (Fig.
511 6j) and cubic (Fig. 7j) cases becomes less obvious. Both uniaxial and cubic particles develop
512 bilobate tFORC signals with increasing interactions. In the absence of thermal activation, transient
513 hysteresis is primarily associated with irreversible changes in magnetization driven by self-
514 demagnetizing fields (Fabian, 2003). Zhao et al. (2017) associated bilobate structures in tFORC
515 diagrams with vortex state nucleation and annihilation, in agreement with both theoretical modeling
516 and empirical observations of materials dominated by vortex states (Pike & Fernandez, 1999;
517 Dumas et al., 2007; Roberts et al., 2017; Valdez-Grijalva et al., 2018; Lascu et al., 2018). Our
518 simulations demonstrate that analogous effects are seen in strongly interacting clusters of SD
519 particles, due to formation of flux closure structures driven by the inter-particle dipole-dipole
520 interactions. Snapshots of magnetic configurations obtained in a cubic-close-packed cluster of
521 uniaxial particles are shown in Fig. 10. Starting in a field of +1 T (Fig. 10a), the cluster is in a
522 saturated state with each particle moment aligned closely to the field. As the field is reduced to
523 +300 mT (Fig. 10b), the cluster adopts a ‘super-flower’ state, analogous to the micromagnetic
524 flower state observed in single particles just below the threshold size for vortex nucleation (Schabes
525 & Bertram, 1988; Williams & Dunlop, 1989, 1995). With further field reduction to +100 mT (Fig.
526 10c) and 50 mT (Fig. 10d), flowering becomes more pronounced and interaction-driven switching
527 of particles into reversed states begins. At remanence (Fig. 10e top view), creation of a flux-closure
528 structure loosely resembles a super-vortex (Harrison et al., 2002). Imperfect moment alignment is
529 due to competition between anisotropy and interaction fields. Simulations with reduced anisotropy
530 demonstrate a more obvious super-vortex due to dominant interaction fields. If the field is ramped
531 from zero to +50 mT, direct comparison can be made between magnetic states on the upper
532 hysteresis branch (Fig. 10d) and on the zFORC (Fig. 10f). The magnetization difference of these
533 two snapshots is equal to the transient magnetization (cf. measurement points 2 and 4 in Fig. 1).

534 Simulated FORC, remFORC, iFORC, and tFORC signals for weakly-to-moderately
535 interacting SD particles agree well with key features observed experimentally by Zhao et al. (2017).
536 For example, a floppy magnetic recording disk with interacting SD particles (Fig. 4 of Zhao et al.
537 2017) has all of the characteristics predicted here for cubic particles with 5% packing fraction (Fig.
538 7b, f, j, and n). Experimental FORC results for densely packed, synthetic magnetite particles (Sigma
539 Aldrich 637106-25G) are shown in Fig. 11. Although these particles span the SD-SV size range
540 (Fig. 11b), many key features predicted for strongly interacting uniaxial and cubic SD particles are

541 observed in the experimental data, including spreading of the FORC signal at low coercivity (Fig.
542 11c), the shape and spreading of the remFORC signal (Fig. 11d), the complex shape of the N-P-N-P
543 iFORC structure (Fig. 11e), and a bilobate tFORC distribution (Fig. 11f). A positive feature close to
544 the $-B_u$ axis in the experimental remFORC diagram is associated with viscous relaxation effects
545 (Zhao et al., 2017; Hu et al., 2018), which are not modelled here. A prominent negative feature in
546 the experimental tFORC diagram is less well reproduced by the simulations, although a weak
547 negative feature is visible in a tFORC diagram for cubic particles (Fig. 7o). Other differences
548 between simulated and observed behavior are likely due a combination of factors, including a)
549 failure to account for thermal relaxation in the simulations, b) differences between assumed and
550 actual coercivity distributions, and c) predominance of SV particles in the sample. The behavior of
551 these densely packed particles has striking similarities to basalt samples with ‘PSD’ magnetite (Fig.
552 6 of Zhao et al., 2017), which have been attributed to vortex nucleation and annihilation. Although
553 the presence of SV particles in our samples helps to explain this similarity, the simulations
554 demonstrate that vortex states *sensu stricto* are not required in order to produce these patterns. The
555 key physical driver is the presence of strong demagnetizing effects (either self-demagnetization for
556 vortex nucleation/annihilation or dipole-dipole interactions for packed SD clusters).

557 A key observation for strongly interacting clusters is the pronounced $M_{\text{rem}}(B_a > 0, B_b)$
558 decrease with increasing applied field (Fig. 2f, 3f). Having reduced the applied field gradually from
559 a saturating value to zero (e.g. Fig. 10a-e), increasing the field to a positive value (e.g. Fig. 10f) and
560 then back to zero (not shown in Fig. 10) results in a remanence drop. Our interpretation of this
561 phenomenon is that inter-grain magnetostatic interactions dominate, creating complex field-
562 dependent energy surfaces, where field direction changes are no longer necessarily reversible; in the
563 non-interacting SD case, energy surfaces are smooth and controlled only by magnetocrystalline
564 anisotropy, which makes them relatively more reversible.

565

566 4.1.2 Chains

567 For straight chains of particles, strong magnetostatic interactions along the chain axis
568 produce collective switching behavior. The switching field of chains lies at the upper end of the
569 coercivity distribution of individual particles that make up the chain. FORC and iFORC diagrams
570 for straight chains (Fig. 8a, 8g) resemble those for non-interacting uniaxial particles (Fig. 6a, 6i).
571 The remFORC diagram, however, has an N-P-P structure similar to that observed for non-
572 interacting cubic particles (Fig. 7e). Unlike the cubic case, where the negative background
573 remFORC signal is related to switching between different easy axes (Section 4.1.1), for straight
574 chains this signal is related to partial switching of chains at intermediate fields (Fig. 12). The lowest

575 energy state of a straight chain is fully magnetized either parallel or antiparallel to the chain axis
576 (Fig. 12a). Moment rotation in negative reversal fields initiates at the ends of the chain (Fig. 12b),
577 where interaction fields that keep moments aligned with the chain axis are reduced (particles on the
578 ends of the chain have a single nearest neighbor, whereas those in the center have two). If all
579 particles have similar coercivity, then switching of the ends of the chains would occur
580 simultaneously through a fanning mechanism (Jacobs & Bean, 1955). If particles in the center of
581 the chain have sufficiently high coercivity, however, only moments at the ends of the chain switch
582 (Fig. 12c). A partially switched chain (Fig. 12d) is metastable and needs a much smaller positive
583 measurement field to switch it back to its saturated state (Fig. 12e). Larger reversal fields eventually
584 lead to full switching of the chain (Fig. 12f), potentially via a series of intermediate states. The fully
585 reversed remanence state is shown in Fig. 12g. A schematic illustration of M_{rem} as a function of B_a
586 and B_b is shown in Fig. 12h for a chain in a single partially switched intermediate state. Locations
587 of non-zero remFORC contributions (Eqn. 5) are highlighted, which demonstrates how the
588 combined partial and full switching events lead to two positive background signals (1 and 3), a
589 negative background signal (2), and a positive ridge signal (4).

590 The tFORC signal for collapsed chains has the same bilobate pattern observed in randomly
591 packed clusters and is related to formation of similar flux-closure structures driven by dipole-dipole
592 interactions (Section 4.1.1; Fig. 10). However, collapsed chains retain a stronger non-interacting
593 uniaxial component in FORC, remFORC, and iFORC signals than randomly packed clusters with
594 comparable tFORC signals (cf. Fig. 6c, g, k, o with Fig. 8b, e, h, k). This distinguishing feature is
595 most obvious in the iFORC diagram, which retains a clear N-P-N structure that is more similar to
596 Fig. 6j than Fig. 6k. In addition, the iFORC diagram for collapsed chains has an additional positive
597 signal above the B_c axis that is not present in Fig. 6j or Fig. 7j. Comparison of a predicted iFORC
598 diagram for collapsed chains with an experimental iFORC diagram for a magnetofossil-rich
599 sediment from the onset of the PETM (Chang et al., 2018) is shown in Fig. 13. Both the strong N-P-
600 N structure and the additional positive feature are present. The positive signal along the $+B_u$ axis in
601 the experimental iFORC diagram is not reproduced in simulations. Although similar signals are
602 predicted for strongly interacting clusters (e.g. Figs. 6l, 7l; labelled P*), these are thought to be
603 simulation artefacts (see Section 4.2) that coincidentally mimic real physical processes.

604

605 4.1.3 Hexagonal anisotropy

606 FORC, remFORC, and iFORC signals for particles with hexagonal anisotropy are complex
607 and change fundamentally as a function of $|K_U/K_H|$. The physical origin of complex FORC behavior

608 for hexagonal particles was discussed preliminarily by Harrison et al. (2017) and will be expanded
609 upon in a separate paper. The five signals observed in a FORC diagram for hexagonal particles with
610 high $|K_U/K_H|$ (Fig. 9a) are virtually identical to those predicted by Valdez-Grijalva & Muxworthy
611 (2019) for randomly oriented particles with cubic anisotropy. These signals were attributed by
612 Valdez-Grijalva & Muxworthy (2019) to the availability of multiple easy axes in the cubic system.
613 The physical origin of these signals in hexagonal particles is similarly related to the availability of
614 multiple easy axes within the basal plane (Harrison et al., 2017). Negative signal 1 is partitioned
615 into the iFORC component, and, like the uniaxial and cubic cases, it is caused by different
616 reversible slopes of hysteresis branches. Like the cubic case, positive and negative background
617 remFORC signals (2, 3, 4) are caused by asymmetric switching between different easy axes.
618 Positive ridge signal (5) is related to symmetric switching involving the same easy axis. The B_c
619 extent of the ridge is highly sensitive to $|K_U/K_H|$, which becomes less prominent with lower $|K_U/K_H|$,
620 along with a smaller gap between positive signals 2 and 4 (Fig. 9b, f, j, n).

