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An efficient algorithm for 3D bi-modulus structures

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7 Abstract: The bi-modulus material is a classical model to describe the elastic behavior of materials 8 with tension-compression asymmetry. Due to the inherent nonlinear properties of bi-modular materials, 9 the traditional iteration methods suffer from low convergence efficiency and poor adaptability for large 10 scale structures in engineering. In this paper, a novel 3D complemented algorithm is established 11 through complementing three shear moduli of constitutive equation in principal stress coordinates. 12 Comparing to the existing 3D shear modulus constructed based on the experience, the shear modulus in 13 this paper is derived theoretically through a limit process. Then a theoretically self-consistent 14 complemented algorithm is established and implemented in ABAQUS via UMAT, whose good 15stability and convergence efficiency are verified by benchmark examples. Numerical analysis shows 16 that the calculation error for the bi-modulus structure using the traditional linear elastic theory is large, 17which is not in line with the reality.

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Keywords: Elastic theory; Bi-modulus material; 3D complemented algorithm; Finite element method;
 Generalized elastic law; General 3D shear modulus

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23 **1. Introduction**

A large number of experimental studies [1, 2] show that the tensile modulus and compressive modulus are different, such as polymethyl methacrylate [3], polyester acrylic plastics, concrete etc. [4]. For example, the composite material glass fiber AC-30 (20 °C) has tension and compression modulus of 1390 MPa and 200 MPa, respectively, i.e. the ratio E^+/E^- reaches 7 [5]. Some special phenomena, such as membrane folding and cell sensing, can also be perfectly explained or predicted by the bi-modulus theory [6]. Therefore, it is necessary to study the bi-modulus problems in science and engineering.

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1 In 1941, Timoshenko proposed the concept of bi-modulus material [7]. In 1982, Ambartsumyan 2 published the first monograph about bi-modulus problems and the constitutive theory based on the 3 difference between tensile modulus and compressive modulus [8, 9]. Since then, it attracted many 4 researchers in the world to carry out the investigation on this issue. For example, the constitutive 5 relation was improved from different perspectives [10-13], and the analytic solutions of bi-modulus 6 problem for the simple cases were obtained [4, 14, 15]. Recently, the important progress in the 7 bi-modulus theory is that the traditional variational principle for smooth constitutive relation is 8 extended to the systems with non-smooth constitutive relations, and the characters of the solution on 9 bi-modulus elastic problem are observed, which is helpful for constructing efficient numerical solution 10 algorithms [16].

11 In general, the analytical solutions of 3D bi-modulus elasticity problems are difficult to be 12 obtained, especially the geometry and loading condition are not regular. Therefore, the numerical 13 methods are necessary in analysis of structures. However, due to the jump of the Young's modulus in 14 constitutive equation, most algorithms have low convergence efficiency and poor adaptability [17-20]. 15The parametric variational principle (PVP) algorithm [21] turns bi-modulus problems into 16 complementary problem based on the parameters variational principle in order to avoid the iterative 17 update of stiffness matrix with considerable convergence efficiency. However, for large-scale 18 structures, the convergence efficiency of PVP algorithm is restricted due to the parameter variables 19 dimensionality. In order to overcome such difficulty, the authors have used the continuous model and 20 the meshless method to demonstrated the degree of accuracy and convergence of the proposed 21 technique by comparing with the analytical solutions [22].

22 Recently, Du et al. [6] proved that the potential energy functional of bi-modulus is a strict convex 23 function with uniqueness and semi-linearity of the solutions. They found that the reason for the poor 24 convergence of traditional iterative algorithm is the adoption of secant stiffness matrix. Then the 25 alternative tangential stiffness algorithm (2D and 3D) and 2D complemented stiffness algorithm are 26 established and implemented in ABAQUS with the subroutine UMAT. Numerical results show that 27 those algorithms have the second-order convergence rate like Newton-Raphson algorithm, which is of 28 great significance to promote the application of bi-modulus theory in engineering. Recently, they 29 investigated the topology optimization design for bi-modulus materials with the use of the algorithms 30 [5]. However, the realization of tangent algorithm will be more complex for 3D problems for 31 bi-modulus materials than the complemented algorithm. Unfortunately, they only deduced shear 32 modulus of bi-modulus material in 2D case rather than 3D shear modulus of general case. Therefore, it 33 is of theoretical significance to study the shear modulus in 3D cases. Due to the degree of difficulty, the 34 existing bi-modulus researches focus mainly on the simple structures and simple boundary conditions. 35 It is necessary to develop a strong adaptability, high efficiency and easy to implement 3D numerical 36 algorithm in engineering.

1 In this paper, a new 3D complemented algorithm is established first time through complementing 2 three shear moduli of constitutive equation in principal stress coordinates and the 3D shear modulus is 3 derived theoretically by the limit principle. Therefore, the complemented algorithm established is 4 theoretically self-consistent, which provide an excellent convenient complemented algorithm in 5 engineering. This paper is organized as follows. In section 2, the constitutive equation in Cartesian 6 coordinate is presented. In section 3, a self-consistent shear modulus general term is derived and a 3D 7 complemented algorithm is proposed by using subroutine UMAT in ABAQUS. In section 4, the 8 efficiency of the algorithm are verified by three 3D examples. Comparison analysis between 9 bi-modulus theory and traditional linear elastic theory is demonstrated.

10 2. Generalized elastic law of bi-modulus elasticity theory

11 2.1 Bi-modulus elasticity theory

The object of the bi-modulus is considered as continuous, homogeneous and isotropic with small deformation. Ambartsumyan [4] noticed that the curves of relationship between the stress and strain for the bi-modulus materials can be described by two straight lines. While for three-dimensional case, the bi-modulus constitutive relation divides the principal stress space into eight subregions according to different stress states. The constitutive equation in principal stress directions is written as follows:

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$$\boldsymbol{\varepsilon}_{I} = \boldsymbol{A}_{I}\boldsymbol{\sigma}_{I}, \quad \boldsymbol{\varepsilon}_{I} = \begin{cases} \boldsymbol{\varepsilon}_{\alpha} \\ \boldsymbol{\varepsilon}_{\beta} \\ \boldsymbol{\varepsilon}_{\gamma} \end{cases}, \quad \boldsymbol{\sigma}_{I} = \begin{cases} \boldsymbol{\sigma}_{\alpha} \\ \boldsymbol{\sigma}_{\beta} \\ \boldsymbol{\sigma}_{\gamma} \end{cases}, \quad \boldsymbol{A}_{I} = \begin{bmatrix} \frac{1}{E^{\alpha}} & -\frac{\mu^{\beta}}{E^{\beta}} & -\frac{\mu^{\gamma}}{E^{\gamma}} \\ -\frac{\mu^{\alpha}}{E^{\alpha}} & \frac{1}{E^{\beta}} & -\frac{\mu^{\gamma}}{E^{\gamma}} \\ -\frac{\mu^{\alpha}}{E^{\alpha}} & -\frac{\mu^{\beta}}{E^{\beta}} & \frac{1}{E^{\gamma}} \end{bmatrix}$$
(1)

18 where ε_{α} , ε_{β} , ε_{γ} are principal strains, σ_{α} , σ_{β} , σ_{γ} are principal stresses, A_I is flexibility matrix. 19 The modulus E and Poisson's ratio μ are functions of the principal stresses. For example, if $\sigma_{\alpha} > 0$, 20 the modulus E^{α} and Poisson's ratio μ^{α} are taken as E^{+} and μ^{+} respectively. Conversely, they are 21 taken E^- and μ^- if $\sigma_a < 0$. It can be seen from the above equation that when all three principal 22 stresses are either positive or negative, their constitutive equations are the same as that for classical 23 elastic theory, which is defined as the first type of bi-modulus material. Otherwise, the constitutive 24 equations are different, which is defined as the second type of bi-modulus material. For example, when 25 $\sigma_{\alpha} > 0, \sigma_{\beta} < 0, \sigma_{\gamma} > 0$, the flexibility matrix A_I is:

26
$$A_{I} = \begin{bmatrix} \frac{1}{E^{+}} & -\frac{\mu^{-}}{E^{-}} & -\frac{\mu^{+}}{E^{+}} \\ -\frac{\mu^{+}}{E^{+}} & \frac{1}{E^{-}} & -\frac{\mu^{+}}{E^{+}} \\ -\frac{\mu^{+}}{E^{+}} & -\frac{\mu^{-}}{E^{-}} & \frac{1}{E^{+}} \end{bmatrix}$$
(2)

27 To ensure that A_I is a symmetric matrix, $\mu^+/E^+ = \mu^-/E^-$ is required.

1 2.2 Generalized elasticity law of bi-modulus materials

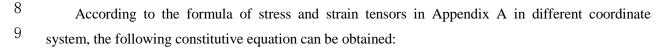
It is necessary to convert the constitutive equation in the principal stress coordinate into global Cartision coordinate. The direction cosines between coordinate axes and principal stress directions are shown in Table 1.

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Table 1 Direction cosine between the principal stress and coordinate axis

	α	β	γ
x	l_1	m_1	n_1
у	l_2	m_2	n_2
z	l_3	m_3	n_3

7



$$\begin{cases} \varepsilon_{x} = \frac{l_{1}^{2}\sigma_{\alpha}}{2G_{\alpha}} + \frac{m_{1}^{2}\sigma_{\beta}}{2G_{\beta}} + \frac{n_{1}^{2}\sigma_{\gamma}}{2G_{\gamma}} + A_{\alpha}\sigma_{\alpha} + A_{\beta}\sigma_{\beta} + A_{\gamma}\sigma_{\gamma} \\ \varepsilon_{y} = \frac{l_{2}^{2}\sigma_{\alpha}}{2G_{\alpha}} + \frac{m_{2}^{2}\sigma_{\beta}}{2G_{\beta}} + \frac{n_{2}^{2}\sigma_{\gamma}}{2G_{\gamma}} + A_{\alpha}\sigma_{\alpha} + A_{\beta}\sigma_{\beta} + A_{\gamma}\sigma_{\gamma} \\ \varepsilon_{z} = \frac{l_{3}^{2}\sigma_{\alpha}}{2G_{\alpha}} + \frac{m_{3}^{2}\sigma_{\beta}}{2G_{\beta}} + \frac{n_{3}^{2}\sigma_{\gamma}}{2G_{\gamma}} + A_{\alpha}\sigma_{\alpha} + A_{\beta}\sigma_{\beta} + A_{\gamma}\sigma_{\gamma} \\ \gamma_{xy} = \frac{l_{1}l_{2}\sigma_{\alpha}}{G_{\alpha}} + \frac{m_{1}m_{2}\sigma_{\beta}}{G_{\beta}} + \frac{n_{1}n_{2}\sigma_{\gamma}}{G_{\gamma}} \\ \gamma_{yz} = \frac{l_{2}l_{3}\sigma_{\alpha}}{G_{\alpha}} + \frac{m_{2}m_{3}\sigma_{\beta}}{G_{\beta}} + \frac{n_{2}n_{3}\sigma_{\gamma}}{G_{\gamma}} \\ \gamma_{xz} = \frac{l_{1}l_{3}\sigma_{\alpha}}{G_{\alpha}} + \frac{m_{1}m_{3}\sigma_{\beta}}{G_{\beta}} + \frac{n_{1}n_{3}\sigma_{\gamma}}{G_{\gamma}} \end{cases}$$
(3)

