

1 **Geospatial approach for assessing spatiotemporal dynamics of urban green**  
2 **space distribution among neighbourhoods: A demonstration in Mumbai.**

3 **Abstract**

4 Green spaces are an integral part of urban landscape and offer numerous benefits related  
5 to quality of urban life. However, due to various factors, the distribution of green spaces among  
6 city neighbourhoods is often skewed. Hence, urban planners require effective tools to routinely  
7 map and monitor the greening/ un-greening phenomena among the neighbourhoods. This study  
8 caters to this need by adopting a novel geospatial green space distribution assessment approach  
9 that encompasses green space quantity, quality and accessibility aspects. The green space  
10 distribution indicators were derived from remote sensing data, which facilitates cost-effective  
11 green space assessments at desired time scales. Further, the approach includes a statistical  
12 design to identify the hotspots of green space augmentation/degradation using local Moran's I,  
13 an index of spatial autocorrelation. In this study, the approach is demonstrated in Mumbai, a  
14 typical city in a developing country undergoing urbanization transition accompanied by stark  
15 environmental challenges. The study results revealed that the green spaces in Mumbai had  
16 generally diminished, fragmented and disaggregated between 2001 and 2011. However, the  
17 level of degeneration of green spaces was found to vary significantly among the  
18 neighbourhoods. Statistical analyses unveiled that the verdant spaces in the city's western  
19 suburbs had experienced the worst degradation during the study period. These results would  
20 aid Mumbai's planners in formulating local greening strategies in a cost-effective manner.  
21 Further, the study has wider implications for green space planning, especially in the  
22 understudied, fast-urbanizing developing countries.

23  
24 **Keywords:** Urban environment, compact city, remote sensing, spatial metrics, spatial  
25 autocorrelation, India.

26 **Research Highlights**

- 27 • Green space quantity, quality and accessibility assessed using remote sensing data.
- 28 • Mumbai lost 22.6% of its green space area between 2001 and 2011.
- 29 • The city's green spaces largely turned smaller, fragmented and disaggregated.
- 30 • Rise in per capita green space in few neighbourhoods due to decreasing population.
- 31 • Degeneration of green spaces was focused mainly in the western suburbs.

## 32 **1. Introduction**

33 Urban green spaces refer to all open spaces within city limits covered with vegetation  
34 by design or default (*Lo and Jim, 2012*). They include parks, gardens, and even the informal  
35 vegetated spaces in derelict public and private lands, historical places, abandoned industrial  
36 sites, and along transportation and utility corridors (*Gupta et al., 2012; Anguluri and*  
37 *Narayanan, 2017*). These green spaces form an integral part of urban landscape as they enhance  
38 quality of urban life in several aspects. They provide ecosystem services, preserve biodiversity,  
39 promote public health, encourage social interaction, influence property pricings, and also  
40 mitigate the urban heat island (UHI) effect (*Gupta et al., 2012; O'Malley et al., 2015; De la*  
41 *Barrera et al., 2016a; Mehrotra et al., 2019*). For effective utilization of these benefits, green  
42 spaces must be available in and near the places where people live, work and play (*Lin et al.,*  
43 *2015*). However, the distribution of green spaces among city neighbourhoods is often skewed,  
44 due to various socio-economic and cultural factors (*Pham et al., 2012; Zhou and Kim, 2013;*  
45 *Li and Liu, 2016*). Spatial imbalances in provision of green spaces and the associated benefits  
46 is a recognized environmental justice issue worldwide (*Heynen et al., 2006; Rigolon et al.,*  
47 *2018*), which warrants periodic checks and counter measures. By conducting routine  
48 neighbourhood-level green space distribution assessments, city planners can discover the  
49 spatiotemporal trends in greening or un-greening, and thereby design localized strategies to  
50 improve the efficacy of their greening efforts.

51 The need for green space assessments at neighbourhood level is particularly exigent for  
52 the developing countries, which will house 80% of world urban population by 2030 (*UNPF,*  
53 *2007*). Inadequate green space planning coupled with degrading environmental quality calls  
54 for a green space agenda in these cities (*Senanayake et al., 2013; De la Barrera et al., 2016a*).  
55 However, much of the relevant literature on green space distribution assessment are focussed  
56 in the developed countries (*Kabisch et al., 2015*). As key drivers of urban growth in the

57 developed and developing countries are markedly different, a significant knowledge gap exists  
58 on urban green space inventory, and characteristics of spatial inequities in green space  
59 distribution in cities of the Global South (*Bardhan et al., 2016; Fernandez and Wu, 2016*). The  
60 difficulty in addressing this gap is intensified by the fact that in these countries urban growth  
61 is largely informal and planners are mostly under-resourced (*Pethe et al., 2014; De Satge and*  
62 *Watson, 2018*). Hence, quality information on urban green space distribution among city  
63 neighbourhoods at desired time scales is often lacking in these countries. This challenge,  
64 however, can be overcome by means of remote sensing data. Compared to the resource  
65 intensive traditional ground surveys, remote sensing offers a cost-effective alternative to  
66 conduct synoptic and repetitive monitoring of green spaces in a short span of time (*Maktav et*  
67 *al., 2005; Franco and Macdonald, 2017*).

68         Although a few prior studies have presented remote sensing-based approaches to assess  
69 neighbourhood level urban green space distribution in developing countries, there are several  
70 methodological and practical issues that hamper conducting periodic assessments. Most studies  
71 used only quantity measures such as percentage of green space area or green space area per  
72 resident (e.g., *Senanayake et al., 2013; Anguluri and Narayanan, 2017; Nero, 2017; Singh,*  
73 *2018; Shekhar and Aryal, 2019*). However, in addition to quantity, quality and accessibility of  
74 green spaces also influence the benefits offered to the residents and, hence, are key attributes  
75 in green space assessments (*Yao et al., 2014; Haaland and van den Bosch, 2015; De la Barrera*  
76 *et al., 2016b*). *Gupta et al. (2012)* proposed an Urban Neighbourhood Green Index (UNGI) to  
77 assess green space quality based on green space quantity, proximity to green spaces, built-up  
78 density and height of buildings derived from high resolution satellite images. However,  
79 estimating the height of buildings across a city using high resolution images incurs huge  
80 acquisition and processing costs, thus rendering the method unfeasible for periodic assessments  
81 in developing countries. Further, some studies that included accessibility aspect of green spaces

82 generally considered the total population of blocks falling within a certain distance threshold  
83 from green spaces as accessibility measure (e.g., *Li and Liu, 2016; You, 2016; De la Barrera*  
84 *et al., 2016b*). However, block-level population data is seldom available in most developing  
85 countries such as India (*Baud et al., 2010*).

86         Recent studies indicate that quality and accessibility of green spaces are closely linked  
87 to their size, shape and other configurational aspects. For example, large and well-connected  
88 green spaces support more biodiversity, large social gatherings, simultaneous multiple uses,  
89 and hence offer high quality (*Wright Wendel et al., 2012; De la Barrera et al., 2016b*).  
90 Similarly, elongated and well-distributed green space patches attract and benefit more number  
91 of residents (*Jim, 2013; Tian et al., 2014*). Therefore, configurational aspects can serve as  
92 indicators of neighbourhood-level green space quality (*Li and Liu, 2016; You, 2016; De la*  
93 *Barrera et al., 2016b*) and accessibility (*Sathyakumar et al., 2018*). Such configurational  
94 aspects of green spaces can also be extracted from remote sensing data, and quantified using  
95 spatial metrics (*Qian et al., 2015; Sathyakumar et al., 2018*). Although prior studies on various  
96 themes (e.g., *Zhou and Wang, 2011; Qian et al., 2015; Zhou et al., 2018*) have assessed the  
97 spatiotemporal changes in green space configuration based on few remote sensing-derived  
98 spatial metrics, these changes have not been linked to spatiotemporal dynamics of  
99 neighbourhood level green space quality and accessibility.

100         Furthermore, given the limited resources available for green space planning in  
101 developing countries, urban planners need to target greening programs at specific  
102 neighbourhoods that critically deserve more attention. Hence, in addition to mapping  
103 spatiotemporal variations in green space distribution among city neighbourhoods, planners  
104 need to identify the hotspot neighbourhoods of green space augmentation/degradation.  
105 However, to the authors' knowledge, no available study presents a statistical design to identify  
106 neighbourhood clusters and outliers of green space dynamics that includes quantity, quality

107 and accessibility aspects. Development of such statistical design using remotely sensed  
108 indicators of green space distribution at neighbourhood level may greatly facilitate informed  
109 green space planning, especially in developing countries.

110 In view of the above, this study adopts a novel remote sensing-oriented approach to i)  
111 analyse spatiotemporal variations in neighbourhood-level urban green space distribution (in  
112 terms of quantity, quality and accessibility), and ii) statistically identify hotspots of green space  
113 improvement/degeneration, particularly useful for developing countries. For this study, we  
114 chose the city of Mumbai, India. Similar to other cities in developing countries, Mumbai is  
115 undergoing urbanization transition accompanied by stark environmental and planning  
116 challenges (*Pacione, 2006; Pethe et al., 2014*). The green spaces in Mumbai grapple with  
117 intense development pressure driven chiefly by economic interests almost on a day-to-day basis  
118 (*Zerah, 2007; Shafizadeh Moghadam and Helbich, 2013*). However, the spatiotemporal  
119 variations in green spaces among Mumbai's neighbourhoods have not been investigated before.  
120 The current study assesses the spatiotemporal dynamics of green space distribution in Mumbai  
121 between 2001 and 2011 and maps the hotspots of greening or un-greening phenomena in the  
122 city. The study results contribute to the less studied urban green spaces of the developing world  
123 in general, and efficient planning and management of green spaces in Mumbai in particular.

124

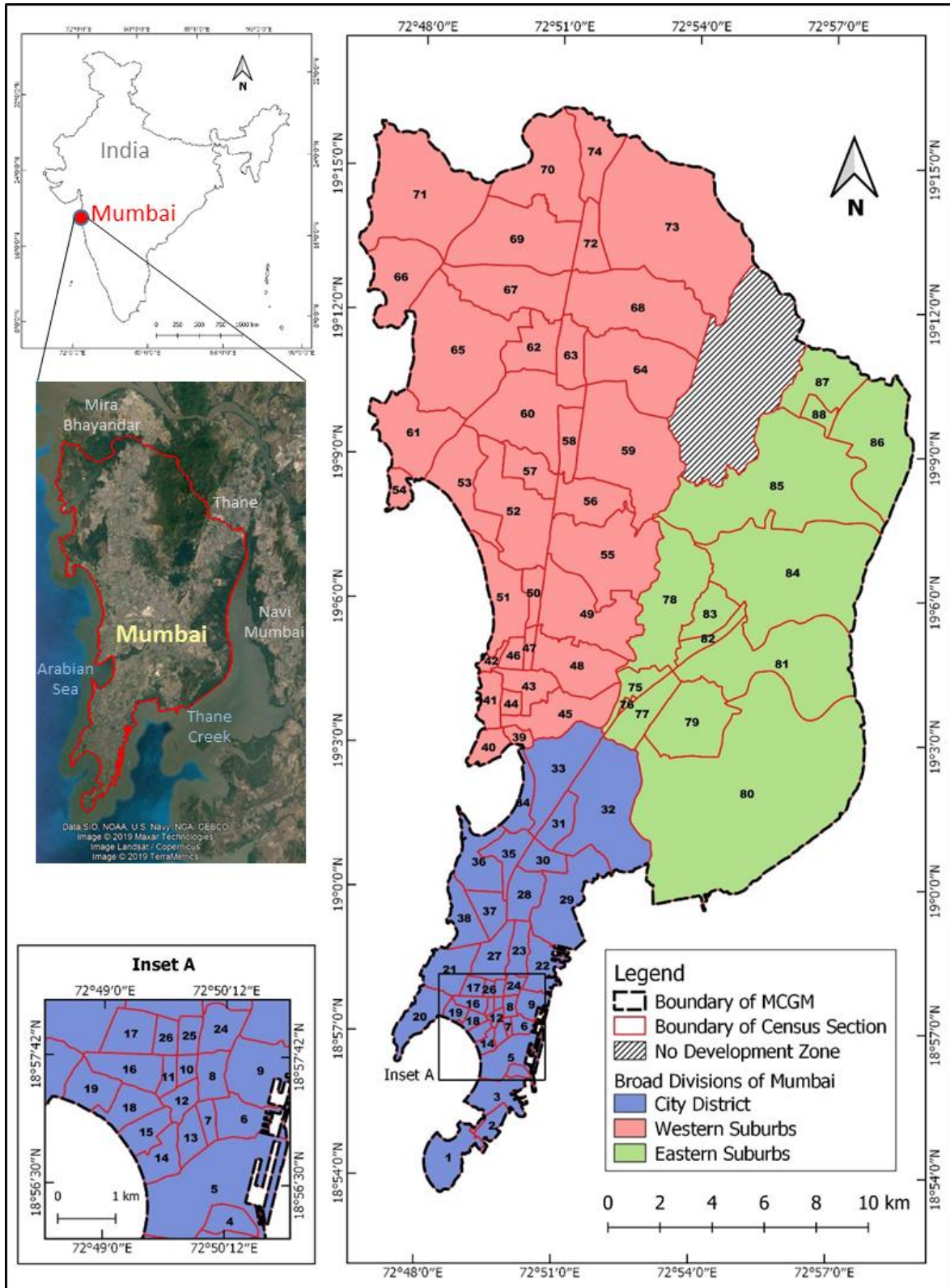
## 125 **2. Study Area**

126 Mumbai is one of the rapidly growing megacities in the world with a population of over  
127 12.4 million in 2011 (*Census PCA, 2011*). The city is located between 18<sup>0</sup> 53' and 19<sup>0</sup> 17'  
128 North latitudes and 72<sup>0</sup> 46' and 72<sup>0</sup> 59' East longitudes, and lies on a peninsula on the west  
129 coast of India. The city is divided into Mumbai City and Mumbai Suburban revenue districts.  
130 The suburbs have remained part of the city since the 1950s, and are further divided into Eastern  
131 and Western suburbs (Fig. 1). The share of suburban population to the total population is on

132 the rise consistently since 1981, and was more than 75% in 2011 (*MCGM, 2016*). On the other  
133 hand, between 2001 and 2011 the population in City District decreased by 0.25 million  
134 although Mumbai's population increased by 0.46 million (*MCGM, 2016*). Land use planning  
135 and civic administration of the city is carried out by the Municipal Corporation of Greater  
136 Mumbai (MCGM), which draws up periodical development plans. However, urban growth in  
137 Mumbai is predominantly spontaneous with a mix of residential, commercial and industrial  
138 land uses (*Pethe et al., 2014; MCGM, 2016*). For administrative reasons, MCGM has divided  
139 the city into 24 wards, which are further divided into 88 census sections (Fig. 1). Census section  
140 is the basic unit of population data in Mumbai, and is akin to neighbourhood (*Bardhan et al.,*  
141 *2015b, Sathyakumar et al., 2018*).