621 The presence of multiple easy axes is evident in the raw iFORC signal (Fig. 5c), which has a
622 distinctive double-peak structure in positive measurement fields due to an intermediate easy axis
623 that occurs for certain applied field and particle orientation combinations. Although this feature is
624 not visible in the cubic case (Fig. 3c), both hexagonal and cubic iFORC diagrams have a positive
625 peak in the lower-branch subtracted iFORCs (Figs. 3c, 5c insets), which suggests that this feature
626 may also be diagnostic of multiple easy axes. Experimental confirmation of the anomalous double-
627 peaked raw iFORC behavior is shown in Fig. 14 for a MD hematite single crystal with field applied
628 at 30° to the basal plane (Iwaki, 1965).

629 The remarkably similar behavior of cubic and hexagonal particles demonstrates that it is the
630 availability of multiple easy axes that produces the asymmetric positive and negative background
631 features that are displaced negatively below the B_c axis. Any non-uniaxial mineral is expected to
632 have such features, but they are likely to be most pronounced in minerals dominated by
633 magnetocrystalline anisotropy. Hematite is most often associated with such asymmetric FORC
634 signals, which is unsurprising given that shape anisotropy is weak in hematite due to its low
635 saturation magnetization. Fig. 9b with $|K_U/K_H| = 17$ is closest to the ‘kidney bean’ shape often
636 associated with hematite FORC diagrams (Muxworthy et al., 2005; Carvallo and Muxworthy, 2006;
637 Carvallo et al., 2006; Liu et al., 2010; Brownlee et al., 2011; Jovane et al., 2011; Martín-Hernández
638 and Guerrero-Suárez, 2012; Church et al., 2016), especially considering that we simulated
639 populations of identical particles, rather than for coercivity distributions, which would further smear
640 the signal. Examples of dominantly uniaxial central ridge behavior have also been documented for
641 hematite (e.g. Roberts et al., 2006; Jiang et al., 2016; Pariona et al., 2016). A transition to uniaxial

642 switching behavior is predicted here for samples with low $|K_U/K_H|$ (Fig. 9d, h, l, p). Below a critical
643 $|K_U/K_H|$ value, symmetric switching between a single easy axis is achieved by rotating spins out of
644 the basal plane, rather than by rotating spins within the basal plane via an intermediate easy axis.
645 Low $|K_U/K_H|$ can be achieved by either lowering K_U or by increasing K_H . Lowering K_U is unlikely
646 because its intrinsically high value is related to the fundamental anisotropy of the hematite crystal
647 structure. Increasing K_H (e.g. through magnetoelastic coupling to basal plane stress) is more easily
648 achievable. Hence, the observation of asymmetric multi-axial vs symmetric uniaxial switching
649 behavior in hematite may be related to a fundamental difference in the balance of in-plane versus
650 out-of-plane anisotropy and may yield insight into the stress state of hematite particles.

651 Despite its cubic symmetry, SD magnetite is typically dominated by uniaxial shape
652 anisotropy, and is therefore dominated by central ridge signals. Greigite, on the other hand,
653 typically grows in sedimentary environments as equidimensional crystals with cuboctahedral
654 symmetry (Roberts et al., 2011), and commonly gives rise to the asymmetric combination of
655 positive and negative background features predicted here for hexagonal particles and by Valdez-
656 Grijalva & Muxworthy (2019) for cubic particles. Similar arguments apply to pyrrhotite, which also
657 has highly asymmetric FORCs (Weaver et al., 2002; Wehland et al., 2005; Larrasoña et al., 2007;
658 Roberts et al., 2010; Kars & Kodama, 2015a, b; Horng, 2018; Roberts et al., 2018b).

659

660 **4.2 Simulation artefacts?**

661 Some positive and negative features in the simulated results (labelled P* and N* in Figs. 8,
662 9) may be simulation artefacts. These features appear along the $+B_u$ and $-B_u$ axis, a region that is
663 well known for so-called ‘first-point artefacts’. In an experimental context, the first-point artefact is
664 caused by the first point of each FORC measurement being offset from the rest of the FORC due to
665 instrumental measurement factors (the first point is measured in static mode, and subsequent points
666 are made in field-sweep mode). In a simulation context, first-point artefacts may be created by the
667 inevitably large applied field jump from the reversal field to zero to measure the first point in a
668 remFORC. Ideally, the field would be stepped to zero gradually from the reversal field, as is the
669 case for FORC simulations, but this would be prohibitively expensive for remFORC computations.
670 A large difference is observed between the remanence value obtained after a single large step from
671 the reversal field to zero compared with that obtained during subsequent measurements (Fig. 15a).
672 The discrepancy increases with increasing $|B_a|$, and results in a steep initial downturn in remFORC
673 curves that mimics the viscous relaxation that is often observed experimentally in the same region
674 (e.g. Hu et al., 2018) and leads to real remFORC signals in this area (Fig. 11d). The effect is most
675 pronounced when Option 1 is used to determine the remFORC (Fig. 15a). The effect is reduced for

676 Option 2 (Fig. 15b). This is because the starting remanence for Option 2 is obtained by gradually
677 stepping the field from the reversal field to zero during the initial FORC simulation. Further
678 reduction of P^* and N^* features in processed FORC diagrams can be obtained by removing the first
679 point of each curve from the dataset prior to processing (Fig. 15c-f).

680 Despite the discussion above, relaxation of remanence is observed well beyond the first
681 point of each remFORC, and a P^* signal is observed in processed remFORC diagrams even when
682 the first point is omitted prior to processing (Fig. 15f). The relaxation observed during iterative
683 back-field cycling resembles that observed during iterative thermal cycling of MD grains (Fabian &
684 Shcherbakov, 2004) who explained this phenomenon with a statistical theory involving a stochastic
685 transition matrix, which describes the probability that the magnetic state of an MD particle
686 transforms into a different one during a thermal cycle iteration. The mathematical formalism of
687 Fabian & Shcherbakov (2004) is general (although transformation matrix details may differ for MD
688 particles versus interacting SD clusters). The fact that there is good agreement between observed
689 and simulated remFORC signals outside the remanence region (cf. Figs. 11d, Fig. 15e) raises the
690 possibility that the evolution of remanence during iterative back-field cycling is not an artefact, but
691 a real phenomenon that relates to statistical equilibration of the probability density of magnetic
692 states within strongly interacting clusters. The field-cycling history for each B_b step contains
693 information about all previous field-cycling steps for a particular reversal field B_a , which is then
694 carried forward to the next measurement step. The system is only “cleaned” prior to applications of
695 the next reversal field. For strongly interacting SD systems contributions to the remFORC diagram
696 in this region will depend on field step size, both in terms of the distribution of intensity and their
697 position, for both models and experiments. This ‘field cycling’ effect, i.e., minor hysteresis loops, is
698 analogous to the ‘thermal cycling’ effect described by Fabian & Shcherbakov (2004) for MD
699 particles and provides an alternative to SP behavior as an explanation for the P^* signals observed
700 commonly in remFORC diagrams.

701

702 **5. Conclusions**

703 Micromagnetic simulations for the extended FORC protocol of Zhao et al. (2017)
704 demonstrate how the total FORC signal is partitioned between remFORC, iFORC, and tFORC
705 signals. This work provides the first theoretical framework for predicting and interpreting these new
706 FORC-type diagrams. Despite the additional time required to measure these FORC-type diagrams,
707 our simulations demonstrate their additional interpretive power by linking each observed signal to a
708 different physical aspect of the magnetization process. Good agreement between simulated and

709 observed behavior is found for a range of samples. In particular, the spreading and shape of
710 remFORC distributions, the transition from the N-P-N to N-P-N-P structure in the iFORC diagram,
711 and generation of bilobate tFORC distributions is reproduced accurately in strongly interacting SD
712 clusters. These signals also appear to be a good analog for ‘PSD’ samples dominated by particles
713 that lie in the SV/MV size range (Roberts et al., 2017; Lascu et al., 2018). Strong coupling between
714 reversible and irreversible magnetization components is identified in strongly interacting clusters,
715 which leads to a decreasing remanence trend with increasing magnetizing field. Appearance of
716 strong negative signals in remFORC diagrams are linked to particles or chains with intermediate
717 switching states. For individual particles, these intermediate states correspond to multiple easy axes,
718 and explain the characteristic ‘kidney’-shaped FORC fingerprint of minerals such as hematite and
719 pyrrhotite, which are dominated by multi-axial magnetocrystalline anisotropy. A transition to
720 uniaxial switching in hexagonal particles is found below a critical value of the out-of-plane/in-plane
721 anisotropy ratio. Similar fingerprints in minerals such as greigite are due to its common occurrence
722 as equidimensional grains with limited shape anisotropy. For straight chains, intermediate states are
723 achieved by partial switching when there is sufficient coercivity variation in particles along a chain.
724 A distinct positive signal appears in the iFORC signature for collapsed chains of uniaxial particles,
725 which may aid discrimination between biogenic and non-biogenic signals in sediments. Good
726 agreement between simulated and observed behavior means that this approach has merit for
727 generating training data for machine-learning algorithms applied to automated detection and
728 quantification of diagnostic features in FORC and FORC-like diagrams. With the increased
729 complexity of information provided by the FORC-type diagrams of Zhao et al. (2017), we
730 anticipate that development of machine-learning algorithms for automated FORC analysis will
731 become a fruitful area of future FORC research.

