# **TITLE PAGE**

# Nanotechnology and immunotherapy in ovarian cancer: tracing new landscapes

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### List of non-standard abbreviations:

APC: antigen presenting cells

CAR-T: chimeric antigen receptor engineered T cells

CTA: cancer testis antigen

CTLA-4: cytotoxic T-lymphocyte antigen 4

DC: dendritic cells

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DMAEMA: 2-(dimethylamino)ethyl methacrylate

EOC: epithelial ovarian cancer

Ep-CAM: epithelial cell adhesion molecule

FA: folic acid

FGF-b: fibroblastic growth factor beta

ICAM: intercellular cell adhesion molecule

IFN-g: interferon-gamma

IL: interleukin

LPN: lipid-polymer nanoparticles

MAGE: melanoma antigen-encoding gene

MHC: major histocompatibility complex

MIF: macrophage inhibitory factor

Mn: mannose ligand

NKT: natural killer T cells

NP: nanoparticles

OC: ovarian cancer

PARP: poly(adenosine diphosphate [ADP]-ribose) polymerases

PD-1: programmed death-ligand 1

PEG: polyethylene glycol

PEI: polyethylenimine

PLGA: poly(lactic-co-glycolic acid)

PyMT: polyoma middle T

TAM: tumor-associated macrophages

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TIL: tumor infiltrating lymphocytes

TLR: toll-like receptor

Treg: T regulatory cells

UPR: unfolded protein response

VCAM: vascular cell adhesion molecule

VEGF: vascular endothelial growth factor

WTA: whole tumor antigens

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# **Abstract**

Ovarian cancer (OC) is the seventh most common cancer in women worldwide. Standard therapeutic treatments involve debulking surgery combined with platinum-based chemotherapies. Of the patients with advanced stage cancer that initially respond to current treatments 50%-75% relapse. Immunotherapy-based approaches aimed at boosting anti-tumor immunity have recently emerged as promising tools to challenge tumor progression. Treatments with inhibitors of immune checkpoint molecules have shown impressive results in other types of tumors. However, only 15% of checkpoint inhibitors evaluated have proven successful in OC due to the immunosuppressive environment of the tumor and the transport barriers. This limits the efficacy of the existing immunotherapies. Nanotechnology-based delivery systems hold the potential to overcome such limitations. Various nanoformulations including polymeric, liposomes, and lipid-polymer hybrid nanoparticles have already been proposed to improve the biodistribution and targeting-capabilities of drugs against tumor-associated immune cells, including dendritic cells and macrophages. In this review, we examine the impact of immuno-therapeutic approaches that are currently under consideration for the treatment of OC. In this review we also provide a comprehensive analysis of the existing nanoparticle-based synthetic strategies, their limitations and advantages over standard treatments. Furthermore, we discuss how the strength of the combination of nanotechnology with immunotherapy may help to, overcome the current therapeutic limitations associated with their individual application and unravel a new paradigm in the treatment of this malignancy.

# 1. Introduction

Ovarian cancer (OC) ranks as the seventh leading cause of death in women worldwide. According to the American Cancer Society 14,070 deaths and approximately 22,240 new cases are predicted for 2018 in the United States (Siegel, 2018). Of the patients with advanced stage cancer that initially respond to current treatments 50%-75% relapse. The asymptomatic nature of early stage ovarian cancer is the main reason for its late diagnosis, which normally occurs at a metastatic stage, drastically reducing the chances of a successful outcome of the treatment (Das, Bast, & Jr, 2008; Rauh-Hain et al., 2011). Despite the continuous improvement in screening methods, OC-associated mortality rates remain high due to the absence of routine early detection approaches. The lack of specificity of the available tests and the limitations associated to the application of imaging techniques further complicate the diagnostic process (Russell et al., 2017; Sarojini et al., 2012; Terry et al., 2016). OC comprises five histological subtypes: low-high grade serous, mucinous, clear cells, and endometrioid cancer. Serous OC represents the most common carcinoma and accounts for more than 50% of all cases. It is associated with specific genetic mutations (i.e. BRCA1, BRCA2, MMR, TP53, BARD1, CHEK2, RAD51, and PALB2) spanning from single nucleotides polymorphisms to high frequency of somatic gene copies or epigenetic features, indicative of defects in homologous recombination repair and gene methylations (Ducie et al., 2017; Kaldawy et al., 2016). These subtypes metastatize to the same area, within the peritoneal cavity.

Currently, the treatment of OC includes debulking surgeries, which are meant to excise tumor masses, coupled with extensive chemotherapy, radiotherapy or a combination of the three depending on the stage and type of the cancer. Recommended first line treatments for OC are platinum-based and taxols drugs (<a href="www.nccn.org/guidelines">www.nccn.org/guidelines</a>). In some cases, after genetic screening, patients may be eligible for monoclonal antibodies therapies such as Bevacizumab, which blocks tumoral angiogenesis by inhibiting the vascular endothelial growth factor (VEGF) signaling. Other approaches include using Olaparib, Rucaparib, and Niraparib, known as inhibitors of the poly(adenosine diphosphate [ADP]-ribose) polymerases (PARPs) and involved in DNA repair. The use of the latter treatments has been specifically recommended for patients with BRCA genes' mutations (Coward et al., 2015). Table 1 explains the current therapies available for OC including standard and targeted chemotherapies. The state-of-the-art nanotherapies currently being use or tested in clinical trials are also mentioned.

