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# Photothermal lysis of *Pseudomonas aeruginosa* by polyaniline nanoparticles under Near Infrared Irradiation

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5 **Abstract.** Increases in the prevalence of antibiotic resistant bacteria require new approaches for the treatment of infectious  
6 bacterial pathogens. A new therapeutic modality, which is called photothermal therapy (PTT) involves the absorption of Near  
7 Infrared Radiation (NIR) light by absorbing species (e.g. polyaniline nanoparticles) and transfer of the absorbed energy into  
8 the surrounding environment as heat that could cause pathogen death. Since the pathogen cells, and the surrounding tissue,  
9 does not absorb NIR radiation, an agent which strongly absorb the light has to be added. In this work, were performed  
10 experiments to determine if polyaniline nanoparticles (PANI-NP) in combination with NIR irradiation could be used to  
11 destroy *Pseudomonas aeruginosa*. Results reveal that this nanomaterial, following NIR exposure could be used for killing  
12 bacteria because it was observed a significant decrease in cell viability triggering cell death. In addition, the cell death  
13 mechanism was observed by DNA fragmentation.  
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15 **Keywords:** Nanomaterials - Polyaniline Nanoparticles - Photothermal Therapy - Pathogenic bacteria – Bacterial death  
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## 1. Introduction

Conventional antibiotic therapy, play critical roles in treating pathogenic infections caused by bacteria which have been used for decades. However, the use of antibiotics, leads to the rise of drug resistance. The multidrug-resistant (MDR) is becoming an increasingly urgent worldwide health problem. Therefore, there is an urgent need for the development new antibacterial materials or effective therapeutic modalities to resolve this emerging problem. One of the most common causes of infections in nosocomial ambient and community in general is *Pseudomonas aeruginosa*, a flagellate bacterium with rod-like shape. This microorganism is classified as a Gram-negative that has the capacity to easily adapt to distinct environments such as soil, marshes, plants and animal tissues<sup>1,2</sup>. This bacterium can achieve high cell contaminations which remain stable for long periods of time<sup>3</sup>. *P. aeruginosa* is an opportunistic pathogen causing a wide range of systemic or local infections and that could be cause numerous problems, mainly in patients suffering from predisposing conditions such as cystic fibrosis, burn wounds and immunodeficiency<sup>4</sup>. Nowadays, some microorganism among *P. aeruginosa* became resistant to various drugs (e.g. antibiotics) affecting the treatment of nosocomial infections<sup>5,6</sup>. The increasing occurrence of MDR strains among pathogen microbes has become one of most important problems in medicine worldwide. It is therefore that the prevention of infections caused by these pathogens and new therapeutic modalities are urgently needed<sup>7</sup>.

Photothermal therapy (PTT) is an attractive therapeutic technique and a kind of photo-assisted therapy where the Near Infrared Radiation (NIR) -range between 700-1200 nm- is used in combination with a nanomaterial which absorbs the light to generate localized heat<sup>8</sup>. Owing to the fact that in that wavelength range (NIR), biological tissues and water -the main component of live systems- are transparent, a window for therapeutic applications is emerging<sup>9</sup>. Heat generation, also called hyperthermia, is able to produce pathogen death. With this objective, different materials were reported as photothermal agents: magnetic materials,<sup>10,11</sup> gold, silver, and palladium nanoparticles,<sup>12-14</sup> carbon based materials (e.g. graphene oxide, nanotubes, etc)<sup>15-19</sup> and conducting polymers (e.g. polyaniline, polypyrrole, and others) in several forms<sup>20-23</sup>.

One of the most interesting conducting polymers that can be used to generate different forms of nanomaterials to apply in biological systems is polyaniline<sup>24,25</sup>. Thin films, nanofibers and nanoparticles are some of these forms useful to study the effect of PTT on living organism<sup>26,27</sup>. Polyaniline nanoparticles (PANI-NP) can be used as a photothermal agent<sup>28</sup>. In this context, in our laboratory, the mentioned nanomaterials were used to *in vitro* and *in vivo* hyperthermia generation assays<sup>29,30</sup>. Besides, ecotoxicology experiments using an animal model of amphibious were made: acute - short term chronic toxicity and teratogenic properties was evaluated with acceptable and desirable results<sup>31,32</sup>. However, PTT using this material has only been tried as antitumoral therapy. Since it is well known<sup>33</sup>, that tumor cells are less resistant to a temperature increase than normal cells, PTT is highly effect. We recently tried PTT Even though the therapy was effective, it is possible for the organic solvent used in the synthesis (N-methylpyrrolidone against *Pseudomonas aeruginosa* with another kind of nanoparticles (generated by solvent displacement method)<sup>34</sup>, NMP) to decrease in cell viability. In the current work, we propose PANI-NP synthesized in aqueous media (NMP free) as agent in PTT as antibacterial nanotherapy where no NMP effect is present. The aim of this study is evaluate the capacity of PANI-NP as photothermal agent in the treatment of pathogenic bacteria such as *Pseudomonas aeruginosa*. After the synthesis of the nanomaterial and physicochemical characterization, experiments related with the *in vitro* photothermal effect were made. Irradiation with NIR light in this kind of bacteria was tested with excellent results. Besides, DNA fragmentation was observed indicating that the combination of PANI-NP with NIR light is capable of generating DNA damage on the pathogenic bacteria.

