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Published in: Journal of Ocean Engineering and Marine Energy

DOI: 10.1007/s40722-019-00157-4

*Publication date:* 2019

Document Version Peer reviewed version

Link to publication in Discovery Research Portal

*Citation for published version (APA):* Zhao, B., Zhang, T., Duan, W., Ertekin, R. C., & Hayatdavoodi, M. (2019). Application of Three-dimensional IGN-2 Equations to Wave Diffraction Problems. *Journal of Ocean Engineering and Marine Energy*. https://doi.org/10.1007/s40722-019-00157-4

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# Application of Three-dimensional IGN-2 Equations to Wave Diffraction Problems

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## Abstract

We use the Level II Irrotational Green-Naghdi (IGN-2) equations to study a number of wave diffraction problems. The IGN-2 equations can model strongly nonlinear waves. The threedimensional solution of the IGN-2 equations is developed in this work and applied to some three-dimensional wave transformation and diffraction problems. Three test cases are considered. First one is on wave evolution in a closed basin. It is shown that the IGN-2 results agree well with the linear analytical results for small wave amplitudes. The following two cases involve wave diffraction problems caused by an uneven seabed. In both of these cases, excellent agreement is obtained between the IGN-2 model and the experimental measurements and numerical predictions of others. It is concluded that IGN-2 model can be used to accurately model diffraction and transformation of nonlinear waves in three dimensions. *Key words:* Irrotational Green-Naghdi theory, IGN-2 equations, wave evolution, wave transformation, wave diffraction

## 1 1. Introduction

The Green-Naghdi (hereafter, GN) theory was first introduced about forty years ago (Green et al., 1974; Green and Naghdi, 1976). To derive the GN equations, a shape-function that approximates the vertical distribution of the velocity field along the water column is

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<sup>5</sup> used. This is not the only way the GN equations can be derived, see, for example, the
<sup>6</sup> introduction sections of Kim et al. (2001) and Ertekin et al. (2014) for a discussion on the
<sup>7</sup> subject. In derivation of the GN equations, no other assumptions and approximations are
<sup>8</sup> introduced and no restriction is enforced on the rotationality of the flow field.

The GN theory is categorized into different levels, based on the approximation functions 9 used to describe the distribution of the vertical velocity component along the water col-10 umn. For example, Ertekin et al. (1986) utilized the Level I equations to simulate waves 11 generated by ships in restricted waters. Demirbilek and Webster (1992) applied the Level 12 II model to some two-dimensional wave propagation problems. The higher level GN wave 13 equations have been developed and it is shown that they provide accurate results for strongly 14 nonlinear and strongly dispersive waves (Zhao et al., 2014). The GN-1 equations were also 15 used in three-dimensional problems, see Neill and Ertekin (1997), Ertekin and Sundararagha-16 van (2003), Hayatdavoodi et al. (2018), Neill et al. (2018). Zhao et al. (2015a) developed 17 the three-dimensional solution method for the high-level GN equations. We note here that 18 three-dimensionality refers to the physical problem, and not to the theory or the equations 19 themselves, as the vertical structure of the flow field in the theory is known a priori. 20

Although irrotationality of the flow field is not a requirement in general in deriving the 21 GN equation, it is possible to obtain the equations for an irrotational flow. Kim et al. (2001) 22 derived the Irrotational Green-Naghdi (IGN) equations from Hamilton's principle. The IGN 23 equations for finite water depth were numerically tested to show their self-convergence and 24 accuracy in two dimensions (Kim et al., 2003, 2010). Polynomial expansions are used to 25 prescribe the velocity field in vertical distribution. In the IGN models, only the odd terms of 26 the polynomial are used. Zhao et al. (2015b) showed that the two-dimensional IGN equations 27 are more efficient to solve than the GN equations where the rotationality of the flow is weak. 28 However, the three-dimensional IGN equations have not been studied so far. Zhao et al. 29 (2016) studied the IGN-2 equations and showed that IGN-2 equations are strongly nonlinear 30 equations. The IGN-2 equations give errors of less than 2% in calculation of the phase 31 velocity from shallow-water depths up to kd = 4.87, where k is the wave number and d is 32

the water depth. Higher level GN and IGN equations are strongly nonlinear and strongly
 dispersive wave equations.

The main motivation for this research is, therefore, to introduce the numerical model for three-dimensional IGN-2 equations and apply it to some water-wave diffraction problems. The intent of this paper is not to include very large waves and all the ranges of *kd*. In Section 2, the IGN equations are introduced. Section 3 presents the algorithm used in solving the IGN-2 equations. The solution of the linearised IGN-2 equations is given in Section 4. Some test cases simulated by the three-dimensional IGN-2 equations are presented in Section 5. These are followed by our conclusions in Section 6.

#### 42 2. IGN equations

In this work, three-dimensional wave problems are considered. x and y are the horizontal coordinates, with x pointing to the right and y is into the paper, and z is the vertical coordinate, positive up. The origin of the right-handed coordinate system is located at the still-water level. The bottom boundary varies spatially, z = -h(x, y). The free surface is specified by  $z = \eta(x, y, t)$ . The pressure on the free surface is taken as zero, i.e.,  $\hat{p}(x, y, t) = 0$ , without loss in generality. The IGN equations used in this work are similar to those given by Ertekin et al. (2014) who presented the two-dimensional IGN equations.