The 5-year survival rate for women with advanced stage OC is approximately 40% (Timmermans et al., 2018; Torre et al., 2018) but increases if the ovarian tumor has more infiltrating T cells (L. Zhang et al., 2003). The lack of a curative therapeutic regime, the frequency of relapse, and the mortality levels underlie the effort needed to refine the current treatment options and improve patient outcomes. The diversity of physiopathology (Nezhat et al., 2015) between OC types and the heterogeneity of cells infiltrating the peritoneum calls for the identification of effective approaches to maintain the bioactivity of the payload, precisely aim the target, and preferentially accumulate the drug at the site of interest while reducing cytotoxicity.

Nanomedicines are frequently employed as engineered drug delivery systems that support the prolonged circulation of drugs, maintain their bioactivity, reduce their side effects, and selectively target diseased cells (Blanco et al., 2015). Targeted nanomedicines include liposomal nanocarriers (siRNA-EphA2, OSI-211, Myocet) (Eitan

et al., 2014; Seiden et al., 2004; H. Shen et al., 2013), polymeric nanoparticles (abraxane, CRLX101) (Pham et al., 2015; Srinivasan et al., 2014), and antibody-drug conjugates (Howard, Garcia-parra, et al., 2016). Nanotechnology-based strategies for diagnostic tools have been also developed to detect biomarkers and genetic mutations (Engelberth et al., 2014), as well as to combine nano-enabled therapeutic and diagnostic capabilities, giving rise to "nano-theranostics" (Yaari et al., 2016).

In this review we discuss the potential of cancer immunotherapy, a recently developed field that aims at treating cancer patients by re-stimulating their immune system. Particular emphasis will be given to its applications and pitfalls in OC. We also review how a nanomedicine approach to immunotherapy may overcome the current therapeutic limitations of the treatment of OC and unravel a new paradigm in the cure of this malignancy.

# 2. Immunotherapy and cancer

Cancer immunotherapy aims at stimulating the immune system in order to provide cancer prevention and treatment. The first discoveries of the crucial role played by the immune regulation in cancer progression have recently led to the 2018 Nobel Prize for Medicine and Physiology to Drs. James P. Allison and Tasuku Honjo (<a href="www.nobelprize.org">www.nobelprize.org</a>). Their studies unraveled fundamental mechanisms that govern immune cell (specifically T cells) responses to cancer and provided insights to overcome immune system evasion by cancer. Since then, the use of immune checkpoint blockade has been widely recognized as an effective cancer treatment. In particular, Dr. Allison and his research group have been the first to identify the Cytotoxic T-Lymphocyte Antigen 4 (CTLA-4) protein, an

immune checkpoint receptor expressed on the surface of activated T cells, believed to regulate their proliferation. When the CTLA-4 pathway is activated by co-stimulatory molecules (CD80, CD86) the result is hindrance of T-cell function, which inhibits the Tcell strong anti-cancer potential (Leach et al., 1996). Based on these observations, a specific antibody was developed to retain CTLA-4 activation and maintain T-cells in an activated status (Chambers et al., 1996). Almost simultaneously, in 1992 Honjo's group discovered Programmed Death-ligand 1 (PD-1) which also acts as a T-cell retainer, finding an alternative way to defeat the tumor-mediated immune evasion. The insights provided by such inspiring scientists have led to many FDA-approved drugs for the treatment of various cancers. These drugs span from sipuleucel-T, approved in 2010 to target the immune system for the treatment of prostate cancer (Cheever & Higano, 2011), to ipilimumab, the first monoclonal antibody against CTLA-4 for metastatic melanoma (Lipson & Drake, 2011). By 2018, eight immunotherapies have been FDA-approved for the treatment of several cancers (Table 2), including durvalumab (stage 3 lung cancer), blinatumomab (acute lymphoblastic leukemia), and nivolumab (used in combination with ipilimumab for previously untreated kidney cancers) (https://www.cancer.gov/fdaapprovals).

Immunotherapeutic approaches include the use of targeted antibodies and vaccines against immune checkpoint inhibitors directed towards a specific immune cell population (Ventola, 2017). For instance, due to their antigen presenting capabilities, dendritic cells (DC) have been used to develop immune vaccines (Sabado et al., 2017). Depending on the molecules employed to activate them, DC are able to re-program or launch a cell-specific cytotoxic response. Conversely, tumor-associated macrophages (TAMs) have

been shown to exert different roles in tumor microenvironment development and flourishing (Mills et al., 2016). Approaches that target this macrophage population are currently being evaluated, especially since the discovery that the blockade of TAMs potentiates the immune checkpoint inhibitors' effect (Ries et al., 2014; Y. Zhu et al., 2014). Adoptive T cell therapy to re-engineer the T-cell populations against tumor initiation is another strategy that has been widely validated (Dzhandzhugazyan et al., 2018). The Chimeric Antigen Receptor re-engineered T cells (CAR-T) system has been recently approved by the FDA for the treatment of patients with leukemia, large B-cell and non-Hodgkin lymphomas (Zheng et al., 2018). Other focuses involve the use of a different immune cell population, the Natural Killer T cells (NKT). NKT cells naturally stimulate the innate and adaptive immune system in several ways, such as the release of interferongamma (IFN-γ) to activate the CD8+ T cell population (Mah & Cooper, 2016). They are being investigated as potential immunotherapies both as ex-vivo expanded cell vaccines and as combinatorial therapies (Nair & Dhodapkar, 2017).

