

# Perception of health control and self-efficacy in heart failure

Agnieszka E. Siennicka<sup>1,2,3</sup>, Kinga Gościńska-Bis<sup>4</sup>, Jacek Wilczek<sup>4,5</sup>, Maciej Wójcik<sup>6</sup>, Robert Błaszczyk<sup>6</sup>, Filip M. Szymański<sup>7</sup>, Paweł Nadrowski<sup>8</sup>, Błażej Michalski<sup>9</sup>, Katarzyna Mizia-Stec<sup>10</sup>, Katarzyna Ptaszyńska-Kopczyńska<sup>11</sup>, Grzegorz Kopec<sup>12</sup>, Anna Hryniewicz-Szymańska<sup>13</sup>, Łukasz Krzych<sup>14</sup>, Ewa A. Jankowska<sup>3,15</sup>

<sup>1</sup>Department of Heart Diseases, Wrocław Medical University, Wrocław, Poland

<sup>2</sup>Department of Physiology, Wrocław Medical University, Wrocław, Poland

<sup>3</sup>Department of Cardiology, Centre for Heart Disease, Military Hospital, Wrocław, Poland

<sup>4</sup>Department of Electrophysiology, University Hospital No. 7, Silesian Medical University, Katowice, Poland

<sup>5</sup>Department of Electrophysiology and Heart Failure, Medical University of Silesia, Katowice, Poland

<sup>6</sup>Department of Cardiology, Medical University of Lublin, Lublin, Poland

<sup>7</sup>Department of Cardiology, Medical University of Warsaw, Warsaw, Poland

<sup>8,3rd</sup> Department of Cardiology, Upper Silesian Heart Centre, Katowice, Poland

<sup>9</sup>Department of Cardiology, Medical University of Łódź, Łódź, Poland

<sup>10,1st</sup> Department of Cardiology, Silesian Medical University, Katowice, Poland

<sup>11</sup>Department of Cardiology, Medical University of Białystok, Białystok, Poland

<sup>12</sup>Department of Cardiac and Vascular Diseases of the Jagiellonian University Medical College, John Paul II Hospital, Kraków, Poland

<sup>13</sup>Department of Cardiology, Hypertension and Internal Medicine, Medical University of Warsaw, Warsaw, Poland

<sup>14</sup>Department of Cardiac Anaesthesiology and Intensive Care, Medical University of Silesia in Katowice, Silesian Centre for Heart Diseases, Zabrze, Poland

<sup>15</sup>Laboratory for Applied Research on Cardiovascular System, Wrocław Medical University, Wrocław, Poland

## Abstract

**Background:** The issue of self-perceived health control and related sense of self-efficacy has not received any attention in patients with heart failure (HF), although these psychological features have been established to determine the patients' approach towards healthcare professionals and their recommendations, which strongly affects compliance.

**Methods:** A total of 758 patients with systolic HF (age:  $64 \pm 11$  years, men: 79%, NYHA class III–IV: 40%, ischaemic aetiology: 61%) were included in a prospective Polish multicentre Caps-Lock-HF study. A Multidimensional Health Locus of Control (MHLC) scale was used to assess subjective perception of health control in three dimensions (internal control, external control by the others, and by chance); the Generalised Self Efficacy scale (GSES) was used to estimate subjective sense of self-efficacy; and the Beck Depression Inventory (BDI) was used to determine depressive symptoms.

**Results:** The majority of patients perceived the external control (by the others) and internal control of their health as high (77% and 63%, respectively) or moderate (22% and 36%, respectively), whereas self-efficacy was perceived as high or moderate (63% and 27%), which was homogenous across the whole spectrum of the HF cohort, being unrelated to HF severity, HF duration, the presence of co-morbidities, and the applied treatment. The stronger the perception of internal health control, the higher the self-efficacy ( $p < 0.05$ ); both features were related to less pronounced depressive symptoms ( $p < 0.05$ ).

**Conclusions:** The established pattern of self-perceived control of own health and self-efficacy indicates that patients with HF acknowledge the role of others (i.e. healthcare providers) and themselves in the process of the management of HF, and are convinced about the high efficacy of their undertaken efforts. Such evidence supports implementation of a partnership model of specialists' care of patients with HF.

**Key words:** heart failure, psychological features, health control localisation, self-efficacy

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## Address for correspondence:

Agnieszka E. Siennicka, PhD, Wrocław Medical University, ul. Weigla 5, 53–114 Wrocław, Poland, e-mail: [agnieszka.siennicka@umed.wroc.pl](mailto:agnieszka.siennicka@umed.wroc.pl)

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## INTRODUCTION

Chronic disease with severe persistent symptoms and grave prognosis has a profound impact on the patient's psychological status [1]. The individual psychological features of each patient determine the approach towards the administered diagnostic and therapeutic interventions, which affects compliance [2–4] and the effectiveness of treatment of such a patient [5].

Oncology is an example of a medical specialty in which comprehensive clinical assessment of a patient compromises the psychological examination [6], which allows the implementation of psychological interventions aimed at strengthening certain features, which could be beneficial in the context of a struggle with severe long-lasting disease [7]. Healthcare professionals (physicians, nurses, physiotherapists, and psychotherapists) adjust their approach to a patient respective to his/her psychological status, which improves the effectiveness of medical care [8].

The diagnosis of heart failure (HF), similarly to cancer disease, is associated with the administration of lifelong pharmacotherapy, frequent implementation of invasive diagnostic and therapeutic procedures and frequent hospitalisations [9], which affects daily functioning of patients with HF, and worsens their quality of life [10]. However, because measuring quality of life and depressive symptoms is still not enough for comprehensive assessment of a broad range of psychological features [11], we decided to perform a multicentre prospective study in order to investigate personal beliefs regarding control over patients' own health and the subjective sense of self-efficacy in patients with systolic HF.