10

11 where:

12

$$G_{\alpha} = E^{\alpha} / [2(1 + \mu^{\alpha})], A_{\alpha} = -\mu^{\alpha} / E^{\alpha}$$

$$G_{\beta} = E^{\beta} / [2(1 + \mu^{\beta})], A_{\beta} = -\mu^{\beta} / E^{\beta}$$

$$G_{\gamma} = E^{\gamma} / [2(1 + \mu^{\gamma})], A_{\gamma} = -\mu^{\gamma} / E^{\gamma}$$

$$(4)$$

13 If the tensile modulus and compressive modulus are equal, we have

14

$$G_{\alpha} = G_{\beta} = G_{\gamma} = E/[2(1+\mu)]$$

$$A_{\alpha} = A_{\beta} = A_{\gamma} = -\mu/E$$
(5)

¹⁵ and Eq. (3) becomes the classical Hooke's law. If the tensile modulus and compressive modulus are not

16 equal, Eq. (3) is similar to the classical Hooke's law for the first type of region. Only when $\sigma_{\alpha} > 0$, 17 $\sigma_{\beta} > 0$, $\sigma_{\gamma} > 0$, then

$$G_{\alpha} = G_{\beta} = G_{\gamma} = E^{+} / [2(1 + \mu^{+})] = G^{+}$$

$$A_{\alpha} = A_{\beta} = A_{\gamma} = -\mu^{+} / E^{+} = A^{+}$$
(6)

2 and when $\sigma_{\alpha} < 0$, $\sigma_{\beta} < 0$, $\sigma_{\gamma} < 0$, then

3

$$G_{\alpha} = G_{\beta} = G_{\gamma} = E^{-} / [2(1 + \mu^{-})] = G^{-}$$

$$A_{\alpha} = A_{\beta} = A_{\gamma} = -\mu^{-} / E^{-} = A^{-}$$
(7)

4 For the second type of region, i.e. $\sigma_{\alpha} < 0$, $\sigma_{\beta} < 0$, $\sigma_{\gamma} > 0$, the elastic constitutive equation can 5 be arranged as follows:

$$\begin{cases} \varepsilon_{x} = \frac{\sigma_{x}}{2G^{+}} + A^{+}\Theta + (\frac{1}{G^{-}} - \frac{1}{G^{+}})\frac{m_{1}^{2}\sigma_{\beta}}{2} + (A^{-} - A^{+})\sigma_{\beta} \\ \varepsilon_{y} = \frac{\sigma_{y}}{2G^{+}} + A^{+}\Theta + (\frac{1}{G^{-}} - \frac{1}{G^{+}})\frac{m_{2}^{2}\sigma_{\beta}}{2} + (A^{-} - A^{+})\sigma_{\beta} \\ \varepsilon_{z} = \frac{\sigma_{z}}{2G^{+}} + A^{+}\Theta + (\frac{1}{G^{-}} - \frac{1}{G^{+}})\frac{m_{3}^{2}\sigma_{\beta}}{2} + (A^{-} - A^{+})\sigma_{\beta} \\ \gamma_{xy} = \frac{\tau_{xy}}{G^{+}} + (\frac{1}{G^{-}} - \frac{1}{G^{+}})m_{1}m_{2}\sigma_{\beta} \\ \gamma_{yz} = \frac{\tau_{yz}}{G^{+}} + (\frac{1}{G^{-}} - \frac{1}{G^{+}})m_{2}m_{3}\sigma_{\beta} \\ \gamma_{xz} = \frac{\tau_{xz}}{G^{+}} + (\frac{1}{G^{-}} - \frac{1}{G^{+}})m_{1}m_{3}\sigma_{\beta} \end{cases}$$

$$(8)$$

where Θ is the first invariant of stress tensor ($\Theta = \sigma_x + \sigma_y + \sigma_z = \sigma_a + \sigma_\beta + \sigma_\gamma$). It can be seen from Eq. (3) and Eq. (8) that the constitutive equations with bi-modulus in the normal rectangular coordinate system are completely different from the classical constitutive equations. The relationship between the stress and the strain is nonlinear. In addition to the linear terms in classical elastic relations, there are also nonlinear terms as the coefficients of linear terms are no longer constants, which depend on the signs of the principal stress. Based on the above analysis, Eq. (3) can be arranged in Cartesian coordinate system as following.

$$\varepsilon_{x} = \left[\left(\frac{l_{1}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}^{2} + \left(\frac{m_{1}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}^{2} + \left(\frac{n_{1}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}^{2}\right]\sigma_{x} + 2\left[\left(\frac{l_{1}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}l_{2} + \left(\frac{m_{1}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}m_{2} + \left(\frac{n_{1}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}n_{2}\right]\tau_{xy}\right]$$

$$+ \left[\left(\frac{l_{1}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{2}^{2} + \left(\frac{m_{1}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{2}^{2} + \left(\frac{n_{1}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{2}^{2}\right]\sigma_{y} + 2\left[\left(\frac{l_{1}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{2}l_{3} + \left(\frac{m_{1}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{2}m_{3} + \left(\frac{n_{1}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{2}m_{3}\right]\tau_{yz}\right]$$

$$+ \left[\left(\frac{l_{1}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{3}^{2} + \left(\frac{m_{1}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{3}^{2} + \left(\frac{n_{1}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{3}^{2}\right]\sigma_{z} + 2\left[\left(\frac{l_{1}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}l_{3} + \left(\frac{m_{1}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}m_{3} + \left(\frac{n_{1}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}n_{3}\right]\tau_{xz}\right]$$

$$\varepsilon_{y} = \left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}^{2} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}^{2} + \left(\frac{n_{2}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}^{2}\right]\sigma_{x} + 2\left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}l_{2} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}m_{2} + \left(\frac{n_{2}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}n_{3}\right]\tau_{xz}\right]$$

$$\varepsilon_{y} = \left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}^{2} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}^{2} + \left(\frac{n_{2}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}^{2}\right]\sigma_{x} + 2\left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}l_{2} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}m_{2} + \left(\frac{n_{2}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}n_{3}\right]\tau_{xy}\right]\tau_{yz}$$

$$\left(10\right)$$

$$+ \left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{2}^{2} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{3}^{2} + \left(\frac{n_{2}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{3}^{2}\right]\sigma_{z} + 2\left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}l_{3} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}m_{3} + \left(\frac{n_{2}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{1}n_{3}\right]\tau_{xz}\right]\tau_{yz}$$

$$\left(10\right)$$

$$+ \left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{3}^{2} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{3}^{2} + \left(\frac{n_{2}^{2}}{2G_{\gamma}} + A_{\gamma}\right)n_{3}^{2}\right]\sigma_{z} + 2\left[\left(\frac{l_{2}^{2}}{2G_{\alpha}} + A_{\alpha}\right)l_{1}l_{3} + \left(\frac{m_{2}^{2}}{2G_{\beta}} + A_{\beta}\right)m_{1}m_{3} + \left(\frac{m_{2}^{2}$$

$$\begin{split} \varepsilon_{z} &= \left[\left(\frac{l_{s}^{2}}{2G_{a}} + A_{a} \right) l_{z}^{2} + \left(\frac{m_{s}^{2}}{2G_{p}} + A_{p} \right) m_{z}^{2} + \left(\frac{m_{s}^{2}}{2G_{p}} + A_{p} \right) n_{z}^{2} + \left(\frac{m_{s}^{2}}{2G_{p}} + A_{p} \right) m_{z}^{2} + \left(\frac{m_{s$$

$$+ \left[\frac{l_2 l_3}{G_{\alpha}} 2 l_1 l_3 + \frac{m_2 m_3}{G_{\beta}} 2 m_1 m_3 + \frac{n_2 n_3}{G_{\gamma}} 2 n_1 n_3\right] \tau_{xz} + \left[\frac{l_2 l_3}{G_{\alpha}} 2 l_2 l_3 + \frac{m_2 m_3}{G_{\beta}} 2 m_2 m_3 + \frac{n_2 n_3}{G_{\gamma}} 2 n_2 n_3\right] \tau_{yz}$$

5 Seeing from Eq. (9) to Eq. (14), we can observe that all coefficients do not contain principal stress 6 or principal strain, so they characterized the relationships between stress and strain in normal 7 rectangular coordinate system, namely, generalized elastic law. When the tensile modulus is equal, we 8 have

9
$$G_{\alpha} = G_{\beta} = G_{\gamma} = G = E/[2(1+\mu)]$$

$$A_{\alpha} = A_{\beta} = A_{\gamma} = A = -\mu/E$$

$$(15)$$

10 and

$$\varepsilon_{x} = \left(\frac{l_{1}^{2}}{2G} + A\right)\left(l_{1}^{2}\sigma_{x} + l_{2}^{2}\sigma_{y} + l_{3}^{2}\sigma_{z} + 2l_{1}l_{2}\tau_{xy} + 2l_{1}l_{3}\tau_{xz} + 2l_{2}l_{3}\tau_{yz}\right) + \left(\frac{m_{1}^{2}}{2G} + A\right)\left(m_{1}^{2}\sigma_{x} + m_{2}^{2}\sigma_{y} + m_{3}^{2}\sigma_{z} + 2m_{1}m_{3}\tau_{xz} + 2m_{2}m_{3}\tau_{yz}\right) + \left(\frac{n_{1}^{2}}{2G} + A\right)\left(n_{1}^{2}\sigma_{x} + n_{2}^{2}\sigma_{y} + n_{3}^{2}\sigma_{z} + 2n_{1}n_{2}\tau_{xy} + 2n_{1}n_{3}\tau_{xz} + 2n_{2}n_{3}\tau_{yz}\right) \\ = \left(\frac{l_{1}^{2}}{2G} + A\right)\sigma_{\alpha} + \left(\frac{m_{1}^{2}}{2G} + A\right)\sigma_{\beta} + \left(\frac{n_{1}^{2}}{2G} + A\right)\sigma_{\gamma} = \frac{1}{2G}\left(l_{1}^{2}\sigma_{\alpha} + m_{1}^{2}\sigma_{\beta} + n_{1}^{2}\sigma_{\gamma}\right) + A(\sigma_{\alpha} + \sigma_{\beta} + \sigma_{\gamma}) \\ = \frac{\sigma_{x}}{2G} + A(\sigma_{x} + \sigma_{y} + \sigma_{z}) = \frac{\sigma_{x}}{E} - \frac{\mu\sigma_{y}}{E} - \frac{\mu\sigma_{z}}{E}$$
(16)

11

which is Hook's law for classical elasticity. The other five elasticity equations can be obtained in thesame way.

