Institute of Chartered Foresters Forestry An Internal Journal of Forest Research 1 2 Assessing log geometry and wood quality in standing timber using 3 terrestrial laser-scanning point clouds 4 5 Jiri Pyörälä^{1,2,3*}, Ville Kankare^{1,2}, Xinlian Liang^{2,3}, Ninni Saarinen^{1,2}, Juha 6 Rikala¹, Veli-Pekka Kivinen¹, Marketta Sipi¹, Markus Holopainen^{1,2}, Juha 7 Hyyppä^{2,3}, Mikko Vastaranta^{1,2,4} 8 ¹Department of Forest Sciences, University of Helsinki, Helsinki, FI-00014, Finland 9 10 ²Centre of Excellence in Laser Scanning Research, Finnish Geospatial Institution, Masala, FI-02431, Finland 11 ³Department of Remote Sensing and Photogrammetry, Finnish Geospatial Institution, 12 Masala, FI-02431, Finland 13 14 ⁴School of Forest Sciences, University of Eastern Finland, Joensuu, FI-80101, Finland 15 *Corresponding author: Tel: +358503608183; Email: jiri.pyorala@helsinki.fi 16 17 Wood procurement in sawmills could be improved by resolving detailed three-dimensional stem geometry references from standing timber. This 18 19 could be achieved, using the increasingly available terrestrial point clouds from various sources. Here, we collected terrestrial laser-scanning (TLS) 20 21 data from 52 Scots pines (Pinus sylvestris L.) with the purpose of evaluating the accuracy of the log geometry and analysing its relationship with wood 22 quality. For reference, the log-specific top-end diameter, volume, tapering, 23 sweep, basic density and knottiness were measured in a sawmill. We 24 produced stem models from the TLS data and bucked them into logs similar 25 to those measured in the sawmill. In comparison to the sawmill data, the 26 log-specific TLS-based top-end diameter, volume, taper and sweep 27 estimates showed relative mean differences of 1.6%, -2.4%, -3.0%, and 28 78%, respectively. The correlation coefficients between increasing taper 29 and decreasing wood density and whorl-to-whorl distances were 0.49 and -30 0.51, respectively. Although the stem-model geometry was resolved from 31 the point clouds with similar accuracy to that at the sawmills, the 32 remaining uncertainty in defining the sweep and linking the wood quality 33

with stem geometry may currently limit the method's feasibilities. Instead
 of static TLS, mobile platforms would likely be more suitable for
 operational point cloud data acquisition.

37 Introduction

38 Sawmills account for stem dimensions and shape in optimizing the two-phase breakdown of stems 39 into logs and sawn goods. Primary log breakdown (or bucking) is carried out according to a demand 40 matrix that defines the allowable log length and top-end diameter combinations, as well as the 41 desired number of logs in a given dimension category (Kivinen and Uusitalo, 2002). The secondary 42 log breakdown (or sawing) is based on either optical or X-ray scanning data or both (Lundgren, 2000; 43 Nordmark and Oja, 2004; Oja et al., 2004; Fredriksson, 2014), which in addition to log dimensions, 44 also accounts for shape attributes, such as stem taper and sweep. Log dimension and shape properties restrict the choice of sawing pattern, i.e., they introduce constraints to an optimization 45 46 problem of maximizing the timber volume sawn from a log (Nordmark, 2005). Both excessive log 47 tapering and sweep are known to reduce the timber volume (Taylor and Wagner, 1996; Yerbury and 48 Cooper, 2010). Moreover, stem geometry also indicates the expected wood quality; e.g., log sweep 49 has been linked with increased reaction wood content (Rune and Warensjö, 2002). On the other 50 hand, stem taper may indicate certain cellular wood properties (Lindström, 1996). For example, 51 vigorous trees that have long live crowns exhibit strong tapering and increased proportions of 52 juvenile wood (core wood, or crown wood) in the xylem (Lindström, 1996; Fabris, 2000). Juvenile 53 wood has, among its other differences, lower wood density and higher microfibril angle in the 54 secondary cell-wall middle layer and, as a result, lower strength and stiffness than matured wood (outer wood, or stem wood) (Burdon et al., 2004). 55

56 Sawmills can use their databases of log geometry and wood quality for wood procurement planning, 57 i.e. for timing and targeting the harvesting operations. Simulated sawing of virtual sawlogs 58 reconstructed from the sawmill databases is currently the most detailed approach to optimizing log 59 breakdown according to the properties of a specific batch of logs (Todoroki, 1990; Pinto et al., 2006; 60 Auty et al., 2014). In addition, sawing simulators could enable estimating of optimal log breakdown 61 patterns and sawn wood product recoveries from potential harvest sites, based on remote sensing 62 (Barth et al., 2015; Sanz et al., 2018), if proper references from the standing timber were available. 63 Due to the natural variability of wood quality between and within stands and individual trees (Björklund, 1997; Huuskonen et al., 2014; Ojansuu et al., 2018), the optimal references, i.e. inputs to 64 sawing simulators, would describe the tree-specific stem geometry as a three-dimensional (3-D) 65 66 stem model that could be virtually bucked, and linked with the wood quality data (Mäkelä et al., 67 2010).