## METHODS

### *Study population*

The study included patients hospitalised or visiting outpatient clinics in 11 cardiology centres in Poland between September 2012 and March 2013 (one in Wrocław, Lublin, Łódź, Białystok, and Kraków, two in Warsaw, and four in Katowice) fulfilling the following inclusion criteria: (a) more than six-month documented history of HF (New York Heart Association [NYHA] I–IV classes [10]); (b) clinical stability with unchanged medications for  $\geq$  three months preceding the study; (c) left ventricular ejection fraction (LVEF)  $<$  45%. Exclusion criteria comprised: (a) HF decompensation within three months preceding the study; (b) acute coronary syndrome and/or coronary revascularisation during six months preceding the study; (c) any psychiatric abnormalities and associated therapy either at the time of examination or in the past.

The study was approved by the local ethics committee at the coordinating centre (WROC), which gave permission to conduct the study in the other participating centres. All subjects gave written informed consent. The study was conducted in accordance with the Helsinki Declaration.

### *Study protocol*

Psychological questionnaires were given to each patient during his/her hospital stay or during his/her visit in an outpatient clinic. Clinical data were collected from medical records. The following parameters were analysed: basic demographic and anthropometric data: age (years), gender, body height and mass (analysed as calculated body mass index [BMI], kg/m<sup>2</sup>); resting heart rate (bpm), and systolic and diastolic blood pressure (mm Hg); parameters reflecting the severity of HF: number of years since the initial diagnosis of HF, NYHA class, and HF aetiology; LVEF (%) derived from standard transthoracic echocardiography; basic laboratory parameters: sodium (mmol/L), haemoglobin (g/dL), and glomerular filtration rate (GFR, mL/min/1.73 m<sup>2</sup>) calculated using the Modification of Diet in Renal Disease equation; the presence of the following co-morbidities: myocardial infarction, hypertension, atrial fibrillation, stroke and/or transient ischaemic attack, diabetes mellitus, chronic kidney disease, anaemia, chronic obstructive pulmonary disease, and cancer; information about administered drugs (angiotensin converting enzyme inhibitors [ACEI] and/or angiotensin receptor blocker [ARB], aldosterone antagonists, beta-blockers, loop diuretics, thiazide diuretics, statins, antiplatelet drugs, and digoxin), implanted devices (implantable cardioverter-defibrillators, cardiac resynchronisation therapy or other type of pacemaker), and previously performed revascularisation procedures (e.g. percutaneous coronary intervention or coronary artery bypass graft).

Psychological evaluation was based on standardised questionnaires. The set of psychological questionnaires (all Polish, officially adapted and psychometrically validated versions) included: Multidimensional Health Locus of Control (MHLC) Scale; Generalised Self Efficacy Scale (GSES); modified Mental Adjustment to Cancer Scale (modified Mini-MAC); Coping Inventory for Stressful Situations (CISS); and Beck Depression Inventory (BDI). In the current paper we report results obtained from MHLC, GSES, and BDI.

The Polish version of MHLC (adapted and validated by Juczynski, 2009) [12] was used in order to assess the health locus of control, which refers to personal beliefs regarding the control of individual health status based on three possible localisations of health control: 'internal' or two 'external' localisations. Patients with high scores within the subscale assessing an 'internal localisation' are convinced that their health status depends only on their own behaviours. Patients who believe that their individual health status is a consequence of the actions performed by 'powerful people', e.g. doctors, family members, and friends, have high levels of 'external control (by the others)'. Those who believe that mainly chance, fate, or luck determine their health status would have high scores on the second subscale, measuring external control, named 'external control (by chance)'. Each MHLC subscale is composed of six items. The answers for

each item are expressed using a six-point Likert scale, where the lowest score (1 point) means 'strongly disagree', and the highest score (6 points) means 'strongly agree', thus it is possible to obtain from 6 to 36 points in each subscale. There is no global sum/result expressing a certain general level of health control [12]. Moreover, a high score within one subscale does not exclude the possibility of having high scores also in other subscales. Scores in MHLC as continuous variables are presented in Tables 2 and 3. Moreover, we calculated the score of each patient in all three subscales and assigned each score to the following categories defined arbitrary by us: 'low' 0–33.3% of the maximum score, 'moderate' 33.4–66.6% of the maximum score, or 'high' 66.7–100% of the maximum score (in each subscale). Afterwards we calculated the percentage of patients localised in the particular categories of all three types of control. The percentages were demonstrated as cubes (the volume of each cube was equal to the calculated percentage) placed in a three-dimensional graph according to the particular categories of health control. The results obtained using this approach (scores in MHLC assigned to three categories) are presented on the Figure 1.

Polish version of GSES (adapted and psychometrically validated also by Juczynski [12]) was applied in order to measure the sense of self-efficacy, i.e. the conviction of an individual regarding his/her own competence in completing tasks (by means of behaviours, thoughts, emotions) and reaching desired goals. GSES includes ten items, answered with a four-point Likert type scale (1, 2, 3, and 4 mean 'no', 'rather no', 'rather yes', and 'yes', respectively) [12].

Each patient also completed a BDI (version Ia) [13], a self-administered 21-item self-report inventory, which allows differentiation of cognitive-affective (based on the first 13 items) and somatic (based on the remaining eight items) depressive symptoms. BDI total score  $\leq 10$  points was interpreted as no depressive symptoms, whereas BDI score  $\geq 14$  suggested the presence of at least mild depressive symptoms [13].

### Statistical analyses

Normally distributed continuous variables were presented as means  $\pm$  standard deviations (SD). The differences were tested using the student's t-test or ANOVA, where appropriate. Variables with a skewed distribution were expressed as medians with lower and upper quartiles, and they were log transformed in order to normalise their distribution. The categorical variables were expressed as numbers with percentages. The inter-group differences were tested using the  $\chi^2$  test.

Relationships between variables were assessed using Pearson's ( $r$ ) or standardised ( $\beta$ ) correlation coefficients in univariate linear regression models, and if they were statistically significant they were included in multivariate models. Scores in MHLC as well as in GSES were used as dependent variables. As depression (assessed using a questionnaire) was related to 'internal control', 'external control (by chance)', and

self-efficacy, models for those variables were built with, as well as without, the BDI score included, in order to verify the relations between clinical and psychological variables with and without an adjustment for the BDI score.  $P < 0.05$  was considered statistically significant.

