



### Chronic Obstructive Pulmonary Disease as a Predictor of Cardiovascular Risk: A Case-Control Study

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3 **Title: Chronic Obstructive Pulmonary Disease as a Predictor of Cardiovascular Risk: A**  
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5 **Case-Control Study**  
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**Abstract:**

Chronic obstructive pulmonary disease (COPD) is a complex multi-morbid disorder with significant cardiac mortality. Current cardiovascular risk prediction models do not include COPD. We investigated whether COPD modifies future cardiovascular risk to determine if it should be considered in risk prediction models.

Case-control study using baseline data from two randomized controlled trials performed between 2012 and 2015. Of the 90 eligible subjects, 26 COPD patients with lung hyperinflation were propensity matched for 10-year global cardiovascular risk score (QRISK2) with 26 controls having normal lung function. Patients underwent cardiac magnetic resonance imaging, arterial stiffness and lung function measurements. Differences in pulse wave velocity (PWV), total arterial compliance (TAC) and aortic distensibility were main outcome measures.

PWV (mean difference 1.0 m/s, 95% CI 0.02-1.92;  $p=0.033$ ) and TAC (mean difference -0.27 mL/m<sup>2</sup>/mmHg, 95% CI 0.39 -0.15;  $p<0.001$ ) were adversely affected in COPD compared to the control group. The PWV difference equates to an age, sex and risk-factor adjusted increase in relative risk of cardiovascular events and mortality of 14% and 15%, respectively.

There were no differences in aortic distensibility. In the whole cohort ( $n=90$ ) QRISK2 ( $\beta=0.045$ ,  $p=0.005$ ) was associated with PWV in multivariate analysis. The relationship between QRISK2 and PWV were modified by COPD, where the interaction term reached significance ( $p=0.014$ ). FEV1 ( $\beta=0.055$  (0.027),  $p=0.041$ ) and pulse ( $B=-0.006$  (0.002),  $p=0.003$ ) were associated with TAC in multivariate analysis.

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3 Markers of cardiovascular outcomes are adversely affected in COPD patients with lung  
4 hyperinflation compared to controls matched for global cardiovascular risk. Cardiovascular  
5 risk algorithms may benefit from the addition of a COPD variable to improve risk prediction  
6 and guide management.  
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14 cardiovascular risk, surrogate markers, risk prediction models, pulse wave velocity,  
15 cardiovascular surrogate markers  
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21 HAPPY London ClinicalTrials.gov: NCT01911910 and HZC116601; ClinicalTrials.gov:  
22 NCT01691885)  
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## Introduction

Chronic obstructive pulmonary disease (COPD) is predicted to be the third leading cause of death worldwide by 2020 [1]. It is a complex multi-morbid disorder in which up to 37% succumb to cardiovascular causes rather than respiratory failure [2]. The precise mechanisms contributing to cardiovascular risk in COPD are not yet fully elucidated but lung hyperinflation and systemic inflammation are postulated as possible mechanisms [3,4].

Predicting prognosis in COPD has proven difficult. Airflow limitation measured by the forced expiratory volume in 1 second ( $FEV_1$ ) in combination with airflow obstruction ( $FEV_1$ /Forced vital capacity (FVC)) is the hallmark of COPD. Used in isolation, these parameters only show weak association with all-cause mortality in COPD and therefore been combined in multi-dimensional risk assessments to improve predictive value [5,6]. It has been suggested that a reduction in  $FEV_1$ , combined with a smoking history is a better predictor of cardiovascular mortality than cholesterol [7]. Despite this, the current global cardiovascular risk scores, which use algorithms for estimating cardiovascular risk, and have been developed and advocated by cardiovascular prevention guidelines to communicate risk and facilitate treatment decisions [8-10], do not factor in COPD severity, raising the possibility that risk estimation may be sub-optimal [11].

Aortic distensibility, total arterial compliance (TAC) and left ventricular mass (LVM) have been identified as cardiac magnetic resonance (CMR) surrogates of cardiovascular risk, a modality which provides unparalleled image quality non-invasively with excellent accuracy and reproducibility [12-14]. Carotid-femoral pulse wave velocity (PWV), a non-invasive bedside measure of global arterial stiffness, is also an independent predictor of coronary artery disease [13,15,16].

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5 The aim of this study was to assess whether differences exist in cardiovascular surrogate  
6 markers in COPD compared to controls with normal lung function, when matched for global  
7 cardiovascular risk. We hypothesize that differences exist and COPD may be considered as a  
8 cardiovascular risk factor.  
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## 14 15 **Material and methods**

### 16 17 *Patients*

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19 This post-hoc case-control analysis utilized baseline data from two randomized controlled  
20 trials undertaken between November 2012 to May 2015 at our center with matched protocol  
21 for assessed parameters. Patients were propensity matched by QRISK2 score  $\pm$  2% (a United  
22 Kingdom based validated 10-year cardiovascular risk algorithm) [17]. All participants gave  
23 written informed consent. The study was approved by the national Research Ethics Committee  
24 (NRES committee – London) and was conducted in accordance with the declaration of Helsinki.  
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26 Participants were consented for use of data different from those of the original study.  
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### 41 *COPD group*

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43 The COPD group consisted of 45 consecutive patients recruited to a clinical trial involving  
44 stable hyperinflated COPD patients [18]. The diagnosis of COPD was confirmed according to  
45 published criteria using the lower limit of normal for FEV1 and ratio of FEV1 to FVC for all  
46 COPD patients [19]. Patients were aged over 40 years with at least 15 pack-year smoking  
47 history and evidence of lung hyperinflation on body plethysmograph (residual volume >120  
48 % of predicted) with no history of COPD exacerbation in the preceding 4 weeks. All patients  
49 with known cardiovascular disease (7 individuals) or atrial fibrillation (2 individuals) were  
50 excluded, leaving 36 evaluable hyperinflated COPD patients. There was a washout period of  
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3 at least 48 hours for long acting beta-2 agonists, 4 days for long acting muscarinic antagonists  
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5 and at least 6 hours for the short acting bronchodilators prior the CMR and PVW assessments.  
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### 10 ***Control group with known cardiovascular risk***