68 The emergence of various sensors and platforms for acquiring high-resolution 3-D point cloud data 69 from a forest environment could enable measurement of the standing timber's stem geometry with 70 the level of detail required in log breakdown optimization (Wallace et al., 2012; Liang et al., 2015; 71 Liang et al., 2018b). While operationally functional systems (e.g. systems integrated in harvesters) 72 are still under development, terrestrial laser-scanning (TLS) performed from a static platform is 73 currently the most precise system for use in a forested environment and thus a viable tool for researching point cloud - based applications (Maas et al., 2008; Liang et al., 2016). Previous studies 74 75 have investigated the applicability of TLS point clouds to stem modelling and demonstrated several

- 76 quantitative approaches that would enable highly automated retrieval of the stem geometry from 77 standing timber in a forest environment (Liang et al., 2012; Raumonen et al., 2013; Hackenberg et 78 al., 2014; Mengesha et al., 2015; Xia et al., 2015; de Conto et al., 2017). The following studies used 79 harvester data as references to evaluate the accuracy of point cloud- based stem-model geometry or 80 product recovery estimations: Murphy et al. (2010) used TLS-derived stem curves to estimate the primary log breakdown product recovery and reported standard errors of 7% and 8% for tree-81 82 specific values and volume yields, respectively. Kankare et al. (2014) used allometric stem taper 83 models based on generic tree descriptors derived from TLS point clouds and estimated the sawlog 84 volume with 17.5% root-mean-squared error (RMSE). Liang et al. (2014) reported RMSEs of 1.13 cm
- 85 and 29.3 dm³ for TLS stem-model diameter and volume estimations, respectively.
- 86 On the contrary, studies considering the relationship of TLS-derived stem-model geometry with 87 wood quality data from sawmills are lacking. However, Van Leeuwen et al. (2011) reviewed the feasibility of using TLS point clouds to assess wood quality, and Stängle et al. (2014) were able to 88 89 predict the clear-wood content of European beech (Fagus sylvatica L.) stems by analysing the stem 90 shape and presence of branch scars. It is thus reasonable to assume that linking detailed structural 91 measurements from high-density point clouds of standing timber with sawmill data could enable 92 more sophisticated preharvest optimization of log breakdown. The minimal technical requirements 93 for combining point cloud-based stem models and sawmill wood quality data for simulated sawing 94 include that the log geometry derived from the point clouds must concur with the state-of-the-art in 95 the sawmills and express logical relationships with wood quality.
- 96 In this study, we aimed at examining the feasibilities of high-density terrestrial point cloud data 97 contributing to preharvest log breakdown optimization. We evaluated the accuracy of top-end 98 diameter, volume, tapering and sweep of logs measured from stem-model geometry based on TLS 99 point clouds in comparison to the respective sawmill measurements that set the level for the 100 operational applications, and analysed the relationship between log geometry and interior wood 101 quality.

102 Methods

103 Study area

104 Our study area encompassed a 1.7-ha stand located in Orivesi, southern Finland (latitude 61° 51' 13" 105 N, longitude 024° 13' 7" E, elevation ~150 m above sea level) (Figure 1). The stand was comprised of 106 homogenous Scots pine-dominated, mainly Vaccinium-type subxeric heath forest that was sown in 107 1950 (Table 1). The selected stand represented a commonly available source of softwood timber in 108 southern Finland. The latest national forest inventory in 2013 showed that 54% of the area with 109 mature forests in Finland was Scots pine-dominated and that 43% of the annually harvested sawlog 110 timber was Scots pine. The stand description based on a stand-wise forest inventory from 2015 is 111 given in Table 1.

112 Sample trees, terrestrial laser scanning data acquisition, and stem modelling

113 In all, 52 Scots pine sample trees were selected in 10 groups of 2–5 trees distributed evenly to cover 114 the entire stand. The trees were selected to represent the diameter distribution of the stand. Each 115 tree was marked with an identification (ID) number to enable later recognition. The TLS survey was 116 carried out on July 3, 2016. The nearest Finnish Meteorological Institute weather station showed

that the average wind speed on that day was less than 3 m/s. The terrestrial laser scanner used was 117 a Trimble TX5 (Trimble Inc., Sunnyvale, CA, USA) phase-shift scanner. With the scanning resolution 118 119 used, the point-to-point sampling distance at 10 m from the scanner was 3.1 mm. Quality parameter 120 2 was used, which means that each measured point was an average of two distance measurements. Each tree group was scanned from 3-7 locations, with the scanner mounted on a tripod. The 121 122 scanning locations were adjusted specifically for each tree group to obtain full data coverage on all 123 sides of the trees. In all, we acquired 33 scans, with the scanning time being 7 min 9 s per scan (total 124 work time with two operators: 8 h). Six target spheres (radii 9.8 cm) were set on tripods around the 125 scanned trees to enable later coregistration of the individual scans into a common, local coordinate 126 system.

The point clouds were prefiltered and coregistered, using the built-in procedures in Faro Scene 5.4 software (Faro Technologies Inc., Lake Mary, FL, USA). Points with fewer than two other points within a 3x3-cell grid in the two-dimensional (2-D) projection neighbourhood and points with intensity values lower than 300 (on a scale of 0–2084) were filtered out.

131 The sample trees were identified, based on the ID numbers and extracted manually from the 132 coregistered point clouds. The height of the root collar was estimated visually, and the points below 133 that height were excluded. Points belonging to a stem were identified as flat, vertical structures and 134 modelled by means of a cylinder-fitting method using a 20-cm vertical interval (Liang et al., 2012). 135 To enable interpolation of the stem diameters and centre locations between the measuring points, a smoothing cubic spline (stats; smooth.spline (R, 2018)) was applied to the measured stem diameters 136 137 and stem centre x- and y-coordinates as a function of height. The smoothing parameter of the spline 138 function was set to 0.4 (on a scale of 0-1, where 0 means the spline crosses through every point in 139 the original data and 1 is equal to a linear least-squares approximation), based on the sensitivity 140 analysis carried out in Saarinen et al. (2017). The smoothing spline was also used to extrapolate the 141 stem diameters beyond the last measured height up to the tree height (H), which was defined as the 142 difference between the lowest and highest return in the manually extracted point cloud. The 143 resulting stem taper curves of the sample trees are presented in Figure 2.