In three dimensions, the velocity field (u, v, w) that satisfies the kinematic constraints are given by the stream function  $\Psi(x, y, z, t) = (\psi^u, \psi^v)$ , where (u, v) are the horizontal components of velocity in the x and y direction, respectively, and w is the vertical component in the z direction. Therefore

$$(u,v) = \Psi_{,z},\tag{1a}$$

$$w = -\nabla \cdot \Psi, \tag{1b}$$

50 Where  $\nabla$  is the gradient operator. Here, we make  $\Psi(x, y, z, t)$  equal to zero on the seabed,

i.e.,  $\Psi(x, y, -h, t) = 0$ . In the IGN theory, we assume that  $\Psi$  is given by

$$\Psi(x, y, z, t) = \sum_{m=1}^{K} \Psi_m(x, y, t) f_m(\gamma), \qquad (2)$$

where  $f_m(\gamma) = \gamma^{2m-1}$ ,  $\gamma = (z+h)/(\eta+h)$  and  $\Psi_m$  are the unknown stream function 52 coefficients which are calculated as part of the solution. 53

The IGN equations are given by two canonical equations for the free-surface elevation  $\eta(x, y, t)$  and the surface velocity potential  $\hat{\phi}(x, y, t)$ :

$$\eta_{,t} + \sum_{m=1}^{K} f_m(1) \nabla \cdot \Psi_m = 0, \qquad (3a)$$

$$\hat{\phi}_{,t} = -\nabla \cdot \frac{\partial T}{\partial (\nabla \eta)} + \frac{\partial T}{\partial \eta} - g\eta , \qquad (3b)$$

where T is the kinetic energy given by 54

$$T = \frac{1}{2} \sum_{m=1}^{K} \sum_{n=1}^{K} \left\{ \theta A_{mn} (\nabla \cdot \Psi_m) (\nabla \cdot \Psi_n) + 2B_{mn} (\nabla \cdot \Psi_m) (\Psi_n \cdot \nabla h) - 2B_{mn}^1 (\nabla \cdot \Psi_m) (\Psi_n \cdot \nabla \theta) + \frac{1}{\theta} C_{mn} \left[ \Psi_m \cdot \Psi_n + (\nabla h \cdot \Psi_m) (\nabla h \cdot \Psi_n) \right] - \frac{2}{\theta} C_{mn}^1 (\nabla h \cdot \Psi_m) (\nabla \theta \cdot \Psi_n) + \frac{1}{\theta} C_{mn}^2 (\nabla \theta \cdot \Psi_m) (\nabla \theta \cdot \Psi_n) \right\},$$
(4)  
where  $\theta = \eta + h$  and

where  $\theta = \eta + h$  and

$$A_{mn} = \int_0^1 f_m(\gamma) f_n(\gamma) d\gamma, \qquad (5a)$$

$$B_{mn} = \int_0^1 f_m(\gamma) f'_n(\gamma) d\gamma, \quad B_{mn}^1 = \int_0^1 \gamma f_m(\gamma) f'_n(\gamma) d\gamma, \tag{5b}$$

$$C_{mn} = \int_0^1 f'_m(\gamma) f'_n(\gamma) d\gamma, \quad C_{mn}^1 = \int_0^1 \gamma f'_m(\gamma) f'_n(\gamma) d\gamma, \quad (5c)$$

$$C_{mn}^{2} = \int_{0}^{1} \gamma^{2} f'_{m}(\gamma) f'_{n}(\gamma) d\gamma \,.$$
(5d)

Details of the derivation of the IGN equations can be found in Kim et al. (2001, 2003). 55

The IGN equations are completed by stating the relation between the surface velocity 56 potential  $\hat{\phi}(x, y, t)$  and the stream function coefficients  $\Psi_m$   $(m = 1, 2, \dots, K)$ : 57

$$f_m(1)\nabla\hat{\phi} = -\nabla\frac{\partial T}{\partial(\nabla\cdot\Psi_m)} + \frac{\partial T}{\partial\Psi_m} \quad (m = 1, 2, \dots, K).$$
(6)

Equations (3) and (6) constitute the three-dimensional IGN equations, and they are used to solve for  $\eta$ ,  $\hat{\phi}$  and  $\Psi_m$  (m = 1, 2, ..., K). In addition, K stands for the level of IGN equations. For example, K = 1, K = 2, K = 3 represent IGN-1 equations, IGN-2 equations, IGN-3 equations, respectively. Here, we focus on the IGN-2 equations.

#### <sup>62</sup> 3. Solution Algorithm

For the IGN-2 equations, Eq. (6) in the x and y directions can be expressed by

$$\tilde{\mathbf{A}}^{u}\boldsymbol{\xi}_{,xx}^{u} + \tilde{\mathbf{B}}^{u}\boldsymbol{\xi}_{,x}^{u} + \tilde{\mathbf{C}}^{u}\boldsymbol{\xi}^{u} = \tilde{\mathbf{f}}^{u}, \qquad (7a)$$

$$\tilde{\mathbf{A}}^{v}\boldsymbol{\xi}^{v}_{,yy} + \tilde{\mathbf{B}}^{v}\boldsymbol{\xi}^{v}_{,y} + \tilde{\mathbf{C}}^{v}\boldsymbol{\xi}^{v} = \tilde{\mathbf{f}}^{v}, \qquad (7b)$$

where the superscript u and v are used to differentiate the x and y directions in Eq. (6),  $\boldsymbol{\xi}^{u} = [\psi_{1}^{u}, \psi_{2}^{u}]^{T}$  and  $\boldsymbol{\xi}^{v} = [\psi_{1}^{v}, \psi_{2}^{v}]^{T}$ . The subscript after comma stands for differentiation with respect to the indicated variable.  $\boldsymbol{\xi}_{,x}^{u}$  and  $\boldsymbol{\xi}_{,xx}^{u}$ , for example, indicate the first and second derivatives of  $\boldsymbol{\xi}^{u}$ , respectively.