1 2.3 Discussion on mechanical properties of bi-modulus problem

2 The stress state in the structure with bi-modulus materials can be classified into three groups: 1) 3 The three principal stresses of the point are all positive or negative. The constitutive equation is same 4 as the isotropic constitutive equation. 2) Three principal stress signs are not the same, but the principal 5 stress direction is exactly the same as the coordinate axis direction. The constitutive equation of this 6 point can be simplified into the original constitutive equation defined in Eq. (1), which is similar to the 7 constitutive equation of orthogonal anisotropy. 3) Three principal stress signs are not exactly the same, 8 and the principal stress direction does not coincide with the coordinate axis direction also. In the 9 complex stress state, such areas generally account for the vast majority, and the constitutive equations 10 are shown form Eq. $(9) \sim$ Eq. (14). The magnitude and direction of the principal stress in such region 11 are generally different. It can be seen that the generalized constitutive equations are the same in the 12 form, but the corresponding coefficients are not equal. In the constitutive equations, the normal strains 13 are not only related to the normal stresses, but also related to the shear stresses. In the same way, the 14 shear strains depend not only on shear stresses, but also on the three normal stresses. Therefore, the 15 generalized elastic constitutive equations in this region are similar to the constitutive equations of 16 anisotropy.

17 It is clear that the constitutive relations for bi-modulus materials are of the linear elastic form. 18 However, the constitutive equations of the bi-modulus elastic system depend on the directions of the 19 principal stresses. Therefore, the mechanical behavior of structures composed of bi-modulus materials 20 is function of the stress state in the field, which results the non-linearity and anisotropy.

21

3. General shear modulus and complemented algorithm in 3D case

22 As Ambartsymyan pointed out that the difference between bi-modulus theory and the classical 23 linear elasticity theory lies in the constitutive relations. Therefore, the computational strategies of the 24 finite element method with the bi-modulus materials are the same as that for the classical elastic 25 materials except the elastic matrix **D**, in another word, only the elastic matrix **D** and the stiffness matrix 26 *K* needs to be modified.

27 3.1 Elastic matrix of bi-modulus theory

28 The transform equation on principal stress and principal strain with normal stress and normal 29 strain are given:

$$\boldsymbol{\sigma}_{I} = \boldsymbol{L}_{\sigma}\boldsymbol{\sigma} , \quad \boldsymbol{\varepsilon}_{I} = \boldsymbol{L}_{\varepsilon}\boldsymbol{\varepsilon} \tag{17}$$

31
$$\boldsymbol{L}_{\sigma} = \begin{bmatrix} l_{1}^{2} & m_{1}^{2} & n_{1}^{2} & 2m_{1}n_{1} & 2n_{1}l_{1} & 2l_{1}m_{1} \\ l_{2}^{2} & m_{2}^{2} & n_{2}^{2} & 2m_{2}n_{2} & 2n_{2}l_{2} & 2l_{2}m_{2} \\ l_{3}^{2} & m_{3}^{2} & n_{3}^{2} & 2m_{3}n_{3} & 2n_{3}l_{3} & 2l_{3}m_{3} \end{bmatrix}}, \quad \boldsymbol{L}_{\varepsilon} = \begin{bmatrix} l_{1}^{2} & m_{1}^{2} & n_{1}^{2} & m_{1}n_{1} & n_{1}l_{1} & l_{1}m_{1} \\ l_{2}^{2} & m_{2}^{2} & n_{2}^{2} & m_{2}n_{2} & n_{2}l_{2} & l_{2}m_{2} \\ l_{3}^{2} & m_{3}^{2} & n_{3}^{2} & m_{3}n_{3} & n_{3}l_{3} & l_{3}m_{3} \end{bmatrix}}.$$
(18)

32 The strain energy per unit volume is expressed by principal strains as:

1
$$U = \frac{1}{2} \boldsymbol{\varepsilon}_{I}^{T} \boldsymbol{D}_{I} \boldsymbol{\varepsilon}_{I}$$
(19)

where D_I is the elastic matrix in principal directions ($D_I = A_I^{-1}$, A_I is given in Eq. (1)). Substituting Eq. (17) into Eq. (19) gives:

4

$$U = \frac{1}{2} \boldsymbol{\varepsilon}^T \boldsymbol{L}_{\varepsilon}^{\ T} \boldsymbol{D}_I \boldsymbol{L}_{\varepsilon} \boldsymbol{\varepsilon}$$
(20)

5 The strain energy per unit volume is expressed in terms of normal strain in Cartesian coordinate system 6 as:

 $U = \frac{1}{2} \boldsymbol{\varepsilon}^{\mathrm{T}} \boldsymbol{D} \boldsymbol{\varepsilon}$

7

9

12

8 Since the energy is independent of the selection of coordinate system, we have:

$$\boldsymbol{D} = \boldsymbol{L}_c^T \boldsymbol{D}_t \boldsymbol{L}_c \tag{22}$$

(21)

(23)

10 where *D* is the elastic matrix of bi-modulus materials in Cartesian coordinate system. Therefore, the 11 finite element stiffness matrix of bi-modulus theory can be obtained by:

$$\boldsymbol{K} = \int_{\boldsymbol{U}} \boldsymbol{B}^T \boldsymbol{L}_{\boldsymbol{\varepsilon}}^T \boldsymbol{D}_{\boldsymbol{I}} \boldsymbol{L}_{\boldsymbol{\varepsilon}} \boldsymbol{B} dV$$

13 3.2 Shear modulus and complement elastic matrix in 3D

14 The constitutive equation in principal stress coordinate system adopted in the traditional iterative 15 algorithm is given as follows:

16
$$\begin{cases} \sigma_{\alpha} \\ \sigma_{\beta} \\ \sigma_{\gamma} \end{cases} = \boldsymbol{D}_{I} \begin{cases} \varepsilon_{\alpha} \\ \varepsilon_{\beta} \\ \varepsilon_{\gamma} \end{cases}, \quad \boldsymbol{D}_{I} = \begin{bmatrix} d_{11} & d_{12} & d_{13} \\ d_{21} & d_{22} & d_{23} \\ d_{31} & d_{32} & d_{33} \end{bmatrix} = \boldsymbol{A}_{I}^{-1}$$
(24)

17 In fact, for 3D problems, the elastic matrix should be a 6×6 order matrix as:

18
$$\boldsymbol{D} = \begin{bmatrix} d_{11} & d_{12} & d_{13} & d_{14} & d_{15} & d_{16} \\ d_{21} & d_{22} & d_{23} & d_{24} & d_{25} & d_{26} \\ d_{31} & d_{32} & d_{33} & d_{34} & d_{35} & d_{36} \\ d_{41} & d_{42} & d_{43} & d_{44} & d_{45} & d_{46} \\ d_{51} & d_{52} & d_{53} & d_{54} & d_{55} & d_{56} \\ d_{61} & d_{62} & d_{63} & d_{64} & d_{65} & d_{66} \end{bmatrix}$$
(25)

19 Since the traditional constitutive matrix does not give values of the other terms coefficients, them will

20 be defaulted to zero and the elastic matrix in the principal stress directions is expressed:

1 According to Eq. (25) and Eq. (26), it is obvious that even if the shear stress and shear strain in 2 principal stress direction are assumed to be zero, it does not mean that the corresponding elastic 3 coefficient terms are zeros, at least in terms of d_{44} , d_{55} and d_{66} , *i.e.* the so-called shear modulus is not 4 zero. He et al, Zhang, et al. [19, 20] proposed the empirical shear modulus in order to improve the 5 stability and convergence of the algorithm. However, the convergence efficiency is still unsatisfied. 6 The reason is that the completed shear modulus does not satisfy the self-consistency.

Based on certain assumptions, the self-consistent shear modulus terms in 3D case are deduced by the limit principle of stress and strain, and a self-consistent 3D complemented algorithm is proposed in this paper. The proposed algorithm has efficient convergence efficiency for general cases, and is easy to be implemented with commercial finite element software.

11 It is assumed that the elastic matrix in principal stress direction for bi-modulus problems is in the 12 same form of orthogonal anisotropy, and the principal stress axis is coincident with the principal strain 13 axis. Then the elastic matrix and flexibility matrix based on the principal stress direction gives:

14
$$\boldsymbol{D} = \begin{bmatrix} d_{11} & d_{12} & d_{13} & 0 & 0 & 0 \\ d_{21} & d_{22} & d_{23} & 0 & 0 & 0 \\ d_{31} & d_{32} & d_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & d_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & d_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & d_{66} \end{bmatrix}, \quad \boldsymbol{A} = \begin{bmatrix} a_{11} & a_{12} & a_{13} & 0 & 0 & 0 \\ a_{21} & a_{22} & a_{23} & 0 & 0 & 0 \\ a_{31} & a_{32} & a_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & a_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & a_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & a_{66} \end{bmatrix}$$
(27)

It can be seen from elastic mechanics and the matrix principle, $D=A^{-1}$, $d_{44}=1/a_{44}=G_{\alpha\beta}$, $d_{55}=1/a_{55}=G_{\beta\gamma}$, $d_{66}=1/a_{66}=G_{\alpha\gamma}$. $G_{\alpha\beta}$, $G_{\beta\gamma}$ and $G_{\alpha\gamma}$ are the shear moduli in the principal stress directions. However, since it is assumed that the principal strain axis is coincident with the principal stress axis, both shear stress and shear strain are zero.