144 Harvesting and sawmill measurements

The study site was clear-cut in July 2016, and the trees were cut-to-length in the forest, based on the 145 146 bucking matrix of the sawmill in Korkeakoski (UPM-Kymmene Oyj, Helsinki, Finland). The minimum 147 allowed sawlog top-end diameter was 15 cm (over-bark). The allowable sawlog lengths ranged 148 between 428 cm and 548 cm at 30-cm intervals. The sawlogs bucked from the sample trees were 149 marked with ID numbers and kept separate from the remaining logs. This was done to enable linking 150 the log-specific data with the respective stem models produced from the TLS point clouds. The 151 harvester produced 103 sawlogs from the 52 sample trees: 52 butt logs, 42 middle logs and 9 top 152 logs.

The sample logs were measured at the sawmill in August 2016. The tree number and order of log (i.e. butt, middle, top) were identified, based on their ID numbers. The measurements included two scanning repetitions with the sawmill equipment. The log-scanning system in use was a Visiometric LignaProfi (Visiolog Ltd., Lappeenranta, Finland) system that entails four static laser beams and three cameras. The system measured three stem curve diagrams of the logs, one from each of the camera

directions. The diagrams entailed the log diameters and centre points with a resolution of 5 cm in 158 159 vertical direction that were used to calculate the top-end diameter, volume, taper and sweep of each log (Figure 3). The top-end diameter was the average of the top-end diameters of the three 160 directions. The volume of a log was calculated as the volume of a truncated cone, using the log 161 length and averaged over-bark diameters at the top-end and at the 2/3 length of the log (from the 162 163 top-end). Taper (mm/m) was defined as the difference between the averaged log top-end over-bark diameter and over-bark diameter at the 2/3 length, divided by the length of the log. Sweep (mm/m) 164 165 was determined as the maximum deviation of the surface model centre line from the log centre line 166 (i.e. a direct line from the top-end centre point down to the centre point at the 2/3 length of the log) 167 in any of the three measurement directions. The diameter and centre point at the 2/3 length of the log were used instead of those of the bottom-end to deduct the butt-swelling that will be planed off 168 169 before the logs are sawn.

170 To enable the interpretation of interior wood quality, a Wood-X 4D Tomo (Finnos Ltd., 171 Lappeenranta, Finland) X-ray scanning device (digital radiographer) was used to estimate the basic 172 density of the sapwood and to detect whorls present in the heartwood in each log. In X-ray scanning, 173 the X-ray beams were transmitted through a log from four directions, and the attenuation values 174 were used to image the log's cross section at a given point along the log in two dimensions (2-D). 175 The series of consecutive cross-sectional images were combined into a comprehensive 176 reconstruction of the log, with 10 mm x 10 mm x 10 mm voxels, each associated with an intensity 177 value representing the attenuation of the X-ray beams (Figure 4). Measurements from the merged X-178 ray scanning log reconstruction data were taken, utilizing the in-house algorithms of Finnos Ltd. The 179 estimation of the basic density of the sapwood (ratio of dry weight to green volume) involved the 180 analysis of the X-ray beam attenuation and predictive modelling with respect to device-specific 181 calibration measurements. In general, the measured attenuation coefficient can be linearly linked 182 with the density of the material (Fromm, 2001; Bucur, 2003). The system used in this study 183 converted the estimated basic density (kg/m^3) to an index value (I) by compressing the original range 184 of values into a smaller range, but preserving the relative differences between values. Knot whorls 185 were identified from the X-ray data, based on their higher attenuation value in comparison to the 186 surrounding wood, utilizing a pattern-recognition adaptation of neural networks (Hagman, 1995; Oja 187 et al., 2003; Longuetaud, 2005; Fredriksson, 2012) (Figure 4). Whorl location was measured as the 188 height from the log bottom to the centre of the whorl. The locations were used to calculate the 189 mean whorl-to-whorl distance in each log. For further reading, applications of X-ray scanning data in 190 wood property measurements and identification of the varying structures in wood were reviewed by 191 Wei et al. (2011).

192 Statistical analysis

The final values of the sawmill measurements, used as the reference data and referred to as sawmill data from here on, corresponded to the mean values of the two repetitions. The accuracy of the sawmill references was analysed by calculating a mean difference (MD) and 95% confidence interval (CI) between the repetitions and using a paired t-test to determine whether the differences were statistically significant (p < 0.05). Relative MDs were also calculated as the proportion of the MD to the sawmill measurement. 199 Since this study focused only on a sample of trees instead of on an entire stand, we bucked the TLS 200 tree models to logs, using the ID-numbered log lengths from the sawmill data. The exact stump 201 height was unknown, but we assumed that the harvester had cut the first log just above the root 202 collar. Log-specific over-bark top-end diameter, stem taper, sweep and volume were measured from 203 the TLS-based stem models, applying the principles of the sawmill measurements (Figure 2), and 204 compared with the reference. The comparisons were conducted separately for butt logs and other 205 logs, i.e. the middle logs and top logs were bundled together, due to the small number (9) of top 206 logs. Similarly, in the comparison of the sawmill measurement repetitions we used the MD, relative 207 MD, 95% CI and paired t-test to determine the statistical significance of the differences between the 208 TLS measurements and the sawmill reference. In addition, a correlation matrix of Pearson's 209 correlation coefficients (r) was calculated between all the variables and between the TLS and sawmill 210 data for all the logs and the various log types separately. To assess the relationship between wood quality and the TLS-derived variables describing log geometry, we inspected r between the log 211 212 geometry variables, log-specific basic density and whorl-to-whorl mean distance values from the X-213 ray scanning measurements.