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12 The control group was drawn from imaging subgroup of 96 out of the total of study  
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14 population of 402 participants with global 10-year cardiovascular risk of  $\geq 10\%$  based on  
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16 QRISK2, recruited to the Heart Attack Prevention Programme for You (HAPPY) London  
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18 primary prevention randomized controlled trial aiming to reduce cardiovascular risk in a  
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20 cohort free of pre-existing cardiovascular disease [20]. Only those that underwent CMR  
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22 imaging with normal spirometry and absence of respiratory disease or atrial fibrillation were  
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24 included, leaving 54 evaluable subjects.  
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### 33 ***Spirometry***

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35 Spirometry was performed using equipment meeting the minimum performance  
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37 recommendations of the American Thoracic Society/European Respiratory Society task force  
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39 (Microlab3500, Micromedical,UK) [21]. At least 3 valid spirometry efforts were attempted,  
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41 but no more than 8. **Residual volume, total lung capacity and functional residual capacity**  
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43 **z-scores for the COPD group were calculated from published reference ranges[22].**  
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45 **Static lung volumes, measured using whole body plethysmography (ZAN500, Germany)**  
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47 **and carbon monoxide transfer factor, via a single breath hold technique (CPL PFT,**  
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49 **United States), were assessed according to manufacturers' instructions [18].**  
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### *Cardiovascular magnetic resonance*

CMR images were analyzed from baseline scans performed on a 1.5T CMR system (Achieva, Philips, Netherlands) using a Software release 3.2 and Cardiac package installed. ISS performed analysis for the COPD group, while MYK performed analysis for the control group. Ventricular (both groups) and atrial (COPD group only) volumes and function data were acquired according to local protocol and international guidance [24]. All participants were specifically advised to refrain from caffeine, alcohol and smoking for at least 8 hours prior to the CMR and PWV assessments. The endocardial contours of the ventricle and atria were manually segmented and summed for the whole ventricle using semi-automated software (CVI42, Circle Cardiovascular imaging Inc, Calgary, Canada) to quantify end-diastolic (EDV), end-systolic volumes (ESV), ejection fraction and stroke volume (SV) for the left atrium and both left (LV) and right (RV) ventricles. Values were indexed (denoted by letter "I") to body surface area as determined by the Mosteller formula [25]. Cardiac Index was calculated according to the following formula: SVI x pulse. Epicardial contours were manually segmented at end-diastole for the left ventricle to allow the calculation of indexed LV mass (LVMI).

### *Local arterial stiffness: CMR aortic distensibility measurements*

Two SSFP cine images were acquired during end-expiration in planes perpendicular to the thoracic aorta at the level of the pulmonary artery (thoracic ascending aorta (TAA) and thoracic descending aorta (TDA), with further image acquired 10cm below this for the abdominal aorta (ABA). Brachial blood pressure was measured using a CMR compatible oscillatory sphygmomanometer (Vicorder, Skidmore medical, UK) and central blood pressure



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3 estimated using a validated transfer function used in calculating distensibility where  
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5 distensibility (%/mmHg) = [(maximum area– minimum area)/pulse pressure x minimum area]  
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7 x 100 [26],[27]. Minimum and maximum values for cross sectional areas were derived using  
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9 an in-house validated automated endoluminal border-tracking program written in MatLab  
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11 (v.7.5).  
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### 17 *Global arterial stiffness: Pulse wave velocity and total arterial compliance*

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19 PWV were obtained using Vicorder device as described previously. according to  
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21 manufacturer's guidelines [28]. Briefly, for PWV measurements the path-length was  
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23 calculated from the suprasternal notch to a defined point on the upper part of the femoral cuff.  
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25 The foot-to-foot transit time (TT) was measured as described previously and values for  
26  
27 cfPWV were derived automatically [29]. Measurements were performed in a supine position,  
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29 after 10 minutes rest, outside the CMR scanner and prior to lung function maneuvers. All  
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31 measurements were repeated at least twice and the mean value of consistent measures was  
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33 derived. TAC was derived by the following formula: SVI / central pulse pressure  
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### 41 *Statistics*

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43 Matching of the groups was performed using SAS (SAS Institute Inc., Cary, NC, US, Version  
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45 9.3). Patients were matched by QRISK2 score  $\pm$  2% to test the initial hypothesis. Statistical  
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47 analysis was performed using SPSS 21.0 for Mac (SPSS Inc., Chicago, Illinois, USA). The  
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49 distribution of the data was assessed visually. Continuous variables were expressed as mean  $\pm$   
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51 SD for parametric variables and median (interquartile range) for non-parametric variables.  
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53 Differences between the COPD and controls were assessed using paired t-tests. Univariate  
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55 followed by multivariate linear regression analysis was used to evaluate associations between  
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57 patient variable and the surrogate endpoints that showed differences between the groups.  
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3 Cohort adjusted effects were obtained by including COPD status as a factor in the models.  
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5 Variables for inclusion in the multivariate models were selected using all-subsets variable  
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7 selection using the Bayesian information criterion to select the final model. A term for COPD  
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9 status was forced in to all models to account for differences between the two studies. Models  
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11 were validated using 5-fold cross validation repeated 100 times. Where an association was  
12  
13 found, further regression analyses were performed on the interaction terms to establish  
14  
15 whether the presence or absence of COPD as a binary variable had any impact on the  
16  
17 relationship between QRISK2 and the cardiovascular surrogates. Thus, the matched design  
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19 was used initially to look at differences between the two groups. Subsequently, we performed  
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21 a multivariate analysis using all the data from both cohorts and further analysed the data to  
22  
23 control for variables that were different between the two groups (including hypertension,  
24  
25 diabetes and smoking). The extent of intra-observer agreement was assessed using Bland-  
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27 Altman method on 20 randomly selected patients (10 from each cohort) for the CMR  
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29 measures and on 16 of the HAPPY London cohort for Vicorder measures of PWV and aortic  
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31 pulse pressure [30]. Statistical significance was defined as a two-sided  $p < 0.05$ .  
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## 42 **Results**