19 Assuming that the axes x, y, and z tend to be the axis α , β and γ respectively, then we have:

$$20 \qquad \begin{cases} G_{\alpha\beta} = \lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} G_{xy} = \lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} \frac{\tau_{xy}}{\gamma_{xy}} \\ G_{\alpha\gamma} = \lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} G_{xz} = \lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} \frac{\tau_{xz}}{\gamma_{xz}} \\ G_{\beta\gamma} = \lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} G_{yz} = \lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} \frac{\tau_{yz}}{\gamma_{yz}} \end{cases}$$
(28)

21 According to the rotating formula of stress and strain, we hold:

ſ

22
$$\begin{cases} \tau_{xy} = l_1 l_2 \sigma_{\alpha} + m_1 m_2 \sigma_{\beta} + n_1 n_2 \sigma_{\gamma} \\ \gamma_{xy} = 2(l_1 l_2 \varepsilon_{\alpha} + m_1 m_2 \varepsilon_{\beta} + n_1 n_2 \varepsilon_{\gamma}) \end{cases}$$
(29)

When the coordinate axis changes from an infinitesimal angle from principal stress direction, the corresponding direction cosines have an infinitesimal change, and the new direction cosines yield l_1 , m_2 , $n_3 \rightarrow 1$; l_2 , l_3 , m_1 , m_3 , n_1 , $n_2 \rightarrow 0$. 1 When $\sigma_{\alpha} = \sigma_{\beta} \neq \sigma_{\gamma}$, it can be obtained from cosine equations $l_1 l_2 + m_1 m_2 + n_1 n_2 = 0$, thus 2 $n_1n_2 = -(l_1l_2 + m_1m_2)$. Eq.(28) gives:

$$G_{\alpha\beta} = \lim_{\substack{l_1, m_2, n_3 \to 1\\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} \frac{l_1 l_2 \sigma_{\alpha} + m_1 m_2 \sigma_{\beta} + n_1 n_2 \sigma_{\gamma}}{2(l_1 l_2 \varepsilon_{\alpha} + m_1 m_2 \varepsilon_{\beta} + n_1 n_2 \varepsilon_{\gamma})}$$

$$= \lim_{n_1, n_2 \to 0} \frac{-n_1 n_2 \sigma_{\alpha} + n_1 n_2 \sigma_{\gamma}}{2(-n_1 n_2 \varepsilon_{\alpha} + n_1 n_2 \varepsilon_{\gamma})}$$

$$= \frac{\sigma_{\alpha} - \sigma_{\gamma}}{2(\varepsilon_{\alpha} - \varepsilon_{\gamma})} = G_{\alpha\gamma} = G_{\beta\gamma}$$
(30)

4 Similarly, if $\sigma_{\alpha} \neq \sigma_{\beta} = \sigma_{\gamma}$, then $\varepsilon_{\alpha} = \varepsilon_{\beta} \neq \varepsilon_{\gamma}$, one has:

$$G_{\alpha\beta} = G_{\alpha\gamma} = G_{\beta\gamma} = \frac{\sigma_{\alpha} - \sigma_{\beta}}{2(\varepsilon_{\alpha} - \varepsilon_{\beta})}$$
(31)

6 if $\sigma_{\alpha} = \sigma_{\gamma} \neq \sigma_{\beta}$, then $\varepsilon_{\alpha} = \varepsilon_{\gamma} \neq \varepsilon_{\beta}$, one has:

$$G_{\alpha\beta} = G_{\alpha\gamma} = G_{\beta\gamma} = \frac{\sigma_{\beta} - \sigma_{\gamma}}{2(\varepsilon_{\beta} - \varepsilon_{\gamma})}$$
(32)

8 if $\sigma_{\alpha} \neq \sigma_{\beta} \neq \sigma_{\gamma}$, then $\varepsilon_{\alpha} \neq \varepsilon_{\gamma} \neq \varepsilon_{\beta}$, we have:

9
$$G_{\alpha\beta} = \lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} \frac{(l_2 / m_1)(\sigma_\alpha - \sigma_\gamma) + (\sigma_\beta - \sigma_\gamma)}{2[(l_2 / m_1)(\varepsilon_\alpha - \varepsilon_\gamma) + (\varepsilon_\beta - \varepsilon_\gamma)]}$$
(33)

10
$$G_{\alpha\gamma} = \lim_{\substack{l_1, m_2, n_3 \to 1\\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} \frac{(l_3 / n_1)(\sigma_\alpha - \sigma_\beta) + (\sigma_\gamma - \sigma_\beta)}{2[(l_3 / n_1)(\varepsilon_\alpha - \varepsilon_\beta) + (\varepsilon_\gamma - \varepsilon_\beta)]}$$
(34)

11
$$G_{\beta\gamma} = \lim_{\substack{l_1, m_2, n_3 \to 1\\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} \frac{(m_3 / n_2)(\sigma_\beta - \sigma_\alpha) + (\sigma_\gamma - \sigma_\alpha)}{2[(m_3 / n_2)(\varepsilon_\beta - \varepsilon_\alpha) + (\varepsilon_\gamma - \varepsilon_\alpha)]}$$
(35)

12 The ratios of l_2/m_1 , m_3/n_2 and n_1/l_3 are proved to -1 in Appendix B. Then, substituting these 13 values into Eq. (33) ~ Eq. (35) yields:

14
$$G_{\alpha\beta} = \frac{\sigma_{\alpha} - \sigma_{\beta}}{2(\varepsilon_{\alpha} - \varepsilon_{\beta})}$$
(36)

15
$$G_{\alpha\gamma} = \frac{\sigma_{\alpha} - \sigma_{\gamma}}{2(\varepsilon_{\alpha} - \varepsilon_{\gamma})}$$
(37)

16

$$G_{\beta\gamma} = \frac{\sigma_{\beta} - \sigma_{\gamma}}{2(\varepsilon_{\beta} - \varepsilon_{\gamma})}$$
(38)
17
There are eight cases of shear modulus in principal stress coordinate as follows in Table 2:

There are eight cases of shear modulus in principal stress coordinate as follows in Table 2:

18

5

7

 Table 2
 Shear moduli in eight type of principal stress state

1	$\sigma_{\alpha} \geq 0, \sigma_{\beta} \geq 0, \sigma_{\gamma} \geq 0$		$G_{\alpha\beta} = G_{\alpha\gamma} = G_{\beta\gamma} = \frac{E^+}{2(1+\mu^+)} = G^+$
2	$\sigma_{\alpha} < 0, \sigma_{\beta} < 0, \sigma_{\gamma} < 0$		$G_{\alpha\beta} = G_{\alpha\gamma} = G_{\beta\gamma} = \frac{E^-}{2(1+\mu^-)} = G^-$
3	$\sigma_{\alpha} < 0$	$\sigma_{\alpha} \neq \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = \frac{\sigma_{\alpha} - \sigma_{\beta}}{\sigma_{\alpha} / G^{-} - \sigma_{\beta} / G^{+}}, G_{\alpha\gamma} = \frac{\sigma_{\alpha} - \sigma_{\gamma}}{\sigma_{\alpha} / G^{-} - \sigma_{\gamma} / G^{+}}, G_{\beta\gamma} = G^{+}$

	$\sigma_{\beta} \ge 0$ $\sigma_{\gamma} \ge 0$	$\sigma_{\alpha} \neq \sigma_{\beta} = \sigma_{\gamma}$	$G_{lphaeta} = G_{lpha\gamma} = G_{eta\gamma} = rac{\sigma_lpha - \sigma_eta}{\sigma_lpha / G^ \sigma_eta / G^+}$
4	$\sigma_{\alpha} \ge 0$ $\sigma_{\beta} < 0$	$\sigma_{\alpha} \neq \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = \frac{\sigma_{\alpha} - \sigma_{\beta}}{\sigma_{\alpha} / G^{+} - \sigma_{\beta} / G^{-}}, G_{\alpha\gamma} = \frac{\sigma_{\alpha} - \sigma_{\gamma}}{\sigma_{\alpha} / G^{+} - \sigma_{\gamma} / G^{-}}, G_{\beta\gamma} = G^{-}$
4	$\sigma_{\gamma} < 0$	$\sigma_{\alpha} \neq \sigma_{\beta} = \sigma_{\gamma}$	$G_{lphaeta} = G_{lpha\gamma} = G_{eta\gamma} = rac{\sigma_lpha - \sigma_eta}{\sigma_lpha / G^+ - \sigma_eta / G^-}$
5	$\sigma_{\alpha} \ge 0$ $\sigma_{\beta} < 0$	$\sigma_{\alpha} \neq \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = \frac{\sigma_{\alpha} - \sigma_{\beta}}{\sigma_{\alpha} / G^{+} - \sigma_{\beta} / G^{-}}, G_{\alpha\gamma} = G^{+}, G_{\beta\gamma} = \frac{\sigma_{\beta} - \sigma_{\gamma}}{\sigma_{\beta} / G^{-} - \sigma_{\gamma} / G^{+}}$
	$\sigma_{\gamma} \ge 0$	$\sigma_{\alpha} = \sigma_{\gamma} \neq \sigma_{\beta}$	$G_{lphaeta} = G_{lpha\gamma} = G_{eta\gamma} = rac{\sigma_{lpha} - \sigma_{eta}}{\sigma_{lpha} / G^+ - \sigma_{eta} / G^-}$
6	$\sigma_{\alpha} < 0$ $\sigma_{\beta} \ge 0$	$\sigma_{\alpha} \neq \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = \frac{\sigma_{\alpha} - \sigma_{\beta}}{\sigma_{\alpha} / G^{-} - \sigma_{\beta} / G^{+}}, G_{\alpha\gamma} = G^{-}, G_{\beta\gamma} = \frac{\sigma_{\beta} - \sigma_{\gamma}}{\sigma_{\beta} / G^{+} - \sigma_{\gamma} / G^{-}}$
	$\sigma_{\gamma} < 0$	$\sigma_{\alpha} \neq \sigma_{\beta} = \sigma_{\gamma}$	$G_{\alpha\beta} = G_{\alpha\gamma} = G_{\beta\gamma} = \frac{\sigma_{\alpha} - \sigma_{\beta}}{\sigma_{\alpha} / G^{-} - \sigma_{\beta} / G^{+}}$
7	$\sigma_{\alpha} \ge 0$ $\sigma_{\beta} \ge 0$	$\sigma_{\alpha} \neq \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = G^{+}, G_{\alpha\gamma} = \frac{\sigma_{\alpha} - \sigma_{\gamma}}{\sigma_{\alpha}/G^{+} - \sigma_{\gamma}/G^{-}}, G_{\beta\gamma} = \frac{\sigma_{\beta} - \sigma_{\gamma}}{\sigma_{\beta}/G^{+} - \sigma_{\gamma}/G^{-}}$
/	$\sigma_{\gamma} < 0$	$\sigma_{\alpha} = \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = G_{\alpha\gamma} = G_{\beta\gamma} = \frac{\sigma_{\alpha} - \sigma_{\gamma}}{\sigma_{\alpha} / G^{+} - \sigma_{\gamma} / G^{-}}$
8	$\sigma_{lpha} < 0$ $\sigma_{eta} < 0$	$\sigma_{\alpha} \neq \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = G^{-}, G_{\alpha\gamma} = \frac{\sigma_{\alpha} - \sigma_{\gamma}}{\sigma_{\alpha}/G^{-} - \sigma_{\gamma}/G^{+}}, G_{\beta\gamma} = \frac{\sigma_{\beta} - \sigma_{\gamma}}{\sigma_{\beta}/G^{-} - \sigma_{\gamma}/G^{+}}$
0	$\sigma_{\beta} < 0$ $\sigma_{\gamma} \ge 0$	$\sigma_{\alpha} = \sigma_{\beta} \neq \sigma_{\gamma}$	$G_{\alpha\beta} = G_{\alpha\gamma} = G_{\beta\gamma} = \frac{\sigma_{\alpha} - \sigma_{\gamma}}{\sigma_{\alpha} / G^{-} - \sigma_{\gamma} / G^{+}}$

When $\sigma_{\alpha} = \sigma_{\gamma} = \sigma_{\beta}$, it is hydraulic stress state. It is discussed in section 2.1 and the shear moduli are proved to be G^+ and G^- respectively.