142 The chief constituent of green space in Mumbai is the Sanjay Gandhi National Park  
143 (SGNP), which is roughly spread across 100 km<sup>2</sup> in the suburbs. However, the park is subject  
144 to continued encroachment despite being declared a no development zone (*Zerah, 2007*). Other  
145 green spaces include the mangrove-rich suburbs in northwest and east, and the hill ranges in  
146 the eastern suburbs (*Sathyakumar et al., 2018*). Apart from these, a few parks and recreational  
147 spaces account for the city's green spaces.

148 As a major industrial and financial hub, Mumbai attracts migrants, mainly low skilled  
149 single adults of poor economic background, from across the country (*Pacione, 2006*).  
150 However, due to the exorbitant real estate prices in Mumbai, these migrants mostly settle in  
151 informal settlements like slums and *chawls* (*Bardhan et al., 2015a*). As a result, above 40% of  
152 Mumbai's population in 2011 lived in slums, which are mainly located on the peripheries of  
153 natural green spaces like mangroves, hills and forests in the suburbs (*MCGM, 2016*). With  
154 Mumbai's population projected to further rise to 12.8 million in 2021, planners are in dire need  
155 to conserve existing green spaces as well as develop new ones against development pressure  
156 (*MCGM, 2016*).



**Fig. 1.** Map of census sections in Mumbai.

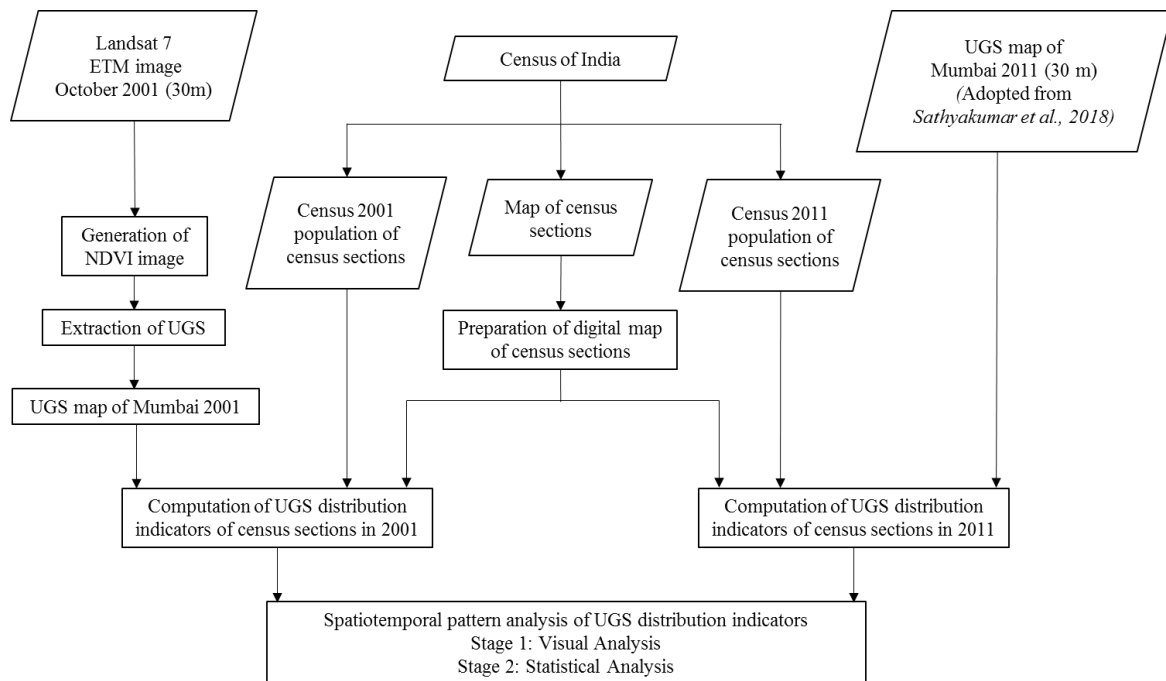
Note: Numbers represent the ID of census sections; Map inset provides enlarged view of a subset of study area.

157  
158  
159



160 **3. Data and Methods**

161 The study workflow (Fig. 2) involves extraction and comparison of green space  
162 distribution in Mumbai at the level of census sections in the latest two census years of 2001  
163 and 2011. ‘Census section’ is a spatial unit defined for census operations within the city, and  
164 is the finest level of census data available to the public (*MCGM, 2016*). Mumbai was split into  
165 88 census sections for Census 2001, and the same were retained for Census 2011.



166 **Fig. 2.** Methodological framework adopted for the study.

167

168 **3.1 Data description**

169 In this study, the following data were used: i) Census data- to obtain census section  
170 boundaries and population data, ii) Map of urban green spaces in Mumbai in 2011, and iii)  
171 Remote sensing data- to extract urban green spaces in Mumbai in 2001.

172 **3.1.1 Census data**

173 A digital map of the census sections in Mumbai is currently not available in the public  
174 domain. Hence, a “shapefile” of census sections was created on Geographic Information  
175 System (GIS) platform by referring the assembly constituency maps provided online by the

176 Chief Electoral Officer, Maharashtra (*CEO Maharashtra, 2017*). These online maps also show  
177 the census sections in each assembly constituency, and label the roads and railway lines that  
178 form the census section boundaries, but do not contain any geographic coordinates. The  
179 digitization procedure adopted to create the shapefile is as follows: First, the Google Terrain  
180 map of Mumbai was loaded as base layer in QGIS (version 2.14), a GIS package, using the  
181 *QuickMapServices* plugin (*QGIS, 2019; Map Data: Google*). Then, the census section  
182 boundaries provided in the online maps were identified and traced on the base map in form of  
183 a shapefile. This shapefile was then reprojected to match the coordinate system of the green  
184 space map of 2011 used in the study (discussed in section 3.1.2). Few minor geometric  
185 distortions observed in the shapefile were then corrected using the *Spatial Adjustment* tool  
186 (Affine transformation method) in ArcGIS 10.6 software. To assess the data quality of the  
187 shapefile, the digitization process was reiterated twice more for selected nine census sections  
188 (IDs: 4, 10, 37, 44, 57, 72, 79, 82 and 86). These chosen nine census sections are of varying  
189 areas and are geographically distributed across the study region. Based on the area of these  
190 census sections, data quality of the shapefile was estimated to have an error of  $0.006\% \pm 0.25\%$   
191 at 99% confidence interval (*Sathyakumar et al., 2018*).

192 The population data of census sections in 2001 and 2011 were obtained from the final  
193 population totals of Census 2001 (*Census FPT, 2001*) and the primary census abstract of  
194 Census 2011 (*Census PCA, 2011*) respectively.

### 195 *3.1.2 Map of urban green spaces in Mumbai in 2011*

196 The map of green spaces in the city in 2011 at 30m spatial resolution was adopted from  
197 *Sathyakumar et al. (2018)*. This map was prepared using IRS Resourcesat-2 LISS-IV images  
198 of 5m spatial resolution that were reduced to 30m spatial resolution using the *Degrade* tool in  
199 *ERDAS Imagine 2014* package. The map accuracy was estimated to be 83.11%, with a 95%

200 confidence interval of 79.42% to 86.78% (refer section 4.1). More information on the map is  
201 available in *Sathyakumar et al. (2018)*.

### 202 *3.1.3 Remote sensing data*

203 To extract the green spaces in the city in 2001, we used Landsat 7 Enhanced Thematic  
204 Mapper (ETM) Level 1TP image of Mumbai (path ID-148; row ID-47) acquired on October  
205 25, 2001. The image was downloaded from the website of United States Geological Survey  
206 (USGS), and has a spatial resolution of 30m. It contains spectral reflectances in red (0.63-  
207 0.69 $\mu\text{m}$ ) and near infrared (0.75-0.90 $\mu\text{m}$ ) bands, which are useful for vegetation mapping. This  
208 image was chosen as its date of acquisition conforms to the same season (post-monsoon) when  
209 the LISS-IV images used to generate the green space map of 2011 were acquired (*Sathyakumar*  
210 *et al., 2018*). Due to seasonal homogeneity, the potential effects of seasonal variations on the  
211 mapping of green spaces in both years were limited. Further, the spatial resolution of this image  
212 (30m) matches that of the green spaces map of 2011 used in this study. Maintaining a uniform  
213 spatial resolution is critical to this multi-temporal study as the spatial metrics characterizing  
214 green space distribution (discussed in section 3.2.4) are susceptible to the effects of changing  
215 scales (*Shen et al., 2004; Buyantuyev et al., 2010; Sathyakumar et al., 2018*).

216

## 217 3.2 Methodology

### 218 *3.2.1 Preprocessing of remote sensing data*

219 Geometric distortions were observed between the ETM image of 2001 and the urban  
220 green space map of 2011. Hence, the ETM image was co-registered with the degraded LISS-  
221 IV images (30m) used to generate the green space map of 2011, using the *Georeferencing*  
222 *Wizard* (Affine geometric model) of ERDAS Imagine 2014. As the root mean square error  
223 obtained was within 0.5 pixels, the corrected ETM image was used for further analysis.

### 224 *3.2.2 Extraction of urban green spaces*

225 A universal definition of urban green spaces is still lacking. However, this study  
226 adopted the commonly followed definition that considers all vegetated areas within city limits  
227 as urban green spaces (*Lo and Jim, 2012; Taylor and Hochuli, 2017*). Accordingly, the urban  
228 green spaces in Mumbai in 2001 was extracted using the Normalized Difference Vegetation  
229 Index (NDVI) method (*Gupta et al., 2012; Senanayake et al., 2013; Nero, 2017; Sathyakumar*  
230 *et al., 2018*). NDVI, a commonly used vegetation index, uses spectral reflectances in the red  
231 (R) and near infrared (NIR) bands of multispectral remote sensing data to measure vegetation  
232 intensity (*Gascon et al., 2016*). The index is given as  $(NIR-R)/(NIR+R)$  and varies from -1 to  
233 +1, with vegetation pixels typically taking values greater than 0.2 (*Franco and Macdonald,*  
234 *2017*).

235 Radiometric corrections were performed to convert the ETM image from digital  
236 numbers (DN) to top of atmosphere spectral reflectances, and the corresponding NDVI image  
237 was generated. While previous studies (e.g., *Nero, 2017; Senanayake et al., 2013*) relied either  
238 on simple arbitrary NDVI threshold or on visual comparison to determine the NDVI threshold  
239 defining green and non-green pixels, in this study the threshold was objectively determined  
240 using Otsu threshold selection method (*Otsu, 1979; Sathyakumar et al., 2018*) in MATLAB.  
241 Otsu method evaluates a criterion function based on the between-class variance and total  
242 variance for all possible threshold values; the value that maximizes the criterion function is  
243 considered the optimal threshold (*Sunder et al., 2017*). Accordingly, a suitable NDVI threshold  
244 was obtained and used to create binary images containing vegetation and non-vegetation pixels.  
245 This binary image constituted the map of urban green spaces in Mumbai in 2001. This map has  
246 a spatial resolution of 30m and includes all green spaces in Mumbai regardless of ownership  
247 or access, in accordance with the adopted definition of urban green spaces (*Lo and Jim, 2012;*  
248 *Zhou et al., 2018; Du Toit et al., 2018*).

249 *3.2.3 Accuracy assessment of urban green space maps*

250 Accuracy of the two urban green space maps used in the study was assessed based on  
251 the format recommended by *Stehman and Foody (2019)*. This format recommends an error  
252 matrix expressed in terms of percentage of area of each map class, rather than the conventional  
253 error matrix comprised of sample counts. Also, this format recommends reporting the standard  
254 errors associated with the accuracy estimates as a requirement for statistically rigorous  
255 accuracy assessment.

256 For assessing the accuracy of the two green space maps, a total of 400 random points  
257 distributed across the study area were chosen. The sample size was chosen based on a  
258 conservative overall accuracy target of 50%, a confidence interval of 95%, and a desired  
259 confidence interval half-width of 5% (*Stehman and Foody, 2019*). Reference data were  
260 collected from Google Earth images of the respective years and through visual interpretation  
261 of the satellite images. Based on the collected reference data, the overall accuracies of the maps  
262 were computed along with their 95% confidence interval estimates (as suggested by *Stehman*  
263 *and Foody, 2019*).

#### 264 *3.2.4 Computation of urban green space distribution indicators*

265 Recent studies have recommended incorporating quantity, quality and accessibility  
266 aspects for a comprehensive assessment of urban green space distribution (*Yao et al., 2014*;  
267 *Tian et al., 2014*; *De la Barrera et al., 2016b*). Accordingly, this study adopted seven urban  
268 green spaces (UGS) distribution indicators (Table 1). Among these, the two indicators-  
269 Percentage of UGS area (*PUGS*) and UGS area per inhabitant (*UPI*) - assess the quantity of  
270 green spaces in a neighbourhood. The three metrics- UGS patch density (*UPD*), Mean area of  
271 UGS patches (*Area\_MN*) and Mean Euclidean distance between neighbouring green space  
272 patches (*ENN\_MN*)- are associated with size and fragmentation of green space patches in a  
273 neighbourhood. Essentially these metrics describe the quality aspect of green space distribution  
274 since small, fragmented and distant patches signify poorer quality than large and contiguous

275 patches (*Tian et al., 2014; Li and Liu, 2016; You, 2016*). The remaining two metrics- Area  
276 weighted mean fractal dimension index (*FRAC\_AM*) and Aggregation index (*AI*)- are related  
277 to the shape complexity and aggregation of green space patches in a neighbourhood. Compared  
278 with simple-shaped and aggregated patches, complex-shaped and disaggregated patches  
279 increase the proximity between green spaces and residents (*Jim, 2013; Tian et al., 2014*).  
280 Hence, these two metrics reckon the level of accessibility to green spaces in a neighbourhood  
281 (*Sathyakumar et al., 2018*).

282 The spatial metrics of green spaces were computed for 2001 and 2011 from the  
283 respective green space maps using eight-cell neighbourhood criteria in Fragstats v4.2  
284 (*McGarigal et al., 2012*). These metrics were assessed for each census section using the newly  
285 created shapefile, and the neighbourhood-level green space distribution indicators were derived  
286 for both years.

### 287 *3.2.5 Spatiotemporal pattern analysis*

288 The spatiotemporal variations in green space distribution were analysed in two stages.  
289 In the first stage, maps of green space distribution indicators in 2001 and 2011, and the change  
290 in indicator values between 2001 and 2011 were generated. These maps aid visual  
291 identification of spatiotemporal patterns of green space distribution among the census sections  
292 but do not reveal the hotspots of green space improvement/degradation (*Zhang et al., 2008*).  
293 Hence, in the second stage, Local Indicator of Spatial Autocorrelation (*LISA*) analyses were  
294 performed to reveal the statistically significant clusters and outliers. The *LISA* analyses were  
295 performed using local Moran's *I* (*Anselin, 1995*), a widely used statistic to identify statistically  
296 significant landscape patterns (*Bardhan et al., 2016; Mehrotra et al., 2018; Hughey et al.,*  
297 *2018*).

