

# Mountain-Associated Waves and their relation to Orographic Gravity Waves

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## Abstract

Infrasound covers frequencies of around  $10^{-3}$  Hz to approximately 20 Hz and can propagate in atmospheric waveguides over long distances as a result of low absorption, depending on the state of the atmosphere. Therefore, infrasound is utilized to detect atmospheric explosions. Following the opening of the Comprehensive Nuclear-Test-Ban Treaty for signature in 1996, the International Monitoring System (IMS) was designed to detect explosions with a minimum yield of one kiloton of TNT equivalent worldwide. Currently 51 out of 60 IMS infrasound stations are recording pressure fluctuations of the order of  $10^{-3}$  Pa to 10 Pa. In this study, this unique network is used to characterize infrasound signals of so-called Mountain-Associated Waves (MAWs) on a global scale. MAW frequencies range from 0.01 Hz to 0.1 Hz. Previous observations were constrained to regional networks in America and date back to the 1960s and 1970s. Since then, studies on MAWs have been rare, and the exact source generation mechanism has been poorly investigated. Here, up to 16 years of IMS infrasound data enable the determination of global and seasonal MAW source regions. A cross-bearing method is applied which combines the dominant back-azimuth directions of different stations. For better understanding the MAW generation conditions, the MAW occurrence is compared to tropospheric winds at the determined hotspots. Furthermore, ray-tracing simulations reflect middle atmosphere dynamics for describing monthly propagation characteristics. Both the geographic source regions and the meteorological conditions agree with those of orographic gravity waves (OGWs). A comparison with GW hotspots, derived from satellite data, suggests that MAW source regions match those of OGWs. Discrepancies in the respective source regions result from a stratospheric wind minimum that prevents an upward propagation of OGWs at some hotspots of MAWs. The process of breaking GWs is discussed in terms of the MAW generation.

**Keywords:** Mountain-Associated Waves, infrasound, orographic waves, gravity waves, atmospheric dynamics, International Monitoring System

## 1 Introduction

Acoustic waves, including human-audible sound and infrasound, propagate as longitudinal waves through the atmosphere. As opposed to audible sound, infrasound can propagate over thousands of kilometers with low attenuation (SUTHERLAND and BASS, 2004; EVERS and HAAK, 2010). Consequently, the infrasound technology had already been used to detect nuclear explosions in the atmosphere before the United Nations opened the Comprehensive Nuclear-Test-Ban Treaty (CTBT) for signature in 1996 (CHRISTIE and CAMPUS, 2010). The CTBT prohibits any nuclear testing activities, i.e., underground, underwater and in the atmosphere (CTBT ORGANIZATION, 2019). The International Monitoring System (IMS) was established to monitor compliance

with the CTBT. Seismology, hydro-acoustics, and infrasound are the respective IMS waveform technologies used to detect and locate even small explosions with a minimum TNT-equivalent of 1 kt. Complementary radionuclide stations enable the characterization of explosions in terms of a chemical or nuclear nature, the latter of which is a treaty violation.

Acoustic waves travel through the atmosphere at the speed of sound, which is in the adiabatic form written as

$$c_T = \sqrt{\kappa R_s T} \approx 20.05 \sqrt{T} \quad (\text{in m s}^{-1}), \quad (1.1)$$

with  $T$  denoting the absolute temperature (in K),  $\kappa$  is the adiabatic exponent that is well approximated by 1.4, and  $R_s$  is the specific gas constant ( $R_s = 287 \text{ J kg}^{-1} \text{ K}^{-1}$ ). Winds play another critical role in infrasound propagation. Their effect is best explained using the effective sound speed (e.g., EVERS, 2008; WILSON, 2003):

$$v_{\text{eff}} = c_T + w_{\parallel} \quad (1.2)$$

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where  $w_{\parallel}$  is the wind speed parallel to the propagation direction of the signal. This implies that tailwinds increase the effective sound speed, and headwinds reduce it.

In the atmosphere, acoustic waveguides can evolve due to vertical layers of sharp gradients of the effective sound speed. An essential layer in this context is the stratopause region at around 50 km (DROB et al., 2003), where the local temperature maximum and the stratospheric jets can cause strong gradients such that upward-propagating infrasound is refracted downward, according to Snell's Law. As a result of multiple reflection and refraction at the Earth's surface and the stratopause, respectively, and low absorption rates within these altitudes, an infrasound signal can be detected at distances of hundreds to thousands of kilometers from its source. Another potential waveguide, evolving between the surface and the lower thermosphere (approx. 90–120 km), typically limits the detectability of a signal to the first hundreds of kilometers due to high absorption rates in the thermosphere (DROB et al., 2003). At very low frequencies, however, the frequency-dependent absorption is relatively weak (SUTHERLAND and BASS, 2004). For this reason, the atmosphere has been considered to be a low-pass filter (DE GROOT-HEDLIN et al., 2010).

In addition to anthropogenic sources, several infrasound signals of natural origin can be detected in the waveform data, such as volcanoes (e.g., ASSINK et al., 2014; MATOZA and FEE, 2018) or fireballs (e.g., LE PICHON et al., 2013; PILGER et al., 2015). For automatic detection of coherent energy passing an infrasound array, the Progressive Multi-Channel Correlation (PMCC) algorithm was established (CANSI, 1995). In the CTBT context, the PMCC method commonly covers the frequency range between 0.01 to 4 Hz. This study focuses on detections of Mountain-Associated Waves (MAWs), which correspond to lower frequencies of between 0.01 to 0.1 Hz.

First reports on MAWs date back to the 1960s when COOK (1969) observed these waves in North America. According to CAMPBELL and YOUNG (1963), auroral activity was known to produce sound in this frequency range (see also WILSON et al., 2010), but COOK (1969) found, as a result of triangulation, that his observations traced back to mountainous regions (LARSON et al., 1971). Therefore, these acoustic waves have been referred to as mountain-associated sound (CHIMONAS, 1977) or, more commonly, as MAWs (LARSON et al., 1971; ROCKWAY et al., 1974; THOMAS et al., 1974; GREENE and HOWARD, 1975; BEDARD, 1978).

LARSON et al. (1971) used data of three sites in the USA – in Alaska, Colorado, and Idaho – and measured amplitudes of 0.05 Pa to 0.7 Pa. They considered local noise to be the reason for the daily variation that they found in the number of detections. Moreover, they proposed a correlation between the seasonal variation in MAW occurrence and cross-mountain wind speeds below the 500 hPa level. Spontaneous sound emission related to atmospheric turbulence (MEECHAM, 1971) was

considered to be a possible cause of MAW generation; however, LARSON et al. (1971) supposed a more complex mechanism following CHANAUD's (1970) aerodynamic sound theory, suggesting that feedback mechanisms of acoustic energy, such as reflection at the ground, at atmospheric layers, or at surrounding obstacles, could reinforce the sound-producing flow. This would explain the observed duration of MAW events, occasionally lasting for more than 24 h (LARSON et al., 1971).

CHIMONAS (1977) investigated the theory of MAW generation by spontaneous acoustic emissions from vortex shedding due to non-acoustic waves interacting with terrain irregularities. The vortex shedding implies a mechanism similar to the release of the Kármán vortex streets. He used a mathematical, idealized two-dimensional (2D) approach, and concluded that the scattering of wind oscillations to acoustic modes at terrain irregularities could cause “at least part of the infrasound signal” (CHIMONAS, 1977, p. 806).

BEDARD (1978) combined infrasound observations using sensors in the Rocky Mountains (USA) and aircraft observations. The latter was supposed to support the theory of air turbulence being a source of MAW excitation, which was also proposed by THOMAS et al. (1974) before. However, ROCKWAY et al. (1974) remarked that the effect of atmospheric conditions on the propagation and detection of MAWs might have been underestimated in previous theories. Their ray-tracing model showed that winds affecting propagation conditions were a vital issue for the seasonality of MAW detections. As a consequence, the knowledge about the propagation conditions is essential to understand the source generation mechanisms.

For the first observations of MAWs beyond North America, a seven-sensors infrasound network, located between Alaska and Argentina, was used. Within one year of measurements, GREENE and HOWARD (1975) found many MAW signals originating between Colorado and Alaska in the Northern Hemisphere and along the southern part of the Andes in the Southern Hemisphere. They noted that the northern part of the Andes exhibited much fewer MAW detections and concluded that the acoustic radiation must depend on topography or combined meteorological and topographic conditions.

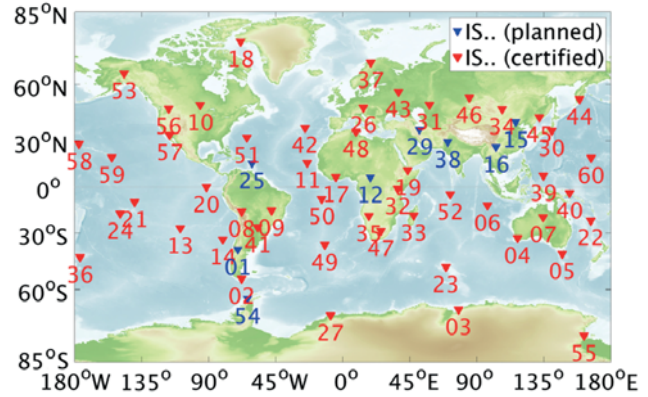
Since the late 1970s, however, published studies on MAWs have become rare; for instance, a report on MAWs observed in Japan was given by NISHIDA et al. (2005). As a consequence, the exact source mechanism has remained unclear. Based on the modeling approach of CHIMONAS (1977), CHUNCHUZOV (1994) took up again the idea of MAW generation due to wave scattering. He proposed a generation model for non-stationary mountain waves which also allowed the generation of acoustic modes induced by “strong wind gusts among the wind fluctuations near the mountain” (CHUNCHUZOV, 1994, p. 2205). These individual acoustic impulses would propagate in atmospheric waveguides and superpose to the signals that are eventually detected at remote sensors.

Nowadays, the IMS infrasound network provides the opportunity to study MAW signals at remote sites around the globe. WILSON et al. (2010) analyzed MAW detections at IMS stations in Alaska and Antarctica. At each station, they noticed dominant directions of MAW arrivals, especially during winter, each associated with a mountain range or peninsula within hundreds of kilometers from the sensors. Moreover, the detected events exhibited different waveform characteristics. WILSON et al. (2010) argued that more distant mountain ranges resulted in lower frequencies at the sensors than nearer sources. However, without considering additional stations, an exact source localization was not feasible. More recent studies have attempted to provide a global view of infrasound source regions (BLANC et al., 2018; CERANNA et al., 2019), using PMCC detections of the IMS infrasound arrays.

