

Muscle Sympathetic Reactivity to Metabolic and Apneic Stress in High-Altitude Sherpa

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1 **ABSTRACT**

2 Lowland-dwelling populations exhibit persistent sympathetic hyperactivity at altitude that may
3 alter vascular function. High altitude populations, such as Sherpa, exhibit greater peripheral
4 blood flow in response to acute stress, suggesting Sherpas may exhibit lower sympathetic
5 activity and reactivity to stress than Lowlanders. Muscle sympathetic activity (MSNA;
6 microneurography) including frequency (bursts/min), incidence (bursts/100HB), amplitude (% of
7 max burst), was measured at rest in Lowlanders (n=14; age=27±6yrs) at 344m and following a 8-
8 9 days of graded ascent to 5050m. Sherpa (age=32±11yrs) were tested at 5050m (n=8).
9 Neurovascular reactivity (i.e., change in MSNA patterns) was measured during maximal end-
10 expiratory apnea, isometric hand-grip (IHG; 30% maximal voluntary contraction for 2 minutes)
11 and post exercise circulatory occlusion (PECO; 3 minutes). Total normalized SNA (au/min) was
12 calculated over 10 cardiac cycles during baseline and pre-volitional apnea breakpoint. Lowlander
13 burst frequency (11±5 bursts/min to 30±7 bursts/min; Mean±SD; p<0.001) and burst incidence
14 (25±13 bursts/100HB to 53±15 bursts/100HB; p<0.001) increased at 5050m. In contrast, Sherpas
15 had lower burst frequency (23±11 bursts/min; p<0.05) and incidence (30±13 bursts/100HB;
16 p<0.05) at 5050m. MSNA increases in Lowlanders and Sherpa during apnea at 5050m were
17 significantly lower than Lowlanders at 344m (both P<0.05), with a possible sympathetic ceiling
18 reached in Lowlanders at 5050m. MSNA increased similarly during the IHG/PECO in
19 Lowlanders at 334m and 5050m altitude and Sherpa at 5050m. Sherpa demonstrate overall lower
20 sympathetic activity and reactivity during severe stress. This may be a result of improved
21 systemic hemodynamic function associated with evolutionary adaptations to permanent
22 residency at altitude.

23 INTRODUCTION

24

25 The response to both acute (20, 22, 31, 32, 38) and chronic (e.g. altitude) (7, 15, 23, 26)
26 reductions of oxygen availability in lowland dwelling populations is an increase in basal efferent
27 sympathetic activity (SNA). This sympathoexcitation occurs in conjunction with local dilation to
28 facilitate blood flow redistribution and oxygen delivery to critical tissues. Previous findings also
29 show an augmented SNA response to additional stress (i.e. increased sympathetic reactivity)
30 under acute hypoxic conditions (20, 35). Whether a similar potentiation occurs during chronic
31 hypoxia is unknown. As Lowlanders exhibit heightened SNA at altitude, we propose a similar
32 potentiation of sympathetic reactivity to additional stress. Furthermore, whether similar
33 responses are evident in those exposed to long duration hypoxia, such as high altitude natives has
34 not been studied. Nepalese/Tibetan Sherpa have resided at high altitude (>2500m) for thousands
35 of years, allowing for unique evolutionary phenotypic adaptations under chronic hypoxia
36 exposure. This includes cardiovascular adaptations that allow for increased oxygen delivery and
37 metabolism at the local tissue (8, 11, 43). Limited data suggest that Sherpa exhibit an improved
38 ability to increase systemic blood flow at altitude (11, 34), which we hypothesized may be in part
39 through lower SNA reactivity to stress at altitude.

40

41 To address these hypotheses, we performed microneurography recordings of efferent post-
42 ganglionic nerve activity in Lowland dwellers at low (344m) and high (5050m) altitudes and in a
43 group of native Sherpa at altitude (5050m). This was complemented with brachial
44 ultrasonography to determine the effect of heightened sympathetic stress on vascular function
45 during stress in Sherpa and acclimatized Lowlanders.

46

47 **METHODS**

48 This study was carried out within the framework of the 2016 UBC Nepal Expedition to the
49 Ev-K2-CNR Research Facility (5050m) (42). Though participants took part in a number of
50 independent investigations, experiments were organized to ensure no contamination between
51 studies, and each study addressed distinct *a priori* research questions. Baseline demographics,
52 cardiovascular characteristics and heart rate responses to apnea have been previously reported
53 from Lowlanders and Sherpa at altitude (4, 40). Metrics of basal sympathetic activity is reported
54 in parallel to Simpson *et al.* (37). However, the current study focuses on novel independent
55 analyses related to sympathetic reactivity.

56

57 **Study Participants**

58 Fourteen Lowlanders (27 ± 6 yrs; 2 female) and ten Sherpa (32 ± 11 yrs) from the Khumbu region
59 of Nepal participated after providing informed written consent in their native language.
60 Procedures were explained in Nepalese and English as needed, and were approved by the
61 University of Alberta Biomedical Research Ethics Board, University of British Columbia
62 Clinical Research Ethics Board, and Nepal Health Research Council. Participants were free of
63 ventilatory, cardiovascular, metabolic, and neurological disorders as determined by a self-
64 reported health history questionnaire. Four Sherpa were self-reported smokers (0.42 ± 0.7 pack
65 years).

