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1   *Daphnia* **invest in sexual reproduction when its relative costs are reduced**

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11

12   **Abstract**

13   The timing of sex in facultatively sexual organisms is critical to fitness, due to the differing

14   demographic consequences of sexual vs. asexual reproduction. In addition to the costs of sex itself,

15   an association of sex with the production of dormant life stages also influences the optimal use of

16   sex, especially in environments where resting eggs are essential to survive unfavourable conditions.

17   Here we document population dynamics and the occurrence of sexual reproduction in natural

18   populations of *Daphnia magna* across their growing season. The frequency of sexually reproducing

19   females and males increased with population density and with decreasing asexual clutch sizes. The

20   frequency of sexually reproducing females additionally increased as population growth rates

21   decreased. Consistent with population dynamic models showing that the opportunity cost of sexual

22   reproduction (foregoing contribution to current population growth) diminishes as populations

23   approach carrying capacity, we found that investment in sexual reproduction was highest when

24   asexual population growth was low or negative. Our results support the idea that the timing of sex is

25   linked with periods when the relative cost of sex is reduced due to low potential asexual growth at

26   high population densities. Thus, a combination of ecological and demographic factors select on the

27   optimal timing of sexual reproduction, allowing *D. magna* to balance the necessity of sex against its

28   costs.

29

30   Keywords: Timing of sex, *Daphnia*, population density, cost of sex

31   Many treatments of the evolution of sex consider sexual and asexual forms as discrete lineages

32   (reviewed in [1,2]). In nature, however, competition between these types is often more subtle.

33   Organisms that use sex facultatively potentially gain the 'best of both worlds', as they avoid paying

34   costs of sexual reproduction much of the time, while maintaining access to the benefits of genetic

35   recombination [3-5]. This flexibility brings about a suite of life history consequences: the ability to

36   reproduce either sexually or asexually, depending on current conditions, means that the frequency

37   and timing of the sexual life cycle is an evolvable trait [6].

38   The timing of sex in facultative sexual organisms is critical to fitness for several reasons stemming

39   from the differing demographic consequences of sexual *vs*. asexual reproduction. Asexual

40   reproduction is usually the more efficient strategy in terms of converting resources into offspring, as

41   it avoids the 'twofold cost' of male production [7,8]. A genotype's asexual and sexual success are

42   not independent, and strongly traded off against each other, because a female can only do one at a

43   time. Switching from asex to sex too early also entails potentially large opportunity costs: foregoing

44   the opportunity to contribute to asexual generations (i.e. current population growth) can, in an

45   exponentially growing population, drastically reduce the representation of a clonal genotype in the

46   mating pool, and hence in the sexually produced offspring generation. This opportunity cost (birth

47   rate disadvantage) of sexual reproduction may be particularly pronounced when offspring gained

48   through sex are not equivalent to offspring produced asexually, as often observed in nature. For

49   example, in facultative sexual organisms sexual offspring are often dormant or dispersing life stages

50   (e.g. Cladocera: [9]; rotifers [10,11]; aphids [12]) that do not contribute to current local population

51   growth.

52   In populations that undergo periods of inhospitable conditions, an association between sexual

53   reproduction and dormancy results in potentially complex selection on the timing of sex. Sexually

54   produced, diapausing offspring are vital for the persistence of a lineage across favourable

55   ('growing') seasons, and must be produced before the intervening periods when the habitat is

56   unsuitable. In such a system, the measure of fitness that is expected to be maximized is the total

57   count of sexually produced dormant stages at the end of the favourable season [13,14]. In contrast,

58   during the growing season, selection in the short term favours asexual reproduction due to its

59   efficiency [15]. If individuals can precisely predict the duration of each favourable season, we

60   expect a simple switch from asexual to sexual reproduction towards the end of the growth season

61   (Gerber, Booksmythe & Kokko, unpublished) [16,17]. However, in unpredictable environments

62   individuals might hedge their bets with regards to reproductive mode, while in more predictable

63   environments the use of environmental cues could allow this plasticity [18]. Indeed, in various

64   facultatively sexual species, changes in temperature [12], population density or crowding [19,20],

food quality [21,22], photoperiod [22] and predation [23] contribute to the induction of sexual reproduction. All of these cues potentially inform females about seasonal changes and/or deteriorating conditions, under which sex may be advantageous [24]. The reproductive response to such cues can be complicated by the need to produce both males and sexually reproductive females so that they coincide at sexual maturity during the period optimal for the production of sexual offspring [25,26].

The cost of sex is not a fixed parameter, but may depend itself on current conditions. Investment in each reproductive mode is expected to be influenced by the relative costs of sexual and asexual reproduction. For example, mate-finding costs may be higher at low densities, when encounter rates between individuals are low [27,28]. However, this cost may be diminished if individuals can flexibly switch to asexuality should a mate not be found. Similarly, the cost of sex may depend on the current scope for asexual population growth [29-31]. Assuming that adults are better able than offspring to survive at high densities, when a population approaches carrying capacity the recruitment rate declines.

A handful of population dynamic models highlight the consequences of these dynamics for the demographic advantage of asexual lineages over sexuals [32-34]. They show that asexuality cannot always realize its demographic advantage: if high densities prevent immediate population growth, the opportunity cost of sex diminishes for populations nearing carrying capacity. Although this is not always sufficient to modify the cost if sex and asex occur simultaneously [8], the prediction for facultative sexuals with dormant sexual eggs is clear: they should switch to sex as resources become limiting and the opportunity cost of sexual reproduction is reduced. This important prediction has, to date, been largely overlooked by empirical studies of the costs and benefits of sex.

