1 Sea-ice eukaryotes of the Gulf of Finland, Baltic Sea, and evidence for herbivory on weakly

2 shade-adapted ice algae

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20 Abstract

21 To determine community composition and physiological status of early spring sea-ice organisms, 22 we collected sea-ice, slush and under-ice water samples from the Baltic Sea. We combined light 23 microscopy, HPLC pigment analysis and pyrosequencing, and related the biomass and 24 physiological status of sea-ice algae with the protistan community composition in a new way in the 25 area. In terms of biomass, centric diatoms including a distinct Melosira arctica bloom in the upper 26 intermediate section of the fast ice, dinoflagellates, euglenoids and the cyanobacterium 27 Aphanizomenon sp. predominated in the sea-ice sections and unidentified flagellates in the slush. Based on pigment analyses, the ice-algal communities showed no adjusted photosynthetic pigment 28 29 pools throughout the sea ice, and the bottom-ice communities were not shade-adapted. The sea ice 30 included more characteristic phototrophic taxa (49%) than did slush (18%) and under-ice water 31 (37%). Cercozoans and ciliates were the richest taxon groups, and the differences among the 32 communities arose mainly from the various phagotrophic protistan taxa inhabiting the communities. The presence of pheophytin a coincided with an elevated ciliate biomass and read abundance in the 33 34 drift ice and with a high Eurytemora affinis read abundance in the pack ice, indicating that ciliates 35 and Eurytemora affinis were grazing on algae.

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37 Keywords

38 18S rRNA gene; Accessory pigments; Herbivory; Photoacclimation; Sea ice

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40 Introduction

41 Sea ice is composed of solid ice and saline water called brine (Petrich and Eicken 2010). Brine lies 42 and flows in pockets and interconnected channels within the sea ice, offering habitats for small-43 sized organisms. The diameter of the brine pockets and channels varies from 1 µm to several 44 centimetres (Eicken et al. 1995), depending on the temperature and salinity of the parent water 45 (Palosuo 1961; Petrich and Eicken 2010). The habitable space within the ice is substantially smaller 46 at the low temperatures (< -10 °C) occurring during winter than at the near-zero temperatures of 47 spring. In addition, the volume of the brine-channel system is considerably reduced in low-salinity 48 seas such as the Baltic Sea (salinity range 3-10), compared with truly marine seas (salinity > 24). 49 Due to the small size of the brine channels, the Baltic eukaryotic community consists mainly of 50 protists, and the only notable metazoans present are rotifers and copepod nauplii (Kaartokallio 51 2004; Meiners et al. 2002; Norrman and Andersson 1994).

52 Knowledge of the taxonomy and ecology of Baltic Sea ice-algal communities has accumulated 53 since the first studies were conducted (Hällfors and Niemi 1974; Häyrén 1929; Hickel 1969; 54 Huttunen and Niemi 1986; Niemi 1973), and it has been estimated that these algal communities 55 contribute about 10% of the primary production during the ice-covered season (Haecky and 56 Andersson 1999). Usually, the predominant autotrophic eukaryotes are diatoms (Haecky et al. 1998; 57 Meiners et al. 2002; Norrman and Andersson 1994), but in contrast to Arctic sea ice, the 58 dinoflagellate and green algal biomass is considerable in Baltic Sea ice (Kaartokallio et al. 2007; 59 Piiparinen et al. 2010; Rintala et al. 2010). Another peculiarity of Baltic Sea ice is that the surfacelayer algal biomass may significantly contribute to the overall algal biomass (Meiners et al. 2002; 60 61 Piiparinen and Kuosa 2011; Piiparinen et al. 2010).

The heterotrophic compartment of the eukaryotic community in Baltic Sea ice is less well known;
previous studies have not included detailed identification of heterotrophic protists, with the
exception of publications by Vørs (1992), Ikävalko and Thomsen (1996; 1997) and Ikävalko

65 (1998). The lack of detailed species identification is not due to indolence on the part of these early 66 investigators, but rather that many species cannot be identified with light microscopy (LM) (e.g. 67 Lowe et al. 2011). The same issue also holds for the smaller ($< 10 \,\mu$ m) autotrophic flagellated 68 eukaryotes. These challenges to identification may be disentangled, using elaborate electron 69 microscopy techniques (e.g. Vørs 1992), but also more indirectly by analysing pigments, using 70 high-performance liquid chromatography (HPLC) (Bidigare et al. 2005) or more cost-effectively 71 and thoroughly by molecular methods (Logares et al. 2012).

72 Pigment analyses have been routinely used in phytoplankton research (Jeffrey et al. 2011) and to 73 some extent in sea-ice research (Alou-Font et al. 2013; Kudoh et al. 2003), but not yet in research 74 on Baltic Sea ice algae. Identifying algal taxa based on pigment data is not straightforward, since 75 many pigments are found in several algal groups (e.g. fucoxanthin in diatoms, haptophytes and 76 chrysophytes), and at the very best, taxa can be identified to genus level (Zapata et al. 2004) but 77 usually to class level (Jeffrey et al. 2011). In addition, the downward-attenuating light conditions in 78 the sea-ice column strongly affect cellular pigment composition (Alou-Font et al. 2013) and algae 79 acclimate to changing light climates by adjusting their pigment pool. In the case of light-harvesting 80 chlorophylls and carotenoids, this regulation occurs on a time scale of hours, and in photoprotective 81 xanthophyll-cycle pigments from 1 to several hours (Claustre et al. 1994; Moline 1998). Hence, the 82 ratios of various accessory pigments to chlorophyll a (chl-a) and photosynthetic carotenoids (PSCs) 83 to photoprotective carotenoids (PPCs) are widely used indicators of photoacclimation in algae (e.g. 84 Alou-Font et al. 2013; Arrigo et al. 2014). In addition, during the senescence and death of the cells, 85 the chl-a synthesized by algae undergoes degradation to a variety of chl-a derivatives, e.g. 86 pheophytin a, and thus the presence of pheophytin a can be used as an indicator of cell senescence 87 and grazing (Louda et al. 1998; Prins et al. 1991; Strom 1993).