4 3.3 Complemented algorithm with FEM

5 3.3.1 Finite element calculation process

6 Because the bi-modulus problem is a nonlinear problem as all elastic parameters in the field are 7 functions of the stress state, the iterative technique is employed in this paper. By using the results from 8 the previous calculation, the principal stress state is specified to determine the elastic matrix for the 9 next step of iteration. The iteration format is as follows:

10

$\boldsymbol{K}_{i-1}\boldsymbol{u}_i = \boldsymbol{F}_i \tag{39}$

11 where \mathbf{K}_{i-1} is the global stiffness matrix in the *i*-1 iteration step, \mathbf{u}_i is current displacement matrix and 12 \mathbf{F}_i is the vector of the force term.

13

The calculation of iteration can be described as follows:

14 **Step 1**. Set the mechanical property of structure as one modulus, i.e. the initial elastic parameters 15 of the structure are specified as in either state of full tension or full compression (the initial elastic 16 matrix is D^+ or D^-), then calculate the stresses and strains in each element. 1 Step 2. Determine the principal stress and their directions of each Gaussian integral point. Based 2 on the principal stresses in each integral point, determine the compliance matrix A in the principal 3 stress direction. Then, obtain the corresponding elastic matrix D of bi-modulus theory by Eq. (22) and 4 table x, and the stiffness matrix K by Eq. (23).

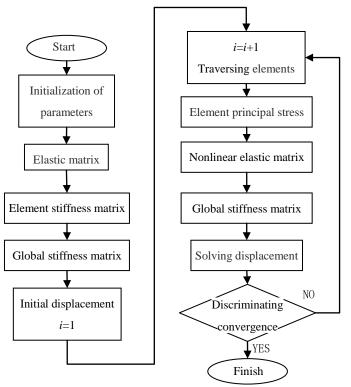
5 Step 3. The stresses and strains of each element are calculated according to the new stiffness
 6 matrix.

Step 4. Calculating the displacement difference of each node or the stress difference of the unit integral point at the i+1 and i iteration. If the convergence is satisfied, the calculation is completed, Otherwise, let i = i + 1, and go to step 2 for the next iteration.

10

The calculation procedure is described in the flow chart as following:

11





16

18

Fig.1. The flow chart of calculation for bi-modulus problem

14 The convergence criterion can be defined as:

15 (1) The difference between displacement at *i* time and i+1 time of each node, namely:

$$\left\|\boldsymbol{u}_{i}-\boldsymbol{u}_{i-1}\right\| \leq \lambda_{1} \text{ or } \frac{\left\|\boldsymbol{u}_{i}-\boldsymbol{u}_{i-1}\right\|}{\boldsymbol{u}_{i-1}} \leq \lambda_{2}$$

$$\tag{40}$$

17 (2) The difference between stress at i time and i+1 time of each node, namely:

$$\left\|\boldsymbol{\sigma}_{i} - \boldsymbol{\sigma}_{i-1}\right\| \leq \lambda_{3} \operatorname{or} \frac{\left\|\boldsymbol{\sigma}_{i} - \boldsymbol{\sigma}_{i-1}\right\|}{\boldsymbol{\sigma}_{i-1}} \leq \lambda_{4}$$

$$(41)$$

19 The study [20, 23] shows that the above two controls have very small difference.

20 3.3.2 Implementation on complemented algorithm by ABAQUS

Based on the tangent algorithm proposed by Du and Zhang first [6, 23], we developed the 3D complemented algorithm with subroutine UMAT in ABAQUS. Since ABAQUS adopts the displacement method, namely taking the displacement as the unknown variable, the strain is transmitted to UMAT. Therefore, ABAQUS should judge the stress combination according to the following principles or formulas:

According to Eq. (1), we have

6

$$7 \qquad \begin{cases} \sigma_{\alpha} = \frac{1}{\Delta} \Big[E_{\alpha} (1 - \mu_{\beta} \mu_{\gamma}) \varepsilon_{\alpha} + E_{\alpha} \mu_{\beta} (1 + \mu_{\gamma}) \varepsilon_{\beta} + E_{\alpha} \mu_{\gamma} (1 + \mu_{\beta}) \varepsilon_{\gamma} \Big] \\ \sigma_{\beta} = \frac{1}{\Delta} \Big[E_{\beta} \mu_{\alpha} (1 + \mu_{\gamma}) \varepsilon_{\alpha} + E_{\beta} (1 - \mu_{\alpha} \mu_{\gamma}) \varepsilon_{\beta} + E_{\beta} \mu_{\gamma} (1 + \mu_{\alpha}) \varepsilon_{\gamma} \Big] \\ \sigma_{\gamma} = \frac{1}{\Delta} \Big[E_{\gamma} \mu_{\alpha} (1 + \mu_{\beta}) \varepsilon_{\alpha} + E_{\gamma} \mu_{\beta} (1 + \mu_{\alpha}) \varepsilon_{\beta} + E_{\gamma} (1 - \mu_{\alpha} \mu_{\beta}) \varepsilon_{\gamma} \Big] \end{cases}$$
(42)

8 where $\Delta = 1 - 2\mu_{\alpha}\mu_{\beta}\mu_{\gamma} - (\mu_{\alpha}\mu_{\beta} + \mu_{\beta}\mu_{\gamma} + \mu_{\gamma}\mu_{\alpha})$. According to the requirements in the subroutine 9 UMAT of ABAQUS, it only needs to determine stress states whether the following inequalities are 10 satisfied.

11 (1) When $\sigma_{\alpha} \ge 0$, $\sigma_{\beta} \ge 0$, $\sigma_{\gamma} \ge 0$,

12 Let
$$\Delta_{l} = \frac{1}{(1+\mu^{+})(1-2\mu^{+})}$$
, then we hold:
13
$$\begin{cases} \sigma_{\alpha} = \Delta \left[E^{+}(1-\mu^{+})\varepsilon_{\alpha} + E^{+}\mu^{+}\varepsilon_{\beta} + E^{+}\mu^{+}\varepsilon_{\gamma} \right] \\ \sigma_{\beta} = \Delta \left[E^{+}\mu^{+}\varepsilon_{\alpha} + E^{+}(1-\mu^{+})\varepsilon_{\beta} + E^{+}\mu^{+}\varepsilon_{\gamma} \right] \\ \sigma_{\gamma} = \Delta \left[E^{+}\mu^{+}\varepsilon_{\alpha} + E^{+}\mu^{+}\varepsilon_{\beta} + E^{+}(1-\mu^{+})\varepsilon_{\gamma} \right] \end{cases}$$
(43)

14 where $\Delta_1 = \frac{1}{(1+\mu^+)(1-2\mu^+)}$. Because the Poisson's ratio is less than 0.5, then $\Delta_1 > 0$, Therefore, it only

15 needs to judge whether the following inequality is satisfied:

16

$$\begin{cases}
(1-\mu^{+})\varepsilon_{\alpha} + \mu^{+}\varepsilon_{\beta} + \mu^{+}\varepsilon_{\gamma} \ge 0 \\
\mu^{+}\varepsilon_{\alpha} + (1-\mu^{+})\varepsilon_{\beta} + \mu^{+}\varepsilon_{\gamma} \ge 0 \\
\mu^{+}\varepsilon_{\alpha} + \mu^{+}\varepsilon_{\beta} + (1-\mu^{+})\varepsilon_{\gamma} \ge 0
\end{cases}$$
(44)

17 In the same way, the discriminant inequality of the rest cases can be obtained as follows:

18 (2) When $\sigma_{\alpha} < 0$, $\sigma_{\beta} < 0$, $\sigma_{\gamma} < 0$,

19
$$\begin{cases} (1-\mu^{-})\varepsilon_{\alpha} + \mu^{-}\varepsilon_{\beta} + \mu^{-}\varepsilon_{\gamma} < 0\\ \mu^{-}\varepsilon_{\alpha} + (1-\mu^{-})\varepsilon_{\beta} + \mu^{-}\varepsilon_{\gamma} < 0\\ \mu^{-}\varepsilon_{\alpha} + \mu^{-}\varepsilon_{\beta} + (1-\mu^{-})\varepsilon_{\gamma} < 0 \end{cases}$$
(45)

20 (3) When $\sigma_{\alpha} < 0$, $\sigma_{\beta} \ge 0$, $\sigma_{\gamma} \ge 0$,

1

$$\begin{cases}
(1 - \mu^{+} \mu^{+})\varepsilon_{\alpha} + \mu^{+}(1 + \mu^{+})\varepsilon_{\beta} + \mu^{+}(1 + \mu^{+})\varepsilon_{\gamma} < 0 \\
\mu^{-}(1 + \mu^{+})\varepsilon_{\alpha} + (1 - \mu^{-} \mu^{+})\varepsilon_{\beta} + \mu^{+}(1 + \mu^{-})\varepsilon_{\gamma} \ge 0 \\
\mu^{-}(1 + \mu^{+})\varepsilon_{\alpha} + \mu^{+}(1 + \mu^{+})\varepsilon_{\beta} + (1 - \mu^{-} \mu^{+})\varepsilon_{\gamma} \ge 0
\end{cases}$$
(46)

2 (4) When $\sigma_{\alpha} \ge 0$, $\sigma_{\beta} < 0$, $\sigma_{\gamma} < 0$,

4 (5) When $\sigma_{\alpha} \ge 0$, $\sigma_{\beta} < 0$, $\sigma_{\gamma} \ge 0$,

5
$$\begin{cases} (1-\mu^{-}\mu^{+})\varepsilon_{\alpha} + \mu^{-}(1+\mu^{+})\varepsilon_{\beta} + \mu^{+}(1+\mu^{-})\varepsilon_{\gamma} \ge 0\\ \mu^{+}(1+\mu^{+})\varepsilon_{\alpha} + (1-\mu^{+}\mu^{+})\varepsilon_{\beta} + \mu^{+}(1+\mu^{+})\varepsilon_{\gamma} < 0\\ \mu^{+}(1+\mu^{-})\varepsilon_{\alpha} + \mu^{-}(1+\mu^{+})\varepsilon_{\beta} + (1-\mu^{+}\mu^{-})\varepsilon_{\gamma} \ge 0 \end{cases}$$
(48)