66

67

68

69 **Testing Location(s)**

70 The ascent profile and testing schedules are outlined in Supplemental Figures 1,2, and 3. Pre-
71 expedition testing of Lowlanders (n=14) was performed at 344m (Kelowna, Canada). To match
72 the ascent profile and acclimatization process between groups, Sherpa were flown to Kathmandu,
73 Nepal (1400 m), where they resided between 5-15 days. Data were successfully collected in a
74 subset of Sherpa (n=5) in Kathmandu before both Lowlanders and Sherpa flew to Lukla, Nepal
75 (2840m) and followed a 9-10 day ascent. One lowlander was administered oral acetazolamide
76 (half life - 4 hrs) and another was administered an intramuscular injection of dexamethasone
77 (half life – 3 hours) following 4 days arrival at 5050m for the treatment of acute mountain
78 sickness; however, both were tested after a 48-hour washout. Sherpa were not on any medication
79 and were tested on days 1-3 following arrival at 5050m, while Lowlanders were tested between
80 days 1-10 (Supplemental figure 2).

81

82 ***Study Protocol***

83 Following instrumentation, basal SNA and cardiovascular function was measured during 10
84 minutes of quiet rest. Sympathetic reactivity was subsequently assessed using two protocols: 1) a
85 volitional end-expiratory apnea at functional residual capacity (24) and 2) isometric hand-grip
86 (IHG) performed for 2 min followed by 3 min of post-exercise circulatory occlusion (PECO).
87 Prior to apnea, an investigator paced the participants' breathing (2-3 breaths) to maintain rate and
88 depth, while preventing hyperventilation. Participants were then instructed to “hold their breath
89 for as long as possible. Participants performed IHG at 30% of their previously determined
90 maximal voluntary contraction using handgrip dynamometer (Grip Force Transducer;
91 ADInstruments, Australia). Immediately following 2 minutes of IHG, a manual blood pressure

92 cuff was inflated (>200mmHg) for 3 minutes to stimulate post-exercise ischemia while the limb
93 was relaxed. The apnea protocol always preceded IHG/PECO protocol.

94

95 **Experimental Measures**

96 All participants were tested in the supine position. ECG (Lead II) and the arterial blood
97 pressure waveforms (finger photoplethysmography; Finometer Pro, Finapres Medical Systems,
98 Netherlands) were collected continuously at 1 KHz (ADInstruments, Chart Pro v8.3.1,
99 Australia). Heart rate (HR) was calculated from the ECG R-R interval. Beat-by-beat cardiac
100 output (CO) was calculated using the Model Flow algorithm and used to calculate total
101 peripheral resistance ($TPR = MAP/CO$). Beat-by-beat mean (MAP), systolic (SBP) and diastolic
102 (DBP) pressures were calculated from the arterial pressure waveform that was calibrated against
103 manual sphygmometry.

104

105 ***Muscle Sympathetic Nerve Activity***

106 Microneurography was used to directly measure efferent muscle sympathetic vasomotor nerve
107 activity (MSNA) (13, 37, 39). A tungsten microelectrode (200 μ m diameter, 35 mm long, tapered
108 to a 1-5 μ m uninsulated tip) was inserted percutaneous into the peroneal (common fibular) nerve,
109 with an uncoated tungsten reference electrode inserted subcutaneously 1-3 cm from the recording
110 site. The recording electrode was manipulated until a pulse-synchronous bursting pattern was
111 identifiable in response to apnea but not a loud noise (5). The raw MSNA signal was amplified
112 (1000x pre-amplifier and 100x variable gain isolated amplifier), band pass filtered (700-
113 2,000Hz), rectified, and integrated (decay constant 0.1s) to obtain a mean voltage neurogram

114 (model 662C-3; Iowa University Bioengineering; USA). Both raw and integrated signals were
115 sampled at 10 KHz (ADInstruments, Chart Pro v8.3.1; Australia).

116

117 *Vascular Ultrasonography*

118 In a subset of Lowlanders (n= 8) and Sherpa (n = 4), ultrasonography was used to
119 measure brachial artery (BA) diameter, Doppler velocity (BA_v), and flow (BA_F) in the non-
120 exercising arm at baseline and during the IHG/PECO protocols (12 ~MHz linear array
121 transducer; Vivid Q, GE Healthcare). Probe insonation-angle was kept constant (60°) across all
122 tests. Video capture was used for recording vessel diameter (DVI2USB3.0; Epiphan Systems,
123 Canada) and was stored off-line in audio video interleave format for future analysis with edge
124 detection software (Brachial Analyzer, Medical Imaging Applications, USA). Image analysis of
125 data was performed at 30Hz following visual confirmation (SAB) of the region of interest to
126 ensure clearly distinguishable lumen walls. BA flow velocity waveforms were converted from
127 Doppler audio signals (qDAT; Penn State, USA) (16) and stored offline at 1 KHz
128 (ADInstruments, Chart Pro v8.3.1).

129

130 **Data and Statistical Analysis**

131 Baseline MSNA and cardiovascular data were averaged over ~10 minutes during quiet rest.
132 MSNA bursts were identified using a semi-automated detection algorithm (Chart Pro 8.3.1) and
133 confirmed by a trained observer (SAB). Baseline MSNA was quantified as burst frequency
134 (bursts/min), incidence (bursts/100 HB), and normalized burst amplitude (% of maximal burst
135 size at baseline) and area (area under the curve, [au]). For the apnea protocol, MSNA and
136 cardiovascular data were analyzed from the final 10 cardiac cycles prior to volitional breakpoint.