88 DNA-based approaches have proven to be useful, e.g. for detecting ciliates and flagellates that are difficult to distinguish under LM, and have revealed that heterotrophic protistan taxon richness is 89 90 higher in sea ice than observed by microscopy (Comeau et al. 2013; Majaneva et al. 2012). As in 91 pigment analysis, DNA sequencing has its own limitations; e.g. taxa are not identified to species 92 level, but the 18S ribosomal RNA (rRNA) gene is used as a proxy for species. The level to which 93 individual taxa can be identified is variable and may be restrained by imperfect reference databases 94 and lineage-specific evolutionary rates in the 18S rRNA gene (Caron et al. 2009). The number of 95 18S rRNA gene copies per cell also varies from one to tens of thousands among different 96 eukaryotes (e.g. Zhu et al. 2005), resulting in values that represent not the cellular abundance but 97 the number of 18S rRNA gene copies in the sample. At the same time, no other method can identify 98 all eukaryotic micro-organisms, including cryptic species (Lowe et al. 2011), with the same 99 precision and efficiency as sequencing. Consequently, molecular methods are sovereign tools in 100 differentiating protistan communities (e.g. Comeau et al. 2013).

Here, our aim was to relate the biomass and physiological status of sea-ice algae to the protistan community composition in the Gulf of Finland, Baltic Sea. First, we determined the pigment composition of the sea-ice samples, using HPLC to measure the response of the algae to downwardattenuating light conditions. Secondly, we enumerated the dominant taxa and their biomass, using LM. Thirdly, we pyrosequenced the partial 18S rRNA genes of eukaryotes to identify the eukaryotic taxa present in the samples.

107

108 Material and Methods

109 Sampling

We collected 20 samples (15 sea-ice, 3 slush and 2 under-ice water samples) from three research
vessel (R/V) Aranda sea-ice cruise stations (Gulf of Finland, Baltic Sea, 8–19 March, 2010): a
drift-ice station on 9 March (59°55.67' 26° 01.08'), a heavily packed fast-ice station on 11 March
(60°14.30' 26°37.56') and a level fast-ice station on 13 March (60°19.66' 26°51.73'; Supplementary
figure 1).

115 We collected the ice samples with a motorized Cold Regions Research and Engineering Laboratory 116 (CRREL)-type ice-coring auger (9 cm internal diameter, Kovacs Enterprises LLC, Roseburg, OR, 117 USA). We obtained seven ice cores from each station: one for temperature measurements, one for 118 ice structure and five for all the remaining measurements. The five cores were immediately 119 sectioned into five pieces of approximately equal size: surface, upper intermediate, middle, lower 120 intermediate and bottom sections. Thus, the sections varied in size, depending on the ice thickness 121 of each core (43–112 cm). At each location, we placed all five surface sections into a plastic bag, all 122 five bottom sections into another plastic bag, and so on. The ice was then crushed inside the bags, 123 transferred to a bucket and left to melt in darkness at +4° C without filtered seawater, as shown in 124 Rintala et al. (2014). We took three replicate slush samples at the fast-ice station, each replicate 2 m 125 apart. We shovelled each replicate from an approximately 50-cm x 50-cm square and left them to melt in a basket in darkness at +4 °C. We sampled the under-ice water by submersing 1-l bottles in 126 127 the corer holes at the drift-ice and fast-ice stations.

128 *Ice physics*

We measured the ice temperatures at 5-cm intervals immediately after sampling, and we used the bulk salinities of the melted ice samples for calculating the brine volume (%) estimates (Cox and Weeks 1983; Leppäranta and Manninen 1988). We sealed the ice-structure cores in a plastic bag and stored them frozen until the crystal structure was analysed. For the analyses, the ice cores were split lengthwise and cut into 10–20-cm-long and 1-cm-thick ice sections. These ice sections were

frozen on glass plates and planed to thin sections of about 1 mm (Sinha 1977). The thin sections
were placed in polarized light between two crossed polarization plates and classified as columnar,

136 transitional or snow ice, based on crystal size and shape. All the work was carried out at -20°C.

137 Nutrients

138 The concentrations of inorganic $(NO_3 + NO_2, NO_2)$ and total (tot-N) nitrogen, inorganic (PO₄) and 139 total (tot-P) phosphorus and silicon dioxide (SiO₂) were determined, using a Lachat QuickChem 140 8000 autoanalyser (Lachat Instruments, Hach Co., Loveland, CO, USA) with the methods described 141 in Hansen and Koroleff (1999). The concentrations of ammonium (NH₄) were determined 142 manually, using a Genesys 10 spectrophotometer (Thermo Fisher Scientific Inc., Waltham, MA, 143 USA). Since algae live in the concentrated brine and the concentrations of nutrients were measured 144 from the melted bulk ice and slush, we normalized the concentrations of the nutrients to the under-145 ice water salinity, following Kaartokallio (2004), to reveal the salinity-independent changes.

146 *Pigments*

For pigment analyses, we filtered 100-206-ml subsamples on GF/F filters (Whatman, Sigma-147 148 Aldrich Co. LLC, St. Louis, MO, USA). We kept the filters at -80 °C until we sent them on dry ice 149 to the Danish Hydraulic Institute (DHI; Hørsholm, Denmark) for analysis. At the DHI, the GF/F 150 filters were transferred to vials with 3 ml 95% acetone with an internal standard (vitamin E). The 151 samples were vortexed, sonicated on ice, extracted at +4 °C for 20 h and mixed again. The 152 dissolved samples were then filtered through a 0.2 µm Teflon syringe filter into HPLC vials and placed in the cooling rack of the HPLC. Buffer (357 µl) and extract (143 µl) were injected into a 153 154 Shimadzu LC-10 A HPLC system (DataApex Ltd., Prague, Czech Republic) with LC Solution software, using a pre-treatment program and mixing in the loop before injection. The HPLC method 155 156 was that used by Hooker et al. (2005) with the DHI internal method No.: SF No.: 30/852:01. In