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44 Of the 36 eligible COPD patients 26 were successfully matched for 10-year global  
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46 cardiovascular risk  $\pm 2\%$  based on QRISK2 score with 26 of the 54 HAPPY London  
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48 participants with normal lung function. Baseline demographics and pulmonary function of the  
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50 52 matched individuals are shown in Table 1. As expected, there were no differences in  
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52 QRISK2 score ( $p=0.693$ ), age ( $p=0.447$ ), sex ( $p=0.161$ ), blood pressure ( $p=0.447$ ), renal  
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54 function ( $p=0.055$ ) or cholesterol treatment ( $p=0.449$ ) between the groups. The control group  
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3 had a higher prevalence of diabetes, whilst the COPD group had more impaired pulmonary  
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5 function and significant smoking history leading to similar QRISK2 scores.  
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### 10 11 *Inter-observer agreement*

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13 The Bland Altman plots (eFigure 1) confirmed acceptable agreement between ISS and MYK  
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15 measurements of PWV (bias 0.43 m/s, limits of agreement (LOA) -0.9, 1.76, Intra-class  
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17 correlation coefficient (ICC) 90.5 %), aortic pulse pressure (bias -1.14 mmHg, LOA -22.9,  
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19 23.2, ICC 86.4 %), aortic relative area change (thoracic ascending aorta (TAA) bias  $9.3 \times 10^{-3}$   
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21  $^3$ , LOA -0.06, 0.82, ICC 80.2 %; thoracic descending aorta (TDA) bias  $1.4 \times 10^{-3}$ , LOA -  
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23 0.02, 0.02, ICC 97.9 %; abdominal aorta (ABA) bias  $-2.9 \times 10^{-3}$  LOA -0.02, 0.03, ICC 99.2 %)  
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25 left ventricle end-diastolic volume index (LVEDVI) (bias -3.6 ml/m<sup>2</sup> LOA -12.5, 5.8, ICC  
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27 96.6 %), left ventricular mass index (LVMI) (bias -2.9 g/m<sup>2</sup>, LOA -13.65, 7.83, ICC 87.5 %)  
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29 and LVSVI (bias 2.0 ml/m<sup>2</sup> LOA -2.5, 8.5, ICC 92.5 %).  
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### 38 *Arterial stiffness*

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40 Global arterial stiffness measures of PWV and TAC were adversely affected in COPD  
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42 compared to the matched control group. PWV was higher in the COPD group compared to  
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44 controls with a mean difference of 1.0 m/s (95% CI 0.1, 1.9; p=0.033), whereas TAC was  
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46 lower by -0.27 mL/m<sup>2</sup>/mmHg (95% CI -0.4, -0.2; p<0.001 (Table 2; Figure 1).  
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3 Local arterial stiffness measured using aortic distensibility, although numerically lower in  
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5 COPD compared to controls in all 3 regions analyzed, showed no statistical differences (mean  
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7 difference TAA:  $-0.41 \text{ \%/mmHg} \times 10^{-3}$  95% CI -0.9, 0.1,  $p=0.088$ ; TDA  $-0.29 \text{ \%/mmHg} \times 10^{-3}$   
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9 95% CI -0.8, 0.2  $p=0.216$ ; ABA  $-0.27 \text{ \%/mmHg} \times 10^{-3}$  95% CI -1.2, 0.6,  $p=0.536$ ).

### 16 ***Ventricular mass, size and function***

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18 No differences in LVMI were identified between groups (mean difference 2.8 g/m<sup>2</sup>; 95% CI -  
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20 2.5, 8.1,  $p=0.291$ ) (Table 2). Chamber size was smaller in COPD group compared to the  
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22 controls with mean differences in LVEDVI and RVEDVI of  $-14.1 \text{ ml/m}^2$  (95% CI -22.1, - 6.3  
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24  $p=0.001$ ) and  $-13.0$  (95% CI -23.9, -2.9  $P=0.022$ ) respectively. There was a corresponding  
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26 lower LVSVI (mean difference  $-10.3 \text{ ml/m}^2$  95% CI -15.4, -5.3,  $p<0.001$ ) but no differences  
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28 in LV ejection fraction. Despite lower stroke volume, cardiac index was similar as a  
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30 consequence of a higher heart rate in the COPD group ( $76 \pm 14$  vs.  $63 \pm 11$  beats/min,  $p=0.001$ ).

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37 Baseline demographics and pulmonary function for the COPD and control groups making up  
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39 the 90-patient cohort are shown in eTable 1. The results of the univariate and multivariate  
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41 analyses for PWV and TAC for the whole 90 patients are shown in Table 3 and Table 4,  
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43 respectively. In the first model (where QRISK2 is entered but age, sex and SBP are excluded)  
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45 QRISK2 was associated with PWV in the multivariate analysis, whereby a 10% increase in  
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47 QRISK2 was associated with 0.45 m/s higher PWV when adjusting for other co-variables in  
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49 the model. However, the relationship between QRISK2 and PWV differed when stratified  
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51 according to presence or absence of COPD (COPD group  $r^2=0.260$ ; control group  $r^2=0.003$   
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53 which was significant when an interaction term was included in the model ( $p=0.014$ ) (Figure  
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3 In the second multivariate model (which includes age, sex and SBP but not QRISK2), age and  
4 SBP enter the model. A ten-year increase in age is associated with a 0.7 m/s higher PWV,  
5 while a 10mmHg increase in SBP results in a 0.30 m/s higher PWV. There is a significant  
6 interaction between SBP and COPD group ( $p=0.019$ ). A 10mmHg increase in SBP associated  
7 with a significant (0.40 m/s, SE=0.08) increase for COPD, with no significant effect (0.07  
8 m/s, SE=0.12) for controls. The  $R^2$  for the model including the interaction term is 49.3%,  
9 suggesting a better fit with the individual components of age and SBP in the model rather than  
10 QRISK2 ( $R^2=21.5\%$ ). Differences remained significant between the COPD and control  
11 groups following sensitivity analysis to control for the baseline differences (eTable 2).  
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## 27 Discussion

