Alternative Mechanisms for Gaia

Arwen E. Nicholson^{*1}, David M. Wilkinson², Hywel T.P. Williams¹, Timothy M. Lenton¹

¹Earth System Science, University of Exeter, Streatham Campus, Exeter, EX4 4PY, UK

²School of Life Sciences, University of Lincoln, Joseph Banks Laboratories, Green Lane, Lincoln, LN6 7DL, UK

Abstract

A long-standing objection to the Gaia hypothesis has been a perceived lack of plausible mechanisms by which life on Earth could come to regulate its abiotic environment. A null hypothesis is survival by pure chance, by which any appearance of regulation on Earth is illusory and the persistence of life simply reflects the weak anthropic principle - it must have occurred for intelligent observers to ask the question. Recent work has proposed that persistence alone increases the chance that a biosphere will acquire further persistence-enhancing properties. Here we use a simple quantitative model to show that such 'selection by survival alone' can indeed increase the probability that a biosphere will persist in the future, relative to a baseline of pure chance. Adding environmental feedback to this model shows either an increased or decreased survival probability depending on the initial conditions. Feedback can hinder early life becoming established if initial conditions are poor, but feedback can also prevent systems from diverging too far from optimum environmental conditions and thus increase survival rates. The outstanding question remains the relative importance of each mechanism for the historical and continued persistence of life on Earth.

^{*}arwen.e.nicholson@gmail.com

The Gaia hypothesis postulates that life on Earth forms part of a self-1 regulating planetary-scale system with stabilising properties that help to main-2 tain habitable conditions [1, 2]. Early critiques of Gaia by evolutionary biologists questioned the compatibility of Gaia with natural selection [3, 4] and noted that an appeal to the weak anthropic principle could account for the long persistence of life on Earth without requiring regulatory mechanisms [3]. Subsequent pro-6 posals that global environmental feedbacks could be built on by-products of metabolic traits selected for more proximate ecological benefits sidestepped this evolutionary critique, but raised the question of why stable outcomes would q be any more likely than unstable ones [5, 6, 7]. A series of theoretical models 10 of feedback between life and the environment then showed that self-stabilising 11 outcomes can arise from metabolic by-products in a manner consistent with nat-12 ural selection [8, 9, 10] and possible selection mechanisms for Gaia have been 13 identified across multiple scales [11]. 14

Recently, a new schematic model of 'selection by survival' alone has been 15 proposed [12], whereby postulated biospheres can acquire persistence-enhancing 16 adaptations by chance over time. In the language of this model, macro-level 17 'mutations' affecting biosphere dynamics and stability arise due to micro-level 18 mutations that occur during reproduction of the organisms that compose the 19 system. Thus the longer the biosphere persists, the greater the likelihood that 20 persistence-enhancing mutations can arise. This could apply to the Earth's 21 biosphere as well as smaller entities such as ecosystems (see [13] for a wider 22 discussion of such approaches). Here we introduce a quantitative model of this 23 idea and contrast it with a null model of survival by pure chance, and then in-24 vestigate the effect of adding feedback using an existing model of environmental 25 feedbacks. 26

27 **1. Model**

28

We compare three hypotheses for the continued persistence of life on Earth:

²⁹ H1 - Null hypothesis (pure chance)

H2 - Acquisition of persistence enhancing mutations by chance (selection by survival)

³² H3 - Environmental feedbacks in addition to selection by survival.

In an attempt to isolate the effect of life on its own persistence we use idealised model biospheres where the abiotic environment is highly simplified and where the biosphere has a non-zero likelihood of extinction which can be impacted by the biosphere. For each scenario, we consider a non-interacting population of 10⁴ isolated model biospheres and consider how many survive as a function of time. Appendix A contains a full model description. The models used in each scenario are described below.

40 1.1. H1 - Null Hypothesis

For the null model we assume a constant extinction probability for each biosphere at each model timestep, resulting in exponential decay in the number of surviving biospheres. The probability of extinction at any time for H1 systems is a constant:

$$P_C = C \tag{1}$$

We set *C* to have the same value as the starting extinction values for the selection by survival (H2), and the environmental feedback (H3) experiments. This allows us to identify any survival enhancement performed by the biospheres in these experiments.

49 1.2. H2 - Selection by Survival

For the selection by survival [12] experiment we adapt a pre-existing model - the Flask model [8, 9, 10, 14]. This consists of model 'flasks', host to microbe communities (these could be thought of as effectively chemostats - at the small scale - to whole biospheres, at a large scale). These flasks experience inflow and outflow of a medium containing nutrients. Microbes consume nutrients, produce waste, and impact the abiotic environment (here represented as a single variable

arbitrarily labelled 'temperature', T) as a by-product of their metabolic activity. 56 The flask inflow medium is at a constant temperature T_{inflow} . Microbes starve 57 to death if their biomass drops below a certain threshold B_D and reproduce 58 as exually if their biomass reaches the reproduction threshold B_R . During re-59 production mutations can occur leading to new species emerging. There is also 60 a constant probability of random mortality of microbes D. There are 4 nutrient 61 types in each system, and microbes can consume and excrete a combination of 62 any, however every microbe must both consume and excrete, and it must not 63 consume what it excretes, otherwise it is unviable. The pattern of consumption 64 excretion is set by the microbe's genotype, which can mutate at reproduction. 65 For our selection by survival experiments, we limit the system to a single 66 flask; we can think of these flasks as self-contained planets host to biospheres. 67 Microbial metabolisms impact the system temperature, but this temperature 68 does not impact individual microbes' metabolisms. The temperature does im-69 pact the biosphere-wide probability of extinction P_T : 70

$$P_T = a + b \times |T_{ideal} - T| \tag{2}$$

where T is the system temperature, and depends on the genetic makeup of the 71 microbe community currently alive in the system, $a = 2 \times 10^{-6}$ is a constant 72 background probability of global extinction, and $b = 2 \times 10^{-6}$ controls the impact 73 the system temperature has on the probability of extinction. Here there is a 74 global T_{ideal} value, which is the system temperature that results in the lowest 75 probability of total extinction. The inflow to the system is at temperature 76 $T_{inflow} \ll T_{ideal}$. This makes initial conditions far from ideal, however still 77 tolerable to the temperature sensitive H3 microbes, thus allowing for direct 78 comparison. We seed with a single microbe species set to have no impact on the 79 system temperature. As mutants arise, they will affect system temperatures 80 via their metabolisms, but for each experiment the environment starts with 81 the same conditions. This allows us to see the effect the selection by survival 82 mechanism has more clearly. The selection by survival model is very similar 83

to the version of Flask model detailed in [14] however with the feedback from environment to microbe metabolisms removed, and the temperature dependant P_T - the biosphere-level mortality process - imposed on each system.

1.3. H3 - Adding Environmental Feedbacks

87

The environmental feedback case (H3) is similar to the selection by survival model (H2) with the key difference that the temperature does now impact individual microbes' growth rates. H2 systems have feedback acting in one direction only, from the microbes to the environment. H3 systems have the same life \rightarrow environment interaction, but also feedback from the environment to the microbes, thus closing the feedback loop.