## 2. Materials and Methods

### 2.1. Chemical reagents

Hydrochloride anilinium (ANI) used as monomer was obtained from Merck. Ammonium peroxydisulfate (oxidant) was purchased from S. Aldrich. Polyvinylpyrrolidone (Fluka, Mw= 360.000) were used as stabilizer

agent of nanoparticles to avoid the agglomeration. Milli-Q quality water was used for all solutions and preparations. Other chemical were analytical reagents and used as received.

## 2.2. Bacterial culture and preparation

*P. aeruginosa* ATCC 15692 (PAO1 strain) were maintained at the temperature of - 80 °C in glycerol stock solution. Bacteria cells were cultured at 37 °C in an aerobic atmosphere and then transferred to LB plates (Luria-Bertani broth). Cultures grown overnight in LB nutrient medium and were harvested at the exponential growth phase. The cells were finally resuspended in sterile saline solution (0.85 % NaCl).

## 2.3. Light source (PTT)

The Photothermal Therapy (PTT) experiment was carried out using a Near-Infrared (NIR) laser at a wavelength emission of 785 nm and 500 mW power. The temperature was recorded by a TES 1326S/1327K infrared thermometer and /or by a K type thermocouple.

## 2.4. Nanoparticles Synthesis

In order to obtain PANI-NP, a 0.2 M solution of aniline hydrochloride was mixed with a 0.25 M solution of the oxidant (APS) in the presence of the stabilizer (PVP, 2 w/w %). Polymerization was carried out at room temperature ( $20 \pm 0.1$  °C) under stirring for 30 minutes. When a dark-green dispersion was obtained, synthesis was considered by finalized<sup>24, 35</sup>. In **Scheme 1**, the reaction to produce PANI-NP is represented. Note that the resulting polymer after oxidative reaction is PANI. PVP is the stabilizer that interacts with the water due to its hydrophilic characteristics and stabilize the colloidal dispersion.

## 2.5. Physical-chemical characterization

Dynamic Light Scattering (DLS) technique, SEM and TEM microscopic and UV-Visible measurements were used to characterize PANI-NP dispersion. For DLS studies, a Malvern 4700 DLS device was employed. Measurements were made using the conditions that are bellow detailed: pH = 3.5 (resulting after synthetic process), 25°C and scattering angle of 90°. Respect Scanning Electron Micrographs, a Carl Zeiss (EVOMA10) microscope at low vacuum and low field was used. Transmission electron microscopy (TEM) was performed with a JEOL JEM-1010 microscope. PANI-NP dispersion was deposited on a copper grid. UV-Visible spectroscopy was measured in a Hewlett-Packard 8453 diode array UV-Visible spectrophotometer using quartz cells of 1 cm path length. FT-IR spectrum was taken using a Bruker Tensor 27 Spectrometer with the nanoparticles contained in KBr pellets.

## 2.6. Antibacterial activity

Gram-negative *P. aeruginosa* were grown in 25 mL LB broth overnight aerobically in sterile condition at 37 °C with shaking. An aliquot of the bacterial culture was transferred fresh LB broth and incubated at 37 °C to exponential phase of growth. After, bacterial concentration of the suspension was checked, resulting  $10^6$  cells in saline solution. Then, the nanomaterials were added into bacterial suspensions. The concentrations of PANI-NP were 0.404 mg/mL, 0.606 mg/mL, 0.830 mg/mL, and 1.404 mg/mL. Incubation was carried out at 37 °C during 3 hours with *P. aeruginosa* cells (CFU  $10^6$ /ml) in saline solution in contact with the nanomaterial. A bactericidal effect was defined as a decrease in CFU/ml after 24 hours. In these assay, controls used were the following: cells in isotonic saline solution (0.85% NaCl) without nanoparticles as positive control group, LB medium as negative control. Experiments were prepared in triplicate and performed independently three times.

## 2.7. NIR photothermal ablation of Bacteria

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3 Cell suspensions were previously treated as described above. Then, bacteria (*P. aeruginosa*) were transferred  
4 to saline solution to dilute the bacteria until  $10^6$  CFU/ mL. Four control samples were prepared, a negative  
5 control, containing only sterile saline solution, a positive control containing bacteria suspension, a dark control  
6 containing bacteria suspension and incubated with PANI-NP (0.404 mg/L) and light control containing bacteria  
7 suspension and irradiated. Photothermal treatments were performed on 500  $\mu$ L of suspension cell incubated with  
8 PANI-NP during 3 h placed in an eppendorf tube and irradiated for 15 minutes. Both groups, light control and  
9 photothermic treatment were exposed to the irradiation of a 785 nm laser for 15 min ( $500 \text{ mW/cm}^2$ ). After of 5 h  
10 post-irradiation were cultured for 24 h, the bacteria colony number was calculated. Bacterial growth inhibition  
11 (%) was calculated as the difference between the number of bacteria colonies of different treatments and the  
12 positive control.  
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## 14 15 2.8. Viability test

16  
17 The live/dead experiment of *P. aeruginosa* was measured using a Live/Dead® BacLight™ bacterial viability  
18 kit (Life Technologies, Carlsbad, CA, USA) according to the provider's specification. Bacteria was stained to  
19 perform fluorescence and confirm the viability after different exposure treatments. *P. aeruginosa* cells were used  
20 as one of the control. Differentiation between control groups such as dark and light were taken into account. In all  
21 treatments, bacteria concentration was  $10^4$  CFU/mL. Subsequently, the culture medium was removed, and the  
22 samples were rinsed with physiological saline. Finally, cells were stained using LIVE/DEADH BacLight™ for 15  
23 minutes in the dark and were observed by fluorescence microscopy.  
24