# 3. Ovarian cancer: a "cold" enemy

The characterization of the topographic distribution of immune cells within the tumour in a panel of 177 human samples with different cancer types has recently led to their categorization in inflamed ("hot"), non-inflamed ("cold") and "immune excluded" patterns according to where the cells are positioned (Kather et al., 2018). Cold tumors are malignancies that display a very limited response to immunotherapies compared to other cancer types. OC is considered a "cold" tumor (Preston et al., 2011) despite the significant association between tumor immunity and ovarian patient outcomes and the strong

correlation between the presence of infiltrating lymphocytes in the primary tumor with patient survival (L. Zhang et al., 2003). The reasons behind this lack of effectiveness has yet to be clarified. A possible explanation, proposed for pancreatic cancer, suggests that the difference between hot and cold tumors reflects the way tumor infiltrating immune cells are recognized by cancer cells or engage in the tumor. If such, the properties of the microenvironment make a tumor hot or cold. Hot tumors are more sensitive to treatments that activate the T cell population, as they are considered to be the main drivers of the adaptive immune response, against tumor initiation (Haanen, 2017).

The tumor microenvironment is a complex hub where different cell types interact with each other and with the extracellular matrix and it is plausible that other cells, including antigen presenting cells (APC), play an active role in downregulating the immune system. APC, including the aforementioned DC, are highly responsive to external stimuli and the tumor surroundings can negatively affect their physiological behavior. Indeed, it has been shown that endoplasmic reticulum stress is also crucial for triggering cancer resistance mechanisms by activating the unfolded protein response, which in turn disrupts the physiological immune response (Yadav et al., 2014). Specifically, through the constitutive activation of the endoplasmic reticulum stress response factor XBP1, DC undergo an abnormal lipid accumulation that leads to their ineffective functioning (Cubillos-Ruiz et al., 2015). While low infiltration of immune cells both inside and outside the tumor is found in OC samples, the co-existence of different immune-microenvironments within the same patient partly explains the heterogeneity in the response to treatment often observed in patients with recurrent disease (Jiménez-Sánchez et al., 2017).

Currently there are no FDA-approved immunotherapies for OC, although there are several ongoing clinical trials. Of the 98 total clinical trials, 26 have been completed, 40 are actively recruiting patients, and 9 have been terminated before their planned end due to the inefficacy determined by the limitations described in the previous paragraphs (<a href="https://clinicaltrials.gov">https://clinicaltrials.gov</a>). In 2016, Gaillard et al. reported a comprehensive analysis of all clinical trials on checkpoint inhibitors, and discovered that, on average, the efficacy of these treatments is surprisingly poor on OC patients (Gaillard et al., 2016). The positive outcome was found to be around 10 to 15%. A schematic representation of the immunotherapy-based approaches used in OC and the interactions between different immune players and tumor cells is provided in **Figure 1**.

### 3.1 Monoclonal Antibodies in OC

In the attempt to enhance treatments for OC, a number of targets involved in tumor progression and immune suppression have been used to develop monoclonal antibodies capable of inhibiting their functions. Catumaxomab is a monoclonal antibody directed against the epithelial cell adhesion molecule (EpCAM), a glycoprotein highly expressed in OC (Tayama et al., 2017). This antibody is currently being evaluated in a phase II clinical study on patients resistant to chemotherapy (J. S. Berek et al., 2014). Following the identification of the Cancer Antigen 125 (CA125), which is the most renowned OC marker (Bast et al., 1981), its role as a suppressant of both natural killer cell activity (Patankar et al., 2005; Tyler et al., 2012) and antibody-dependent cellular toxicity (Kline et al., 2017) has been widely investigated. Several anti-CA125 monoclonal antibodies have been developed and tested, including oregovomab (J. Berek et al., 2009) and abagovomab (Sabbatini et al., 2013), although they did not prove to be effective in

improving the outcomes in advanced OC when used as a single-agent maintenance immunotherapy. Anti-CD25, daclizumab, has been clinically tested for its capacity to suppress the T regulatory (Treg) cell populations, responsible for shorter patient survival rates when infiltrated within the tumor (Barnett et al., 2010). While the trial has been completed, the results have not been released yet. The translational potential of anti-CD25-based platforms is limited by their non-specific binding as CD25 is widely expressed on T-cells populations.

### 3.2 Dendritic cell vaccines in OC

Dendritic cells have a pivotal role in launching the immune response due to their capacity of activating CD4+ or CD8+ T-cells (Sallusto & Lanzavecchia, 2002). Their role in the tumor microenvironment is the subject of an active contemporary research (Pfirschke et al., 2017). As plastic APC, DC are currently harnessed for their potential to boost the immune system against tumor initiation and progression. Scarlett et al. applied an inducible p53-dependent model of aggressive ovarian carcinoma to demonstrate that DC display differential immunostimulatory capacity during tumor initiation and escape (Scarlett et al., 2012). These changes correspond to significantly lower levels of MHC-II and CD40 on their surface. DC are tunable cells, capable of inducing either an immune surveillance effect or to release malignant growth, by activating or suppressing anti-tumor T-cells activity, respectively. DC-based vaccines have also been conceived in the context of OC, by ex vivo pulsing DC with tumor-derived components, as single tumor-associated peptides or peptide combinations (Liao & Disis, 2013). Cancer testis antigens (CTA) that are typically expressed in multiple types of tumors have also gained interest for their potential applicability in immunotherapy (Gjerstorff et al., 2015; Seifi-Alan et al., 2018).