157 addition to chl-a, other chlorophylls (chl-b, $-c_1$, $-c_2$, pheophytin a), PSCs (peridinin, fucoxanthin, 158 neoxanthin, prasinoxanthin, alloxanthin) and PPCs (aphanizophyll, violaxanthin, myxoxanthophyll-159 like-1, diadinoxanthin, myxoxanthophyll-like-2, zeaxanthin, lutein, myxoxanthophyll-like-3, 160 canthaxanthin, echinenone, β -carotene) were measured. Since our ice cores were melted overnight 161 in darkness prior to extraction of the pigments, the pigments related to the xanthophyll cycle may 162 have been enzymatically transformed into forms adapted to the dark conditions during the melting 163 procedure (Claustre et al. 1994; Moline 1998). Our additional melting experiment verified that the 164 PPCs disappeared from the samples more quickly than the PSCs and chlorophylls (Supplementary 165 figure 2). Hence, we could not use the PPC:PSC ratios to consider the level of photoacclimation of 166 the communities. The photosynthetic pigments, however, were not negatively affected by the 167 melting time and were used here.

For comparison, we measured chl-*a* independently from each sample, using two 100-ml subsamples that we filtered onto GF/F filters, which we soaked in 96% v/v ethanol and kept in darkness overnight. We then filtered the ethanol through the GF/F filters to remove any particles and calculated the concentration of chl-*a* from the fluorescence that we measured with a Jasco FP-750 spectrofluorometer (Jasco Inc., Easton, MD, USA) calibrated with pure chl-*a* (HELCOM 1988). We presented the spectrofluorometer-measured chl-*a* results in association with primary production calculations for sea ice (Müller et al. 2016).

175 *Light microscopy*

For LM, we fixed 200-ml subsamples with glutaraldehyde (2% final concentration) and kept them in darkness at +6 °C until analysis. The organisms were enumerated with a Leica DMIL light microscope (Leica Microsystems GmbH, Wetzlar, Germany) in 50-ml subsamples settled according to Utermöhl (1958). Acid Lugol's solution (Willén 1962) was added to the glutaraldehyde-fixed samples just prior to the counting. The algal species with easily recognizable colony structure and

181 cell shape were identified at the species level, whereas the undetermined species were left at a 182 general level, e.g. Gymnodinium corollarium A.M. Sundström, Kremp & Dauhbjerg, Biecheleria 183 baltica Moestrup, Lindberg & Daugbjerg and Scrippsiella hangoei (J. Schiller) J. Larsen, with 184 similar gross morphology, were identified as Scrippsiella-complex and the various euglenoid 185 species as two size categories. Larger organisms were calculated with 10x/12.5 objectives from the 186 entire cuvette bottom and smaller organisms with 40x/12.5 objectives from 120 grids distributed 187 evenly over the cuvette bottom. The exception was the under-ice water sample at the drift-ice 188 station, from which the smaller taxa were calculated from 60 grids distributed evenly over the 189 cuvette bottom with 25x/12.5 and 40x/12.5 objectives. We converted the algal cell numbers into carbon biomasses (μ g C l⁻¹), using species-specific biovolumes and carbon contents according to 190 191 Olenina et al. (2006) and Menden-Deuer and Lessard (2000).

192 Molecular work

193 For the DNA extraction, we sequentially filtered 550–600-ml of water, melted sea ice and slush 194 with 47-mm-diameter 180-µm pore-size nylon filters (Merck Millipore, Billerica, MA, USA), 20-195 µm polyvinylidene fluoride filters (Durapore©, Millipore), and 0.2-µm mixed-cellulose ester 196 membrane filters (Schleicher and Schuell Bioscience GmbH, Dassel, Germany). We stored the 0.2-197 µm filters in liquid nitrogen while on board and transferred them to a -80 °C freezer ashore until 198 further processing. We then soaked the 0.2-µm filters in DNA lysis buffer (100 mM Tris, 50 mM 199 EDTA, 500 mM NaCl, 0.6% w/v SDS) and extracted the total DNA from each filter with the 200 phenol-chloroform method (Maggs and Ward 1996).

201 Amplification and sequencing of the approximately 480-base pair (bp)-long 18S rRNA gene

202 fragments (including the variable sites V7, V8 and V9) were carried out in two separate laboratories

203 (the Research Center for Aquatic Genomics, Yokohama, Japan and the Institute of Biotechnology,

Helsinki, Finland), using primers 18S-F1289 and 18S-R1772 (Nishitani et al. 2012) with attached

sample-specific 6-bp-long barcode tags, as described in Majaneva et al. (2015). The PCR products
were mixed in equimolar ratios and a GS FLX Titanium Rapid Library Preparation Kit (HoffmannLa Roche, Basel, Switzerland) was used to prepare a DNA library. These pooled libraries were then
amplified with beads by emulsion PCR, and the amplified fragments in the DNA libraries were
pyrosequenced on a picotitre plate with the 454 GS FLX Titanium system and reagents (HoffmannLa Roche). We submitted the raw reads to the Sequence Read Archive of the European Nucleotide
Archive (ENA) with accession number PRJEB7625.

212 We outlined the pyrosequencing results previously and used the total number of reads and 213 operational taxonomic units (OTUs) for comparison of the various bioinformatic strategies 214 (Majaneva et al. 2015). Here, we present the results in more detail. We processed the sequences in 215 accordance with the QIIME Denoiser UCHIME pipeline (Majaneva et al. 2015), using QIIME 1.8.0 216 (Caporaso et al. 2010) and following the 454 Overview Tutorial and Analysis of the 18S data 217 available in http://giime.org/tutorials/index.html# (accessed January-March, 2014). To ensure 218 favourable quality of the sequences, we eliminated those with more than six homopolymers, those 219 with ambiguous bases, those with greater than zero mismatch in the barcode and primer sequence, 220 and used the Denoiser (Reeder and Knight 2010) to further reduce the sequencing error rate. We 221 identified chimeric reads, using UCHIME (Edgar et al. 2011). Our sample reads served as a 222 reference. We removed reads occurring only once. We picked OTUs at the 97% similarity level, 223 using the UCLUST method (Edgar 2010) in pick otus.py. We generated taxonomic assignment of 224 the 97% OTUs, using SILVA database release 111 (Quast et al. 2013) within the QIIME program 225 package with UCLUST and the BLAST (Altschul et al. 1997). If UCLUST failed to assign the 226 OTU, we used BLAST. In addition, we investigated common or ambiguously classified OTUs 227 further, using BLASTn at the National Center for Biotechnology Information (NCBI). Once 228 classified, we categorized the OTUs as phototrophs, heterotrophs or parasites, based on the

phylogenetic position of the specific taxa and available literature (see Supplementary file 1). For
downstream analyses, the number of reads per sample was normalized to 1354.