In Eq. (7),  $\tilde{\mathbf{A}}^{u}$ ,  $\tilde{\mathbf{B}}^{u}$ ,  $\tilde{\mathbf{C}}^{u}$ ,  $\tilde{\mathbf{A}}^{v}$ ,  $\tilde{\mathbf{B}}^{v}$  and  $\tilde{\mathbf{C}}^{v}$  are 2 × 2 matrices. They are functions of h,  $\eta$ and their spatial derivatives.  $\tilde{\mathbf{f}}^{u}$  and  $\tilde{\mathbf{f}}^{v}$  are 2-dimensional vectors.  $\tilde{\mathbf{f}}^{u}$  are functions of h,  $\eta$ ,  $\boldsymbol{\xi}^{v}$  and their spatial derivatives.  $\tilde{\mathbf{f}}^{v}$  are functions of h,  $\eta$ ,  $\boldsymbol{\xi}^{u}$  and their spatial derivatives.

The finite central-difference method is used here for spatial derivatives. The (x, y) domain is uniformly discretized in the calculations by  $(\Delta x, \Delta y)$  intervals. The discretized point on the grid is denoted by  $x_i = i\Delta x$  for  $i = 1, 2, \dots, n_x$  and  $y_j = j\Delta y$  for  $j = 1, 2, \dots, n_y$ . Time is discretized with intervals of  $\Delta t$  such that  $t_k = k\Delta t$  for  $k = 1, 2, \dots$ .

For a given j,  $\boldsymbol{\xi}^{u}(i,j)(i=1,2,\ldots,n_{x})$  can be obtained by solving Eq. (7a). Similarly, for a given i, we can obtain  $\boldsymbol{\xi}^{v}(i,j)(j=1,2,\ldots,n_{y})$  from Eq. (7b). Further details of the numerical solution of Eq. (7a) can be found in Zhao et al. (2014).

We use the fourth-order Adams predictor-corrector scheme to march in time. They are

$$\eta^{k} = \eta^{k-1} + (55\eta_{,t}^{k-1} - 59\eta_{,t}^{k-2} + 37\eta_{,t}^{k-3} - 9\eta_{,t}^{k-4})\Delta t/24, \qquad (8a)$$

$$\eta^{k} = \eta^{k-1} + (9\eta_{,t}^{k} + 19\eta_{,t}^{k-1} - 5\eta_{,t}^{k-2} + \eta_{,t}^{k-3})\Delta t/24, \qquad (8b)$$

where k indicates the time step in  $t_k = k\Delta t$  for  $k = 1, 2, \cdots$ . Similarly,  $\hat{\phi}$  can also be predicted and corrected.

The wave maker is based on the solution of the linearised IGN-2 equations and this will be discussed in the next Section. For the cases studied here, two wave-absorbing regions are used: one near the wave-maker to prevent the reflected waves from interfering with the wave-maker, and the other one to absorb waves at the opposite end of the domain, see Zhao et al. (2014, 2015a) for more details.

# <sup>84</sup> 4. Solution of the linearised IGN-2 equations

To obtain the solution of the linearised IGN-2 equations, we use the one-dimensional (horizontal component) IGN equations and set the water depth to a constant h(x) = d. First, we linearize Eq. (3b) to obtain

$$\hat{\phi}_{,t} = -g\eta(x,t) \,. \tag{9}$$

We assume that the change of the wave surface elevation can be described by a cosine function:

$$\eta = A\cos(k(x - ct)), \tag{10}$$

where k is the wave number and c the wave speed. Then, from Eq. (9)

$$\hat{\phi} = \frac{Ag}{ck} \sin(k(x - ct)) \,. \tag{11}$$

We can also obtain the linearized form of Eq. (6). They are given as

$$-\hat{\phi}_{,x}(x,t) + \frac{\psi_1(x,t)}{d} + \frac{\psi_2(x,t)}{d} - \frac{1}{3}d\psi_1^{(2,0)}(x,t) - \frac{1}{5}d\psi_2^{(2,0)}(x,t) = 0, \qquad (12a)$$

$$-\hat{\phi}_{,x}(x,t) + \frac{\psi_1(x,t)}{d} + \frac{9\psi_2(x,t)}{5d} - \frac{1}{5}d\psi_1^{(2,0)}(x,t) - \frac{1}{7}d\psi_2^{(2,0)}(x,t) = 0.$$
(12b)

We assume that the coefficient  $\psi_1$  and  $\psi_2$  change as

$$\psi_1 = Q_1 \cos(k(x - ct)), \qquad (13a)$$

$$\psi_2 = Q_2 \cos(k(x - ct)). \tag{13b}$$

Substituting Eqs. (13) and (11) into Eq. (12) gives

$$-\frac{Ag}{c} + \frac{Q_1}{d} + \frac{1}{3}dk^2Q_1 + \frac{Q_2}{d} + \frac{1}{5}dk^2Q_2 = 0, \qquad (14a)$$

$$-\frac{Ag}{c} + \frac{Q_1}{d} + \frac{1}{5}dk^2Q_1 + \frac{9Q_2}{5d} + \frac{1}{7}dk^2Q_2 = 0.$$
 (14b)

Equations (14) can be written as

$$Q_1 = -\frac{15Adg(-14 + d^2k^2)}{2c(105 + 45d^2k^2 + d^4k^4)},$$
(15a)

$$Q_2 = \frac{35Ad^3gk^2}{2c(105 + 45d^2k^2 + d^4k^4)}.$$
(15b)

On the other hand, Eq. (3a) can be written as

$$\eta^{(0,1)}(x,t) + \psi_1^{(1,0)}(x,t) + \psi_2^{(1,0)}(x,t) = 0.$$
(16)

<sup>92</sup> Substituting Eqs. (15) and (13) into Eq. (16) gives

$$c^{2} = \frac{5(21dg + 2d^{3}gk^{2})}{105 + 45d^{2}k^{2} + d^{4}k^{4}}.$$
(17)

<sup>93</sup> The nondimensional form of  $c^2$  is

$$\bar{c}^2 = \frac{5(21+2\bar{k}^2)}{105+45\bar{k}^2+\bar{k}^4},\tag{18}$$

where the constant water depth d and gravitational acceleration g are used to obtain the nondimensional Eq. (18).