## RESULTS

A total of 758 patients with systolic HF were recruited among 11 cardiology centres in Poland. The majority of them were inpatients (82%), males (79%), aged  $64 \pm 11$  years old. 60% were classified in NYHA II–III, with reduced ejection fraction (mean LVEF  $31 \pm 9\%$ ). Sixty-one of them had an ischaemic HF aetiology. All patients received standard pharmacotherapy (i.e. 90% received ACEI and/or ARB, 69% received aldosterone antagonists, whereas 96% were treated with beta-blockers). Baseline, detailed characteristics of all examined patients are presented in Table 1.

There were the following mean scores (with SD) obtained by patients with HF:  $26 \pm 5$  for 'internal control',  $28 \pm 5$  for 'external control (by the others)', and  $22 \pm 6$  for 'external control (by chance)'. The vast majority of patients perceived the 'external control (by the others)' and 'internal control' of their health as high (77% and 63%, respectively) or moderate (22% and 36%, respectively) (Fig. 1). The MHLC scores are not focused around mean values, but are shifted towards scores above the mean, especially in the context of 'external control (by the others)' and 'internal control'. Indeed, more than 25% of patients perceived their 'internal control' as 'high', which was accompanied by a perception of both types of external localisation of health control also as 'high'. Almost 24% of them presented 'high internal control' accompanied by 'high external control (by the others)' and 'moderate external control (by chance)'. Less than 10% of patients demonstrated scores reflecting a 'moderate' level of all three localisations of health control, and none of them demonstrated low scores in all three MHLC subscales.

There were only a few weak associations between the localisation of health control and clinical variables (Table 2), and the majority of them did not remain significant after adjustment for BDI (except for the 'internal control', which was higher in patients taking statins, even after the adjustment for BDI) ( $p < 0.05$ ). In general, 'internal control' was negatively, whereas 'external control (by chance)' was positively related to BDI total score ( $p < 0.01$ ) (Table 2). 'External control (by the others)' was not related to depression, and in the multivariable model it was positively related to the patients age, taking thiazide diuretics, and having an implanted device (all  $p < 0.05$ , Table 2).

Mean score (with SD) for GSES obtained by all patients was  $31 \pm 5$  points. The vast majority of patients estimated their self-efficacy as high or moderate (63% and 27%, respectively).

In the multivariable model, the subjective perception of self-efficacy was not related to clinical variables; however, it was inversely associated with BDI ( $p < 0.001$ ) (Table 2).

Table 1. Baseline characteristics of 758 patients with systolic heart failure (HF) examined in 11 cardiology centres in Poland, participants of the multicentre Caps-Lock-HF study

Variables [units]	Centers recruiting HF patients										F/ $\chi^2$	
	All HF patients included in the Caps-Lock-HF					Centers recruiting HF patients						
	WROC	KAT1	LUBL	WAR1	KAT2	LODZ	KAT3	BIAL	KRAK	WAR2	KAT4	
Number of patients (n)	133	102	100	59	56	53	53	52	50	50	50	103.3***
Inpatients (n, %)	59 (44)	64 (63)	100 (100)	59 (100)	56 (100)	43 (81)	53 (100)	40 (77)	50 (100)	50 (100)	50 (100)	249.4***
Men (n, %)	109 (82)	81 (79)	74 (74)	47 (80)	43 (77)	42 (79)	39 (74)	45 (87)	40 (80)	38 (76)	31 (82)	5.7
Age [years]	62 ± 9	66 ± 10	69 ± 10	64 ± 12	64 ± 11	60 ± 12	63 ± 9	63 ± 13	59 ± 13	64 ± 10	62 ± 11	4.7***
BMI [kg/m <sup>2</sup> ]	28.1 ± 4.6	28.4 ± 3.9	28.4 ± 3.6	28.6 ± 5.5	27.8 ± 4.0	28.0 ± 4.4	28.2 ± 4.7	28.8 ± 4.4	27.4 ± 5.0	29.3 ± 5.8	28.8 ± 5.4	0.7
SBP [mm Hg]	123 ± 16	121 ± 13	121 ± 14	138 ± 18	125 ± 12	121 ± 11	120 ± 13	125 ± 17	121 ± 19	126 ± 18	123 ± 14	7.0***
Heart rate [bpm]	74 ± 15	69 ± 10	76 ± 9	71 ± 9	77 ± 18	73 ± 10	70 ± 15	74 ± 17	73 ± 11	82 ± 23	75 ± 12	4.2***
Time since HF diagnosis [years]	10 (4-15)	1 (1-6)	4 (3-6)	5 (2-9)	2 (1-4)	4 (2-10)	5 (4-8)	7 (4-10)	6 (2-11)	4 (1-7)	6 (2-12)	15.0***
NYHA classes, I/II/III/IV (n, %)	12/91/30/0 (9/68/23/0)	7/55/39/1 (7/54/38/1)	2/46/52/0 (2/46/52/0)	1/30/25/3 (2/51/42/5)	0/11/15/0 (0/73/27/0)	4/34/14/1 (8/64/26/2)	7/26/19/1 (13/49/36/2)	0/14/31/7 (0/27/60/16)	0/18/22/10 (0/36/44/20)	1/24/22/3 (2/48/44/6)	1/35/14/0 (3/70/27/0)	148.9***
LVEF [%]	31 ± 9	29 ± 9	35 ± 7	32 ± 10	34 ± 9	32 ± 9	31 ± 8	24 ± 8	27 ± 9	32 ± 9	37 ± 5	11.7***
HF aetiology, CAD (n, %)	460 (61)	66 (65)	50 (50)	36 (61)	36 (64)	36 (68)	36 (68)	25 (48)	24 (48)	24 (48)	44 (88)	34.1
Sodium [mmol/L]	140 ± 3	139 ± 4	141 ± 3	140 ± 3	140 ± 3	140 ± 3	141 ± 3	140 ± 3	140 ± 3	140 ± 3	138 ± 3	6.6***
Haemoglobin [g/dL]	13.7 ± 1.6	14.0 ± 1.5	13.5 ± 1.5	13.3 ± 1.7	13.6 ± 1.7	14.0 ± 1.3	13.3 ± 1.8	13.7 ± 1.4	14.2 ± 1.6	13.4 ± 1.9	13.5 ± 2.2	2.1*
eGFR [mL/min/1.73 m <sup>2</sup> ]	73 ± 25	73 ± 22	66 ± 26	76 ± 23	76 ± 28	72 ± 24	71 ± 26	73 ± 23	84 ± 25	67 ± 25	79 ± 28	2.8**
Previous MI (n, %)	382 (50)	52 (51)	45 (45)	31 (53)	22 (39)	35 (66)	27 (51)	20 (38)	21 (42)	21 (42)	33 (66)	21.8*
Hypertension (n, %)	542 (72)	76 (75)	85 (85)	48 (81)	42 (75)	49 (92)	31 (58)	29 (56)	30 (60)	32 (64)	43 (86)	56.6***
Atrial fibrillation (n, %)	322 (42)	38 (37)	80 (80)	25 (42)	25 (45)	21 (40)	22 (42)	16 (31)	12 (24)	24 (48)	10 (20)	81.7***
Previous stroke and/or TIA (n, %)	56 (7)	8 (8)	3 (3)	3 (5)	3 (5)	5 (9)	4 (8)	6 (12)	1 (2)	4 (8)	3 (6)	11.8
Diabetes mellitus (n, %)	231 (30)	36 (35)	27 (27)	18 (31)	14 (25)	18 (34)	9 (17)	19 (37)	16 (32)	16 (32)	16 (32)	8.5
CKD # (n, %)	227 (29)	28 (27)	46 (46)	10 (17)	18 (32)	16 (30)	18 (34)	16 (31)	9 (18)	22 (44)	8 (16)	31.2***
Anaemia \$ (n, %)	188 (25)	15 (15)	29 (29)	21 (36)	16 (29)	9 (17)	12 (23)	16 (31)	9 (18)	14 (28)	17 (34)	17.6
COPD (n, %)	92 (12)	12 (12)	13 (13)	7 (12)	5 (9)	4 (8)	7 (13)	9 (17)	7 (14)	5 (10)	8 (16)	4.2
Cancer (n, %)	41 (5)	12 (12)	5 (5)	5 (8)	5 (9)	0 (0)	0 (0)	3 (6)	3 (6)	2 (4)	2 (4)	18.5*
Number of co-morbidities (n)	3 ± 1	3 ± 1	3 ± 1	3 ± 1	3 ± 1	3 ± 1	3 ± 1	3 ± 2	2 ± 2	3 ± 2	3 ± 1	3.1***