231 Statistics

232 To determine the general relationships, we used Spearman's p correlation to test the association 233 between two variables (pigment, LM and molecular results in different combinations). We 234 correlated the biomass and read abundance of some specific taxa, but not the total abundance of the 235 reads with chl-a or biomass, since research has shown that some taxa are overrepresented in 236 molecular studies, induced by different cell concentrations, biovolumes and co-occurring organisms 237 (e.g. Amacher et al. 2011). We chose Spearman's p, because it measures the extent to which the 238 other variable tends to increase or decrease as one variable increases, without requiring a linear 239 relationship. This is according to the philosophy that species may have non-linear relationships. We 240 used Dancey and Reidy's (2004) strength categorization: the correlation is strong when its value is 0.7–0.9 and moderate when 0.4–0.6. We also tested whether the number of OTUs and ratios of the 241 242 various accessory pigments to chl-*a* differed throughout the ice sections, using the one-way 243 ANOVA or non-parametric Kruskal-Wallis test (in the case when the variables were not normally 244 distributed, the Shapiro-Wilk test).

245 Furthermore, we investigated whether our samples were significantly grouped into two different a priori groups: (1) sample type, including under-ice water, slush, drift ice, pack ice and fast ice and 246 247 (2) vertical position, including under-ice water, slush, surface ice, upper intermediate ice, middle 248 ice, lower intermediate ice and bottom ice. For these analyses, we used our LM, HPLC and 249 molecular taxonomic results individually and combined as variables in principal coordinate analysis 250 (PCoA) and following generalized discriminant analysis based on distances (CAP; Anderson and Robinson 2003; Anderson and Willis 2003). We transformed the data to $y' = \ln (y + 1)$, and used 251 252 the Bray-Curtis dissimilarity (LM and HPLC, all data combined) or Jaccard dissimilarity (molecular

253 results) as a distance measure and let the CAP program determine the appropriate number of 254 dimensions (m) included in the discriminant analyses. OTUs that were observed more than once in a 255 minimum of two samples were included into the analysis to ensure sufficient data for ordination, 256 thus reducing the total number of OTUs from 221 to 118. For our molecular taxonomic results, we 257 chose a slightly modified approach for two reasons: (1) we used two different protocols to sequence 258 our samples, resulting in differing quality of these two data sets (see Majaneva et al. 2015) and (2) 259 the abundance of sequences was derived from the PCR amplification, which is not a real 260 abundance, but a compositional view. Only the molecular results showed significant grouping and 261 are presented here. In addition, we identified the OTUs that were responsible for the multivariate 262 patterns by considering the moderate and strong correlations of individual taxa with canonical axes 263 (86 OTUs).

264

265 **Results**

The mean (range) ice depth was 51 cm (43–66 cm), 82 cm (53–112 cm) and 55 cm (49.5–57.5 cm) at the drift-ice, pack-ice and fast-ice stations, respectively. The mean snow depth was 7.5 cm, 5.5 cm and 5.5 cm at the respective stations. There was an additional, averaging 7.5-cm thick, layer of slush between the snow and ice at the fast-ice station.

The surface and upper intermediate sections were mostly snow ice or transitional ice, while the middle, lower intermediate and bottom sections were transitional or columnar ice at the fast-ice station (Fig. 1a). The estimated brine volumes were 4.6–6.2 %, 4.0–8.0 % and 2.8–8.1 % at the drift-ice, pack-ice and fast-ice stations, respectively (Fig. 1b).

The concentrations of NO₃+NO₂, NH₄ and tot-N were significantly higher in the fast ice than in the drift and pack ice (repeated measures ANOVA, p < 0.01, followed by Tukey's pairwise 276 comparisons), while the concentrations of PO₄, tot-P and SiO₂ were similar among the stations 277 (Table 1). The nutrient concentrations in the ice were uniform vertically except for NH₄, which was significantly higher in the surface ice than in the lower ice sections (mean in surface ice 15.77 µmol 278 1^{-1} , mean in lower ice sections 5.83 µmol 1^{-1} , one-way ANOVA, p < 0.01, followed by Tukey's 279 280 pairwise comparisons). The molar ratios of NO₃+NO₂:SiO₂, NO₃+NO₂:PO₄ and SiO₂:PO₄ tended 281 towards phosphorus deficits in the slush, surface and upper intermediate ice sections 282 (NO₃:Si(OH)₄:PO₄ 16:15:1; Brzezinski 1985; Redfield et al. 1963). The ratios were near optimal in the lower intermediate and bottom sections of the pack-ice and fast-ice stations, but tended towards 283 284 nitrogen deficits in the lower ice sections of the drift ice. The PO₄ concentration was below the 285 detection limit only in the middle section of the pack ice and the upper intermediate section of the 286 fast ice, suggesting that algae had been actively consuming nutrients.