28 The principle novel findings of our study are that PWV and TAC, known independent  
29 predictors of cardiovascular disease, are adversely affected in stable hyperinflated COPD over  
30 and above a cohort considered to have equivalent global cardiovascular risk but normal lung  
31 function. There appears to be an interaction between COPD and QRISK2 with regard to its  
32 relationship to PWV.  
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43 Concerns have previously been raised about the accuracy of a number of different scoring  
44 systems and possible over-estimation of risk in the general population [31]. We have found  
45 PWV in our COPD cohort to be 1.0 m/s higher than in matched non-COPD subjects which  
46 would equate to an age, sex and risk-factor adjusted increase in relative risk of cardiovascular  
47 events and mortality of 14% and 15%, respectively [32,33]. Furthermore, we have shown a  
48 clear interaction between COPD and the QRISK2 in relation to PWV (Fig 1). COPD has an  
49 estimated UK prevalence of 13.5% in those over 35 years of age and when assessing  
50 cardiovascular risk in this group using smoking status alone may not optimally predict  
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3 cardiovascular risk in this common disease. Whilst it has been shown that the addition of  
4 Framingham risk score to FEV1 improves risk stratification for cardiovascular events  
5 compared to FEV1 alone, our findings importantly suggest that the inclusion of COPD may  
6 improve the predictive ability of cardiovascular risk scores and should be further confirmed in  
7 larger population studies [34]. Secondly, this interaction implies that COPD could potentially  
8 act as a modifiable risk factor. This is a concept supported by previous post-hoc analyses of  
9 large randomized controlled trials and two more recent randomized controlled trials where the  
10 treatment of COPD with conventional therapies have led to a reduction in PWV [35-38].  
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24 The impact of blood pressure in COPD patients appears to be exaggerated based on our  
25 findings and suggests the need for tighter control in this group for primary prevention of  
26 cardiovascular events, although randomized controlled trials in this area are lacking [39].  
27 Non-pharmacological treatment (including increased physical activity and smoking cessation)  
28 and antihypertensive medication need to be integral in the reduction of future CVD in this  
29 group. Relying on current CVD risk scoring systems alone, such as QRISK2, may not  
30 optimally identify high-risk individuals who may not receive guideline based treatments that  
31 are based on risk thresholds [11].  
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45 Mechanisms proposed for the association between PWV and COPD include systemic  
46 inflammation and the effects of hyperinflation on neurohumoral activation [3]. Computed  
47 tomography defined emphysema has been associated with PWV, whilst reports linking  
48 systemic inflammation to PWV in COPD have been inconsistent [40]. Sabit et al found  
49 relationships with Interleukin (IL)-6, whereas a more recent study found no relationship with  
50 leukocytes, C-reactive protein, IL-6, IL-8 or soluble tumor necrosis factor receptor pathway 2.  
51 Whilst we also found no relationship with leucocytes, we have found a relationship between  
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3 PWV and fibrinogen, a marker of systemic inflammation in both COPD and cardiovascular  
4 disease [41,42]. An accurate understanding of the role of fibrinogen in the relationship  
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6 between COPD and cardiovascular disease is under evaluation, but if confirmed could act as a  
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8 potential therapeutic target [43].  
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15 TAC has been shown to be a predictor of cardiovascular events, in normal individuals free  
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17 from cardiovascular disease, hypertensives and elderly [44,45]. However, unlike PWV we  
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19 found no relationship with QRISK2 in univariate or multivariate analysis. Arterial stiffness  
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21 measures are surrogate measures of end-organ disease representing an index of the summed  
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23 effects of aging and exposure. However, these surrogate measures have varying abilities to  
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25 predict particular types of cardiovascular events. Whilst the QRISK2 score is designed to  
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27 predict both the risk of myocardial infarction and stroke, TAC when measured using CMR  
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29 has been shown to be independently associated with non-fatal cardiac events only, including  
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31 hospitalization for congestive heart failure and arrhythmia [14]. This may in part explain the  
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33 lack of relationship.  
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42 We have confirmed the findings of previous studies, which were limited by lacking suitable  
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44 control groups and/or the inclusion of patient populations with more severe disease, that  
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46 COPD patients have smaller cardiac chambers and stroke volumes, and maintain cardiac  
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48 output through a compensatory increase in heart rate [46-49]. The cause of the smaller cardiac  
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50 chamber size is thought to be a pre-load effect [46,47,50,51]. Our group has previously  
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52 demonstrated that lung deflation in the short-term result in at least partial reversal of these  
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54 effects, with decompression of the cardiac chambers, improvements in stroke volume, cardiac  
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56 output and atrial ejection fraction [23]. Long term implications of these findings on heart  
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58 failure and arrhythmia, increased in COPD, are as yet unknown, but may identify another  
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3 therapeutic target for the prevention of cardiac co-morbidity in COPD [52]. At present COPD  
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5 remains a risk factor for heart failure mortality and has been incorporated into risk scores  
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7 accordingly [53]. The findings presented here add weight to the belief that the same should  
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9 considered for cardiovascular risk. COPD prevalence is higher than rheumatoid arthritis, a  
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11 condition which is already included in QRISK2 score. An estimated 1 million COPD patients  
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13 in the UK alone are undiagnosed [32]. The inclusion of COPD could potentially improve risk  
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15 estimation, provision of lifestyle advice and intervention[54], and promote the early diagnosis  
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17 of COPD through increased usage of pulmonary function testing and the availability of  
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19 pulmonary function data on primary and secondary care databases.  
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### 27 *Limitations*