214 Results

Statistical differences were found between the two sawmill measurement repetitions for top-end diameter and volume, but the relative MDs remained below 3%. Statistical differences were also found for butt log taper (relative MD 5.8%) and basic density values (relative MD 1.1%). The descriptive statistics of the sawmill measurement repetitions, their MDs and the results of paired ttests are presented in Table 2.

220 The estimates of the log-specific top-end diameter and volume based on TLS stem models differed 221 from the reference measurements in a statistically significant manner, having relative MDs of 1.6% 222 and -2.4%, respectively, considering all log types together (Table 3). For all logs together, as well as 223 for the various log types separately, the top-end diameter and volume estimates were highly 224 correlated between the TLS tree models and sawmill measurements, with r ranging between 0.88 225 and 0.99 (Table 4). Figure 5 shows that the TLS stem models tended to slightly overestimate the top-226 end diameter, especially as the diameter increased, while the log volume estimates were generally 227 close to unbiased, and not dependent on the size of the log.

228 The taper values of the TLS stem models showed a relative MD of -3.0% in comparison to the 229 sawmill references, considering all log types. However, the difference was not statistically significant 230 (Table 3), and r was more than 0.75 between estimates from sawmill measurements and TLS stem 231 models for all log types together or separately (Table 4). The sweep estimates differed from the 232 reference in a statistically significant manner, the relative MD showing a 78.13% difference between 233 the data sets when all logs were considered and r ranging from 0.47 to 0.62 when all logs were 234 considered or different log types were considered separately (Table 4). Neither taper nor sweep 235 estimates were clearly associated with the magnitude of the variable (Figure 5).

When we compared the log properties with the wood quality variables, the results showed negative correlations between the basic density and increasing top-end diameter, volume and tapering of logs (Table 4). The relationship between tapering and basic density in all logs together was more clear when the tapering value from the sawmill measurements was used (r = -0.62). A negative correlation (r = -0.51) was found between the TLS-based tapering and mean whorl-to-whorl distances when all logs were considered (Table 4). Figure 6 illustrates the results of the comparison in scatter plots. Clear associations were observed between basic density and top-end diameter (Figure 6a), volume (Figure 6c) and taper for middle and top logs (Figure 6e), and between the mean whorl-to-whorl distances and taper, especially in the middle and top logs (Figure 6f).

245 Discussion

Our analysis of the applicability of stem models based on high-density terrestrial point clouds in contributing to preharvest log breakdown optimization at sawmills used state-of-the-art sawmill data as references. Analysing the precision of the reference data is crucial to enabling proper interpretation of the comparison results. Paired t-tests of the sawmill measurement repetitions that made up the reference showed that statistically significant differences may exist between two measurement rounds. However, the relative MDs remained below 4% for all variables, which suggests high accuracy of the reference data and set the level for the TLS stem models.

253 Our results showed that TLS point cloud-based tree models tend to slightly overestimate the log 254 diameters, especially for the butt logs (Table 3). Errors in diameter estimation propagate to the 255 volume and taper estimates. In this study, the volume estimates for the top logs differed from the 256 reference in a statistically significant manner, while the taper values were estimated as being nearly 257 unbiased (Table 3). It is worth noting that the diameter measurement heights may have varied 258 somewhat between the TLS and sawmill data, because the exact stump height was not known. The 259 stem diameter estimates were also extrapolated between the measurement points by means of 260 spline interpolation, in which the estimation of H and selection of the smoothing parameter may 261 also have resulted in errors to the estimates (Figure 2). Nevertheless, the estimation errors were 262 similar in magnitude to those between the two repetitive sawmill measurements (Tables 2 and 3). The remaining differences were generally below 1 cm, and the accuracy of the stem dimensions 263 264 estimation was thus higher than in most previous studies utilizing either cylinder or circle fitting 265 (Henning and Radtke, 2006; Liang et al., 2012; Olofsson et al., 2014; Olofsson and Holmgren, 2016; 266 Wang et al., 2016; de Conto et al., 2017; Koreň et al., 2017). This was probably due to the favourable 267 scanning conditions (i.e. both understorey and wind were minimal) and a high scanning density 268 (point-to-point distance 3.1 mm at 10 m). Also a possible source of overestimation is that the area a 269 laser beam illuminates when emitted onto a cylindrical object is elliptical rather than circular, and 270 TLS point cloud-based cylinder models are therefore generally prone to slight overestimation, as 271 reported by Forsman et al. (2018).

272 Sweep was largely overestimated in the TLS stem models. The result indicates that although the 273 diameter estimates were in line with the references, the stem centre point estimation differs 274 between the sawmill measurements and the TLS stem modelling. At the sawmill, the sweep was 275 estimated from three directions, using a 2-D diagram of log centre points, and thus the orientation 276 of the log on the measurement table affects the sweep measurement at the sawmill. In the TLS stem 277 model, the centre point was estimated in 3-D as the centre of a cylinder fitted to the stem points. 278 Our results thus pointed out an issue that should be paid more attention to in the future: the 279 measurement of sweep needs to be defined in such a way that uniform and comparable estimations 280 are possible with either method. Previous research on sweep estimation is sparse. Thies et al. (2004) demonstrated a sweep-estimation approach, but they had no references to show the accuracy oftheir approach.