The concentration of chl-*a* was low in the under-ice water (0.5–1.0 µg l⁻¹; spectrofluorometermeasured values; Müller et al. in press) and slush (0.5–1.6 µg l⁻¹). It was more variable within the ice, ranging from 0.8 µg l⁻¹ up to 13.8 µg l⁻¹ (Fig. 2a–c). Fucoxanthin (0.021–6.438 µg l⁻¹, HPLC) was the predominant accessory pigment, followed by pheophytin *a* (0–2.827 µg l⁻¹), chl-*b* (0.030– 0.574 µg l⁻¹), chl-*c*₁ (0–1.268 µg l⁻¹), chl-*c*₂ (0–0.754 µg l⁻¹), peridinin (0.023–1.278 µg l⁻¹), diadinoxanthin (0.014–1.673 µg l⁻¹) and β-carotene (0.001–0.404 µg l⁻¹) (Fig. 2a–c; Supplementary table 1).

The concentrations of the accessory pigments combined in our samples correlated strongly with the concentrations of chl-*a* (Spearman's $\rho = 0.917$, p < 0.001), and the accessory pigments to chl-*a* ratios were constant throughout the sea ice (median 0.79; Kruskal-Wallis test, p > 0.05; Fig. 2d–f). An exception was the bottom-ice section of the pack ice, where the concentration of pheophytin *a* (2.827 µg l⁻¹) was higher than that of chl-*a* (2.425 µg l⁻¹; discussed below in association with potential herbivory). The chl-*b*+*c*:chl-*a*, carotenoids:chl-*a*, PSC:chl-*a* and fucoxanthin:chl-*a* ratios

300 were also constant throughout the sea ice (Kruskal-Wallis test, p > 0.05), showing no vertical 301 adjustment of the photosynthetic pigment pool.

302 The biomass of the algae correlated strongly with the concentration of chl-*a* (Spearman's $\rho = 0.829$,

303 p < 0.001). The biomass was higher in the slush (12–44 µg C l⁻¹) than in the under-ice water (2–8

 μ g C l⁻¹; Fig. 2a,c). In the sea ice, the biomass was highly variable (13–110 μ g C l⁻¹), and the

305 highest biomasses were in the bottom (60 μ g C l⁻¹; Fig. 2a), middle (46 μ g C l⁻¹; Fig. 2b) and upper

306 intermediate (110 µg C l⁻¹; Fig. 2c) sections of the drift ice, pack ice and fast ice, respectively.

307 The number of OTUs (Table 1) did not correlate (p > 0.05) with either the concentration of chl-a or 308 the LM-enumerated biomass of algae, suggesting that the OTU richness was not related to algal 309 biomass in the sea ice. The number of OTUs was higher in the under-ice water than in the sea ice 310 and slush (mean 107, 70 and 53, respectively; one-way ANOVA, p < 0.01, followed by Tukey's 311 pairwise comparisons). PCoA of the molecular community composition separated the under-ice 312 water and slush samples from the ice samples. The following discriminant analysis revealed that 313 there were also significant differences in the drift-ice, pack-ice and fast-ice communities (δ^2_1 = $0.978, \delta^2_2 = 0.944, t_2 = 3.55, p < 0.001, 9999$ permutations, mis-classification error 5%, Fig. 3). The 314 315 differences among the communities arose mainly from the various phagotrophic protistan taxa 316 inhabiting the communities, as observed in older sea ice in the Antarctic (Stoecker et al. 1993). 317 Slush especially was characterized by the presence of phagotrophic protists; only 18 % of the OTUs 318 correlating with slush were phototrophic while 37 % of those correlating with the under-ice water 319 and 49 % of those correlating with ice were phototrophs (Fig. 3). Overall, 36 % of the OTUs were 320 phototrophs in our data set (Supplementary file 1).

321 The centric diatom *Melosira arctica* Dickie bloomed in the upper intermediate section (11–22 cm) 322 at the fast-ice station, with a particularly high biomass of over 76 μ g C l⁻¹ (Fig. 4c; Supplementary 323 table 2). At the pack-ice station, *Melosira arctica* also showed the highest biomass in the upper

intermediate section (Fig. 4b; 11.6 μ g C l⁻¹; 16–32 cm) together with small, 6–10- μ m, unidentified centric diatoms (10.2 μ g C l⁻¹, probably *Thalassiosira* Cleve species, OTU 252; Fig. 5b). Overall, centric diatoms were more abundant than pennate diatoms in our sea-ice samples, except in the bottom section (ice depth 44–55 cm) at the fast-ice station, where the pennate *Pauliella taeniata* (Grunow) F.E. Round & Basson had the highest biomass (4.5 μ g C l⁻¹).

329 In terms of biomass, unidentified dinoflagellates smaller than 20 µm were the most abundant 330 dinoflagellates (Fig. 4a-c; Supplementary table 2). The biomass of these dinoflagellates correlated 331 moderately with the read abundance of *Heterocapsa arctica* Horiguchi subsp. frigida Rintala & G. Hällfors (OTU 64; Spearman's $\rho = 0.59$, p < 0.01; Fig. 5), a species that was not recognized in 332 333 microscopic counts, but that was one of the four most abundant dinoflagellate OTUs present in all 334 samples. The other three dinoflagellate OTUs present in all of our samples were affiliated with 335 Scrippsiella hangoei (OTU 112; Supplementary file 1), Biecheleria baltica (OTU 268) and 336 Gymnodinium corollarium (OTU 184), which are difficult to separate under LM. These three 337 species are commonly found in ice and begin their spring bloom in the under-ice water (Spilling 338 2007; Sundström et al. 2009). Here, Biecheleria baltica correlated strongly with sea ice (Fig. 3), but 339 when we used the abundance of the reads indicatively, Scrippsiella hangoei was the most abundant 340 species in our samples. Exceptions occurred in the middle and lower intermediate sections of the 341 pack ice, where *Biecheleria baltica* was the most abundant species (Fig. 5b), and the three species showed the highest biomass (middle section of the pack ice 2.7 μ g C l⁻¹; Fig. 4b). 342