298 In this study, the local Moran's *I* ( $I_i$ ) of each green space distribution indicator  $x$  for a  
299 census section  $i$  was computed as in Eq.1 (*Anselin, 1995*):

300 
$$I_i = \frac{(x_i - \bar{X})}{\sigma^2} \sum_{j=1, j \neq i}^n w_{i,j} (x_j - \bar{X}) \quad (1)$$

301 where  $x_i$  and  $x_j$  are the values of  $x$  in census sections  $i$  and  $j$ ;  $\bar{X}$  is the mean of  $x$ ;  $\sigma^2$  is the  
302 variance of  $x$ ;  $n$  is the number of census sections;  $w_{i,j}$  is the spatial weight assigned between  
303 census sections  $i$  and  $j$ .

304 Local Moran's I ranges between -1 and +1, wherein -1 signifies spatial outliers, +1  
305 signifies spatial clusters, and 0 signifies random clustering. Here, spatial outliers refer to: i)  
306 census sections having high values of a green space distribution indicator surrounded by  
307 sections with low values (High-Low), or ii) census sections having low values of a green space  
308 distribution indicator surrounded by sections with high values (Low-High). Spatial clusters  
309 refer to census sections with high or low values of a green space distribution indicator  
310 surrounded by similar sections (High-High or Low-Low). The values of a distribution indicator  
311 in a census section is considered high or low with reference to the mean of that indicator  
312 (*GeoDa, 2019*). The significance of the computed local Moran's I is tested against the null  
313 hypothesis of no spatial autocorrelation to determine the statistically significant spatial clusters  
314 and outliers (*Hughey et al., 2018*).

315 For each green space distribution indicator, we generated the corresponding LISA maps  
316 depicting the neighbourhood clusters and outliers in both 2001 and 2011. A comparison of  
317 these two maps revealed the temporal changes in spatial patterns of that indicator during the  
318 study period. Further, for each indicator, we assessed the variation in values between 2001 and  
319 2011 (i.e. value in 2011 minus value in 2001), and generated the corresponding LISA map.  
320 This map revealed the spatial clusters and outliers of temporal changes in that green space  
321 distribution indicator during the study period. The LISA analyses were performed in GeoDa  
322 1.6.6 using first order queen contiguity-based spatial weights, and were tested with 999  
323 permutations at 0.05 significance level.

324

**Table 1**

325

Description of urban green space distribution indicators used in the study.

Indicator	Formula	Description	Units and Range
Percentage of UGS area ( <i>PUGS</i> )	$PUGS = \frac{A_{UGS}}{A_{CS}} * 100$	where $A_{UGS}$ is the area (in m <sup>2</sup> ) of UGS in the census section, and $A_{CS}$ is the area (in m <sup>2</sup> ) of the census section.	Percent; $0 \leq PUGS \leq 100$
UGS area per inhabitant ( <i>UPI</i> )	$UPI = \frac{A_{UGS}}{P_{CS}}$	where $A_{UGS}$ is the area (in m <sup>2</sup> ) of UGS in the census section, and $P_{CS}$ is the population of the census section.	m <sup>2</sup> per person; $UPI \geq 0$
Urban green space patch density <sup>#</sup> ( <i>UPD</i> )	$UPD = \frac{n}{A_{CS}} * 10^6$	where $n$ is the number of UGS patches in the census section, and $A_{CS}$ is the area (in m <sup>2</sup> ) of the census section.	number per km <sup>2</sup> ; $UPD \geq 0$
Mean area <sup>#</sup> of UGS patches ( <i>Area_MN</i> )	$Area\_MN = \frac{A_{UGS}}{n} * 10^{-4}$	where $A_{UGS}$ is the area of UGS (in m <sup>2</sup> ) in the census section, $n$ is the number of UGS patches in the census section.	ha; $Area\_MN \geq 0$
Mean Euclidean nearest neighbour distance <sup>#</sup> of UGS patches ( <i>ENN_MN</i> )	$ENN\_MN = \frac{\sum_{i=1}^n d_i}{n}$	where $d_i$ is the distance (in m) between an UGS patch $i$ and its nearest neighbouring patch in the census section, $n$ is the number of UGS patches in the census section.	m; $ENN\_MN > 0$
Area weighted mean fractal dimension index <sup>#</sup> of UGS patches ( <i>FRAC_AM</i> )	$FRAC\_AM = \sum_{i=1}^{i=n} \left[ \left( \frac{a_i}{A_{UGS}} \right) \left( \frac{2 \ln 0.25 p_i}{\ln a_i} \right) \right]$	where $a_i$ and $p_i$ denote the area (in m <sup>2</sup> ) and perimeter (in m) of an UGS patch $i$ respectively, $n$ is the number of UGS patches in the census section, $A_{UGS}$ is the area (in m <sup>2</sup> ) of UGS in the census section.	Unitless; $1 \leq FRAC\_AM \leq 2$ $FRAC\_AM = 1$ if the patches are square-shaped. $FRAC\_AM = 2$ if the patches are highly convoluted.
Aggregation index <sup>#</sup> of UGS patches ( <i>AI</i> )	$AI = \left[ \frac{J}{\max J} \right] * 100$	where $J$ is the number of joins between pixels of UGS patches in the census section based on single-count method, $\max J$ is the maximum possible value of $J$ .	Percent; $0 \leq AI \leq 100$ $AI = 0$ if the patches are maximally disaggregated. $AI = 100$ if the patches are maximally compact.

326

#Source: *McGarigal (2015)*.

327

Adapted from *Sathyakumar et al. (2018)*.



328 **4. Results**

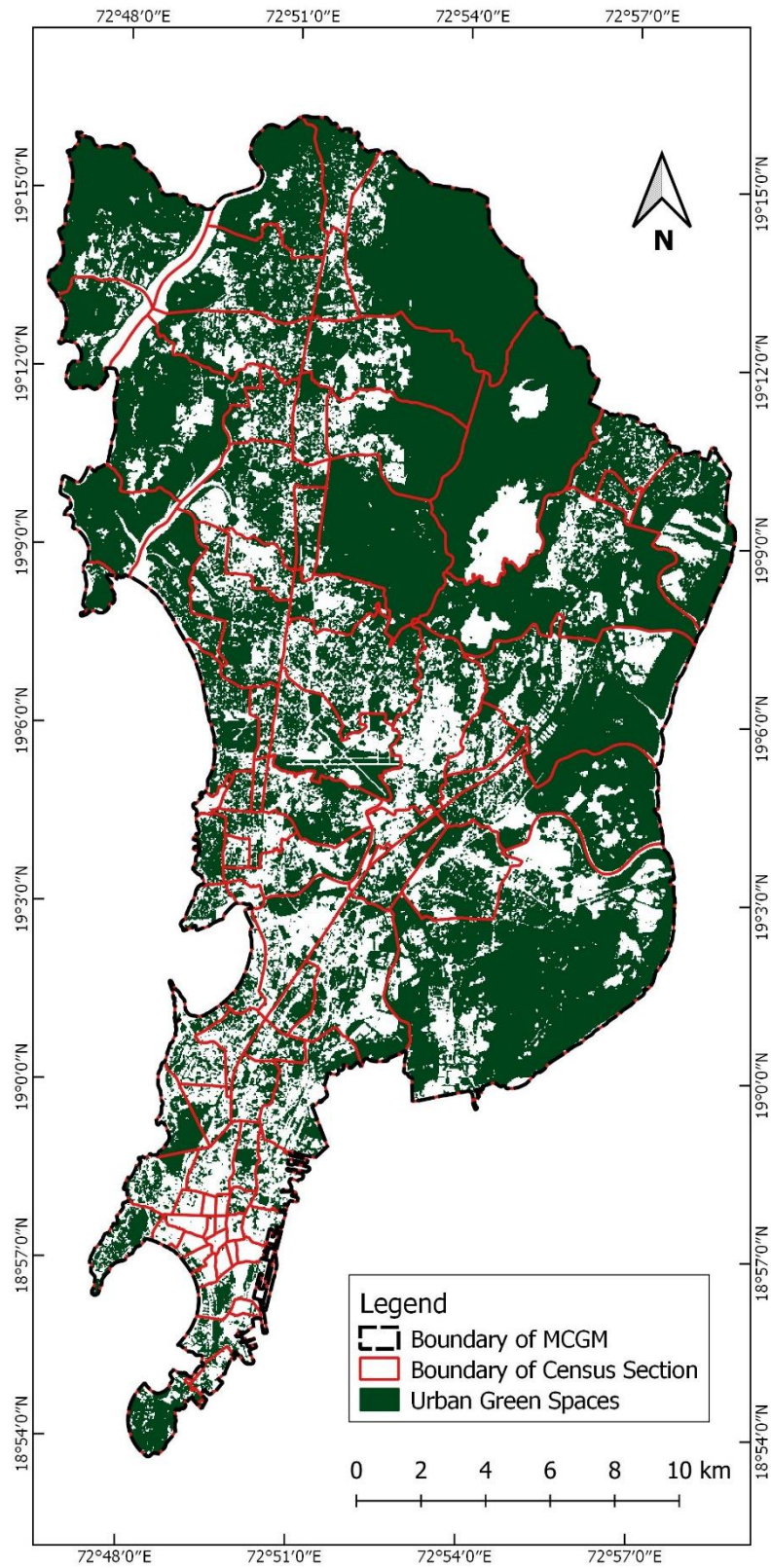
329           The first part of this section presents the green space maps of Mumbai in 2001 and 2011  
330 and their estimated accuracies. The second part deals with changes in city level urban green  
331 space distribution indicators between 2001 and 2011. The third part analyses the spatiotemporal  
332 patterns of neighbourhood (i.e. census section) level green space distribution indicators during  
333 the same period.

334

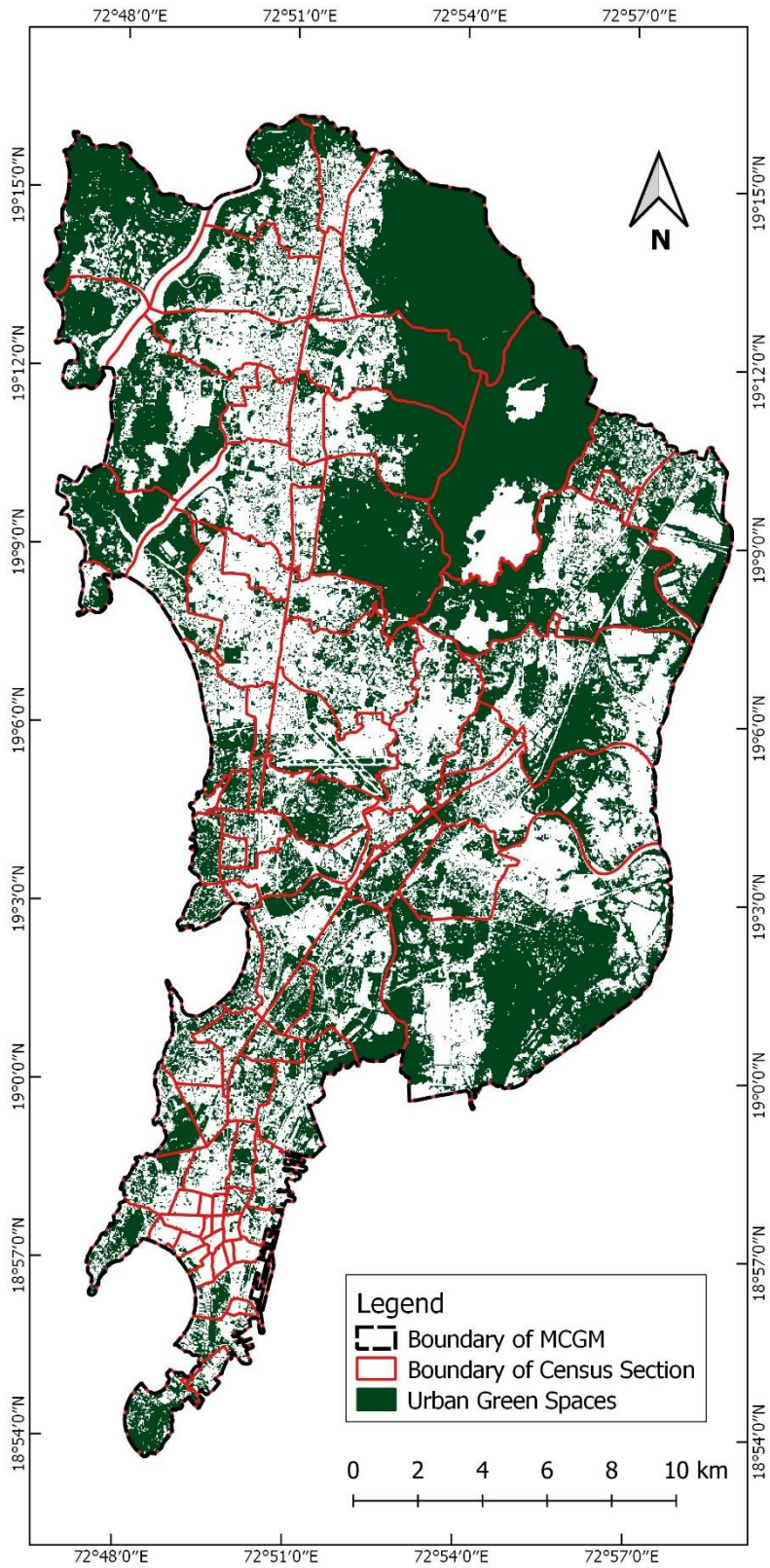
335 4.1 Urban green space maps and their accuracies

336           The maps of urban green spaces in Mumbai in the years 2001 and 2011 are presented  
337 in Fig. 3 and Fig.4 respectively. The prominent green spaces in these maps include the Sanjay  
338 Gandhi National Park in the north, mangroves in the northwest and east, a few hills in the  
339 eastern suburbs, and the race course area in the south.

340           The error matrices generated for the green space maps of 2001 and 2011 are given in  
341 Table 2 and Table 3 respectively. The cell entries in these tables represent the percentage of  
342 area in their respective maps. From Table 2, it is observed that the estimated overall accuracy  
343 of the green space map of 2001 is 88.03% (58.53%+29.50%). The standard error of this overall  
344 accuracy was estimated to be 1.66%, which yields a 95% confidence interval of 84.78% to  
345 91.28%. Similarly, from Table 3, it is observed that the estimated overall accuracy of the green  
346 space map of 2011 is 83.11% (44.00%+39.11%). The standard error of this overall accuracy  
347 was estimated to be 1.88%, which yields a 95% confidence interval of 79.42% to 86.78%. The  
348 conventional error matrices based on sample count are presented in Appendix A.



**Fig. 3.** Map of urban green spaces in Mumbai in 2001.



**Fig. 4.** Map of urban green spaces in Mumbai in 2011.

351 **Table 2**  
 352 Error matrix of the urban green spaces (UGS) map of Mumbai in 2001.