137 Cardiovascular post-apnea nadir (S_pO_2 , HR) and peak (SBP, DBP, MAP) responses were
138 obtained in 10-15 seconds post breakpoint. MSNA bursts during the apnea were calculated as the
139 burst area (area under the curve, [au]) during the last 10 cardiac cycles prior to volitional
140 breakpoint. Burst area was normalized SNA (au/min) during baseline and apnea to account for
141 variations in cardiac cycle length and burst width(4). In addition, the average likelihood (%) of a
142 burst occurring during a given cardiac cycle for apneas was calculated across participants.
143 Sympathetic reactivity to apnea was assessed as the increase in normalized burst area was
144 compared between baseline and end-apnea. MSNA (frequency, incidence and normalized
145 amplitude) and cardiovascular data (HR, blood pressure, TPR, and BA_F) were averaged during
146 the last minute of both IHG and PECO. Sympathetic reactivity was assessed as the increase in
147 MSNA from baseline to IHG and PECO.

148

149 Results are reported as mean \pm standard deviation (SD) with the exception of baseline burst
150 amplitude, which is reported as median \pm interquartile range (IQR) to account for differences in
151 amplitude distribution between conditions. Multiple comparisons were assessed for all
152 measurements using pre-planned contrasts of Lowlanders from low to high altitude (paired T-
153 tests), and Lowlanders to Sherpa at high altitude (unpaired T-tests) with an adjusted alpha (α')
154 value corrected for multiple comparisons (c). This was performed by adjusting the *a priori* alpha
155 (α , 0.05) using the experiment-wise error rate (α_e) (4, 17):

156

$$\alpha' = \frac{\alpha_e}{c}$$

157

$$\alpha_e = 1 - (1 - \alpha)^c$$

158

159 For normalized bursts amplitude, and vascular responses during baseline, IHG, and PECO
160 protocols, a two ways repeated measures ANOVA compared the main and interaction effects in
161 the distributions between conditions. To address the potential effect of duration at altitude on
162 MSNA; a secondary analysis via Pearson's moment correlation analysis of dependent variables
163 was performed in this study. Finally, ANCOVA analysis was used to control for duration at
164 altitude. All statistical analyses were performed using Sigma Stat 3.13 (Systat Software,
165 Chicago, IL).

166

167 **RESULTS**

168 Fourteen lowlanders were successfully tested at 344m and again at 5050m. Two
169 Lowlanders reported having mild acute mountain sickness (AMS) on the day of testing (Lake
170 Louise scores of 3). However, the data from these two subjects were comparable to the averaged
171 responses and therefore included in the main analyses. Eight of the ten Sherpa who descended to
172 Kathmandu were successfully tested at 5050m. No relationship was shown between duration
173 after arriving at 5050m and MSNA responses in either Lowlanders (Burst Frequency $r^2= 0.160$,
174 $p= 0.065$) or Sherpa (Burst Frequency $r^2 = 0.001$, $p=0.937$). Baseline cardiovascular and
175 autonomic characteristics for both Sherpa and Lowlanders are listed in Table 1. SBP, DBP,
176 MAP, CO, and TPR and SpO₂ were not difference between lowlanders and Sherpa at 5050m
177

178 **Baseline Neurovascular Characteristics in Sherpa and Lowlanders**

179 Resting MSNA values for Sherpa and Lowlanders are exhibited in Figures 1 and 2.
180 Lowlanders burst frequency tripled (11 ± 5 bursts/ min to 30 ± 7 bursts/ min; $p<0.001$) while
181 burst incidence doubled (25 ± 13 bursts/100 HB to 50 ± 15 bursts/ 100 HB; $p<0.001$) following
182 several days at high altitude. At 5050m, Sherpa had a lower burst frequency (23 ± 11 bursts/min;

183 $p < 0.05$) and incidence (30 ± 13 bursts/100 HB; $p < 0.05$) compared to Lowlanders at 5050m. At
184 altitude the distribution of normalized burst area was also shifted towards larger sized bursts in
185 Lowlanders (Figure 2), with the burst amplitude distributions in the Sherpa being similar to that
186 of Lowlanders at 5050m. After taking into account differences in both frequency and amplitude
187 distribution, total basal sympathetic activity was similar in Sherpa (1103 ± 520 au/min) and
188 Lowlanders at 5050m (1320 ± 520 au/min) with both being higher than observed in lowlanders
189 at low altitude (451 ± 206 au/min; $p < 0.05$).

190 In, a subset of Sherpa ($n=5$) baseline sympathetic activity was collected in Kathmandu
191 (1400 m). At 1400 m, the subset of Sherpa exhibited a burst frequency (13 ± 3 bursts/min) and
192 incidence (21 ± 6 bursts/100 HB); these values were similar to that of Lowlanders at low altitude
193 (344m) (non-statistical observation). Although underpowered to perform complete statistical
194 analyses, these Sherpa had a lower burst Frequency ($P < 0.05$) but not incidence ($P = 0.198$)
195 compared to Sherpa tested at altitude. These data are included for completeness and descriptive
196 purposes (See Table 2).

197

198 **Sympathetic Reactivity to Apnea in Lowlanders and Sherpa**

199 Sympathetic neurovascular reactivity during apnea was assessed in 14 Lowlanders at low
200 and high altitude, and 8 Sherpa assessed at 5050m. At low altitude, Lowlanders had an apnea
201 duration of 30.4 ± 11.1 s (range 15-74s) which was reduced to 15.4 ± 5.3 s (range 9-27s)
202 ($P < 0.001$) at 5050m. Lowlanders SpO_2 nadir post-apnea was $78 \pm 7\%$. Sherpa apnea duration
203 (15.8 ± 2.6 ; Range 12-19s) and saturation ($75 \pm 5\%$) post-apnea were not different to that of
204 Lowlanders ($P = 0.84$).