For H3 systems, microbes are temperature sensitive with their growth rate 94 impacted by the system temperature. The growth rates for all microbes are 95 at a maximum when $T = T_{ideal}$, i.e. T_{ideal} is the temperature at which their 96 metabolic activity will be at its peak. As the system temperature moves away 97 from T_{ideal} , microbe metabolic activity slows until eventually they cannot con-98 sume nutrients at all. If conditions do not quickly improve, the result is individ-99 ual mortality of microbes which can lead to extinction. This model is the Flask 100 model described in [14], however with the biosphere-level mortality function P_T 101 (Equation 2) imposed on each system. The microbes' temperature sensitivity is 102 determined by a parameter τ that takes a real positive value, and is the same 103 for all species (earlier work e.g. [10] explores scenarios where τ differs between 104 species). A higher τ value corresponds to more temperature sensitive microbes. 105 The fitness F of the microbes depends on τ in the following way: 106

$$F = e^{-(\tau |T_{ideal} - T|)^2}$$
(3)

Setting $\tau = 0$ would give a system of microbes that are completely temperature insensitive with F = 1 for all temperatures, i.e. H2 systems. When $\tau > 0$, the microbes' fitness is a Gaussian function, centred around $T = T_{ideal}$, and as $\tau \to \infty$, the fitness function becomes a delta function, with a non-zero value for only $T = T_{ideal}$. When the microbes metabolisms are at a maximum, the system will also have the lowest P_T value (as determined by Equation 2). For H3 systems, microbes now feel the effects of an improving or a degrading environment and their metabolic activity will be impacted - this in turn will impact the system temperature resulting in a feedback loop.

Figure 1 shows a schematic of an H2 / H3 system. It is these systems that are subject to the P_T extinction values, and the microbial actions happening inside each system determine the value of P_T .



Figure 1: Schematic of an H2 or H3 system, showing the nutrient medium (with inflow and outflow), the microbes, and an example microbe metabolism. For H2 systems, microbe metabolisms impact the system temperature, but are temperature independent themselves. In H3 systems, microbe metabolisms impact the system temperature and are temperature sensitive, resulting in a feedback loop.

119 2. Method

We start the experiment with each model system with a temperature that does not match the microbes 'ideal' temperature (i.e. with $T_0 \neq T_{ideal}$) to test the model's ability to approach ideal conditions. All H1, H2, and H3 systems begin each experiment with the same system temperature, T_0 , and we set $P_C =$ $P_{T,0} = a + b \times |T_{ideal} - T_0|$. The value of T_0 will be the temperature of the medium ¹²⁵ inflowing to each system, T_{inflow} . We set $T_{inflow} = 0$, and therefore $T_0 = 0$, for ¹²⁶ all experiments. For all experiments, the parameters a and b in Equation 2 have ¹²⁷ the values $a = b = 2 \times 10^{-6}$. The values of P_C vary as T_{ideal} varies between ¹²⁸ experiments and we have $P_C = \{10.02 \times 10^{-4}, 6.02 \times 10^{-4}, 2.02 \times 10^{-4}\}$ for the ¹²⁹ corresponding values of $T_{ideal} = \{500, 300, 100\}$.

H2 and H3 systems are seeded with a single microbe species with a metabolism that has zero impact on the system temperature. When mutants emerge, their metabolisms may impact the temperature. We perform 10⁴ experiments with different random initialisations for each hypothesis in order to robustly observe the system behaviours exhibited in each scenario.

To test the H2 hypothesis we perform 3 sets of experiments for systems 135 with $T_{ideal} \in \{500, 300, 100\}$. To then test how H3 systems compare to H2, 136 we perform, for each T_{ideal} case, 3 further studies with differing τ strengths to 137 investigate how changing the microbes' sensitivity impacts model results. For 138 $T_{ideal} = 500$ we perform H3 experiments with $\tau \in \{0.002, 0.0025, 0.003\}$, for 139 $T_{ideal} = 300$ we investigate $\tau \in \{0.003, 0.004, 0.005\}$, and for $T_{ideal} = 100$, our 140 H3 experiments are $\tau \in \{0.005, 0.007, 0.009\}$. This allows us to explore how 141 these key parameters impact the system behaviours. The starting τ value for 142 each T_{ideal} set of experiments corresponds to the end τ for the previous set. I.e. 143 the last τ explored for $T_{ideal} = 500$ is $\tau = 0.003$ and thus the first τ explored 144 for $T_{ideal} = 300$ is also $\tau = 0.003$. This allows us to see how shifting T_{ideal} while 145 keeping τ constant affects the H3 systems while still enabling us to explore a 146 suitable τ range for each T_{ideal} . An in-depth exploration of how various system 147 parameters impact the Flask model can be found in [8, 9, 10, 14]. 148

149 3. Results

How the H2 and H3 experiments perform, compared to each other and compared to the null, is strongly dependent on how closely the initial conditions match the microbes' preferred conditions, i.e. how large the value of $|T_{ideal} - T_0|$ is, and for H3 systems, how sensitive the microbes are to their environment.

This is summarised in Figure 5, which shows a series of experiments with vary-154 ing T_{ideal} values and microbe sensitivities τ (with $T_0 = 0$ for each case). These 155 figures show the number of surviving systems over time for the null H1 systems, 156 selection by survival H2 systems, and environmental feedback H3 systems. Each 157 figure also includes the 'Ideal' survival probability i.e. for $|T_{ideal} - T| = 0$ for all 158 time. The 'Ideal' case is included as a 'perfect world' baseline for comparison. 159 We return to this part of the analysis later, after first considering the behaviours 160 of the H1, H2, and H3 systems. 161

¹⁶² 3.1. H2 systems (selection by survival)

We first examined individual H2 systems to determine their typical char-163 acteristics. H2 systems are not temperature sensitive and so the only limiting 164 factor on the total population size is nutrient availability. Once the population 165 has reached the carrying capacity of the environment (i.e. consuming all avail-166 able nutrients) the population will remain stable there, regardless of the system 167 temperature. The system temperature will change as the microbe community 168 changes; as new mutants emerge, species die, and the relative populations of 169 existing species shift, the temperature will perform a 'random walk' and change 170 in an unguided manner. 171

Figure 2 shows the trajectories of the system temperature (with T_0 shown in 172 black and T_{ideal} shown in green) and the total population for 3 individual runs. 173 System 1 (Figures 2a and 2d) shows several abrupt temperature changes hap-174 pening in the system, and these correspond with jumps in the total population, 175 shown in Figure 2d. Each system is seeded with a single microbe species that 176 consumes only 1 nutrient source, therefore at the beginning of each experiment, 177 there are 3 unexploited sources of food (as there are 4 nutrient types in total). If 178 a mutant emerges that consumes a currently abundant nutrient, its population 179 can rapidly increase due to the abundance of food, causing rapid temperature 180 changes due to the metabolic byproducts of this new mutant. Once all nutrients 181 are exploited, new mutants emerging have no advantage over existing species 182 and so temperature changes can remain relatively stable over long time periods. 183

In System 2 (Figures 2b and 2e), once the carrying capacity is reached, the 184 system temperature remains relatively stable at around $T \approx -1000$ (which is 185 very far from $T_{ideal} = 300$). In contrast, System 3's temperature (Figure 2c) 186 slowly changes over the course of the experiment. System 3 quickly reaches the 187 carrying capacity (Figure 2f) and then as the microbe community changes over 188 time, the temperature changes. For H2 systems, once microbes have evolved to 189 consume all available nutrients the population remains near constant. Sustained 190 decreases in the population size are highly unlikely. 191



Figure 2: Temperature (T) vs time and total population vs time for individual H2 systems with $T_{ideal} = 300$. In the temperature plots T_0 is shown as a black horizontal line, and T_{ideal} is shown in green.