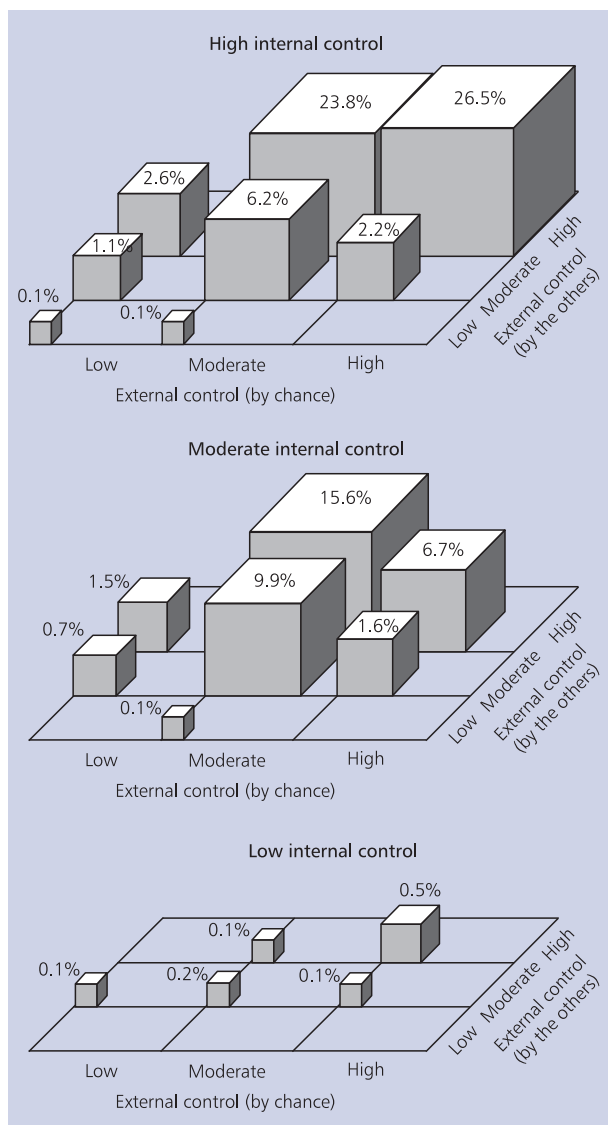
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Table 1. cont. Baseline characteristics of 758 patients with systolic heart failure (HF) examined in 11 cardiology centres in Poland, participants of the multicentre Caps-Lock-HF study

