

# BMJ Open Cuff Leak Test and Airway Obstruction in Mechanically Ventilated ICU Patients (COMIC): a pilot randomised controlled trial protocol

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## ABSTRACT

**Introduction** Endotracheal intubation and invasive mechanical ventilation are lifesaving interventions that are commonly performed in the intensive care unit (ICU). Laryngeal oedema is a known complication of intubation that may cause airway obstruction in a patient on extubation. To date, the only test available to predict this complication is the cuff leak test (CLT); however, its diagnostic accuracy and utility remains uncertain. Herein, we report the protocol for the CuffLeak and AirwayObstruction in MechanicallyVentilated ICU Patients (COMIC) pilot trial.

**Methods and analysis** This will be a multicentred, pragmatic, pilot randomised controlled trial (RCT). We will enrol 100 mechanically ventilated patients in the ICU who are deemed ready for extubation. We will exclude patients at a high risk of laryngeal oedema. All enrolled patients will have a CLT done before extubation. In the intervention arm, the results of the CLT will be communicated to the bedside physician, and decision to extubate will be left to the treating team. In the control arm, respiratory therapist will not communicate the results of the CLT to the treating physician, and the patient will be extubated regardless of the CLT result. Randomisation will be done in a 1:1 allocation ratio, stratified by size of the endotracheal tube and duration of invasive mechanical ventilation. Although we will examine all clinical outcomes relevant for the future COMIC RCT, the primary outcomes of the COMIC pilot trial will be feasibility outcomes including: consent rate, recruitment rate and protocol adherence. Clinical outcomes include postextubation stridor, reintubation, emergency surgical airway, ICU mortality, in hospital mortality, duration of mechanical ventilation and ICU length of stay in days.

**Ethics and dissemination** The Hamilton Integrated Research Ethics Board, Imam Abdulrahman Bin Faisal University Institutional Review Board and Bioethical Commission of the Jagiellonian University approved this study. The trial results will be disseminated via publication in peer-reviewed journals.

**Trial registration number** NCT03372707.

## Strengths and limitations of this study

- This is the first pilot randomised trial to assess feasibility and impact of performing the cuff leak test (CLT) before extubation in mechanically ventilated patients at average risk of laryngeal oedema.
- Information from this study will facilitate the conduct of a larger trial powered to determine the impact of the CLT on patient-important outcomes.
- The multicentred, international design will support external validity and implementation of the results
- As the most accurate way to define a failed or passed CLT is unknown (ie, quantitatively vs qualitatively), we are defining a failed CLT as inability to auscultate air movement around the endotracheal tube with the cuff deflated.

## INTRODUCTION

Endotracheal intubation and invasive mechanical ventilation are lifesaving interventions; however, it can be associated with serious complications. Laryngeal oedema (LO) occurs in 4%–55% of patients postextubation.<sup>1–5</sup> LO is caused by marked polymorphonuclear infiltration to the traumatised upper airway postintubation.<sup>6</sup> The incidence of LO increases as the duration of intubation accrues, but it can occur as early as the first 24 hours of intubation.<sup>5</sup> LO can cause airway narrowing and increased airflow velocity, if the narrowing exceeds 50% of the lumen diameter, leading to stridor and respiratory distress postextubation.<sup>7</sup> As a result, 3.5% (range 0%–10.5%) of patients with LO will fail extubation and subsequently require reintubation.<sup>5</sup> The morbidity and mortality associated with reintubation are well described.<sup>8–13</sup>

Identifying patients with LO can be challenging. The presence of the endotracheal

tube (ETT) prevents direct visualisation of the upper airway before extubation; therefore, clinicians cannot accurately predict airway obstruction before it occurs. A cuff leak test (CLT) was first described in 1988 as a surrogate and a screening test for airway oedema before extubation.<sup>14</sup> This test involves deflating the balloon cuff on an ETT and observing if the patient can breathe around it. If air can pass around the ETT, it suggests that the airway is patent.<sup>14</sup> A small leak or complete absence of one would suggest an airway obstruction or narrowing.