To test whether selection by survival is a viable mechanism, we first examine 192 the H2 systems and compare them to the null H1 systems. Figure 3 shows the 193 surviving number of H2 biospheres (red lines) and H1 biospheres (black solid 194 lines) over time, where $T_{ideal} \in \{500, 300, 100\}$ respectively (note the log-scale 195 y-axis). Figure 3a shows the results for the experiments where $T_{ideal} = 500$ and 196 initial conditions are far from T_{ideal} ($T_0 = 0$). Initially the H2 and H1 systems 197 die out at a similar rate, but after this initial period the H2 systems begin to 198 show improved persistence relative to the H1 systems, and a significant number 199 of H2 biospheres go on to live for much longer timespans than the longest lived 200

201 H1 system.

For H1 systems, the biosphere does not impact the system temperature, 202 T, and therefore P_C , is constant for all time. In H2 systems, microbes are 203 changing their environment as they metabolise nutrients and this can either 204 increase or decrease their survival probability. For those that degrade their 205 environment, their P_T value will be higher than the P_C value, and hence these 206 degrading systems tend to go extinct faster. However, those that improve their 207 environment experience lower P_T values and so can experience much longer 208 lifespans. 209



Figure 3: Number of surviving biospheres (N) against time. Selection by survival systems (H2) shown in red, and null hypothesis (H1) in black. Note the log-scale y-axis.

Figures 3b and 3c show that as T_{ideal} comes closer to T_0 , the positive impact 210 of selection by survival decreases, until H2 systems suffer on average poorer 211 survival rates than H1 systems. Each H2 biosphere is effectively a randomly 212 walking system, with the impact from the microbes on the environment changing 213 as the microbe community changes over time due to death, reproduction and 214 mutation. When initial conditions are far from ideal, there are a large number 215 of possible random walks that will improve the environment and thus improve 216 survival odds. As the distance between T_{ideal} and T_0 closes, the number of 217 random walks that are environment improving decreases, until, for conditions 218 where $T_{ideal} = T_0$, any alteration of the environment by the microbes decreases 219 survival probabilities. The case where $T_{ideal} = T_0 = T$ for all time is shown 220 in each figure as the 'Ideal' case. Therefore the mechanism of selection by 221 survival sees the best improvement in biosphere survival probability when initial 222

223 conditions are poor for life.

Although the relative success between H1 and H2 systems is impacted by 224 changing T_{ideal} , the H2 survival rates in Figures 3a - 3c are similar - the dis-225 tance between T_{ideal} and T_0 does not greatly impact the selection by survival 226 mechanism. For different T_{ideal} , H2 systems start with different $P_{T,0}$ values, 227 however as H2 biospheres rapidly move the system temperature away from T_0 228 and keep it under their control, the starting proximity to ideal environmental 229 conditions ceases to matter. For H1 systems where $T = T_0$ for all time, the 230 distance between T_{ideal} and T_0 has a large impact on survival rates. 231

232 3.2. H3 systems (environmental feedback)

H3 microbes, in constrast to H2 microbes, are temperature sensitive and so 233 changes in the system temperature impact their metabolisms. Figure 4 shows 234 the temperature and total population for the individual trajectories of 3 H3 235 systems, with T_0 shown in black and T_{ideal} in green. Some H3 systems behave 236 similarly to H2 systems, with the total population quickly reaching the carry-237 ing capacity, and the temperature slowly changing as the microbe community 238 changes, e.g. System 1 (Figures 4a and 4d). For systems where the temperature 230 wanders towards the bounds of habitability, H3 systems behave differently. The 240 temperatures in both Systems 2 and 3 (Figures 4b and 4c) wander far from 241 T_{ideal} and then remain at a near constant temperature. In System 2, while the 242 temperature remains near constant, the population changes over time (Figure 243 4e), and in System 3, the population remains at a near stable population lower 244 than the carrying capacity, and lower than previous populations experienced 245 by the system (Figure 4f). In these cases the H3 systems enter temperature 246 limiting regimes, with System 2 entering the lower temperature limiting regime 247 at $T = T_{lim}^- \approx -100$ at $t \approx 1900$ and System 3 entering the high temperature 248 limiting regime, with $T = T_{lim}^+ \approx 700$, at $t \approx 2000$. 249

These temperature limiting regimes are characterised by a near stable temperature maintained by a negative feedback loop, with the total impact of the biosphere on the environment 'pulling' the system temperature one way, and

the inflow medium at $T = T_0$ 'pulling' the temperature in the opposite direc-253 tion. This is known as 'single rein-control' [14]. For a system to be at $T = T_{lim}^+$ 254 the biosphere must be overall heating, and similarly for $T = T^-_{lim}$ the bio-255 sphere must be overall cooling. At $T = T_{lim}^{+/-}$ if the environment degrades, the 256 total population reduces as microbe metabolisms suffer, and this reduces the 257 cause of the environmental degradation (microbe metabolic byproducts) allow-258 ing the inflow medium to bring temperatures back towards T_0 thus improving 259 habitability. If at $T_{lim}^{+/-}$, the environment improves, microbes will proliferate, 260 increasing their impact on their environment and pushing temperatures back 261 towards $T = T_{lim}^{+/-}$. This leads to temperature regulation, which can be ex-262 ited via a positive feedback (microbes causing environment improvement and 263 leading to greater populations) until either the alternate temperature limiting 264 regime is reached, or the system becomes nutrient limited. System 2 (Figure 265 4e) clearly shows the microbe population adjusting in response to microbe com-266 munity changes while a near constant temperature is maintained (Figure 4b). 267

The values of T^+_{lim} and T^-_{lim} will depend on τ and T_{ideal} . A higher τ will 268 result in a smaller distance between T_{ideal} and $T_{lim}^{+/-}$. Examining Figure 4b we 269 can see that for a strong enough τ , $T^{-}_{lim} > T_0$ would be true. If this were the 270 case, the initial microbe population would be unable to survive its environment 271 and would quickly go extinct. If $T_{lim}^- \approx T_0$, then the early environment will only 272 be able to support a very small cooling biosphere which would be more prone 273 to extinction due to stochastic fluctuations. Biospheres with high τ will also 274 have a narrower window of temperatures where the system is nutrient limited 275 and so these systems will be more likely to become temperature limited and 276 become 'stuck' at these $T_{lim}^{+/-}$ values, which would prevent the temperature 277 from reaching values closer to T_{ideal} and thus prevent the corresponding low P_T 278 values for H3 systems. 279