NY-ESO-1, a member of the CTA family, has been used to produce either DC-based vaccines (NCT number NCT02387125) or adoptive T-cell therapies (NCT number NCT01567891). Similar immunotherapeutic approaches are being developed using melanoma antigens (i.e MAGE-A1, MAGE-A4, MAGE-A3, and MAGE-A10) that represent another subgroup of the CTA category (Daudi et al., 2014). Zitvogel et al. are among the first researchers to use tumor antigen-pulsed DC to treat mice with fibrosarcoma (Zitvogel et al., 1996). They also demonstrated that patient-derived DC pulsed with a cocktail of tumor antigens (whole tumor antigen; WTA) can trigger a tumor growth suppression through the activation of CD4+ and CD8+ T-cell when reintroduced into the patient. In their study, the activation of T-cells correlates with a better prognosis in patients with recurrent ovarian, fallopian tube, or primary peritoneal cancer (Kandalaft et al., 2013; Tanyi et al., 2018). Recently, a pilot study employing autologous WTA-pulsed DC-based vaccine demonstrated to be safe and effective in combination with cyclophosphamide and bevacizumab (Tanyi et al., 2018). By priming DC with patientderived WTA, Tanyi et al. were able to overcome two of the limitations associated with the use of immunotherapy for the treatment of OC, namely the lack of an efficient antigenspecific active treatment and the inability of tumor-specific T cells to home to tumors.

# 3.3 Adoptive cell therapy in OC

Adoptive cell therapies show potential for the treatment of OC. For example, it is shown that tumor-infiltrating lymphocytes (TILs) derived from OC biopsies-derived cells can be expanded ex vivo and be re-activated to produce anti-tumor cytokines (Owens et al., 2018). Similarly, the abundance of TILs in patients' ascitic fluid has prompted their evaluation as re-injectable immunotherapies after their demonstrated cytotoxic effect on

tumor cells (Abe et al., 2018). CAR-T-based therapy produced by combining programmable antigen receptor specificity with T-cell activation also holds an attractive opportunity for the treatment of OC (Dzhandzhugazyan et al., 2018). The lack of a demonstrable efficacy of this approach is mainly due to the poor T-cell trafficking and the immunosuppressive microenvironment (Jindal et al., 2018; Mirzaei et al., 2017; B.-L. Zhang et al., 2016). Despite the potential pitfalls of this approach, clinical trials evaluating its efficacy on OC are currently active and specifically target mesothelin (NCT 02580747, 01583686), MUC16 (NCT 02498912), HER2 (NCT 01935843), NY-ESO-1 (NCT 02366546) among all (X. Zhu et al., 2017).

# 4. Nanomedicines and Immunotherapy in Ovarian Cancer

Synthetic and natural nanotechnologies are currently being investigated to deliver immunotherapies, as they have the potential to improve patient treatment outcomes and reduce the mortality rates (H. Shen et al., 2017). This includes the use of nanoparticles for the delivery of immunostimulatory and immunosuppressive molecules in combination with chemo- or radiotherapy or as adjuvants to other immunotherapies (Sapiezynski et al., 2016). Nanoparticles have also been designed to produce vaccines to stimulate T cell response against tumor growth (Fan & Moon, 2015), allowing for the co-delivery of antigen and adjuvants (A. Dunkle et al., 2013), contributing to the inclusion of multiple antigens to activate DC targets (Xia et al., 2015), and guaranteeing the sustained release of antigens for a prolonged immune stimulation (Engelberth et al., 2014).

Literature reports only few examples of pre-clinical studies investigating the potential of nanotechnology-based platforms to improve the outcome of immunotherapeutic regimens

in OC. These include polymeric nanoparticles (Cubillos-Ruiz et al., 2009; Hanlon et al., 2011; Ortega et al., 2015; Teo et al., 2015), liposomes (Rajan et al., 2018; Turk, Waters, & Low, 2004), and lipid-polymer hybrids (Anwer et al., 2013).

Nanoplatforms for OC have been synthesized primarily to guide the delivery of RNA oligonucleotides to target cells, thus overcoming the current limitations related to the use of RNA therapeutics. Limitations include the low bioavailability, poor cellular uptake, cytotoxicity and the need to evade the phagocytic cellular components of the immune system (Kole et al., 2012). Polymeric nanostructures have been developed to provide additional control over drug release at tumor sites as they offer the advantage of being able to respond to specific stimuli provided by the tumor environment, such as pH and enzymatic activity (Uthaman, Huh, & Park, 2018). Among the many polymers available, polyethylenimine (PEI) is one of the most employed materials in OC treatment as it is considered a versatile gene carrier (Teo et al., 2013). PEI displays high efficacy for siRNA encapsulation and delivery, for both in vitro and in vivo purposes. Its cationic charge enables the loading of siRNA into nanocomplexes and protects it from enzymatic degradation (Höbel & Aigner, 2013; M. Zheng et al., 2011). The abundant presence of amine groups allows for the functionalization of the platform and favors further modifications of this polymer to improve the bioactive features, such as its targeting ability and cell specificity. Cubillo et al. have investigated PEI-siRNA nanoparticles uptake by tumor-associated DC and its effect in reprogramming their phenotype from immunosuppressive cells to efficient APC. The authors found that the changes induced in DC through the use of PEI-siRNA against immunosuppressive determinants consequently activated tumor-reactive human and murine lymphocytes and exerted a