In this study, 16 years of infrasound recordings are considered to create a monthly climatology of MAW detections at all operating IMS infrasound stations. Based on this climatology, a cross-bearing approach is applied to identify the global source regions of MAWs. These steps are described in Section 2. The MAW hotspots and their seasonal variation (Section 3) are investigated using a 2D ray-tracer. Atmospheric input is obtained from the high-resolution (HRES) atmospheric model analysis, provided by the Integrated Forecast System (IFS) of the European Center for Medium-Range Weather Forecasts (ECMWF). In addition to the propagation conditions, the source conditions are analyzed, with a particular interest in tropospheric winds and static stability (Section 3).

Both are essential quantities for another type of atmospheric wave, the gravity wave (GW), and the orographic GW (OGW) in particular. While static stability is a physical prerequisite for the occurrence of GWs, tropospheric winds and the mountain height determine the amplitude, and thus the energy and momentum transport into the stratosphere and mesosphere (GILL, 1982; HOLTON, 1983). In general, upward-propagating GWs break at altitudes where the waves become unstable; for instance, due to increasing amplitudes (e.g., NAPPO, 2012). In this context, a ‘critical level’ evolves where the background wind equals the horizontal phase speed of the GWs; for stationary OGWs, this is around zero (e.g., ALEXANDER et al., 2010). GW filtering at the critical level results from shrinking of the vertical wavelength, which increases the shear and the dynamic instability, forcing the wave to break (DÖRNBRACK et al., 1995; FRITTS and ALEXANDER, 2003; NAPPO, 2012).

Section 4 of this study compares the determined MAW hotspots with satellite-based GW hotspots. The results are discussed in Section 5. This section also addresses the question of whether there might be a link between remote MAW observations and the source mechanism of OGW generation. If so, the IMS infrasound network could enable unique ground-based monitoring of OGW source regions on a global scale using MAW detections. Conclusions are drawn in Section 6.



**Figure 1:** IMS infrasound station map (as of May 2019). Each red triangle represents a certified array, blue triangles depict planned sites, as far as the locations are already known.

**Table 1:** Applied filtering parameters for studying MAWs with high significance in PMCC detections.

PMCC measures	minimum	maximum
Family size (group of detections)	10	–
Center frequency of the family [Hz]	0.02	0.05
Frequency of family members [Hz]	0.01	0.07
Apparent phase velocity [ $\text{m s}^{-1}$ ]	300	500
Fisher ratio $F$	3	–

## 2 Methodology

### 2.1 Dataset

When fully established, the IMS network will consist of 60 infrasound arrays (see map in Fig. 1). Differential pressure has been continuously recorded at the IMS infrasound stations for up to 20 years, at a sampling rate of 20 Hz. The detection of infrasound events from these waveform data is performed using the array processing algorithm PMCC (CANSI, 1995). For this study, filters were applied to the PMCC detection lists according to Table 1, to focus on significant detections in the frequency range of MAWs.

Note that the upper-frequency limit was set at 0.07 Hz – instead of 0.1 Hz – to ensure clear discrimination from microbarom detections (0.1–0.5 Hz), a persistent infrasound signal originating from interacting ocean waves (e.g., DONN and RIND, 1972; HUPE et al., 2019). Dominant periods of MAW events have been reported as covering 20 s to 80 s (LARSON et al., 1971) or, more narrowly, 20 s to 40 s (BEDARD, 1978). Therefore, in addition, the center frequency thresholds were set to 0.02 Hz (50 s) and 0.05 Hz (20 s), respectively. A fundamental prerequisite for detecting MAW signals is low background noise at the recording station (e.g., MATOZA et al., 2013), due to the small amplitudes of between 3 mPa and 300 mPa. Fig. 2 shows the residual number of detections per month and station for the IMS infrasound network from January 2003 to July 2017. The color code reflects the respective mean back-azimuths.





**Figure 2:** The monthly number of PMCC MAW detections is shown for all IMS station datasets available from the German National Data Center (HUPE, 2018). The stations are ordered from north (top) to south; the horizontal black line reflects the equator. The logarithmic scale indicates from  $10^0$  to  $10^4$  detections at each station. Colors code the monthly mean back-azimuths; gray boxes indicate missing data or the lack of PMCC results at the time of writing when the data from end-2015 were subject to reprocessing.

A semi-annual pattern was identified at most of the sites. In contrast to the microbarom detections, which clearly correlate with the predominant stratospheric wind directions (e.g., LANDÈS et al., 2014; CERANNA et al., 2019) – i.e., westerly (purple) and easterly (greenish) main back-azimuths – the MAW detections are not simply zonally reversed between summer and winter. Instead, they show meridional components in the back-azimuths. For instance, northern directions (reddish) are pronounced at tropical and subtropical stations in the Northern Hemisphere (i.e., between IS32 near the Equator and IS42 on the Azores), and similarly, both southerly (cyan) and northerly components are found at low latitudes in the Southern Hemisphere (HUPE, 2018).

## 2.2 Azimuthal distributions of MAW detections

For each station and its period covered, as shown in Fig. 2, a monthly detection climatology in terms of back-azimuth was built (annual average). As an example, the histograms of January, April, July, and October are shown for IS02 (Ushuaia, Argentina) in the Supplements (Figure S1). In general, a maximum of three directional peaks was retrieved from the monthly histograms, reflecting different sources that were potentially detected at a station. The peaks had to fulfill the following conditions (HUPE, 2018):

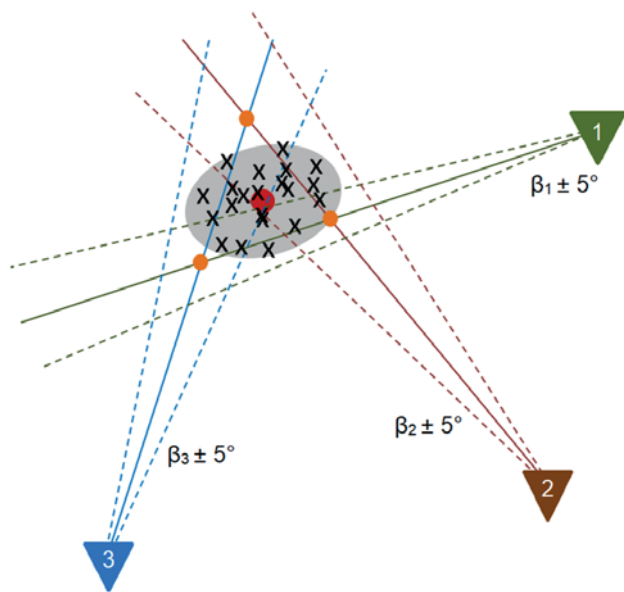
- The peak was higher than the monthly mean, and there was at least one detection per month.

- The peak had to be  $35^\circ$  distant from other peaks.
- The minimum peak prominence (i.e., the relative peak height from the background detections) was 0.5.
- The minimum peak width at half prominence was  $15^\circ$ .

Referring to the example of IS02, a northwesterly direction ( $315^\circ$ , Figure S1 in the Supplements) was consistent and prominent throughout the year. The number of detections revealed a seasonal variability, with a maximum in austral winter and a minimum in summer. A secondary peak at around  $170^\circ$  fulfilled the criteria only in October. The determined peaks were used to apply the cross-bearing approach described below.

## 2.3 Cross-bearing method for MAW source localization

The PMCC detection bulletins provide information on the detection time, back-azimuth ( $\beta$ ), and apparent phase velocity (CANSI, 1995; LE PICHON et al., 2010). The localization of a source, e.g., an explosion in the atmosphere, requires this set of information from at least two different stations. In contrast to explosive events, which appear as transient signals in the waveform data, MAWs are a two-dimensional, ergodic signal, such as ambient noise from microbaroms (e.g., LANDÈS et al., 2012). Therefore, conventional methods based on the onset times of at least two different stations (e.g., LE PICHON et al., 2008) are not applicable to arriving wave trains of MAWs.



**Figure 3:** A fictive cross-bearing combination of three stations is shown schematically. Solid lines depict the stations' dominant back-azimuths ( $\beta_i$ ), dashed lines represent the  $\pm 5^\circ$  uncertainties. For the main back-azimuths, the intersection points (orange circles) are shown from which the final location (red circle) is derived. For all other combinations, the black crosses mark the final locations. The gray-shaded polygon ultimately highlights the likely source region of a signal detected at all stations.

Here, for each month of the year, the back-azimuths at all IMS infrasound stations were used for a cross-bearing, as described in HUPE (2018). Each determined back-azimuth was attributed a standard deviation of  $\pm 5^\circ$  to account for uncertainties due to the array response or wind conditions along the propagation path (e.g., LE PICHON et al., 2005). This uncertainty results in an azimuthal sector of  $10^\circ$  width. A maximum propagation range of 10,000 km was chosen, in accordance with a similar approach for microbaroms by LANDÈS et al. (2012). This range is assumed to apply to MAWs since atmospheric attenuation is a function of frequency, and the attenuation in these low-frequency domains is generally low (SUTHERLAND and BASS, 2004).

A reliable localization of a signal's origin requires the combination of three stations. For each three-station set out of the IMS infrasound network, all possible combinations of station back-azimuths – i.e., (i)  $\beta - 5^\circ$ , (ii)  $\beta$ , or (iii)  $\beta + 5^\circ$  – were projected along the great-circle paths (one per station). For one three-station set, this amounts to  $3^3 = 27$  combinations. Up to three intersection points were calculated for each of these combinations. Fig. 3 demonstrates the procedure schematically.

If three intersection points were found, the back-azimuth projections of all stations in a three-station set intersected. Then the coordinates of this combination's final location were calculated as the longitudinal and latitudinal mean of the intersection points (red circle in Fig. 3). If only two intersection points were calculated, the method could still provide a potential source region,

but such localization might be less accurate. Therefore, such results and localizations based on just one intersection point were neglected here.