## 25 26 2.9. DNA fragmentation

27  
28 Firstly, bacteria were incubated with PANI-NP in a concentration of 0.404 mg/L. DNA was extracted after 5  
29 hours of incubation post-irradiation according to the protocol described by Kumar *et al.*<sup>36</sup> Then, fragmentation  
30 was tested using the DNA ladder assay. Electrophoresis of the extracted DNA was carried out on a 0.8 % agarose  
31 gel, staining with gel green to allow the DNA visualization.  
32

## 33 34 2.10. Statistical analysis

35 The results were analyzed by one way analysis of variance (ANOVA) and Tukey test. Statistical significance  
36 were defined as \*  $p < 0.05$  and \*\*  $p < 0.01$ . Data were represented as the mean  $\pm$  standard deviation of each group.  
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# 38 3. Results and discussion

## 39 3.1. Nanoparticles characterization

40  
41 PANI-NP was obtained, after the synthetic process detailed in experimental section (2.4), as a dark-green  
42 dispersion at pH = 3.5. Dynamic Light Scattering measurement (**Figure 1**) reveals that nanoparticles are  
43 monodisperse at room temperature ( $25 \pm 0.1$  °C). By the mentioned technique, a Gaussian normal distribution of  
44 size was obtained. Average size (hydrodynamic diameter) was estimated in  $220 \pm 5$  nm and Polydispersity Index  
45 (P.D.I.) result 0.145. Besides, zeta potential measurements of the obtained dispersion reveal that particles have  
46 neutral surface charge (-7.58 mV). After that, electronic microscopies of the dispersion were taken out. In **Figure**  
47 **2**, images of SEM, TEM, and AFM experiments are shown. Spherical particles are observed. The SEM images  
48 showed a homogeneous size distribution with an average size  $\bar{x} = 177.96\text{nm} \pm \text{SD} = 13.26\text{nm}$ . The sizes of the  
49 nanoparticles by TEM were with an average size  $\bar{x} = 145.38\text{nm} \pm \text{SD} = 12.53\text{nm}$ . However, note that the size  
50 appreciated can be also less than DLS measurement ( $< 200$  nm). The difference could be attributed to the lack of  
51 the hydration layer due to in the first technique the estimation was based on Stokes-Einstein behavior and  
52 micrographs was taken in dry state<sup>31</sup>. To ascertain the chemical structure of the nanoparticles, a FT-IR spectrum  
53 was taken (**Figure 1, SI**). The spectrum of the conducting polymer in nanoparticles is similar to those reported for  
54 PANI bulk and nanoparticles generated by other methods<sup>34, 37, 38</sup>. In this sense, it is likely that the stabilizer agent  
55 (PVP) is only absorbed on the surface of the conducting polymer interacting with the aqueous medium to avoid  
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3 the precipitation (non-covalent bind). Also, it is important remark that PANI-NP are stable for several months and  
4 could be redispersed in water.  
5

6 Spectroscopic measurement show that nanoparticles absorb in the near infrared range of the spectra. As can be  
7 seen in **Figure 3**, in acidic media (pH = 3.5), PANI-NP presents three definite bands. The band at 300 c.a. is due  
8 to the  $\pi \rightarrow \pi^*$  transition of the PANI aromatic rings. In 420 c.a. is possible appreciate the band corresponding to the  
9 formation of a doping level owing to “exciton” transition ( $n \rightarrow \pi^*$ ). The spectrum is in agreement with previous  
10 research in bulk polyaniline material and other PANI nanostructures<sup>24, 25, 29, 39-41</sup>. For NIR PTT applications the  
11 most important band in the PANI-NP UV-Visible spectra is the one that appears at ca. 700 nm which is assigned  
12 to the polaron absorption of PANI (related with quinonimine states). In this context, is possible assume that the  
13 nanomaterial is into the therapeutic window<sup>42, 43</sup>. In our previous reports<sup>29, 30</sup>, we try photothermal therapy on  
14 cancer cells with PANI-NP, reading a temperature change ( $\Delta T$ ) of 12 °C. However, in the best of our knowledge,  
15 no evaluation of this nanomaterial (free of organic solvent) as photothermal agent in this kind of pathogenic  
16 bacteria has been reported.  
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### 19 3.2. Antibacterial activity of PANI-NP

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21 Some authors reported antibacterial effect of materials based on PANI. In most of them, researchers used the  
22 conducting polymer combined with other materials such as silver<sup>44</sup>, nickel-iron<sup>45</sup>, platinum-palladium<sup>46</sup>, gold<sup>47</sup>  
23 or antibacterial drugs<sup>48</sup> in several forms (films, surfaces or composites)<sup>23, 45, 49, 50</sup>.  
24