direct tumoricidal activity in aggressive ovarian carcinoma-bearing murine models (Cubillos-Ruiz et al., 2009). The induced T cell-mediated tumor regression and prolonged survival were dependent upon the activation of the myeloid differentiation primary response gene 88 (MyD88). PEI alone was sufficient to mediate the upregulation of MHC-II, MHC-I and co-stimulatory molecules in tumor DC in vivo. This suggests that the intrinsic stimulation of the Toll Like receptors (TLR) 5 and 7 by PEI nanoparticles synergizes with the gene-specific silencing activity of the siRNA to transform tumor-infiltrating regulatory DC into cells capable of promoting therapeutic antitumor immunity. Cubillos at al. further optimized the platform to achieve the synthetic enhancement of the specific molecular pathway miR-155 signaling in DC. This pathway is responsible for boosting a potent antitumor immune response that abrogates the progression of established ovarian cancers (Cubillos-Ruiz et al., 2012). Other researchers have taken advantages of polymeric nanoparticles' capability to be functionalized, thus improving targeting and, consequently, the therapeutic outcome. By applying a different immunotherapy-based approach Teo PY et al. proposed various folic acid (FA)-functionalized PEI polymers to block PD-1/PD-L1 interactions by delivering PD-L1 siRNA to human epithelial ovarian cancer (EOC) cells (SKOV-3 line), and to sensitize them against T cells (Teo et al., 2015). With their hypothesis to target PD-L1, the authors responded to the need for a specific targeted delivery of PD-L1 siRNA to epithelial cancer tissues, as PD-L1 is also expressed on healthy tissues (Liang et al., 2003), including placenta and eyes. The polymer/siRNA nanocomplexes knocked-down PD-L1 on a luciferase expressing SKOV-3, enhancing the efficacy of T cell immunotherapy for the treatment of EOC compared to the respective PEI-FA and PEI-PEG-FA/scrambled siRNA treated controls. These data highlight the

potential use of PEI-FA as specific gene delivery carriers. The modification of PEI with FA or PEG-FA proved to be a valuable tool to reduce cytotoxicity while improving tumor cell targeting towards EOC cells and uptake, with a striking ≈40%–50% knockdown of PD-L1 expression. Ortega et al. have used click chemistry to produce nanoparticles based on 2-(dimethylamino)ethyl methacrylate (DMAEMA) polymer further functionalized with the mannose ligand (MnNP). This platform was meant to condense siRNA against the polyoma middle T (PyMT) oncogene and specifically target the mannose receptor (CD206) present on the surface of TAM (Ortega et al., 2015). MnNP has been demonstrated to be biocompatible both in in vitro and in vivo settings. MnNP is also able to efficiently incorporate and deliver functional siRNA into the cytoplasm of TAM. This study provides evidence that mannosylation is responsible for TAM selectivity in vivo following intraperitoneal injection with a 2-fold increase in TAM uptake compared to nontargeted particles and about 10-fold increase compared to non-myeloid cells. In this study, the spatial confinement of the MnNP within the peritoneal cavity enhances the opportunity for the interaction with immune cells associated to OC, and the biodegradability of the system ensures the persistence of the treatment for over 24 hours.

Poly(lactic-co-glycolic acid) nanoparticles (PLGA-NP) are biodegradable and their composition can be tuned to temporally control the release of the payload (Corradetti et al., 2012; Minardi et al., 2016). PLGA-NP have been employed as an alternative route to deliver whole WTA to DC since the injection of soluble antigens presents inherent limitations due to instability and poor internalization rates. These factors result in the transient and inefficient activation of T-cells (Hanlon et al., 2011). At the same time, PLGA-NPs protect antigens from enzymatic degradation and maintain their bioactivity,

leading to a more efficient presentation of MHC-peptide complexes by recipient cells following uptake and processing. In vitro studies confirmed the effectiveness of PLGA-NPs in the activation of a CD8+ cell response, characterized by a significant increase in the production of inflammatory cytokines, a greater expression of co-stimulatory molecules, and providing encouraging evidence for their potential clinical translation. Interestingly, the delivery of WTA through PLGA-NP appeared to facilitate the antigens access to the MHC class I compartment in the cytoplasm, providing a reservoir for a prolonged and enhanced Ag presentation.

Liposomes are small artificial spherical vesicles synthesized primarily from natural non-toxic phospholipids (Akbarzadeh et al., 2013). Their wide application as drug-delivery systems in biomedical settings is due to their biocompatibility, biodegradability, low toxicity, and capability to load both hydrophobic and hydrophilic drugs (Johnston et al., 2007). Moreover, liposomal encapsulation offers the advantage to effectively enhance the solubility of lipophilic and amphiphilic drugs, and to improve site-specific drug delivery to tumor tissues through surface functionalization (Corradetti et al., 2012; Hofheinz et al., 2005). The latter aspect is crucial to increase the retention time which can be modulated by drug-lipid interactions, and permit the accumulation of liposome-encapsulated chemotherapeutic agents at the tumor site (Deshpande et al., 2013).