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29 The results have to be interpreted in the context of the study design. This is a post-hoc cross-  
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31 sectional analysis so we are able to establish association but not causation. Given the  
32  
33 relatively small sample size these findings should be interpreted with caution and replicated  
34  
35 on a larger scale. The COPD cohort in our study all had RV>120% **of predicted**, thus further  
36  
37 research is required to see if the results can be generalized to patients with milder COPD,  
38  
39 lower RV or those with differing clinical phenotypes. Although the COPD and control group  
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41 were matched for global cardiovascular risk, it is difficult to accurately quantify the impact of  
42  
43 the variations in individual risk factors and medication use on the outcome measures. Our  
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45 primary purpose was to investigate the applicability of cardiovascular risk scores to patients  
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47 with COPD by way of assessing surrogates of cardiac risk and as such our investigation  
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49 regarding the proposed mechanisms surrounding increased risk have not been exhaustive.  
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## Conclusion

PWV and TAC are adversely affected in hyperinflated COPD compared to a group matched for global cardiovascular risk. The relationship between cardiovascular risk scores and PWV appears to be modified by COPD. Further research is needed to assess if CVD risk algorithms may benefit from the addition of a COPD variable to improve risk prediction and guide management, given its common occurrence and associated high cardiovascular morbidity and mortality.

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## Disclosure

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N.C.B is now an employee of GlaxoSmithKline (GSK)

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The authors were involved with the original study, had access to the baseline data and drove the post hoc analysis without involvement of the funders in the analysis or manuscript writing process.

### Author Contributions

MYK and ISS conceived and designed this study. MYK and ISS finalized the protocol. MYK, ISS, RB, NCB, and SEP collected the data. MYK, ISS, SEP, and RB performed the cardiac magnetic resonance analysis. MYK, ISS and JC, performed the statistical analysis. MYK, ISS, SEP, NCB, and JC analyzed and interpreted the data. MYK and ISS wrote the first draft. All authors reviewed, edited, and approved the final draft of the manuscript and agreed in the decision to submit for publication.

### Data sharing

Anonymized individual participant data from study HZC 116601 (NCT01691885) and related documents can be requested for further research from [www.clinicalstudydatarequest.com](http://www.clinicalstudydatarequest.com)

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## Figure Legends

**Figure 1 Differences in pulse wave velocity, total arterial compliance and their relationship to QRISK2 in COPD compared to controls matched for cardiovascular risk**

### Figure 1 Legend

Markers of cardiovascular outcomes adversely affected in COPD patients compared to controls matched for global cardiovascular risk.

### eFigure 1

Bland-Altman plots showing agreement between measurements a) Left ventricular end diastolic volume index (LVEDVI), b) Central pulse pressure, c) Left ventricular stroke volume index (LVSVI), d) Thoracic ascending aorta pulsatility, e) Left ventricular mass index, f) Pulse wave velocity, g) Abdominal aorta pulsatility and h) Thoracic descending aorta pulsatility.

**Table 1 Demographic and pulmonary function characteristics of COPD and control groups matched for global cardiovascular risk**

Variable	Control group matched for cardiovascular risk (n=26)	COPD (n=26)	P
10-year global cardiovascular risk (QRISK2) score <sup>†</sup> , %	19.3±6.9	18.6±7.0	0.693
Age, yrs	63.7±5.1	64.9±7	0.447
Male n (%)	21 (81)	17 (65)	0.161
Pulse, beats/min	63±11	76±14	0.001*
eGFR, mL/min/1.73m <sup>2</sup>	89±18	78±20	0.055
Brachial SBP, mmHg	134±12	138±23	0.447
Brachial DBP, mmHg	82±10	79±11	0.221
Hypertension treatment, n (%)	16 (61)	9 (35)	0.050
Cholesterol treatment, n (%)	15 (58)	12 (46)	0.449
Diabetes, n (%)	7 (27)	0 (0)	0.006*
Smoking, pack years	5±10	44±36	<0.001*
FEV <sub>1</sub> L	<b>3.20±0.74</b>	<b>1.42±0.60</b>	<0.001*
FEV <sub>1</sub> /FVC	0.75±0.05	0.47±0.14	<0.001*
FEV <sub>1</sub> Z score	<b>0.107±1.030</b>	<b>-3.192±0.888</b>	<0.001*
FEV <sub>1</sub> /FVC Z score	<b>-0.391±0.758</b>	<b>-3.515±1.045</b>	<0.001*
Residual volume, L	-	<b>3.85±0.96</b>	-
Residual volume, % predicted	-	170±37	-
<b>Residual volume Z-score</b>	-	<b>3.632±2.021</b>	-
<b>Total lung capacity Z-score</b>	-	<b>0.653±1.757</b>	-
<b>Functional residual capacity Z-score</b>	-	<b>2.431±1.871</b>	-

**Notes:** Plus–minus values are means ± SD. \* Denotes p-value of <0.05 † The QRISK2 score is a validated global cardiovascular risk score which predicts the likelihood of a myocardial infarction

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3 or cerebrovascular accident in the next 10 years based on routinely collected data from National  
4 Health Service general practitioner databases in the United Kingdom.

5 **Abbreviations:** DBP, diastolic blood pressure; eGFR, estimated glomerular filtration rate; FEV<sub>1</sub>,  
6 forced expiratory volume in 1 second; FVC, forced vital capacity; HT, hypertension; LLN,  
7 Lower limit of normal SBP, systolic blood pressure, SD, standard deviation.  
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**Table 2 Comparison of cardiovascular endpoints between COPD and control group matched for global cardiovascular risk**