Map	Reference		Total	User's Accuracy (Std. Error)	n
	UGS	Non-UGS			
<b>UGS</b>	58.53 %	8.72 %	67.25 %	87.03 % (2.18%)	239
<b>Non-UGS</b>	3.25 %	29.50 %	32.75 %	90.01 % (2.36%)	161
<b>Total (Std. Error)</b>	61.78 % (1.65%)	38.22 % (1.65%)	100%		400
<b>Producer's Accuracy (Std. Error)</b>	94.73 % (1.19%)	77.17 % (2.99%)			
<b>n</b>	224	176	400		

353 Adapted from *Stehman and Foody (2019)*.

354 **Table 3**  
 355 Error matrix of the urban green spaces (UGS) map of Mumbai in 2011.

Map	Reference		Total	User's Accuracy (Std. Error)	n
	UGS	Non-UGS			
<b>UGS</b>	44.00 %	8.05 %	52.05 %	84.54 % (2.60%)	194
<b>Non-UGS</b>	8.84 %	39.11 %	47.95 %	81.55 % (2.71%)	206
<b>Total (Std. Error)</b>	52.84 % (1.87%)	47.16 % (1.87%)	100%		400
<b>Producer's Accuracy (Std. Error)</b>	83.26 % (2.09%)	82.92 % (2.43%)			
<b>n</b>	202	198	400		

356 Adapted from *Stehman and Foody (2019)*.

357

#### 358 4.2 Temporal changes in city level urban green space distribution

359 A comparison of the two green space maps (Figs. 3 and 4) revealed that between 2001  
 360 and 2011 much of Mumbai's urban green spaces had disappeared, especially in the western  
 361 suburbs. Also, some neighbourhoods in the southern part of City District were found to lack  
 362 green cover in both the years. Further, to quantitatively assess the temporal changes in  
 363 Mumbai's green cover, the green space distribution indicators derived for the years 2001 and  
 364 2011 are listed in Table 4.

365 **Table 4**  
 366 Temporal changes in city level urban green space distribution indicators.

Year	Population	UGS area (km <sup>2</sup> )	UGS distribution indicators						
			<i>PUGS</i> (%)	<i>UPI</i> (m <sup>2</sup> per person)	<i>UPD</i> (per km <sup>2</sup> )	<i>Area_MN</i> (ha)	<i>ENN_MN</i> (m)	<i>FRAC_AM</i>	<i>AI</i> (%)
2001	11,978,450	317.74	67.29	26.52	3.50	19.19	75.16	1.32	91.35
2011	12,442,373	245.92	52.08	19.76	10.38	5.01	71.75	1.31	85.16

367  
 368 The results provided in Table 4 show that Mumbai lost 22.6% of its urban green spaces  
 369 between 2001 and 2011. Subsequently, the percentage of green space area (*PUGS*) in the city  
 370 reduced by 15.21 percentage points during this period. Further, the decrease in green spaces,  
 371 accompanied by population increase, eventually led to a fall in green space area per capita  
 372 (*UPI*) by 6.76 m<sup>2</sup>. Also, in this period, the green spaces became more fragmented as evident  
 373 from the threefold increase in green space patch density (*UPD*) values. Further, the study  
 374 period saw the average green space patch (*Area\_MN*) in Mumbai shrink by almost four times.  
 375 In the context of the above findings, the decrease in nearest neighbour distance (*ENN\_MN*)  
 376 between green space patches could be attributed to the disintegration of larger patches and the  
 377 disappearance of distant patches. Concerning shape complexity, the similarity observed in  
 378 *FRAC\_AM* values was potentially due to obscurity of fine changes in patch shapes at the spatial  
 379 resolution used (30m). Finally, the aggregation index (*AI*) values suggest that green spaces  
 380 relatively disaggregated during the study period. Thus, the green spaces in Mumbai overall  
 381 turned smaller, fragmented and disaggregated during 2001-11.

382  
 383 4.3 Spatiotemporal patterns of neighbourhood level urban green space distribution indicators

384 Table 5 lists the descriptive statistics of the seven green space distribution indicators  
 385 derived at neighbourhood (i.e. census section) level for the years 2001 and 2011. Table 5 as  
 386 well as Figs. 3 and 4 show that the census sections 7 and 10 turned greenless by 2001 and 2011

387 respectively. Further findings related to spatiotemporal patterns of each indicator at census  
 388 section level are presented in the following sub-sections.

389 **Table 5**  
 390 Descriptive statistics of neighbourhood level urban green space distribution indicators assessed  
 391 for the years 2001 and 2011.

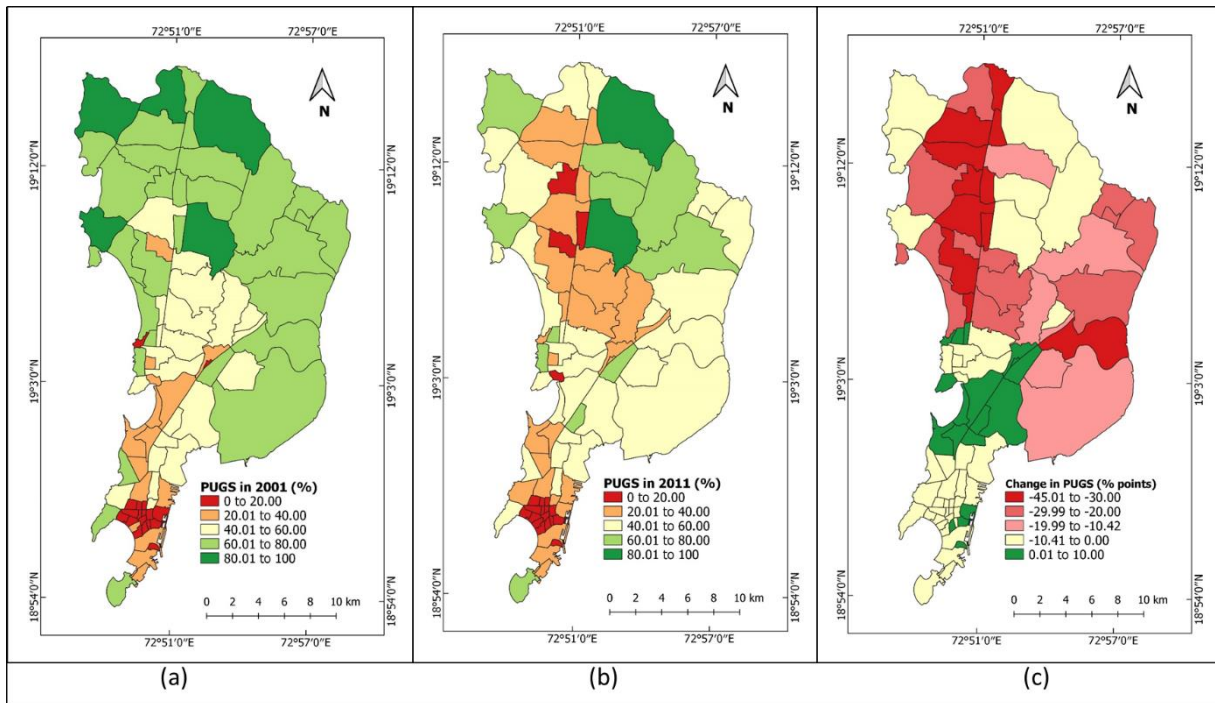
Indicator	Year	Median	Minimum		Maximum	
			Value	Location <sup>#</sup>	Value	Location <sup>#</sup>
<i>PUGS</i> (%)	2001	50.02	0	CS 7	96.62	CS 59
	2011	38.96	0	CS 7,10	88.86	CS 59
<i>UPI</i> (m <sup>2</sup> per person)	2001	12.42	0	CS 7	2501.41	CS 71
	2011	9.62	0	CS 7,10	1873.81	CS 71
<i>UPD</i> (per km <sup>2</sup> )	2001	7.93	0	CS 7	35.09	CS 76
	2011	15.88	0	CS 7,10	40.93	CS 76
<i>Area_MN</i> (ha)	2001	5.14	0	CS 7	228.07	CS 59
	2011	1.93	0	CS 7,10	71.83	CS 61
<i>ENN_MN*</i> (m)	2001 <sup>**</sup>	73.62	60	CS 41,66	722.49	CS 13
	2011 <sup>***</sup>	71.66	61.77	CS 24	238.71	CS 13
<i>FRAC_AM</i>	2001 <sup>\$</sup>	1.20	1	CS 12,26	1.30	CS 52
	2011 <sup>\$\$</sup>	1.19	1	CS 12,26	1.31	CS 87
<i>AI</i> (%)	2001 <sup>†</sup>	81.82	0	CS 26	100	CS 10
	2011 <sup>††</sup>	71.66	14.29	CS 6	100	CS 11

392 <sup>#</sup>CS stands for census section.  
 393 \*The minimum *ENN\_MN* is twice the pixel size when 8-cell neighbourhood criteria is used (McGarigal,  
 394 2015). Hence, in this study, the minimum possible *ENN\_MN* is 60m.  
 395 \*Census sections 7, 10 and 12 were ignored as they had fewer than two green space patches.  
 396 \*\*Census sections 7, 10, 11, 12 and 26 were ignored as they had fewer than two green space patches.  
 397 \$Census section 7 was ignored as it had no green cover.  
 398 \$\$Census sections 7 and 10 were ignored as they had no green cover.  
 399 †Census sections 7 and 12 were ignored as they had fewer than two green space pixels.  
 400 ††Census sections 7, 10, 12 and 26 were ignored as they had fewer than two green space pixels.  
 401

#### 402 4.3.1 Percentage of urban green space area (*PUGS*)

403 The *PUGS* of census sections ranged from 0 to 96.62% in 2001 and from 0 to 88.86%  
 404 in 2011 (Table 5). The *PUGS* maps of census sections in 2001 and 2011 (Fig. 5a and Fig. 5b)  
 405 reveal that census sections mostly witnessed decrease in *PUGS* during the period. This is also  
 406 evident from the decrease in median *PUGS* by 11 percentage points between 2001 and 2011

407 (Table 5). The change in *PUGS* during the study period was in the range of -45.01 percentage  
 408 points (census section 58) to +10.00 percentage points (census section 31). The map of change  
 409 in *PUGS* (Fig. 5c) shows that sixty eight census sections, mostly in the suburbs, saw a decrease  
 410 in *PUGS*. These findings indicate the gradual disappearance of green cover in large parts of  
 411 Mumbai, especially in the suburbs.

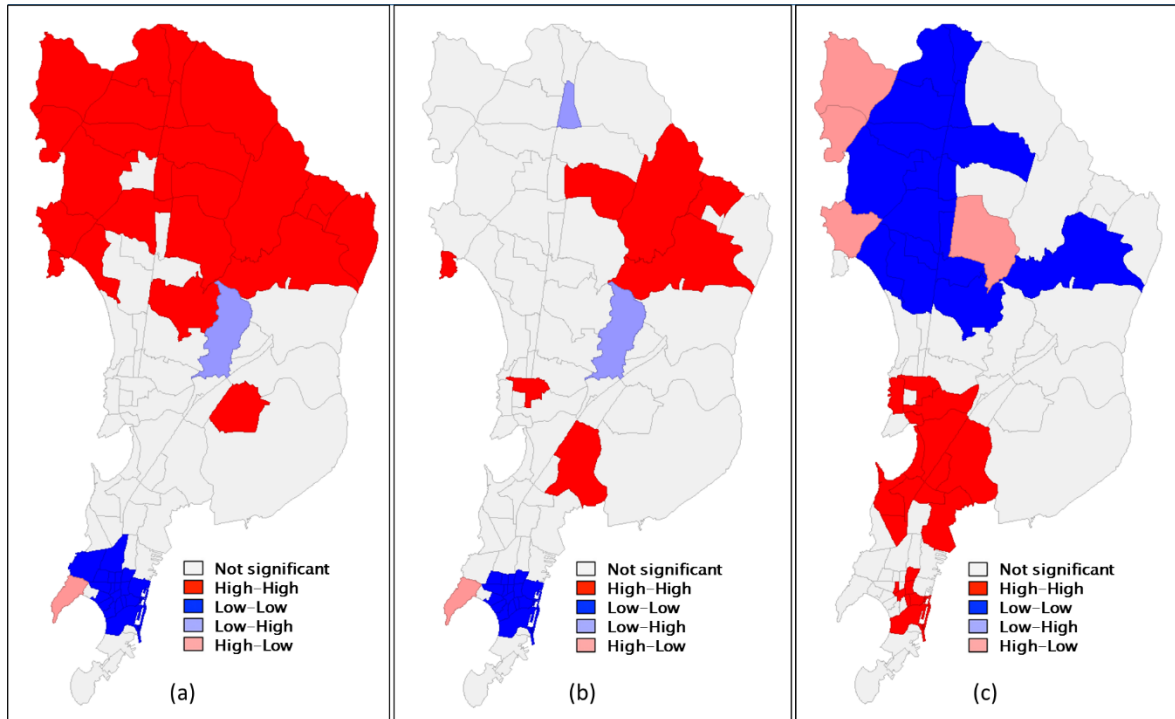


412 **Fig. 5.** Map of (a) *PUGS* in 2001, (b) *PUGS* in 2011,  
 413 and (c) change in *PUGS* during 2001-11.  
 414

415 The LISA maps<sup>1</sup> of *PUGS* in the years 2001 and 2011 (Fig. 6a and Fig. 6b) present the  
 416 statistically significant clusters and outliers. These maps identify the individual census sections  
 417 that constituted the high *PUGS* clusters (dark red) in the suburbs and the low *PUGS* clusters  
 418 (dark blue) in the City District. Additionally, these maps highlight the outlier census sections  
 419 in both years. For example, in 2011 (Fig. 6b), the sections numbered 72 and 78 (light blue) had

<sup>1</sup> Interpretation of LISA maps is illustrated using the example of Fig. 6a. The mean *PUGS* of census sections in 2001 was 47.19%. Based on this mean value and the significance level of local Moran's I for each section, the spatial clusters (High-High and Low-Low) and outliers (High-Low and Low-High) were identified. The 'High-High' sections (dark red) and their neighbours have significantly high values of *PUGS*. The 'Low-Low' sections (dark blue) and their neighbours have significantly low values of *PUGS*. The 'Low-High' sections (light blue) indicates the sections with significantly low *PUGS* that are surrounded by sections with high *PUGS*. The 'High-Low' sections (light red) indicates the sections with significantly high *PUGS* that are surrounded by sections with low *PUGS* (GeoDa, 2019).

420 significantly low *PUGS* than their neighbours, and thus deserve special attention. On the other  
 421 hand, the section numbered 20 (light red) had high *PUGS* compared to its neighbours. Further,  
 422 by comparing the two LISA maps (Fig. 6a and Fig. 6b), the specific census sections in the  
 423 suburbs that lost their status as high *PUGS* hotspots could also be identified.