We ran similar survival experiments to those shown in Figure 3 with H3 systems (see Figure 5). Whether the added feedback from the environment to the microbes helps or hinders an H3 biosphere's survival probability depends on how far the starting conditions are from T_{ideal} , and the value of τ . H2 biospheres



Figure 4: Temperature vs time and total population vs time for individual H3 systems with $T_{ideal} = 300$ and $\tau = 0.003$. In the temperature figures T_0 is shown as a black horizontal line, and T_{ideal} is shown in green.

can be though of as a limiting case of H3 biospheres with microbe sensitivity $\tau = 0$. Figure 5 shows the results of experiments with varying T_{ideal} , and τ values for H1, H2, H3 and 'Ideal' systems.

The first column in Figure 5 shows systems where $T_{ideal} = 500$ and τ (mi-287 crobe sensitivity) increases for H3 biospheres as we move down the column. 288 Figure 5a, with $\tau = 0.002$ shows feedback hindering the H3 biospheres' survival 289 rates compared to H2 systems where $T_{ideal} = 500$. As τ increases slightly to 290 = 0.0025 (Figure 5d), the H3 biosphere survival rates are reduced more. Fig-291 τ ure 5g, where $\tau = 0.003$, shows that with strong enough feedback H3 systems 292 rapidly go extinct and highlights a feature of H3 systems that is not present 293 in H2 systems - extinction via starvation. As H3 microbes are temperature 294 sensitive, if they find their environment too inhospitable they will be unable 295 to consume nutrients and the biosphere will quickly go extinct. In Figure 5g, 296 microbes are seeded in an environment they cannot tolerate, dooming them to a 297 rapid extinction. Figure 5j shows the fitness curves for the H3 microbes against 298 temperature for each of the τ values explored when $T_{ideal} = 500$. 299

Figure 5's second column shows experiments with $T_{ideal} = 300$. Figure 5b



Figure 5: Number of surviving biospheres (N) against time, for experiments with differing T_{ideal} and τ (microbe sensitivity) values. For all experiments $T_0 = 0$.

show experiments with $\tau = 0.003$ for H3 microbes. Comparing Figures 5g and 5b shows how the survival rates of biospheres with the same τ value can differ with different T_{ideal} values. Moving T_{ideal} closer to T_0 in Figure 5b not only prevents immediate starvation of H3 microbes, as seen in Figure 5g, but allows a

few H3 systems to out live all H2 systems. With stronger feedback, 'anti-Gaian' 305 dynamics can be more strongly countered provided the early biosphere is able to 306 survive. Figure 5e shows that increasing τ , this time in larger increments, again 307 starts to hinder the survival rates of H3 systems as the early biospheres struggle 308 to establish themselves and / or become 'stuck' in the temperature limiting 309 regimes. Figure 5h with $\tau = 0.005$ shows the H3 systems rapidly going extinct 310 via starvation as the microbes are unable to survive their initial environment. 311 Figure 5k shows the H3 microbes' fitness curves for the τ values explored for 312 $T_{ideal} = 300$. The widest fitness curve in Figure 5k corresponds to the narrowest 313 fitness curve in Figure 5j but shifted to the left as T_{ideal} moves closer to $T_0 = 0$. 314 The third column in Figure 5 shows biospheres with $T_{ideal} = 100$. Here we 315 see that H3 systems overall experience higher survival rates over H2 systems 316 for the range of τ explored. Comparing Figures 5h and 5c we see that keeping 317 $\tau = 0.005$ constant, but moving T_{ideal} closer to T_0 , the survival rates of H3 318 systems are vastly improved, again demonstrating that the positive or negative 319 impact environmental feedback can have on biospheres' survival rates is strongly 320 dependant on the starting environmental conditions. As we increase τ to $\tau =$ 321 0.007 (Figure 5f) and $\tau = 0.009$ (Figure 5i) we see that, in the survival rate for 322 H3 systems is increasing - feedback improves H3 biospheres prospects for long 323 term survival. Figure 51 shows the fitness curves for the H3 biospheres in column 324 3, and shows that such strong feedback, not possible in previous experiments 325 326 where T_{ideal} was further from T_0 , is both possible and beneficial when initial conditions are close to the microbes ideal environment. 327

When $T_{ideal} = 100$, the H3 systems in general have poorer survival rates 328 than H1 systems despite performing better than H2 systems. With strong envi-329 ronmental feedback the H3 biospheres are more likely to be temperature limited 330 than nutrient limited as the window of temperatures allowing for nutrient lim-331 itation shrinks as τ increases, meaning that the temperature is more likely to 332 perform a random walk to either T^+_{lim} or T^-_{lim} and become 'stuck' there. This 333 prevents the temperature from diverging far from T_0 as happens in the uncon-334 strained H2 systems, however it also prevents temperatures from reaching values 335

closer to T_{ideal} . As $T_{lim}^- < T_0$ must be true for a viable biosphere, the P_T values of H3 systems in a temperature limited regime are greater than $P_{T,0}$ and so in general H3 biospheres experience poorer survival rates than H1 biospheres when T_{ideal} is close to T_0 even with strong feedback.

340 3.3. Comparing H2 and H3 systems

We compared how the average populations and temperatures of H2 and 341 H3 systems behaved over time in extant systems. Figure 6 shows the average 342 population and average $|T_{ideal} - T|$ over time, for those biospheres still alive at 343 each timestep. $T_{ideal} = 300$ in each case and $\tau \in \{0.002, 0.003, 0.004\}$. These 344 auvalues differ slightly to those used in Figure 5 to show the impact of weak 345 feedback, where H2 and H3 systems can behave very similarly, and because 346 $\tau = 0.005$ for $T_{ideal} = 300$ results in biospheres going extinct too rapidly for 347 interesting analysis. 348



Figure 6: Averaged population, and averaged $|T_{ideal} - T|$ of surviving H2 and H3 systems over time.

The top panel in Figure 6 shows that the population in H3 biospheres on average grows more slowly than in H2 biospheres. With feedback, as $T_0 \neq T_{ideal}$, the growth rate of H3 microbes is initially slow compared to the temperature insensitive H2 microbes. The stronger the feedback on microbe metabolisms, the longer it will take for H3 biospheres to reach the environmental carrying capacity. With strong enough feedback H3 biospheres can remain in a temperature limited regime, instead of a resource limited regime - the case for all H2 biospheres.