6 (6) When $\sigma_{\alpha} < 0$, $\sigma_{\beta} \ge 0$, $\sigma_{\gamma} < 0$,

7
$$\begin{cases} (1-\mu^{+}\mu^{-})\varepsilon_{\alpha} + \mu^{+}(1+\mu^{-})\varepsilon_{\beta} + \mu^{-}(1+\mu^{+})\varepsilon_{\gamma} < 0\\ \mu^{-}(1+\mu^{-})\varepsilon_{\alpha} + (1-\mu^{-}\mu^{-})\varepsilon_{\beta} + \mu^{-}(1+\mu^{-})\varepsilon_{\gamma} \ge 0\\ \mu^{-}(1+\mu^{+})\varepsilon_{\alpha} + \mu^{+}(1+\mu^{-})\varepsilon_{\beta} + (1-\mu^{-}\mu^{+})\varepsilon_{\gamma} < 0 \end{cases}$$
(49)

8 (7) When $\sigma_{\alpha} \ge 0$, $\sigma_{\beta} \ge 0$, $\sigma_{\gamma} < 0$,

9

$$\begin{cases} (1-\mu^{+}\mu^{-})\varepsilon_{\alpha} + \mu^{+}(1+\mu^{-})\varepsilon_{\beta} + \mu^{-}(1+\mu^{+})\varepsilon_{\gamma} \ge 0\\ \mu^{+}(1+\mu^{-})\varepsilon_{\alpha} + (1-\mu^{+}\mu^{-})\varepsilon_{\beta} + \mu^{-}(1+\mu^{+})\varepsilon_{\gamma} \ge 0\\ \mu^{+}(1+\mu^{+})\varepsilon_{\alpha} + \mu^{+}(1+\mu^{+})\varepsilon_{\beta} + (1-\mu^{+}\mu^{+})\varepsilon_{\gamma} < 0 \end{cases}$$
(50)

10 (8) When $\sigma_{\alpha} < 0$, $\sigma_{\beta} < 0$, $\sigma_{\gamma} \ge 0$,

11

$$\begin{cases}
(1 - \mu^{-}\mu^{+})\varepsilon_{\alpha} + \mu^{-}(1 + \mu^{+})\varepsilon_{\beta} + \mu^{+}(1 + \mu^{-})\varepsilon_{\gamma} < 0 \\
\mu^{+}(1 + \mu^{+})\varepsilon_{\alpha} + (1 - \mu^{-}\mu^{+})\varepsilon_{\beta} + \mu^{+}(1 + \mu^{-})\varepsilon_{\gamma} < 0 \\
\mu^{+}(1 + \mu^{-})\varepsilon_{\alpha} + \mu^{-}(1 + \mu^{-})\varepsilon_{\beta} + (1 - \mu^{-}\mu^{-})\varepsilon_{\gamma} \ge 0
\end{cases}$$
(51)

¹² By observing the principal stresses, we can determine the shear modulus based on Table 2, then ¹³ obtain the compliance matrix *A*. Considering $D_I = A^{-1}$, the elastic matrix D_I can be obtained. Finally, the ¹⁴ elastic matrix *D* in global coordinate for bi-modulus materials can be obtained by:

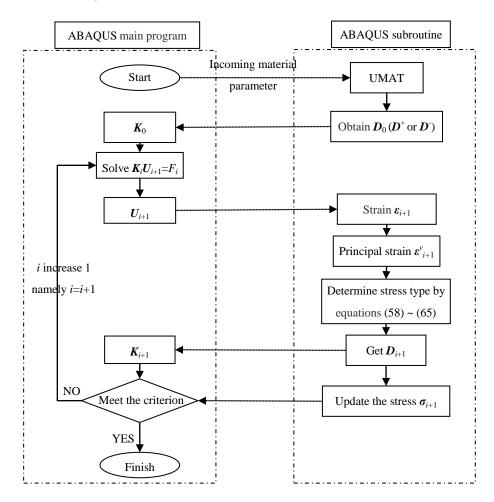
(52)

15 16

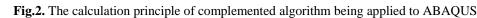
 $D = \overline{L}_{\varepsilon}^{T} D_{I} \overline{L}_{\varepsilon}$ where $\overline{L}_{\varepsilon}$ is the transformation matrix:

17
$$\overline{L}_{\varepsilon} = \begin{bmatrix} l_{1}^{2} & m_{1}^{2} & n_{1}^{2} & m_{1}n_{1} & n_{1}l_{1} & l_{1}m_{1} \\ l_{2}^{2} & m_{2}^{2} & n_{2}^{2} & m_{2}n_{2} & n_{2}l_{2} & l_{2}m_{2} \\ l_{3}^{2} & m_{3}^{2} & n_{3}^{2} & m_{3}n_{3} & n_{3}l_{3} & l_{3}m_{3} \\ 2l_{1}l_{2} & 2m_{1}m_{2} & 2n_{1}n_{2} & m_{1}n_{2} + n_{1}m_{2} & n_{1}l_{2} + l_{2}n_{1} & l_{1}m_{2} + l_{2}m_{1} \\ 2l_{2}l_{3} & 2m_{2}m_{3} & 2n_{2}n_{3} & m_{2}n_{3} + n_{2}m_{3} & n_{2}l_{3} + l_{2}n_{3} & l_{2}m_{3} + l_{3}m_{2} \\ 2l_{3}l_{1} & 2m_{3}m_{1} & 2n_{3}n_{1} & m_{3}n_{1} + n_{3}m_{1} & n_{3}l_{1} + l_{3}n_{1} & l_{3}m_{1} + l_{1}m_{3} \end{bmatrix}$$
(53)

- 1 The subsequent steps are the same for classical elasticity. The flow chat with UMAT of
- 2 ABAQUS is shown in Fig. 3.







5 The convergence criterion of ABAQUS is multi-index comprehensive control, among which the 6 maximum iterative residual internal force R_a and the displacement correction c_a play major control 7 roles. The standard value of ABAQUS's default convergence is that R_a is small than 0.5% of the 8 average force on the structure, and c_a is less than 1% of the total incremental displacement $||\Delta u||$, which 9 are defined as:

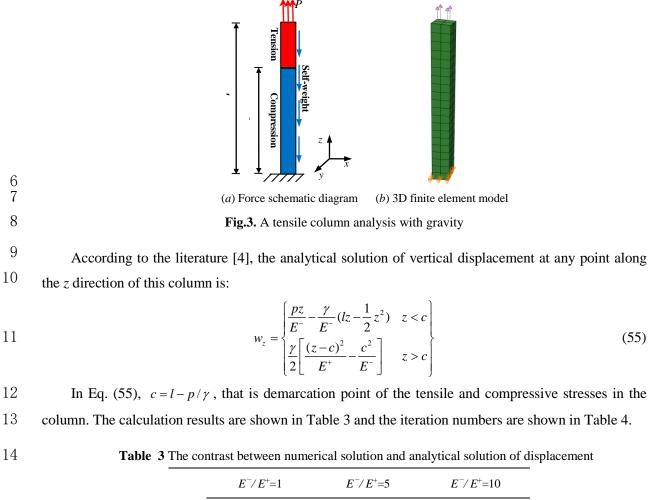
$$R_{a} = K_{i+1}U_{i+1} - F ; \quad c_{a} = ||u_{i+1} - u_{i}|| ; \quad |\Delta u|| = ||u_{i+1} - u_{0}||$$
(54)

11 It has been shown that when the computation converges, $||\Delta u||$ is generally less than 10⁻⁸ with the 12 ABAQUS default convergence criterion. The accuracy requirements are fully met, and the error 13 between the calculated results in this paper and those in existing literature is minimal. Therefore, the 14 subsequent analysis in this paper will adopt ABAQUS software's default convergence criteria.

15 **4. Numerical examples**

16 4.1 A tensile column with gravity

As shown in Fig. 4, the length of column *l* is 10m, the cross-section is $1m \times 1m$ with 3D linear integrator element (C3D8). The uniformly distributed load *P* is 6Pa and the self-weight of material per unit volume γ is 2N /m³. The fixed compressive modulus *E*⁻ is 5000Pa, and the tensile Poisson's ratio and compressive Poisson's ratio are all zeroes. The ratio of tensile modulus is free variable.



	E^{-}/L	$E^{+}=1$	E^{-}/L	$E^{+}=5$	$E^{-}/E^{+}=10$		
z(m)	theoretical	numerical	theoretical	numerical	theoretical	numerical	
	value	value	value	value	value	value	
2	-4.80E-03	-4.800E-03	-4.80E-03	-4.800E-03	-4.80E-03	-4.800E-03	
4	-8.00E-03	-8.000E-03	-8.00E-03	-8.000E-03	-8.00E-03	-8.000E-03	
7	-9.80E-03	-9.800E-03	-9.80E-03	-9.800E-03	-9.80E-03	-9.800E-03	
9	-9.00E-03	-9.000E-03	-5.80E-03	-5.800E-03	-1.80E-03	-1.800E-03	

1	5

 Table 4 Iteration numbers of different algorithms

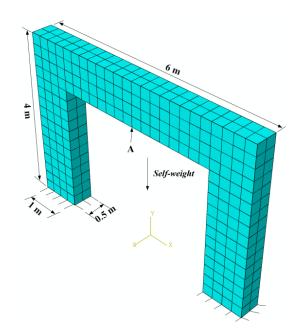
	z=	10m	Number of convergent iterations				
E^{-}/E^{+}	analytical	numerical	PVP	Tangent	complemented algorithm		
	solution	solution	PVP	algorithm	$E^0 = E^+$	$E^0 = E^-$	
2	-6.2E-03	-6.200E-03	15	2	2	2	

5	-8.0E-04	-8.000E-04	39	2	2	2
10	8.2E-03	8.200E-03	82	2	2	2
50	8.02E-02	8.020E-02	/	2	2	2
100	1.702E-01	1.702E-01	/	2	2	2
1000	1.79	1.7902	/	14	2	2
5000	8.99	8.9902	/	82	2	2
10000	17.99	17.9902	/	286	2	2

2 4.2 Door-shaped frame with gravity

Consider a 3D door-shaped structure with gravity. The boundary conditions and dimension of structure are shown in Fig. 5. The bottom is fixed and 384 C3P8 linear elements in total are used. For the convenience to contrast, the same material parameters as those in literature [24] are adopted, i.e. the compressive modulus E^- =1800MPa, and the compressive Poisson's ratio is 0.3. Then the tensile modulus E^+ and the tensile Poisson's ratio μ^+ satisfy $\mu^+ / E^+ = \mu^- / E^-$. The computational results and convergence efficiency are shown in Table 5 and Fig. 6 respectively.