The Airy wave theory (or linear water wave theory) gives the linear dispersion relation (see for example Wiegel (1964))

$$\bar{c}_{Airy}^2 = \tanh(\bar{k})/\bar{k} \,. \tag{19}$$

In Fig. 1, it is shown that the relation between  $c/c_{Airy}$  and kh is predicted by the linearised IGN-2 equations. We observe that the IGN-2 equations give errors of less than 2% in the phase velocity from shallow-water depths up to kd = 4.87. We also note that the IGN-2 equations have no restriction on the wave amplitude. They can be used to simulate waves up to the breaking point.

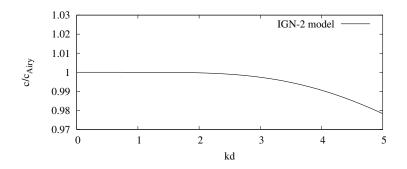


Figure 1: Linear dispersion relation of the IGN-2 model.

#### 103 5. Test cases

In this section, we will present results of the IGN-2 equations in three dimensions for three different cases. The results are compared with some existing laboratory experiments, and with the available theoretical and numerical solutions of the problems.

# 107 5.1. Wave evolution in a closed basin

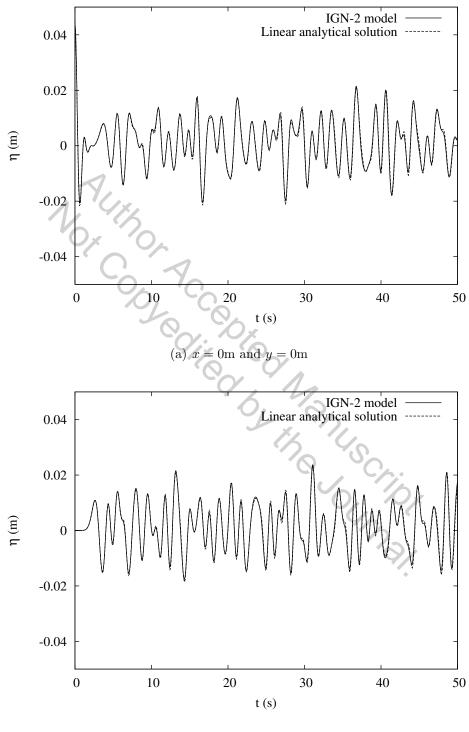
To study the accuracy of the three-dimensional IGN-2 equations and the numerical model used here, we first consider the problem of wave evolution in a closed basin with  $L_x = L_y =$ 7.5m, where  $L_x$  and  $L_y$  are the length and width of the basin, respectively.

The domain is extended between  $-L_x/2 \le x \le L_x/2$  and  $-L_y/2 \le y \le L_y/2$  with reflective vertical walls. The initial condition is a surface elevation of Gaussian shape  $\eta_0(x, y)$ above an otherwise constant water depth  $h_0 = 0.45m$ .  $\eta_0(x, y)$  is defined by

$$\eta_0(x,y) = H_0 \exp[-2(x^2 + y^2)], \qquad (20)$$

where  $H_0 = 0.1h_0 = 0.045m$  in this case. Grid size of  $\Delta x = \Delta y = 0.15m$  and time step size of  $\Delta t = 0.05s$  are used. The IGN-2 results are compared with the linear analytical solution of this problem (Wei and Kirby, 1995). The comparison on wave elevation at two points is shown in Fig. 2. These two points are: point (a) at x = 0m and y = 0m, i.e., the center of the computational domain, and point (b) at  $x = -L_x/2$  and  $y = -L_y/2$ , i.e., the corner.

<sup>119</sup> Due to the small initial wave amplitude,  $H_0 = 0.1h_0$ , the agreement between IGN-2 results <sup>120</sup> and the linear solution of the problem is very good. The initial elevation is symmetric about



(b)  $x = -L_x/2$  and  $y = -L_y/2$ 

Figure 2: Time histories of wave elevation at two points ((a) center and (b) corner of the basin).

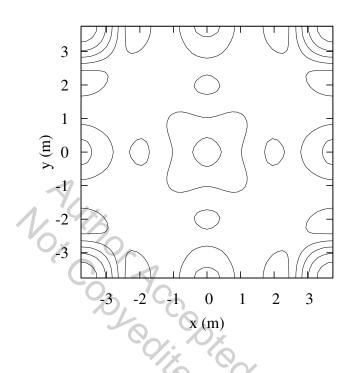


Figure 3: Surface contour of the IGN-2 model, illustrating rotational symmetry of evolving waves.

the center of the basin (x = 0m, y = 0m.) As a result, the surface elevation at anytime should be symmetric about the center. The contours of the free surface at t = 50s are calculated by the IGN-2 equations; they are shown in Fig. 3. We observe that the contours of wave evolution is symmetric about the center of the basin.

We also checked the mass conservation. Since no water can escape the numerical basin, the water volume should remain constant in our calculations, and it is indeed determined to be constant. In addition, the computational time of this case is within 1 minutes on Inter(R) Core(TM) i7-7700 CPU @ 3.60GHz processor.