Variable	Control group matched for cardiovascular risk (n=26)	COPD (n=26)	Mean difference of COPD vs control group (95% CI)	P
<b>Cardiac volumes, mass and function</b>				
LVEDVI, mL/m <sup>2</sup>	77.7±12.2	63.6±15.7	-14.1(-22.1, -6.1)	0.001*
LVESVI, mL/m <sup>2</sup>	28.7±7.7	24.9±7.5	-3.8(-7.9, 0.2)	0.062
LVSVI, mL/m <sup>2</sup>	49.0±6.9	38.7±10.1	-10.3(-15.4, -5.3)	<0.001*
Cardiac Index mL/min/m <sup>2</sup>	3079±607	2868±610	-211(-560.5, 138.4)	0.225
LVEF, %	63.4±5.4	61.0±6.5	-2.5(-5.6, 0.6)	0.115
LVMI, g/m <sup>2</sup>	50.0±7.9	52.7±8.5	2.8(-2.5, 8.1)	0.291
RVEDVI mL/m <sup>2</sup>	90.5±17.6	77.5±19.5	-13.0(-23.9, -2.0)	0.022*
<b>Vascular function; global measures</b>				
PWV, m/s	8.0±1.9	9.0±1.4	1.0(0.1, 1.9)	0.033*
Total Arterial Compliance, mmHg/ml/m <sup>2</sup>	0.950±0.19	0.680±0.24	-0.27(-0.4, -0.2)	<0.001*
<b>Vascular function; local measures</b>				
Aortic distensibility, %/mmHg x10 <sup>-3</sup>				
Thoracic ascending aorta	2.01±0.9	1.59±1.0	-0.41(-0.9, 0.1)	0.088
Thoracic descending aorta	2.24±1.0	1.95±0.8	-0.29(-0.8, 0.2)	0.216

Abdominal aorta	3.27±1.2	3.00±1.8	-0.27(-1.2, 0.6)	0.536
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**Notes:** Data expressed as mean±SD.

Indexed values are calculated as raw values divided by body surface area. \*Denotes p-value of <0.05

**Abbreviations:** CI: confidence interval; COPD: chronic obstructive pulmonary disease; LVEDVI: left ventricle end diastolic volume index; LVEF: left ventricle ejection fraction; LVESVI: left ventricle end systolic volume index; LVMI, left ventricle mass index; LVSVI: left ventricle stroke volume index; PWV: carotid-femoral pulse wave velocity; RVEDVI: right ventricle end diastolic volume index.

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**Table 3 Univariate and cohort adjusted predictors of pulse wave velocity and total arterial compliance**

Variable	Pulse wave velocity m/s			
	Univariate		Cohort adjusted	
Whole Cohort n=89¶	B (se)	P-value	B (se)	P-value
Age, yrs	0.087 (0.017)	<0.001	0.089 (0.016)	0.001
Sex (male)	-0.328 (0.301)	0.279	-0.196 (0.298)	0.512
Diabetes	-0.519 (0.395)	0.192	-0.198 (0.410)	0.630
QRISK2, %	0.039 (0.016)	0.017	0.045 (0.016)	0.005
Pulse, beats/min	0.014 (0.010)	0.155	0.006 (0.010)	0.570
Systolic blood pressure, mmHg	0.039 (0.007)	<0.001	0.038 (0.007)	<0.001
eGFR, mL/min/1.73m <sup>2</sup>	-0.007 (0.008)	0.392	-0.005 (0.007)	0.547
FEV <sub>1</sub> , Z-score	-0.155 (0.071)	0.033	0.028 (0.145)	0.849
FEV/FVC, Z score	-0.137 (0.079)	0.086	0.204 (0.168)	0.227
Variable	Total Arterial Compliance mmHg/ml/m <sup>2</sup>			
Whole Cohort n=90	Univariate		Cohort adjusted	
	B (se)	P-value	B (se)	P-value
Age, yrs	-0.010 (0.004)	0.022	-0.011 (0.004)	0.003
Sex (male)	0.263 (0.064)	<0.001	0.208 (0.058)	<0.001
Diabetes	0.174 (0.090)	0.058	0.029 (0.085)	0.730
QRISK2, %	-0.003 (0.004)	0.490	-0.005 (0.003)	0.129
Pulse, beats/min	-0.010 (0.002)	<0.001	-0.007 (0.002)	<0.001
Systolic blood pressure, mmHg	-0.005 (0.002)	0.008	-0.005 (0.002)	0.003
eGFR, mL/min/1.73m <sup>2</sup>	0.002 (0.002)	0.148	0.001 (0.001)	0.337
FEV <sub>1</sub> , Z score	0.086 (0.014)	<0.001	0.060 (0.029)	0.043
FEV/FVC, Z score	0.085 (0.016)	<0.001	0.028 (0.035)	0.422

¶ One outlier from the total cohort of 90 (PWV=16.3) is excluded from the model leaving 89 subjects

**Abbreviations:** B, unstandardized beta co-efficient; eGFR, estimated glomerular filtration rate; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity

**Table 4 Multivariate predictors of pulse wave velocity and total arterial compliance**

Variable	Pulse wave velocity m/s			
	Multivariate (cohort forced in to model)#		Multivariate (cohort forced in to model)^	
Whole Cohort n=89¶	R2=15.7%		R2=45.9%	
	B (se)	P-value	B (se)	P-value
COPD:Control	0.824 (0.267)	0.003	0.735 (0.214)	0.001
Age, years	-	-	0.072 (0.015)	<0.001
Sex (male)	-	-	-	-
Diabetes	-	-	-	-
QRISK2, %	0.045 (0.016)	0.005	-	-
Pulse, beats/min	-	-	-	-
Systolic blood pressure, mmHg	-	-	0.030 (0.007)	<0.001
eGFR, mL/min/1.73m <sup>2</sup>	-	-	-	-
FEV <sub>1</sub> , Z score	-	-	-	-
FEV/FVC, Z score	-	-	-	-
	Total Arterial Compliance mmHg/ml/m <sup>2</sup>			
	Multivariate (cohort forced in to model)#		Multivariate (cohort forced in to model)^	
Whole Cohort n=90	R2=38.1%		R2=49.4%	
	B (se)	P-value	B (se)	P-value
COPD:Control	-0.066 (0.108)	0.541	-0.054 (0.100)	0.592
Age, years	-	-	-0.010 (0.003)	0.004
Sex (male)	-	-	0.138 (0.054)	0.013
Diabetes	-	-	-	-
QRISK2, %	-	-	-	-
Pulse, beats/min	-0.007 (0.002)	0.001	-0.006 (0.002)	0.003
Systolic blood pressure, mmHg	-	-	-	-
eGFR, mL/min/1.73m <sup>2</sup>	-	-	-	-
FEV <sub>1</sub> , Z score	0.053 (0.028)	0.058	0.055 (0.027)	0.041
FEV/FVC, Z score	-	-	-	-

¶ One outlier from the total cohort of 90 (PWV=16.3) is excluded from the model leaving 89 subjects

# Including QRISK2, excluding age, sex, SBP, diabetes as potential predictors as they are included in the composite score.