283 The log geometry variables from both the sawmill measurements and TLS stem models resulted in 284 logical correlations with the inspected wood quality variables, namely wood density and knottiness of the logs that were measured by means of X-ray scanning. Based on our results, the wood density 285 decreased together with increasing log dimensions (Figure 6). Downes et al. (2002) argued that the 286 relationship between rapid growth and decreased wood density is not necessarily causal per se, but 287 288 rather a likely consequence of prolonged juvenile wood formation in trees that maintain long live 289 crowns and exhibit rapid axial growth (Mansfield et al., 2007; Kuprevicius et al., 2013). The larger, 290 dominant trees in this study may have comprised larger proportions of juvenile wood, similar to 291 trees from widely spaced and fertile sites. Cortini et al. (2013) linked increasing site fertility with 292 increasing growth ring area for four different conifers, and Benjamin et al. (2009) associated the 293 increasing tree spacing with decreasing modulus of elasticity in black spruce (Picea mariana [Mill.] 294 BSP). Furthermore, the sapwood basic density decreased with increasing tapering, especially in butt 295 logs (Table 4, Figure 6e), which is in line with previous results, e.g. Lindström (1996) and Fabris 296 (2000), who linked heavy stem tapering to rapid axial growth and lower wood density. Our results 297 also showed that, especially in middle and top logs (Figure 6f), the tapering was negatively 298 correlated with the mean whorl-to-whorl distances (Table 4), which was also observed in studies 299 conducted by Björklund (1997) and Mäkinen (1999). Tapering was minimal in the middle logs and 300 increased again in the top logs (Table 2, Figure 2). The knots found in the middle logs were likely 301 grown under heavy competition, their life cycle and consequently the length of the live crown having 302 been shorter and the growth resources allocated to growth in the stem apex, resulting in small taper 303 value and long whorl-to-whorl distances. The knots within the top logs, in turn, were likely grown 304 after the final thinning, when the trees have allocated their growth resources to rapid axial growth 305 instead of growth in H and the whorl-to-whorl distances have remained shorter.

306 Since stem shape is closely associated with crown properties, similar estimates of log properties and 307 wood quality could also have been estimated through crown geometry and individual branching 308 parameters. For example, Blanchette et al. (2015) analysed stand-specific canopy structure and 309 competition indicators measured from TLS point clouds and presented models for predicting several 310 wood properties, including wood density and microfibril angles. In Pyörälä et al. (2017), manual 311 branch measurements from TLS point clouds were compared with knots detected in X-ray scanning. 312 The study concluded that approximately 55% of the whorls could be identified, with most of the discrepancy resulting from the bottom parts of the stem, where the branches had self-pruned. In 313 addition, the increasing distance from the scanner results in diminishing point density in the upper 314 315 parts of the tree crown and the terrestrial point clouds are not feasible for detecting individual branches deeper in the live crown. Therefore, it is reasonable to assume that both the stem taper 316 317 data and branching data should be used in a complementary manner to comprehensively assess the 318 wood quality of standing timber. Geometrical tree-modelling approaches to retrieve branching 319 structures in addition to the stem have been demonstrated by Côté et al. (2012), Raumonen et al. 320 (2013), Bournez et al. (2017) and Pyörälä et al. (2018). Further research should utilize these 321 additional variables in the linking of standing timber properties to the wood quality variables from 322 sawmill data.

323 It is worth noting that our test data were small and entailed high-density point clouds that covered 324 individual trees as completely as possible. When the area of interest increases, the spatial range of 325 TLS tends to limit the coverage, resolution and quality of the data achievable (Abegg et al., 2017; 326 Wilkes et al., 2017; Liang et al., 2018a). Alternatively, a laser-scanner can also be mounted on a 327 moving platform (MLS, mobile laser scanning), which enables faster acquisition of point cloud data with resolution similar to that of TLS (Liang et al., 2018b). The data- processing time for TLS and MLS 328 329 point clouds may yet be long, but future developments in algorithms and computing power are likely 330 to reduce the processing time.

331 As an outlook, if sawmills gathered MLS data from the top of a harvester during harvesting 332 operations and linked the standing timber's stem (and branching) geometry with sawmill data, the resulting database could be used to optimize the sawing and predict sawn wood product recoveries 333 334 at potential harvest sites, using remote sensing. Barth et al. (2015) and Sanz et al. (2018) used aerial 335 remote-sensing data, field references and harvester bucking data from previously harvested forest 336 sites. They produced lists of trees with 2-D stem profiles based on the field and harvester data, then 337 bucked the tree lists in a simulation and imputed the log product recovery estimations to their study 338 stands, using the remote-sensing data. If the field references involved 3-D stem models and were 339 linked with wood quality information, simulated sawn wood product recoveries could be estimated 340 at potential harvest sites and used to optimize the log breakdown prior to the harvest.

341 Conclusion

342 The results of the current study showed that stem diameters along the length of the sawlog section 343 can be estimated with similar accuracy to that at the sawmill, using high-density terrestrial point 344 clouds scanned under favourable conditions. The results thus imply that stem-model dimensions 345 resolved from point clouds could be used to link sawmill wood quality data with standing timber. 346 However, the proposed approach may not yet be fully feasible, due to the non-uniform definition of 347 sweep, and although logical relationships exist between the stem-model geometry and wood 348 quality, the correlations in this study remained moderate at best. In further studies, the additional 349 information obtainable from the point clouds should be included to further explore the possible 350 advantages that the 3-D point cloud data provide for wood procurement planning. Moreover, in an 351 operational setting, mobile platforms are probably better suited for data acquisition than the static 352 TLS.

353 Funding

This work was supported by financial aid received from the Finnish Academy project 'Centre of Excellence in Laser Scanning Research (CoE-LaSR) [272195]', Ministry of Agriculture and Forestry of Finland project 'Puuston laatutunnukset' [OH300-S42100-03], Foundation for Research of Natural Resources in Finland [1780/15, 1790/16 and 1798/17], Finnish Forest Foundation [2014092904], and Jenny ja Antti Wihurin rahasto.