Variables [units]	All HF patients included in the Caps-Lock-HF study	Centers recruiting HF patients										F/ $\chi^2$					
		WROC	KAT1	LUBL	WAR1	KAT2	LODZ	KAT3	BIAL	KRAK	WAR2		KAT4				
<b>Treatment</b>																	
ACEI and/or ARB (n, %)	680 (90)	127 (95)	92 (90)	78 (78)	57 (97)	48 (86)	53 (100)	45 (85)	49 (94)	47 (94)	43 (86)	41 (82)	37.2***				
Aldosterone antagonist (n, %)	524 (69)	105 (79)	80 (78)	53 (53)	37 (63)	32 (57)	32 (60)	45 (85)	47 (90)	42 (84)	31 (62)	20 (40)	72.6***				
Beta-blocker (n, %)	727 (96)	131 (98)	102 (100)	91 (91)	57 (97)	52 (93)	52 (98)	49 (92)	52 (100)	48 (96)	45 (90)	48 (96)	23.1*				
Loop diuretic (n, %)	517 (68)	70 (53)	67 (66)	90 (90)	47 (80)	32 (57)	33 (62)	36 (68)	49 (94)	35 (70)	37 (74)	21 (42)	77.6***				
Thiazide diuretic (n, %)	127 (17)	72 (54)	7 (7)	10 (10)	4 (7)	7 (13)	0 (0)	5 (9)	8 (15)	1 (2)	8 (16)	5 (10)	170.8***				
Digoxin (n, %)	123 (16)	25 (19)	14 (14)	11 (11)	9 (15)	10 (18)	17 (32)	6 (11)	16 (31)	9 (18)	5 (10)	1 (2)	31.1***				
Statin (n, %)	574 (76)	109 (82)	73 (72)	65 (65)	51 (86)	40 (71)	50 (94)	44 (83)	38 (73)	30 (60)	30 (60)	44 (88)	43.6***				
Antiplatelet drugs (n, %)	481 (63)	84 (63)	67 (66)	64 (64)	28 (47)	40 (71)	45 (85)	28 (53)	30 (58)	24 (48)	24 (48)	47 (94)	52.5***				
Number of drugs (n)	5 ± 1	6 ± 1	5 ± 1	5 ± 1	5 ± 1	5 ± 1	6 ± 1	5 ± 1	6 ± 1	5 ± 1	5 ± 1	5 ± 1	4.2***				
Implanted device (n, %)	369 (49)	88 (66)	82 (80)	47 (47)	26 (44)	10 (18)	25 (47)	23 (43)	37 (71)	12 (24)	17 (34)	2 (4)	146.9***				
ICD (n, %)	291 (39)	84 (63)	36 (35)	39 (39)	22 (37)	7 (13)	21 (40)	22 (42)	37 (71)	9 (18)	14 (28)	50 (100)	117.0***				
CRT (n, %)	127 (17)	31 (23)	35 (34)	14 (14)	5 (9)	2 (4)	7 (13)	5 (9)	23 (44)	3 (6)	2 (4)	50 (100)	87.8***				
Other pacemaker (n, %)	46 (6)	4 (3)	18 (18)	8 (8)	2 (4)	3 (5)	3 (6)	1 (2)	0 (0)	2 (4)	3 (6)	2 (4)	33.4***				
Previous revascularisation (yes, %)	350 (46)	69 (52)	50 (49)	43 (43)	27 (46)	28 (50)	33 (62)	27 (51)	21 (40)	17 (34)	15 (30)	20 (40)	18.5*				
Previous PCI (n, %)	288 (48)	54 (41)	33 (32)	36 (36)	24 (41)	25 (45)	29 (55)	20 (38)	19 (37)	15 (30)	15 (30)	18 (36)	12.3				
Previous CABG (n, %)	137 (18)	36 (27)	27 (26)	18 (18)	5 (8)	8 (14)	11 (21)	15 (28)	5 (10)	5 (10)	2 (4)	5 (10)	33.9***				

Data is presented as a mean ± standard deviation, a median with lower and upper quartiles, or numbers with percentages, where appropriate; \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001; #CKD was defined as eGFR < 60 mL/min/1.73 m<sup>2</sup>; \$ Anaemia was defined as haemoglobin level < 12 g/dL for men and < 13 g/dL for women

WROC — Wrocław; KAT — Katowice; LUBL — Lublin; WAR — Warsaw; BIAL — Białystok; KRAK — Kraków; BMI — body mass index; SBP — systolic blood pressure; NYHA — New York Heart Association; LVEF — left ventricular ejection fraction; CAD — coronary artery disease; eGFR — estimated glomerular filtration rate calculated using Modification of Diet in Renal Disease (MDRD) formula; MI — myocardial infarction; TIA — transient ischaemic attack; CKD — chronic kidney disease; COPD — chronic obstructive pulmonary disease; ACEI — angiotensin converting enzyme inhibitor; ARB — angiotensin receptor blocker; ICD — implantable cardioverter-defibrillator; CRT — cardiac resynchronisation therapy; PCI — percutaneous coronary intervention; CABG — coronary artery bypass graft



**Figure 1.** The distribution of 758 patients with systolic heart failure, according to their subjective perception of internal control, external control (by the others), and external control (by chance) using scores obtained with the Multidimensional Health Locus of Control Scale (categorised as low, moderated, or high scores, as defined within the 'Methods' section)

Self-efficacy was related to 'internal control' ( $r = 0.29$ ) and 'external control (by the others)' (both  $p < 0.05$ ) but not to 'external control (by chance)' ( $p > 0.05$ ). There were no differences in the strength of the aforementioned associations in other pre-specified subgroups of patients, as shown in Table 3.

## DISCUSSION

In the present study, we have shown that the majority of examined patients with HF placed themselves on the highest (or at least moderately high) level of all three types of health control. Importantly, no one placed him or herself at the lowest level of both 'internal' and/or 'external control (by the

others)'. This suggests that patients with systolic HF perceive the level of 'external control (by the others)' and 'internal control' of their health (as well as their subjective feeling of self-efficacy) as particularly high. Based on such results we can conclude that the majority of examined patients believe that their health is strongly dependent on the decisions and/or actions performed by other people, including healthcare professionals. Moreover, they also acknowledge a strong feeling of their own influence on their health and their high effectiveness in reaching any desired goals (including those related to health).

The pattern of these psychological features appears to be different from those seen in patients with other chronic diseases (for instance, diabetes [2], epilepsy [14]).