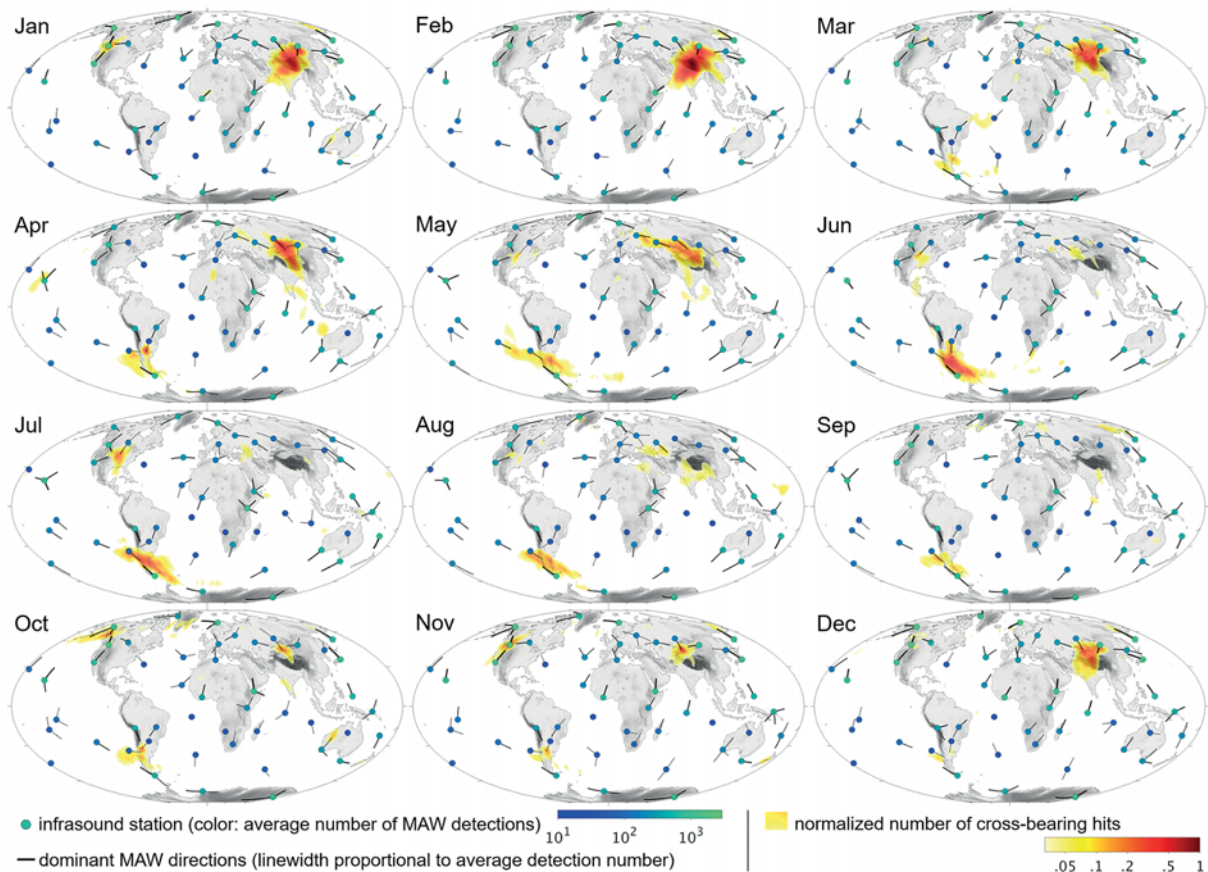
Another source of uncertainty is a station combination in which at least one pair of back-azimuths points either in the same (one alongside the other) or opposite (towards each other) direction(s). Then slight deviations in the back-azimuths potentially cause significant horizontal shifts in the intersection point coordinates. Therefore, combinations with  $\beta_1 - \beta_2 = \pm 10^\circ$  were excluded.

## 2.4 Ray-tracing for hotspot validation

For associating the infrasound detections with the determined source regions, ray-tracing simulations were carried out using the 2D finite differences (2D-FD) software package of MARGRAVE (2000). This was initially developed for seismological purposes, but it has also been adapted for estimating sound propagation in the atmosphere (e.g., KOCH and PILGER, 2018). As an example, the 2D-FD ray-tracer was successfully used for modeling the long-range ducting in case of the low-frequency fireball event of Chelyabinsk (LE PICHON et al., 2013; PILGER et al., 2015).

The ray-tracer calculates infrasound propagation paths based on a 2D effective sound speed field, according to Eq. (1.2). The operational HRES atmospheric analysis from the ECMWF was incorporated in the simulations as a monthly mean, including vertical profiles of temperature, meridional wind, and zonal wind. These were given each 100 km along the great-circle propagation path between the potential source and the receiver. The upper model limit was set to 140 km. Above 78 km altitude, ECMWF data were supplemented by climatological data from empirical models. For the temperature, the Naval Research Laboratory Mass Spectrometer Incoherent Scatter Extended model, NRLMSISE-00 (as of 2000), was used, produced by PICONE et al. (2002); winds were obtained from the Horizontal Wind Model, HWM07 (as of 2007), developed by DROB et al. (2008).

It is noted that a sponge layer is implemented in the ECMWF model to suppress uncontrolled wave reflections at the upper model boundary (e.g., EHARD et al., 2016). Vertical temperature profiles observed by lidar instruments have shown the effect of the sponge layer above an altitude of around 45 km, resulting in a cold temperature bias of up to 12 K at 60 km in the ECMWF model (HUPE et al., 2019). However, computations incorporating the mean bias did qualitatively not change the simulation results provided in Section 3.2 (see also HUPE, 2018). The sponge layer will be more relevant when computing single events which can be affected by GW perturbations of the vertical temperature and wind profiles. Moreover, it is noted that the 2D-FD ray-tracer is a high-frequency approximation of the acoustic field; i.e., it is not valid for vertical perturbations with wavelengths smaller than the simulated wavelength (e.g., LE PICHON et al., 2012), which is around 6 km to



**Figure 4:** Monthly variation of the global MAW hotspots, based on PMCC detections and the cross-bearing method. The number of localizations per  $3^\circ \times 3^\circ$  is normalized by the maximum of all the months. The maximum can be found over the Tibetan Plateau in February. Gray background colors indicate topography, ranging from light ( $z < 250$  m) to dark ( $z > 7,500$  m) gray. Circles depict the IMS infrasound stations (the labels are given in Fig. 1), and the color of each circle indicates the average number of detections during a month. If dominant peaks exist in the azimuthal distribution, these directions are added to the station marker as great-circle lines of equal lengths ( $10^\circ$ ), whereas the widths are proportional to the corresponding number of detections.

379 10 km for 0.05 Hz. However, it is assumed to be ap- 399  
 380 propriate when analyzing the monthly mean conditions. 400  
 381 For modeling single MAW events with respect to small- 401  
 382 scale features of the wave field, the parabolic equation 402  
 383 is a more appropriate method (e.g., LINGEVITCH et al., 403  
 384 2002; NORRIS et al., 2010).

385 For the hotspot validation, the stable eigen-ray solu- 404  
 386 tions of the ray-tracer – i.e., the statistically significant 405  
 387 occurrence of eigen-rays throughout variations between 406  
 388 the source and the receiver – were evaluated. In particu- 407  
 389 lar, these solutions, either for ground-to-stratopause or 408  
 390 ground-to-thermosphere ducting, were compared with 409  
 391 the monthly MAW detections at surrounding stations. 410  
 411

### 392 3 Global MAW hotspots and their 412 393 characteristics

394 Fig. 4 shows a normalized, monthly view of the cross- 413  
 395 bearing results. Four MAW hotspots can be identified 414  
 396 throughout the year. The coastal mountain ranges in 415  
 397 North America were already identified as a source for 416  
 398 MAWs before (see Section 1). The applied method here 417  
 418

reproduces these results. Also, the Tibetan Plateau and 399  
 its surrounding mountain ranges (e.g., the Himalayas) 400  
 turn out to be a major source region of MAWs on the 401  
 Northern Hemisphere. Another hotspot is identified in 402  
 the East Siberian Mountains. 403

404 In the Southern Hemisphere, the southern Andes are 404  
 the major hotspot. A fifth hotspot is the Southern Alps 405  
 on New Zealand’s South Island. The latter is not promi- 406  
 nent in Fig. 4 since only a couple of infrasound stations 407  
 (IS05, IS22, IS36) detect it; however, the MAWs are a 408  
 dominant feature among these detecting stations. The 409  
 signals are detected throughout the whole year and trace 410  
 back to the South Island. 411

### 412 3.1 The seasonal variation in detections 412

413 LARSON et al. (1971) found an annual cycle of MAW 413  
 occurrence in North America, with a maximum in the 414  
 number of detections during the hemispheric winter. 415  
 Here, the monthly cross-bearing results (Fig. 4) indicate 416  
 this to be also valid for the most dominant hotspots as 417  
 discussed below. 418



### 3.1.1 Tibetan Plateau

This MAW source region is the strongest, and the cross-bearing results cover a wide area. Many potential sources – i.e., mountain ranges – surround the Tibetan Plateau, including the Himalayas (up to 8,848 m) in the south, the Pamir Mountains (7,649 m) in the west, and the Tian Shan (7,349 m) in the north. The number of detections and cross-bearing hits maximizes in winter. During this season, around ten stations detect MAW signals from this source region, for instance, IS19, IS33, and IS34. In May, the maximum number of cross-bearing hits is only around 10% of that in winter. The hotspot then disappears in summer; however, two stations – IS31 (Kazakhstan) in the northwest of the hotspot and IS32 (Kenya) in the southwest – detected MAWs during both summer and winter.

### 3.1.2 North American Pacific coast ranges

This hotspot is located around IS56 from October to January and covers the US Coast Range in Washington (4,392 m) and parts of the Canadian Rockies (3,954 m). The cross-bearing results also highlight the Alaska Range (6,200 m) and the Aleutian Islands (1,900 m) in October. Many mountains within this hotspot are volcanoes. The closest IMS stations – IS53 ( $\beta = 128^\circ$ ), IS56 ( $\beta = 325^\circ$ ), and IS57 ( $\beta = 9^\circ$ ) – detect MAWs originating from this hotspot region until March. From April to July, the number of detections from the southeast (IS53) and north-northwest (IS56, IS57) is reduced by up to 95%, compared to January (the corresponding histograms are provided in HUPE, 2018). During February and March, the surrounding stations reveal slightly different dominant back-azimuths and the number of detections from far distant stations is reduced. This leads to fewer cross-bearing hits, which is the reason for the disappearance of this hotspot in Fig. 4.

### 3.1.3 East Siberian Mountains

Over the very eastern part of Siberia (peaks up to 2,000 m), a source region of MAWs is identified from September to March (Fig. 4). It is detected, among others, at IS44, IS45, IS58, and IS59. The detection numbers vary at these stations; the maximum values per month amount to two (IS45, October), four (IS58, October), 20 (IS59, January), and 45 (IS44, January). Although this hotspot is less prominent, compared to the ones above, its seasonal cycle is similar.

### 3.1.4 Southern Andes

GREENE and HOWARD (1975) had already identified the southern Andes as a source region of MAWs. Their southernmost sensor was located near the highest mountain of the continent (Mount Aconcagua; 41.67° S, 70.00° W, 6,961 m elevation). Here, at least six IMS stations detect MAWs from the southern Andes, and one of these (IS02) operates at the southern tip of the

continent. Detections are found almost all around the year, and the latitudinal range of the cross-bearing solutions extends from 30° S to south Chile (55° S), where the mountains (mostly volcanoes) reach elevations of 1,500 m to 2,500 m. Note the broad longitudinal range of cross-bearing hits exceeding the coastlines, which poses the question of whether this is caused by real events or methodological artefacts. MAW detections originating from upstream and downstream of the hotspot could be associated with the phenomenon of trailing GWs, which have been particularly observed in the lee of New Zealand (EHARD et al., 2017; JIANG et al., 2019). The dominant back-azimuths of IS08 detections often match the identified hotspot region downstream of the southern Andes. However, Fig. 4 also indicates different back-azimuths of the other stations, and additional cross-bearing hits are located upstream of the Andes. Therefore, at least some of the cross-bearing results are likely methodological artefacts resulting from the applied uncertainty of  $\pm 5^\circ$  or the possibility that the IMS stations detect different sources within that region – for instance, the closest to each station. The latter issue would cause the triangulation to fail matching any of the detected sources exactly.