732

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743

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946

947 8. Figure Captions

948

949 **Figure 1.** Definition of the remanent, induced, and transient magnetization components, and how
950 they are measured using the extended FORC protocol of Zhao et al. (2017). All curves shown
951 are taken from a simulation of randomly packed uniaxial particles with 40% packing fraction.
952 Measurement point 1 corresponds to the saturating field, B_{sat} , that is applied prior to
953 measurement of each FORC. Measurement points 2, 3, and 5 lie on the descending branch of
954 the hysteresis curve, and represent the starting points for conventional first-order reversal
955 curves $M(B_a, B_b)$ with reversal fields $B_a = B_x$, $B_a = 0$, and $B_a = B_y$, respectively. Measurement
956 point 3 also corresponds to the saturation remanent magnetization, M_{rs} . Measurement sequence
957 2-3-4 illustrates measurement of the transient-free 'zero FORC' curve for positive reversal
958 fields ($B_a \geq 0$): the field is swept from the reversal field B_x to zero (black arrow) and then from
959 zero to B_x (red arrow). Measurement point 4 corresponds to the first point in the transient-free

960 curve, $M_{\text{tf}}(B_x, B_x)$. Subsequent points, $M_{\text{tf}}(B_x, B_b)$, are obtained by alternately sweeping the field
 961 to zero and then to the next highest measurement field, B_b , until saturation. Whenever the field
 962 is zero, the corresponding remanent magnetization, $M_{\text{rem}}(B_x, B_b)$ is recorded. Measurement
 963 sequence 5-7-8 illustrates the measurement of transient-free curves for negative reversal fields
 964 ($B_a < 0$): the field is swept from the reversal field B_y to zero (black arrow) and then from zero to
 965 B_z (red arrow). Point 6 corresponds to a general point in the conventional FORC curve, $M(B_y,$
 966 $B_z)$, and is measured separately in a conventional FORC measurement. Measurement point 8
 967 corresponds to a general point in the transient-free curve, $M_{\text{tf}}(B_y, B_z)$. The conventional FORC
 968 magnetization (e.g. point 6) can be expressed as the sum of three components: a remanent
 969 component, $M_{\text{rem}}(B_a, B_b)$, an induced component, $M_i(B_a, B_b) = M_{\text{tf}}(B_a, B_b) - M_{\text{rem}}(B_a, B_b)$, and a
 970 transient component, $M_t(B_a, B_b) = M(B_a, B_b) - M_{\text{tf}}(B_a, B_b)$, indicated by the blue, yellow, and
 971 pink shaded regions, respectively.

972

973 **Figure 2.** Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly
 974 oriented, non-interacting particles with uniaxial anisotropy. All simulations consist of 151
 975 curves with a uniform 2 mT step size for both B_a and B_b . For clarity, every 4th curve is shown.
 976 Magnetization values are normalized to $M_s = 1$. Results are the average of 20,000 particles.
 977 Simulated (e) FORC, (f) remFORC, (g) iFORC, and (h) tFORC curves for randomly oriented
 978 particles with uniaxial anisotropy and packing fraction of 40%. All simulations consist of 151
 979 curves with a uniform 2 mT step size for both B_a and B_b . For clarity, every 2nd curve is shown.
 980 Magnetization values are normalized relative to $M_s = 1$. Each simulation contained 500
 981 particles. Results are the average of 100 simulations (50,000 particles).

982

983 **Figure 3.** Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly
 984 oriented, non-interacting particles with cubic anisotropy. All simulations consist of 151 curves
 985 with a uniform 2 mT step size for both B_a and B_b . Magnetization values are normalized to $M_s =$
 986 1. Results shown are the average of 40,000 particles. Simulated (e) FORC, (f) remFORC, (g)
 987 iFORC, and (h) tFORC curves for randomly oriented particles with cubic anisotropy and
 988 packing fraction of 40%. All simulations consist of 151 curves with a uniform 2 mT step size
 989 for both B_a and B_b . For clarity, every 2nd curve is shown. Magnetization values are normalized
 990 to $M_s = 1$. Each simulation contained 100 particles. Results are the average of 100 simulations
 991 (10,000 particles).

992

993 **Figure 4.** Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly
 994 oriented, straight chains of uniaxial particles. Chains contained 20 particles with diameter 100
 995 nm and center-to-center separation of 110 nm. All simulations consist of 151 curves with a
 996 uniform 2 mT step size for both B_a and B_b . For clarity, every 2nd curve is shown.
 997 Magnetization values are normalized to $M_s = 1$. Each simulation contained 20 chains. Results
 998 are the average of 100 simulations (2000 chains, 40,000 particles).

999

1000 **Figure 5.** Simulated (a) FORC, (b) remFORC, (c) iFORC, and (d) tFORC curves for randomly
 1001 oriented, non-interacting particles with hexagonal anisotropy and $K_u/K_h = -333$. All simulations
 1002 consist of 151 curves with a uniform 2 mT step size for both B_a and B_b . For clarity, every 2nd
 1003 curve is shown. Magnetization values are normalized to $M_s = 1$. Simulations contained 50
 1004 particles. Results are the average of 100 simulations (5000 particles).

1005

1006 **Figure 6.** Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for
 1007 randomly packed uniaxial particles with packing fractions (a, e, i, m) 0%, (b, f, j, n) 5%, (c, g,
 1008 k, o) 40%, and (d, h, l, p) 74%. To achieve 74% packing efficiency, particles were arranged in a
 1009 face centered cubic array of hard spheres in contact. Insets are simulated hysteresis loops. Axis
 1010 range for all insets is $M = \pm 1$ (vertical) and $B = \pm 0.15$ T (horizontal). Magnetization values are
 1011 normalized to $M_s = 1$. Labels P and N highlight positive and negative regions of interest. Labels
 1012 P* and N* highlight positive and negative regions that may be simulation artefacts.

1013

1014 **Figure 7.** Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for
 1015 randomly packed cubic particles with packing fractions (a, e, i, m) 0%, (b, f, j, n) 5%, (c, g, k, o)
 1016 40%, and (d, h, l, p) 74%. To achieve 74% packing efficiency, particles were arranged in a face
 1017 centered cubic array of hard spheres in contact. Insets are simulated hysteresis loops. Axis
 1018 range for all insets is $M = \pm 1$ (vertical) and $B = \pm 0.15$ T (horizontal). Magnetization values are
 1019 normalized to $M_s = 1$. Labels P and N highlight positive and negative regions of interest. Labels
 1020 P* and N* highlight positive and negative regions that are thought to be simulation artefacts.

1021

1022 **Figure 8.** Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for
 1023 chains of uniaxial particles with chain collapse factors (a, d, g, j) $c = 0$, (b, e, h, k) $c = 0.6$, and

1024 (c, f, i, l) $c = 1$. Insets are simulated hysteresis loops. Axis range for all insets is $M = \pm 1$
1025 (vertical) and $B = \pm 0.15$ T (horizontal). Magnetization values are normalized relative to $M_s =$
1026 1. Labels P and N highlight positive and negative regions of interest.

1027

1028 **Figure 9.** Processed (a-d) FORC, (e-h) remFORC, (i-l) iFORC, and (m-p) tFORC diagrams for
1029 randomly oriented non-interacting hexagonal particles with out-of-plane/in-plane anisotropy
1030 ratios (a, e, i m) -333, (b, f, j, n) -17, (c, g, k, o) -5, and (d, h, l, p) -1. Insets are simulated
1031 hysteresis loops. Axis range for all insets is $M = \pm 1$ (vertical) and $B = \pm 0.1$ T (horizontal).
1032 Magnetization values are normalized to $M_s = 1$.

1033

1034 **Figure 10.** Simulation snapshots for 108 uniaxial particles arranged in a 3x3x3 cubic closed packed
1035 array with 74% packing fraction. Simulations were performed as a function of decreasing
1036 applied field, from (a) 1 T to (b) 300 mT, to (c) 100 mT, to (d) 50 mT, and (e) 0 mT. The field
1037 was then increased from 0 mT back to 50 mT (f). The field direction is indicated by the arrow.
1038 The snapshot images represent the development of flower states in high fields (a-c). Below 100
1039 mT, a large proportion of particles switch to their reversed state as a result of strong
1040 magnetostatic interactions with their neighbors (d-e). This leads to development of domain
1041 superstructures reminiscent of the multi-vortex states observed in large particles (Lascu et al.,
1042 2018). The difference in magnetic state observed at 50 mT in (d) and (f) corresponds to the
1043 transient magnetization measured in a tFORC.