25 On the other hand, the aim of the present work is to assess the antibacterial activity of PANI-NP without any  
26 component or functionalization under NIR irradiation. First, after exposition of *P. aeruginosa* to the nanomaterial,  
27 the antibacterial capability of PANI-NP was studied without the assistance of NIR. Four different concentrations  
28 of the polymeric nanoparticles were tried and compared to the control (absence of nanoparticles). The viabilities  
29 of bacteria were studied by using a plate-counting method. The results obtained are shown in **Figure 4**. Control  
30 was able to produce a growth of approx.  $10 \times 10^8$  CFU/mL. However, when pathogenic bacteria were exposure to  
31 PANI-NP, a bactericidal effect was observed in a concentration dependent manner. Concentration of nanomaterial  
32 is a very important factor to be considered: as the concentration increases, the effect is more remarkable. At  
33 concentration of 0.404 mg/mL of the PANI-NP, CFU decreases to  $9.61 \times 10^8$  CFU/mL. If the concentration  
34 increases to 0.606 mg/mL, the result obtained is less than  $8 \times 10^8$  CFU/mL. However, it is possible improve the  
35 bactericidal effect at 0.830 and 1.04 mg/mL of PANI-NP,  $6.65 \times 10^8$  CFU/mL and  $5.16 \times 10^8$  CFU/mL,  
36 respectively. In this form, we demonstrate that polyaniline nanoparticles are able to generate the growth inhibition  
37 without the use of any light source in the case of *Pseudomonas aeruginosa*.  
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### 40 3.3. NIR photohermal killing of bacteria

41  
42 In the previous section, the antibacterial activity of PANI-NP alone on *P. aeruginosa* was shown. Then, we  
43 study the combined action of PANI-NP with the NIR light (PTT). **Figure 5**, shows the viability percentage of  
44 bacteria after photothermal therapy, in presence of PANI-NP, compared with light control (NIR irradiation alone).  
45 The bacterial survival rate was still around 100 % after NIR irradiation for 15 min, meaning that NIR irradiation  
46 alone was harmless to bacterial strain respect the control. Besides, the contact of PANI-NP with the bacteria  
47 neither affect the number of live microorganism. This revealed that the growth of bacteria was not significantly  
48 affected by PANI-NP or irradiated only, due that no significant reduction of colonies was seen. However, the  
49 combination of the nanomaterial and NIR exposition reduce de viability of pathogenic bacteria in ca. 80 % with  
50 respect the initial population. The viabilities of the bacterial groups treated with PANI-NP and irradiated were  
51 remarkably decreased compared with those in other groups. This fact demonstrate that PANI-NP is an effective  
52 photothermal agent to inhibit the grown of *P. aeruginosa*.  
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3 Photothermal conversion efficiency ( $\eta$ ) is an important parameter to quantify the effect of PANI-NP. An  
4 interesting method to estimate the parameter was reported by Hu *et al.* in the last years<sup>51</sup>. Using the mentioned  
5 method, we calculated the photothermal conversion efficiency of our material, resulting  $\eta = 28.16\%$ . The result  
6 reveals that PANI-NP presents a considerable PTT effect and it is comparable with other materials such as  
7 nanoparticles based on Au and SiO<sub>2</sub>.  
8

9  
10 In order to reach a better understanding of the mentioned phenomena, fluorescence microscopy was applied to  
11 asses live and dead cells. **Figure 6** allow to observe the response to a Live/Dead viability kit. For differentiation  
12 of live/dead microbial cells, two stains were used: SYTO<sup>®</sup> 9 and Propidium Iodide (PI). SYTO<sup>®</sup> 9 penetrates all  
13 bacterial membranes (intact and injured) and labels bacterial cells green. Propidium Iodide can only penetrate  
14 injured bacterial membranes and labels the bacterial cells red. It is apparent that only in figure 6d, an increased  
15 staining with propidium iodide can be seen, which follows treatment with PANI-NP and NIR irradiation  
16 (wavelength of 785 nm of 500 mW.cm<sup>-2</sup>) wich indicate disruption of cell walls of the bacterium resulting in death.  
17 It is clear, that only the combination of the nanoparticles at concentration of 0.404 mg/ml of PANI-NP with the  
18 NIR light is capable to kill the bacteria. Under NIR irradiation, the PANI-NP exhibited the highest efficiency in  
19 photothermal lysis of gram-negative bacteria. In (a), (b) and (c), *P. aeruginosa* remain viable/live cells in green.  
20 Finally, the bacteria incubated with PANI-NP and irradiated with NIR light could efficiently absorbed this  
21 irradiation and convert it into heat, increasing the local temperature to kill the bacteria. The radical importance of  
22 this behavior is the capacity of the nanomaterial to convert the radiation from the light in localized heat by  
23 hyperthermia mechanism. Although in previous works the photothermal effect of nanoparticles was demonstrated,  
24 this is the first report showing that this mechanism can be used to kill pathogenic bacteria. These results are  
25 important for us because now we found a new approach for PANI-NP that is added to the other applications that  
26 we found in previous research related with the photothermal effect of this material<sup>24, 29, 30</sup>.  
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28  
29 In addition, mechanism of cell death was studied by electrophoretic DNA fragmentation. Experiments were  
30 take considering the four conditions described control (*P. aeruginosa*), bacteria incubated with PANI-NP,  
31 microorganism exposed to NIR light and the combination of radiation and nanomaterial. In **Figure 7**, a  
32 photographic image of the electrophoretic run is shown. As it can be seen, in comparison with the controls, when  
33 both PANI-NP and NIR light is present a clear DNA damage is observed. The results are in agreement with the  
34 cell death experiment showed in the previous section. It is clear that PTT plays an important role to produce this  
35 damage in the DNA of the pathogenic bacteria since exposure to the nanomaterial alone is not able to generate the  
36 mentioned damage.  
37