205 Apnea across all groups and conditions produced a very robust increase in MSNA driven
206 by changes in both burst occurrence and burst area (Figures 4 & 5). Apnea at low altitude was
207 associated with a significant increase in MSNA in Lowlanders (normalized total area $+31359 \pm$
208 30383 au/min compared to baseline; $P < 0.01$). While apnea at altitude resulted in the largest burst
209 augmentation and increase in burst occurrence (Figure 5), this occurred over a longer time due to
210 a previously reported bradycardia¹⁶. Thus, the au/min response to apnea tended to be less at high
211 altitude ($+17711 \pm 11018$ au/min versus baseline; $p = 0.063$). Interestingly, 5 out of the 14
212 Lowlanders had “prolongation” of sympathetic bursts during apnea at high altitude that did not
213 represent normal burst firing characteristics. More specifically, the cyclical modulation of
214 efferent bursts activity was altered at 5050m such that bursts became broader and less peaked,
215 encompassing a larger portion of the cardiac cycle (Figure 3). In contrast, Sherpa sympathetic
216 responses to apnea ($+7708 \pm 4312$ au/min) were significantly lower than Lowlanders at low
217 altitude ($P < 0.05$) and at 5050m ($P < 0.05$). Additionally, there were no observed cases of
218 “prolonged” bursts in Sherpa neurograms.

219 Apnea resulted in a significant increase in mean blood pressure in all three groups; $34 \pm$
220 13 mmHg in Lowlanders at low altitude, 35 ± 20 mmHg in Lowlanders at 5050m and 23 ± 8
221 mmHg in Sherpa at 5050m (all $P < 0.01$ with respect to baseline). The increase in MAP
222 associated with apnea was smallest in Sherpa ($P < 0.05$ when compared to Lowlanders at 334m).
223 When these responses were considered together Sherpa had higher vascular responsiveness to
224 sympathetic activation during apnea (3.70 ± 1.90 mmHg/au/min $\times 10^{-3}$) compared to Lowlander
225 at low (1.84 ± 1.17 mmHg/au/min $\times 10^{-3}$, $P = 0.009$) but not high (2.62 ± 1.81 mmHg/au/min $\times 10^{-3}$,
226 $P = 0.227$) altitude.

227

228 **Sympathetic and Vascular Reactivity to Isometric Hand Grip and Post Exercise**

229 **Circulatory Occlusion**

230 Sympathetic neurovascular reactivity during the IHG/PECO protocols was assessed in 14
231 Lowlanders at low and high altitude, and was successfully collected in 6 Sherpa 5050m. At
232 altitude, Sherpa exhibited an overall lower burst frequency, incidence and total MSNA during
233 the BL, IHG and PECO compared to acclimatized Lowlanders (Figure 6, each $P < 0.001$). During
234 IHG burst frequency ($+17 \pm 9$, $+18 \pm 13$, and $+16 \pm 12$ bursts/min; all $P < 0.001$), burst incidence
235 ($+14 \pm 15$, $+5 \pm 15$, and $+13 \pm 13$ bursts/100 HB; all $P < 0.001$) and total MSNA ($+1429 \pm 893$,
236 $+1247 \pm 1178$, and $+1827 \pm 1361$ au/min) were elevated significantly in Lowlanders at 344m and
237 5050m, and Sherpa at 5050m respectively (Figure 6). No further increase in burst frequency or
238 total MSNA occurred between IHG and PECO, although burst incidence climbed due to the
239 concurrent return of heart rate to baseline during PECO (Figure 7). The increase in MSNA
240 occurring with IHG/PECO was not different between groups.

241 While Sherpa tended to have an overall lower blood pressure, the changes in blood
242 pressure responses to IHG and PECO were similar between groups, as was the change in total
243 peripheral resistance (Figure 8). Thus, unlike the apnea protocol we did not detect significant
244 differences in neurovascular reactivity during IHG / PECO stress with altitude (in Lowlanders)
245 or between groups (at 5050m).

246

247 **Forearm Blood Flow Reactivity in Lowlanders and Sherpa**

248 Brachial artery blood flow was successfully analyzed in a subset of 8 Lowlanders and 4
249 Sherpa during the HG/PECO protocol. Basal blood flow was not different between groups,

250 although there was a significant main effect for brachial artery conductance ($P < 0.05$), with
251 Lowlanders having a higher brachial artery conductance at altitude (Figure 8). The HG and
252 PECO protocols did not result in a change in contralateral blood flow or resistance (or
253 conductance). Consequently, no differences in reactivity were observed between groups, similar
254 to blood pressure results (above).

255

256 **DISCUSSION**

257 This study demonstrates that sympathetic neural activation (i.e. increases in MSNA) in
258 response to acute apneic stress appears lower in Lowlanders following acclimatization. However,
259 this is due to the unique nature of this stress. Nonetheless, Sherpa had a lesser response
260 compared to acclimatizing Lowlanders at 5050m and Lowlanders at low altitude (334m). This
261 lower MSNA response in Sherpa was offset by a greater vascular reactivity to sympathetic
262 activation. During isometric hand-grip and post-exercise circulatory occlusion, sympathetic
263 activation was observed to be much lower than the apneic stress, and no differences were noted
264 between groups with respect to sympathetic activation or vascular responses.