1044

1045 **Figure 11.** (a) Secondary electron scanning electron microscope image of the analyzed synthetic
1046 magnetite sample (Sigma Aldrich 637106-25G). (b) Box-whisker plot of particle diameter
1047 distribution measured manually from a random sampling of 60 particles. Thick horizontal line
1048 indicates the median (120 nm). Whiskers indicate the 2nd and 98th percentiles, which vary
1049 from 50 nm to 200 nm. The box represents the 25th to 75th percentiles. The sizes span the SD-
1050 SV range, with most in the SV size range. (c) FORC, (d) remFORC, (e) iFORC, and (f) tFORC
1051 diagrams for the synthetic magnetite sample measured using the protocol of Zhao et al. (2017).

1052

1053 **Figure 12.** Partial switching of straight chains provides an explanation for negative and positive
1054 background remFORC signals observed in Fig. 10d. (a) The initial remanence state is
1055 magnetized uniformly along the chain length. (b) $B_a = -50$ mT. Rotation initiates at the ends of
1056 the chain, where the local interaction field is reduced. (c) Switching occurs at the upper end of
1057 the chain in reversal field $B_a = -70$ mT. Switching does not propagate along the chain due to the
1058 presence of higher coercivity particles in the central portion. (d) remFORC state acquired after
1059 application of a -70 mT field. (e) Switching back of the end of the chain occurs in $B_b = +30$
1060 mT. (f) Full switching of the chain occurs after $B_a = -100$ mT. (g) Final remFORC state. (h)
1061 Schematic illustration of M_{rem} as a function of B_a and B_b . Points where positive and negative
1062 contributions to the remFORC distribution are made are shown as orange and blue dots,
1063 respectively.

1064

1065 **Figure 13.** Simulated (a) raw curves and (b) processed iFORC diagram for fully collapsed chains of
1066 uniaxial particles. (c) Measured and (d) processed iFORC diagrams for a magnetofossil-rich
1067 sample (ODP Hole 1263C, section 14H-2A, interval 146-147 cm, at 335.67 meters composite
1068 depth; Chang et al. 2018).

1069

1070 **Figure 14.** (a) Simulated iFORCs for randomly arranged particles with hexagonal anisotropy with a
1071 double peak for positive measurement fields. (b) Measured iFORCs for a single crystal of
1072 hematite. The sample is a ~ 5 -mm fragment of natural specularite crystal from Mt Shimotoku,
1073 Okayama Prefecture, Japan, from the collection of the Geological Museum of the Geological
1074 Survey of Japan (Registration number A31-36426). The magnetic properties of hematite
1075 crystals from this locality have been reported by Iwaki (1965).

1076

1077 **Fig. 15.** Simulated remFORCs for randomly packed cubic particles with 74% packing fraction
1078 using (a) Option 1 and (b) Option 2 of the remFORC simulation protocol. In Option 1, the
1079 simulation is initialized at the reversal field using the starting configuration obtained at the
1080 corresponding point of the upper branch of the hysteresis loop. In Option 2, the simulation is
1081 initialized at remanence, using the starting configuration obtained from the FORC with
1082 corresponding reversal field. Back-field remanence values obtained from the FORCs are shown
1083 as red curves. Note the larger 'first-point artefact' in (a). Processed remFORCS for Option 1 are
1084 shown both with (c) and without (d) the first point included. Similarly, for Option 2, in (e) and

1085 (f). The residual P^* signal is evidence of a 'field cycling' effect, analogous to the 'thermal
1086 cycling' effect of Fabian & Shcherbakov (2004).

1087

Figure 1.

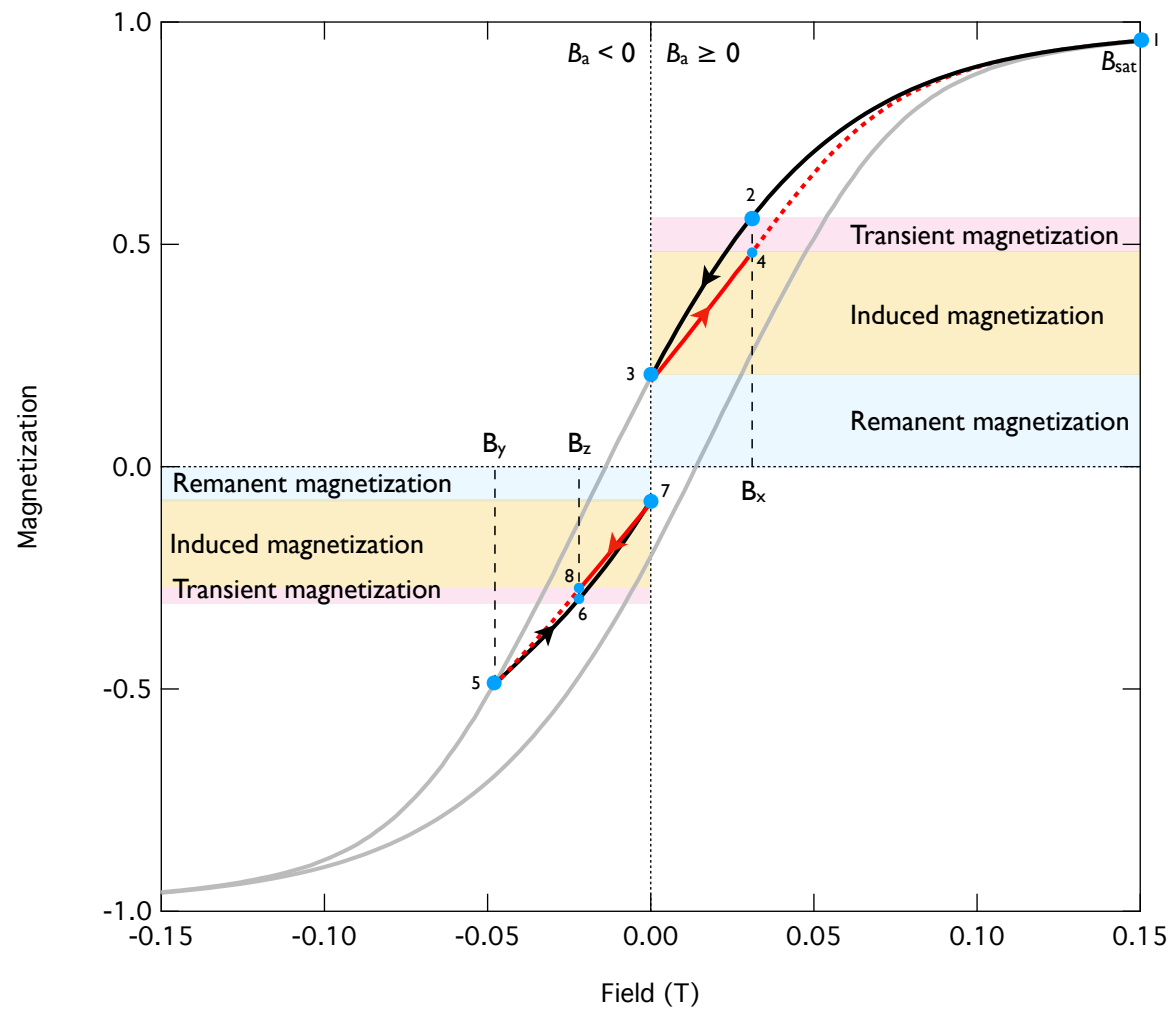


Figure 2.