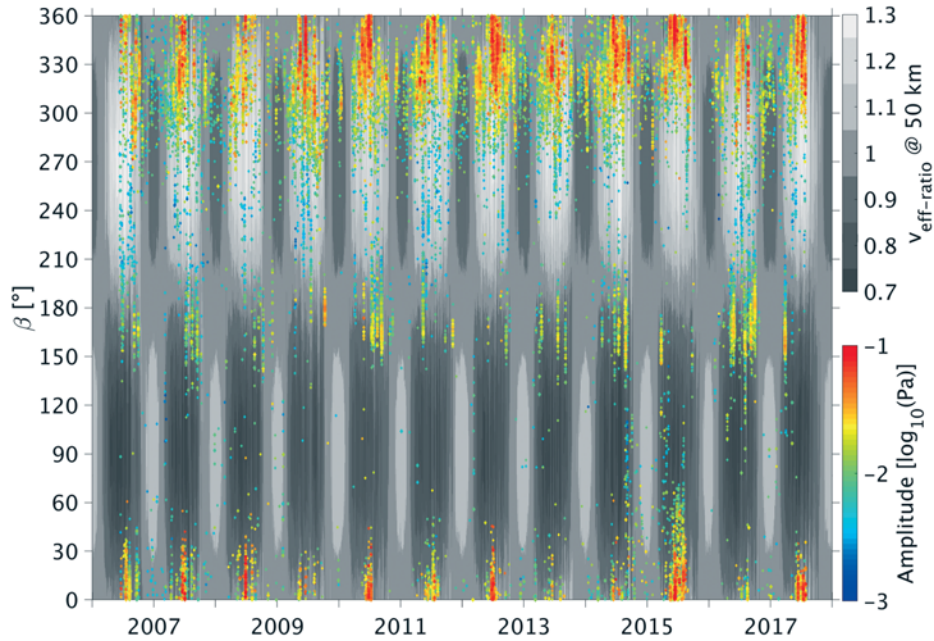
Overall, the southern Andes are the most active hotspot of MAWs in the Southern Hemisphere. A seasonal cycle in the number of detections is evident, showing a maximum in winter. The cross-bearing results highlight this hotspot from September to May (Fig. 4). At IS02, however, MAWs are also detected in summer (maximum 17 detections per month), from almost the same direction as in winter (56 detections).

### 3.1.5 Southern Alps of New Zealand

The azimuthal distributions of detections show prominent peaks related to MAWs at IS05 ( $\beta = 100^\circ$ ), IS22 ( $\beta = 165^\circ$ ), and IS36 ( $\beta = 265^\circ$ ) all year round. At IS22 the spectral number maximizes in July (59), opposed to only three detections in December. At IS36, the seasonal cycle is similar, but the highest peak in May shows just 13 detections. Such differences between the stations can be related to the propagation conditions between the source and the receiver. Section 3.2 investigates the propagation conditions for the hotspots identified in the Southern Hemisphere.

### 3.1.6 Further results

Further regions that show accumulations of cross-bearing results in Fig. 4 are Greenland (October), northwestern Australia (January, October), and the central USA (May to August). Greenland is a potential source region of MAWs; however, there are not enough stations around for continuous cross-bearing results. Moreover, northwestern Australia is highlighted as a result of spurious intersections, due to the wide range of the cross-bearing approach. The closest stations in Australia – IS04, IS05, and IS07 – do not detect any MAW signals from the appropriate directions.



**Figure 5:** The temporal variation in back-azimuth ( $\beta$ ) and amplitude (color-coded) of the MAW detections at IS02. Each dot represents a detection family. In the background, the ratio of  $v_{\text{eff}}$  at around 50 km and the surface is shown (gray scale), calculated from ECMWF data. This ratio indicates good propagation conditions for a direction towards the station when exceeding one – i.e., the presence of the ground-to-stratopause waveguide (light gray; dark gray:  $v_{\text{eff-ratio}} < 1$ ). The detections from  $150^\circ$  to  $210^\circ$  originate from the Antarctic Peninsula. Detections from the north-northwest are associated with the Andes.

528 A special feature is the accumulation of cross-  
 529 bearing results over the central USA. It is not directly as-  
 530 sociated with the Rocky Mountains. Although [BEDARD](#)  
 531 (1978) mentioned a MAW source region in the lee of  
 532 the Rocky Mountains over Colorado, the seasonal ap-  
 533 pearance found here is in contradiction to his observa-  
 534 tions. It is detected at IS10 ( $\beta = 174^\circ$ ), IS53 ( $\beta = 96^\circ$ ),  
 535 IS56 ( $\beta = 120^\circ$ ), and IS57 ( $\beta = 60^\circ$ ) only during sum-  
 536 mer (May to August). Therefore, the detections are more  
 537 likely associated with the occurrence of severe storms  
 538 in the central USA: During the 1960s and 1970s, se-  
 539 vere storm cells that coincided with hail and tornadoes  
 540 were observed causing the detection of infrasound sig-  
 541 nals with specific periods of 5 s to 62 s ([BOWMAN](#) and  
 542 [BEDARD](#), 1971). Here, the detected properties and the  
 543 season agree with those findings; hence, it is concluded  
 544 that the IMS network also captures low-frequency infra-  
 545 sound from severe storms.

### 546 3.2 Propagation conditions

547 Propagation conditions are considered for validating  
 548 detections from the identified source regions at se-  
 549 lected stations. The focus is on the Southern Hemi-  
 550 sphere hotspots since these can be associated with dis-  
 551 tinct mountainous ranges; whereas the most dominant  
 552 hotspot in the Northern Hemisphere covers a large re-  
 553 gion with multiple mountain ranges.

554 Fig. 5 shows the time-series of PMCC detections at  
 555 IS02 in the frequency range of MAWs (Table 1). Con-  
 556 cerning the Andes, the majority of signals are detected

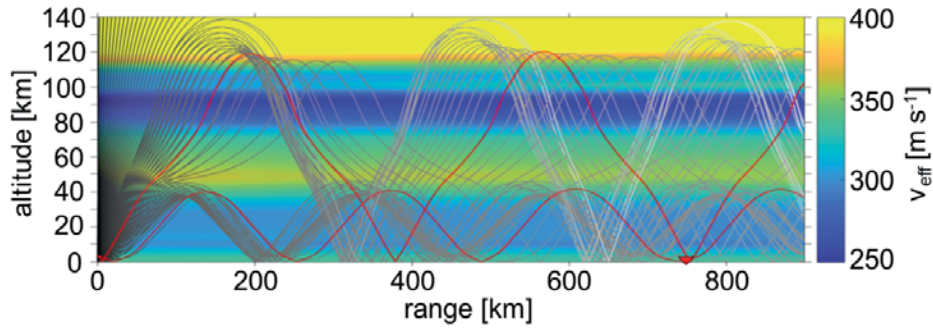
557 during the winter when the atmospheric conditions are  
 558 favorable for infrasound propagation from northwestern  
 559 directions. During the summer, the number of detections  
 560 is reduced by about 70 %. Accordingly, the detected am-  
 561 plitudes were largest in austral winter and smallest in  
 562 summer, differing by half an order of magnitude.

563 The propagation between the southern Andes ( $49^\circ$  S,  
 564  $73^\circ$  W) and IS02 ( $55^\circ$  S,  $68^\circ$  W) was calculated using the  
 565 2D-FD ray-tracer (Section 2.4). As an example, Fig. 6  
 566 shows the modeling for July 2016. Accordingly, the  
 567 propagation was modeled for each month between Jan-  
 568 uary 2007 and December 2016, based on the monthly-  
 569 averaged along-path wind and temperature profiles.

570 The same simulations were done for IS08 (3,663 km  
 571 to the north), IS09 (4,352 km to the north-northeast),  
 572 IS14 (1,806 km to the northwest), IS21 (7,500 km to the  
 573 east), and IS27 (4,043 km to the southeast). These sta-  
 574 tions also show detections most likely originating from  
 575 the Andes hotspot. The ray-tracing results for the se-  
 576 lected stations are summarized in Table 2. The statistics  
 577 only account for parameters of stable eigen-ray solutions  
 578 for stratospheric ( $I_s$ ) and thermospheric ( $I_t$ ) returns, if  
 579 any. In addition, the accumulated atmospheric absorp-  
 580 tion along the propagation path ( $A_a$ , in dB) is provided.

581 During austral winter (May to August), ground-to-  
 582 stratopause solutions resulted for IS02, IS08, and IS27.  
 583 These agree with the PMCC detections and the cross-  
 584 bearing results. In summer (November to February),  
 585 simulations show that stratospheric ducting was rather  
 586 unlikely for these stations. This fact also agrees with  
 587 the PMCC detections. However, it is noted that IS21





**Figure 6:** Ray-tracing paths between the southern Andes (0 km, 49° S, 73° W) and IS02 (red triangle) in southern Argentina are shown for July 2016. The source on the left was set to 3,200 m. The rays were started at angles of between 1° (upward) and 179° (downward). The modeled source frequency was 0.05 Hz, the upper center frequency threshold of MAWs; lower frequencies would be subject to even smaller atmospheric absorption rates. The stable eigen-ray solutions which best connect the source and receiver are depicted in red, for both the ground-to-stratopause and the ground-to-thermosphere waveguide.

**Table 2:** Ray-tracing results for selected stations detecting MAWs from the southern Andes. The numbers (0–10) reflect the number of simulation runs (one per year and month over 10 years) for which a stable eigen-ray solution was calculated between the source (49° S, 73° W) and the respective IMS station. Consequently, the numbers indicate the detection likelihood, about both stratospheric ( $I_s$ ) and thermospheric ( $I_t$ ) propagation paths. Besides, the mean and the standard deviation of atmospheric absorption ( $A_a$ ) are given for these simulation runs. The source was set to an altitude of 3,200 m.

	IS02		IS08		IS21		IS27	
	$I_s$	$I_t$	$I_s$	$I_t$	$I_s$	$I_t$	$I_s$	$I_t$
Jan	0	10	0	10	10	8	0	9
Feb	0	10	3	10	6	8	0	8
Mar	3	10	6	9	4	9	6	9
Apr	8	10	6	10	0	10	9	7
May	9	10	8	9	0	10	9	9
Jun	10	9	5	9	0	10	9	5
Jul	10	10	7	6	0	10	9	4
Aug	9	10	5	6	0	10	9	6
Sep	7	10	9	9	0	10	9	7
Oct	2	10	9	7	5	6	5	9
Nov	0	10	7	8	10	8	1	9
Dec	0	10	4	10	10	7	0	9
$\bar{A}_a$ [dB]	0.1	3.8	0.7	15.0	1.3	19.4	0.4	17.3
$\sigma_{A_a}$ [dB]	0.1	1.6	0.4	19.0	0.9	16.7	0.3	15.4

**Table 3:** Ray-tracing statistics as in Table 2, but for stations detecting a MAW source over New Zealand. Here, the source was set to an altitude of 3,000 m.