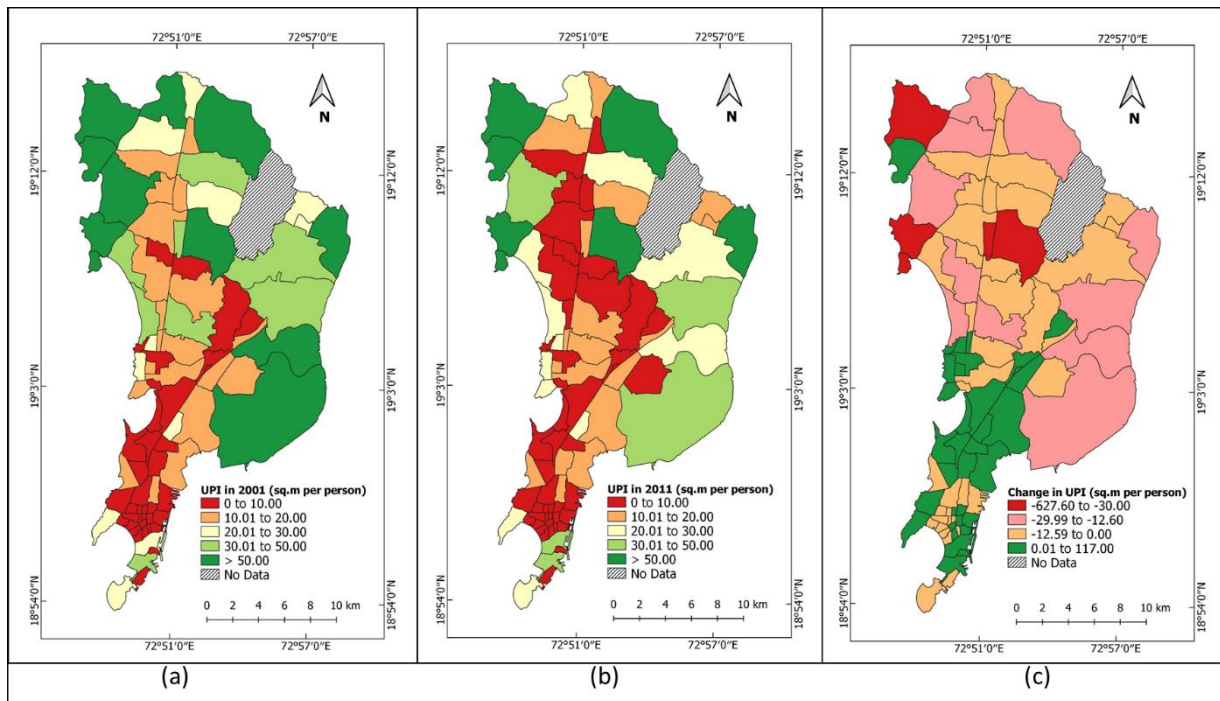
424 **Fig. 6.** LISA maps of (a) *PUGS* in 2001, (b) *PUGS* in 2011,  
 425 and (c) change in *PUGS* during 2001-11.  
 426

427 The mean change in *PUGS* of all census sections during 2001-11 was -10.42 percentage  
 428 points. Relative to this mean, the LISA map of change in *PUGS* (Fig. 6c) depicts the census  
 429 sections as ‘high’ (values from -10.42 to +10.00) and ‘low’ (values from -45.01 to -10.42).  
 430 This map distinguishes the particular sections that witnessed severe reduction in *PUGS* (dark  
 431 blue) as well as improvement in *PUGS* (dark red). This map also confirms that the un-greening  
 432 phenomenon was more acute in the northern part of western suburbs, while the improvement  
 433 in *PUGS* was focussed mainly in the City District.  
 434

435 4.3.2 *Urban green space area per inhabitant (UPI)*



436 The *UPI* of census sections ranged from 0 to 2501.41 m<sup>2</sup> per person in 2001 and 0 to  
 437 1873.81 m<sup>2</sup> per person in 2011 (Table 5). The *UPI* maps of census sections in 2001 and 2011  
 438 (Fig. 7a and Fig. 7b) reveal that in both years most sections in the City District had lower *UPI*.  
 439 Further, it is observed that many sections in the western suburbs saw a decrease in *UPI* during  
 440 2001-11.



441 **Fig. 7.** Map of (a) *UPI* in 2001, (b) *UPI* in 2011, and (c) change in *UPI* during 2001-11.  
 442

443 The median *UPI* decreased by 2.8 m<sup>2</sup> per person between 2001 and 2011 (Table 5). The  
 444 change in *UPI* between 2001 and 2011 was in the range of -627.60 m<sup>2</sup> per person (census  
 445 section 71) to +117.00 m<sup>2</sup> per person (census section 66). The map of change in *UPI* (Fig. 7c)  
 446 reveals that fifty three census sections witnessed a decrease in *UPI* while thirty four saw an  
 447 increase. The remaining census section numbered 7 had no green cover in both the years.  
 448 However, as *UPI* is impacted not only by changing green space area but also by changing  
 449 population, a case-by-case analysis was performed to identify the principal cause driving the  
 450 change in *UPI* during 2001-11. The analysis results given in Table 4 reveal that i) rise in *UPI*  
 451 was mainly due to decreasing population (30 out of 34 cases), and ii) decline in *UPI* was mainly  
 452 due to the decrease in urban green space area (all 53 cases). Also, only four census sections

453 (numbered 32, 33, 40 and 42) were found to have a healthy increase in green space area vis-à-  
 454 vis rising population. Similarly, twenty three census sections saw a decrease in green space  
 455 area despite their dwindling population.

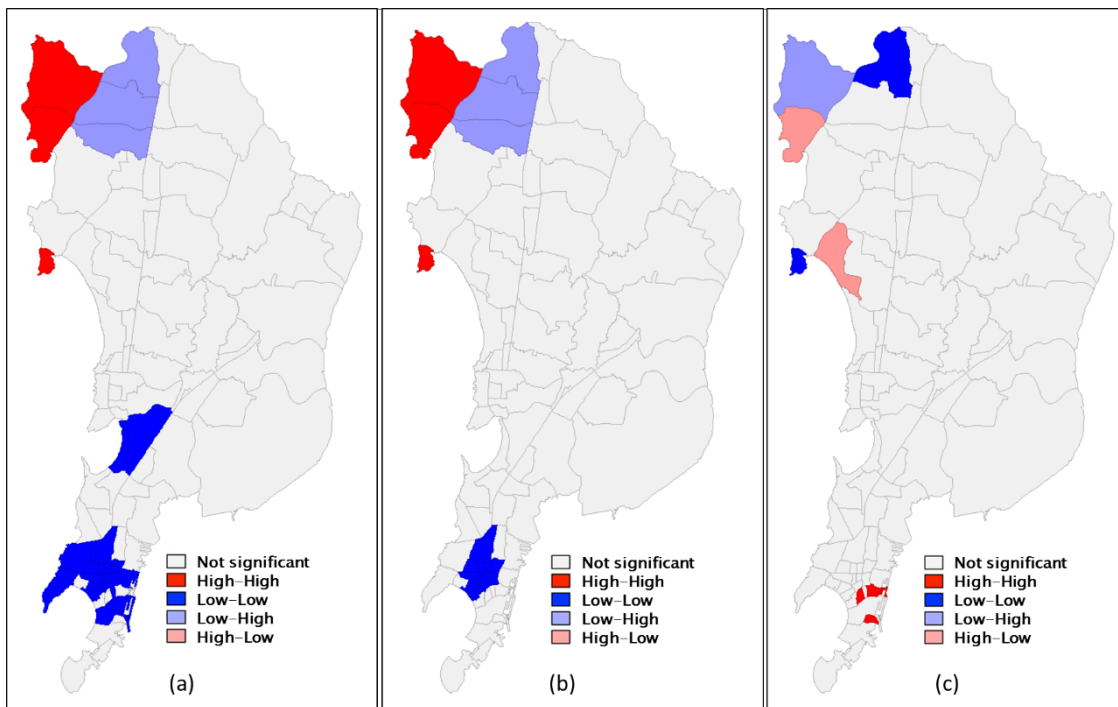
456 **Table 4**  
 457 Primary cause of change in *UPI* of census sections during 2001-11.  
 458

Change in <i>UPI</i>	Number of cases	Change in UGS area	Change in Population		Total
			Positive	Negative	
Positive	34	Positive	4	14	<b>18</b>
		Zero	0	1	<b>1</b>
		Negative	0	15	<b>15</b>
		<b>Total</b>	<b>4</b>	<b>30</b>	34
Negative	53	Positive	0	0	<b>0</b>
		Negative	30	23	<b>53</b>
		<b>Total</b>	<b>30</b>	<b>23</b>	53

459 The LISA maps of *UPI* in the years 2001 and 2011 (Fig. 8a and Fig. 8b) present the  
 460 statistically significant clusters and outliers. These maps show that the mangrove-rich coastal  
 461 census sections 54, 66 and 71 formed the clusters of high *UPI* (dark red) while the southern  
 462 part of City District housed the low *UPI* clusters (dark blue) in both years. Further, as shown  
 463 in Fig.8b, the three census sections numbered 67, 69 and 70 (light blue) had significantly low  
 464 *UPI* than their neighbours in 2011, and thus require more attention.

465 The mean change in *UPI* of all census sections was -12.60 m<sup>2</sup> per person. Relative to  
 466 this mean, the LISA map of change in *UPI* (Fig. 8c) portrays the census sections as ‘high’  
 467 (values from -12.60 to +117.00) and ‘low’ (values from -627.60 to -12.60). The map shows  
 468 that the decline in *UPI* was severe in and around the census sections 54 and 70 (dark blue) in  
 469 western suburbs. On the other hand, the sections 4, 6 and 13 (dark red) and their neighbours in  
 470 the City District experienced improvement in *UPI*. This improved *UPI* was a result of decrease  
 471 in population levels caused by gentrification in these sections (*Pethe et al., 2014*). Further, the  
 472 map indicates that the census section 71 (light blue) requires additional focus, as it experienced

473 significantly steep decline in *UPI* than its neighbours (Fig. 8c), though it still had significantly  
 474 high *UPI* in 2011 (Fig. 8b, Table 5).

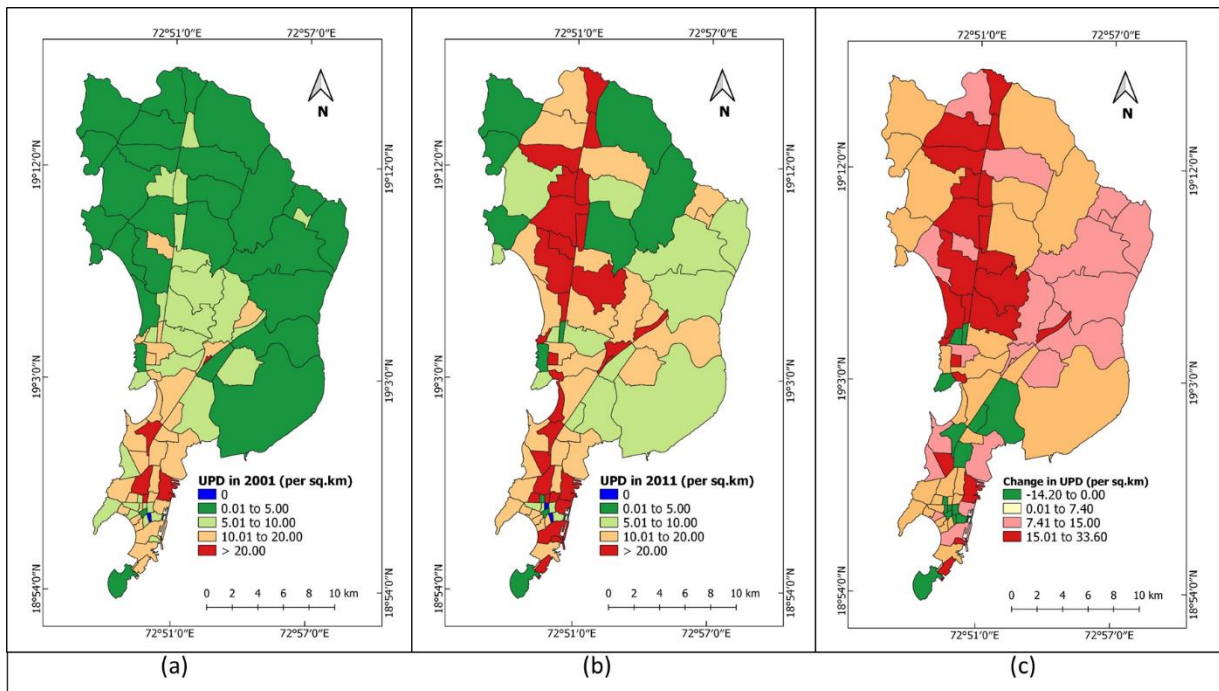


475 **Fig. 8.** LISA maps of (a) *UPI* in 2001, (b) *UPI* in 2011,  
 476 and (c) change in *UPI* during 2001-11.  
 477

#### 478 4.3.3 Urban green space patch density (*UPD*)

479 The *UPD* of census sections ranged from 0 to 35.09 patches per km<sup>2</sup> in 2001 and from  
 480 0 to 40.93 patches per km<sup>2</sup> in 2011 (Table 5). The *UPD* maps of census sections in 2001 and  
 481 2011 (Fig. 9a and Fig. 9b) show that several sections across Mumbai witnessed increase in  
 482 *UPD* between 2001 and 2011. This is confirmed by the rise in median *UPD* by 7.95 patches  
 483 per km<sup>2</sup> between these years (Table 5). The change in *UPD* during the study period was in the  
 484 range of -14.20 patches per km<sup>2</sup> (census section 47) to +33.60 patches per km<sup>2</sup> (census section  
 485 62). The map of change in *UPD* (Fig. 9c) reveals that seventy three sections witnessed an  
 486 increase in *UPD* values. Since *UPD* could be altered even due to addition of new green space  
 487 patches, we analysed the level of increase in *PUGS* in these seventy three sections. It was  
 488 observed that sixty two sections had lost green space area while the remaining eleven had

489 gained. This suggests that increase in *UPD* was predominantly due to fragmentation rather than  
490 augmentation of green cover.