The pattern of the subjective perception of health control observed in our study was homogenous across the whole spectrum of the HF cohort; there were practically no relations with either HF severity, HF duration, the presence of co-morbidities, or the applied treatment, except for the relation between 'internal control' and taking statins and the relation between 'external control (by the others)' and taking diuretics, as well as having an implanted device. Although the relations between the scores in MHLC subscales and particular medications are hard to explain, the fact that patients having implanted devices were characterised by higher levels of 'external control (by the others)' seems to be reasonable from the psychological point of view. The experience of the reduction of symptoms (e.g. resulting from having cardiac resynchronisation therapy) may be linked to the conviction about the role of physicians in the patients' health status. On the other hand, it is very probable that each patient who actually has an implanted device believed in the physician's role before they agreed to have the implantation, as this procedure requires entrusting one's life and health to healthcare professionals, which is related to the perception of high 'external control (by the others)' [15].

The presented results also confirmed previous findings, suggesting that depressive symptoms are inversely related to 'internal control' and positively related to 'external control (by chance)' [16].

Scores in GSES were also independent from the clinical characteristics of the examined patients. It is surprising because it is known that the sense of self-efficacy is related to self-reported physical functioning and to the severity of the disease (e.g. in patients with chronic obstructive pulmonary disease and HF [17]). Self-efficacy is also known as an important predictor of emotional and psychosocial adjustment of patients with epilepsy [18].

The subjective perception of self-efficacy was inversely related to depressive symptoms, which is consistent with the majority of previous findings [19]. We have also observed relations between particular localisations of health control and the sense of self-efficacy, which is also consistent with the results of studies conducted previously [20].

Table 2. Associations between the scale scores reflecting the localisation of health control and self-efficacy, and clinical variables in 758 patients with systolic heart failure examined in 11 cardiology centres in Poland (linear regression, univariate and multivariate models)

Variables	Units	Localisation of health control										Self-efficacy			
		Internal control					External control					BDI			
		Univariable models	Multivariable model §	without	with	BDI	by the others	Univariable models	Multivariable model	without	with	BDI	without	with	
Inpatients	yes vs. no	-0.01	-	-	-	-	-	-0.01	-	-	-0.09**	-0.07*	-0.07	-	-
Gender	men vs. women	-0.05	-	-	-	-	-0.06*	-0.01	-	0.02	0.08*	0.06	0.06	-	-
Age	year	-0.04	-	-	-	-	0.15***	0.14***	-	-0.03	-	-	-	-	-
BMI	kg/m <sup>2</sup>	-0.004	-	-	-	-	-0.03	-	-	-0.04	-	-	-	-	-
SBP	mm Hg	-0.03	-	-	-	-	0.01	-	-	-0.04	-	-	-	-	-
Heart rate	beats/min	-0.04	-	-	-	-	-0.03	-	-	0.004	-	-	-	-	-
Time since HF diagnosis	year	0.04	-	-	-	-	0.05	-	-	0.05	-	-	-	-	-
NYHA class	I / II / III / IV	-0.05	-	-	-	-	0.04	-	-	0.12***	0.06	0.04	0.04	-0.11**	-0.01
LVEF	%	0.05	-	-	-	-	0.05	-	-	-0.10**	-0.07	-0.07	-0.07	0.08*	0.02
HF aetiology, CAD	yes vs. no	0.02	-	-	-	-	0.04	-	-	-0.03	-	-	-	-0.04	-
Sodium	mmol/L	0.03	-	-	-	-	-0.02	-	-	-0.05	-	-	-	0.08*	0.03
Haemoglobin	g/dL	-0.02	-	-	-	-	-0.09*	-0.06	-	-0.04	-	-	-	0.004	-
eGFR	mL/min/1.73 m <sup>2</sup>	0.08*	0.02	0.02	-	-	-0.004	-	-	-0.004	-	-	-	0.05	-
Previous MI	yes vs. no	0.01	-	-	-	-	-0.001	-	-	-0.04	-	-	-	-0.04	-
Hypertension	yes vs. no	0.07*	0.02	0.02	-	-	-0.01	-	-	0.02	-	-	-	0.02	-
Atrial fibrillation	yes vs. no	-0.10**	-0.08*	-0.07	-	-	0.03	-	-	-0.02	-	-	-	-0.05	-
Previous stroke and/or TIA	yes vs. no	-0.01	-	-	-	-	-0.03	-	-	0.004	-	-	-	-0.004	-
Diabetes mellitus	yes vs. no	0.04	-	-	-	-	0.02	-	-	.06	-	-	-	-0.06	-
CKD #	yes vs. no	-0.10**	-0.07	-0.06	-	-	0.01	-	-	0.03	-	-	-	-0.05	-
Anaemia #	yes vs. no	0.01	-	-	-	-	0.06	-	-	0.01	-	-	-	0.02	-
COPD	yes vs. no	-0.04	-	-	-	-	0.05	-	-	0.04	-	-	-	-0.02	-
Cancer	yes vs. no	-0.03	-	-	-	-	0.02	-	-	-0.01	-	-	-	-0.06	-
Number of co-morbidities	number	-0.04	-	-	-	-	0.04	-	-	0.03	-	-	-	-0.06	-

**Table 2. cont.** Associations between the scale scores reflecting the localisation of health control and self-efficacy, and clinical variables in 758 patients with systolic heart failure examined in 11 cardiology centres in Poland (linear regression, univariate and multivariate models)