Doxil is the first pegylated liposome-based drug to enter the market in 1995. The nanoformulation includes doxorubicin, a DNA intercalating agent used against a variety of cancers, including gynecological cancers (Howard, et al., 2016). While no significant differences were observed in terms of efficacy compared to the free drug, the liposomal formulation allowed to reduce cardiotoxicities related to the use of doxorubicin and to

preferentially accumulate the drug at the tumor site (Green & Rose, 2006). More recently, the FDA approved the use of RNAi-therapeutic delivered by lipid nanoparticles, the patisiran (D. Adams et al., 2018). While developed for the treatment of degenerative diseases, Patisiran shows promise as a new breakthrough in patient care as it heralds the arrival of an entirely new class of medicines to treat human diseases. Despite the wide interest in the use of liposomal formulations for OC treatment, however, only one group has tested liposomes as nanocarriers for immunotherapy. Turk et al. developed folate-conjugated liposomes to target intraperitoneal ovarian carcinoma cells as they overexpress the folate receptor (Turk et al., 2004). Data revealed that this formulation was also uptaken by TAM through a folate receptor-mediated internalization, with a 10-fold increase in the engulfment of macrophages compared to ascitic tumor cells *in vivo*, corroborating the need to develop combinatorial strategies aiming at modulating TAM and inhibiting cancer cells growth.

Lipid-polymer hybrid nanoparticles (LPN) are core-shell nanoparticle structures constituted by a polymeric core and a lipid shell. LPN have been considered by other researchers to confer a high degree of physical stability to the platform, resulting in a superior *in vivo* cellular delivery efficacy (Hadinoto et al., 2013) compared to polymeric and liposomal nanoparticles. The combination of the two LPN platforms formulated with a lipopolymer PEG-PEI-Cholesterol were employed as an effective tool to deliver an interleukin 12 (IL-12) plasmid at the tumor site. IL-12 was chosen for the therapeutic action it plays in OC, which relies on its potential to activate the anti-tumor immunity

(Whitworth & Alvarez, 2011). This approach proved to be safe and effective in platinumsensitive OC patients treated with IV carboplatin and docetaxel (Anwer et al., 2013).

# 5. Physical and biological barriers challenging the treatment of OC

Innovative immunotherapeutic targeted strategies mediated by nanotechnology offer the promise of enhancing host anti-tumor responses which may improve clinical outcomes in women with OC. Although preclinical studies have demonstrated the induction of an antitumor response, there is no clinically effective nanomedicine-based immunotherapy available for OC patients. The biological barriers that physically and mechanically influence the processes involved in tumor spread and immune cell infiltration must be considered when developing new strategies for the treatment of OC. As mentioned above, one of the main mechanisms by which OC cells spread is through transcoelomic metastasis, which involves dissemination throughout the peritoneal cavity (Tan, Agarwal, & Kaye, 2006). Ascites formation is determined by the accumulation of cancer cells, growth factors and immunosuppressive ligands (VEGF and fibroblastic growth factor beta (FGF-β)), which increase peritoneal capillary permeability (Ahmed & Stenvers, 2013) and thus the leakage of plasma proteins (i.e albumin, fibrin and fibrinogen) from newly developed vessels (Stanojevic et al., 2004). The obstruction of lymphatic vessels by cancer cells also occurs, which leads to an impaired re-absorption of the physiological peritoneal fluid (Kipps et al., 2013). As a consequence of the compromised lymphatic drainage of the peritoneal cavity, fluid confinement in the peritoneum occurs contributing to the pathogenesis of malignant ascites. The environment that these biological and physical processes create impedes immune cell migration and infiltration within the metastatic tumors (Cai & Jin, 2017), and induces a peripheral tolerance that attenuates their function (Kulshrestha et al., 2017). For instance, ascites proved to recruit and immunologically suppress a population of neutrophils through cell contact in a cohort of newly diagnosed OC patients (Singel et al., 2017). The release of macrophage migration inhibitory factor (MIF) from ascites-derived cancer cells has also been proposed to halt the tumour-killing ability of NK cells by transcriptionally down regulating the expression of the surface receptor NKG2D (Krockenberger et al., 2008). These findings confirm the proactive role of malignant ascitic fluid in physically supplying cells and chemical stimuli to favour an immune suppressed environment. Additionally, another physical barrier to immune cells penetration is represented by the tumour vascular endothelium (Motz & Coukos, 2013). In a physiological environment the presence of adhesion molecules such as intercellular cell adhesion molecule (ICAM) or vascular cell adhesion molecule (VCAM) allows T-cells to adhere to and travel through the endothelium. In the tumour milieu the release of angiogenic growth factors impedes T-cell to pass through by inhibiting the adhesion molecules expression (Bouzin et al., 2007).