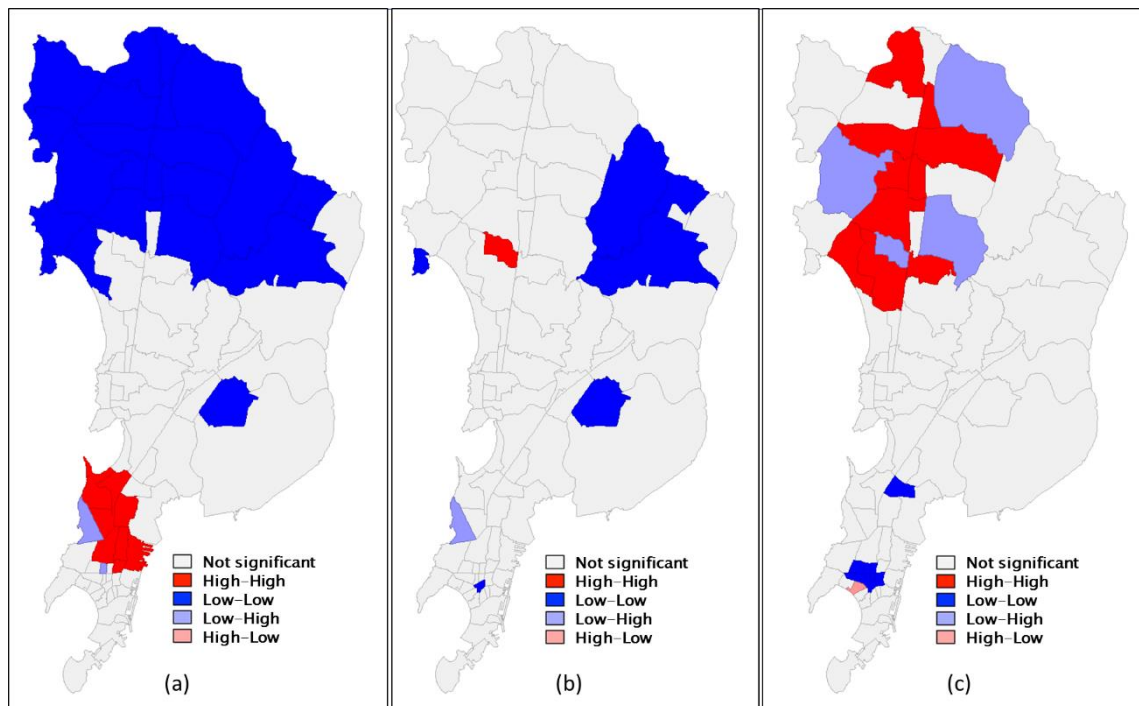


491 **Fig. 9.** Map of (a) *UPD* in 2001, (b) *UPD* in 2011, and (c) change in *UPD* during 2001-11.  
492

493 The LISA maps of *UPD* in the years 2001 and 2011 (Fig. 10a and Fig. 10b) present the  
494 statistically significant clusters and outliers. These maps show that, in 2001, the northern part  
495 of the suburbs largely formed clusters of low *UPD* (dark blue) while the central part of City  
496 District constituted the clusters of high *UPD* (dark red). However, by 2011 the extent of low  
497 *UPD* clusters (dark blue) in the suburbs decreased considerably and the high *UPD* clusters  
498 (dark red) shifted from City District to the western suburbs. These results indicate extensive  
499 disintegration of previously continuous green space patches in the western suburbs.

500 The mean change in *UPD* of all census sections was +7.40 patches per km<sup>2</sup>. Relative  
501 to this mean, the LISA map of change in *UPI* (Fig. 10c) characterises the census sections as  
502 ‘high’ (values from +7.40 to +33.60) and ‘low’ (values from -14.20 to +7.40). This map shows  
503 that the spurt in *UPD* was focussed in northern part of the western suburbs (dark red), while

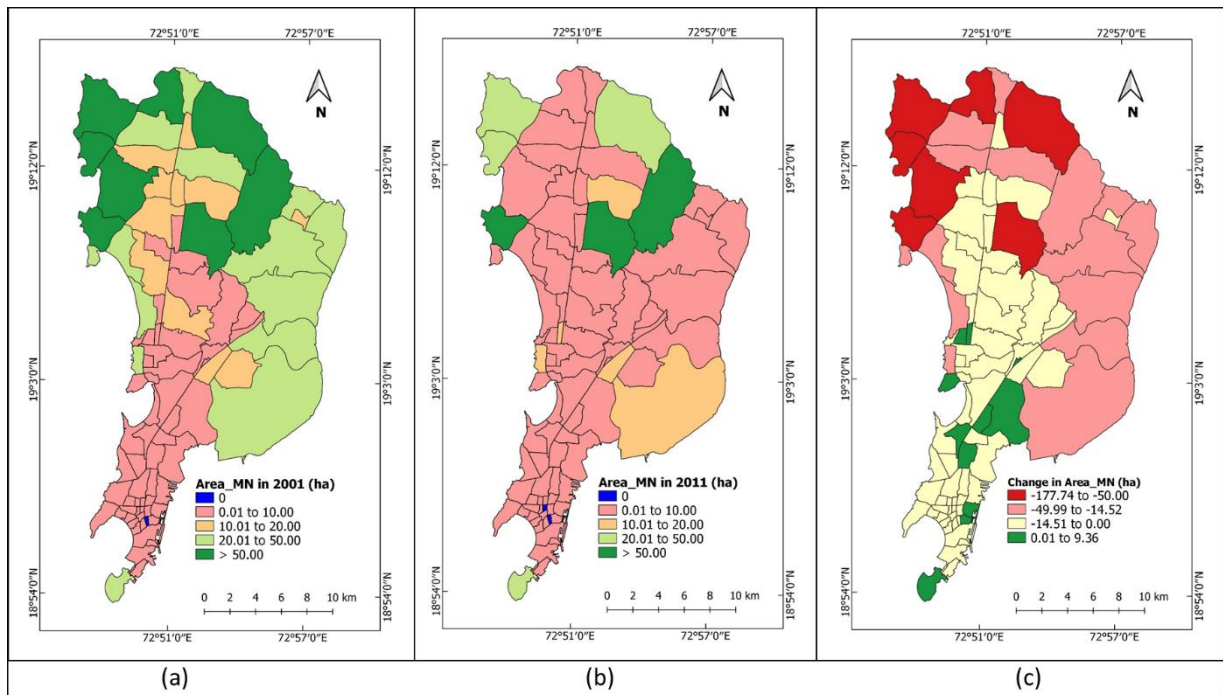
504 the decrease in *UPD* was concentrated in the City District (dark blue). Thus, it is confirmed  
505 that the western suburbs encountered intense fragmentation of green spaces during 2001-11



506 **Fig. 10.** LISA maps of (a) *UPD* in 2001, (b) *UPD* in 2011,  
507 and (c) change in *UPD* during 2001-11.  
508

#### 509 4.3.4 Mean area of urban green space patches (*Area\_MN*)

510 The *Area\_MN* of census sections ranged from 0 to 228.07 ha in 2001 and from 0 to  
511 71.83 ha in 2011 (Table 5). The *Area\_MN* maps of census sections in 2001 and 2011 (Fig. 11a  
512 and Fig. 11b) reveal that large parts of Mumbai saw decrease in *Area\_MN* during this period.  
513 This trend is also reflected in the decrease in median *Area\_MN* by 3.21 ha between these years  
514 (Table 5). The change in *Area\_MN* during the study period was in the range of -177.74 ha  
515 (census section 59) to +9.36 ha (census section 47). The map of change in *Area\_MN* (Fig. 11c)  
516 reveals that seventy four census sections saw a decrease in *Area\_MN* during 2001-11. This  
517 shrinkage in *Area\_MN* is symptomatic of the diminution and disintegration of green spaces in  
518 most parts of Mumbai during the study period.

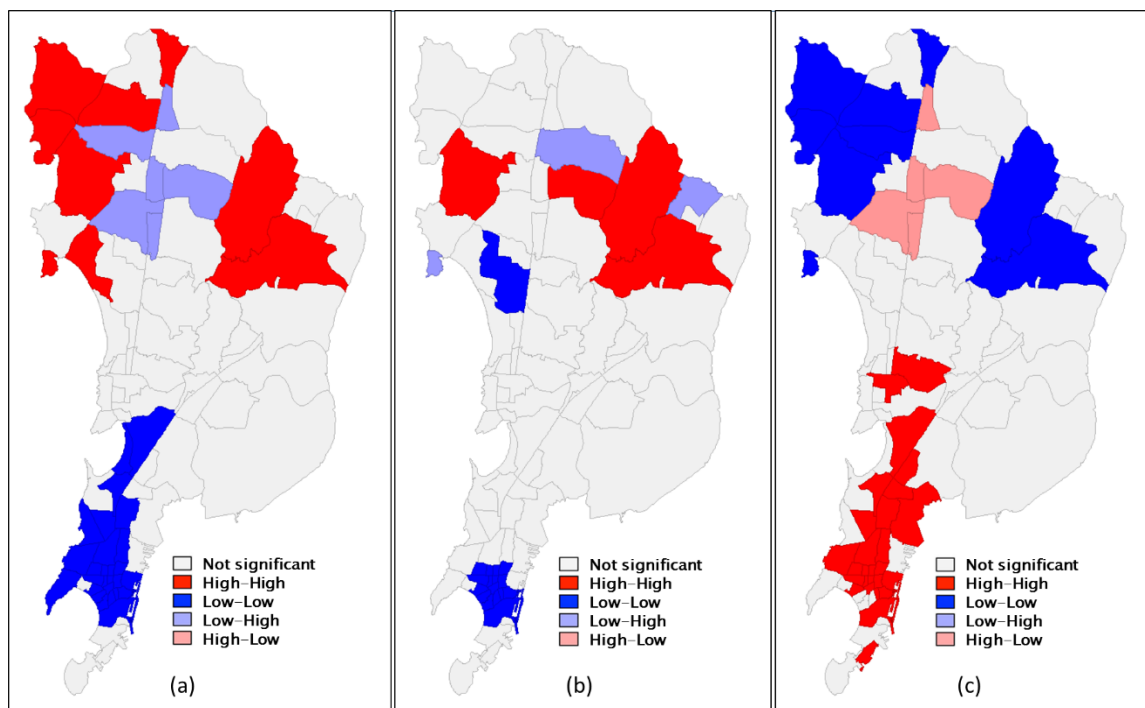


**Fig. 11.** Map of (a) *Area\_MN* in 2001, (b) *Area\_MN* in 2011, and (c) change in *Area\_MN* during 2001-11.

519  
520  
521

522 The LISA maps of *Area\_MN* in the years 2001 and 2011 (Fig. 12a and Fig. 12b) present  
523 the statistically significant clusters and outliers. In both years, the high *Area\_MN* clusters (dark  
524 red) were found in the suburbs while the clusters of low *Area\_MN* (dark blue) were located  
525 mainly in City District. Further, it was noticed that extents of both high *Area\_MN* clusters  
526 (dark red) in the suburbs and low *Area\_MN* clusters (dark blue) in City District shrank by 2011.  
527 This was due to the intense reduction in *Area\_MN* in the western suburbs to levels similar to  
528 that of City District. For example, *Area\_MN* of the census section 52 (the western suburb  
529 shown in dark blue in Fig. 12b) reduced by 12.04 ha from 12.84 ha in 2001 to 0.80 ha in 2011.  
530 This reduction is much higher than the maximum reduction in *Area\_MN* experienced by any  
531 section in City District (census section 20; -3.76 ha). Also, the Fig. 12b signifies that adequate  
532 attention must be paid to census sections 54, 68 and 87 (light blue), which had significantly  
533 low *Area\_MN* than their neighbours.

534 The mean change in *Area\_MN* of all census sections was -14.52 ha. Relative to this  
 535 mean, the LISA map of change in *Area\_MN* (Fig. 12c) illustrates the census sections as ‘high’  
 536 (values from -14.52 to +9.36) and ‘low’ (values from -177.74 to -14.52). The map identifies  
 537 the sections that saw drastic reduction in *Area\_MN* during 2001-11 as well as those that saw  
 538 minimal decrease or increase in *Area\_MN*. This map also confirms that the decrease in  
 539 *Area\_MN* was more pronounced in certain suburban census sections (dark blue).

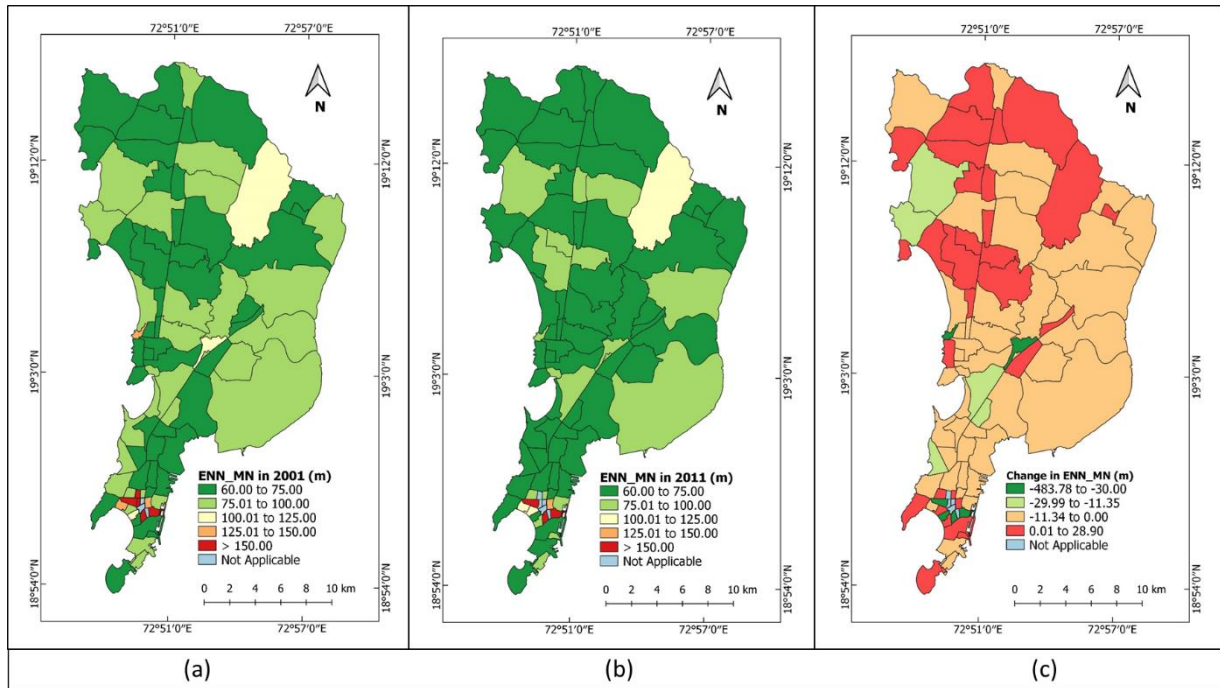


540 **Fig. 12.** LISA maps of (a) *AREA\_MN* in 2001, (b) *AREA\_MN* in 2011,  
 541 and (c) change in *AREA\_MN* during 2001-11.  
 542

#### 543 4.3.5 Mean Euclidean nearest neighbour distance of urban green space patches (*ENN\_MN*)

544 The *ENN\_MN* of census sections ranged from 60 to 722.49 m in 2001 and from 61.77  
 545 to 238.71 m in 2011 (Table 5). The *ENN\_MN* maps of census sections in 2001 and 2011 (Fig.  
 546 13a and Fig. 13b) reveal that most census sections had lower *ENN\_MN* in both 2001 and 2011.  
 547 The median *ENN\_MN* was observed to have decreased by 1.96 m between 2001 and 2011  
 548 (Table 5). The change in *ENN\_MN* during the study period was in the range of -483.78 m  
 549 (census section 13) to +28.90 m (census section 18). The map of change in *ENN\_MN* (Fig. 13c)  
 550 reveals that fifty four census sections saw a decrease in *ENN\_MN* whereas twenty nine sections

551 saw an increase. The overall trend of decreasing *ENN\_MN* was observed to be due to both  
 552 rampant fragmentation and extinction of remote patches. Yet the change in *ENN\_MN* of a  
 553 census section was found to ultimately depend on whether the remnants of pre-existent patches  
 554 lied near the core or on fringes.