Cercozoans constituted 20% of the OTU richness, and their read abundance was highest in the surface-ice sections, drift-ice bottom section and slush (Fig. 5a-c; Supplementary file 1), but their reads may have been overrepresented, due to high pyrosequencing error rates in Cercozoa (Behnke et al. 2011). However, *Cryothecomonas* Thomsen Buck, Bolt & Garrison and *Protaspis* Skuja species have shown clear preference for the ice habitat (Ikävalko and Thomsen 1997; Thaler and 348 Lovejoy 2012; Vørs 1992). Here, the various Cryothecomonas, Protaspis and Protaspa Cavalier-349 Smith OTUs were characteristic of slush and ice, while being rare in the under-ice water (Fig. 3: 350 Supplementary file 1). Most of the cercozoan OTUs were classified only to a higher taxonomic 351 level, and their identity and potential role remain obscure (Supplementary file 1). 352 The unidentified flagellates had the highest biomass in slush (Fig. 4c; 65 % of algal biomass). 353 Based on our molecular results, these algae were affiliated with two Ochromonas Vysotskii species 354 (OTUs 287 and 92; Supplementary file 1), Pyramimonas gelidicola McFadden, Moestrup & 355 Wetherbee (OTU 171), Aureococcus P.E. Hargraves & P.W.Sieburth species (OTU 108) and 356 Chlamydomonas pulsatilla H.W. Wollenweber (OTU 288). However, these OTUs were also present 357 in sea ice and did not correlate with the slush (Fig. 3). The green algae Mantoniella squamata 358 (Manton & Parke) Desikachary (OTU 15), Chlamydomonas Ehrenberg (OTU 109) and 359 Chlamydomonas pulsatilla (OTU 288), on the other hand, correlated moderately with the sea ice 360 (Fig. 3). The under-ice water and sea ice harboured different cryptomonad species, based on our 361 molecular results. Teleaulax amphioxeia (W. Conrad) D.R.A. Hill (OTU 44) was characteristic of 362 the under-ice water, while Hemiselmis M.W. Parke (OTU 194), Chroomonas Hansgirg (OTU 125) 363 and Falcomonas D.R.A. Hill (OTU 167) were characteristic of the sea-ice. Other characteristic sea-364 ice flagellates included the haptophyte Isochrysis M. Parke species (OTU 85), Goniomonas Stein 365 species (OTU 253), Nannochloropsis limnetica L. Krienitz, D. Hepperle, H.-B. Stich & W. Weiler 366 (OTU 259), two Paraphysomonas De Saedeleer species (OTUs 32 and 133) and two unaffiliated chrysophytes (OTUs 30 and 134). 367

The high biomass of the cyanobacterium *Aphanizomenon* A. Morren ex É. Bornet & C. Flahault sp. (e.g. 22.1 μ g C l⁻¹ in the bottom section of the drift ice; Fig. 4a) is a genuine peculiarity of Baltic

370 Sea ice. Niemi (1973) already reported that *Aphanizomenon* sp. is abundant in the Baltic Sea during

371 winter. It tolerates salinities of only up to 10 (Lehtimäki et al. 1997), and therefore the high biomass

of *Aphanizomenon* sp. in sea ice indicates the presence of low-salinity brine during the time ofbiomass accumulation.

374	Ciliates were rarely observed in LM, but Strombidium Claparède & Lachmann species showed
375	measurable biomass (2.3–5.3 μ g C l ⁻¹ ; Fig. 6c, Supplementary table 2) in slush. Based on our
376	molecular results, these were cells of mixotrophic Strombidium biarmatum Agatha, Strüder-Kypke,
377	Beran & Lynn (Spearman's $\rho = 0.640$, p < 0.01, OTU 241; Fig. 6d, Supplementary file 1; Agatha et
378	al. 2005). The presence of pheophytin <i>a</i> and higher biomass of ciliates coincided in the lower
379	intermediate and bottom sections of the drift ice (pheophytin <i>a</i> : 0.4–0.5 μ g l ⁻¹ , biomass: 3.1 μ g C l ⁻¹ ;
380	Fig. 6a), suggesting ciliate herbivory. The most abundant OTUs in these samples were affiliated
381	with Phialina Bory de Saint Vincent (OTU 12; Fig. 6d, Supplementary file 1), Homalogastra setosa
382	Kahl (OTU 116), Balanion Wulff (OTU 31) and Rimostrombidium veniliae (Montagnes & Taylor)
383	Petz, Song & Wilbert (OTU 62). Lacrymaria rostrata Kahl or Lacrymaria Ehrenberg sp. have been
384	commonly reported in Baltic Sea ice (e.g. Kaartokallio et al. 2007; Rintala et al. 2010, our LM), but
385	these ciliates belong to the genus Phialina rather than to the genus Lacrymaria, based on our
386	molecular results (OTUs 65 and 12 in Supplementary file 1, Spearman's $\rho = 0.476$, p < 0.05).

387

388 Discussion

The ice-algal communities studied were in the early-blooming stage, considering the sampling season, brine volumes, nutrient concentrations and biomass of organisms (Kuosa and Kaartokallio 2006; Piiparinen et al. 2010). The algae were not limited by space, since most of our ice sections had brine volumes over 5 %, which is the threshold at which brine channels become interconnected (Golden et al. 1998). On average, the brine volume of Baltic Sea ice is lower, 1.5–3.5 % (Granskog et al. 2006), and such low brine volumes restrict to some degree the biomass in sea ice (Piiparinen et al. 2010). Similarly, the ice algae were hardly nutrient limited, since the relatively high
concentrations of salinity-normalized nutrients were measured from bulk ice and algae live in the
concentrated brine. The uniform accessory pigments to chl-*a* ratios vertically indicated that the
algae, as a community, did not adjust the photosynthetic pigment pool vertically or contain more
accessory photosynthetic pigments deeper in the ice and were not shade adapted. The weak shade
adaptation and the time of sampling also suggest that the ice algae were likewise not limited by
light (Kuosa and Kaartokallio 2006).