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Fig.4. Sketch of a door-shaped frame

Table	5	Contrast to	calculation	results	based	on	different	E^{-}	E^+
-------	---	-------------	-------------	---------	-------	----	-----------	---------	-------

$\overline{E^{-}}/\overline{E^{+}}$	pvp	tangent algorithm	complemented algorithm	iterations number of tangent algorithm	iterations number of complemented algorithm
1	5.462E-4	5.57896E-4	5.57896E-4	1	1
2	2 6.237E-4 6.385		6.38550E-4	4	4
3	6.827E-4	7.01622E-4	7.01622E-4	5	5

4	7.329E-4	7.55735E-4	7.55735E-4	5	5
5	7.775E-4	8.04274E-4	8.04274E-4	6	6
100	/	2.86946E-3	2.86946E-3	11	11
1000	/	1.56524E-2	1.56524E-2	24	24
5000	/	6.87581E-2	6.87581E-2	29	29
10000	/	1.34807E-1	1.34807E-1	42	42

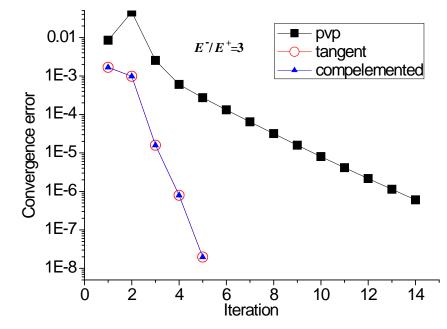




Fig.5. The displacement tolerance convergence curves of three algorithms when E^{-}/E^{+} is 3

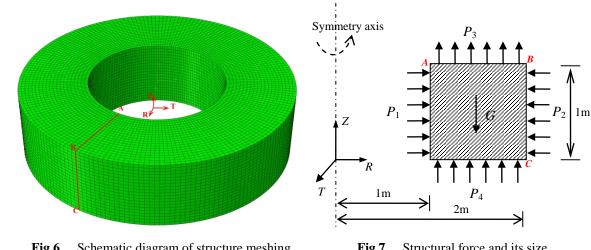
4 These two examples show that the numerical results are in excellent agreement with the solutions 5 in references.

6 In addition, compared with PVP algorithm, it can be seen from Table 5 and Fig. 5 that the 7 complemented algorithm has fewer iterations with faster reduction of iteration tolerance and high 8 convergence efficiency. Table 4 shows that the iterations number of completion algorithm are exactly 9 the same in different initial guess. When the difference between tensile modulus and compressive 10 modulus is small, the complemented algorithm is not much different from the tangent algorithm. 11 Otherwise, the convergence efficiency of the complemented algorithm is slightly better than that of the tangent algorithm. We checked the iteration history of tangent algorithm and find out that when E^{-}/E^{+} 12 13 greater than 1000, the stiffness matrix of the structure has negative eigenvalues during the iteration 14 process in Example 1, but the completion algorithm does not appear. This maybe the reason for the 15iterations number of tangent algorithm increasing in some cases. In addition, as the tangent algorithm 16 needs the derivative of the elastic matrix in order to obtain the tangent modulus matrix, the process is 17 complicated and tedious. However, the complemented algorithm can be discriminated directly and be 18 easy to be implemented.

1 4.3 A hollow cylinder with gravity

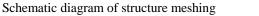
2 The hollow cylinder shown in Fig.6 and Fig.7 has an inner diameter of 1m, an outer diameter of 3 2m and a height of 1m. The inner pressure P_1 is 2Pa, the outer pressure P_2 is 1Pa, the uniform tension 4 P_3 on the top surface is 1Pa, and the uniform pressure P_4 on the bottom surface is 1Pa. The self-weight 5 of material per unit volume γ is 2N /m³. Cylindrical coordinate (*R* is radial direction, *T* is circumference 6 tangent direction, Z is axial direction) is adopted for structural calculation. The compressive modulus is 7 selected as 100kPa, and the compressive Poisson's ratio was 0.2. The ratio (ω) of compressive modulus 8 to tensile modulus is variable.





10 11

Fig.6.





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13 Numerical and analytical solutions (*) [4] on the tangential stress (σ_T) and the tangential strain (ε_T) 14 along AB are shown in Table 6, and the variations of strains with coordinate for different ratios ω are 15 shown in Figure 8 ~ Figure 10.

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D/m		$\omega = E^{-}/E^{+} = 1$			$\omega = E^{-}/E^{+} = 4$			
R/m	σ_T */Pa	σ_T /Pa	$\epsilon_T * / 10^{-6}$	$\epsilon_{T}/10^{-6}$	σ_T*/Pa	σ_T/Pa	$\epsilon_T * / 10^{-6}$	$\epsilon_{T}/10^{-6}$
1.0	0.67	0.67	8.7	8.7	0.23	0.23	11.1	11.2
1.2	0.26	0.26	3.8	3.8	0.11	0.12	5.8	5.9
1.4	0.01	0.01	0.8	0.8	0.04	0.05	2.5	2.6
1.6	-0.15	-0.15	-1.1	-1.1	-0.01	0.00	0.3	0.4
2.0	-0.25	-0.26	-2.4	-2.4	-0.14	-0.13	-1.2	-1.2

Table 6 The contrast between numerical solution and analytical solution (*) of strain (10^{-6})

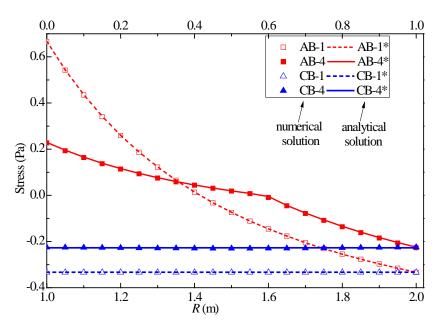


Fig.8. Changing laws of tangential stress (σ_T) of AB and CB ($\omega = 1 \& \omega = 4$)

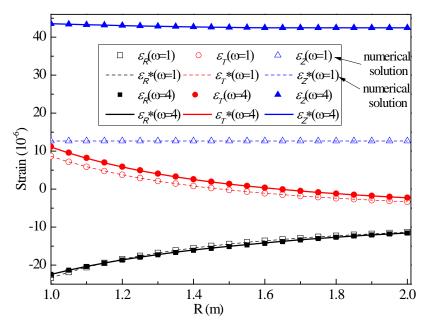
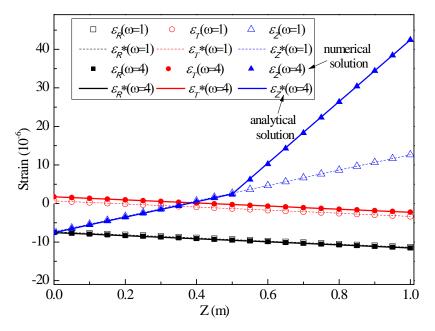


Fig.9. Changing laws of strain of AB ($\omega = 1 \& \omega = 4$)



1 2

Fig.10. Changing laws of strain of CB (ω =1 & ω =4)

It can be seen from Table 6 that the numerical solution of hollow cylinder strain is consistent with the analytical solution with very high degree of accuracy. Seeing from Fig.8 ~ Fig.10, there are huge differences between these calculation results using the compression modulus (ω =1) and the bi-modulus (ω =4), i.e. the tangential strain ε_{τ} (ω =4) along CB is 4 times of the tangential strain ε_{τ} (ω =1), the nonlinear law of the radial stress σ_T on AB and the axial strain ε_z on CB. Therefore, the bi-modulus theory should be used to mechanical calculate of the bi-modulus structure in engineering to avoid large errors.

10 **5. Conclusions**

Based on the bi-modulus theory established by Ambartsumyan, The relationships between stress and strain in general rectangular coordinate system are studied, and a simple, efficient numerical algorithm applied to 3D bi-modulus structures is proposed in this paper. The main conclusions can be summarized as follows:

15 1) The constitutive equations of bi-modulus theory in general rectangular coordinate system,
 namely the generalized elastic law, are derived. Through the analysis on generalized elastic law,
 the anisotropy and nonlinear characteristics of structures composed with bi-modulus materials are
 observed.

19 2) The general 3D shear modulus formula in principal stress directions is deduced and a20 theoretical self-consistent complemented algorithm is proposed.

3) The 3D complemented algorithm is implemented in ABAQUS with the subroutine
UMAT. The calculation results show that the algorithm is simple, good stability and convergence
efficiency.

1 Numerical results show that the different ratios of the properties in tension and compression 2 of bi-modulus materials have significantly influence on the structural mechanical responses. The 3 dynamic analysis with bi-modular materials will be investigated in the future work.

4 Acknowledgements

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Appendix A. The deducing for constitutive equation Eq. (3) 11

12 There are relationships with direction cosines as follows.

13

$$\begin{cases}
l_1^2 + l_2^2 + l_3^2 = 1 \qquad l_1 m_1 + l_2 m_2 + l_3 m_3 = 0 \\
m_1^2 + m_2^2 + m_3^2 = 1 \qquad l_1 n_1 + l_2 n_2 + l_3 n_3 = 0 \\
n_1^2 + n_2^2 + n_3^2 = 1 \qquad m_1 n_1 + m_2 n_2 + m_3 n_3 = 0 \\
l_1^2 + m_1^2 + n_1^2 = 1 \qquad l_1 l_2 + m_1 m_2 + n_1 n_2 = 0 \\
l_2^2 + m_2^2 + n_2^2 = 1 \qquad l_1 l_3 + m_1 m_3 + n_1 n_3 = 0 \\
l_3^2 + m_3^2 + n_3^2 = 1 \qquad l_2 l_3 + m_2 m_3 + n_2 n_3 = 0
\end{cases}$$
(A. 1)

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14 Strain components in coordinate system give:

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$$\begin{cases}
\varepsilon_{x} = l_{1}^{2}\varepsilon_{\alpha} + m_{1}^{2}\varepsilon_{\beta} + n_{1}^{2}\varepsilon_{\gamma} \\
\varepsilon_{y} = l_{2}^{2}\varepsilon_{\alpha} + m_{2}^{2}\varepsilon_{\beta} + n_{2}^{2}\varepsilon_{\gamma} \\
\varepsilon_{z} = l_{3}^{2}\varepsilon_{\alpha} + m_{3}^{2}\varepsilon_{\beta} + n_{3}^{2}\varepsilon_{\gamma} \\
\gamma_{xy} = 2(l_{1}l_{2}\varepsilon_{\alpha} + m_{1}m_{2}\varepsilon_{\beta} + n_{1}n_{2}\varepsilon_{\gamma}) \\
\gamma_{yz} = 2(l_{2}l_{3}\varepsilon_{\alpha} + m_{2}m_{3}\varepsilon_{\beta} + n_{2}n_{3}\varepsilon_{\gamma}) \\
\gamma_{xz} = 2(l_{1}l_{3}\varepsilon_{\alpha} + m_{1}m_{3}\varepsilon_{\beta} + n_{1}n_{3}\varepsilon_{\gamma})
\end{cases}$$
(A. 2)