#### 38 4. Conclusions

39  
40 In conclusion, we demonstrate in this work that PANI-NP has a new application in the field of photothermal  
41 therapy that is the killing of pathogenic bacteria. PANI nanoparticles were synthesized by in-situ polymerization  
42 and characterized using spectroscopic, microscopic and light dispersion techniques. This is the first time that the  
43 effect of the polymeric nature of this nanomaterial (free of NMP) combined with the NIR irradiation was  
44 evaluated to kill *P. aeruginosa*. The PTT treatment induces death of more than 80 % of the pathogenic bacteria.  
45 We confirmed the affectivity of the treatment with complementary techniques such as fluorescence microscopy  
46 and DNA fragmentation assays, obtaining evidence of the DNA damage in *P. aeruginosa* cells. Results showed  
47 that the combination of PANI-NP and irradiation severely damage the membrane, which caused loss of the cell  
48 membrane integrity. Then, this resulted in the DNA damage, and finally cell death. We can conclude that this  
49 method of photoelimination by PTT could significantly reduce the load of *P. aeruginosa*. Therefore, our obtained  
50 data highlighted the potential of using PANI-NP such as photothermal agent and as a potential photothermal  
51 antimicrobial nanotherapy.  
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#### 53 Acknowledgments

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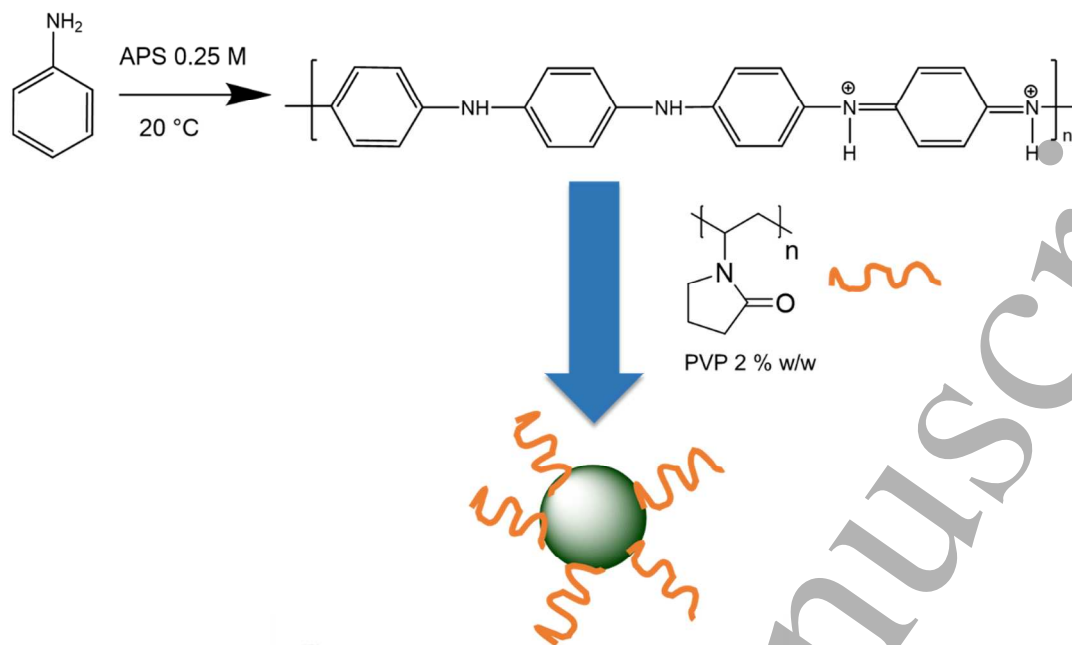


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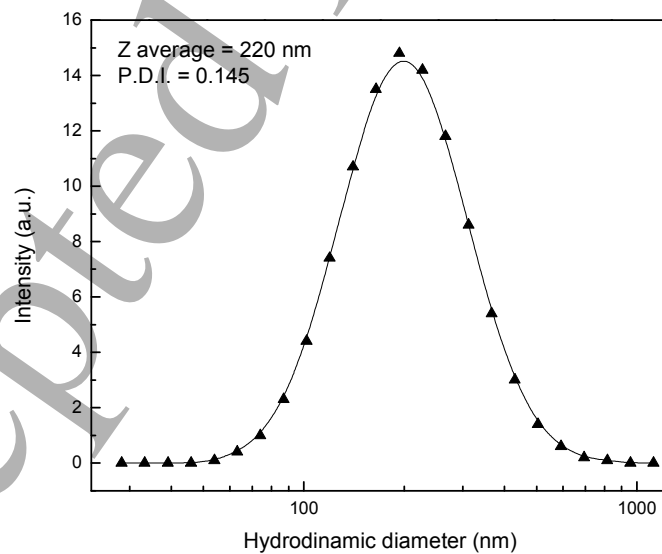
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**Scheme 1. Oxidative polymerization of ANI to produce PANI in the generation of PANI-NP.**



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**Figure 1. Monomodal distribution obtained by DLS measurement of PANI-NP. Hydrodynamic diameter average (Z) and Polydispersity Index (P.D.I.) are informed.**