There are conflicting results on the clinical utility and diagnostic accuracy of a CLT. Two meta-analyses of observational studies examined the diagnostic accuracy of a CLT.<sup>5 15</sup> One reports that a failed CLT is not sensitive but is specific for predicting risk of LO and reintubation.<sup>15</sup> The second meta-analysis found that a failed CLT was associated with LO, particularly in patients with >5 days' duration of intubation; however, the odds of reintubation was not increased.<sup>5</sup>

Despite the lack of high-quality studies, an absent cuff leak usually results in delayed extubation and exposure to medications aimed at treating airway oedema. A recent meta-analysis of 11 randomised controlled trials (RCTs) enrolling 2472 patients found that systemic corticosteroids reduces the risk of postextubation airway events (relative risk (RR) 0.43, 95% CI 0.29 to 0.66,  $p=0.002$ ).<sup>16</sup> A subgroup analysis showed that the high-risk subgroup benefited the most (RR 0.34, 95% CI 0.24 to 0.48,  $p=0.99$ ). Empirical use of corticosteroids for all patients is not justified (RR 0.62, 95% CI 0.24 to 1.61,  $p<0.001$ ). A false positive CLT can unnecessarily delay extubation, increasing intensive care unit (ICU) stay and associated risks of invasive mechanical ventilation. However, if a CLT is not performed, or if in case of a false negative test, some patients may fail the extubation exposing them to the morbidity associated with reintubation.

Recent clinical practice guidelines reflect this uncertainty. The American Thoracic Society guidelines on liberation of mechanical ventilation issued a weak recommendation (very low quality evidence) for performing CLT in mechanically ventilated adults who are at high risk for postextubation stridor (such as airway trauma) and those with a potential for increased risk of LO (eg, intubated for 7 days or more).<sup>17</sup> Given this persistent uncertainty, we believe a properly powered RCT is necessary to investigate the clinical utility of the CLT and its impact on patient outcomes. Our aim is to report the protocol for the *CuffLeak and AirwayObstruction in Mechanically-Ventilated ICU Patients* (COMIC) pilot trial to determine the feasibility of undertaking a large RCT addressing this research question. Given the clinical equipoise, we hypothesise that this will be a feasible trial, and the results will inform the larger COMIC RCT.

## METHODS AND ANALYSIS

We registered this trial in ClinicalTrials.gov.

**Table 1** Exclusion criteria

Exclusion criteria	Definitions
1. Palliative care plan or plan of care does not include reintubation	Decision to withdraw life support or no plan for reintubation.
2. Known pregnancy	Current pregnancy or up to and including 7 days postpartum.
3. High risk patient for LO	Burn patients, smoke inhalation injuries, blunt or penetrating trauma of the neck and airway, recent head and neck surgeries, self-extubation event and patients admitted with airway oedema. <sup>25-27</sup>
4. Difficult or traumatic intubation	Direct laryngoscopy Cormack-Lehane Grade 4 (regardless of the number of intubation attempts); three or more attempts at intubation regardless of the grade; an intubating supraglottic device, Bougie or bronchoscopy required previously to intubate; or unable to bag mask ventilate.
5. Known pre-existing tracheolaryngeal abnormalities	Vocal cord paralysis, tracheolaryngeal neoplasm, tracheomalacia, tracheolaryngeal stenosis or previous head and neck surgeries.
6. Mechanical ventilation via a tracheostomy	
7. Patients who failed extubation attempt within the current ICU admission.	
8. History of postextubation airway obstruction.	
9. The ICU physician declined enrolling the patient.	
10. Patient had a failed CLT in the previous 24 hours.	

CLT, cuff leak test; ICU, intensive care unit; LO, laryngeal oedema.

## Design

The COMIC pilot trial will be a multicentre, randomised, concealed, parallel-group, pragmatic pilot trial. Three centres from North America, Europe and the Middle East will participate in the COMIC pilot trial. These academic tertiary care ICUs are located in Hamilton, Canada; Krakow, Poland; and Dammam, Saudi Arabia.

## Population

Eligible patients will be mechanically ventilated adults (>18 years) in the ICU, and an order to extubate has been provided by the treating physician. We list the exclusion criteria in [table 1](#).

## Eligible non-randomised patients

We will record all patients who were eligible but not randomised for any of the following reasons: (1) the patient or substitute decision maker (SDM) declined consent; (2) there are no family members or SDM for the patient; (3) the ICU physician declined enrolling the patient; and (4) any other reason.