With a lower reproduction rate, mutants are slower to appear in H3 bio-357 spheres, causing early temperature changes in the system to be slower than for 358 H2 biospheres. The lower panels in Figure 6 show the average $|T_{ideal} - T|$ val-359 ues for H3 and H2 extant systems over time. The H2 systems (in red) show 360 an initial increase in this value, showing that many systems are degrading their 361 environment. These systems will be short lived as they will have higher P_T 362 values, and quickly the average $|T_{ideal} - T|$ value drops, as those H2 biospheres 363 that improve their environment survive via selection by survival. The H3 sys-364 tems (in blue) do not show such a marked initial increase in $|T_{ideal} - T|$. With 365 feedback, degrading H3 biospheres are self limiting. The early fitness of H3 366 biospheres is also lower than for the temperature insensitive H2 biospheres, and 367 so H3 systems can get 'stuck' close to T_0 as new mutants take longer to appear. 368 Figure 7 shows the P_T extinction values for $T_{ideal} = 300$, with $\tau \in \{0.002,$ 369 0.003, 0.004 for the H3 experiments. The constant null P_C extinction proba-370 bility is shown in black in each figure. Figure 7a shows the H2 experiments, 371 and we see that early on, many H2 biospheres perturb their environment in 372 a way that greatly increases their P_T extinction probability (initially all have 373 the value indicated by the black horizontal P_C line). These systems however 374 are short lived, and we see that over time, only those biospheres with smaller 375 and smaller P_T survive. Figures 7b - 7d show H3 biospheres with increasing τ . 376 We see that with feedback to the microbes, the biospheres are unable to reach 377 the high extinction probabilities reached in the H2 biospheres. As the microbes 378 sensitivity increases, the H3 biospheres become less able to increase their P_T 379 values over the starting P_C value, as doing so prevents their ability to consume 380 nutrients resulting in starvation if conditions do not improve - thus 'anti-Gaian' 381 dynamics are strongly self limiting when τ is high. This same feedback can 382 hinder a H3 biosphere's ability to reach very low P_T values and thus can also 383



Figure 7: Figures showing the P_T values for H2 and H3 systems values for all experiments, with $T_{ideal} = 300$. The P_C constant extinction probability is shown in black in each figure.

³⁸⁴ hinder long term survival rates (Figure 7d).

385 4. Discussion

Demonstrating the efficacy of selection-by-survival is potentially important 386 for understanding how ecosystems or biogeochemical cycles may 'evolve' [15, 16]. 387 Our models suggest that selection by survival alone - the chance acquisition of 388 beneficial adaptations - can promote long-term persistence of simple biospheres. 389 'Selection by survival' has been presented as a way to reconcile natural selec-390 tion and the Gaia hypothesis without invoking selection for global homeostasis 391 on the level of the biosphere. However, the absence of feedbacks between life 392 and the abiotic environment means that in its simplest form it falls short of 393 what is usually considered 'Gaia'. Our work shows that biospheres that incor-394 porate environmental feedbacks on growth can additionally prevent 'anti-Gaian' 395

³⁹⁶ dynamics from occurring and thereby further enhance their persistence. This
³⁹⁷ situation supports the central idea of the Gaia hypothesis - namely that regu³⁹⁸ lation can emerge from the interaction of life and the abiotic environment.

The role of feedbacks is strongly dependent on the early conditions of the 399 system. Feedbacks can prevent young biospheres from expanding as rapidly as 400 in their absence, but over longer time-spans surviving biospheres that include 401 feedbacks can have significantly lower extinction probabilities than those with-402 out, depending on starting conditions. This means that early life attempting 403 to become established on an inhospitable planet could be held back by envi-404 ronmental constraints, but in environments closer to ideal habitable conditions, 405 feedbacks help to maintain that habitability. This result corresponds to the idea 406 of 'Gaian-bottlenecks' [17] where early in a planet's history, the biosphere must 407 quickly establish self-regulatory feedback mechanisms, or face extinction. 408

For 'randomly walking' systems, such as the models presented, the probability of reaching a point far from the starting position increases with the number of 'steps' taken - in our model, the number of mutations occurring in the biosphere. Our model systems start far from ideal conditions, and those whose random walk do not improve conditions have a high risk of extinction. Taken together, these points illustrate the importance of a guided random walk mechanism for the very long-term persistence of life on a planet.

Feedback between life and the environment is an inevitable feature of any 416 biosphere, including the Earth. Furthermore, once a planet has abundant life 417 it will inevitably become a significant driver of global biogeochemical cycles. 418 We argue that both selection by survival and environmental feedback are likely 419 to be important explanatory factors in any long-term persistence of life. The 420 outstanding empirical challenge is to identify these two mechanisms amidst the 421 complexity of Earth's biosphere and to resolve their relative contributions to 422 the persistence of life on Earth - i.e. to determine whether Gaian regulation is 423 a weak or strong stabilising force. 424

425

426 Acknowledgements

427 We thank the Gaia Charity and the University of Exeter for their support 428 of this work.

429 Author Contributions

- 430 Nicholson Generated the data for the paper and co-wrote the paper.
- 431 Wilkinson Initial paper concept and contributed to writing.
- Williams Provided feedback used to structure the paper, and suggested the
 creation and inclusion of Figure 7.
- ⁴³⁴ Lenton Co-wrote paper and suggested the creation and inclusion of Figure 1.

435 References

- ⁴³⁶ [1] L. Margulis, J. E. Lovelock, Biological modulation of the earth's atmo-
- sphere, Icarus 21 (4) (1974) 471–489. doi:http://dx.doi.org/10.1016/
 0019–1035(74)90150-X.
- 439 URL http://www.sciencedirect.com/science/article/pii/
 440 001910357490150X
- [2] J. E. Lovelock, Gaia, a new look at earth, Oxford: Oxford University Press,
 1979.
- [3] W. F. Doolittle, Is nature really motherly?, Coevolution Quarterly 29
 (1981) 58–63.
- [4] R. Dawkins, The Extended Phenotype, Oxford University Press, 1982.
- [5] T. M. Lenton, Gaia and natural selection, Nature 394 (6692) (1998) 439–
 447.
- 448 URL http://dx.doi.org/10.1038/28792

- [6] T. Volk, Gaia's Body: Towards a Physiology of Earth, Springer New York,
 1998.
- [7] D. M. Wilkinson, Is gaia really conventional ecology?, Oikos 84 (1999)
 533–536.
- [8] H. T. P. Williams, T. M. Lenton, The flask model: emergence of nutrientrecycling microbial ecosystems and their disruption by environmentaltering 'rebel' organisms, Oikos 116 (7) (2007) 1087–1105.
- ⁴⁵⁶ [9] H. T. Williams, T. M. Lenton, Environmental regulation in a network of
 ⁴⁵⁷ simulated microbial ecosystems, PNAS 105 (30) (2008) 10432–10437.
- [10] H. T. P. Williams, T. M. Lenton, Evolutionary regime shifts in simulated
 ecosystems, Oikos 119 (12) (2010) 1887–1899. doi:10.1111/j.1600-0706.
 2010.18127.x.
- 461 URL http://dx.doi.org/10.1111/j.1600-0706.2010.18127.x
- [11] T. M. Lenton, S. J. Daines, J. G. Dyke, A. E. Nicholson, D. M.
 Wilkinson, H. T. P. Williams, Selection for gaia across multiple scales, Trends in Ecology & Evolution 33 (8) (2018) 633-645.
 doi:https://doi.org/10.1016/j.tree.2018.05.006.
- 466 URL http://www.sciencedirect.com/science/article/pii/
 467 S0169534718301186
- ⁴⁶⁸ [12] W. F. Doolittle, Natural selection through survival alone, and the pos⁴⁶⁹ sibility of gaia, Biology & Philosophy 29 (3) (2014) 415–423. doi:
 ⁴⁷⁰ 10.1007/s10539-013-9384-0.
- 471 URL http://dx.doi.org/10.1007/s10539-013-9384-0
- 472 [13] J. Toman, J. Flegr, Stability-based sorting: The forgotten process behind
 473 (not only) biological evolution, Journal of Theoretical Biology 435 (2017)
 474 29-41.
- 475 [14] A. E. Nicholson, D. M. Wilkinson, H. T. P. Williams, T. M. 476 Lenton, Multiple states of environmental regulation in well-mixed