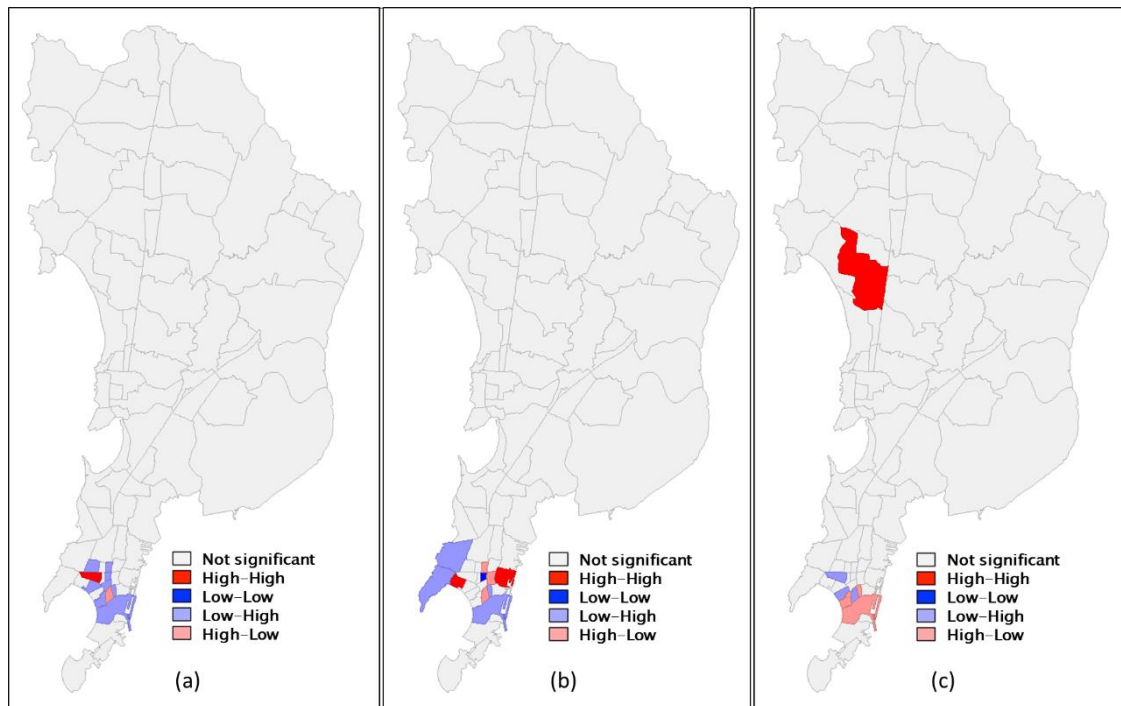


555 **Fig. 13.** Map of (a) *ENN\_MN* in 2001, (b) *ENN\_MN* in 2011, and  
 556 (c) change in *ENN\_MN* during 2001-11.

557 Note: The census sections with fewer than two green space patches are shown as ‘Not  
 558 Applicable’ in these maps (refer footnotes under Table 5).  
 559

560 The LISA maps of *ENN\_MN* in the years 2001 and 2011 (Fig. 14a and Fig. 14b) present  
 561 the statistically significant clusters and outliers. In both years, a few adjacent census sections  
 562 having sparse green cover in the southern part of City District (dark red) had *ENN\_MN* much  
 563 higher than the mean value of the respective years. For example, in 2001, the census sections  
 564 11, 13 and 26 had *ENN\_MN* above 500 m against the mean of 101.42 m. Similarly, in 2011,  
 565 the census sections 6, 8, 13, 16 had *ENN\_MN* above 140 m against the mean of 78.59 m. The  
 566 concentration of such high *ENN\_MN* sections in these region in both years resulted in the  
 567 observed clustering patterns.





**Fig. 14.** LISA maps of (a) *ENN\_MN* in 2001, (b) *ENN\_MN* in 2011, and (c) change in *ENN\_MN* during 2001-11.

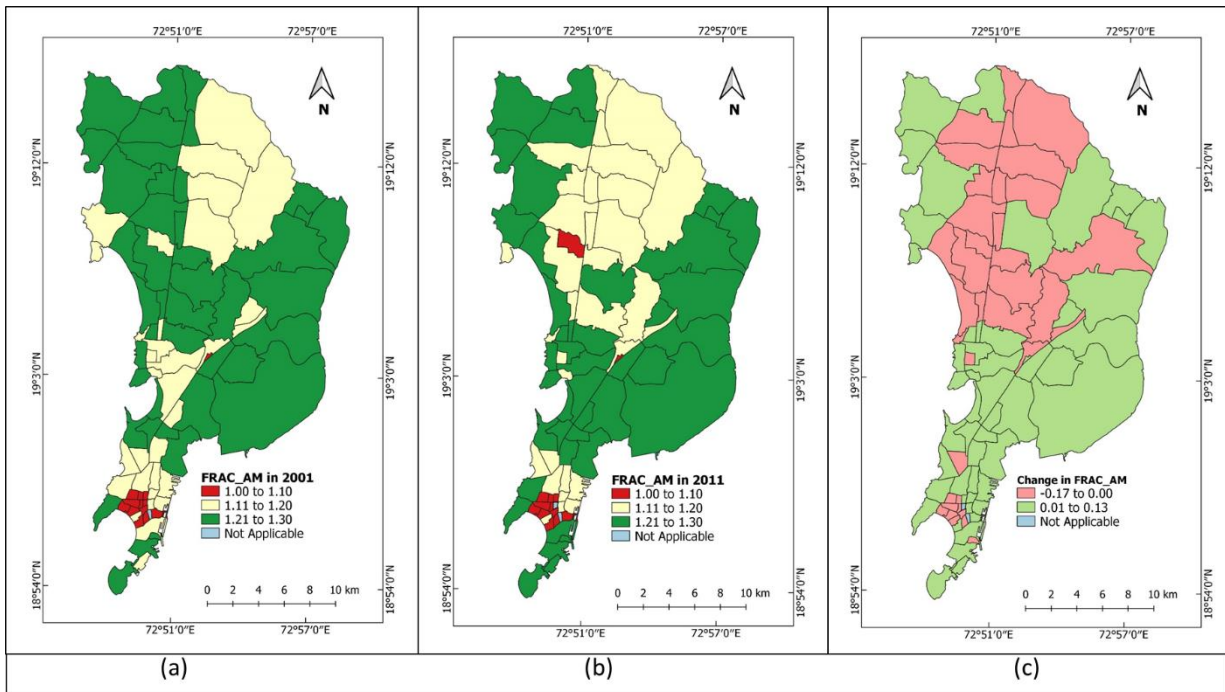
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569  
570

571 The mean change in *ENN\_MN* of all census sections was -11.35 m. Relative to this  
572 mean, the LISA map of change in *ENN\_MN* (Fig. 14c) represents the census sections as ‘high’  
573 (values from -11.35 to +28.90) and ‘low’ (values from -483.78 to -11.35). The map identifies  
574 the section 52 (dark red) and its neighbours as having experienced significantly high increase  
575 in *ENN\_MN*. The southern part of City District exhibited a combination of ‘high-low’ and  
576 ‘low-high’ outliers (light red and light blue) indicating that the change in *ENN\_MN* here was  
577 mixed.

#### 578 4.3.6 Area weighted mean fractal dimension index of urban green space patches (*FRAC\_AM*)

579 The *FRAC\_AM* of census sections ranged from 1 to 1.30 in 2001 and 1 to 1.31 in 2011  
580 (Table 5). The *FRAC\_AM* maps of census sections in 2001 and 2011 (Fig. 15a and Fig. 15b)  
581 reveal that in both years the sections in southern part of City District had lower *FRAC\_AM*,  
582 while most of the suburban sections had higher *FRAC\_AM*. The median *FRAC\_AM* was  
583 observed to have decreased marginally by 0.01 during 2001-11 (Table 5). Further, the change  
584 in *FRAC\_AM* between 2001 and 2011 was in the range of -0.17 (census section 52) to +0.13

585 (census section 31). The map of change in *FRAC\_AM* (Fig. 15c) reveals that fifty one census  
 586 sections saw an increase in *FRAC\_AM* whereas thirty four sections saw a decrease. These  
 587 results suggest that green spaces in most census sections turned relatively complex-shaped  
 588 between 2001 and 2011.

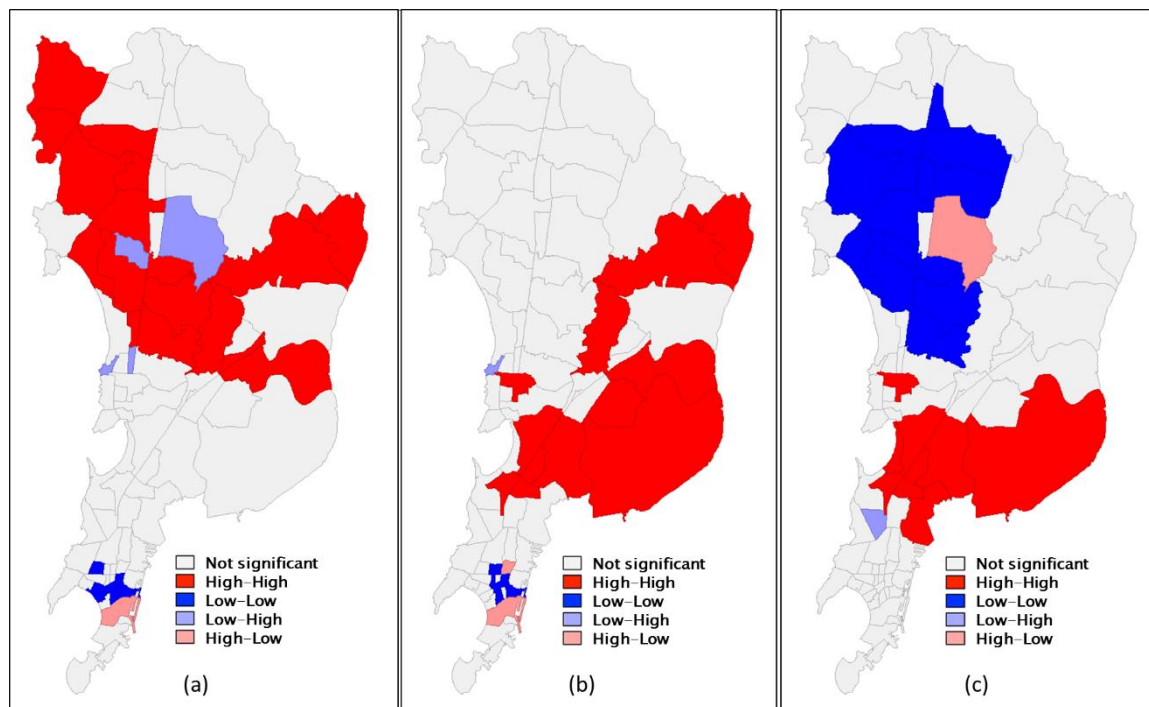


589 **Fig. 15.** Map of (a) *FRAC\_AM* in 2001, (b) *FRAC\_AM* in 2011, and  
 590 (c) change in *FRAC\_AM* during 2001-11.  
 591 Note: The census sections with no green cover are shown as ‘Not Applicable’ in the maps  
 592 (refer footnotes under Table 5).  
 593

594 The LISA maps of *FRAC\_AM* in the years 2001 and 2011 (Fig. 16a and Fig. 16b)  
 595 present the statistically significant clusters and outliers. These maps reveal that high *FRAC\_AM*  
 596 clusters (dark red) were located mainly in the suburbs while low *FRAC\_AM* clusters (dark blue)  
 597 were located in the southern part of City District. This indicates that green spaces in these  
 598 suburban sections were generally more complex-shaped than those in southern part of City  
 599 District. This was due to the sparse green cover in the southern sections of City District in form  
 600 of isolated single pixel patches. However, these suburban sections had relatively copious and  
 601 continuous green cover, giving rise to complex patches. Further, a comparison of these two  
 602 maps reveals two key aspects: i) the western suburbs lost large extent of high *FRAC\_AM*

603 clusters (dark red) in 2011, and ii) the northern part of City District emerged as hotspot of high  
604 *FRAC\_AM* (dark red) in 2011. The former was an outcome of the severe reduction in green  
605 cover in the western suburbs that turned large green patches into several simple-shaped  
606 fragments. Concerning the latter, it was observed that this area witnessed augmentation of  
607 green spaces (see Fig. 6c) mainly along roads and railway lines, eventually leading to increased  
608 shape complexity.

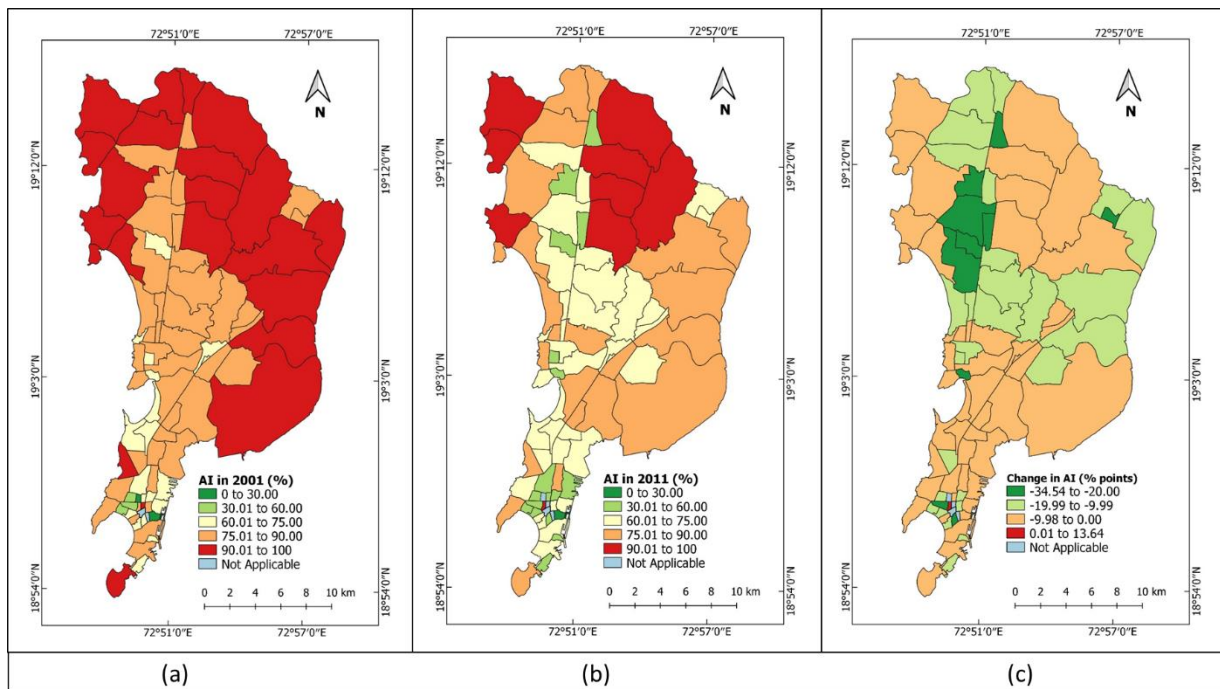
609 The mean change in *FRAC\_AM* of all census sections was 0. Relative to this mean, the  
610 LISA map of change in *FRAC\_AM* (Fig. 16c) describes the census sections as ‘high’ (values  
611 from 0 to +0.13) and ‘low’ (values from -0.17 to 0). The map identifies the specific sections  
612 in western suburbs (dark blue) whose green spaces transformed into simple patches. The map  
613 also identifies the sections (dark red), mostly in the northern part of City District, whose green  
614 spaces turned more complex-shaped.