### <sup>129</sup> 5.2. Wave transformation over a circular shoal (Chawla and Kirby, 1996)

<sup>130</sup> Chawla and Kirby (1996) conducted a series of physical experiments for wave transfor-<sup>131</sup> mation over a circular shoal. Their experiments consist of test cases of regular waves and <sup>132</sup> directional random waves, including breaking and nonbreaking waves. To study the com-

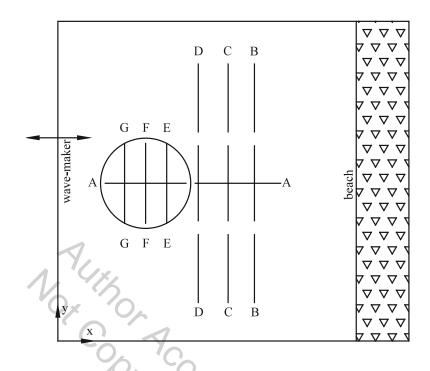


Figure 4: Experimental setup of wave transformation over a circular shoal of Chawla and Kirby (1996).

<sup>133</sup> bined wave refraction/diffraction in two horizontal dimensions, we present comparisons with
the nonbreaking monochromatic wave cases.

The dimensions of the physical wave tank used by Chawla and Kirby (1996) is  $0 \le x \le$ 20*m* and  $0 \le y \le 18.2m$ ; a circular shoal is placed on an otherwise flat bottom in the basin, as shown in Fig. 4. The center of the shoal is located at x = 5m and y = 8.98m. The perimeter of the shoal is defined by

$$(x-5)^2 + (y-8.98)^2 = (2.57)^2.$$
 (21)

<sup>139</sup> The water depth on the submerged shoal is given by

$$h = h_0 + 8.73 - \sqrt{82.81 - (x - 5)^2 - (y - 8.98)^2}, \qquad (22)$$

where  $h_0 = 0.45m$  is the constant water depth of the basin.

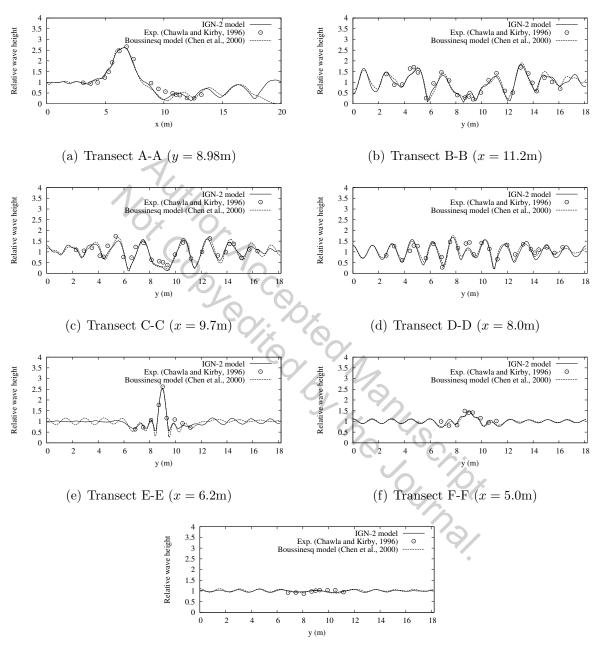
In our numerical calculations, we extend the domain to  $-2 \le x \le 33m$  to avoid reflections contaminate the interior results. We confine our attention to waves in the range of  $0 \le x \le$ 20m. Whereas  $-2 \le x \le 2m$  region is used to absorb the reflected waves by the shoal back to the wave-maker, and  $29 \le x \le 33m$  region is used to absorb the waves on the right end of the domain. At x = -2m, monochromatic waves are generated, and they propagate in the positive x direction over the circular shoal. The wave height of the incoming waves is  $H_0 = 1.18cm$ , and the wave period is T = 1.0s. At the wave maker, kh = 1.89, which is within the limits of the IGN-2 equations.

On the top of the circular shoal, the water depth is h = 8cm. We choose a uniform grid spacing of  $\Delta x = \Delta y = 0.1m$  in both the x and y directions. A time step of  $\Delta t =$ 0.0333s is used. The comparison of the relative wave height  $(H/H_0)$  between the IGN-2 equations and the fully nonlinear Boussinesq equations of Chen et al. (2000), and the laboratory measurements of Chawla and Kirby (1996) at different locations in the tank is shown in Fig. 5.

From Fig. 5, a close agreement between the IGN-2 results and the experimental data 155 of Chawla and Kirby (1996) is observed. In this case, the  $H/H_0$  ratio reaches the value of 156  $H/H_0 = 2.7$ , as seen in Fig. 5(a). The results for  $H/H_0$  from the Boussinesq equations 157 (Chen et al., 2000) go to zero at the end of tank, while IGN-2 results do not approach 158 zero. Note that the numerical wave tank in Chen et al. (2000) is 20m long and waves are 159 absorbed before x = 20m. In our calculations, however, the numerical tank is much longer 160 and the waves are not absorbed at x = 20m. The close agreement between the IGN-2 and 161 the Boussinesq equations (Chen et al., 2000) observed along the transects at x = 3.8m, 162 x = 5.0m, x = 6.2m, x = 8.0m, x = 9.7m and x = 11.2m (see Figs. 5(b)-5(g)) implies 163 that the combined refraction/diffraction effects are captured successfully by these equations. 164 The shoal center is located at the y = 8.98m (the width of the tank is 18.2m), which is 165 slightly closer to one of the side walls (y = 0m). Therefore, the distribution of wave height 166 in the y direction is not symmetric; this can be observed in Figs. 5(b)-5(g). In addition, the 167 computational time is less than 10 minutes. 168

#### <sup>169</sup> 5.3. Wave transformation over a semi-circular shoal (Whalin, 1971)

Whalin (1971) conducted a series of laboratory experiments on wave convergence over a bottom topography. The size of the tank is  $0m \le x \le 25.603m$  and  $0m \le y \le 6.096m$ . The



(g) Transect G-G (x = 3.8m)

Figure 5: Comparison of relative wave height calculated by the IGN-2 model with laboratory measurements of Chawla and Kirby (1996) and numerical results of Chen et al. (2000).