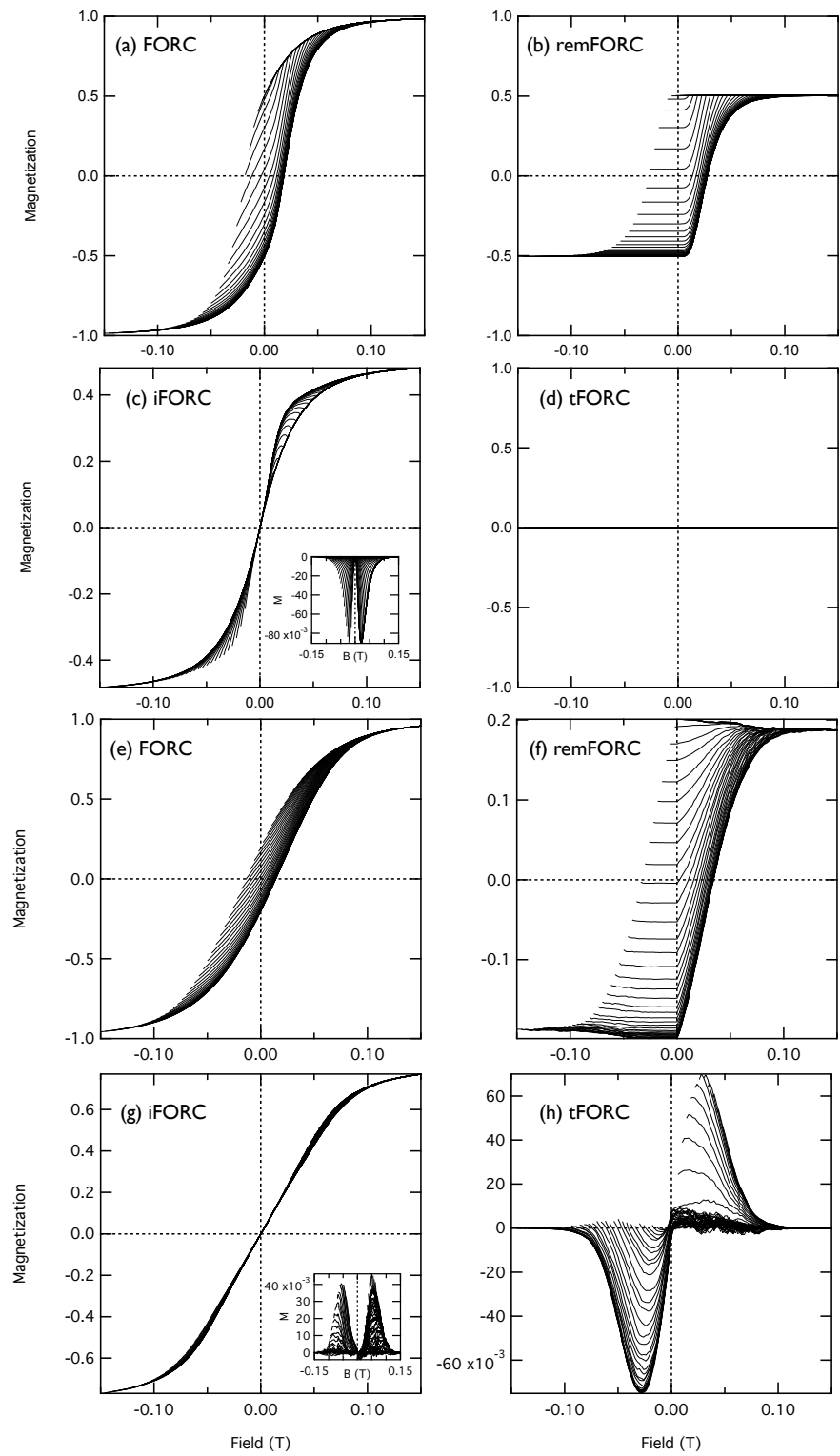


Figure 3.

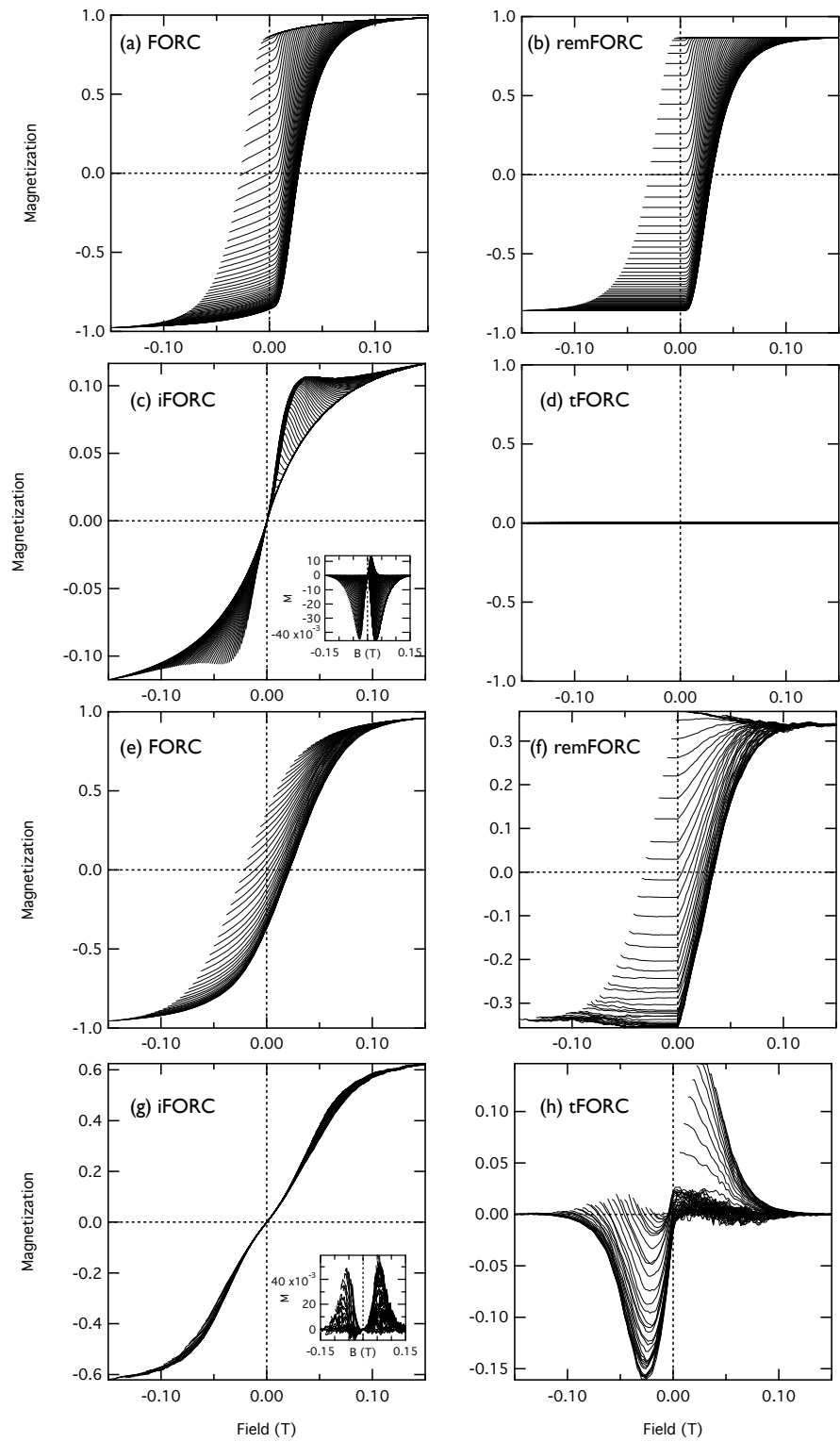


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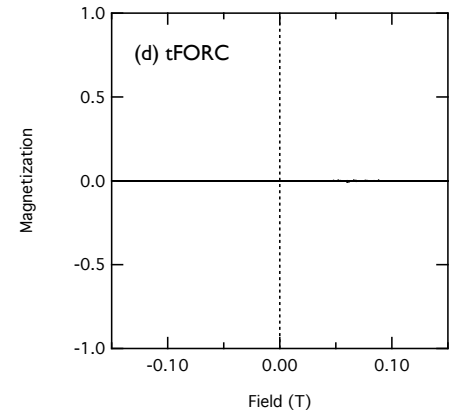
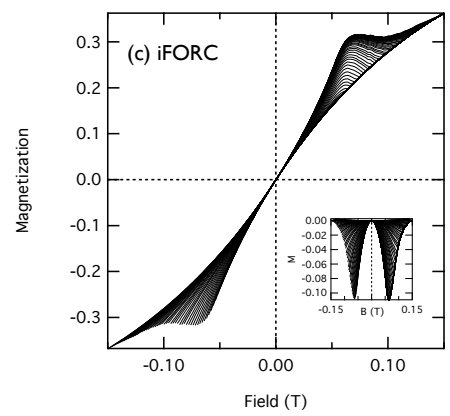
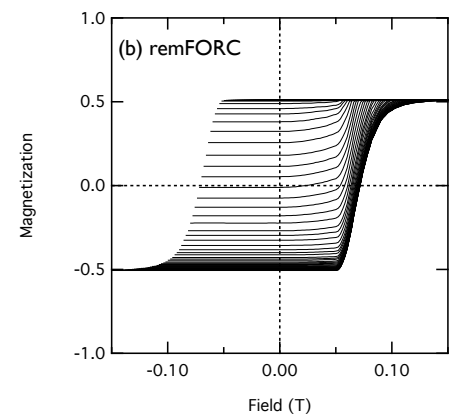
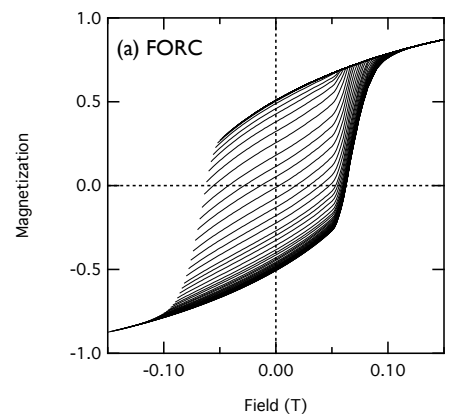


Figure 5.

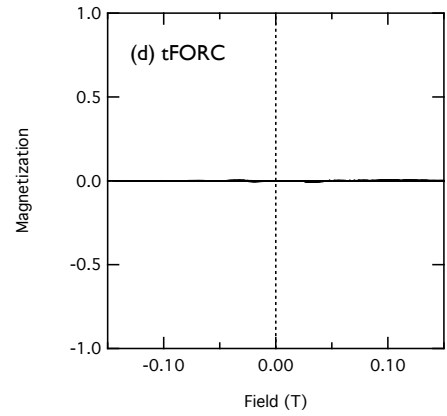
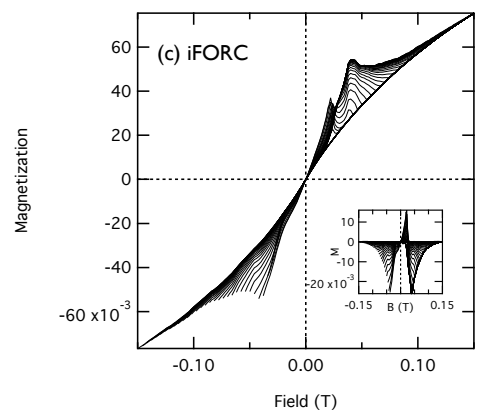
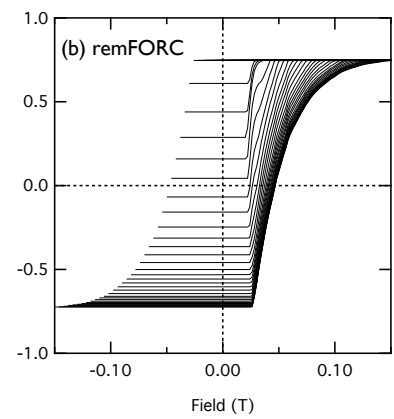
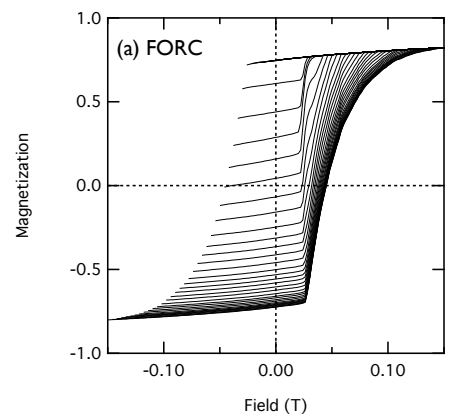


Figure 6.