402 The weak shade adaptation is in contrast to the results from polar regions (Alou-Font et al. 2013; 403 Arrigo et al. 2014), where the accessory pigment composition and accessory pigments to chl-a 404 ratios reflected acclimation to available light. In comparison to arctic and antarctic sea ice (Alou-405 Font et al. 2013; Arrigo et al. 2014), the relatively thin ice and snow cover of the Baltic Sea, as well 406 as our time of sampling, may explain why there was no vertical change in the photosynthetic 407 accessory pigments. This is supported by the light saturation index (E_k) , which did not tend to 408 decrease downwards (Müller et al. in press), albeit the 5.3–7.5-cm snow cover at our collecting sites 409 was sufficient to attenuate 80% of the incident radiation (Müller et al. in press). The E_k values in our samples were also higher than those in previous Baltic Sea measurements (Enberg et al. 2015; 410 411 Piiparinen and Kuosa 2011; Piiparinen et al. 2010; Rintala et al. 2010). Nevertheless, all the Baltic 412 $E_{\rm k}$ values measured are more comparable to values under thin-ice conditions than to values under 413 low-light conditions (Ban et al. 2006; Obata and Taguchi 2009; Robinson et al. 1998), and Baltic 414 Sea bottom-ice algae are not as shade-adapted as the bottom-ice algae in polar regions (Alou-Font 415 et al. 2013; Arrigo et al. 2014). Within the thinner Baltic Sea ice, there is simply more light 416 available for the bottom-ice algae than within the thicker polar sea ice.

Presumably, the light conditions were also more favourable for centric than pennate diatoms,
considering P-E curves (Müller et al. 2016) and the fact that centric diatoms are not as shade

adapted as pennate diatoms (Piiparinen et al. 2010). The centric *Melosira arctica* exploits the light
available in the Baltic surface ice and forms dense blooms, especially if there is space and nutrients
(Kuosa and Kaartokallio 2006; Piiparinen et al. 2010; Rintala et al. 2010). Thus, the dominance of
pennate diatoms in the Baltic Sea ice (Haecky and Andersson 1999; Haecky et al. 1998; Huttunen
and Niemi 1986; Ikävalko and Thomsen 1997) is not predetermined, and diatom blooms are not
restricted to the bottom ice.

425 Ciliates have been identified as key herbivores in Baltic Sea ice (Kaartokallio 2004; Kaartokallio et 426 al. 2007; Rintala et al. 2006, 2010). Here, the ciliate biomass was low and ciliate reads were 427 probably overrepresented, due to high gene copy numbers, large biovolumes and preferential primer 428 binding of alveolates (Amacher et al. 2011; Zhu et al. 2005), as well as possible amplification of 429 dormant cysts. The absence of pheophytin a (Fig. 6c, Supplementary table 1), an indicator for 430 herbivory (Prins et al. 1991; Strom 1993; Szymczak-Zyła et al. 2008), from most of the samples 431 supports the view that ciliates were rare in our samples. The most abundant ciliates encountered 432 here - Strombidium species - are also known for their capability for sequestering viable 433 chloroplasts from their algal prey and using them to acquire phototrophy (Stoecker et al. 2009). 434 Thus, the slush samples were not experiencing high rates of herbivory, even though the 435 Strombidium biomass and read abundance were high.

436 In contrast, we found evidence for ciliate herbivory in drift ice, where the presence of pheophytin *a*,

437 higher ciliate biomass and herbivorous Homalogastra Kahl, Balanion and Rimostrombidium

438 (Fauré-Fremiet) Jankowski (Kahl 1926; Kim et al. 2007; Liu et al. 2012) OTUs coincided.

439 Glutaraldehyde and Lugol's solution are known to cause up to 70% shrinkage of ciliates (Choi and

440 Stoecker 1989; Jerome et al. 1993). Consequently, Homalogastra, Balanion and Rimostrombidium

441 species were the clearest candidates for ice algal grazers in the drift ice, although they are > 25 μ m

442 in size (Kahl 1926; Kim et al. 2007; Liu et al. 2012), while the most abundant ciliates in the drift-ice

443 samples were $< 10 \,\mu m$ (Fig. 6a, Supplementary table 2). In the upper intermediate section of the 444 fast ice, pheophytin *a* originated more probably from senescent blooming *Melosira arctica* cells than from herbivory (Louda et al. 1998). Likewise, the high concentration of pheophytin a at the 445 bottom of the pack ice $(2.8 \ \mu g \ l^{-1})$; Fig. 6b, Supplementary table 1), with no metazoans or ciliates 446 447 encountered in LM and low ciliate read abundance (Fig. 6e; Supplementary tables 2 and 3), could 448 have indicated the presence of senescent cells. However, the copepod Eurytemora affinis Poppe 449 reads were abundant in pack ice (Fig. 5b, Supplementary file 1), and a single copepodid stage IV 450 *Eurytemora affinis* was found in the surface section of the pack ice, implying that this common 451 Baltic Sea copepod was present as living individuals in the pack ice and perhaps responsible for the 452 herbivory in the bottom-ice section of the pack ice.

453 In conclusion, we showed that Baltic Sea ice algae do not adjust the photosynthetic pigment pool 454 vertically and thus are not shade-adapted in the early-blooming stage in March, and we lent support 455 to the view that centric diatoms are equally as important in Baltic Sea ice as are pennate diatoms 456 and may bloom in any section of the ice. Based on our results, phototrophs preferred sea ice and 457 were present in various types of ice, while various phagotrophic taxa were characteristic of the sea-458 ice, slush and under-ice water communities. Lastly, the presence of pheophytin a coincided with 459 elevated ciliate biomass and high read abundance of three herbivorous ciliate OTUs in the drift ice 460 and high read abundance of *Eurytemora affinis* in the pack ice, indicating that the ciliates and 461 *Eurytemora affinis* were grazing on the ice algae.

462

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473	
474	Appendix A. Supplementary data
475	The supplementary data associated with this article can be found, in the online version, at http://

476

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699	Supplementary material
700	Supplementary table 1. Concentrations of pigments in each sample.
701	Supplementary table 2. Light microscopy (LM)-quantified biomass of taxa in each sample.
702	Supplementary file 1. Abundance of operational taxonomic units (OTUs) in each sample, their
703	taxonomy and potential nutritional mode.
704	Supplementary figure 1. Map showing the sampling locations in the Gulf of Finland, Baltic Sea.
705	Supplementary figure 2. Concentrations of pigments in an additional melting experiment.
706	

707 Figure titles and legends



Fig. 1. Physical properties of the ice. (a) Ice structure of two cores taken from the fast-ice station.
(b) Estimated brine volumes at each station. We estimated the brine volumes, based on the bulk
salinity and average ice temperature at each section (Cox and Weeks, 1983; Leppäranta and
Manninen, 1988).