In facultative sexual *Daphnia* (Cladocera: Daphniidae) we expect strong selection on the timing of sex. *Daphnia* sex appears costly relative to asexual reproduction in the short term due to its demographic effects. The largest asexual clutches recorded for *D. magna* contain ~110 eggs [35], whereas sexual clutches contain at most two eggs. This clutch size difference represents a potentially extreme opportunity cost of reproducing sexually, which necessarily entails foregoing an asexual reproductive bout. Non-equivalence of sexually and asexually produced offspring holds for this system: asexually produced eggs develop immediately in the maternal brood chamber into free-swimming plankton, whereas fertilized sexual eggs must undergo a period of dormancy, encased in a hardy capsule known as an ephippium [9]. Additionally, sexual reproduction requires the (asexual) production of males, which reduces the asexual growth rate of a lineage. However, in terms of resource allocation, the extent to which investment in sex trades off with other life history

98   traits, including asexual investment, is not clear. While production times for sexual and asexual

99   clutches are equal, the large number of eggs in an asexual clutch could translate into higher resource

100 requirements compared to the two eggs per sexual clutch. Alternatively, melanisation of the

101 ephippium and provisioning for dormancy might require additional resources when producing a

102 sexual clutch.

103 Ultimately, only sexual, dormant eggs are able to withstand harsh conditions, including freezing

104 and desiccation, so sexual reproduction is vital for the long-term persistence of a lineage over

105 inhospitable periods. At the start of each growing season, when environmental conditions become

106 suitable, dormant eggs hatch into females that found the planktonic population anew. Male

107 production and the female switch to sexual reproduction may occur in response to different cues, or

108 with different sensitivity to the same cues: in *D. magna*, production of males and of sexual clutches

109 responded differently to manipulations of photoperiod [36], and male production has been observed

110 to occur more stochastically throughout the growing season compared to ephippia production [25].

111 The relative roles of environment and genotype in determining the likelihood of male and ephippia

112 production also vary: for example, in *Daphnia pulex* inhabiting temporary ponds over a short

113 growing season, substantial male production occurred very early while population densities were

114 still low, and was also not linked to other environmental factors such as pond temperature [37].

115 Most studies on the timing of sex and male production in *Daphnia* have focused on cues that allow

116 dormant eggs to be produced before environmental deterioration or the end of the season, and have

117 been conducted under laboratory conditions (e.g. [22,26,36,38,39], but see [37]). We aim to add the

118 costs and consequences of sex itself to this picture, and focus on population density as a variable

119 connecting the ecological and demographic influences on the timing of sex. We highlight the

120 hypothesis of (i) demographically varying costs of sex [32-34] as an important alternative to the

121 prevailing emphasis on sex as a response to deteriorating conditions. This prevailing view sees sex

122 either (ii) providing a direct escape route (e.g. dormancy [26,36]) or (iii) generating diversified

123 offspring through recombination, to explain why particularly stressful conditions induce sex [40].

124 Of these three options, we focus on the first two (the demographic cost hypothesis, and the habitat

125 deterioration hypothesis). The third hypothesis appears unlikely to explain the precise scheduling of

126 sex in the current context. While high density (and its correlates, e.g. increased resource limitation

127 or disease risk) may constitute a stressful environment, it is difficult to envisage a benefit of

128 producing diverse offspring genotypes in response to this transient stress. Offspring hatch in

129 subsequent seasons under benign density conditions; the range of densities a lineage may later

130 encounter is independent of the density when the lineage-founding ephippia were produced.

131   Returning to the two focal hypotheses, previous work has shown that crowding promotes sex

132   induction and reduces asexual fecundity in laboratory populations of *Daphnia* [41]. Observing these

133   patterns in natural populations would support the habitat deterioration hypothesis, with support

134   strengthening if populations do not persist after reaching high density. The demographic cost

135   hypothesis, in contrast, predicts that density directly modifies the relative costs of sex and asexual

136   reproduction through its relationship with the population's capacity for growth [32-34]. In this case,

137   we would expect sex induction to be related to population growth rates in addition to density.

138   We used an intensive longitudinal sampling regime to document population dynamics and the

139   occurrence of sexual reproduction over the main part of the growing season in natural populations

140   of cyclically parthenogenetic *Daphnia magna*. We investigated the interacting effects of population

141   density, asexual reproductive investment, and growth rates on the frequency of sexually

142   reproducing individuals. Additionally, in the laboratory we estimated resource allocation trade-offs

143   between the production of ephippia and asexual fecundity over the lifespan of individual females, to

144   clarify whether investment in sex imposes costs beyond its immediate demographic disadvantage.

145   **Methods**

146   *Population sampling*

147   We sampled 11 natural *D. magna* populations every three-to-four days for 60 days (May 30 – July

148   28, 2015). Populations inhabited separate rock pools distributed over 6 islands (FU1, HA, K, LON,

149   N, and SMF) in the Finnish archipelago near Tvärminne Zoological Station (59.8420° N, 23.2018°

150   E). We recorded density and demographic structure ('stage-structure') of the populations at each

151   sampling point. To assess population density, 350 ml water samples were collected at 15

152   haphazardly chosen locations spanning the pool area and depth. These were combined in a bucket

153   and stirred to distribute individuals evenly, and a 350-ml subsample was taken as the final density

154   sample. The remaining animals were returned to the rock pool. After collecting the density sample a

155   small hand net was swept through the pond to take a representative population sample.

156   Live samples were brought back to the lab and analysed the same day. All *D. magna* individuals in

157   the 350-ml density sample were counted under a dissecting microscope and converted to an

158   estimate of individuals/L. The stage-structure samples were variable in size; to make larger samples

159   manageable (< 1000 individuals) they were split using a Folsom plankton sample divider. The

160   sample was then sieved through 0.6 mm nylon mesh to separate the smallest individuals.

161   Individuals that remained in the sieve were counted and classified into the following categories

162   under a dissecting microscope: females with asexual eggs or embryos in the brood pouch, females

163   with an empty brood pouch but filled ovaries, females with ephippia, adult females without eggs,

164   embryos or filled ovaries, juvenile females (indicated by short 1ˢᵗ abdominal process [9]); adult

165   males (prolonged first antenna, copulatory hook on the first thoracic leg [9, 42]) and juvenile males.