Uniaxial Random Packing

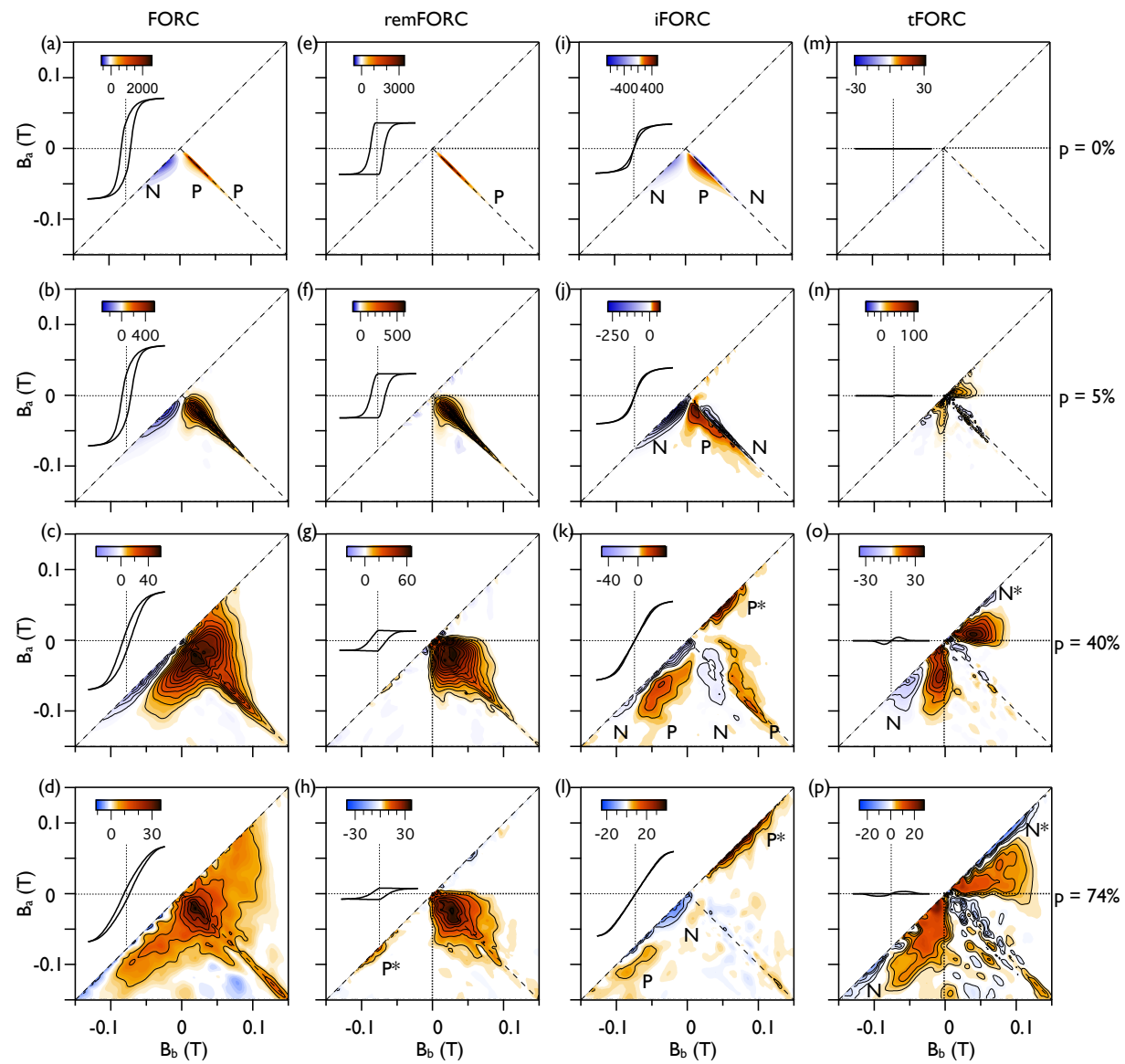


Figure 7.

Cubic Random Packing

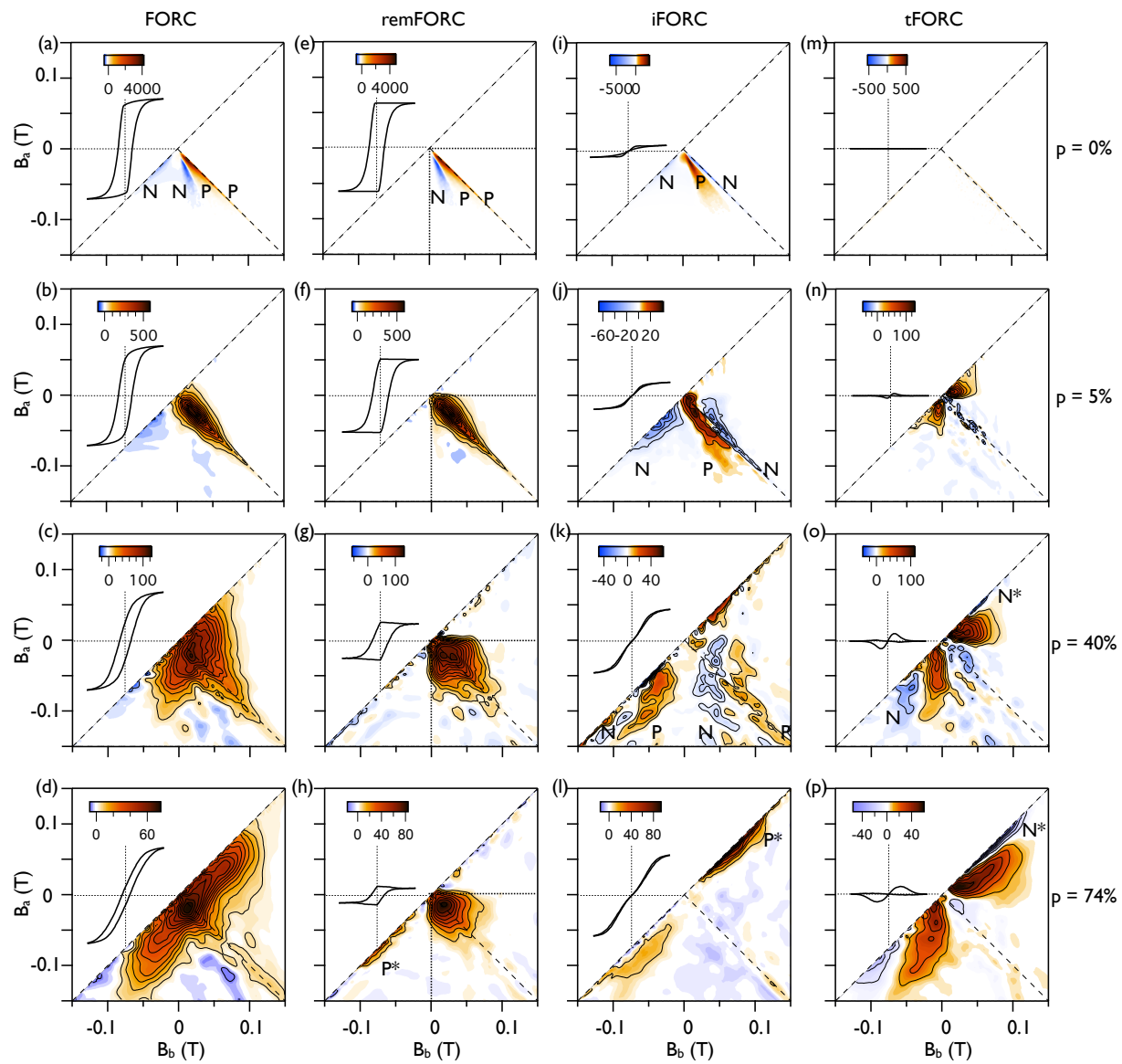


Figure 8.