359 Acknowledgements

The authors would like to acknowledge Dr Antti Uotila from the Hyytiälä Forest Station (University of Helsinki) for arranging the harvesting of the forest stand examined. Antti Raatevaara (University of Helsinki, currently at the Institute of Natural Resources Finland) is acknowledged for marking the

- 363 sample trees during the harvest and coordinating the measurements of sample logs at the sawmill.
- 364 Ari Toivonen, Sami Kotivuori and Jukka Mäkinen from 'Korkeakosken saha' sawmill (UPM-Kymmene
- Oyj) are acknowledged for providing the sawmill data, with special thanks to Ari Toivonen for advice
- 366 and information on the sawing process and sawmill practices. Juha Alatalo (Finnos Ltd.) is
- 367 acknowledged for providing the X-ray data for our use and information on the equipment and
- 368 measurements. The language was edited by Dr James Thompson.

369 **Conflict of interest statement**

None declared.

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- 542
- 543 **Table 1.** Study site description based on stand-wise forest inventory from year 2015. G = basal area,
- 544 D_{gm} = basal area-weighted mean diameter, H_{gm} = basal area-weighted mean height, and V = total
- 545 stem volume per hectare.

Regeneration	Sow
Established (year)	1950
Thinnings (year)	1983, 1999
Site type	Sub-xeric
Vegetation type	Vaccinium
Area (ha)	1.7
Stems (No./ha)	617
G (m²/ha)	28.0
D _{gm} (cm)	25.0
H _{gm} (m)	21.0
V (m³/ha)	281.0

546 **Table 2.** Minimum, mean, maximum and standard deviation (SD) values of two repetitions of the

sawmill measurements (Sawmill 1 and 2), and the log-specific differences and results of a paired t-

test: mean difference (MD) with absolute and relative values, 95% confidence interval (95% CI),

degrees of freedom (*df*) defined as N–1 where N is the number of logs, the *t*-statistic (*t*) and the

550 statistical significance (*p*) between the repetitions for all logs and different log types. The data

included 52 butt logs, 42 middle logs and 9 top logs. Statistically significant differences (p < 0.05) are

552 marked with an asterisk (*).

	Sawmill 1			Sawmill 2				Accuracy					
	Min	Max	Mean	SD	Min	Max	Mean	SD	MD (%)	95% CI	df	t	p
Length (m)	3.78	5.57	4.77	0.48	3.78	5.56	4.77	0.48	0.00 (0.00)	0.00 - 0.00	102	0.57	0.57
Butt logs	3.78	5.57	4.87	0.49	3.78	5.56	4.87	0.49	0.00 (0.00)	0.00 - 0.00	51	0.75	0.45
Middle logs	4.01	5.48	4.72	0.48	4.02	5.48	4.72	0.48	0.00 (0.00)	0.00 - 0.00	41	-0.11	0.91
Top logs	4.26	4.89	4.48	0.26	4.26	4.90	4.48	0.26	0.00 (0.00)	0.00 - 0.01	8	0.37	0.72
Top-end diameter (cm) 14.10	27.10	18.98	2.93	13.70	25.70	18.72	2.87	0.26 (1.38)	0.18 - 0.34	102	6.48	<0.01*
Butt logs	14.10	27.10	20.15	3.03	13.70	25.70	19.83	2.96	0.32 (1.60)	0.20 - 0.44	51	5.46	<0.01*
Middle logs	14.80	23.20	18.18	2.28	14.60	23.50	17.97	2.33	0.21 (1.16)	0.09 - 0.32	41	3.59	<0.01*
Top logs	14.90	18.60	15.94	1.14	14.70	18.00	15.82	1.11	0.12 (0.76)	-0.17 - 0.42	8	0.96	0.37
Volume (dm ³)	68.00	335.00	167.80	57.14	64.00	347.00	163.67	56.26	4.13 (2.49)	3.41 - 4.85	102	11.36	<0.01*
Butt logs	68.00	335.00	193.60	62.97	64.00	347.00	187.94	62.62	5.65 (2.96)	4.41 - 6.90	51	9.12	<0.01*
Middle logs	91.00	242.00	145.81	35.81	88.00	239.00	143.19	35.82	2.62 (1.81)	2.12 - 3.12	41	10.66	< 0.01*
Top logs	106.00	169.00	121.33	8 22.12	101.00	166.00	119.00	22.53	2.33 (1.94)	1.25 - 3.42	8	4.95	< 0.01*
Taper (mm/m)	3.10	16.50	8.13	2.39	2.60	14.10	7.98	2.31	0.15 (1.86)	-0.06 - 0.36	102	1.42	0.16
Butt logs	4.60	16.50	8.23	2.39	2.60	14.10	7.77	2.31	0.46 (5.75)	0.15 - 0.77	51	2.98	< 0.01*
Middle logs	3.10	10.00	7.23	1.52	2.90	10.90	7.46	1.61	-0.23 (-3.13)	-0.55 - 0.09	41	-1.45	0.16
Top logs	7.90	14.80	11.72	2.30	7.60	13.70	11.57	2.09	0.16 (1.37)	-0.23 - 0.54	8	0.92	0.38
Sweep (mm/m)	1.30	13.00	4.53	2.30	1.10	12.50	4.43	2.20	0.10 (2.23)	-0.01 - 0.21	102	1.74	0.08
Butt logs	1.60	13.00	5.38	2.59	1.90	12.50	5.20	2.55	0.18 (3.40)	0.02 - 0.33	51	2.28	0.03
Middle logs	1.30	8.20	3.79	1.60	1.10	7.80	3.70	1.48	0.08 (2.14)	-0.1 - 0.26	41	0.94	0.35
Top logs	1.40	5.80	3.07	1.40	2.30	5.10	3.36	1.02	-0.29 (-9.02)	-0.66 - 0.09	8	-1.77	0.11
Basic density (I)	114.00	135.00	125.98	85.14	115.00	135.00	126.87	4.69	-0.89 (-0.70)	-1.170.61	102	-6.32	<0.01*
Butt logs	114.00) 131.00	122.67	4.57	115.00	132.00	124.04	4.31	-1.37 (-1.11)	-1.770.96	51	-6.7	< 0.01*
Middle logs	121.00	135.00	129.19	3.16	120.00	135.00	129.67	2.97	-0.48 (-0.37)	-0.860.09	41	-2.5	0.02
Top logs	125.00	135.00	130.11	2.76	124.00	134.00	130.22	3.27	-0.11 (-0.08)	-1.09 - 0.86	8	-0.26	0.8
Whorl-to-whorl (m)	0.15	0.47	0.27	0.07	0.14	0.46	0.28	0.08	0.01 (3.64)	-0.65 - 0.09	102	-1.52	0.13
Butt logs	0.15	0.28	0.22	0.03	0.14	0.29	0.22	0.04	0.00 (0.00)	-0.27 - 0.46	51	0.52	0.61
Middle logs	0.25	0.47	0.34	0.05	0.26	0.46	0.35	0.05	0.01 (2.90)	-1.43 - 0.07	41	-1.84	0.07
Top logs	0.24	0.35	0.28	0.04	0.25	0.34	0.29	0.03	0.01 (3.51)	-1.96 - 0.74	8	-1.05	0.33
					-				-				