265 ***Basal MSNA at altitude***

266 The majority of MSNA studies involving hypoxia have been exclusively performed in
267 Lowlanders, where basal MSNA increases under acute hypoxia (minutes – hours) (32, 44) and
268 becomes further augmented during acclimatization (7, 10, 23, 26, 33). These previous findings
269 agree with the elevated burst frequency and incidence seen in our acclimatized Lowlanders. We
270 extend these findings by demonstrating lower MSNA for Sherpa under basal conditions. To date
271 there has only been one other published MSNA study performed in Bolivian highlanders. These

272 previous data indicate a similar basal MSNA in Andeans and acclimatized Lowlanders
273 (following 50 days) at 4300m (23). However, the juxtaposition of our own data suggest
274 differences in high-altitude populations due to potential differences in genetic expression (45)
275 and phenotypic differences (2) that exist through generational residency at altitude.

276

277 ***MSNA responses to apneic and hand-grip / post-exercise circulatory occlusion stressors***

278 Apnea proved to be a significant sympathetic stressor, both at low and high altitude.
279 Although acclimatized Lowlanders demonstrated 100% burst occurrence and the greatest burst
280 augmentation (i.e. increase in burst area), normalized total activity remained lower than that
281 measured at low altitude. This was due to a previously reported bradycardia¹⁶ that limits burst
282 frequency. Thus, an apparent “sympathetic ceiling” may be reached during apnea in chronic
283 hypoxic conditions. Despite similar apnea durations and desaturation in Sherpa compared to
284 acclimatized Lowlanders, the Sherpa demonstrated a lower burst occurrence, lower burst
285 augmentation and a lower total MSNA response to apnea. Importantly, this occurred without an
286 apparent limitation on burst frequency (i.e. no bradycardia¹⁶). These data indicate that Sherpa
287 are less responsive to apneic stress under the same hypoxic conditions as acclimatized
288 lowlanders. This also suggests that Sherpa have a greater functional sympathetic reserve,
289 whereby they may theoretically be able to increase MSNA more than observed in the current
290 study. In contrast to apneic stress, the MSNA response to the IHG/PECO protocol was
291 appreciably smaller (although not assessed statistically). Although a main effect of group was
292 present, with acclimatized Lowlanders having the highest activity, all three groups exhibited
293 similar increases in MSNA burst frequency, incidence, and total activity during IHG/PECO.

294 Previous studies in Lowlanders under acute hypoxic exposure demonstrate further MSNA
295 potentiation during dynamic exercise compared to normoxia. (20, 36) Since the ascent to
296 altitude causes further reductions in oxygen availability to the local tissue alongside concurrent
297 MSNA augmentation, we hypothesized that altitude would also be associated with an augmented
298 SNA response to IHG and PECO. However, the lack of difference in the response at low and
299 high altitudes argue that chronic hypoxic stress compounded with further metabolic activation
300 (albeit in one isolated limb during an isometric contraction) does not alter the MSNA response.
301 Therefore, MSNA reactivity to metaboreflex stress appears preserved in acclimatized
302 normotensive Lowlanders. We believe that this may be explained through several myogenic
303 adaptations during acclimatization that favor anaerobic metabolism under chronic hypoxia
304 exposure. With long-term exposure to altitude there has been previously noted reductions of
305 muscle oxidative capacity (18) associated with muscle atrophy and catabolism(3) in addition to a
306 shift away from FA enzyme oxidation during rest and exercise(30). These potential adaptations
307 during longer periods of residency at altitude would improve anaerobic metabolism, reduce
308 metabolic strain and overall sympathetic activation compared to exertion during an acute period
309 of hypoxia exposure. However, these adaptations may be time dependant, with shorter periods of
310 exposure not appearing to not show any significant changes in skeletal muscle function or
311 morphology (27). Therefore, any potential myogenic adaptations that may exist in the first week
312 of acclimatization for Lowlanders does not appear to directly affect efferent sympathetic
313 outflow. Previous data have indicated lower mitochondrial density(21), improved ATP to O₂
314 yield and greater energy production at a lower oxygen cost in Sherpa(19). Sherpa have also
315 previously demonstrated increased ability to augment femoral blood flow velocity post-
316 circulatory occlusion compared to Lowlanders (34). For these reasons, we hypothesized that

317 Sherpa would have a lower MSNA response to the IHG/PECO protocol. Counter to this
318 hypothesis, we observed that Sherpa had a similar increase in MSNA during IHG/PECO. It is
319 worth noting that MSNA was lower in Sherpa compared to acclimatized Lowlanders through
320 baseline and IHG/PECO. Thus, the above noted mechanisms could still be involved in shifting
321 the MSNA relationship lower, but keep the same gain of the response to metabolic stress.

322

323 **Neurovascular Reactivity between Lowlanders and Sherpa at Altitude**

324 During both apnea and IHG/PECO reactivity protocols, there was no noted difference in
325 pressor responses to sympathetic activation in Lowlanders at low or high altitudes, with
326 exception to a lower TPR response under the PECO condition at altitude compared to sea level.
327 Thus, the current study demonstrates that overall cardiovascular reactivity was preserved during
328 altitude acclimatization 5050m. From the findings of both reactive conditions, Sherpa appeared
329 to have a heightened vascular responsiveness during apnea, but not IHG/PECO. We postulate
330 two explanations for this disparity. The first being the magnitude of the response to apnea was
331 much larger than during the IHG/PECO protocol, and thus may have been more robust for
332 identifying differences between groups. Second, as mean arterial pressure was the analyzed
333 outcome of this incorporates total systemic changes in vascular resistance as well as any changes
334 in cardiac output. Thus, the modest activation of sympathetic outflow to vascular within skeletal
335 muscle may not have had a significant influence on mean pressure. The subset of data
336 evaluating brachial artery blood flow support this hypothesis. Although our data do not support
337 differences in the cardiovascular response to small muscle mass recruitment in Sherpa, we
338 acknowledge previous data which suggests other cardiovascular adaptations in this population
339 Sherpa have previously been shown to exhibit greater capillary density within skeletal muscle