615 **Fig. 16.** LISA maps of (a) *FRAC\_AM* in 2001, (b) *FRAC\_AM* in 2011,  
616 and (c) change in *FRAC\_AM* during 2001-11.

617 4.3.7 Aggregation index of urban green space patches (AI)

618 The AI of census sections ranged from 0 to 100% in 2001 and from 14.29 to 100% in  
619 2011 (Table 5). The AI maps of census sections in 2001 and 2011 (Fig. 17a and Fig. 17b) reveal  
620 that most census sections witnessed disaggregation of their green spaces in the study period.  
621 Further, the median AI was observed to have decreased by 10.16 percentage points between  
622 2001 and 2011 (Table 5). The change in AI during the study period was in the range of -34.54  
623 percentage points (census section 58) to +13.64 percentage points (census section 11). The map  
624 of change in AI (Fig. 17c) reveals that all but one of the census sections experienced a decrease  
625 in AI. The census section 11 had shown an increased AI as it lost one of its only two green  
626 space patches. The widespread decrease in AI confirms that green spaces in Mumbai  
627 predominantly disaggregated during 2001-11. Further, as the results suggest, even in those  
628 sections that witnessed an increase in PUGS, the added green spaces were scattered and  
629 removed from the existing ones.

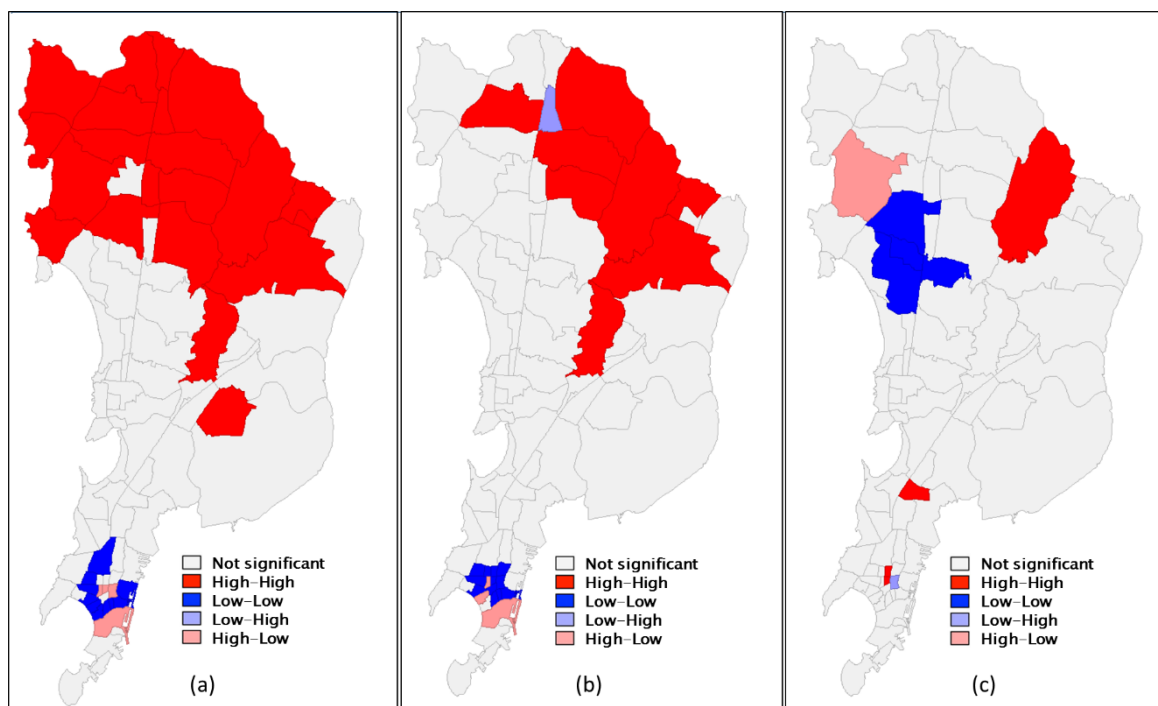


630 **Fig. 17.** Map of (a) AI in 2001, (b) AI in 2011, and  
631 (c) change in AI during 2001-11.

632 Note: The census sections with fewer than two green space pixels are represented as ‘Not  
633 Applicable’ in the maps (refer footnotes under Table 5).

634 The LISA maps of *AI* in the years 2001 and 2011 (Fig. 18a and Fig. 18b) present the  
 635 statistically significant clusters and outliers. In both years, the southern sections of City District  
 636 formed clusters of low *AI* (dark blue) as they had scant green cover distributed in form of few  
 637 small patches. On the other hand, the suburbs contained clusters of high *AI* (dark red) since  
 638 they had relatively abundant green cover in form of large lumps. However, as evident from a  
 639 comparison of the two maps, the western suburbs lost substantial portions of high *AI* hotspots  
 640 (dark red) between 2001 and 2011 due to extensive clearing of green spaces.

641 The mean change in *AI* of all census sections was -9.99 percentage points. Relative to  
 642 this mean, the LISA map of change in *AI* (Fig. 18c) defines the census sections as ‘high’ (values  
 643 from -9.99 to +13.64) and low (values from -34.54 to -9.99). This map identifies the census  
 644 sections numbered 52, 56, 57 and 60 (dark blue) and their neighbours as hotspots of significant  
 645 decline in *AI* during the study period. Further, the map shows that the disaggregation was  
 646 relatively minimal around the Sanjay Gandhi National Park and sections 25 and 30 in the City  
 647 District (dark red).



648 **Fig. 18.** LISA maps of (a) *AI* in 2001, (b) *AI* in 2011,  
 649 and (c) change in *AI* during 2001-11.

## 650 **5. Discussion and Conclusion**

651 The study demonstrated a spatiotemporal analysis of urban green space distribution at  
652 neighbourhood level by adopting a novel geospatial approach. This approach encompasses  
653 quantity, quality and accessibility dimensions of green space distribution in order to provide a  
654 holistic assessment of green space dynamics, as recommended by *Yao et al. (2014)*, *Haaland*  
655 *and van den Bosch (2015)*, and *De la Barrera et al. (2016b)*.

656 The study results indicate that green spaces in Mumbai overall diminished, fragmented,  
657 and disaggregated between 2001 and 2011. These results corroborate with the trends of urban  
658 green space decadence witnessed by other cities in the developing world, such as Dhaka  
659 (Bangladesh), Karachi (Pakistan), Hanoi (Vietnam) and Mashad (Iran), as reported by *Haaland*  
660 *and van den Bosch (2015)*. The general degradation of urban green spaces in these cities could  
661 be attributed to multiple factors- spontaneous urbanization, poor land use management and  
662 general apathy to urban green space planning (*Byomkesh et al., 2012; Senanayake et al., 2013;*  
663 *Kabisch et al., 2015*). With Mumbai projected to become the world's sixth most populous city  
664 by 2028 (*UN DESA, 2018*), an urban greening policy framework with adequate measures for  
665 conserving and promoting urban green spaces against development pressure is the need of the  
666 hour.

667 The spatiotemporal analysis of neighbourhood level green space quantity indicators  
668 identified the hotspots of the greening and un-greening phenomena in Mumbai. The western  
669 suburbs, particularly the northern half, witnessed extreme levels of un-greening with much of  
670 its green spaces disappearing by 2011. The decrease in green cover in these neighbourhoods  
671 was simultaneous with their emergence as hub of residential and commercial development  
672 activities during this period (*Pethe et al., 2014*). The widely unabated urbanization of the  
673 western suburbs poses a threat to the ecologically sensitive verdant areas in the region, such as  
674 mangroves and the Sanjay Gandhi National Park, whose destruction has several adverse

675 ramifications for the city and its residents (*Zerah, 2007; Vaz, 2014*). Similarly, the closely  
676 packed southern neighbourhoods of City District were found to have little to sparse green  
677 cover. However, these sections experienced relatively less decrease in green cover vis-à-vis the  
678 western suburbs. The population decrease fuelled by gentrification in these areas (*Pacione,*  
679 *2006*) resulted in improved green space per capita (*UPI*) in few sections despite their  
680 diminishing green cover. Yet, remarkably, a few sections still reported decreased *UPI* in spite  
681 of decrease in population. This suggests that the further reduction of even meagre green spaces  
682 in these areas is driven by commercial development. On the other end of the gamut, the un-  
683 greening of Mumbai during the study period was comparatively less pronounced in the northern  
684 sections of City District. Interestingly, two census sections in this area (numbered 32 and 33)  
685 witnessed augmentation of green spaces despite their increasing population, and hence present  
686 a case for further research.

687         Furthermore, as evident from the spatiotemporal patterns of green space quality  
688 indicators, certain neighbourhoods in the western suburbs were also the foci of green space  
689 fragmentation phenomenon in Mumbai during 2001-11. Green spaces in these neighbourhoods  
690 witnessed sharp reduction in area and emerged increasingly distant from each other. Clearly  
691 these neighbourhoods deserve more attention in order to check their deteriorating quality of  
692 green spaces. On the other hand, the southern neighbourhoods in City District witnessed  
693 comparatively little fragmentation than the western suburbs between 2001 and 2011. However,  
694 the sparse green cover in these neighbourhoods were also observed to be distributed in form of  
695 isolated small patches. Hence, these neighbourhoods critically need greening programs to  
696 increase both their greenspace quantity and quality.

697         The spatiotemporal patterns of the green space accessibility indicators suggest that  
698 green spaces in most neighbourhoods turned relatively complex-shaped and disaggregated.  
699 This indicates that green spaces were increasingly proximate and, hence, more accessible to

700 the residents. However, in the context of the extensive decrease and fragmentation of green  
701 spaces observed across Mumbai, the enhanced accessibility could be attributed to increased  
702 population settlements among fragmented green spaces rather than addition of green spaces.  
703 Further, we observed that in few neighbourhoods (e.g., census sections 52, 57, 58, 60 and 62),  
704 large and aggregated green space patches had turned into isolated single-pixel patches due to  
705 intense fragmentation (Figs. 3, 4). As a result, these neighbourhoods had disaggregated yet  
706 simple-shaped green space patches (Figs. 16c and 17c). Hence, accessibility to green spaces in  
707 these neighbourhoods cannot be inferred with certainty. This could be overcome if the  
708 variations in shape complexities are mapped at finer spatial resolutions.

709         The current study has a few limitations. First, the spatial heterogeneity within the census  
710 sections in terms of population and green space distribution could not be addressed as the  
711 census sections are the basic level of population data available publicly. Hence, the population  
712 is assumed to live at the centre of census section and access only the green spaces within the  
713 section (*Sathyakumar et al., 2018*). Second, the study is based on spatial metrics derived from  
714 remotely sensed data at 30m spatial resolution. Since the spatial metrics are scale-dependent  
715 (*Shen et al., 2004; Buyantuyev et al., 2010*), the distribution indicators evaluated in this study  
716 must be read in the context of the spatial resolution used.

717         Nevertheless, the study has significant implications for green space planning. As the  
718 distribution indicators are derived from remote sensing data, the analysis can be replicated in a  
719 cost effective manner at desired time scales to generate an inventory of neighbourhood-level  
720 green space distribution indicators. This would be particularly useful in case of cities in the  
721 developing countries that exhibit high urban dynamism spatially and temporally. Further, the  
722 statistical design provided by the study will help planners, especially in developing countries,  
723 to identify the neighbourhoods that deserve special attention. This paves way for planners in  
724 these countries to draw up local greening policies through efficient use of limited resources for



725 improving the distributional equity of green spaces. Besides, the various distribution indicators  
726 thus derived can be coupled with green space function, neighbourhood pollution levels, socio-  
727 economic status, residents' perceptions and preferences to build a multi-pronged approach  
728 towards urban greening (*Lo and Jim, 2012; Wright Wendel et al., 2012; Senanayake et al.,*  
729 *2013; Sathyakumar et al., 2018*). Further, future studies can contemplate independently  
730 assessing the distribution of green spaces according to their ownership (public/private owned)  
731 or hierarchical levels (neighbourhood-level/community-level/district-level/city-level parks)  
732 (*Li and Liu, 2016; Gupta et al., 2016*). Moreover, replicating the study for other cities may  
733 help in formulating urban green quality and accessibility standards in addition to the existing  
734 quantity standards (*Haaland and van den Bosch, 2015; Badiu et al., 2016*). However, a key  
735 element to be considered while making inter-city or multi-scale comparison of the remote  
736 sensing-derived indicators is the homogeneous spatial resolution of the satellite images used  
737 (*Sathyakumar et al., 2018*).

738

### 739 **Declaration**

740 Conflicts of interest: none.

741 This research did not receive any specific grant from funding agencies in the public,  
742 commercial, or not-for-profit sectors.

743 **Appendix A. Conventional error matrices of the UGS maps of Mumbai in 2001 and 2011.**

744 **Table A1**

745 Conventional error matrix of the urban green spaces (UGS) map of Mumbai in 2001.

Map	Reference		Total	User's Accuracy
	UGS	Non-UGS		
UGS	208	31	239	87.03 %
Non-UGS	16	145	161	90.06 %
<b>Total</b>	224	176	400	
<b>Producer's Accuracy</b>	92.86 %	82.39 %		
Overall accuracy= 88.25 %			Kappa= 0.76	

746

747

748 **Table A2**

749 Conventional error matrix of the urban green spaces (UGS) map of Mumbai in 2011.

Map	Reference		Total	User's Accuracy
	UGS	Non-UGS		
UGS	164	30	194	84.54 %
Non-UGS	38	168	206	81.55 %
<b>Total</b>	202	198	400	
<b>Producer's Accuracy</b>	81.19 %	84.85 %		
Overall accuracy= 83.00 %			Kappa= 0.66	

750

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