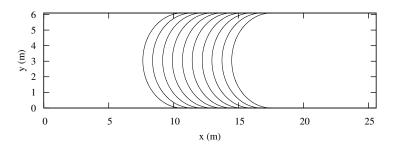


Figure 6: Setup of the wave tank of Whalin (1971).

bathymetry is shown in Fig. 6. The equations approximating the bathymetry are given as follows (Whalin, 1971):

$$h(x,y) = \begin{cases} 0.4572 & (x \le 10.67 - G) \\ 0.4572 + \frac{1}{25} (10.67 - G - x) & (10.67 - G \le x \le 18.28 - G) \\ 0.1524 & (x \ge 18.28 - G) \end{cases}$$
(23a)  
$$G(y) = \sqrt{y(6.096 - y)} \quad (0 \le y \le 6.096), \qquad (23b)$$

where x and y are measured in meter. A semi-circular shoal is used to connect the deep part of the basin with the shallow part.

Whalin (1971) conducted three sets of experiments by generating waves in the deeper part of the model with periods of 1s, 2s and 3s. This case is considered by many as a benchmark experiment for their numerical models. For example, Rygg (1988), Kennedy and Fenton (1996), Li and Fleming (1997), Eskilsson and Sherwin (2006), Engsig-Karup et al. (2008), Bingham et al. (2009), Young et al. (2009), and others, compared their numerical results with these experimental data.

Here, we use the results of Rygg (1988), Li and Fleming (1997) and Bingham et al. (2009) to perform a comparative study. Rygg (1988) tested the classical Boussinesq equations against the experimental data for nonlinear waves of periods 2s and 3s. Li and Fleming (1997) developed a three-dimensional multigrid model for fully nonlinear water waves. Bingham et al. (2009) tested the highly accurate Boussinesq-type model against some of the experimental data. The incoming wave parameters studied here are shown in Table 1.

				Fully nonlinear	Highly accurate
Case	T(s)	A(cm)	Boussinesq model	multigrid model	Boussinesq models
			$(\mathrm{Rygg},1988)$	(Li and Fleming,	(Bingham et al.,
				1997)	2009)
1	1	0.97		Fig. 10	
2	1	1.95	_	Fig. 11	Fig. 6
3	2	0.75	Fig. 5	Fig. 12	Fig. 7
4	2	1.06	Fig. 6	Fig. 13	
5	2	1.49	Fig. 7	Fig. 14	
6	3	0.68	Fig. 8	Fig. 15	Fig. 8
7	3	0.98	Fig. 9	Fig. 16	
8	3	1.46	Fig. 10	Fig. 17	

Table 1: Wave conditions of Whalin (1971) and numerical models of others

<sup>184</sup> Due to the symmetry along y = 3.048m, only half of the y region is considered in our <sup>185</sup> calculations. In all the numerical calculations, the spatial step is  $\Delta x = \Delta y = 0.1016m$  and <sup>186</sup> the time step is  $\Delta t = 0.025s$ . An FFT analysis of the time series was made for each grid at <sup>187</sup> the central line of the wave tank (y = 3.048m). The numerical results are compared with the <sup>188</sup> experimental data and presented in Figs. 7-14.

In Case 1 (T = 1.0s and a = 0.0097m), shown in Table 1, the IGN-2 results are close to the experimental data, see Fig. 7. As waves refract over the topography and focus along the centerline of the tank, a significant amount of energy is transferred into the higher-harmonic components. We also observe that the agreement of the IGN-2 results with the experimental data is better than the results of Li and Fleming (1997).

For Case 2 (T = 1.0s and a = 0.0195m), the IGN-2 results are also in good agreement with the experimental data, see Fig. 8. The highly accurate Boussinesq results (Bingham et al., 2009) agree very well with the IGN-2 results. The small differences between the IGN-2 results and the highly accurate Boussinesq results are mainly caused by the reflections from the right side of the Boussinesq calculations. In the IGN-2 calculations, the length of the tank is set long enough to avoid reflections. We also observe that both the IGN-2 results and the highly accurate Boussinesq results are in better agreement with the laboratory measurements than the fully nonlinear multigrid model results of Li and Fleming (1997).

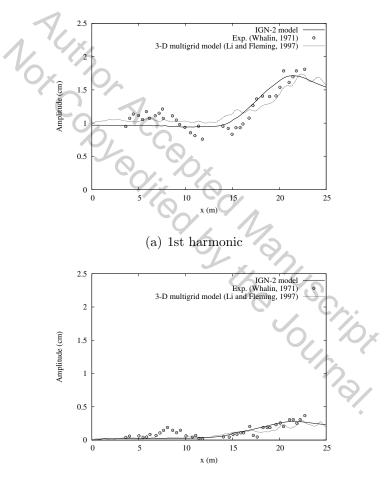
For the case of T = 2s, the IGN-2 results are shown in Figs. 9-11. We observe that 202 the IGN-2 results agree well with the experimental data. The solutions of the Boussinesq 203 equations (Rygg, 1988) and the fully nonlinear multigrid model (Li and Fleming, 1997) are 204 used for comparisons. For Cases 3-5, the fully nonlinear multigrid results (Li and Fleming, 205 1997) do not agree well with the experimental data. The results from Boussinesq equations 206 (Rygg, 1988) are better than the fully nonlinear multigrid model results (Li and Fleming, 207 1997). The results of the higher-harmonic amplitudes predicted by the Boussinesq equations 208 (Rygg, 1988) are lower than those of the IGN-2. 209

For Case 3, we compare the IGN-2 results with the highly accurate Boussinesq results (Bingham et al., 2009). Very good agreement is observed, and this indicates that the IGN-2 results here are more accurate than the Boussinesq equations of Rygg (1988) in this case. We also observe that when the wave amplitude increases, the second harmonic amplitudes increase significantly, see Figs. 9(b), 10(b), 11(b). Similarly, the third harmonic amplitudes increase. Keeping more harmonic components in the analysis seems to be more reasonable, and in our calculations we considered up to the fifth harmonics.