- model biospheres, Journal of Theoretical Biology 414 (2017) 17-34. 477 doi:http://dx.doi.org/10.1016/j.jtbi.2016.11.019. 478 URL http://www.sciencedirect.com/science/article/pii/ 479 S0022519316303848 480 [15] F. Bouchard, Ecosystem evolution is about variation and persistence, not 481 populations and reproduction, Biological Theory 9 (4) (2014) 382–391. 482 doi:10.1007/s13752-014-0171-1. 483 URL https://doi.org/10.1007/s13752-014-0171-1 484 [16] P. Bourrat, From survivors to replicators: evolution by natural selection 485 revisited, Biology & Philosophy 29 (4) (2014) 517-538. doi:10.1007/ 486 s10539-013-9383-1. 487
- 488 URL https://doi.org/10.1007/s10539-013-9383-1
- ⁴⁸⁹ [17] A. Chopra, C. H. Lineweaver, The case for a gaian bottleneck: The biology
 ⁴⁹⁰ of habitability, Astrobiology 16 (1) (2016) 7–22.

Alternative Mechanisms for Gaia - Appendix

Arwen E. Nicholson^{*1}, David M. Wilkinson², Hywel T.P. Williams¹, Timothy M. Lenton¹

¹Earth System Science, University of Exeter, Streatham Campus, Exeter, EX4 4PY, UK

²School of Life Sciences, University of Lincoln, Joseph Banks Laboratories, Green Lane, Lincoln, LN6 7DL, UK

1 1. Model Description

The code used to generate the data for H2 and H3 systems is heavily based on a previous model called the Flask model [1, 2, 3, 4].

4 1.1. The Flask Environment

⁵ We have a single well mixed environment with no spatial element - we assume that in the flask ⁶ the liquid medium is well mixed so that the composition of the flask is in a homogeneous steady ⁷ state. The flask is characterised by nutrient levels and temperature. The nutrients present may be ⁸ consumed by microbes and converted into biomass. The temperature is affected by and can affect, ⁹ for H3 systems, the microbe activity.

 $_{10}$ The state of the flask is given by a vector V:

$$V = (n_1, ..., n_N, T)$$
(1)

where n_i is the concentration of nutrient *i*, *N* is the number of nutrients, and *T* is the flask temperature.

As we break down each timestep into a number of iterations *n* where *n* is the total population of the system at the start of the timestep, we break down the inflow and outflow of the nutrient flask medium to prevent sudden changes at the the start of each timestep. The steps within a timestep would ideally all be computed in parallel but computational limitations prevent this, and so for agent based dynamics we effectively freeze the system while the selected microbes performs an action

Preprint submitted to Elsevier

^{*}arwen.e.nicholson@gmail.com

(being nutrient consumption / biomass production / reproduction / death). If we simply added and 18 deducted the flow amounts at the start of each timestep, microbes selected at the beginning of a 19 timestep could see a very different world to those selected at the end of a timestep if the population 20 is large due to the microbes effect on the environment (nutrient consumption reducing nutrient 21 levels and biomass creation affecting the abiotic parameters). Although these effects would largely 22 average out due to the random selection of microbes during each timestep, a single large influx per 23 timestep could be thought of as a periodic perturbation on the system which could affect the results 24 seen. To counter this, we calculate the net influx of nutrients N_{net} at the start of each timestep: 25

$$N_{net} = I_N - O_N \times N_{current} \tag{2}$$

where I_N is the number of units of nutrient inflow per timestep, O_N is the percentage outflow, 26 and $N_{current}$ is the current nutrient levels in the system at the start of the timestep. We can 27 then do $N_{step} = N_{net}/K_{current}$ where $K_{current}$ is the total population of the system at the start 28 of the timestep, and then for each iteration within a timestep we increment the nutrient levels by 29 N_{step} . This results in the same quantity of nutrients being added / removed from the system as if 30 there was just one update at the start of the timestep, but it results in a much smoother transition 31 and means that microbes selected at the start and end of a timestep will see much more similar 32 worlds. In doing this, we treat nutrient levels as continuous but the microbes can only ever treat 33 the nutrients as units. So while each iteration we might be adding 10.7 nutrient units per iteration, 34 any microbes in the system can only act on the integer amounts of nutrients present. 35

We calculate the net temperature change due to diluting the current flask medium, by removing certain percentage I_T of the existing flask medium and replacing it with the same volume of fresh influx at temperature T_{inflow} . So for the flask temperature we update each iteration by T_{net} :

$$T_{net} = T_{inflow} \times I_T - T \times I_T \tag{3}$$

again each timestep we can then increment the flask temperature by $T_{step} = T_{net}/K_{current}$ where again $K_{current}$ is the total population of the system at the start of the timestep.

41 1.2. Microbes

The microbes consume and excrete nutrients in fixed proportions and affect the temperature of their environment as a side effect of biomass creation. The ratios of nutrient consumption / excretion and the byproduct effect on the temperature are genetically encoded for each microbe species. All microbes share the same preferred temperature T_{ideal} (i.e. the temperature which results in the maximum growth rate). Microbes grow by consuming nutrients and converting them to biomass, and they reproduce asexually by splitting once their biomass reaches a threshold. Biomass is reduced by a fixed amount per timestep to represent the cost of staying alive. Microbes die if their biomass drops to a fixed threshold, which can happen during nutrient limitation or temperature limitation causing the microbes being unable to consume the nutrients present.

In the code we do not record microbes of the same species individually as doing so would slow the code considerably. Instead we group microbes of the same species together and record the species' total biomass. Thus each species can be thought of as a vector S:

$$S = (G, K_S, B, F, W, T_{ideal}) \tag{4}$$

where G is the species' genome (represented as a decimal number), K_S is the population of the species, B is the total biomass of the species, F is total number of consumed food particles not yet converted into biomass, W is the total number of waste particles not yet excreted by members of the species, and T_{ideal} represents the temperature that maximise the growth for microbes in species S.