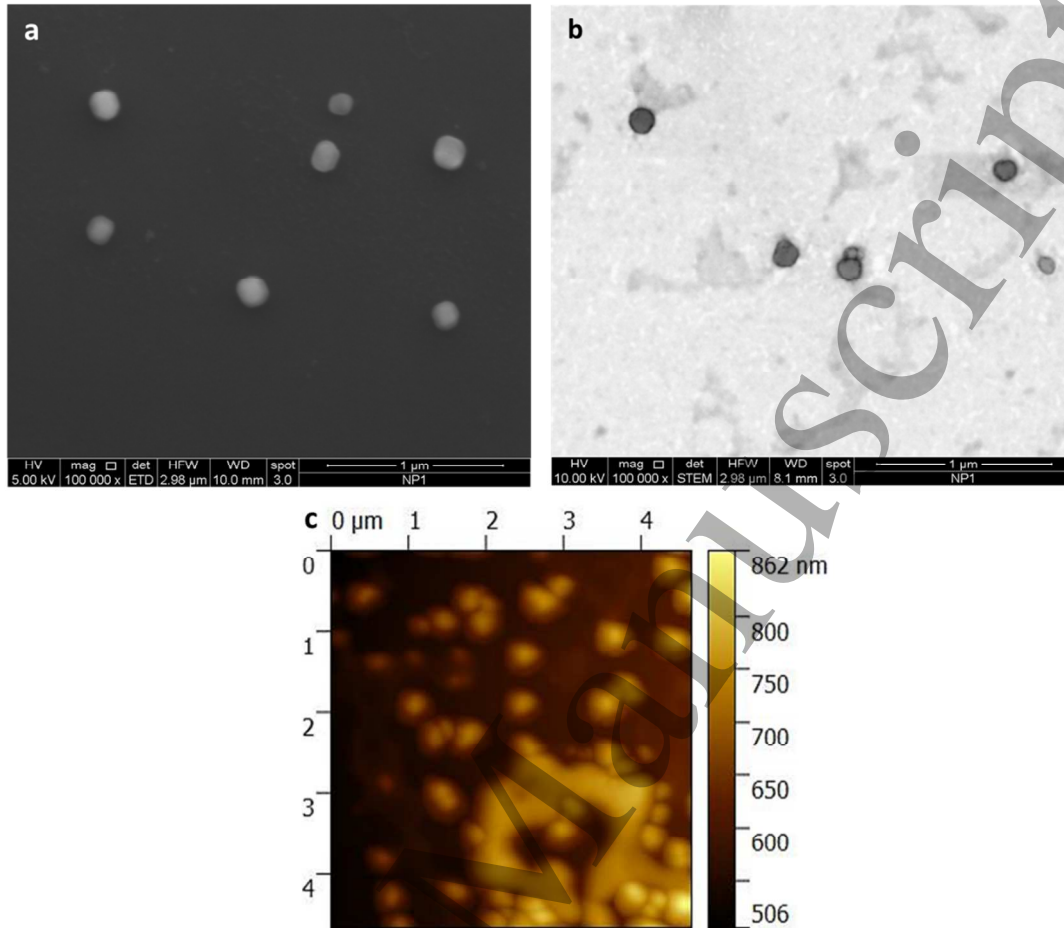
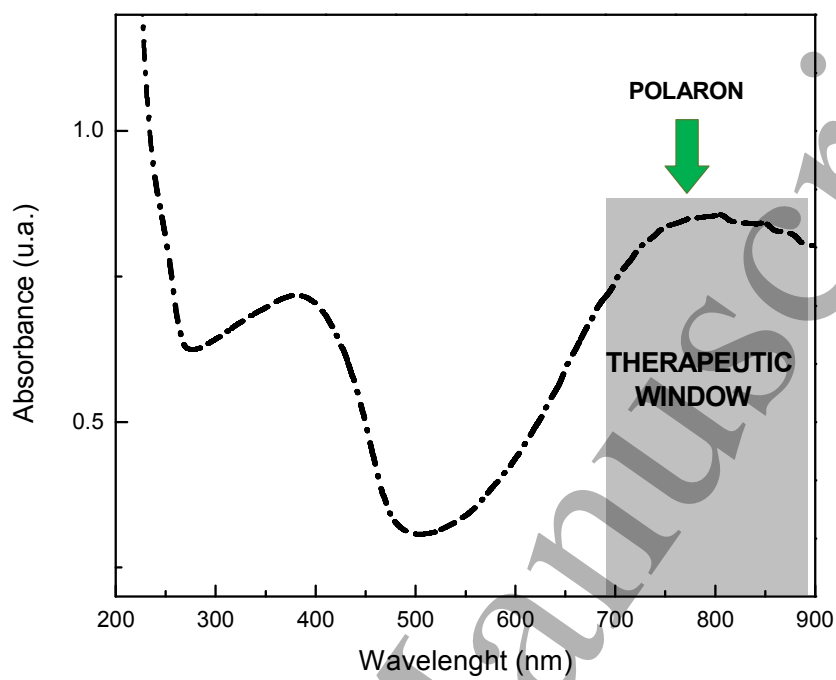


Figure. 2. a) SEM image of a dispersion of PANI-NP. Scale bar: 1  $\mu\text{m}$ . b) TEM image of PANI-NP dispersion on copper gride. Scale bar: 1  $\mu\text{m}$  and c) AFM micrograph of PANI-NP deposited on mica surface.