^ Including age, sex, SBP, diabetes among potential predictors in multivariate model (but not QRISK2)

**Abbreviations:** B, unstandardized beta co-efficient; eGFR, estimated glomerular filtration rate; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity

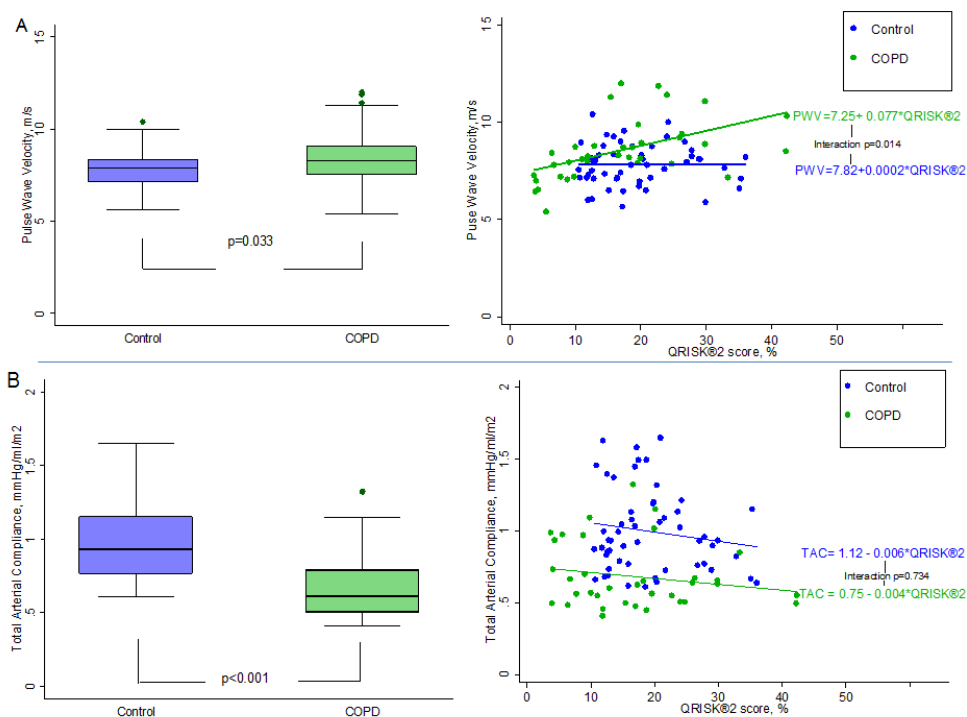


Figure 1 Differences in pulse wave velocity, total arterial compliance and their relationship to QRISK2 in COPD compared to controls matched for cardiovascular risk. Markers of cardiovascular outcomes adversely affected in COPD patients compared to controls matched for global cardiovascular risk.

254x190mm (96 x 96 DPI)

## Online supplementary data

### Chronic Obstructive Pulmonary Disease as a Predictor of Cardiovascular Risk: A Case-Control Study

**eTable 1. Demographic and pulmonary function characteristics of all eligible patients from the COPD cohort and HAPPY London cohort used in univariate and multivariate analyses**

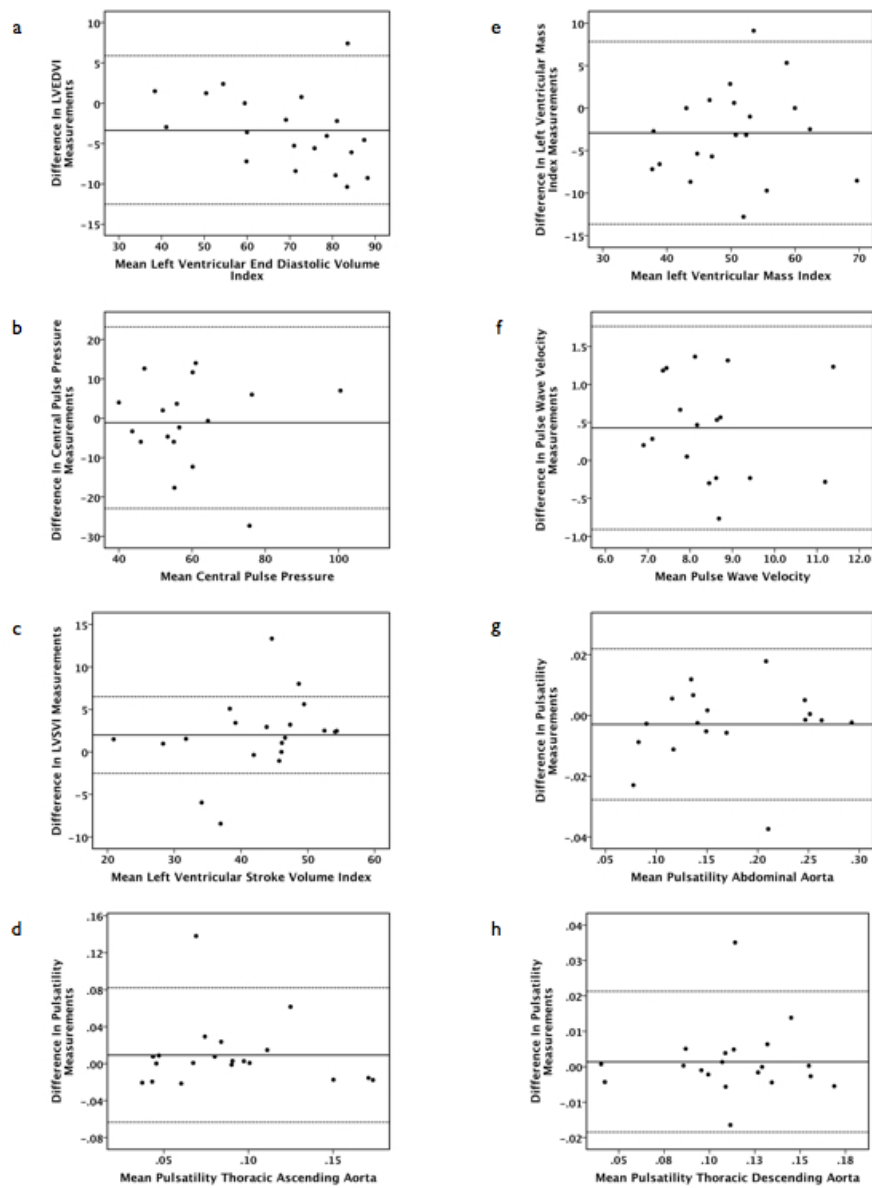
Variable	COPD group n=36	Control group n=54
10-year global cardiovascular risk (QRISK2) score*, %	17.0±10.2	19.2±7.0
Age, yrs	63±9	64±6
Male n (%)	21(58)	41 (76)
Pulse, beats/min	72±16	62±11
eGFR, mL/min/1.73m <sup>2</sup>	80±20	85±19
Brachial SBP, mmHg	133±22	132±12
Brachial DBP, mmHg	77±11	79±9
Hypertension treatment, n (%)	9(25)	29(54)
Cholesterol treatment, n (%)	13(36)	33(61)
Diabetes, n (%)	0(0)	13(24)
Smoking, pack years	47±33	7±10
FEV <sub>1</sub> , L	<b>1.39±0.62</b>	<b>3.16±0.81</b>
FEV <sub>1</sub> /FVC	0.45±0.13	0.74±0.04
FEV <sub>1</sub> Z-score	<b>-3.343±0.980</b>	<b>0.655±1.266</b>
FEV <sub>1</sub> /FVC Z-score	<b>-3.678±0.993</b>	<b>-0.155±0.813</b>
Residual volume, L	<b>3.74±1.90</b>	-
Residual volume, % predicted	172±37	-
<b>Residual volume Z-score</b>	<b>3.736±1.896</b>	
<b>Total lung capacity Z-score</b>	<b>0.851±1.567</b>	
<b>Functional residual capacity Z-score</b>	<b>2.591±1.741</b>	