166   After assessing stage-structure, up to ten females (where possible; median = 10, mean ± SE = 8.72

167   ± 0.17) with asexual eggs in the brood pouch were isolated from the sample and maintained in

168   individual 35 ml jars until they released their clutch. The number and sex of offspring was

169   determined under a dissecting microscope. This paper's focus is the timing of investment in sexual

170   reproduction, and does not present the data on offspring sex allocation, which is addressed in a

171   second study using the population density and stage-structure data collected here (Booksmythe,

172   Gerber, Ebert & Kokko, unpublished).

173   *Reproductive life history trade-offs*

174   We collected large population samples from five additional rock pool populations and isolated 60

175   females (F0) carrying asexual clutches. Females were kept individually in 50 ml falcon tubes filled

176   with artificial *Daphnia* medium (ADaM [43]) and fed daily with *Scenedesmus* algae (~5 million

177   cells per individual per day) until they released their first clutch. We isolated four F1 daughters per

178   F0 female and housed them in pairs in 50 ml falcon tubes until they produced their first clutch.

179   Twenty-two of these groups of four sister F1 females (henceforth 'clones') synchronously produced

180   enough daughters that we could isolate ten F2 females per clone, half of which were assigned to a

181   long day length treatment (18:6 hours light:dark) and the other half to a short day length treatment

182   (6:18 hours light:dark) on the day of their release from the maternal brood pouch. We used extreme

183   day lengths (naturally occurring at midsummer and midwinter at the study site) to induce

184   propensities for sex that were as different as possible between treatment groups. Over the 35-day

185   experimental period, females experienced these photoperiod treatments under otherwise

186   standardized conditions in climate chambers (20°C, with *Daphnia* placed ~20 cm below the

187   fluorescent light source). Individual F2 females were fed and checked daily for the release of

188   asexual clutches or sexually produced ephippia. When an asexual clutch was released, the date,

189   number and sex of offspring were recorded, the offspring removed and the water changed. When an

190   ephippium was produced, the date was recorded, the ephippium removed and the water changed.

191   We recorded the date of any deaths. Females that did not reproduce were excluded from the

192   analysis. We also excluded 7 females that produced an ephippium in the very first clutch, which

193   needed twice the time to produce their first clutch compared to other females, indicating very

194   unusual behaviour.

196 We were interested in how the frequency of sex relates to population density, population growth,

197 and asexual reproductive effort. We ran separate models to predict the frequencies of sexual

198 females and males, as they could respond differently to these predictors. Models for the frequency

199 of sexual females in a sample used population density from the previous sampling point ('lag

200 density') as a predictor, because these prior conditions (3-4 days before) coincide with the point at

201 which female reproductive mode would have been determined [9]. However, models for the

202 frequency of adult males used current density, as conditions at the previous sampling point do not

203 coincide with the production of these males. The appropriate lag period (the amount of time males

204 need to mature) is at least 10 days/3 sampling points, and a predictor variable using this lag would

205 have unacceptably reduced our sample size. Using current density in the analysis instead allows us

206 to examine whether males are produced so as to coincide with periods of high density in adulthood.

207 As density varied by orders of magnitude across populations, and within populations over time, we

208 used log-transformed density in all analyses. We calculated the intrinsic rate of per capita

209 population growth per time step as $r = \frac{\ln(N_{t2}) - \ln(N_{t1})}{t2 - t1}$ [44].

210 Asexual reproductive effort was estimated from the clutch size of ~10 females, carrying asexual

211 eggs, per population sample. The clutch size among sampled females overestimates the mean

212 population asexual reproductive effort, as a small but variable proportion of mature females in each

213 population sample showed no current reproductive investment (with neither eggs in the brood

214 pouch nor filled ovaries). We weighted the mean clutch size of sampled females by the proportion

215 of currently reproductive females among all mature, non- sexually reproducing females to estimate

216 the mean asexual reproductive effort in the population. We used log-transformed clutch size and

217 reproductive effort in our analyses to normalize their distribution. Because asexual reproductive

218 effort and growth are related (collinearity) we included them separately in models with density as

219 the only other predictor. To determine the threshold asexual reproductive effort at which

220 populations switch to sexual reproduction, we created a binary dependent variable for whether a

221 population sample contained females investing in sexual reproduction or not. We fitted a logistic

222 regression of this variable over asexual reproductive effort and determined its inflection point.

223 Statistical analyses were performed in R (v. 3.2.2) [45]. We used linear mixed-effects models in the

224 package lme4 [46] for analyses of density, clutch size, and growth rates in the natural populations,

225 and of asexual clutch size and mean interval between clutches in the laboratory experiment. For

226 analyses of proportions of males and sexual females we used generalized linear mixed-effects

227 models (GLMMs) with binomial error and logit link in lme4. To account for repeated

228   measurements the population ID (natural populations) or family ID (laboratory experiment) was

229   included as random factor. If binomial models were overdispersed an observation-level random

230   factor was included [47]. Predictor variables in binomial GLMMs were standardized to aid in

231   interpretation of parameter estimates, reported on the log odds scale; as an indication of effect sizes,

232   we also present the odds ratio for each parameter, and marginal and conditional R^2 [50] for each

233   GLMM. Summary statistics are presented as mean ± 1 standard error (SE), unless otherwise

234   specified.