Chains of Uniaxial Particles

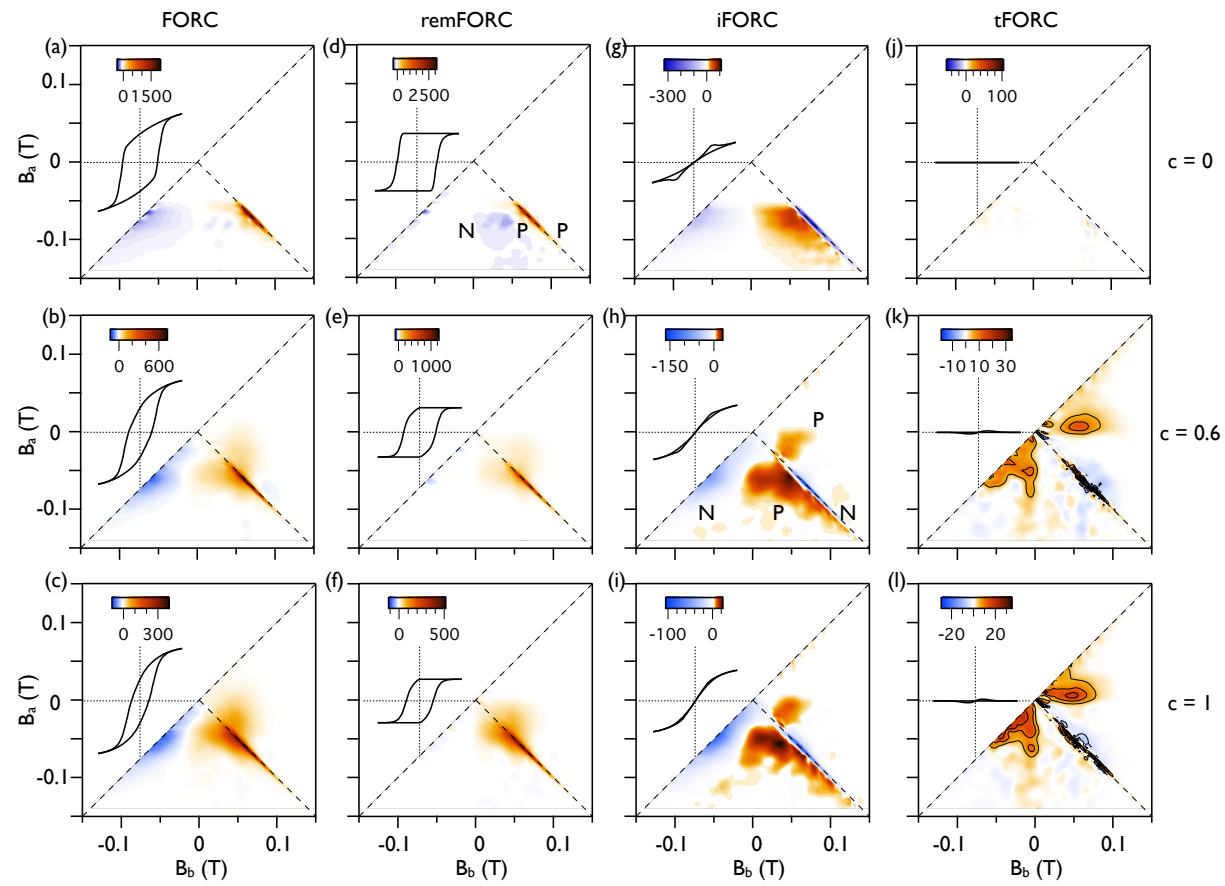


Figure 9.

Non-interacting hexagonal anisotropy

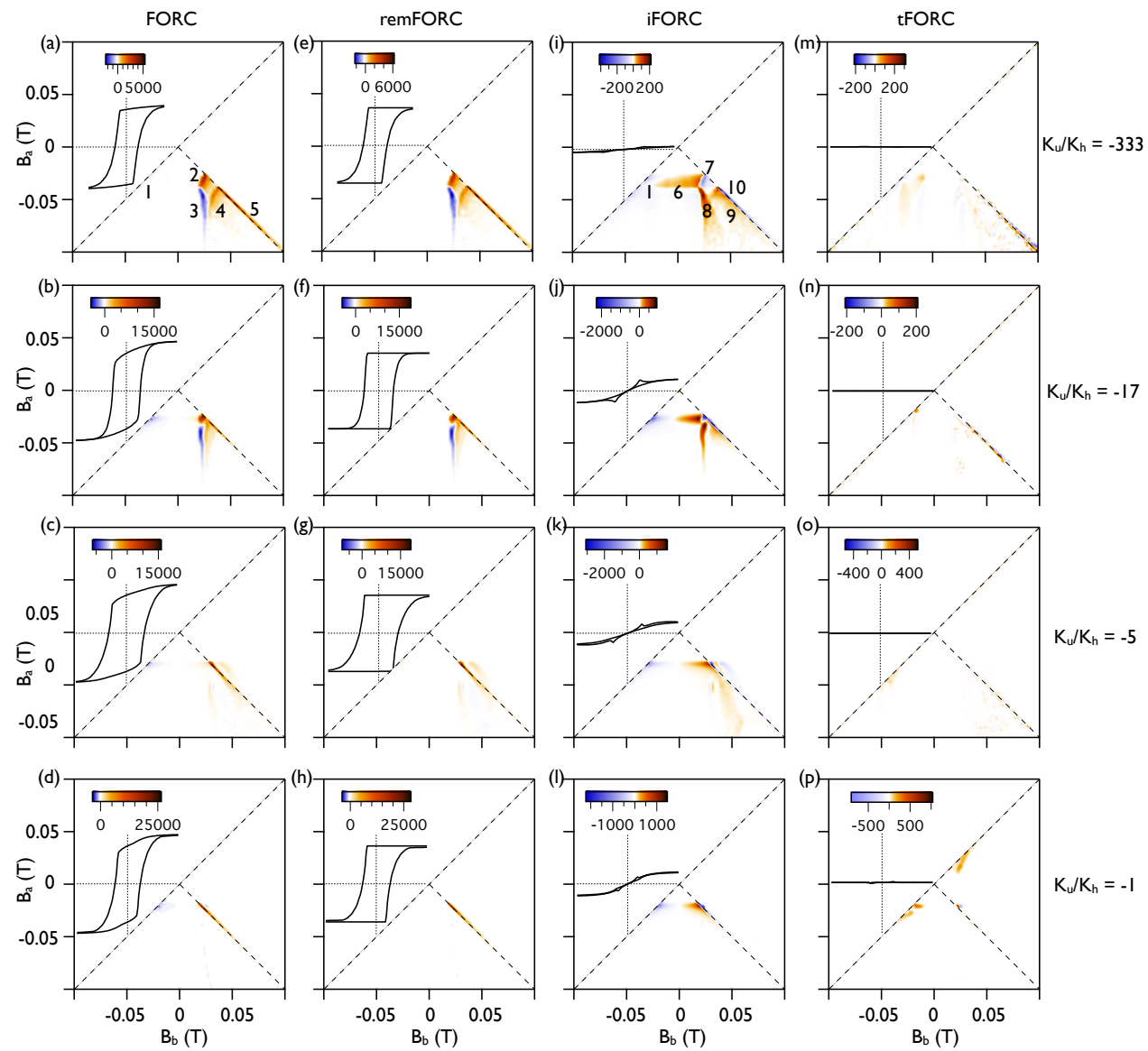


Figure 10.

Uniaxial fcc
74% packing, high anisotropy

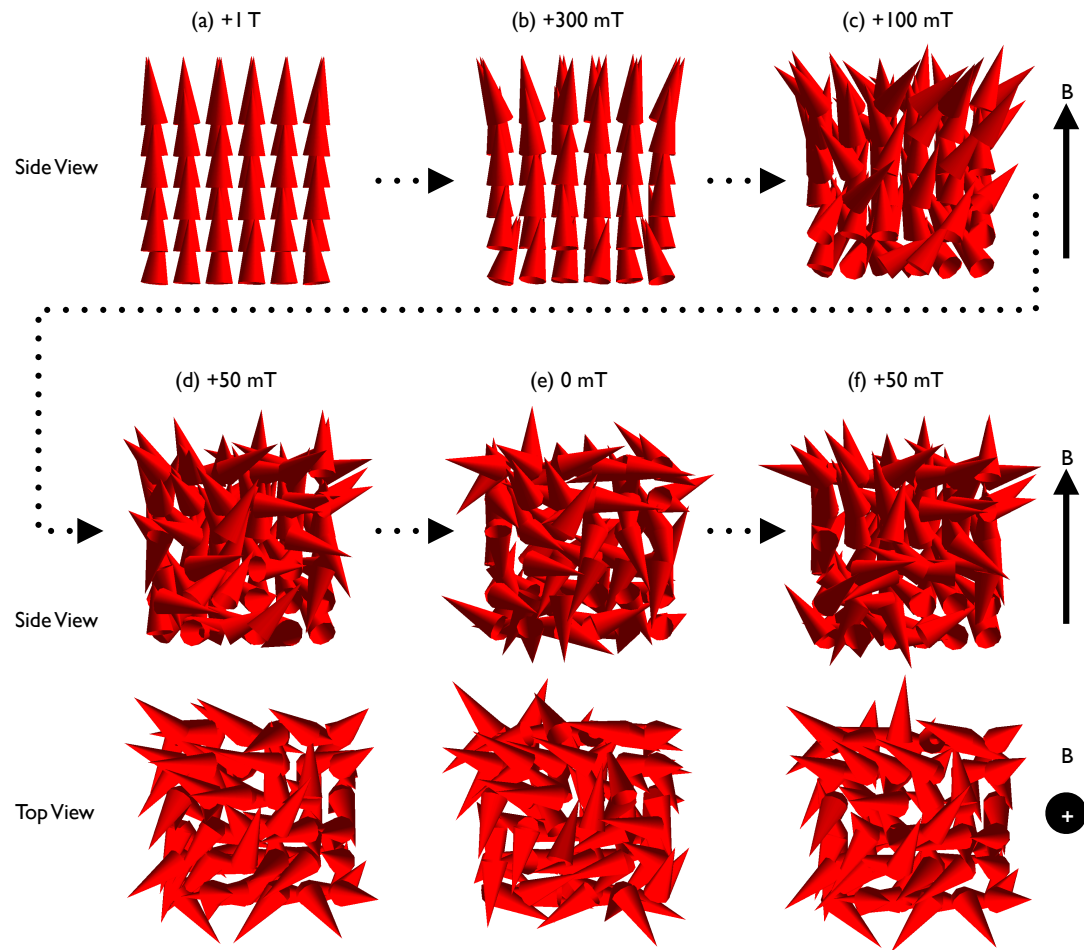


Figure 11.