**Figure 3. UV-Visible spectra of PANI-NP in acidic media. Characteristics bands of conductive polyaniline can be observed at c.a. 380 nm and 780 nm (therapeutic window).**

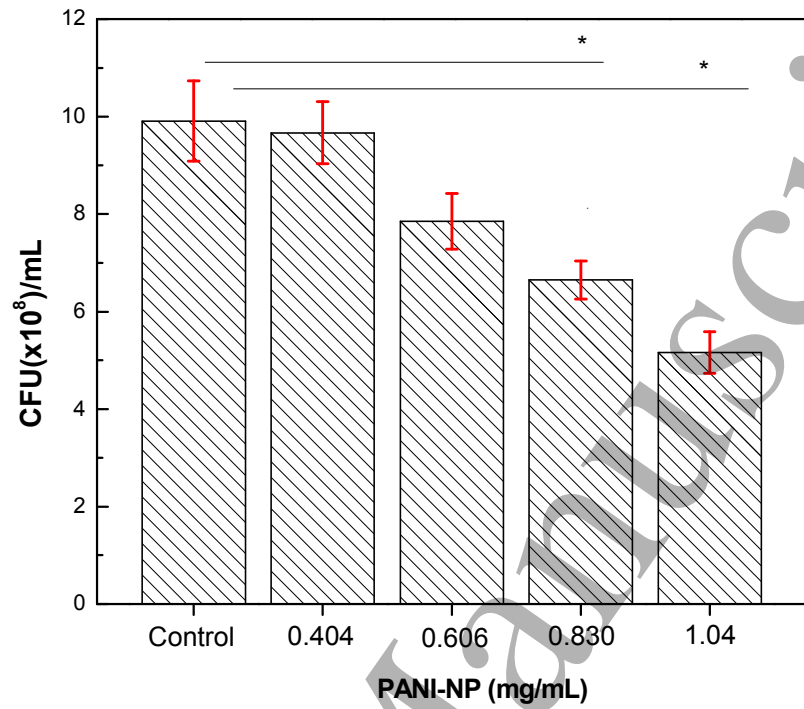
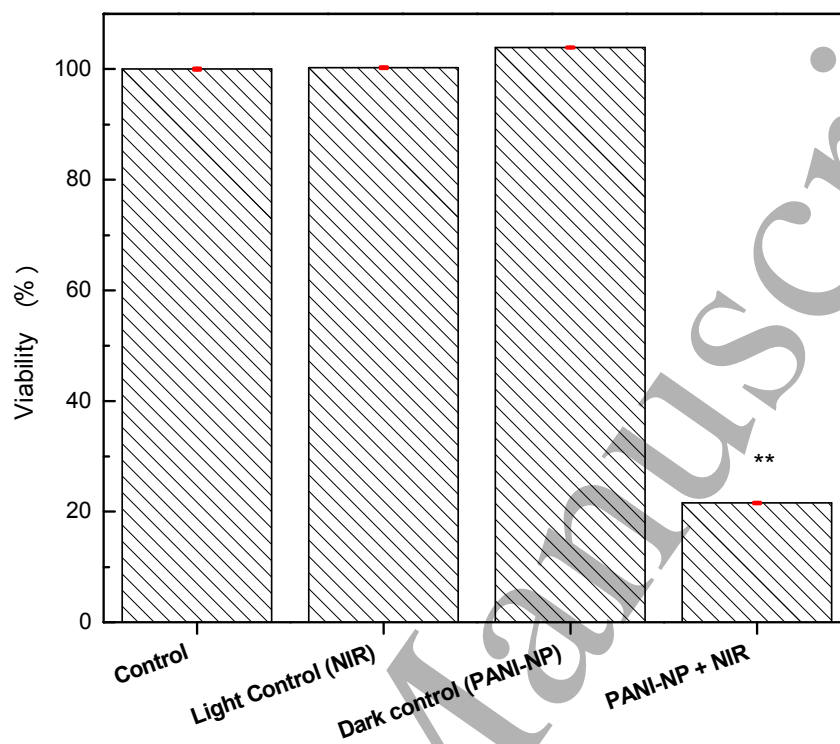
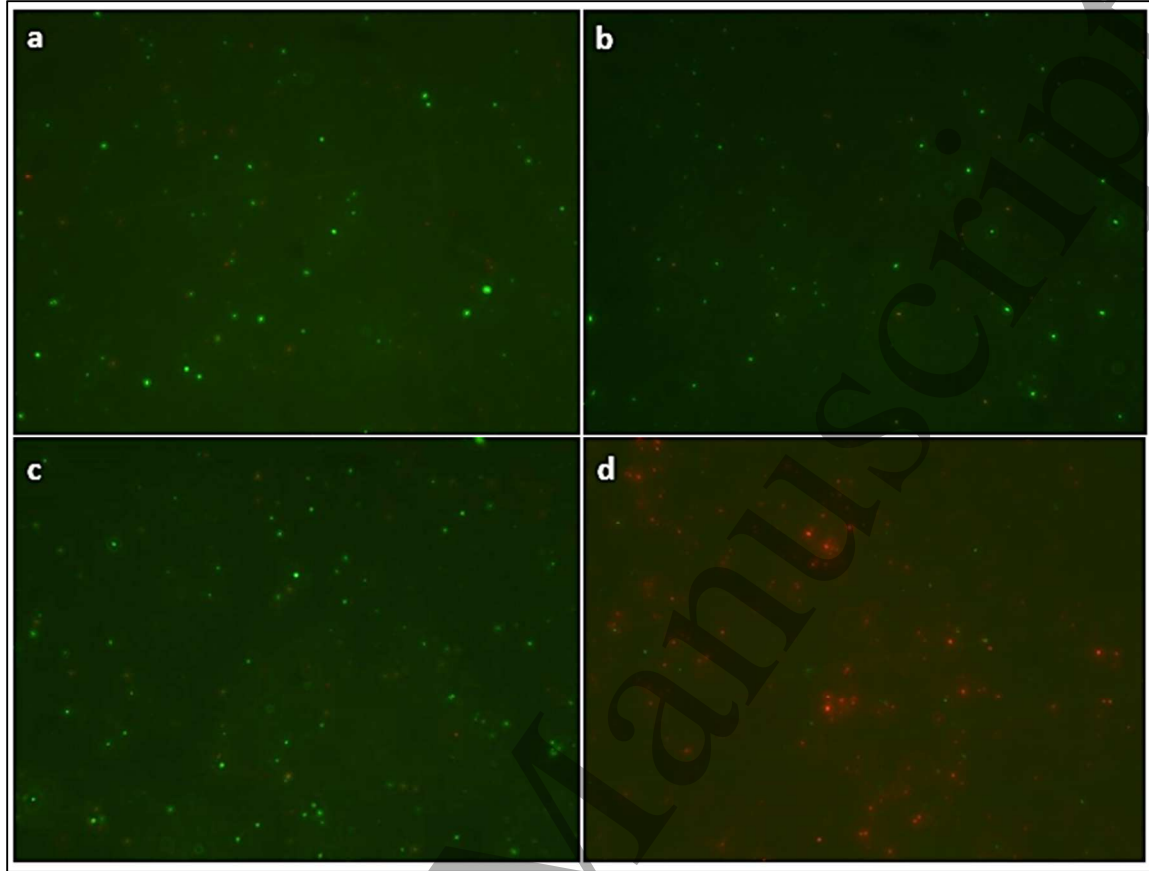