The employment of nano-sized molecules/structures able not only to precisely target and accumulate at the site of interest, maintain the bioactivity of the drug while ensuring its release but also to overcome biological and physical barriers is pivotal in unveiling the mechanisms behind tumoral immune suppression. The development of approaches capable of capitalizing on the transport oncophysics of the peritoneal cavity will improve the delivery strategies for the treatment of metastatic OC (Nizzero et al., 2018).

### 6. Exosomes: an alternative tunable and nanoscopic strategy

Recently, biological nanoparticles (called exosomes) have also emerged as a powerful translational platform to be harnessed in the development of naturally inspired delivery systems. Exosomes are nanoscopic lipidic vesicles with a size range spanning from 30 to 150 nm that are released by cells and thus retain their bioactive moieties. Due to their small size and architecture exosomes can penetrate across the lymphatic vessels and tumor interstitium and reach target organs (S. Srinivasan et al., 2016). Their composition and cargo can be further modified by conditioning parental cells or by improving their natural potential with the addition of functional drugs, thus conferring them additional functions (Conlan et al., 2017). Exosomes play a crucial role in cell-to-cell communication and are characterized by a precise targeting potential that allows for the activation or repression of specific molecular cascades in targeted cells (Syn et al., 2017). Currently, their role in the exchange of information between the tumor and the surrounding microenvironment is being explored (Maia et al., 2018), as is their potential as delivery vessels for both therapeutic and imaging purposes (Luan et al., 2017; L.-M. Shen et al., 2018).

Recent advances in the field of immunotherapy unveiled the role of appropriately stimulated exosomes released from cancer cells as potent endogenous nanocarriers responsible for the suppression of T cells and the facilitation of tumor growth (Chen et al., 2018). Once injected for therapeutic purposes, exosomes are not susceptible to further modifications determined by the microenvironment, offering a great advantage over the use of CAR-T cells or DC, which are amenable to acquire a different phenotype (Yamashita et al., 2018).

Interestingly, they have been also proposed as useful tools to predict the patient response to immunotherapy. On the other hand, exosomes derived from immune cells, APC or TAM, are now at the forefront for the development of innovative vaccine strategies for cancer immunotherapy against tumor initiation and are the subject of current clinical trials for the treatment of other tumor types (Hong et al., 2017; Liu et al., 2018).

# 7. Conclusions and Perspectives

In this review we have discussed the widely recognized impact of immunotherapy in the treatment of cancers, highlighting the challenges researchers face in the effort to overcome the limitations provided by OC. These include its cold nature, determined by the immunosuppressive environment and the transport oncophysics, which urgently calls for the conception of alternative approaches to deliver immunotherapies. Ideally, these approaches are meant to preferentially accumulate the drug at the tumor site, sustain the temporal and spatial release of the payload thereby reducing cytotoxicity, and selectively target specific cell types to stimulate anti-tumor immunity (Figure 2). Nanotechnology offers advantageous drug delivery systems with demonstrated therapeutic efficacy, with a direct or indirect effect on cancer cells. However, the potential of nanomedicines for the treatment of OC has been limitedly harnessed. Although capable of identifying and targeting the cell population of interest, none of the nano-enabled strategies proposed have yet shown significant clinical benefits. Furthermore, literature lacks a comprehensive discussion about the in vivo biodistribution of the proposed nanoplatforms, reinforcing the concept that the drastic changes within the peritoneal cavity in terms of transport oncophysics and metastases heterogeneity, largely limit their capability to reach tumor

masses. A deep understanding of the role exosomes play in travelling and mediating cell interaction within the OC environment will successfully lead to the development of cuttingedge approaches to prime the body's immune system against tumor initiation. The continuous advancements in the field of nanotechnology will provide the tools needed to synthesize exosomes-resembling particles to be used as alternative immunotherapy treatment for OC. Another approach may include the coupling of naturally derived exosomes with established multistage vectors (MSV), demonstrated to achieve efficient delivery of chemotherapeutics to metastatic breast cancer (Xu et al., 2016) and ovarian tumor tissues (H. Shen et al., 2013). The possibility to exploit the physical properties of the ascitic fluid and the geometry of the peritoneal cavity during metastatic OC to tailor the architecture of MSV paves the way for the fabrication of nanotechnology-based immunotherapies to accomplish the challenge of boosting the anti-cancer immune system and minimizing tumor relapse.

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# 8. Authorship Contributions

Contributed to the writing of the manuscript: Corradetti, Pisano, Conlan, and Ferrari.

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## **Figure Legend**

**Figure 1.** Schematic of the current immunotherapies for Ovarian Cancer. Arrows show the interactions between immune system players (Dendritic Cell, T cells, Macrophages, and Monoclonal antibodies) and ovarian cancer cells. Each specific immune cell type can be employed to deliver specific therapies that can differently alter the immune system towards a more efficient activity rate.

**Figure 2.** Schematic representation of intraperitoneal injection (IP) of nanoparticles (NP) able to follow the ascetic fluid movement (green arrows) and reach metastatic sites. Tumor spreading from the ovaries is also shown.

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## **Tables**

**Table 1.** List of current therapies for Ovarian cancer. Chemotherapies and targeted therapies are FDA approved. Some of the nanotherapies mentioned are already used in clinics, but the majority of them is still undergoing clinical trials.