	IS05		IS07		IS22		IS36	
	$I_s$	$I_t$	$I_s$	$I_t$	$I_s$	$I_t$	$I_s$	$I_t$
Jan	10	7	10	8	8	9	0	10
Feb	10	10	10	7	6	10	0	10
Mar	0	10	0	10	0	10	3	10
Apr	0	10	0	10	0	10	10	6
May	0	10	0	10	0	10	10	6
Jun	0	10	0	10	0	10	10	4
Jul	0	10	0	9	0	9	10	4
Aug	0	10	0	10	0	10	8	4
Sep	3	9	0	10	2	9	5	10
Oct	9	8	4	10	3	10	0	10
Nov	10	9	3	9	9	10	0	10
Dec	9	10	10	9	10	9	0	10
$\bar{A}_a$ in dB	0.2	5.1	0.7	23.9	0.7	7.6	0.1	6.4
$\sigma_{A_a}$ in dB	0.1	5.9	0.4	21.4	0.2	12.9	0.1	8.3

588 detected MAWs in April and July, although a west-  
589 ward propagation in the ground-to-stratopause wave-  
590 guide was not modeled. Instead, ground-to-thermosphere  
591 ducting was successfully modeled for this station,  
592 despite a propagation range of 7,500 km. Moreover,  
593 the ground-to-thermosphere waveguide explained detec-  
594 tions at IS13, IS14, IS21, and IS24 in the winter and at  
595 IS02 and IS09 in the summer. As a consequence, for ex-  
596 plaining MAW detections upstream of the stratospheric  
597 jet, the low attenuation in the thermosphere is essential.

598 Similar results were obtained for MAW detections  
599 originating from the Southern Alps of New Zealand  
600 (44° S, 170° E). Increased detection numbers during

winter (Section 3.1) agree with the ray-tracing results for  
IS36 (Table 3) because propagation within the ground-  
to-stratopause waveguide was only favored between  
April and September. A ray-tracing example for January  
and July 2016 is given in Figure S2 in the Supplements,  
showing a sharp effective sound speed gradient at the  
stratopause in July.

The seasonal variation in the number of detections at  
IS22 (Section 3.1) is contradictory to the stratospheric  
ray-tracing results, as these would suggest the maxi-  
mum number during summer and the minimum during  
winter. Only the ground-to-thermosphere waveguide can  
explain the opposed cycle: According to the modeling,  
the thermospheric return heights were lowest in July  
(<110 km) and higher in January (>110 km), resulting  
in accumulated absorption rates of around 1 dB (July)  
and 9 dB (January), respectively, along the propagation  
paths. The low absorption rate in July can partly explain  
the large number of signal arrivals. Moreover, it is noted

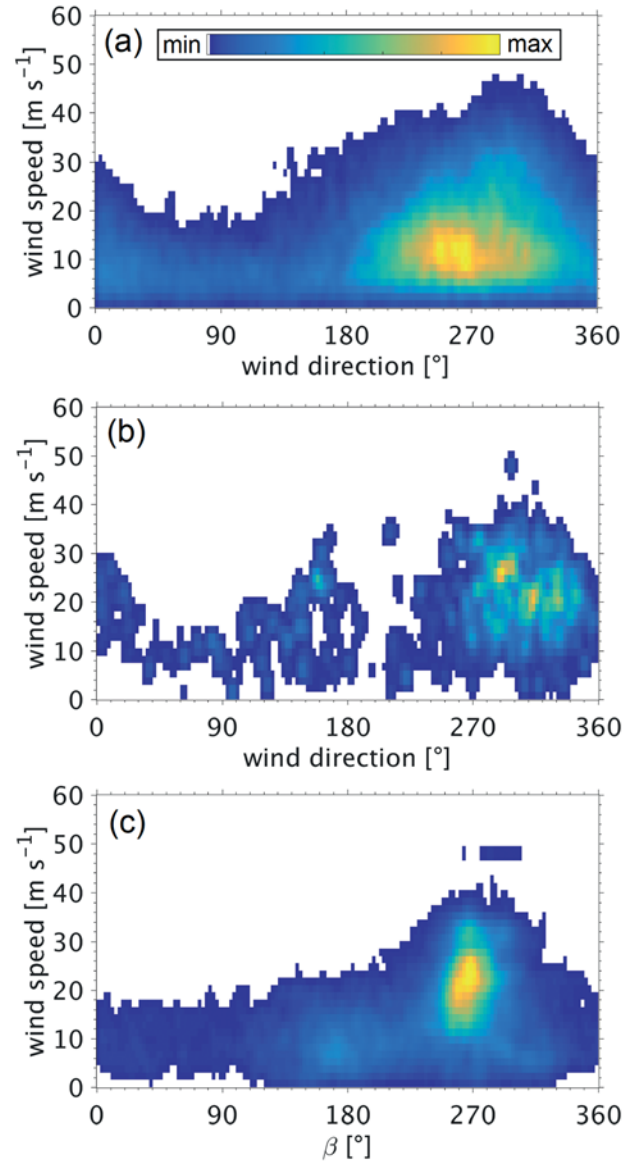
620 that single detections could result from small-scale fluctua-  
621 tions; for instance, upward-propagating GWs could  
622 temporarily establish a ground-to-stratosphere waveguide  
623 if such perturbations of the wind speed sufficiently  
624 increase the effective sound speed ratio in the upper  
625 stratosphere. Note that, for the troposphere, DAMIENS  
626 et al. (2018) also modeled an impact of OGWs and tropo-  
627 spheric winds on the acoustic wave field in moun-  
628 tainous regions. However, the high number of signals in  
629 winter would be more reasonable if the explanation can  
630 be found in the source generation mechanism.

### 631 3.3 Source conditions

632 The most dominant MAW source regions – the Southern  
633 Alps of New Zealand, the southern Andes, and the Ti-  
634 betan Plateau – are characterized by strong tropospheric  
635 winds all around the year. Therefore, the monthly mean  
636 wind fields are not appropriate to analyze the source  
637 conditions during MAW events. Instead, the three-  
638 hourly dataset of the Modern-Era Retrospective analysis  
639 for Research and Applications, Version 2 (MERRA-2,  
640 BOSILOVICH et al., 2016) was used. The focus is on  
641 IS02 for the southern Andes and IS36 for New Zealand.  
642 These stations are nearest to the respective source re-  
643 gions, so propagation effects are minimized, and damp-  
644 ing of the MAW amplitudes is smallest. The traveling  
645 times of the MAWs are shorter than the MERRA-2 time  
646 interval; for IS02, the average time is around 36 min  
647 (at distance  $r = 749$  km and  $v_{\text{eff}} = 339$  m s<sup>-1</sup>), and  
648 for IS36, this is around 51 min (at  $r = 1,080$  km  
649 and  $v_{\text{eff}} = 350$  m s<sup>-1</sup>), for stratospheric propagation  
650 (HUPE, 2018). The MAW detections were assigned the  
651 MERRA-2 wind speed and direction available before  
652 the signal was recorded. Five model levels were con-  
653 sidered at those grid points best matching the hotspots'  
654 coordinates that were used in Section 3.2; these lev-  
655 els are 985 hPa (around 60 m above the ground – the  
656 model bottom level), 850 hPa (around 1.5 km), 700 hPa  
657 (around 3 km), 500 hPa (around 5.5 km), and 300 hPa  
658 (around 9.4 km). Unless otherwise stated, the following  
659 figures refer to the 700 hPa level.

660 The distributions in Fig. 7 show that the predominant  
661 wind speeds during MAW events originating from New  
662 Zealand (b), detected at IS36, are slightly higher than  
663 the climatological conditions (a). The maximum occur-  
664 rence frequency of MAW detections from  $\beta = 265^\circ$   
665 is at wind speeds of between 15 m s<sup>-1</sup> and 35 m s<sup>-1</sup>  
666 at 700 hPa (c), whereas the climatological wind distribu-  
667 tion peaks below 15 m s<sup>-1</sup>. The maxima occur at cross-  
668 mountain wind directions of between 270° and 360° (b).  
669 At 500 hPa and 300 hPa, the comparisons show similar  
670 results, whereas, near the ground, the azimuth sector is  
671 narrower ( $315^\circ \pm 20^\circ$ ).

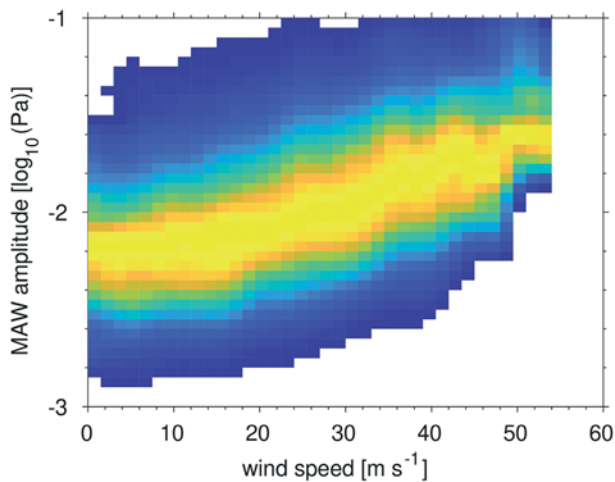
672 For IS02 and the southern Andes, the event-related  
673 occurrence frequency does not show a significant differ-  
674 ence from the climatological wind conditions, and it  
675 also peaks between 15 m s<sup>-1</sup> and 35 m s<sup>-1</sup>. Here, the dis-  
676 tribution maxima appear to be a product of coincidence



**Figure 7:** Evaluation of MERRA-2 tropospheric winds at 700 hPa over the Southern Alps of New Zealand (44° S, 170° E), and MAW detections at IS36. (a) Climatological distribution of the wind speed and direction, in the reference period 2003 to 2017; (b) distribution of the wind speed and direction during MAW detections that feature back-azimuths associated with the Southern Alps only; (c) wind speed over the Southern Alps during all MAW events detected at IS36 vs. the back-azimuths of these detections. The grid intervals are 2.5° ( $\beta$  and wind direction) and 1.5 m s<sup>-1</sup> (wind speed). The distributions are normalized by the respective maximum values.

677 resulting from the climatological conditions; whereas, at  
678 IS36 and New Zealand, there is a tendency to increased  
679 wind speeds during MAW occurrence. The climatologi-  
680 cal difference might be an explanation for fewer detec-  
681 tions from the Southern Alps at IS36 (around 10<sup>4</sup>), com-  
682 pared to the southern Andes and IS02 (around 10<sup>5</sup>).