340 (21) and improved ability to increase leg blood flow following 2 minutes of circulatory occlusion
341 (34). Ezurum *et al.* (8) subsequently demonstrated that Tibetans have higher resting forearm
342 blood flow and circulating NO by-products. These previous data support an improved dilatory
343 capacity, but we believe that our data also support a lower resting sympathetic activity, a greater
344 sympathetic reserve and greater vascular sensitivity to higher levels of sympathetic activity.
345 Together, this may serve as an important control mechanism for redirected blood and oxygen
346 during stress. Thus, Sherpa appear to have developed improved cardiovascular efficiency that
347 does not rely to the same extent on sympathetic hyperactivity relative to acclimatized
348 Lowlanders. Whether this is expressed through other high altitude populations, remains to be
349 determined.

350

351 **Perspectives and Considerations**

352 An interesting finding for Lowlanders was the lower total absolute MSNA responses to
353 apnea at high altitudes, despite basal MSNA being augmented at 5050m. As the apnea duration
354 was shorter, in combination with a lower post-breakpoint SpO₂ (indicative of an increase
355 chemoreceptor activation) (9, 41), it can be argued that apnea at altitude is a greater sympathetic
356 stressor than it is at low altitude. However, the concurrent bradycardia which we have previously
357 reported on ¹⁶ apparently limited sympathetic activation and could explain the appearance of
358 abnormal MSNA burst patterns at 5050m. We believe this demonstrates a potential sympathetic
359 “ceiling effect” may have developed in Lowlanders, where further stress does not produce
360 additional MSNA activation. In other words, there is less MSNA reserve available for
361 responding to acute stress at altitude. MSNA outflow is limited to an individual’s respective
362 cardiac cycle, where a finite degree of sympathetic augmentation can occur during each R-R

363 interval (5, 25). Whether Sherpa truly have additional MSNA reserve available during apnea, or
364 simply reached their own respective sympathetic ceiling, cannot be confirmed due to us being
365 unable to obtain sympathetic reactivity in Sherpa at Kathmandu. However, the absence of
366 abnormal burst pattern and a lower average incidence of bursts in the cardiac cycles preceding
367 break-point supports this premise.

368

369 As previously mentioned there was an attempt was made to record MSNA in Sherpa at
370 Kathmandu to assess sympathetic de-acclimatization. MSNA was recorded on a subset of 5 (out
371 of 8) Sherpa who had descended and resided in Kathmandu (1400m) 5-15 days prior to testing.
372 Both Sherpa burst frequency (13 ± 3 bursts/ min) and incidence (21 ± 6 bursts/ 100 HB) was
373 similar to that of Lowlanders when tested at 344m (11 ± 5 bursts /min and 25 ± 13 bursts/ 100
374 HB). Although acknowledging the descriptive nature of these data, the present suggests that a)
375 altitude still represents a significant stress in high altitude natives, and b) the adaptations
376 observed may be specific to response under hypoxic conditions and do not persist in relative
377 normoxia. The latter findings likely differ from lowlanders since Hansen and Sander (15), who
378 reported persistently elevated burst frequency and incidence in lowlanders 3 days after return to
379 normobaric conditions following 4 weeks residency at 5260m. Our limited data from Sherpa
380 after ~1 week at low altitude therefore suggest that post hypoxia sympathetic activation
381 persistence may be shorter-lived than previously reported.

382

383 Though we report that MSNA is lower across both basal and reactivity conditions for
384 Sherpa, the specific mechanism that contributes to this overall lower MSNA response has not yet
385 been determined. During the transition between acute to chronic hypoxia exposure there is an

386 apparent time-dependant sensitization for the peripheral chemoreceptors that results in
387 progressively heightened MSNA (6). This is supported by a higher basal MSNA previously
388 observed by Hansen and Sander (15) and further confirmed by Lundby *et al.* (23). If this were
389 true then it could be argued that attenuated chemoreflex sensitivity in Sherpa should explain their
390 lower basal MSNA. However, chemoreceptor sensitization does not appear to explain the
391 differences in MSNA observed between Lowlanders and Sherpa as the current consensus
392 (including more recent publishing from this expedition) demonstrate a similar hypoxic
393 ventilatory response between acclimatized Lowlanders and Sherpa (1, 4, 12). Therefore, the
394 lower MSNA noted for Sherpa must be through a combination of other reflexes. These may
395 include differences in long-term potentiation of central regulatory mechanisms, central resetting,
396 or baroreflex-mediated changes between Lowlanders and Sherpa (14, 29, 44); the latter is
397 addressed in a parallel paper by this research group (37). In general further research is needed in
398 order to delineate underlying mechanisms that underpin autonomic and neurovascular control at
399 high altitude in native Sherpa.