## Randomisation and allocation concealment

The study research coordinator (RC) will use the REDCap randomisation module to randomise eligible patients in a 1:1 allocation using undisclosed variable block sizes.<sup>18</sup> We will stratify randomisation by: (A) ETT size into two strata (external diameter equivalent to that of <8mm and ≥8mm ETTs), (B) duration of mechanical ventilation before randomisation into two strata (>7 days and ≤7 days) and (C) by study site.

## Intervention

A dedicated unblinded study respiratory therapist (RT) or a trained research personnel (referred to as an RT from here on) will perform the CLT on all enrolled patients. The patients will first be switched to volume assist-control (V-AC) with a set respiratory rate of 10 breaths/minute (to allow patient assist), a constant flow of 60L/min and tidal volume set to match the average tidal volume currently being delivered during supportive ventilation. The RT will document one representative inhaled tidal volume and three exhaled volumes after switching to V-AC mode. The cuff of the ETT will then be deflated with a 10 mL syringe. Once the patient has accommodated to the deflated cuff, we will record the inspiratory and expiratory volumes of three consecutive breaths. The cuff leak test is performed by: (A) auscultation with a stethoscope to identify audible air leak around the ETT, (B) determining the difference between the average exhaled volume prior to cuff deflation and the average exhaled volume after cuff deflation, (C) measure the difference between the average inhaled and exhaled volumes after cuff deflation and (D) calculate the percent change in expiratory volume with the cuff deflated.

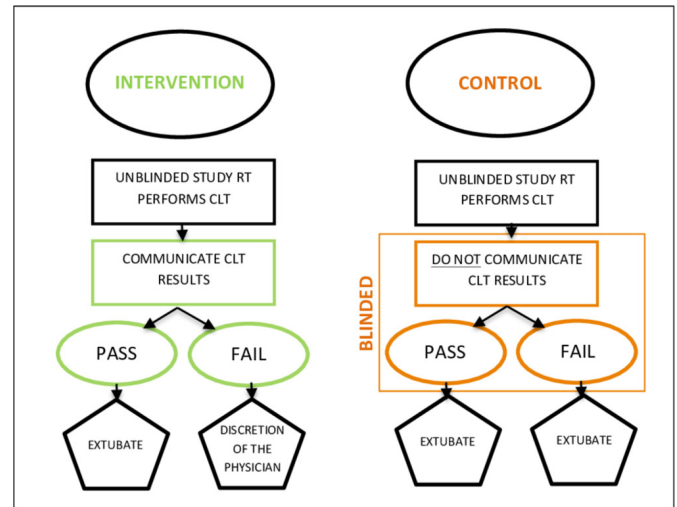
$$\left( \frac{\text{Expiratory tidal volume}_{\text{balloon inflated}} - \text{Expiratory tidal volume}_{\text{balloon deflated}}}{\text{Expiratory tidal volume}_{\text{balloon inflated}}} \right)$$

(i.e. percent change)

We define a ‘failed CLT’ as the RT being unable to identify air leak during auscultation. Patients randomised to the intervention arm will have the results of the CLT (whether failed or passed) communicated to the treating physician; the treating physician will decide whether to proceed with extubation based on the CLT results. It is at the discretion of the treating physician to provide corticosteroids at any dose or frequency and/or delay extubation by 24 hours should the patient fail the CLT.<sup>19</sup> Those randomised to the control arm will not have the results of the CLT (whether failed or passed) communicated to the treating team and will be extubated regardless of the results (figure 1).

## Blinding

Although the intervention arm of the study is unblinded (ie, results of CLT is known), patients, physicians, RCs, study investigators, adjudicators and data analysts will not be aware of the results of CLT in the control group. The unblinded RT will document the CLT results on the CLT paper case report form (CRF). The RT will enter the CLT



**Figure 1** Flow chart demonstrating the two groups that a patient may be randomised into and course of action for each group depending on if the patient fails or passes their cuff leak test. CLT, cuff leak test; RT, respiratory therapist.

results data into the electronic CRF (eCRF) in the trial database on REDCap. The eCRF for the CLT will only be accessible and visible to the RT. For both the intervention and control arms, the paper CRF will be stored in the patient binder. For patients randomised to the control arm, the RT will seal the paper CRF in an envelope before storing in the patient binder. In case of emergency, and if knowing the results of the CLT will change the management of the patient (eg, administering corticosteroids to a patient who developed respiratory failure after learning the result of a failed CLT), the treating physician will have the ability to request the CLT results for a control arm patient. In such an event, the sealed paper CRF can be opened to reveal the CLT results to the treating physician and patient care team, and the methods centre must be notified of this protocol violation.