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554 Table 3. Log-specific minimum, mean, maximum and standard deviation (SD) values of the measured

variables for sawmill and terrestrial laser-scanning (TLS) data as well as the results of the paired t-

test: mean difference (MD) in both absolute and relative terms, 95% confidence interval (95% CI),

557 degrees of freedom (df) defined as N–1 where N is the number of logs, t the t-statistic and p the

558 statistical significance. A positive MD indicates that TLS resulted in overestimation of the value, and

vice versa. Statistically significant differences (*p* < 0.05) are marked with an asterisk (*).

	Sawmill		TLS		Accuracy				
	Min Max Mean		Min Max	Mean SD	lean SD MD (%)		df t	t p)
Top-end diameter (cm) 13.90 26.4) 18.85 2.89	13.68 27.38	19.15 3.17	0.30 (1.59)	0.18 - 0.43	102 4	4.8 <	<0.01*
Butt logs	13.90 26.4) 19.99 2.99	14.15 27.38	20.57 3.19	0.57 (2.85)	0.45 - 0.71	51 8	8.95 <	<0.01*
Other logs	14.75 23.3	5 17.69 2.29	13.68 24.01	17.71 2.44	0.02 (0.11)	-0.16 - 0.21	50 0	0.24 0).81
Volume (dm³)	66.00 341.	00 165.73 56.6	8 71.00 340.0	0 161.73 58.58	-4.01 (-2.42)	-5.772.24	102 -	4.5 <	<0.01*
Butt logs	66.00 341.0	00 190.77 62.7	6 71.00 340.00	0 188.44 63.63	-2.33 (-1.22)	-5.03 - 0.38	51 -	-1.73 0	0.09

Other logs	89.50	240.50	140.21	34.91	81.00	248.00	134.49	37.03	-5.72 (-4.08)	-7.973.46	50	-5.09 <0.01*
Taper (mm/m)	2.85	15.30	8.05	2.28	3.14	15.41	7.80	2.43	-0.24 (-2.98)	-0.54 - 0.05	102	-1.65 0.1
Butt logs	3.60	15.30	8.00	2.29	3.14	15.41	7.69	2.70	-0.31 (-3.88)	-0.82 - 0.19	51	-1.24 0.22
Other logs	2.85	14.25	8.10	2.30	4.77	13.81	7.92	2.14	-0.18 (-2.22)	-0.51 - 0.15	50	-1.12 0.27
Sweep (mm/m)	1.20	12.75	4.48	2.23	1.01	27.32	7.98	4.93	3.50 (78.13)	2.65 - 4.35	102	8.16 <0.01*
Butt logs	1.75	12.75	5.29	2.55	1.31	26.57	7.42	4.71	2.13 (40.26)	1.04 - 3.22	51	3.93 <0.01*
Other logs	1.20	8.00	3.65	1.47	1.01	27.32	8.54	5.13	4.89 (133.97)	3.67 - 6.12	50	7.99 <0.01*

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- 561 **Table 4.** Correlations (*r*) between the terrestrial laser-scanning (TLS)-derived stem-model attributes
- 562 and X-ray-derived basic densities and whorl-to-whorl distances in various log types and in all logs
- 563 (boldface). The grey values indicate the absolute magnitude of the correlation.