16 Substituting Eq. (1) into the constitutive Eq. (A.2), results:

$$\begin{split} & \varepsilon_{x} = l_{1}^{2} \varepsilon_{a} + m_{1}^{2} \varepsilon_{\beta} + n_{1}^{2} \varepsilon_{\gamma} \\ & = l_{1}^{2} (a_{11} \sigma_{a} + a_{12} \sigma_{\beta} + a_{13} \sigma_{\gamma}) + m_{1}^{2} (a_{21} \sigma_{a} + a_{22} \sigma_{\beta} + a_{23} \sigma_{\gamma}) + n_{1}^{2} (a_{31} \sigma_{a} + a_{32} \sigma_{\beta} + a_{33} \sigma_{\gamma}) \\ & = (a_{11} l_{1}^{2} + a_{21} m_{1}^{2} + a_{31} n_{1}^{2}) \sigma_{a} + (a_{12} l_{1}^{2} + a_{22} m_{1}^{2} + a_{33} n_{1}^{2}) \sigma_{\beta} + (a_{13} l_{1}^{2} + a_{23} m_{1}^{2} + a_{33} n_{1}^{2}) \sigma_{\gamma} \\ & = a_{11} (l_{1}^{2} \sigma_{a} + m_{1}^{2} \sigma_{\beta} + n_{1}^{2} \sigma_{\gamma}) + a_{22} (l_{1}^{2} \sigma_{a} + m_{1}^{2} \sigma_{\beta} + n_{1}^{2} \sigma_{\gamma}) + a_{33} (l_{1}^{2} \sigma_{a} + m_{1}^{2} \sigma_{\beta} + n_{1}^{2} \sigma_{\gamma}) \\ & - [l_{1}^{2} (a_{22} + a_{33}) \sigma_{a} + m_{1}^{2} (a_{11} + a_{33}) \sigma_{\beta} + n_{1}^{2} (a_{11} + a_{22}) \sigma_{\gamma}] \\ & + [(a_{21} m_{1}^{2} + a_{31} n_{1}^{2}) \sigma_{a} + (a_{12} l_{1}^{2} + a_{32} n_{1}^{2}) \sigma_{\beta} + (a_{13} l_{1}^{2} + a_{23} m_{1}^{2}) \sigma_{\gamma}] \\ & = (a_{11} + a_{22} + a_{33}) \sigma_{a} - (a_{11} + a_{22} + a_{33}) (l_{1}^{2} \sigma_{a} + m_{1}^{2} \sigma_{\beta} + n_{1}^{2} \sigma_{\gamma}) + a_{11} l_{1}^{2} \sigma_{a} + a_{22} m_{1}^{2} \sigma_{\beta} + a_{33} n_{1}^{2} \sigma_{\gamma} \\ & + [(m_{1}^{2} + n_{1}^{2})a_{21} \sigma_{a} + (l_{1}^{2} + n_{1}^{2})a_{12} \sigma_{\beta} + (l_{1}^{2} + m_{1}^{2})a_{13} \sigma_{\gamma}] \\ & = a_{11} l_{1}^{2} \sigma_{a} + a_{22} m_{1}^{2} \sigma_{\beta} + a_{33} n_{1}^{2} \sigma_{\gamma} - a_{21} l_{1}^{2} \sigma_{a} - a_{12} m_{1}^{2} \sigma_{\beta} - a_{13} n_{1}^{2} \sigma_{\gamma} + a_{21} \sigma_{a} + a_{12} \sigma_{\beta} + a_{33} \sigma_{\gamma} \\ & = (a_{11} - a_{21}) l_{1}^{2} \sigma_{a} + (a_{22} - a_{12}) m_{1}^{2} \sigma_{\beta} + (a_{33} - a_{13}) n_{1}^{2} \sigma_{\gamma} + a_{21} \sigma_{a} + a_{12} \sigma_{\beta} + a_{13} \sigma_{\gamma} \\ & = \frac{l_{1}^{2} \sigma_{a}}{2G_{a}} + \frac{m_{1}^{2} \sigma_{\beta}}{2G_{\beta}} + \frac{n_{1}^{2} \sigma_{\gamma}}{2G_{\gamma}} + a_{21} \sigma_{a} + a_{22} \sigma_{\beta} + a_{33} \sigma_{\gamma}) + n_{1} n_{2} (a_{31} \sigma_{a} + a_{32} \sigma_{\beta} + a_{33} \sigma_{\gamma}) \right\} \\ & = 2 \{ l_{1} l_{2} (a_{11} \sigma_{a} + n_{12} \sigma_{\beta} + n_{1} n_{2} \sigma_{\gamma}) \\ & = 2 \{ l_{1} l_{2} (a_{11} \sigma_{a} + m_{1} \sigma_{\sigma} + n_{1} n_{2} \sigma_{\gamma}) \\ & + l_{1} (l_{2} \sigma_{a} + m_{1} m_{\sigma} \sigma_{\beta} + n_{1} n_{2} \sigma_{\gamma}) \\ & = 2 \{ l_{1} l_{2} (a_{11} \sigma_{a} + a_{22} m_{1} m_{2} \sigma_{\beta} + a_{3} n_$$

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Similarly, the rest equations can be obtained in the same way.

4 Appendix B. The ratios of l_2/m_1 , m_3/n_2 and n_1/l_3

It can be noticed that the direction cosines satisfy following equations:

$$\begin{cases} l_1m_1 + l_2m_2 + l_3m_3 = 0 & l_1n_1 + l_2n_2 + l_3n_3 = 0 & m_1n_1 + m_2n_2 + m_3n_3 = 0 \\ l_1l_2 + m_1m_2 + n_1n_2 = 0 & l_1l_3 + m_1m_3 + n_1n_3 = 0 & l_2l_3 + m_2m_3 + n_2n_3 = 0 \end{cases}$$
(B. 1)

7 When $l_1, m_2, n_3 \rightarrow 1; l_2, l_3, m_1, m_3, n_1, n_2 \rightarrow 0$, we have:

8
$$\begin{cases} m_1 + l_2 + l_3 m_3 = 0 \quad (a) \quad n_1 + l_2 n_2 + l_3 = 0 \quad (b) \quad m_1 n_1 + n_2 + m_3 = 0 \quad (c) \\ l_2 + m_1 + n_1 n_2 = 0 \quad (d) \quad l_3 + m_1 m_3 + n_1 = 0 \quad (e) \quad l_2 l_3 + m_3 + n_2 = 0 \quad (f) \end{cases}$$
(B.2)

⁹ From Eqs (a) (d) in Eq. (B.2), we have:

$$l_3 m_3 = n_1 n_2 \implies m_3 / n_2 = n_1 / l_3$$
 (B. 3)

11 and from Eqs (b) (c) in Eq. (B.2), it is obvious that:

It can be seen from the above formula that the direction cosines have the same signs (positive or negative) or different sign at the same time. l_3 , m_1 and n_2 are infinitesimally small quantities of the same order, as well as are l_2 , n_1 and m_3 . In addition, the limit can be obtained:

$$\lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} \tau_{xy} = \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} l_1 l_2 \sigma_{\alpha} + m_1 m_2 \sigma_{\beta} + n_1 n_2 \sigma_{\gamma}$$

$$= \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} l_2 \sigma_{\alpha} + m_1 \sigma_{\beta} + n_1 n_2 \sigma_{\gamma} \quad . \tag{B. 18}$$

$$= \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} l_2 \sigma_{\alpha} + m_1 \sigma_{\beta}$$

6

8

4

 5 As the shear stress is zero on the principal stress surface, i.e.

$$\tau_{\alpha\beta} = l_1 m_1 \sigma_x + l_2 m_2 \sigma_y + l_3 m_3 \sigma_z + (l_1 m_2 + l_2 m_1) \tau_{xy} + (l_2 m_3 + l_3 m_2) \tau_{yz} + (l_1 m_3 + l_3 m_3) \tau_{zx} = 0$$
(B. 19)

7 and

$$\lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} l_1m_1\sigma_x + l_2m_2\sigma_y + l_3m_3\sigma_z + (l_1m_2 + l_2m_1)\tau_{xy} + (l_2m_3 + l_3m_2)\tau_{yz} + (l_1m_3 + l_3m_3)\tau_{zx}$$

$$= \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} m_1\sigma_x + l_2\sigma_y + l_3m_3\sigma_z + (1 + l_2m_1)\tau_{xy} + (l_2m_3 + l_3)\tau_{yz} + (m_3 + l_3m_3)\tau_{zx}$$

$$= \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} m_1\sigma_\alpha + l_2\sigma_\beta + l_3m_3\sigma_\gamma + \tau_{xy} + l_3\tau_{yz} + m_3\tau_{xz}$$

$$= \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} m_1\sigma_\alpha + l_2\sigma_\beta + l_3m_3\sigma_\gamma + \tau_{xy}$$

$$= \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0}} m_1\sigma_\alpha + l_2\sigma_\beta + \tau_{xy} = 0$$

$$= \lim_{\substack{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,m_2\to 0}} m_1\sigma_\alpha + l_2\sigma_\beta + \tau_{xy} = 0$$

⁹ Then, it can be obtained from Eq. (B.19) and Eq. (B.20):

$$\lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} m_1 \sigma_{\alpha} + l_2 \sigma_{\beta} = -\tau_{xy} \,. \tag{B. 21}$$

 $l_2\sigma_{\alpha}+m_1\sigma_{\beta}=-m_1\sigma_{\alpha}-l_2\sigma_{\beta}.$

 $(l_2 + m_1)(\sigma_\alpha + \sigma_\beta) = 0.$

11 Combining Eq. (B.18) and Eq. (B.21) yields:

12

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13 Therefore, we have:

14

¹⁵ Since the above equation is true for any magnitude principal stress σ_{α} and σ_{β} , it means that

16
$$\lim_{l_1,m_2,n_3\to 1\\l_2,l_3,m_1,m_3,n_1,n_2\to 0} l_2 + m_1 = 0$$

17 which leads

18

20

$$\lim_{\substack{l_1, m_2, n_3 \to 1 \\ l_2, l_3, m_1, m_3, n_1, n_2 \to 0}} l_2 / m_1 = -1.$$
(B. 23)

(B. 22)

(B. 24)

19 In the same way, we have

 $\lim_{l_1,m_2,n_3\to 1\\ l_2,l_3,m_1,m_3,n_1,n_2\to 0} m_3 / n_2 = l_3 / n_1 = -1.$

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