235

236   **Results**

237   *Sex is associated with high density and low asexual reproductive effort*

238   The frequency of sexual females (adult females carrying sexual eggs) was larger following high

239   population densities, and when mean reproductive effort among asexual females was low (Fig. 1,

240   Table 1). The value for asexual reproductive effort at which the majority of populations contained

241   females investing in sexual eggs was 11.47 offspring (Figure S1). The frequency of sexual females

242   also increased with decreasing growth rate *r* (Fig. 1, Table 1). The frequency of males in the adult

243   population was larger when density was high, and when asexual effort was low, but was not

244   significantly related to population growth rate (Fig. 1, Table 1). Odds ratios in Table 1 show the

245   predicted change in odds with each standard deviation increase in the predictor, for a constant

246   (mean) value of the covariate. For example, the odds of a female carrying an ephippium were 0.035

247   at the intercept (i.e. for mean values of density and asexual effort). For each standard deviation

248   increase in density, keeping asexual effort constant, these odds increased by a factor of 4.75.

249   The association of higher frequencies of sexual females with high population density and low

250   growth rates can also be seen when looking at patterns across the growing season (Fig. S2). Across

251   all populations, there were two main peaks in density during our sampling period. These peaks are

252   followed by periods of reduced growth rate (Fig. S2) reflecting the negative relationship between

253   population growth and population density (LMM: Slope = -0.053 ± 0.014, χ^2 = 13.83, p <0.001).

254   Lag population density was also negatively related to asexual clutch size (LMM: Slope = -0.26 ±

255   0.047, χ^2 = 31.02, p < 0.001) (Fig. S2).

256   *Ephippia production trades off with asexual clutch size*

257   The number of females that reproduced in the long-day and short-day experimental treatments did

258   not differ (83 of 110 and 88 of 110, respectively; z = 0.81, p = 0.42). The short-day treatment

successfully induced ephippia production, with 41 of 88 females producing at least one ephippium, compared to 1 of 83 females in the long-day treatment (GLMM: Slope = -4.700 ± 1.199, z = -3.92, $p < 0.001$; Fig. 2). Total asexual reproductive success (number of offspring) was higher in the long-day treatment (LMM: Slope = 7.507 ± 2.475, χ = 9.20, $p = 0.002$; Fig. 2). Day length did not affect the total number of reproductive bouts, the latency to first reproduction, or the mean interval between clutches (all p > 0.05). Nor did day length affect the mean asexual clutch size when calculated across all of a female's asexual clutches (LMM: Slope = 0.178 ± 0.420, χ = 0.18, $p = 0.67$). Within the short-day treatment, we could compare individuals that reproduced only asexually with those that produced at least one sexual clutch. The latter had fewer total asexual offspring, losing on average 9 offspring compared to their clone mates that reproduced only asexually (asexual, 54.1 ± 1.9, sexual, 45.4 ± 3.2; LMM: Slope = -9.167 ± 3.856, χ = 5.65, $p = 0.02$), but whether or not females reproduced sexually did not affect their mean asexual clutch size (-0.974 ± 0.801, χ = 1.48, $p = 0.22$). The absolute number of sexual clutches (0, 1 or 2) was not related to mean asexual clutch size (-0.523 ± 0.588, χ = 0.79, $p = 0.37$). However, the proportion of a female's reproductive events that were sexual was negatively related to her mean asexual clutch size (LMM: Slope = -5.958 ± 2.535, χ = 5.52, $p = 0.019$); if half of a female's clutches were sexual, the mean size of her asexual clutches was reduced by around 3 eggs compared to females producing only asexual clutches (Fig. 2c). Females that produced relatively many ephippia had smaller clutches when they reproduced asexually.

Discussion

Daphnia magna in the rock pool habitat studied here experience a short growing season followed by completely inhospitable conditions in which only dormant, sexually produced eggs persist. Besides winter freezing, pools often experience summer droughts [49]. The resulting strong selection for the production of dormant eggs is therefore expected to shape the timing of sexual and asexual reproduction during the growing season. While approaching inhospitable conditions do influence investment in sexual reproduction [36], here we argue that in line with theoretical predictions, the reduced cost of sexual relative to asexual reproduction at high densities may plausibly favour density as a cue for the switch to sexual reproduction in *D. magna*. Our results suggest that an understanding of how population dynamics affect the costs of sex can inform predictions of when sex should occur, and highlight the importance of considering variation in the costs of sex when seeking explanations for the maintenance of sexual reproduction.

Investment in sexual reproduction in our sampled populations was strongly predicted by population density: when density was high at the previous sampling point, a greater proportion of females in the current sample carried sexual eggs. The frequency of males in the adult population also increased with density. These relationships were consistent over the sampled time period, where we observed two density peaks (Fig. S2). However, neither of these peaks in density and sexual reproduction was followed by extinction of the planktonic population, indicating that sex did not immediately precede inhospitable periods where the dormant stage would be vital. This conflicts with the habitat deterioration hypothesis, suggesting that the approach of inhospitable conditions alone cannot explain the marked increase in investment in sex at these points.

We suggest, in accordance with the demographic cost hypothesis, that the association of sexual reproduction and population density results from declining efficiency of asexual reproduction as populations approach carrying capacity — newborn offspring may then have difficulty recruiting into the current population, which reduces the returns on asexual offspring. Consequently, the relative profitability of sexual reproduction (which does not contribute to current population growth) increases with population density. Furthermore, sexually produced offspring are not affected by current conditions (e.g. competition), as they do not hatch until subsequent growing seasons. We could not measure juvenile survival, which is predicted to decrease with population density under this scenario. However, the frequency of sexual females increased with decreasing (or negative) population growth, and decreasing asexual reproductive effort. Thus, for a given population density, females increased their investment in sexual reproduction when potential growth was low or populations were declining. This supports the demographic cost hypothesis that sex in ephemeral *D. magna* populations is timed to coincide with periods when the opportunity cost of sexual reproduction is reduced [32-34] (in addition to the likelihood of sex increasing with ecological cues, such as changes in day length, ensuring that it occurs before the season end [36]). Our data on the clutches of females taken from natural populations suggest that this cost is balanced when the mean asexual reproductive effort in a population is around 11.5 eggs. Below this value, sexually reproducing females could be found in the majority of populations (Fig. S1).