For the case of T = 3s, the IGN-2 results are shown in Figs. 12-14, and they agree 217 well with the experimental data. It is also observed that there are some differences between 218 the numerical results of all models and the experimental data. In the paper by Bingham 219 et al. (2009), they reproduced Case 6 and they also observed that there are some differences 220 between their highly accurate Boussinesq results and the experimental data. For the cases of 221 T = 3s, there is significant reflection from the right side during the experiments. The reflected 222 energy propagates back to the wave maker and possibly interfere with the wave generation in 223 the physical experiments. In our numerical calculation, we use two wave-absorbing regions 224 as mentioned at the end of Section 3. This may explain the larger differences seen for this 225

226 case.

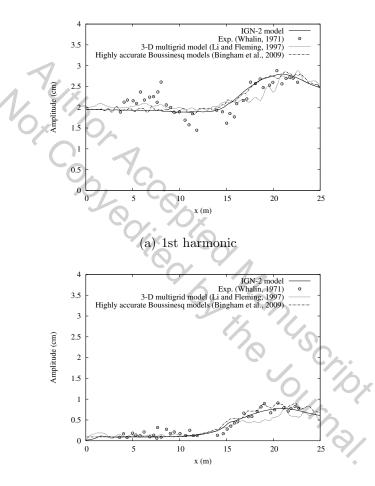
For Case 6, the results of highly accurate Boussinesq (Bingham et al., 2009) and the present
IGN-2 results are in good agreement. For Cases 6-8, the results from Boussinesq equations
of Rygg (1988) and the present IGN-2 results are in good agreement. The fully nonlinear
multigrid model results of Li and Fleming (1997) do not show good accuracy compared with
the other numerical results.In addition, the computational time of each case is less than 6 minutes.



(b) 2nd harmonic

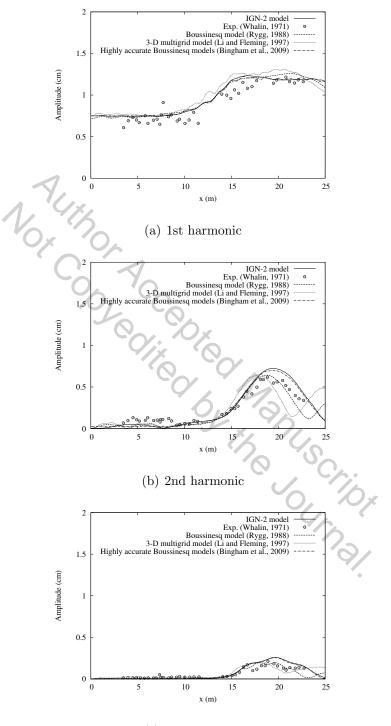
Figure 7: Wave amplitudes along the centerline of the wave tank for Case 1.

232



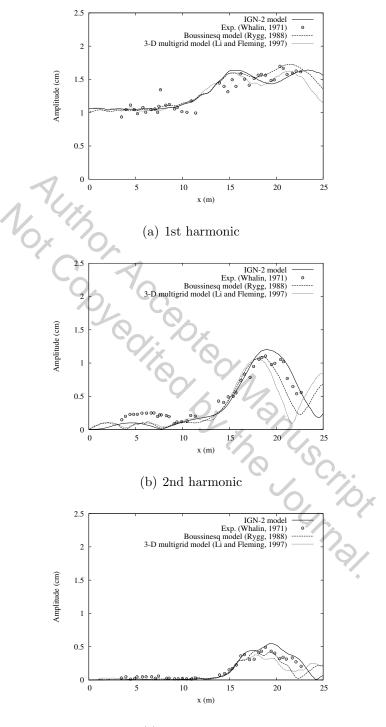
(b) 2nd harmonic

Figure 8: Wave amplitudes along the centerline of the wave tank for Case 2.



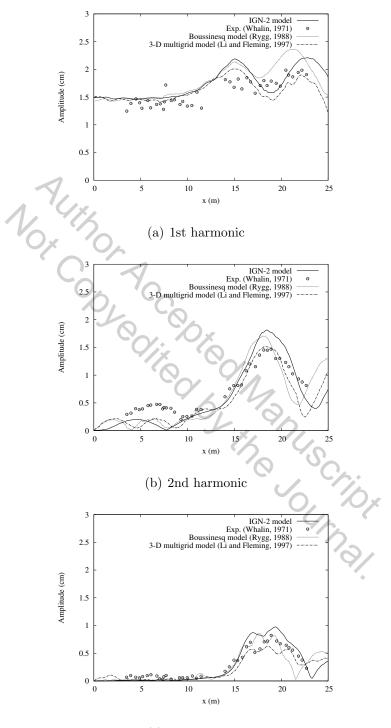
(c) 3rd harmonic

Figure 9: Wave amplitudes along the centerline of the wave tank for Case 3.