683 Fig. 8 shows a correlation between the detected  
684 MAW amplitudes at IS02 and the wind speeds over the  
685 source region. This correlation applies to altitudes up to  
686 around 5 km. Then the slopes representing the maxima



**Figure 8:** Correlation between detected MAW amplitudes and wind speeds at the source. This refers to wind speeds over the southern Andes at 700 hPa, and MAW detections at IS02 that are associated with the Andes ( $\beta \geq 270^\circ$  and  $\beta \leq 45^\circ$ ). The grid interval for the RMS amplitude is  $0.05 \log_{10}(\text{Pa})$  and the distribution is normalized per wind speed interval of  $1.5 \text{ m s}^{-1}$ ; the color code is the same as in Fig. 7. The correlation for IS36 at the Southern Alps of New Zealand is comparable.

(yellow) incline with altitude (500 hPa and 300 hPa). As a conclusion, the correlation between the MAW amplitude and winds is strongest at layers near the orographic obstacle.

The mean wind conditions are relatively consistent throughout the year; at 700 hPa in the southern Andes, the annual mean wind speed is  $19.5 \text{ m s}^{-1}$  ( $\sigma = \pm 9.2 \text{ m s}^{-1}$ ), and the monthly means vary by  $\pm 2 \text{ m s}^{-1}$ . Consequently, if the wind is the primary quantity in the process of MAW generation, the preconditions for the excitation of MAWs do not significantly differ by season. Contrary to this is both the enhanced number of detections and the increased amplitudes in winter. According to Fig. 9, the MAW amplitudes originating from the southern Andes amount to 21 mPa in June ( $\sigma = \pm 15 \text{ mPa}$ ) and minimize in February (7 mPa,  $\sigma = \pm 5 \text{ mPa}$ ). Neither the mean nor the maximum climatological cross-mountain wind speeds exhibit a similar pattern.

The propagation conditions can explain the increased amplitudes at IS02 and IS36 during austral winter because the ground-to-stratopause waveguide is predominant (Section 3.2). This waveguide results in lower attenuation, compared to thermospheric propagation during summer, and enables larger amplitudes to be detected. It is worth adding that larger amplitudes generally allow better discrimination from noise in the infrasound recordings; hence, the enhanced number of PMCC detections could be related to the increased amplitudes. However, the results discussed for IS22 contradict that theory here, because the highest number of detections – even higher than at IS36, which is closer to the source region – was also found in winter despite the ab-

sence of a ground-to-stratopause waveguide. As a consequence, the source generation of MAWs must be subject to seasonal variability, and cross-mountain winds alone are not sufficient in this context. The positive correlation between cross-mountain winds and MAW amplitudes indicates that these winds contribute to the process of MAW excitation.

In terms of OGW occurrence, for which the discussed hotspots in the Southern Hemisphere are known (e.g., McLANDRESS et al., 2000; ALEXANDER and TEITELBAUM, 2011; HOFFMANN et al., 2016), static stability could be an additional quantity. Comparisons like in Figs. 7 and 8 do not indicate a correlation between the Brunt-Väisälä frequency, as a measure for stability, and the MAW occurrence although, in general, it seems that MAWs are detected during stable conditions. This fact can partly contribute to enhanced detection numbers during winter since the tropospheric conditions are generally more stable than during summer. Stable conditions in the atmospheric boundary layer reduce turbulent noise at the stations, which improves the detection capability (e.g., PILGER et al., 2015).

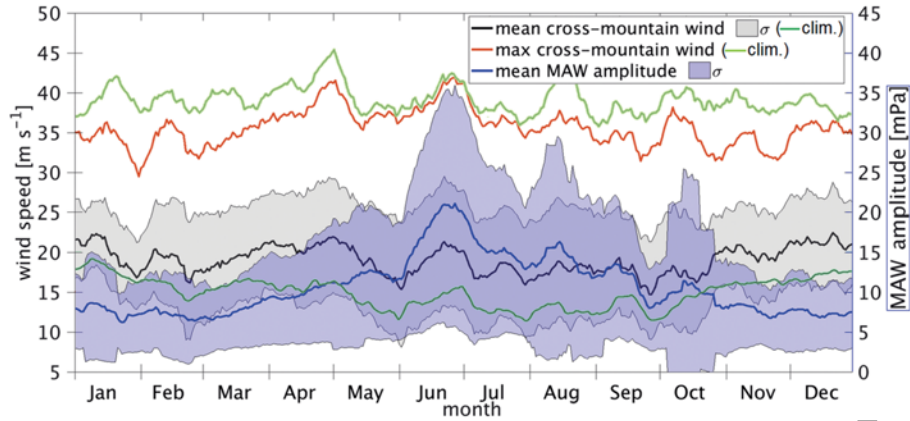
#### 4 Comparison of the MAW hotspots with satellite-based GW hotspots

The question of whether a common source generation mechanism exists for MAWs and OGWs is assessed by comparing global GW hotspot maps with the identified source regions of MAWs. The global GW activity was obtained from the global GW climatology based on atmospheric infrared limb emissions observed by satellite (GRACILE), which was produced by ERN et al. (2017). GRACILE provides a climatology of GW parameters such as temperature variances, GW potential energy (GWPE), and absolute GW momentum flux (GWMF) in the middle atmosphere. Here, the GWMF data product from the Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) instrument was used to estimate the global GW activity. SABER products are based on the period from February 2002 to January 2015 (13 years), and thus similar to the infrasound data set. The MAW source regions were compared with the GWMF at 30 km, the lowest available level. More precisely, the GWMF deviation from the zonal mean was calculated so that positive deviations indicate enhanced GW activity.

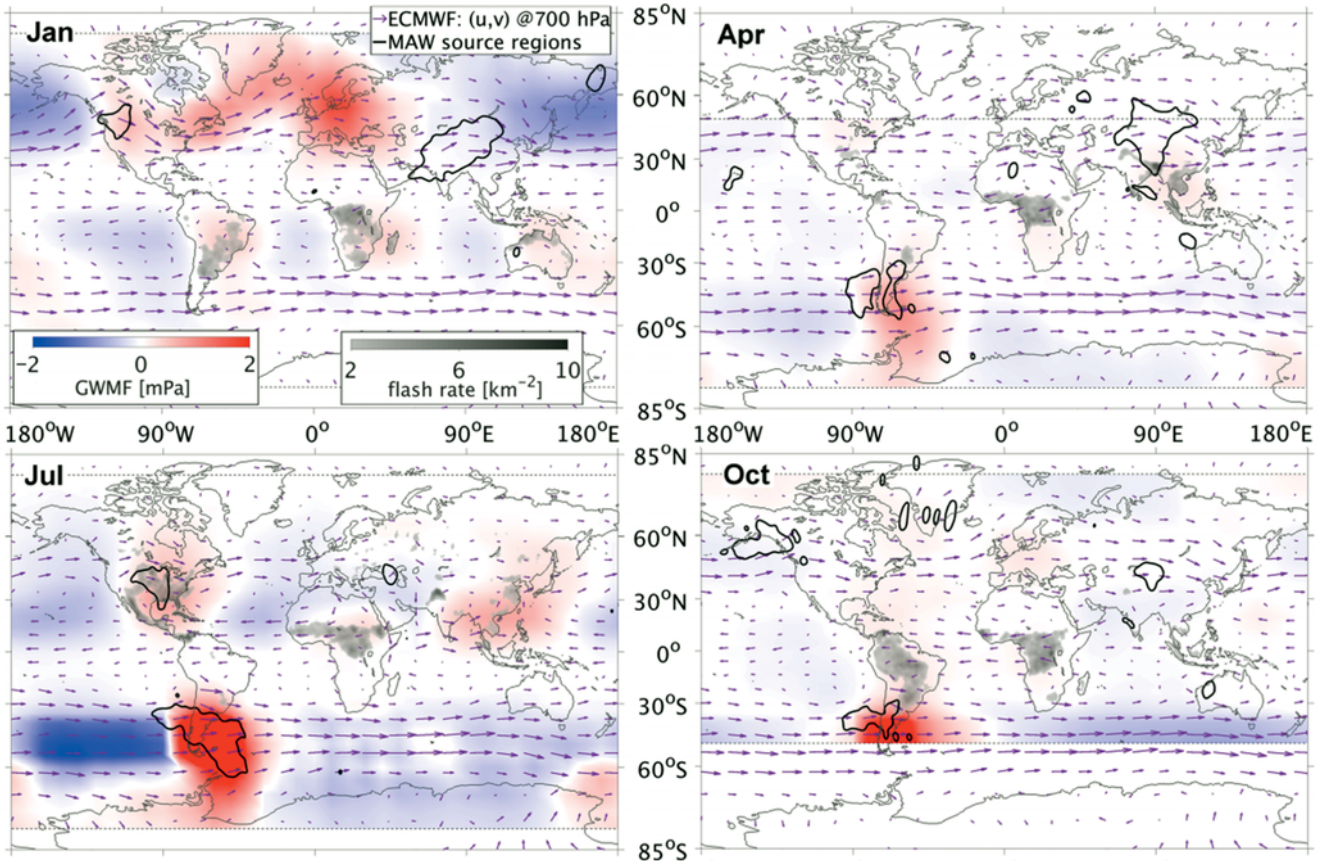
Lightning data were also taken into account to separate convectively-induced GWs from other sources like topography. CECIL (2015) produced the HRES monthly climatology of lightning activity. It provides mean flash rates per square kilometer and day in the middle of a month (CECIL et al., 2014) and was composed of data from the Optical Transient Detector and the Lightning Imaging Sensor.

In Fig. 10, color-coded lightning activity and GWMF are shown for January, April, July, and October. The black contour lines reflect the MAW source regions





**Figure 9:** Annual amplitude variation of MAW detections from the southern Andes at IS02, and cross-mountain winds (directional wind components between  $225^\circ$  and  $315^\circ$ ) at 700 hPa over the southern Andes. The event-based mean (black) and maximum (orange) MERRA-2 cross-mountain winds were calculated for each day of the year. The respective climatological daily mean and maximum values (2004 to 2017) are shown in green. A moving-average filter with a span of 15 d was applied to the data, and shaded areas depict the standard deviation ( $\sigma$ ).



**Figure 10:** Comparison of GWMF (30 km) from GRACILE/SABER (Ern et al., 2017) with MAW hotspots as identified in Section 3. MAW contour lines equal the threshold of 0.05 normalized cross-bearing hits in Fig. 4. GWMF is given as the deviation from the zonal mean GWMF. Lightning activity (CECIL, 2015) is superimposed for areas with more than two flashes per  $\text{km}^{-2}$  (gray shades) to identify convectively induced GWs. With regard to Section 3.3, the ECMWF wind field (ECMWF, 2014) at 700 hPa (arrows) shows that mid-latitude GW hotspots and MAW hotspots coincide with high wind speeds. Note that dashed lines denote the latitudinal coverage of SABER in each month.