## Patients and public involvement

Patients and the public were not involved in the design or the conduct of this study. Once the study is published, we plan to involve patient representatives in the Data Safety Monitoring Board in the larger COMIC Trial.

## OUTCOMES

### Feasibility outcomes

Although we will report all clinical outcomes relevant for the future COMIC RCT, the primary outcomes of the COMIC pilot trial will be feasibility, including:

### Consent rate

We define a successful consent rate as 70% of SDMs or patients approached to consent, choosing to take part in the trial. We will calculate this as the overall proportion of SDMs or patients consenting out of those approached (with 95% CI). As this is a pilot trial, the steering committee will review the consent rate weekly, and if applicable,

discuss barriers to informed consent and use factors associated with improved consent rate.<sup>20</sup>

### Recruitment rate

We define a successful recruitment rate as achieving enrolment of 100 patients, conventionally expressed as four patients per centre per month over the duration of the trial. While the pilot trial is ongoing, steering committee will review recruitment weekly and the screening records monthly. If applicable, we will address barriers to enrolment to maximise recruitment. The recruitment metric will be measured and interpreted at the end of the pilot trial by calculating the mean number of recruited patients per active screening month.

### Protocol adherence

We define a successful adherence as  $\geq 80\%$ . We will calculate the adherence as the proportion of patients that were assigned to the control arm being extubated immediately after the CLT. As this pilot trial is ongoing, we will review adherence monthly and investigate the reasons for compliance failure. We will investigate all reasons for failure to extubate immediately after randomisation in the control arm and report them as a protocol violation. The RC will review the RT's charting and the medication profile to determine actual compliance. RC will record all reasons for non-compliance for both groups using distinguishing clinical reasons (eg, palliation, death, consent withdrawal and errors).

### Clinical outcomes

The clinical outcomes are:

(1) Postextubation stridor: defined as an audible high-pitched inspiratory noise caused by turbulent airflow through the narrowed airway that is detectable with or without a stethoscope within 48 hours of extubation; (2) clinically significant postextubation stridor: defined as stridor (see definition above) that requires medical intervention such as the administration of systemic steroids, racemic epinephrine, Heli-ox or reintubation; (3) reintubation: defined as reintubation within 72 hours of original extubation while in the ICU. Reasons for reintubation will be recorded; (4) emergency surgical airway: defined as performing urgent tracheostomy or cricothyrotomy for a life-threatening airway obstruction; (5) in ICU mortality truncated at 30 days; (6) in-hospital mortality truncated at 30 days; (7) duration of mechanical ventilation: defined as time on the ventilator after randomisation in days; and (8) ICU length of stay in days.

### Data collection and follow-up

The RC will screen all patients in the ICU during weekdays to avoid incurring additional weekend on-call costs. RC will collect information including the patient baseline data (eg, demographics, illness severity, advanced life support including duration of mechanical ventilation, daily data (eg, CLT results, postextubation stridor, rate of reintubation and steroids administered) and source documentation that will help with the adjudication of

outcomes. The RC will review patient's charts daily for up to 72 hours postextubation for the trial data including: stridor, reintubation and emergency surgical airway. Once patients are discharged from the ICU, they will no longer be followed daily, but we will record the patients' vital status at 30 days if the patient was not discharged from the hospital. Mortality, duration of ventilation and ICU stay outcomes will be censored at 30 days.

### Duration of the COMIC pilot trial

We began enrolling patients on 5 July 2018. We expect that 12 months will be required to recruit 100 patients, with a trial end date of approximately June 2019. We will need a subsequent 3 months to validate the data, adjudicate the outcomes, analyse, interpret and present results. The total duration of the pilot trial may take up to 15 months to complete.

### Sample size and justification

We plan to enrol 100 patients for the pilot trial to ensure feasibility criteria will be appropriately examined.<sup>21 22</sup>

### Analysis of the COMIC pilot trial

The analysis and reporting of this pilot trial will follow the Consolidated Standards of Reporting Trials extension to pilot trials.<sup>23</sup> We will use descriptive statistics to analyse the baseline characteristics and report them as a count (per cent) for categorical variables, and mean (SD) or median (first quartile, third quartile) for continuous variables, depending on the distribution. We will base the analysis of feasibility outcomes on descriptive statistics reported as percentages with 95% CI. Calculation of consent and recruitment feasibility outcomes for the COMIC pilot trial will not require analysis by group; however, compliance rates will have to be assessed for each group. Therefore, we will analyse clinical outcomes as means or proportions in each arm. In addition, given the small sample size and short duration, we will not conduct any subgroup or interim analyses.