Abbreviations: DBP: diastolic blood pressure; eGFR: estimated glomerular filtration rate; FEV<sub>1</sub>: forced expiratory volume in 1 second; FVC: forced vital capacity; HT: hypertension; LLN: lower limit of normal, SBP: systolic blood pressure, SD: standard deviation. Plus-minus values are means ± SD. \* The QRISK2 score is a validated global cardiovascular risk score which predicts the likelihood of a myocardial infarction or cerebrovascular accident in the next 10-years based on routinely collected data from National Health Service general practitioner databases in the United Kingdom.

**eTable 2: Sensitivity analysis for confounding factors - comparison of results from matched data and total cohort.**

	Matched cohort			
Vascular function; <i>global measures</i>	Mean $\pm$ SD Controls, n=26	Mean $\pm$ SD COPD, n=26	Mean difference (95% CI)	P
PWV	8.0 $\pm$ 1.9	9.0 $\pm$ 1.4	1.0 (0.1, 1.9)	0.033*
Total Arterial Compliance	0.950 $\pm$ 0.19	0.680 $\pm$ 0.24	-0.27 (-0.4, -0.2)	<0.001*
	TOTAL COHORT Adjusted for age, sex, cholesterol, SBP, hypertension and diabetes.			
Vascular function; <i>global measures</i>	Mean $\pm$ SD Controls, n=54	Mean $\pm$ SD COPD, n=36	Mean difference (95% CI)	P
PWV	7.8 $\pm$ 1.0	8.5 $\pm$ 1.1	0.7 (0.2, 1.1)	0.006*
Total Arterial Compliance	0.985 $\pm$ 0.24	0.680 $\pm$ 0.25	-0.28 (-0.39, -0.17)	<0.001*

Abbreviations: COPD: chronic obstructive pulmonary disease; PWV: pulse wave velocity; SBP: systolic blood pressure;



e Figure 1

Bland-Altman plots showing agreement between measurements a) Left ventricular end diastolic volume index (LVEDVI), b) Central pulse pressure, c) Left ventricular stroke volume index (LVSVI), d) Thoracic ascending aorta pulsatility, e) Left ventricular mass index, f) Pulse wave velocity, g) Abdominal aorta pulsatility and h) Thoracic descending aorta pulsatility.

201x264mm (72 x 72 DPI)

## STROBE Statement—Checklist of items that should be included in reports of case-control studies

	Item No	Recommendation	Page No
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1&3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any prespecified hypotheses	6&7
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	7
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	7
Participants	6	(a) Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	7&8
		(b) For matched studies, give matching criteria and the number of controls per case	7
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8&9
Data sources/measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8&9
Bias	9	Describe any efforts to address potential sources of bias	9&10
Study size	10	Explain how the study size was arrived at	10
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	10
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	10
		(b) Describe any methods used to examine subgroups and interactions	9&10
		(c) Explain how missing data were addressed	N/A
		(d) If applicable, explain how matching of cases and controls was addressed	7
		(e) Describe any sensitivity analyses	10
<b>Results</b>			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	7
		(b) Give reasons for non-participation at each stage	N/A
		(c) Consider use of a flow diagram	N/A
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical,	10

		social) and information on exposures and potential confounders	and Table 1
		(b) Indicate number of participants with missing data for each variable of interest	N/A
Outcome data	15*	Report numbers in each exposure category, or summary measures of exposure	10
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	10-12
		(b) Report category boundaries when continuous variables were categorized	N/A
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	N/A
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	11-12
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	12
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	14
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	10-12
Generalisability	21	Discuss the generalisability (external validity) of the study results	15
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	17

\*Give information separately for cases and controls.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at <http://www.strobe-statement.org>.