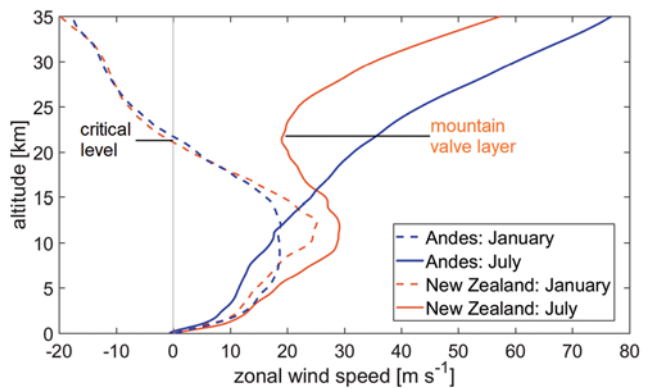
shown in Fig. 4. The 700 hPa level wind field of the ECMWF operational HRES analysis is added (monthly means for the period 2007 to 2016).

In the tropics and subtropics, the seasonal variation of enhanced GWMF agrees with increased lightning activity, so it is likely caused by deep convection within the Inter-tropical Convergence Zone. The allegedly found hotspot in the central USA between May and August is confirmed by these observations, in terms of severe storms.

In the southern Andes, the GWMF is strongly enhanced from April until October, which well agrees with the MAW hotspot. Also, weaker GWMF in March and November (not shown) coincides with the number of MAW detections. In the summer, the southern Andes exhibit no OGW hotspots, but rather GWs induced by deep convection (HOFFMANN et al., 2013, figs. 6 to 10); obviously, this does not regularly cause infrasound signals like those detected in the central USA at a sufficient number of stations for the cross-bearing approach. This conclusion is supported by the fact that reports of severe storms including tornadoes in the very south of Argentina or Chile are not available.

As was discussed in Section 3, New Zealand's South Island is also a regular source region for MAWs although it does not appear in Fig. 10. HOFFMANN et al. (2016), using satellite observations, identified New Zealand as one of the active source regions of OGWs in the Southern Hemisphere. They evaluated upstream and downstream variances in temperature perturbations at about 40 km altitude, based on 10 years of HRES satellite observations. GWMF is not enhanced over New Zealand in the GRACILE dataset. One reason is the coarse horizontal resolution of the GRACILE climatology – GW parameters were evaluated in bins of  $30^\circ \times 20^\circ$  (ERN et al., 2018). A second reason is the characteristic wind speed profile above mountain ranges at mid-latitudes. The atmospheric feature was pointed out by KRUSE et al. (2016), termed the 'valve layer', which affects upward-propagating GWs. It is characterized by a wind speed minimum in the lower stratosphere (15–25 km) above a strong tropospheric jet-stream (KRUSE et al., 2016). The wind speed minimum causes the vertical wavelength of an upward-propagating GW to shorten, which results in a steepening wave. If this causes the GW to break, momentum is deposited and will not reach the upper stratosphere, e.g., at 30 km. Large-amplitude GWs that are induced by strong tropospheric winds are particularly affected by the valve layer; whereas small-amplitude GWs are not forced to break and eventually propagate up to the mesosphere (e.g., KAIFLER et al., 2015; BRAMBERGER et al., 2017).

As an example, Fig. 11 shows monthly mean zonal wind speed profiles over the southern Andes (blue) and the Southern Alps of New Zealand (orange) in January (dashed line) and July (solid line). During summer (January), a critical level (FRITTS and ALEXANDER, 2003, ) at around 22 km causes GW dissipation of upward-



**Figure 11:** ECMWF HRES zonal wind speed profiles over the southern Andes ( $49^\circ$  S,  $73^\circ$  W) and New Zealand's Southern Alps ( $44^\circ$  S,  $170^\circ$  E) in January and July 2016. The winter profiles (July) differ because of the valve layer that is present over New Zealand, whereas the wind profile of the southern Andes would allow the upward propagation of OGWs into the upper stratosphere.

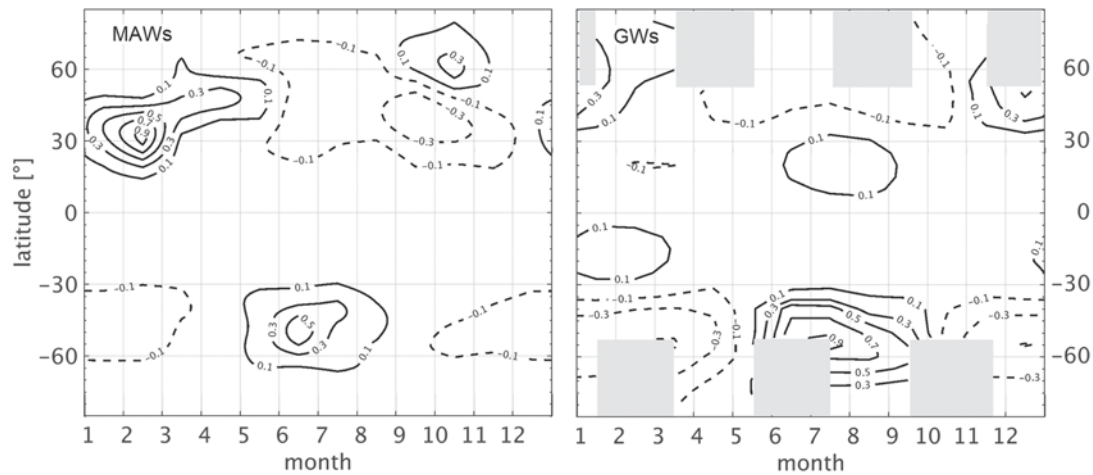
propagating OGWs in the lower stratosphere (e.g., KAIFLER et al., 2015). In July, the zonal wind profiles differ such that there is a strong tropospheric jet at 10 km to 15 km over New Zealand ( $\bar{u} = 28 \text{ m s}^{-1}$ ) and a relative wind minimum at 22 km ( $\bar{u} = 18 \text{ m s}^{-1}$ ). This valve layer explains why the GW activity over New Zealand in winter remains unresolved at the lowest data level of the GRACILE climatology (30 km). It is noted that the feature of the valve layer disappears towards higher latitudes.

Enhanced GWMF does not match the MAW hotspot over the Tibetan Plateau in Fig. 10. Only in November and December (not shown), enhanced GWMF can be found in the north of the Tibetan Plateau. The tropospheric winds are relatively strong over the entire region all year round, similar to the southern Andes. Contrarily, the GWMF perturbations are strongest over Europe (Scandinavia), particularly in January. The weak GWMF over the Tibetan Plateau is also reasoned by the valve layer which regularly evolves above the tropospheric jet-stream during winter; for instance, the ECMWF HRES analysis yields a valve layer above the Pamir Mountains ( $38^\circ$  N,  $75^\circ$  E), just west of the Tibetan Plateau. In 2016, for example, a mean zonal wind maximum of  $32 \text{ m s}^{-1}$  was at 10 km and a local minimum of  $14 \text{ m s}^{-1}$  at 19 km in January (Figure S3 in the Supplements). The critical level was at 15 km in July 2016.

ZENG et al. (2017) reported evidence of OGWs above the Tibetan Plateau. They evaluated nine years of satellite data from the lower stratosphere (15–30 km) and found OGWs during winter and spring. Moreover, ALEXANDER et al. (2008) found that enhanced GWPE up to the tropopause was generally filtered at levels of low wind speed below 30 km altitude.

The MAW hotspot of the coastal mountain ranges in North America agrees with enhanced GWMF in January. In November, the GWMF deviation is also positive in this region; whereas it equals the zonal mean in





**Figure 12:** Comparison of the annual variability of zonal mean MAW hotspots and zonal mean stratospheric GWMF. Variability is reflected as the deviation from the zonal mean, normalized by its absolute maximum. Left: MAW source regions, as deduced from the cross-bearing hits shown in Fig. 4. Right: Stratospheric GWMF at 30 km altitude, as deduced from GRACILE’s global map data.

873 October. [HOFFMANN et al. \(2017\)](#) argued that low strato- 912  
 874 spheric wind speeds, preventing GWs from propagating 913  
 875 upward in this region, result in only a few stratospheric 914  
 876 GW observations. They also identified the East Siberian 915  
 877 Mountains as a source region of OGWs. Here, ECMWF 916  
 878 data show critical levels in both January and July 2016 917  
 879 (not shown).

880 At high latitudes in general, the distribution of IMS 918  
 881 infrasound arrays compared to the source regions is 919  
 882 relatively coarse which prevents for obtaining enough 920  
 883 cross-bearing results for events like MAWs. It is worth 921  
 884 mentioning that the station distribution meets the detec- 922  
 885 tion capability required for the monitoring of the CTBT 923  
 886 ([LE PICHON et al., 2019](#)). Nevertheless, the Antarc- 924  
 887 tic Peninsula and the Trans-antarctic Mountains in the 925  
 888 Southern Hemisphere, which are strong OGW hotspots 926  
 889 ([HOFFMANN et al., 2013](#); [HOFFMANN et al., 2016](#); [JEW- 927  
 890 TOUKOFF et al., 2015](#)), are detected at IMS stations – 928  
 891 the Antarctic Peninsula at IS02 ( $\beta = 170^\circ$ ) and IS27 929  
 892 ( $\beta = 250^\circ$ ) during spring and autumn, and the Trans- 930  
 893 antarctic Mountains at IS05 ( $\beta = 200^\circ$ ) and IS36 931  
 894 ( $\beta = 180^\circ$ ) during winter.

895 In the Northern Hemisphere, wide regions of positive 932  
 896 GWMF perturbations are detected from lightning and 933  
 897 MAW activity at middle and high latitudes during win- 934  
 898 ter. Indeed, GW hotspots have been observed in Scandi- 935  
 899 navia (e.g., [RAPP et al., 2018](#)), Greenland ([LEUTBECHER 936  
 900 and VOLKERT, 2000](#); [LIMPASUVAN et al., 2007](#)), and the 937  
 901 UK ([HOFFMANN et al., 2013](#); [HOFFMANN et al., 2017](#)). 938  
 902 Although pairs of IMS infrasound stations detect MAWs 939  
 903 potentially originating from those regions, the multitude 940  
 904 of possible sources and the dominance of detections 941  
 905 from the Tibetan Plateau complicate the determination 942  
 906 of further MAW hotspots in the Northern Hemisphere. 943  
 907 The fact that many IMS infrasound stations surround the 944  
 908 Tibetan Plateau region may cause an overestimation of 945  
 909 this hotspot. Nevertheless, the station markers in Fig. 4 946  
 910 indicate high detection numbers which still imply this 947  
 911 hotspot to be very active. 948

Fig. 12 summarizes the global MAW and GW activity. The MAW activity was calculated as the number of cross-bearing hits per  $3^\circ \times 3^\circ$ . The contours denote the deviation from the zonal mean, normalized by the overall maximum. Positive (negative) values indicate enhanced (reduced) MAW activity relative to the annual zonal mean; hence, the global maximum is one. Analogously, the zonal mean GW activity was calculated, based on the GWMF of GRACILE at 30 km.