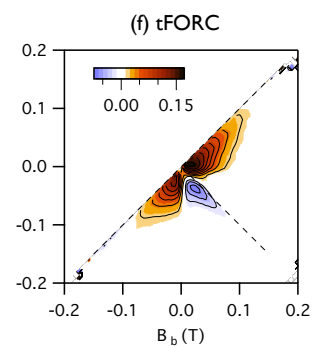
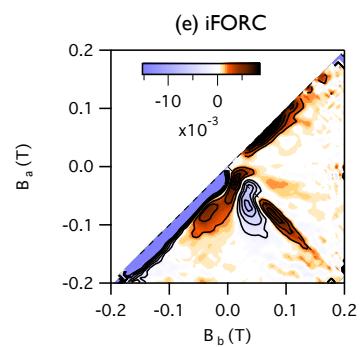
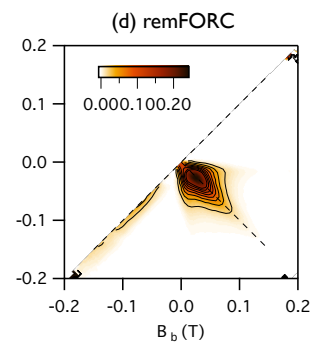
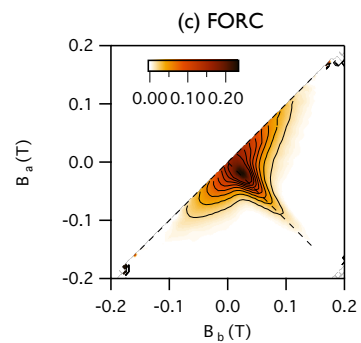
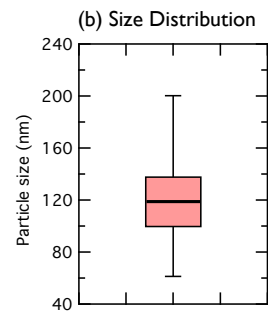
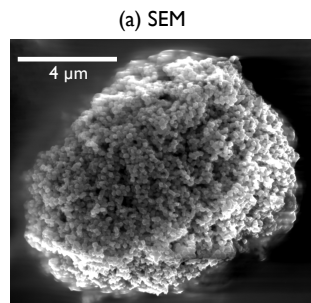


Figure 12.

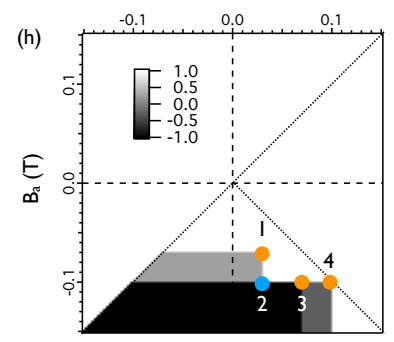
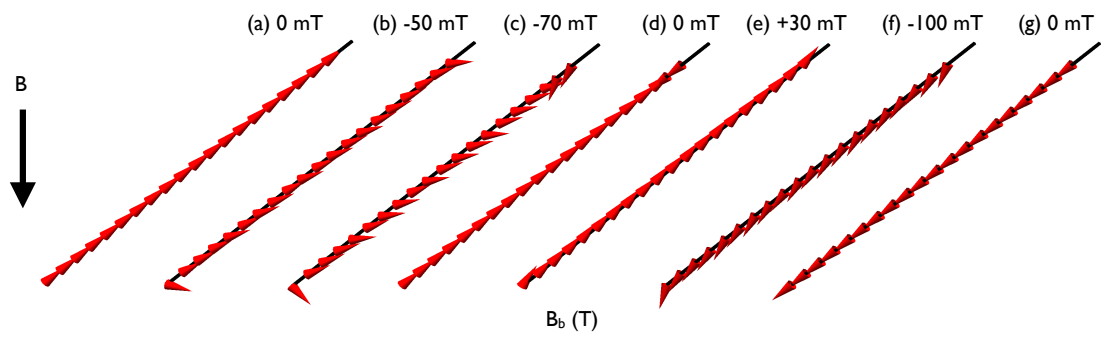


Figure 13.

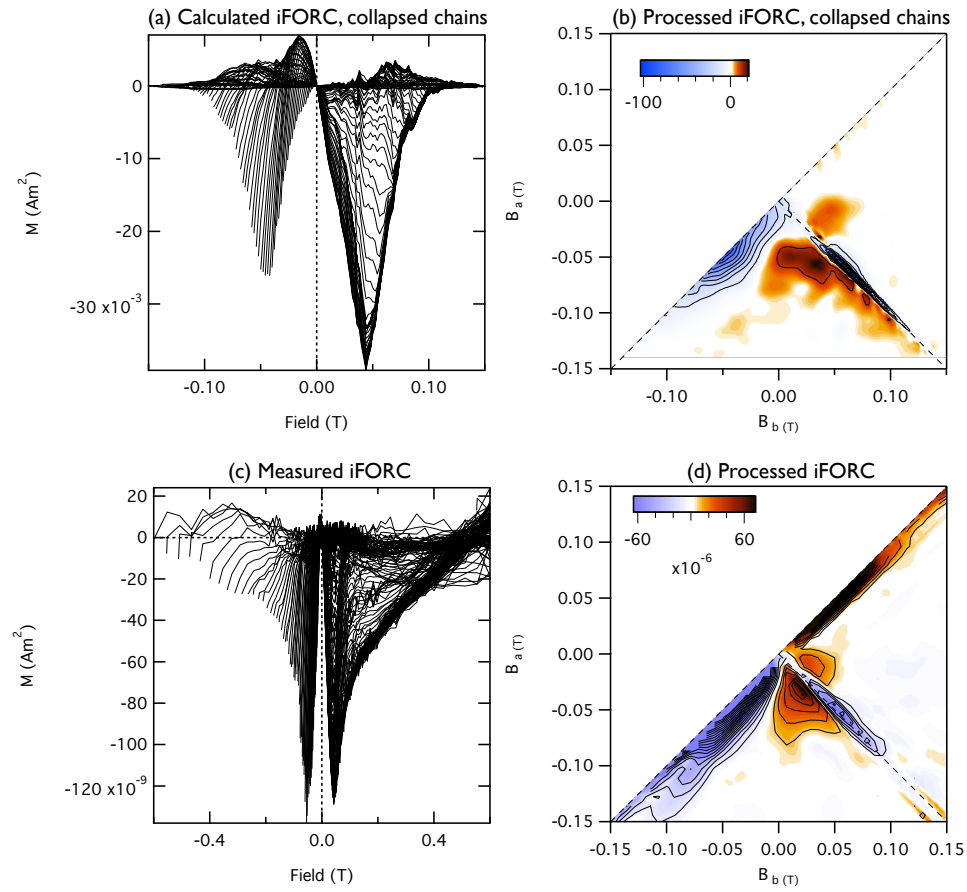


Figure 14.

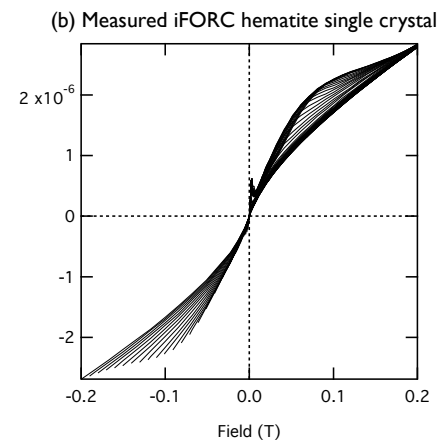
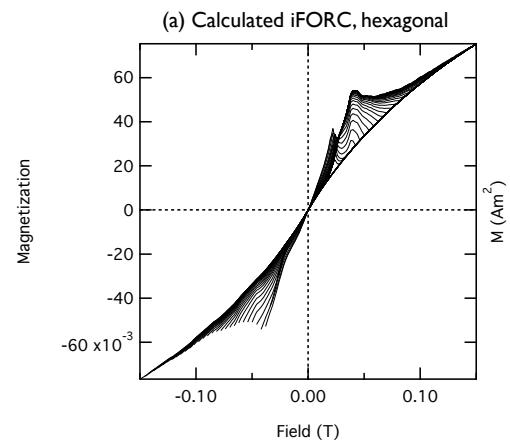


Figure 15.