400

401 **Limitations**

402 As testing of Lowlanders and Sherpa occurred during the initial 10 days of being at
403 5050m, we acknowledge that the findings within this study may be in part influenced by the
404 respective date individuals were tested at 5050m. As previously stated we assessed the potential
405 covariate of duration through Pearson's correlation and follow up ANCOVA analysis. However,
406 when examining baseline sympathetic function, and both sympathetic and vascular reactivity
407 between groups, there was no relationship following correction for the day they were tested at
408 5050m. Although there may exist a gradual increase in sympathetic activity following prolonged

409 durations at altitude, this does not appear to have affected our results. Furthermore, Lundby *et al.*
410 (23) also showed that MSNA was similar in acclimatized Lowlanders between days 10 and 50 at
411 4100 m. MSNA therefore does not appear to increase further following several days at altitude,
412 though the exact period is currently undefined. However, we also acknowledge that degree of
413 sympathetic activation may be dose dependant with regards to the specific severity of hypoxic
414 exposure (32). Whether MSNA shows further augmentation over the span of days-weeks should
415 be considered for future studies.

416 The use of voluntary apnea is a simple model of assessing muscle autonomic reactivity as
417 it evokes both a quick and large sympathetic response. However, apnea tolerance can be
418 objectively difficult to assess as duration can be affected by several factors including previous
419 repetitive practice overall tolerance to apneic stress between individuals (28). This raises the
420 question of whether Sherpa truly demonstrated a maximal apnea at altitude. However, two main
421 considerations make us believe that Sherpa performed apneas maximally. First, all experimental
422 procedures and manoeuvres were explained to Sherpa in Nepali and trials were repeated if there
423 was any confusion. Second, Sherpa had both a similar apnea duration and drop in SpO₂ to that of
424 Lowlanders.

425 **Conclusion**

426 In conclusion, the study demonstrates that overall MSNA activity and reactivity to stress
427 is lower in Sherpa at altitude; although, the specific mechanisms that governs this difference in
428 MSNA between acclimatized Lowlanders and Sherpa is uncertain. With regards to sympathetic
429 reactivity of acclimatized Lowlander, we demonstrate a potential “sympathetic ceiling” in which
430 only a finite degree of MSNA augmentation is possible. We propose that the observation of an

431 apparent sympathetic plateauing observed in Lowlanders indirectly supports a potentially greater
432 sympathetic reserve at altitude for Sherpa, though our findings from Kathmandu are
433 underpowered to confirm this. Altered responses to altitude in Sherpa may be a beneficial
434 adaptation to residency at altitude that prevents chronic hypertensive states to increased physical
435 demands during daily life at altitude.

436

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439 the Nepal Academy of Science and Technology as foreseen by the Memorandum of
440 Understanding between Nepal and Italy (through contributions from the Italian National
441 Research Council). The authors dedicate this article to Dr. Chris Willie who tragically passed
442 away in 2017.

443

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448 (PNA).

449

450 **DISCOLSURES**

451 None

452 **FIGURE CAPTIONS**

453

454 **FIGURE 1.** Baseline burst frequency (bursts/min) and incidence (burst/100 HB) in Lowlanders at 344m
455 (n=14), 5050m (n=14), and Sherpa at 5050m (n=8). Both burst frequency and incidence was significantly
456 increased at 5050m in Lowlanders. Both burst frequency and incidence were higher in Lowlanders at
457 5050m versus Sherpa at 5050m. Burst incidence was similar between Lowlanders at low altitude and
458 Sherpa at 5050m. * Significantly different from Lowlanders at low altitude, $P<0.05$; † Significantly
459 different from Sherpa at high altitude, $P<0.05$.

460 **FIGURE 2:** Histograms representing normalized burst amplitude distribution during baseline.

461 Frequencies are represented as a percent of bursts for a given size. *Panel A*, Lowlander (n=14)
462 distributions at low (344m, red dotted line) altitude plotted against high (5050m, solid grey bars) altitude.
463 *Panel B*, Lowlander (n=14) distribution at high (5050m, blue dotted line) altitude plotted against Sherpa
464 (n=8) at high (5050m, solid white bars) altitude. Burst amplitude showed a main effect ($P<0.05$) shift in
465 Lowlanders towards larger sized bursts at altitude that was similar to Sherpa.

466

467 **FIGURE 3:** Integrated neurogram demonstrating sympathetic activity during baseline (left) and reactivity
468 during apnea (right). Apnea shows last 10 cardiac cycles obtained prior to volitional breakpoint. *Panel*
469 *A,B,C*. Example of Neurogram from the same male at 355m (A) and 5050m (B) against Sherpa at 5050m
470 (C). Apnea at altitude caused prolonged burst periods and loss of characteristic burst “peak” in
471 Lowlanders. However, these prolonged burst remained contained within a cardiac cycle. Sherpa did not
472 develop prolonged burst firing patterns at 5050m.

473

474 **FIGURE 4:** Integral burst area (delta % change relative to baseline, mean± SD; denoted as “#A”) and
475 incidence of bursts (% of individuals who exhibited a burst during the respective cardiac cycle, denoted as
476 “#B”) within Lowlanders (n=14) and Sherpa (n=8) during apnea. Values represent 10 cardiac cycles

477 prior to volitional breakpoint (indicated by dashed red line along with mean apnea duration). *Panel 1,2,*
478 Lowlanders at 344m (blue) and 5050m (orange), *Panel 3,* Sherpa at 5050m (red). Maximum integrated
479 burst area was determined as the highest response during the 10 cardiac cycles for each participant. Burst
480 incidence was calculated as the number of individuals (represented as a %) exhibiting a burst during that
481 respective cardiac cycle. Lowlanders showed an increase in sympathetic activity prior to apnea breakpoint
482 at both low and high altitude ($P<0.05$). Sherpa exhibited a smaller increase in burst area ($P<0.05$) versus
483 Lowlanders at 5050m. The incidence of bursts in Lowlanders prior to volitional breakpoint was 100%
484 while incidence of bursts in Sherpa was 88%. * Significant difference from respective baseline $P<0.05$; †
485 Significantly different from Lowlanders at high altitude, $P<0.05$.