Interestingly, in other facultative sexual systems showing an association between population density and sex induction (e.g. rotifers [14,20,50]), density-dependent induction of sex has been shown to contribute to regulating population density in a controlled laboratory setting [51]. Because of the production of males and dormant stages, which require resource investment and do not contribute to current population growth, increasing rates of sex can feed back negatively on growth rates [16,17]. In rotifers the density threshold for sex induction is low, and sex is directly related to population density, rather than indirectly through resource depletion [20], suggesting that the induction of sex

325 influences the growth potential of the population [50]. This effect on population growth has also

326 been demonstrated in laboratory *Daphnia* populations [52]. Such negative feedback is also possible

327 in our dataset, but is much more difficult to detect in natural populations due to the many other

328 uncontrolled variables (e.g. pool volume, algal productivity) likely to affect rates of sex, density,

329 and their relationship.

330 In contrast to the frequency of sexual females, the frequency of adult males was not related to

331 population growth. High male frequencies coincided with periods of high density and low asexual

332 reproductive effort, but generally male occurrence appears to be timed less precisely than ephippia

333 production. This is perhaps not surprising when considering that males require time (~10 days at

334 20°C) to mature, which reduces the likelihood that cues available when males are produced will

335 reliably predict population dynamics at their maturity. Furthermore, as male lifespan is substantially

336 longer than the moult cycle over which a female bears an ephippium, male frequency increases

337 cumulatively over time while ephippia frequency reflects much more closely the current conditions.

338 Previous studies of *Daphnia* species in temporary habitats have found similar patterns of male

339 appearance in a population preceding the first production of ephippia [37,53].

340 High population density leads to increased investment in both males and ephippia in laboratory

341 populations of *Daphnia* [19,54-56] and to smaller asexual clutch sizes [41]. A negative relationship

342 between density and asexual reproduction was also apparent in our dataset. This could reflect

343 increased competition at high densities, resulting in reduced reproductive condition that restricts

344 female fecundity. However, we observed increased sexual reproduction at high densities, when

345 resources are limited. This finding is difficult to reconcile with the suggestion that the sexual

346 ephippia have a high resource cost [57]. If resources limit the production of large asexual clutches

347 (as shown in many experiments, e.g. [40,58,59]), these conditions should also constrain production

348 of costly ephippia. Our laboratory results on reproductive trade-offs suggest that producing a sexual

349 clutch is costly: individual females producing a greater proportion of sexual clutches over their

350 lifespan produced, on average, smaller asexual clutches. The cost imposed on asexual reproductive

351 potential by a sexual event is thus greater than the loss of one asexual clutch. However, quantifying

352 the absolute cost of producing a sexual clutch requires experiments manipulating asexual clutch

353 sizes by altering resource availability.

354 The major cost of sex in our experiment appeared to be the immediate trade-off arising from the

355 inability to produce a sexual and asexual clutch simultaneously: females that produced more

356 ephippia had a lower total number of asexual offspring. If a female producing a sexual clutch has

357 fewer opportunities and/or resources left available for asexual reproduction, there are clear

11

358 consequences for the competitiveness of clonal lineages with different propensities for sexual

359 reproduction in terms of their numerical representation in the population. *D. magna* clones vary in

360 their propensity to produce males and, independently, ephippia in response to environmental cues

361 [26,36]. Sexually produced, dormant offspring are the measure of long-term fitness in *Daphnia* and

362 many facultative sexual organisms, but total sexual output depends both on sexual and asexual

363 fecundity. The timing of sexual reproduction is thus expected to optimise investment in the two

364 reproductive modes.

365 **Conclusions**

366 In wild populations of facultative sexual *D. magna,* females invest in sexual reproduction following

367 high population densities and when the population growth rate and asexual reproductive effort are

368 low, conditions that reduce the relative cost of sexual reproduction. We provide empirical support

369 for the idea that a facultative sexual population will show increased rates of sex as it approaches

370 carrying capacity and the cost of sex declines. Combining our new finding with previous results we

371 suggest that three underlying rules determine the induction of sexual reproduction in *D. magna* on a

372 large biogeographic scale: First, ephemeral, seasonal populations that frequently experience

373 inhospitable periods should generally invest more in sexual reproduction compared to populations

374 in permanent, less seasonal habitats [32]. Second, we have found that within a season, sex induction

375 co-occurs with conditions that are theoretically predicted to reduce its costs relative to asexual

376 reproduction [32-34]. This is the case at high population densities when asexual clutch size is small

377 and the cost of foregoing asexual reproduction is low. Third, previous studies have shown that this

378 pattern can be modified by the timing and predictability of onset of inhospitable conditions, such

379 that investment in sexual reproduction increases towards the anticipated end of the growing season

380 [36]. We conclude that timing of sex in cyclical parthenogens is not only shaped by the approach of

381 inhospitable conditions, but appears to respond to effects of density and population growth on the

382 relative costs of sexual and asexual reproduction.

383

384 **Competing interests**

385 We have no competing interests.

386 **Data accessibility**

387 All data are archived in the DRYAD digital repository (DOI provided on manuscript acceptance)

388 **Authors' contributions**

389 NG, IB, DE and HK conceived the study; NG, IB and DE designed the study; NG and IB carried

390 out data collection, analysed the data, and drafted the manuscript; all authors revised the manuscript

391 and gave final approval for publication.