The qualitative agreement between MAW and GW activity is good. The differences in the Northern Hemisphere, and at high latitudes in general, are caused by the distribution of infrasound stations relative to potential MAW and OGW source regions. Significant tropical sources of MAWs are missing due to the lack of strong winds. At mid-latitudes, especially in the Southern Hemisphere, the patterns of MAW and GW activity are very similar. Quantitatively, the difference between GW and MAW activity traces back to the location of the respective global maxima. The strongest GW activity is located in the southern Andes region; whereas the strongest MAW activity is excited over central Asia (Tibetan Plateau and surrounding mountain ranges) and not reflected by GRACILE for the reasons mentioned above. This difference, however, poses the question if the source generation of MAWs is primarily related to the tropospheric cross-mountain winds – these are stronger over the Tibetan Plateau (Figure S3 in the Supplements) than over the Andes (Fig. 11). The MAW generation could also be linked to the excitation, or breaking, of OGWs.

## 5 Further discussion of the results

The results of Sections 3 and 4 imply that the tropospheric winds play a significant role in the source generation of MAWs. Not only the wind direction (roughly perpendicular to mountain ranges) but also the wind speed at altitudes up to around 5 km correlates with



MAW occurrence and amplitude. The variation in amplitude is ascribed to the different propagation waveguides in the atmosphere since the absorption of an acoustic signal is lower in the surface-to-stratopause waveguide. For the variation in the number of detections, however, the cross-mountain winds in the Southern Hemisphere hotspots do not provide a sufficient explanation since these are consistent throughout a year. The same result can be anticipated for the Tibetan Plateau, given the enhanced number of detections during winter as opposed to strong tropospheric winds during both summer and winter. So which process or quantity, in addition to the tropospheric winds, is essential for the generation and observation of MAWs?

Stable stratification was considered to be another meteorological precondition for MAW generation, and this would be shared with OGWs. Also, a layer of increased stability near the mountain top favors larger amplitude OGWs. Although it is reasonable that MAW detections are favored during stable conditions, which result in less noise (due to limited turbulence) at the stations in winter, a clear correlation between enhanced stability and MAW occurrence, or amplitude, was not found. A possible reason is that, in terms of the detection capability, strong tropospheric winds counteract the effect of stable conditions at a station. Strong winds produce not only large MAW amplitudes at the source but also high noise levels at the receiver. Stable conditions cause lower noise levels, enabling the detection of smaller amplitudes.

OGWs can also be induced by nonstationary winds flowing over mountainous regions, resulting in horizontally propagating GWs. In this case of non-zero phase speed GWs, the valve layer and especially the critical level considered above are not relevant. SHEVOV *et al.* (2000) found that OGWs excited by nonstationary winds propagate into the mesosphere where they cause temperature perturbations when dissipating. Following CHUNCHUZOV (1994), nonstationary winds are also a cause of acoustic wave excitation. Such infrasound signals would comprise of acoustic impulses that result from a superposition of strong wind gusts in nonstationary flows around mountains. Analyzing this in the future requires the use of local wind and turbulence measurements.

The results of the comparison in Section 4 show, in general, a clear agreement between the MAW and GW source regions. When considering the effect of the valve layer, which limits the upward propagation of GWs, the good agreement at the majority of MAW hotspots allows for the hypothesis that OGWs are included in the process of MAW generation. If not being an indirect link which could arise from the topographic and meteorological preconditions, GW breaking at different altitudes could be such a mechanism. Alternatively, the MAW source generation mechanism could be related to the tropospheric occurrence of OGWs, independent of their upward propagation into the middle atmosphere. This also includes propagating OGWs below the tropopause level caused by nonstationary winds.

Nonstationary tropospheric winds can comprise of a wide spectrum of spatial and temporal fluctuations. This implies that these winds potentially excite different wave scales, covering both MAW and OGW frequencies. CHUNCHUZOV (1994) stated that breaking stationary OGWs can contribute to nonstationary flows due to turbulence production. Therefore, this theory would justify a common source of MAWs and (nonstationary) OGWs, but also a direct link between (stationary) OGWs and the MAW excitation.

In the latter case, it is presumed that OGWs induce MAWs. The principle behind this theory is that breaking OGWs decay into higher frequency waves and produce turbulent flows. Infrasonic waves would either be a direct product of this process chain, which is in line with the energy cascade, or a secondary product according to the theory of nonstationary flows. A strong indication for the direct infrasound production from breaking GWs has been provided by LUND *et al.* (2018). They modeled the GW field above the Andes. As a result of thermodynamic instabilities in the mesosphere causing the GWs to break, these produced upstream- and downstream-propagating acoustic waves. Previously, THOMAS *et al.* (1974) had rejected the theory of breaking lee waves being involved in the MAW production, which relied upon the evaluation of power spectra slopes of selected MAW events. Following the findings of LUND *et al.* (2018), the valve layers over New Zealand or the Tibetan Plateau could also be altitude layers where MAWs are excited as a result of breaking stationary OGWs. The correlation between MAW amplitude and wind speeds is reasonable in this context.

However, for clarifying the exact source generation mechanism based on the two theories discussed above, more detailed analyses of MAW events will be necessary. Instead of analyzing the monthly MAW detections stacked over 15 years, shorter and subsequent time windows or even an event-based evaluation will allow further conclusions on the source generation mechanism. GW models need to be incorporated in such a study.

Concerning feedback mechanisms within turbulent flows, the impact of OGWs on the acoustic wave field is of great interest. DAMIENS *et al.* (2018) have addressed this topic by modeling the effect of tropospheric winds, OGWs, and low-altitude critical levels on the sound propagation in mountainous regions. SABATINI *et al.* (2019) have recently investigated the infrasound propagation through turbulent layers caused by breaking OGWs.

Our study focused on the determination and characterization of global MAW hotspots compared to GW hotspots derived from satellite data and showed the potential of the IMS infrasound network for assessing such a rarely studied type of atmospheric wave. At high latitudes, however, the station distribution relative to mountain ranges complicated the robust identification of MAW source regions using the elaborated cross-bearing method. A future study could enhance this method incorporating weighting functions for the different sta-

tions. These should reflect station and detection parameters, such as the number of sensors and family sizes (LANDÈS et al., 2012), respectively. Considering additional infrasound stations in Europe (PILGER et al., 2018) and the USA (HEDLIN, 2015) will allow for better discriminating source regions at high latitudes in the Northern Hemisphere.

## 6 Summary and conclusions

In this paper, a rarely investigated infrasound phenomenon – the MAW – was studied, and global source regions were identified using infrasound measurements of the IMS network. The dataset that covers more than 15 years was processed with the PMCC algorithm, and a cross-bearing method was applied to the monthly-averaged low-frequency detections between 0.02 Hz to 0.05 Hz. A comprehensive analysis of the global hotspots towards both meteorological source and propagation conditions was carried out.

The newly identified hotspot in central Asia appears to be the strongest one worldwide. In addition, the southern Andes and the Southern Alps of New Zealand are noticeable source regions of MAW since these are also OGW hotspots in the Southern Hemisphere. At high latitudes, the station distribution is relatively coarse, compared to lower latitudes. This has limited the results of the elaborated cross-bearing method in these latitudes. However, with IS03, an additional station recently started its operation in Antarctica, and yet another station is planned on the Antarctic Peninsula (IS54). These may further improve the results of the cross-bearing.

Detections originating from MAWs were generally observed all year round. The ground-to-stratosphere waveguide enables larger amplitudes to be detected at the receivers than the ground-to-thermosphere waveguide. However, in contrast to phenomena of higher frequencies than MAWs, the ground-to-thermosphere waveguide proved to be essential to explain occasions of MAW detections at even long distances of several thousand kilometers. The weak absorption at these low frequencies still favors small-amplitude detections at such distances.

The event-based wind analysis revealed a positive correlation between the MAW amplitude and the cross-mountain wind speed over the southern Andes and New Zealand. Conclusively, a MAW hotspot where the cross-mountain wind speed varies with the season will exhibit an annual variation in recorded MAW amplitudes. In the Southern Hemisphere source regions analyzed here, the wind conditions are consistent throughout a year. The seasonal variation in MAW amplitudes was therefore primarily associated with the present waveguides. Concerning the seasonal variation in the number of detections, however, an additional physical process was required in the source generation mechanism to explain the peak in winter. Static stability was discussed in this context, but it affects the stations' detection capability rather than the excitation of MAWs, to first order.

A comparison with GW parameters from stratospheric satellite data showed that the dominant MAW hotspots convincingly matched those of well-accepted source regions of OGWs. The characteristic valve layer in the lower stratosphere can explain exceptions found in the comparison. Breaking GWs at different altitudes are a possible source of infrasound waves originating from mountainous regions. This link with GWs recalls the static stability to be indirectly involved since stable stratification is a precondition for OGWs. Since further theories, such as the vortex shedding of turbulent flows at mountains, cannot be excluded in general, the exact excitation mechanism should be further addressed in a future study. This should incorporate GW models and analyze MAWs within smaller time windows for elaborating if breaking OGWs directly excite MAWs or if nonstationary winds even simultaneously release acoustic and GWs at mountains. If it turns out that OGWs induce the MAWs, the IMS infrasound network will be a unique ground-based system able to monitor the OGW activity continuously and globally.

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## Abbreviations

$\beta$	back-azimuth (direction of origin)	1165
$A_a$	Atmospheric Absorption	1166
$I_s$	Signal return from stratospheric ducting	1167
$I_t$	Signal return from thermospheric ducting	1168
<b>2D</b>	two-dimensional	1169
<b>2D-FD</b>	two-dimensional finite differences (ray-tracing model)	1170
<b>CTBT</b>	Comprehensive Nuclear-Test-Ban Treaty	1172
<b>ECMWF</b>	European Center for Medium-Range Weather Forecasts	1173

1175	<b>GRACILE</b>	Global Gravity Wave Climatology Based on Atmospheric Infrared Limb Emissions Observed by Satellite
1176		
1177		
1178	<b>(O)GW</b>	(Orographic) Gravity Wave
1179	<b>GWMF</b>	Gravity Wave Momentum Flux
1180	<b>GWPE</b>	Gravity Wave Potential Energy
1181	<b>HRES</b>	High-Resolution
1182	<b>IFS</b>	Integrated Forecast System
1183	<b>IMS</b>	International Monitoring System
1184	<b>ISxx</b>	Infrasound Station (+number); e.g., IS02 is IMS infrasound station no. 2
1185		
1186	<b>MAW</b>	Mountain-Associated Wave
1187	<b>MERRA-2</b>	Modern-Era Retrospective Analysis for Research and Applications, Version 2
1188		
1189	<b>PMCC</b>	Progressive Multi-Channel Correlation
1190	<b>SABER</b>	Sounding of the Atmosphere Using Broadband Emission Radiometry
1191		

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The pdf version (Adobe Java Script must be enabled) of this paper includes an electronic supplement:  
**Table of content – Electronic Supplementary Material (ESM)**

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