The genotype G of a microbe is recorded as the decimal representation of an 8 bit binary string, and this is used to group microbes into species. Microbes that share the same genome are of the same species. We create tables for microbe nutrient / excretion rules and abiotic effects and this genome is used as the reference to look up the particular metabolism rules for a microbe. With an 8 bit long binary genome there are 256 possible species (as each 'gene' in a genome can have the value 0 or 1).

⁶⁵ Microbes consume and excrete nutrients following genetically determined ratios. The nutrient ⁶⁶ ratios are fixed at the start of each simulation for each genome and remain constant. For example, ⁶⁷ with 4 nutrients: a, b, c, d, a microbe might need to consume nutrients with a ratio $\frac{1}{3}$ nutrient a⁶⁸ and $\frac{2}{3}$ nutrient b, and excrete a ratio of $\frac{1}{2}$ nutrient c, and $\frac{1}{2}$ nutrient d. This would be recorded ⁶⁹ in a vector as $[\frac{1}{3}, \frac{2}{3}, -\frac{1}{2}, -\frac{1}{2}]$. Positive values indicate that that nutrient is consumed, and negative ⁷⁰ that it is excreted by the microbe. We generate the look up tables for microbe metabolisms in the ⁷¹ following way:

To generate these vectors for each genome, we start with 2 vectors of length N where N is the

G	a	b	c	d
0	1/2	-1/3	-2/3	1/2
1	1/8	3/8	1/2	-1
2	-1/5	-3/5	1	-1/5

Table 1: Example microbe metabolism look up table with 4 nutrients a, b, c, and d

number of nutrients. We populate these vectors with random numbers generated between [-1,1]73 and then sum. For example if we had 4 nutrients, and our two vectors were [-0.3, 0.1, 0.5, 0.6]74 and [-0.2, -0.2, 0.1, -0.9] then summed we would have: [-0.5, -0.1, 0.6, -0.3]. This would lead to 75 the following ratios for consumption / excretion: $\left[-\frac{5}{9}, -\frac{1}{9}, 1, -\frac{3}{9}\right]$. A microbe with this metabolism 76 would only eat nutrient c and would excrete nutrients a, b, and d. Not all metabolisms generated 77 in this way will be viable. For example if the maximum possible number of nutrients a microbe 78 can consume is $C^{max} = 10$ then the following metabolism $\left[\frac{5}{12}, \frac{7}{12}, -\frac{1}{8}, -\frac{7}{8}\right]$ would be unviable. This 79 metabolism would require a microbe to consume 5 units of nutrient a at the same time as 7 units 80 of nutrient b, however this is never possible if $C^{max} = 10$. Units of nutrients are non divisible and 81 can only be consumed in integer amounts. Thus any microbes with this metabolism would quickly 82 starve to death. Generated metabolism vectors that result in all positive or all negative values are 83 discarded, as microbes must both eat and excrete, and a new vector is generated for that genome. 84 Table 1 shows an example look up table. To use Table 1, for a microbe with genome 000000010, 85 we convert to its decimal value, 2, and find that this microbe has metabolism where it consumes 86 only nutrient c, and returns waste nutrients with the ratio $\frac{1}{5}a$, $\frac{3}{5}b$ and $\frac{1}{5}d$. 87

88 1.2.1. Metabolism

The microbes convert their food into biomass in an inefficient process that produces waste product. The efficiency of this conversion is given by θ , and the amount of biomass produced is given by:

$$B_j = \theta F_j \tag{5}$$

92

where B_j is the number of biomass units produced and F_j is the number of food units currently

 $_{93}$ 'contained' with a microbe j. The waste excreted in this process is given by:

$$W_j = (1 - \theta)F_j \tag{6}$$

where W_j is the number of waste units produced, which are released into the environment after the biomass has been created, in the form determined by the microbe j's specific metabolism.

96 1.2.2. Effect of temperature on metabolic rate

The system temperature affects the rate at which microbes can consume nutrients which in 97 turn affects the rate of biomass production and thus the growth of the microbes. A microbe will 98 attempt to consume a maximum amount C_i of nutrients each timestep with the demand being met 99 depending on nutrient availability. The C_j is calculated for each microbe j as a function of the 100 match between the microbes' genetically specified T_{ideal} and the current temperature of the flask 101 environment. This function has a Gaussian form and falls away smoothly from its maximum as the 102 distance between the optimum and the current environment increases. Mathematically we write 103 this as: 104

$$C_j = \psi_j C^{max} \tag{7}$$

$$\psi_j = e^{-(\tau |T_{ideal} - T|)^2} \tag{8}$$

where C^{max} is a constant determining the maximum possible rate of consumption for any microbe, ψ_j is a microbe specific measure of the microbe's satisfaction with the current abiotic environment, τ is a universal constant parameter that determines how sensitive the microbes are to their environment ($\tau = 0$ means the microbes are not affected by the abiotic environment at all, and a higher τ means the microbes become more sensitive to the abiotic conditions). p_j is a measure of the distance between the current temperature T, and the microbes preferred temperature T_{ideal} . $\tau = 0$ turns off any feedback from the environment to the microbes. This τ value is the only

parameter that was changed between H2 and H3 systems. For all H2 systems $\tau = 0$, for H3 systems $\tau > 0$.

114 1.2.3. Effect of microbial activity on environment

Microbes can affect the system temperature as a side effect of biomass creation. The effect the microbe has is proportional to its rate of biomass creation and thus its growth rate, so faster growing species will have a larger effect than slower growing species. Through the consumption of nutrients and excretion of waste products microbes also affect the nutrient levels in the environment.

Each microbe has an effect on the system temperature per unit of biomass created, and these effects are numbers in the range [-1, 1]. These numbers are randomly generated in this range at the beginning of each simulation for each species and remains constant throughout the simulation. Thus each member of a species has the same effect on the system temperature for the duration of the simulation.

124 1.2.4. Maintenance Cost

There is a fixed biomass cost λ of staying alive for each microbe. This reduces a microbe's biomass by a constant rate. This cost represents the energy costs of maintaining cellular machinery and metabolic inefficiency. This cost is assumed to be lost as unrecoverable heat radiation. This ensures that the chemicals cannot be infinitely recycled and it sets the carrying capacity of the system. This carry capacity is reached when the total heat dissipation matches the energy supplied in the form of chemicals, i.e. the food the microbes consume. λ is identical for all species.

131 1.2.5. Reproduction and Mutation

If the microbe is able to consume enough chemicals to reach the reproduction threshold T_R , it 132 will reproduce asexually, splitting in half. Half of the biomass with go to the new microbe and the 133 parent microbe will be left with half its biomass. The new microbe will have the same genome as the 134 parent unless a mutation occurred during the reproduction. There is a small constant probability of 135 mutation, P_{mut} , for each locus. During a reproduction event, the code iterates through the genome 136 of the new microbe and if a mutation occurs at a locus then the gene at that point will be 'flipped', 137 turning it to 0 if it were previously 1, or to 1 if it were previously 0. This new mutant genome will 138 then dictate the new microbe's metabolism. 139

140 1.2.6. Death

If a microbe's biomass falls to a starvation threshold B_D the microbe will starve to death. There is another small probability of death D that represents death by hazardous mutation or damaging ¹⁴³ local environmental changes etc. When a microbe dies its biomass is removed from the system, as if ¹⁴⁴ the dead microbe, for example, fell to the bottom of the ocean. During a death event, we first check ¹⁴⁵ to see if the selected microbe has enough biomass to avoid death by starvation. If the microbe has ¹⁴⁶ not starved to death it will be killed with probability *D*.