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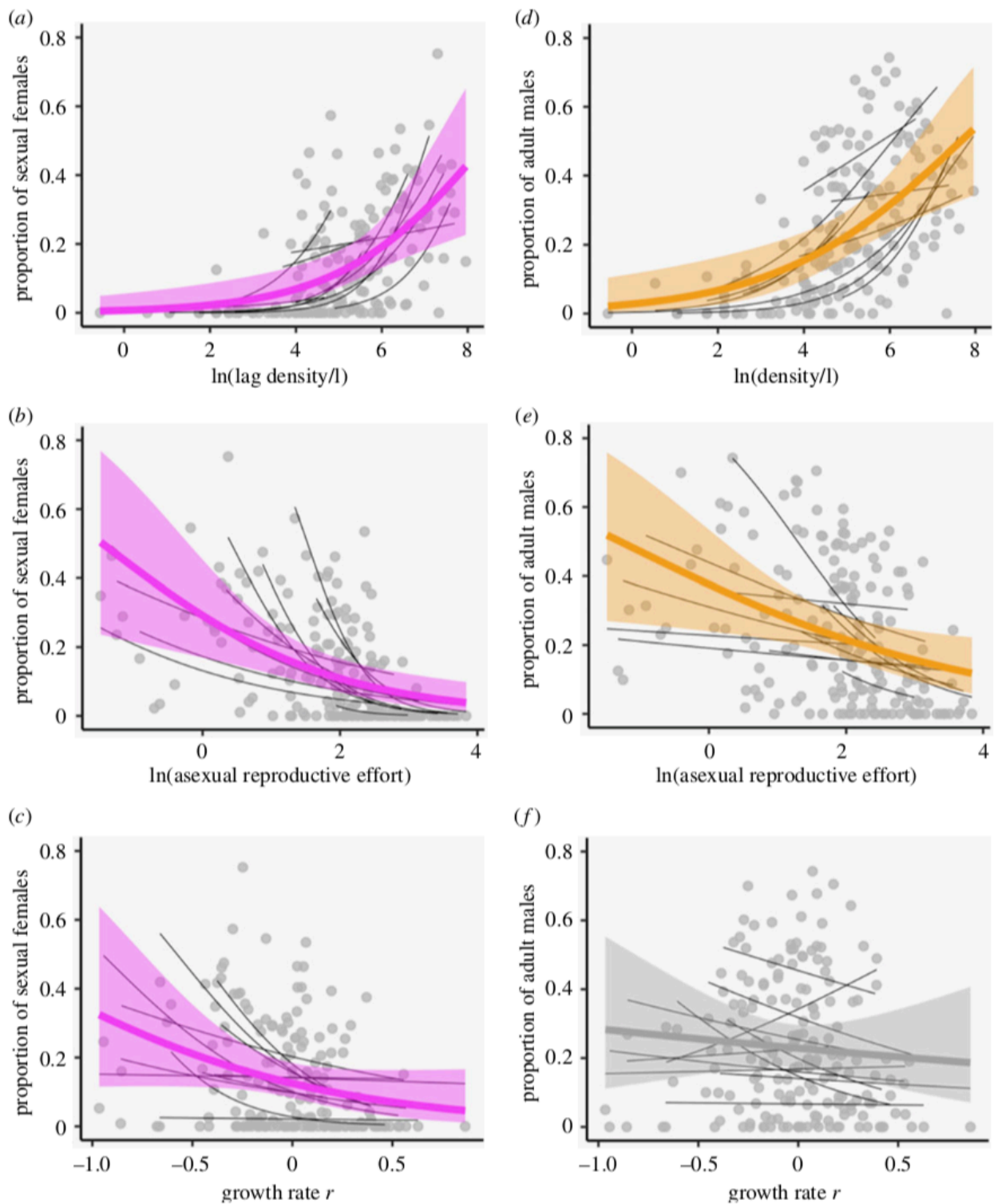
529

530   **Figure 1.** The relationship of the proportion of sexual females (a-c) and males (d-f) with lag (a) or

531   current (d) population density (*Daphnia*/L), asexual reproductive effort (b, e), and growth rate (c, f).

532   Bold lines show the global logistic regression with 95% confidence intervals, with significant

533   relationships in colour and non-significant in grey. Thin black lines show regressions for each

534   population, and light grey points show raw data.

535   **Figure 2.** The effect of day length treatment (short-day, 6:18 hours light:dark; long-day, 18:6 hours

536   light:dark) on (a) the mean proportion of a female's clutches that were sexual and (b) the mean size

537   of asexual clutches; and (c) the relationship between the proportion of a female's clutches that were

538   sexual and her mean asexual clutch size, for the short-day treatment only. Asterisks indicate

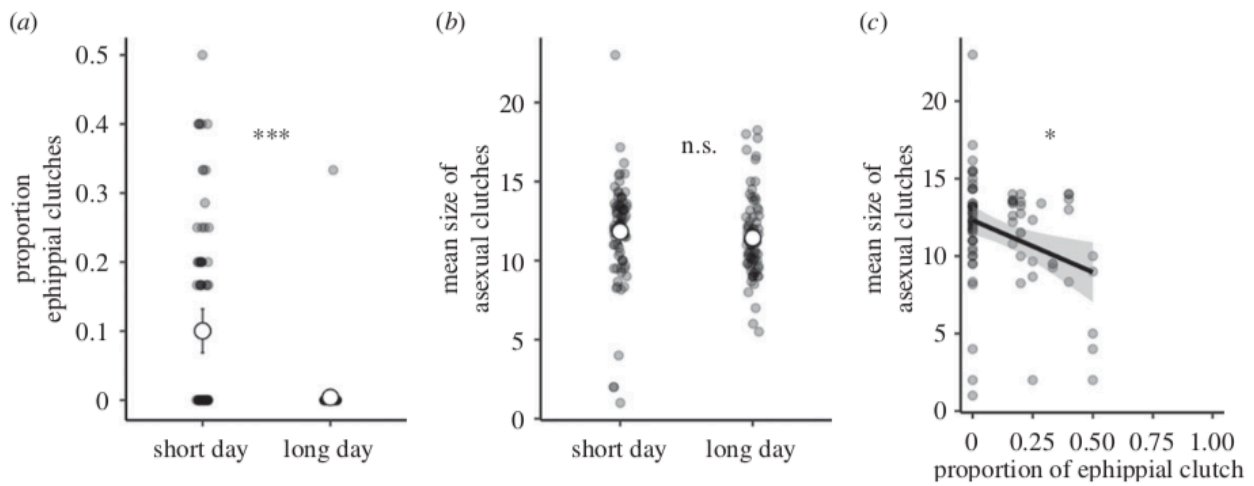539   significance (n.s., $p > 0.05$; *, $p < 0.05$; ***, $p < 0.001$).