Figure 4. CFU of *P. aeruginosa* quantified by PANI-NP exposure in different concentrations (0.404, 0.606, 0.830 and 1.04 mg/mL) compared with the control.



**Figure 5. Viability (percentage) of *P. aeruginosa* in different conditions: control, irradiated with NIR light, exposed to PANI-NP and the combination of exposition of PANI-NP and NIR irradiation.**

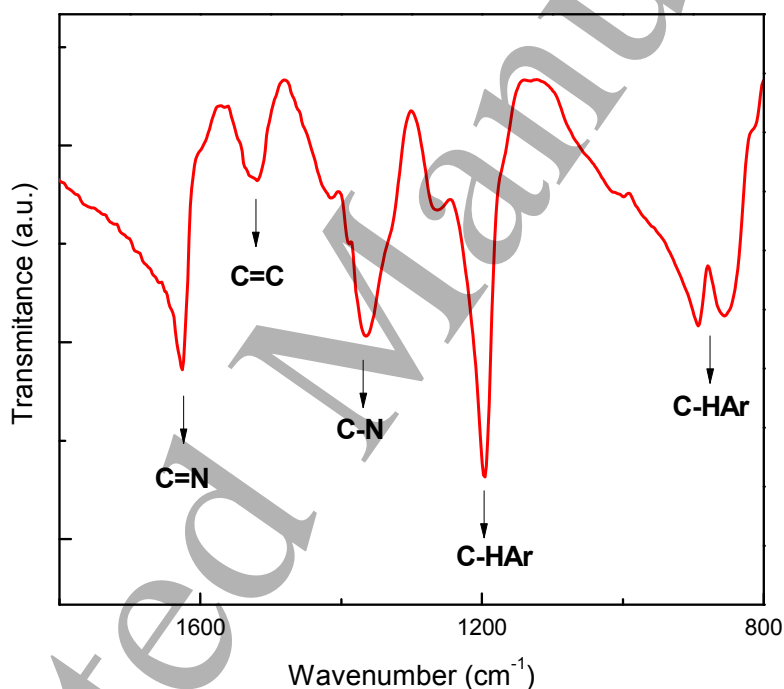


33 **Figure 6. Fluorescence images of live and dead bacterial cells of *P. aeruginosa* with: a) control cells without**  
34 **PANI-NP or NIR exposure; b) cells without PANI-NP exposed to NIR; c) cells with PANI-NP, without NIR**  
35 **exposure; d) cells with PANI-NP and NIR exposure at wavelength of 780 nm of 500 mW.cm<sup>-2</sup>.**  
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8 **Figure 7. Agarose gel electrophoresis of DNA. Lane 1: *P. aeruginosa* (Control), lane 2: *P. aeruginosa***  
9 **treatment with PANI-NP after irradiated with NIR laser (PANI-NP-NIR), lane 3: *P. aeruginosa* after**  
10 **treatment with PANI-NP (Dark Control), and lane 4: *P. aeruginosa* after irradiated with NIR laser (PANI-**  
11 **NP Light).**  
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43 **Figure 1, SI. FT-IR spectrum for PANI-NP synthesized by oxidative polymerization using PVP as stabilizer**  
44 **agent. Characteristics stretching and vibrations are indicated.**  
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