Variables	Units	Localisation of health control										Self-efficacy			
		Internal control				External control						without BDI		with BDI	
		Univariable models	Multivariable model §	without	with	by the others		by chance				Univariable models	Multivariable model §	without	with
BDI	point	-0.14***	-0.12***	-	0.002	-	0.13***	-	0.10**	-	-0.37***	-	-0.36***		
BDI subscale 1	point	-0.13***	-	-	-0.02	-	0.10**	-	-	-	-0.37***	-	-		
BDI subscale 2	point	-0.12***	-	-	0.04	-	0.15***	-	-	-	-0.27***	-	-		
Depression §	yes vs. no	-0.16***	-	-	-0.05	-	0.05	-	-	-	-0.30***	-	-		
<b>Treatment</b>															
ACEI and/or ARB	yes vs. no	-0.01	-	-	-0.01	-	-0.05	-	-	-	0.04	-	-		
Aldosterone antagonist	yes vs. no	0.03	-	-	0.04	-	0.05	-	-	-	0.07	-	-		
Beta-blocker	yes vs. no	-0.004	-	-	-0.01	-	-0.09*	-	-0.08*	-	-0.04	-	-		
Loop diuretic	yes vs. no	-0.03	-	-	0.01	-	0.09*	-	0.03	0.02	0.10**	-0.07	-0.02		
Thiazide diuretic	yes vs. no	0.03	-	-	0.09*	-	0.07*	-	-	-	0.03	-	-		
Digoxin	yes vs. no	-0.03	-	-	0.022	-	-0.01	-	-	-	-0.04	-	-		
Statin	yes vs. no	0.1**	0.08*	0.07*	-0.005	-	-0.02	-	-	-	0.07	-	-		
Antiplatelet drugs	yes vs. no	0.02	-	-	-0.04	-	-0.03	-	-	-	-0.01	-	-		
Medications	number	0.038	-	-	0.011	-	-0.02	-	-	-	-0.03	-	-		
Implanted devices	yes vs. no	-0.04	-	-	0.11**	0.10*	0.03	-	-	-	-0.06	-	-		
ICD	yes vs. no	0.02	-	-	0.07*	0.01	0.03	-	-	-	-0.03	-	-		
CRT	yes vs. no	-0.05	-	-	0.04	-	-0.04	-	-	-	-0.07*	-0.06	-0.06		
Other pacemaker	yes vs. no	-0.09*	-0.02	-	0.07	-	0.02	-	-	-	-0.04	-	-		
Previous revascularisation	yes vs. no	0.02	-	-	0.03	-	0.05	-	-	-	-0.04	-	-		
Previous PCI	yes vs. no	-0.02	-	-	0.07	-	-0.01	-	-	-	-0.06	-	-		
Previous CABG	yes vs. no	0.06	-	-	0.01	-	-0.05	-	-	-	-0.02	-	-		

Data is presented as standardised correlatory coefficients  $\beta$ ; \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001; #CKD was defined as eGFR < 60 ml/min/1.73 m<sup>2</sup>; ## Anaemia was defined as haemoglobin level < 12 g/dL for men and < 13 g/dL for women; § Depression was defined as BDI score  $\geq 14$  points; (for the explanation of the approach used for models with and without BDI please refer to the 'Methods' section); § The multivariable models included the variables which were significant determinants of the scores in univariable models (for detailed description please refer to the 'Methods' section); BDI — Beck Depression Inventory, rest abbreviations as in Table 1



**Table 3.** Associations between the scale scores reflecting the localisation of health control and self-efficacy in selected subgroups of 758 patients with systolic heart failure (HF)

Analyses were performed in the following subgroups of patients with systolic HF	N	GSES vs. internal control	GSES vs. external control (by the others)	GSES vs. external control (by chance)
All	758	0.29***	0.09*	0.03
Men	599	0.28***	0.09*	0.04
Women	159	0.32***	0.10	-0.002
Inpatients	624	0.28***	0.06	0.01
Outpatients	134	0.30***	0.22*	0.10
Age ≤ 64 years (median)	392	0.26***	0.11**	-0.02
Age > 64 years	366	0.32***	0.09	0.07
NYHA class: I and II	449	0.29***	0.11*	0.04
NYHA class: III and IV	309	0.28***	0.08	0.03
HF aetiology: CAD	460	0.03***	0.06	0.01
HF aetiology: non-CAD	298	0.27***	0.15**	0.05
< 3 co-morbidities	355	0.30***	0.13*	0.05
≥ 3 co-morbidities	403	0.28***	0.07	0.02
With any device	365	0.32***	0.13*	0.01
Without any device	393	0.26***	0.07	0.05
< 5 medications	200	0.27***	0.06	-0.05
≥ 5 medications (median)	558	0.30***	0.10*	0.05
BDI score ≥ 14 points #	225	0.24***	0.12	0.12
BDI score < 14 points #	533	0.26***	0.06	0.007

Results are presented as Pearson's linear correlation coefficients (r); \*p < 0.05; \*\*p < 0.01; \*\*\*p > 0.001 reflect a statistical significance of the correlation coefficients; # Depression was defined as BDI score ≥ 14 points; GSES — Generalised Self Efficacy Scale; NYHA — New York Heart Association; CAD — coronary artery disease; BDI — Beck Depression Inventory

### Limitations of the study

As there are psychological differences between patients who agree vs. disagree to participate in this type of a study, so our results may be biased and should not be generalised beyond the studied group.

### CONCLUSIONS AND CLINICAL IMPLICATIONS

The presented results indicate that patients with systolic HF are convinced that they control their own health and that their activities are efficient. Perhaps healthcare professionals should give patients more opportunities to take care of themselves in the process of HF management. Moreover, it appears that patients with systolic HF are conscious about the role of others (including healthcare providers). Healthcare professionals should consider this information in their clinical practice because it is in favour of implementation of a partnership model of specialists' care of patients with HF. Such observations should be taken into account while designing any type of initiatives aimed at helping patients with HF in their everyday management.

What is particularly important from a practical point of view is that it has been shown that the localisation of health

control is crucial in the context of adherence to medical treatment. This conclusion was made based on numerous studies involving patients with other chronic diseases, like: diabetes [2], hypertension [3], hypercholesterolaemia [4], and schizophrenia [21] as well as patients treated using haemodialysis [22]. Moreover, it has been demonstrated that the modulation of beliefs regarding health control [23] as well as the level of self-efficacy [19] can reduce depressive symptoms, which are very common in patients with HF. There is also evidence suggesting that there is a link between locus of health control and survival in patients after lung transplantation (i.e. patients with 'internal control' lived significantly longer [24]).