		TLS				Sawmill					
		Top-end				Top-end				Basic	
		diameter	Volume	Taper	Sweep	diameter	Volume	Taper	Sweep	density	Whorl-to-whorl
	Top-end										
	diameter	1.00									
	Butt logs	1.00									
	Other logs	1.00									
	Volume	0.95	1.00								
	Butt logs	0.96	1.00								
	Other logs	0.91	1.00								
	Taper	0.08	0.11	1.00							
	Butt logs	0.27	0.24	1.00							
	Other logs	-0.15	-0.04	1.00							
	Sweep	0.17	0.19	-0.03	1.00						
	Butt logs	0.23	0.27	0.07	1.00						
TLS	Other logs	0.29	0.32	-0.17	1.00						
	Top-end										
	diameter	0.98	0.94	0.10	0.18	1.00					
	Butt logs	0.99	0.96	0.24	0.25	1.00					
	Other logs	0.96	0.88	-0.05	0.25	1.00					
	Volume	0.92	0.99	0.11	0.18	0.93	1.00				
	Butt logs	0.93	0.99	0.19	0.27	0.95	1.00				
	Other logs	0.85	0.98	0.05	0.27	0.86	1.00				
	Taper	0.09	0.17	0.79	0.02	0.11	0.20	1.00			
	Butt logs	0.31	0.31	0.75	0.08	0.31	0.34	1.00			
	Other logs	-0.14	0.02	0.86	-0.05	-0.11	0.09	1.00			
	Sweep	0.35	0.29	0.15	0.47	0.33	0.27	0.14	1.00		
	Butt logs	0.17	0.10	0.34	0.56	0.17	0.11	0.30	1.00		
	Other logs	0.36	0.27	-0.16	0.62	0.29	0.19	-0.09	1.00		
	Basic density	-0.62	-0.61	-0.49	-0.13	-0.60	-0.62	-0.62	-0.41	1.00	
	Butt logs	-0.61	-0.55	-0.19	-0.36	-0.60	-0.56	-0.53	-0.29	1.00	
	Other logs	-0.23	-0.26	-0.12	-0.16	-0.25	-0.27	-0.09	-0.10	1.00	
	Whorl-to-										
=	whorl	-0.32	-0.32	-0.51	0.04	-0.28	-0.30	-0.70	-0.37	0.62	1.00
Sawmill	Butt logs	-0.02	0.14	0.16	-0.09	0.02	0.17	-0.38	-0.18	0.29	1.00
Sav	Other logs	0.16	0.09	-0.48	-0.09	0.14	0.07	-0.53	-0.14	0.24	1.00

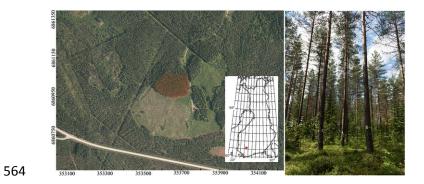
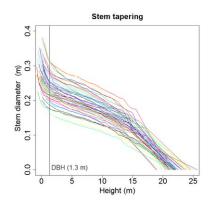


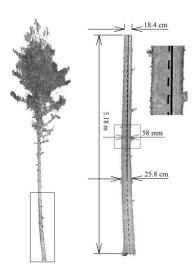
Figure 1. Left: The stand examined is highlighted in red in the aerial imagery (by the National Land Survey of Finland). Coordinates are given in EUREF-FIN. The dot on the map of Finland indicates the location. Right: A photograph of the stand showing a group of three sample trees marked with identification numbers.



570 Figure 2. Sample tree stem curves (52). The stem diameter at a given height corresponds to the

result of the cubic spline smoothing of the diameters of the cylinders fitted to the stem point clouds.

572 DBH = diameter-at-breast-height.



573

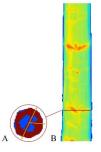
569

Figure 3. Illustration of the log geometry metrics used in this study, overlaid on a terrestrial laserscanning point cloud of a butt log. The log length (5.18 m) is given by the vertical arrow on the lefthand side. The log top-end diameter (18.4 cm) and diameter at 2/3 length from the top-end (25.8 cm) are indicated with horizontal arrows above and below the log, respectively. The log taper (14.3 578 mm/m) is calculated as the difference of the two diameters, divided by the length of the log. The

579 horizontal arrows in the middle give the maximum deviation between the log centre line (dashed)

and the direct line (solid) from the top-end down a 2/3 length of the log (58 mm); sweep (11.2

581 mm/m) is calculated from this value by dividing it with the full length of the log.



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598

583 Figure 4. A general example of log reconstruction from four-directional X-ray scanning. In cross-584 sectional images as shown in A), heartwood (blue) is separated from knots and sapwood (red). The 585 arrows in the cross-section give the knot directions. The log data as shown in B) are reconstructed 586 longitudinally by merging consecutive cross-sectional images. The attenuation of the X-ray beams is 587 used to interpret different structures in the log. (B): Knot whorls (red) are identified from the image, 588 based on their higher density in comparison to the surrounding heartwood (yellow-green), and the 589 basic density of the sapwood (cyan) is interpreted from the attenuation values with respect to the 590 device-specific calibration measurements. The bark is illustrated in blue. The red horizontal line 591 indicates the location of the cross-sectional image (A). The figure is by courtesy of Finnos Ltd., and 592 the log is not from this study.

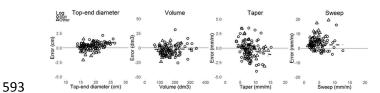
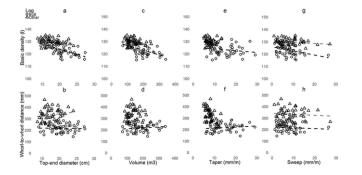


Figure 5. Scatter plots representing the differences between the terrestrial laser-scanning (TLS) point cloud measurements and the sawmill measurements with respect to the magnitude of the Inspected variable. A positive error indicates that TLS resulted in overestimation of the value, and vice versa.

597 The number of butt logs is 52 and other logs 51.



599 Figure 6. Scatter plots representing the relationship between the terrestrial laser-scanning stem-

600 model-derived log-specific top-end diameter, volume, taper and sweep and the X-ray scanning -

601 derived basic density and whorl-to-whorl distances. The number of butt logs is 52 and other logs 51.