The analysis of clinical outcomes will follow intention-to-treat approach. These analyses will be exploratory. The proportion of patients in the two groups with the primary and secondary clinical outcomes will be analysed using the Mantel-Haenszel  $\chi^2$  test of Fisher's exact test. A t-test will be used for continuous outcomes, and a statistical significance will be set at  $\alpha=0.05$ . These analyses will be exploratory, and the results will be reported as estimates of effect with 95% CIs. We will develop a full statistical analysis plan adherent to the intention-to-treat principle. All analyses will be performed using SAS V.9.2.

## ETHICS AND DISSEMINATION

### Ethics

Centres will follow the consent models outlined below, depending on the local ethics approval.

### Mixed consent model (a priori and deferred consent)

As most patients will be incapable at the time of enrolment, the SDM will provide consent a priori whenever

possible. We will follow the two-phase, 13-step informed consent process that we have used in prior international trials.<sup>20</sup>

If we cannot locate the SDM for a priori consent, then we will enrol patients using deferred consent until we can contact the SDM as permitted by local Research ethics board (REBs). The consent encounter will occur as soon as possible. If the SDM then declines further trial proceedings data collected will be used up to that point unless the SDM requests otherwise. For the patient who has no identifiable family member, or power of attorney to provide consent, we propose to continue study protocols while we attempt to locate an SDM and/or the patient recovers. In the event that such a patient subsequently recovers to the extent that an informed consent can be provided, we will ask the patient for their consent.

Having a mixed consent model is crucial to ensure the proper conduct of the COMIC trial. To begin with, extubating patients is a daily routine in the ICU and should not be delayed unless there is a valid reason to do so. Therefore, having either a waived or deferred consent model is necessary to make this study feasible, and we have successfully used deferred consent model in a recent RCT.<sup>24</sup>

Moreover, although CLT is routinely performed in some institutions before extubation, there is no clear evidence that this practice benefits patients.<sup>5</sup> The ethical principle of clinical equipoise underlies all medical research and obligates researchers to provide standard treatment unless there is uncertainty about the relative effectiveness of the standard and experimental treatments. Recent clinical practice guidelines for using CLT reflect this uncertainty.<sup>17</sup> In this case, it can be argued the relative benefits and risks of the CLT, as compared with standard therapy, are unknown, or thought to be equivalent or better.

#### Waived consent model

Certain participating centres may receive approval (under the justification that clinical equipoise exists and the test itself is harmless) to waive consent. This is conditional on REB approval at those participating centres.

We will keep all personal information in a locked room. No personal information is available on REDCap.

#### Dissemination and protocol amendments

We will submit the primary RCT results for publication to a peer-reviewed journal. If the protocol needs amendment, investigators are required to inform the institutional REB (as well as patients) and receive approval.

## DISCUSSION

Extubation can be a precarious procedure for patients admitted to the ICU. Therefore, critical care physicians undertake the utmost cautions before extubating patients. The CLT is the most commonly used test to detect LO in mechanically ventilated patients. However,

the diagnostic accuracy and impact on clinical outcomes in average risk patients is unclear. Recent guidelines issued a weak recommendation to perform CLT before extubating high-risk patients.<sup>17</sup> Patients who fail a CLT are often treated with high-dose systemic corticosteroids and extubation may be delayed; both outcomes are likely not desirable by most patients.

To date, no RCT has been done examining this important question. Observational studies have showed that in a subset of patients a CLT may help identify patients at higher risk of airway obstruction. We therefore have described the protocol for a pilot RCT to determine the feasibility of a large trial to examine the utility of CLT. Physician and public acceptance of performing such a protocol is uncertain, and it is integral we examine the recruitment, consent and adherence rates before we pursue a large-scale RCT.

In conclusion, this protocol describes the design and methodology of the COMIC pilot trial. We believe the results will help inform the design and the conduct of a large RCT examining the effect of bedside CLT on postextubation events in average risk mechanically ventilated patients.

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