147 1.3. Selecting a microbe

We use agent based dynamics in our model. This means within a timestep, a microbe is chosen randomly for an event and time is effectively frozen while the microbe performs that event. Time is then restarted and another microbe is chosen at random for an event.

As we record microbes grouped together in a species (Equation 4), for any particular species we have the population of the species, the total species biomass, and the total consumed food not yet converted into biomass. To select a single individual of a particular species we therefore need to determine how much biomass and unconverted food this individual has. If a microbe is selected for a reproduction event, we need to know how much biomass it has to know if it has reached the reproduction threshold for example.

There will be variation between individuals of a species and so we assume a normal distribution 157 of biomass and unconverted food between individuals of a species. The biomass normal distribution 158 is centred around the average amount of biomass B_{av} per microbe (i.e. the total species biomass 150 divided by the species population), with standard deviation of the distribution is $B_{av} \times 0.1$. The 160 normal distribution for the unconverted food is the same but with F_{av} , the average amount of 161 unconverted food per microbe, instead. The standard deviation for both distributions is small, 162 resulting in a small level of variation in the population. Therefore most individuals of the same 163 species will have the same biomass and food levels. 164

Once we have selected a microbe and calculated its biomass and food level, the microbe can then attempt to perform the event it was selected for.

¹⁶⁷ 2. Biosphere-wide extinction probabilities

¹⁶⁸ The probabilities of biosphere-wide extinction are determined in the following way:

169 2.1. H1 systems

For the null model we assume a constant extinction probability for each biosphere at each model timestep, resulting in exponential decay in the number of surviving biospheres. The probability of 172 extinction for all time for H1 systems is a constant:

$$P_C = C \tag{9}$$

We set C to have the same value as the starting extinction values for the selection by survival, and the added feedback experiments.

175 2.2. H2 and H3 systems

For our H2 and H3 systems, the flask temperature impacts the biosphere-wide probability of extinction:

$$P_T = a + b \times |T_{ideal} - T| \tag{10}$$

T is the system temperature, and depends on the genetic makeup of the microbe community currently alive in the system, $a = 2 \times 10^{-6}$ is a constant background probability of global extinction, and $b = 2 \times 10^{-6}$ controls the impact the flask temperature has on the probability of extinction. Here T_{ideal} - the temperature for which microbes have the highest fitness is also the flask temperature that results in the lowest probability of flask extinction.

183 3. Parameters

¹⁸⁴ The parameters used for the flask systems presented in the paper.

Parameter	Value	Description
N	4	Number of nutrients
B_R	120	Reproduction threshold (biomass units)
B_D	50	Starvation threshold (biomass units)
P _{mut}	0.01	Probability of mutation at each locus during repro-
		duction
D	0.002	Probability of death by natural causes (other than
		starvation) at each timestep
λ	1	Maintenance cost (biomass units / timestep)
θ	0.6	Nutrient conversion efficiency
C^{max}	10	Maximum number of nutrients a microbe can con-
		sume in any single event
τ	$\{0.002, 0.0025, 0.003,$	Level of influence of abiotic environment on
	0.004, 0.005, 0.007,	metabolism
	0.009}	
μ	[-1,1]	The impact a microbe has on the flask temperature
		per biomass created is taken from this range.
I_N	150	Rate of nutrient influx (units / timestep)
O_N	0.25	Rate of nutrient outflux (percentage / timestep)
I_T	0.2	Percentage of flask medium replaced with fresh influx
		each timestep, used for calculating the flask temper-
		ature change (percentage / timestep)
K_M	100	Number of individuals in flask inoculum
t_{prep}	500	Flask equilibration time prior to seeding (timesteps)
T_{ideal}	$\{500, 300, 100\}$	The temperature at which the biosphere-wide extinc-
		tion probabilities are at a minimum, and the univer-
		sal microbe temperature preference
T_{inflow}	0	Environmental temperature in the absence of mi-
		crobe activity

185 **4. Method**

186 4.1. H1 systems

For the null model we simply apply the biosphere-wide extinction probability P_C to 10⁴ H1 systems until all are extinct. This is an exponential decay.

189 4.2. H2 and H3 systems

We again run 10^4 experiments for each scenario. In each experiment we seed the flask with a single species, and we fix this species to have $\alpha = 0$ impact on the flask temperature per biomass created. This means all flask systems start with identical starting conditions, and any differences in flask experiments is due to the mutants arising in the system, and not due to differing starting configurations.

We use agent based dynamics to run the H2 and H3 simulations. A timestep is broken down into iterations, the number of iterations matches n the number of microbes alive in the system at the start of the timestep. For each iteration we perform the following steps:

- We run the inflow and outflow of the nutrient rich flask medium for 10⁴ timsteps to reach an equilibrium state before seeding
- Seed with 100 microbes of the same species with $\alpha = 0$ impact on the flask temperature per biomass created
- For each iteration we perform the following steps:
- Influx / outflux of flask medium (at constant temperature) and nutrients via trickle
- An individual is selected randomly for a death event
- An individual is selected randomly for a nutrient consumption event
- 206 An individual is selected randomly for a biomass creation event
- 207 An individual is selected randomly for a reproduction event
- We repeat this process n times for one timestep.
- Each timestep, the flask system has the temperature dependant probability P_T of going extinct.
- Each simulation is run until the system goes extinct.

212 References

- [1] H. T. P. Williams, T. M. Lenton, The flask model: emergence of nutrient-recycling microbial
 ecosystems and their disruption by environment-altering 'rebel' organisms, Oikos 116 (7) (2007)
 1087–1105.
- [2] H. T. Williams, T. M. Lenton, Environmental regulation in a network of simulated microbial
 ecosystems, PNAS 105 (30) (2008) 10432–10437.
- [3] H. T. P. Williams, T. M. Lenton, Evolutionary regime shifts in simulated ecosystems, Oikos
 119 (12) (2010) 1887–1899. doi:10.1111/j.1600-0706.2010.18127.x.
- URL http://dx.doi.org/10.1111/j.1600-0706.2010.18127.x
- 221 [4] A. E. Nicholson, D. M. Wilkinson, H. T. P. Williams, T. M. Lenton, Multiple states of environ-
- mental regulation in well-mixed model biospheres, Journal of Theoretical Biology 414 (2017)
- ²²³ 17-34. doi:http://dx.doi.org/10.1016/j.jtbi.2016.11.019.
- URL http://www.sciencedirect.com/science/article/pii/S0022519316303848