540   **Table 1.** Effects of population density, mean asexual reproductive effort (RE), and growth rate on

541   the proportions of sexual females and adult males in a population; estimated by binomial GLMM

542   with logit link. Parameter estimates are presented as the log odds ratio (β) and its standard error

543   (SE); we additionally present the odds ratio (OR) as a measure of effect size. $R^2_{GLMM(m)}$, marginal R^2

544   (variance explained by fixed effects); $R^2_{GLMM(c)}$, conditional R^2 (variance explained by fixed + random

545   effects) [50].

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547

Figure 1. The relationship of the proportion of sexual females (a-c) and males (d-f) with lag (a) or
current (d) population density (*Daphnia*/L), asexual reproductive effort (b, e), and growth rate (c, f).
Bold lines show the global logistic regression with 95% confidence intervals, with significant
relationships in colour and non-significant in grey. Thin black lines show regressions for each
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Figure 2. The effect of day length treatment (short-day, 6:18 hours light:dark; long-day, 18:6 hours light:dark) on (a) the mean proportion of a female's clutches that were sexual and (b) the mean size of asexual clutches; and (c) the relationship between the proportion of a female's clutches that were sexual and her mean asexual clutch size, for the short-day treatment only. Asterisks indicate significance (n.s., $p > 0.05$; *, $p < 0.05$; ***, $p < 0.001$).

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562 **Table 1.** Effects of population density, mean asexual reproductive effort (RE), and growth rate on the proportions of sexual females and adult males in a population; estimated by
563 binomial GLMM with logit link. Parameter estimates are presented as the log odds ratio (β) and its standard error (SE); we additionally present the odds ratio (OR) as a measure of
564 effect size. $R^2_{GLMM(m)}$, marginal R^2 (variance explained by fixed effects); $R^2_{GLMM(c)}$, conditional R^2 (variance explained by fixed + random effects) [50].

565

Model: Density and asexual reproductive effort

Proportion sexual females (N = 174)						Proportion adult males (N = 185)					
Fixed effects:	β	**SE**	z	p	**OR**	**Fixed effects:**	β	**SE**	z	p	**OR**
(Intercept)	-3.344	0.333	-10.04	< 0.001	0.035	(Intercept)	-1.878	0.172	-10.91	< 0.001	0.153
ln(lag density)	1.558	0.221	7.04	< 0.001	4.751	ln(density)	0.976	0.129	7.56	< 0.001	2.654
ln(mean asexual RE)	-0.914	0.155	-5.91	< 0.001	0.401	ln(mean asexual RE)	-0.470	0.108	-4.36	< 0.001	0.625
Random effects:	**SD**					**Random effects:**	**SD**				
Population ID	0.983					Population ID	0.466				
Observation ID	1.412					Observation ID	1.206				
R^2:	$R^2_{GLMM(m)}$	$R^2_{GLMM(c)}$				R^2:	$R^2_{GLMM(m)}$	$R^2_{GLMM(c)}$			
	0.426	0.514					0.224	0.258			