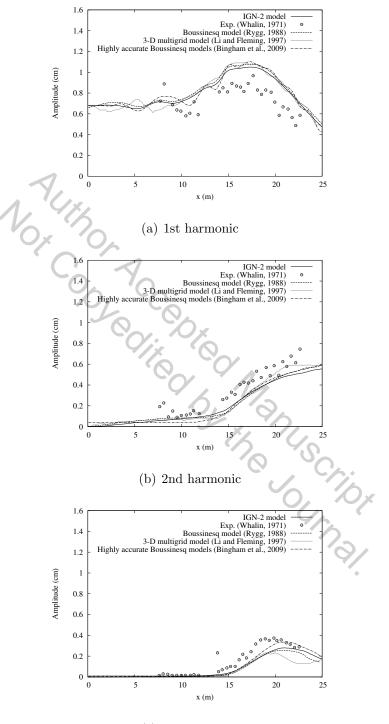
(c) 3rd harmonic

Figure 10: Wave amplitudes along the centerline of the wave tank for Case 4.



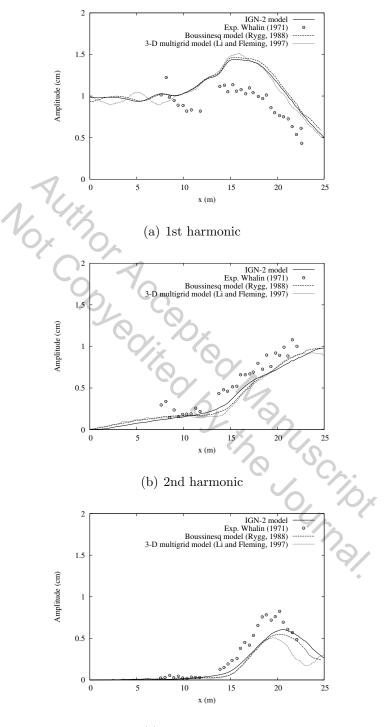
(c) 3rd harmonic

Figure 11: Wave amplitudes along the centerline of the wave tank for Case 5.



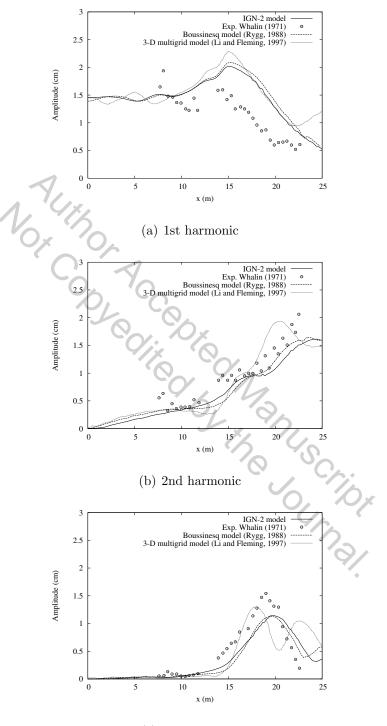
(c) 3rd harmonic

Figure 12: Wave amplitudes along the centerline of the wave tank for Case 6.



(c) 3rd harmonic

Figure 13: Wave amplitudes along the centerline of the wave tank for Case 7.



(c) 3rd harmonic

Figure 14: Wave amplitudes along the centerline of the wave tank for Case 8.

### 233 6. Conclusions

A numerical model to solve the three-dimensional IGN-2 equations are introduced and 234 applied to some wave diffraction and refraction problems. The solution of the IGN-2 equations 235 are also provided. Here, we present three test cases to study the accuracy of the IGN-2 236 equations. The first case is on wave evolution in a closed basin. The IGN-2 results show 237 good agreement with the linear analytical solution for small wave heights. In the second 238 test case, we numerically recreated the experiments of Chawla and Kirby (1996) on wave 239 diffraction due to a three-dimensional circular shoal. A close agreement between the IGN-240 2 equations, the laboratory data (Chawla and Kirby, 1996) and the Boussinesq equations 241 (Chen et al., 2000) is observed. 242

In the last test case, we reproduce the experiments of Whalin (1971) numerically. Whalin 243 (1971) conducted three sets of experiments by generating waves with periods of 1s, 2s and 244 3s, and also with different amplitudes, see Table 1. In all these cases, the fully nonlinear 245 multigrid model (Li and Fleming, 1997) does not produce accurate results but the IGN-2 246 results agree well with the highly accurate Boussinesq results (Bingham et al., 2009) and the 247 experimental data. It is shown that the IGN-2 results are very accurate for different wave 248 lengths and wave amplitudes. For cases when T = 2s, the Boussinesq equations (Rygg, 1988) 249 underpredict the results compared with the IGN-2 results and the highly accurate Boussinesq 250 results (Bingham et al., 2009). Only for cases with T = 3s, the Boussinesq equations (Rygg, 251 1988) provide close results with the IGN-2. This is not surprising because the Boussinesq 252 equations of Rygg (1988) assume weak dispersion. The strongly nonlinear IGN-2 equations 253 give errors of less than 2% in phase velocity from shallow-water depths up to kd = 4.87. The 254 IGN-2 equations do not have a restriction on the wave amplitude; they can simulate waves 255 up to breaking. 256

It is concluded that for many coastal engineering problems, the IGN-2 equations are more suitable than a number of other perturbation-based methods because of the higher accuracy and simplicity of the theory.

#### Acknowledgement 260

The work is supported by the National Natural Science Foundation of China (Nos. 11572093, 261 11772099, 51490671), International Science and Technology Cooperation Project sponsored 262 by National Ministry of Science and Technology of China (No. 2012DFA70420), the Special 263 Fund for Basic Scientific Research of Central Colleges (Harbin Engineering University), the 264 Heilongjiang Touyan Innovation team Program. 265

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