Fig. 2. Pigment composition and biomass in the samples. (a)–(c) Concentrations of pigments (μ g l⁻¹) grouped as chlorophylls *b*+*c*, photosynthetic carotenoids (PSCs), pheophytin *a* and photoprotective carotenoids (PPCs), concentrations of chlorophyll *a* (chl-*a*) measured using a spectrofluorometer and HPLC, as well as total algal biomass in the (a) drift ice, (b) pack ice and (c) fast ice. The additional panel illustrates high concentrations of pigments in the middle section of the fast ice. (d)– (f) Ratios of total and grouped accessory pigments to chl-*a* (HPLC-measured) in the (d) drift ice, (e)

- pack ice and (f) fast ice. The legend is the same for figures (a)–(f). See Supplementary table 1 for
- results in detail.





Chloroplastida: OTU 109 Chlamydomonas OTU 288 Chlamydomonas pulsatilla OTU 15 Mantoniella squamata Eukaryota incertae sedis: OTU 167 Falcomonas sp. OTU 194 Hemiselmis sp. OTU 125 Chroomonas sp. OTU 253 Goniomonas **OTU 85 Isochrysis** Opisthokonta: OTU 55 Rotifera OTU 180 Eurytemora affinis Alveolata: OTU31 Balanion OTU 164 Stichotrichia OTU 199 Frontonia OTU 12 Phialina

OTUs characteristic of ice

OTU 116 Homalogastra setosa OTU 268 Biecheleria baltica OTU 64 Heterocapsa arctica Rhizaria: OTU 88 Silicofilosea OTU 120 Cercomonas OTU 8 Ebria OTU 276 Ebria OTU 4 Thraustochytriaceae OTU 273 Cryothecomonas sp. OTU 161 Cryothecomonas aestivalis OTU 111 Protaspidae OTU 147 Protaspis grandis stramenopiles: OTU 138 Bacillariophyceae OTU 221 Mediophyceae OTU 143 Chaetoceros OTU 166 Chaetoceros OTU 252 Thalassiosira sp. OTU 217 Skeletonema marinoi OTU 225 Pauliella taeniata OTU 104 Melosira arctica OTU 30 Chrysophyceae OTU 134 Chrysophyceae OTU 32 Paraphysomonas OTU 133 Paraphysomonas imperforata OTU 259 Nannochloropsis limnetica OTU 132 MAST-1

OTU 79 Labyrinthuloides

OTUs characteristic of slush

Opisthokonta: OTU 24 Chytridiomycota Alveolata: OTU 213 Alveolata OTU 282 Spirotrichea OTU 241 *Strombidium biarmatum* Rhizaria: OTU 201 Cercozoa OTU 153 Silicofilosea OTU 254 Silicofilosea OTU 254 Silicofilosea OTU 254 *Protaspa* sp. OTU 234 *Mataza hastifera* stramenopiles: **OTU 287 Ochromonas sp. OTU 108 Aureococcus sp.** OTUs characteristic of under-ice water

Chloroplastida: OTU 149 Bathycoccus prasinos Eukaryota incertae sedis: OTU 243 Cryptophyceae OTU 44 Teleaulax amphioxeia OTU 219 Chrysochromulina OTU 200 Telonema subtilis OTU 216 Picozoa Opisthokonta: OTU 278 Fungi OTU 227 Rhodotorula mucilaginosa OTU 101 Diaphanoeca Alveolata: OTU 196 Ciliophora OTU 71 Choreotrichia OTU 156 Choreotrichia OTU 270 Cryptocaryon OTU 23 Haptoria OTU 42 Mesodiniidae OTU 240 Mesodiniidae OTU 95 Mesodinium rubrum OTU 146 Oligotrichia OTU 249 Strombidium chlorophilum OTU 84 Heterocapsa OTU 170 Gymnodiniaceae OTU 197 Karlodinium OTU 281 Gyrodinium **OTU 38 Syndiniales** OTU 233 Syndiniales OTU 48 Perkinsidae Rhizaria: OTU 165 Cercozoa OTU 49 Thecofilosea stramenopiles: OTU 217 Thalassiosira OTU 35 Chrysophyceae **OTU 205 Chromulinales** OTU 179 Ochromonas OTU 103 Bolidomonas OTU 115 Dictyochophyceae **OTU 264 Pedinellales**

- Fig. 3. Discriminant analysis, using the abundance of 97% OTUs as variables and sample type as a
- 724 grouping variable. Analysis was based on Jaccard dissimilarity and eight first principal coordinates
- 725 (83.79 % of the variability explained). Here, only the first two canonical axes are illustrated (four in
- total). The large grey symbols represent the samples, and the small black symbols are the individual
- 727 OTUs responsible for the multivariate pattern (strong to moderate correlation with either both or
- one of the canonical axes, n = 86). These OTUs are listed. Phototrophic taxa are in bold.



Fig. 4. Biomass of light microscopy (LM)-enumerated algae (μ g C l⁻¹) in the (a) drift ice, (b) pack

- 731 ice and (c) fast ice. The additional panel illustrates high biomass in the middle section of the fast
- 732 ice. See Supplementary table 2 for results in detail.



- 733
- Fig. 5. Read abundance of OTUs in the (a) drift ice, (b) pack ice and (c) fast ice. Twelve most
- abundant OTUs overall named after the closest known match.



736

Fig. 6. Biomass of LM-enumerated ciliates and concentration of pheophytin *a* in the (a) drift ice, (b) pack ice and (c) fast ice, as well as read abundance of eight most abundant ciliate OTUs in the (d)

739 drift ice, (e) pack ice and (f) fast ice.