Gold standard chem	omerapeutic			
Drug name	Drug class		FDA approved	Reference
Doxorubicin	Antibiotics/antineoplastics		1995	(Bolis et al., 1978)
Carboplatin	Alkylating agents		1989	(M. Adams et al., 1989)
Paclitaxel	Mitotic inhibitors	1998	(Khanna et al., 2015)	
Cyclophosphamide	Alkylating agents	1959	(Handolias et al., 2016)	
Gemcitabine	Antimetabolites	2006	(Lorusso et al., 2006)	
Melphalan	Alkylating agents	2001	(Hasan & Jayson, 2003)	
Cisplatin	Alkylating agents		1978	(Monneret, 2011)
Topotecan	Miscellaneous antineoplastics		1996	(Seiden et al., 2004)
Etoposide	Mitotic inhibitors		1998	(Long et al., 2005)
Thiotepa	Alkylating agents	2001	(Gordinier et al., 2002)	
Targeted therapies				
Drug name	Drug class		FDA approved (yr)	Reference
Bevacizumab	VEGF/VEGFR inhibitors		2004	(Rossi et al., 2017)
Olaparib	PARP inhibitors		2017	(Moore et al., 2018)
Niraparib	PARP inhibitors		2017	(Essel & Moore, 2018)
Rucaparib	PARP inhibitors	2018	(Dal Molin et al., 2018)	
Current nanotechno	logy treatments and ongoi	ng trials		
Drug name	Drug class	Formulation	FDA approval / Clinical trial phase	Reference
Doxil	Antibiotics/antineoplasti cs	Pegylated Liposomal doxorubicin	1999	(Pisano et al., 2013)

Lipodox	Antibiotics/antineoplasti cs	Pegylated Liposomal	2012	(Chou et al., 2006)
	<b>AA</b> 15 (1 1 1 1 1 1 1	doxorubicin	5	(1)
Genexol-PM	Mitotic inhibitors	PEG-PLA polymeric micellar Paclitaxel	Phase II	(Lee et al., 2017)
LEP-ETU	Mitotic inhibitors	Liposomal Paclitaxel	Phase I	(Damjanov et al., 2005)
Paclical	Mitotic inhibitors	Paclitaxel micelles	Phase III	NCT009891 31
OSI-211	Antineoplastics	Liposomal Lurtotecan	Phase II	(Seiden et al., 2004)

**Table 2.** FDA-approved immunotherapeutics since the beginning of 2018.

Drugs	Mechanism of action	Targeted disease	Release date	
Durvalumab (IMFINZI®, AstraZeneca)	checkpoint immunotherapy targeting PD-1/PD-L1 pathway	Stage III non-small cell lung cancer	Feb 16, 2018	
Brentuximab vedotin (Adcetris, Seattle Genetics, Inc.)	antibody-drug conjugate targeting the CD30 receptor	Untreated classical Hodgkin lymphoma	Mar 20, 2018	
Blinatumomab (Blincyto, Amgen Inc.)	bispecific T cell-engaging antibody targeting CD19 receptor	B-cll precursor acute lymphoblastic leukemia	Mar 29, 2018	
Nivolumab (Opdivo, Bristol- Myers Squibb) + Ipilimumab (Yervoy, Bristol-Myers Squibb)	checkpoint immunotherapy targeting PD-1 and CTLA-4	Advanced renal cell carcinoma	Apr 16, 2018	
Tisagenlecleucel (Kymriah, Novartis)	CAR T cell immunotherapy targeting CD19 receptor	Relapsed / refractory large B cell lymphoma	May 01, 2018	
Pembrolizumab (Keytruda, Merck)	checkpoint immunotherapy targeting PD-1	Cervical cancer	Jun 12, 2018	
Pembrolizumab (Keytruda, Merck)	checkpoint immunotherapy targeting anti-PD-1	Adult and pediatric primary mediastinal large B-cell lymphoma	Jun 13, 2018	
Nivolumab (Opdivo,I Bristol- Myers Squibb) + Ipilimumab (Yervoy, Bristol-Myers Squibb)	checkpoint immunotherapy targeting PD-1 and CTLA-4	Relapsed colorectal cancer with high microsatellite instability or deficient DNA mismatch repair	Jul 10, 2018	

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Nivolumab (Opdivo, Bristol- Myers Squibb) checkpoint immunotherapy Metastatic small cell lung ca targeting PD-1	cer Aug 17, 2018
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## **Figures**

## Figure 1.

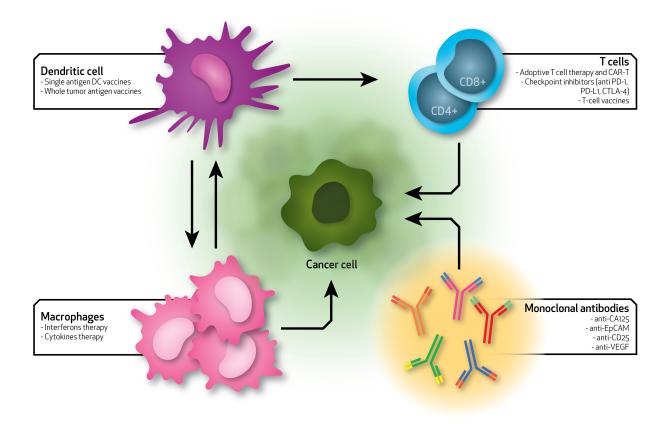


Figure 2.