To summarise, we suggest that the patient's socio-emotional state (e.g. in terms of health control beliefs and the sense of self-efficacy) should be assessed as part of a multidimensional approach towards HF patients, which is suggested by current guidelines related to the management of HF.

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# Przekonania dotyczące kontroli zdrowia i poczucie skuteczności u chorych z niewydolnością serca

Agnieszka E. Siennicka<sup>1,2,3</sup>, Kinga Gościńska-Bis<sup>4</sup>, Jacek Wilczek<sup>4,5</sup>, Maciej Wójcik<sup>6</sup>, Robert Błaszczuk<sup>6</sup>, Filip M. Szymański<sup>7</sup>, Paweł Nadrowski<sup>8</sup>, Błażej Michalski<sup>9</sup>, Katarzyna Mizia-Stec<sup>10</sup>, Katarzyna Ptaszyńska-Kopczyńska<sup>11</sup>, Grzegorz Kopec<sup>12</sup>, Anna Hryniewicz-Szymańska<sup>13</sup>, Łukasz Krzych<sup>14</sup>, Ewa A. Jankowska<sup>3,15</sup>

<sup>1</sup>Klinika Chorób Serca, Uniwersytet Medyczny we Wrocławiu, Wrocław

<sup>2</sup>Zakład Fizjologii, Uniwersytet Medyczny we Wrocławiu, Wrocław

<sup>3</sup>Klinika Kardiologii, Ośrodek Chorób Serca, 4. Wojskowy Szpital Kliniczny z Polikliniką, Wrocław

<sup>4</sup>Klinika Elektrokardiografii, Szpital Uniwersytecki nr 7, Śląski Uniwersytet Medyczny, Katowice

<sup>5</sup>Klinika Elektrokardiologii i Niewydolności Serca, Śląski Uniwersytet Medyczny, Katowice

<sup>6</sup>Klinika Kardiologii, Uniwersytet Medyczny w Lublinie, Lublin

<sup>7</sup>Klinika Kardiologii, Warszawski Uniwersytet Medyczny, Warszawa

<sup>8</sup>III Klinika Kardiologii, Górnośląskie Centrum Medyczne, Zabrze

<sup>9</sup>Klinika Kardiologii, Uniwersytet Medyczny w Łodzi, Łódź

<sup>10</sup>I Klinika Kardiologii, Śląski Uniwersytet Medyczny, Katowice

<sup>11</sup>Klinika Kardiologii, Uniwersytet Medyczny w Białymstoku, Białystok

<sup>12</sup>Klinika Chorób Serca i Naczyń, Collegium Medicum, Uniwersytet Jagielloński, Szpital im. Jana Pawła II, Kraków

<sup>13</sup>Klinika Kardiologii, Nadciśnienia Tętniczego i Chorób Wewnętrznych, Warszawski Uniwersytet Medyczny, Warszawa

<sup>14</sup>Klinika Kardiologii, Anestezjologii i Intensywnej Terapii, Śląski Uniwersytet Medyczny w Katowicach, Górnośląskie Centrum Medyczne, Zabrze

<sup>15</sup>Samodzielna Pracownia Badań Układu Krążenia, Katedra Chorób Serca, Wydział Nauk o Zdrowiu, Uniwersytet Medyczny we Wrocławiu, Wrocław

## Streszczenie

**Wstęp:** Umiejscowienie kontroli zdrowia i poczucie skuteczności to zmienne, które nie zostały dokładnie przebadane wśród chorych z niewydolnością serca (HF), mimo że te cechy psychologiczne mogą determinować stosunek pacjenta do personelu medycznego, a także realizowanie zaleceń lekarskich.

**Metody:** Do prospektywnego polskiego badania wielośrodowego Caps-Lock-HF włączono 758 osób ze skurczową HF (wiek:  $64 \pm 11$  lat, mężczyźni: 79%, klasy NYHA III–IV: 40%, etiologia niedokrwienno: 61%). Umiejscowienie kontroli zdrowia oceniano za pomocą skali MHLC, wyróżniającej 3 wymiary (kontrolę wewnętrzną, zewnętrzną podkreślającą wpływ innych, zewnętrzną podkreślającą wpływ przypadku). Skala GSES została użyta do oceny poczucia skuteczności, a Inwentarz Depresji Becka (BDI) — do oceny nasilenia objawów depresyjnych.

**Wyniki:** Większość badanych oceniła kontrolę zdrowia opartą na wpływie innych, jak również własny wpływ na zdrowie jako wysokie (odpowiednio 77% i 63%) lub przynajmniej umiarkowane (22% i 36%); poczucie skuteczności było również wysokie lub umiarkowane (63% i 27%). Co ważne, było to niezależne od stopnia zaawansowania HF, czasu trwania choroby, obecności schorzeń towarzyszących i stosowanej terapii. Im silniejsze było poczucie własnego wpływu na zdrowie, tym silniejsze poczucie skuteczności ( $p < 0,05$ ), a obie te cechy były odwrotnie proporcjonalne do nasilenia objawów depresyjnych ( $p < 0,05$ ).

**Wnioski:** Zbadana konfiguracja cech psychologicznych: poczucie kontroli zdrowia i poczucie skuteczności sugerują, że pacjenci z HF są w stanie doceniać wpływ innych osób (w tym lekarzy), jak również siebie samych na przebieg choroby oraz są przekonani o skuteczności swoich działań. Takie wyniki wskazują, że warto rozważyć wprowadzenie partnerskiego modelu opieki medycznej w leczeniu przewlekłej, skurczowej HF.

**Słowa kluczowe:** niewydolność serca, cechy psychologiczne, umiejscowienie kontroli zdrowia, poczucie skuteczności

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## Adres do korespondencji:

dr Agnieszka E. Siennicka, Uniwersytet Medyczny we Wrocławiu, ul. Weigla 5, 53–114 Wrocław, e-mail: agnieszka.siennicka@umed.wroc.pl

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