Model: Density and growth rate

Proportion sexual females (N = 166)						Proportion adult males (N = 177)					
Fixed effects:	β	**SE**	z	p	**OR**	**Fixed effects:**	β	**SE**	z	p	**OR**
(Intercept)	-3.299	0.274	-12.05	< 0.001	0.037	(Intercept)	-1.910	0.171	-11.15	< 0.001	0.148
ln(lag density)	1.879	0.240	7.83	< 0.001	6.544	ln(density)	1.177	0.144	8.19	< 0.001	3.243
growth rate r	-0.389	0.155	-2.52	0.012	0.678	growth rate r	0.161	0.114	1.41	0.16	1.175
Random effects:	**SD**					**Random effects:**	**SD**				
Population ID	0.721					Population ID	0.443				
Observation ID	1.586					Observation ID	1.277				
R^2:	$R^2_{GLMM(m)}$	$R^2_{GLMM(c)}$				R^2:	$R^2_{GLMM(m)}$	$R^2_{GLMM(c)}$			
	0.394	0.444					0.191	0.222			
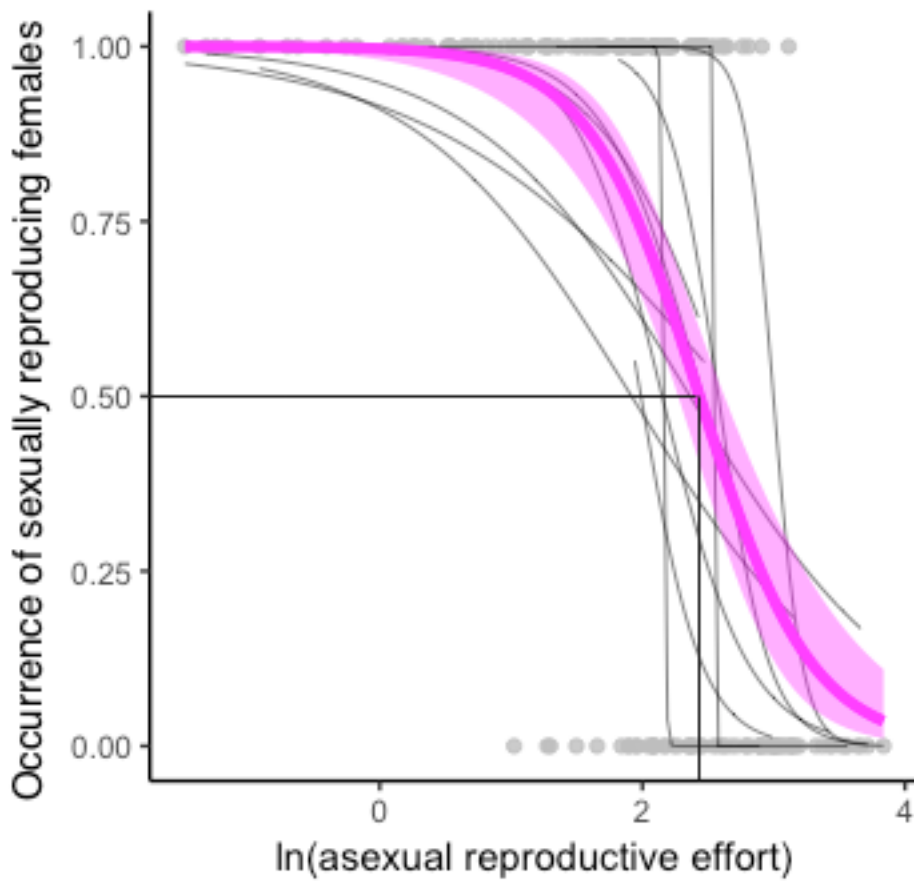
Figure S1. Occurrence of sexually reproducing females in the population with respect to asexual reproductive effort. Grey lines show the logistic regression within populations, whereas the pink line shows the logistic regression across populations. The inflection point (black line) indicates the threshold mean asexual reproductive effort ($e^{2.44}$=11.47) at which the majority of populations contain females that carry a sexual clutch. Light grey dots show the raw data.
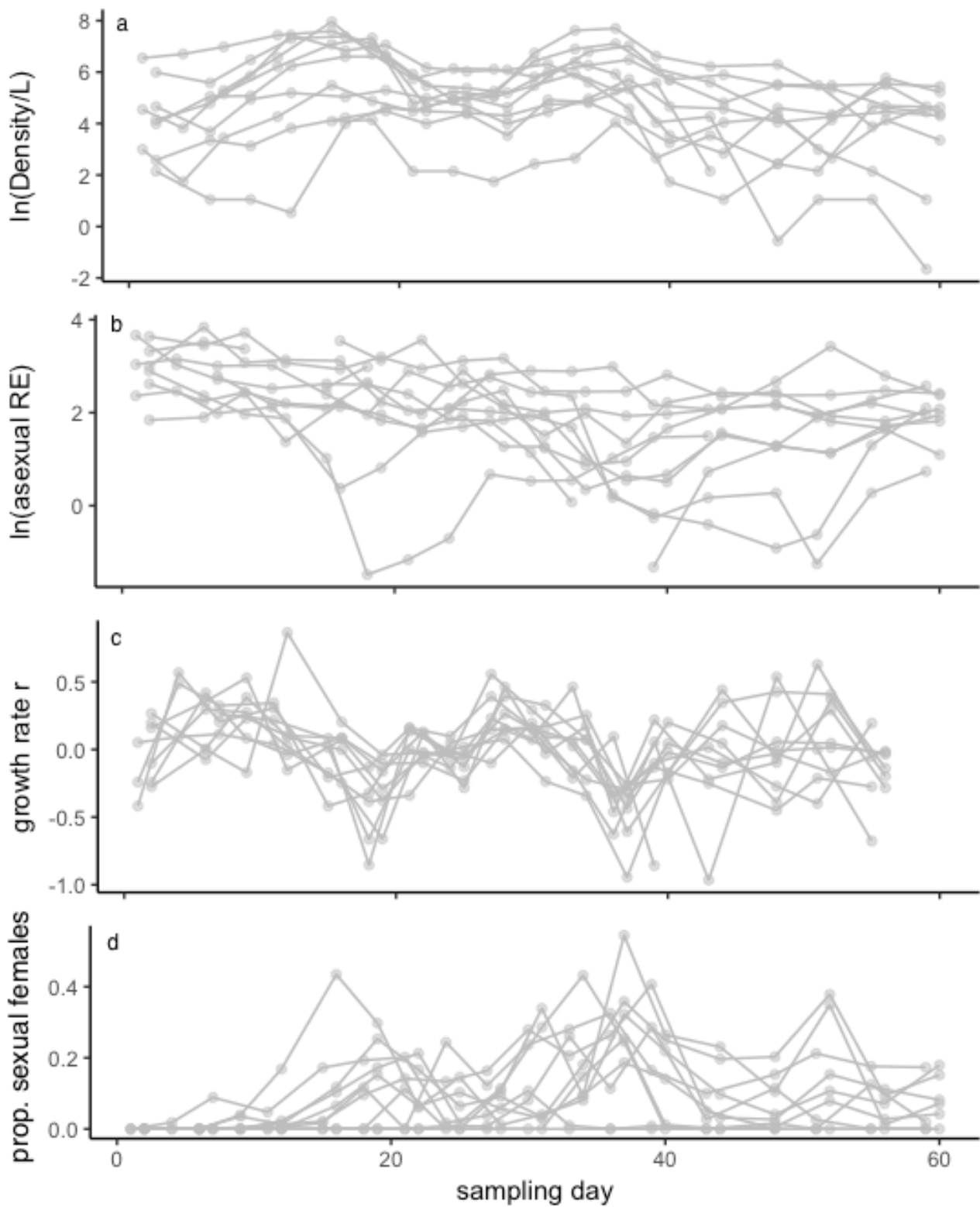
Figure S2. Temporal dynamics (day 1 = May 30, 2015) of (a) population density (*Daphnia*/L), (b) asexual reproductive effort, (c) population growth rate, and (d) the proportion of sexual females in the population. Each line shows one of the 11 rock pool populations.