486
487 **FIGURE 5:** Bar graph (Mean \pm SD) representing the absolute changes from baseline in normalized total
488 peak SNA (au/min, solid bars) and change in mean arterial pressure (mmHg, hatched bars) during apnea.
489 The normalized SNA represents the sum of SNA across the duration of 10 cardiac cycles. All groups
490 exhibited a significant increase in MSNA and blood pressure. However, acclimatized Lowlanders
491 (5050m) and Sherpa (5050m) exhibited a smaller change in total SNA compared to Lowlanders at low
492 altitude (334m). Acclimatized Lowlanders (5050m) and Sherpa (5050m) also had smaller blood pressure
493 response compared to Lowlanders at low altitude (334m). * Significant increase with respect to baseline,
494 $P<0.05$; † Significantly different from other groups, $P<0.05$, ‡ Significantly different from Lowlanders
495 at 334m only, $P<0.05$.

496

497 **FIGURE 6:** Line graph representing absolute burst frequency (Denoted as “A”; bursts/min), incidence,
498 (Denoted as “B”; bursts / 100 cardiac cycles) and total sympathetic activity (Denoted as “C”; au) in
499 Lowlanders at low altitude (n=14; white circle), high altitude (n=14; black circle), and Sherpa at high
500 altitude (n=6; black triangle) during the isometric handgrip and occlusion protocol. Lowlanders at
501 exhibited both an interactive and main effect in burst Frequency ($P<0.001$), and incidence ($P<0.001$).
502 Sherpa exhibited an overall lower burst frequency, incidence and total MSNA during the BL, IHG and
503 PECO compared to acclimatized Lowlanders (each $P<0.001$). During IHG burst frequency (all $P<0.001$),
504 burst incidence (all $P<0.001$) and total MSNA (all $P<0.001$) were elevated significantly in Lowlanders at
505 344m and 5050m, and Sherpa at 5050m respectively. No further increase in burst frequency or total
506 MSNA occurred between IHG and PECO, although burst incidence climbed due to the concurrent return
507 of heart rate to baseline during PECO (Figure 7). The increase in MSNA occurring with IHG/PECO
508 was not different between groups.

509
510 **FIGURE 7.** Line graph representing absolute Heart Rate (Denoted as “A”; bpm), Mean Arterial Pressure,
511 (Denoted as “B”; mmHg) and Total Peripheral Resistance (Denoted as “C”; mmHg/L/min) in Lowlanders
512 at low altitude (n=14; white circle), high altitude (n=14; black circle), and Sherpa at high altitude (n=6;
513 black triangle) during the isometric handgrip and occlusion protocol. Though Sherpa tended to have an
514 overall lower blood pressure ($P<0.001$), the changes in blood pressure responses to IHG and
515 PECO were similar between groups, as was the change in total peripheral resistance. Thus,
516 unlike the apnea protocol we did not detect significant differences in neurovascular reactivity
517 during IHG / PECO stress with altitude (in Lowlanders) or between groups (at 5050m).

518

519

520 **Figure 8.** Line graph representing absolute Brachial Artery Flow (Denoted as “A”; mL/min), Brachial
521 Artery Resistance , (Denoted as “B”; mmHg/mL/min) and Brachial Artery Conductance (Denoted as
522 “C”;mmHg/L/min) in Lowlanders at low altitude (n=8; white circle), high altitude (n=8; black circle), and
523 Sherpa at high altitude (n=4; black triangle) during the isometric handgrip and occlusion protocol. Basal
524 blood flow was not different between groups, although there was a significant main effect for brachial
525 artery conductance ($P<0.05$), with Lowlanders having a higher brachial artery conductance at altitude
526 (Figure 8). The HG and PECO protocols did not result in a change in contralateral blood flow or
527 resistance (or conductance). Consequently, no differences in reactivity were observed between groups,
528 similar to blood pressure results (Figure 7).
529

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TABLE 1: Participant demographics and metrics of basal neuro-cardiovascular function in lowlanders (at 344m and 5050m) and Sherpa (at 5050m).

	<u>LOWLANDERS</u>		<u>SHERPA</u>
	344m (N = 14)	5050m (N = 14)	5050m (N = 8)
<i>Subject Demographics</i>			
Age (years)	27±6	27± 6	32±13
Height (m)	1.77±0.8	1.77± 0.08	1.68±0.08
Weight (kg)	72.2±10.1	69.4± 8.6	63.7±10.1
BMI (kg/m ²)	23.1±2.8	22.2±2.5	22.8±3.5
<i>Resting Cardiovascular Function</i>			
Heart Rate (bpm)	61 ± 15	70 ± 15*	71± 5
SPO ₂ (%)	98 ± 1	83 ± 3	83 ± 4
Systolic Pressure (mmHg)	119 ± 9	113 ± 13	111 ± 9
Diastolic Pressure (mmHg)	66 ± 7	70 ± 10	65 ± 8
Mean Pressure (mmHg)	84 ± 8	86 ± 11	84 ± 9
Cardiac Output (L/min) ♦	5.9 ± 1.8	5.5 ± 1.4	6.0 ± 1.7
Total Peripheral Resistance ♦	15 ± 4	17 ± 4	16 ± 7
<i>Resting Sympathetic Function</i>			
Burst Frequency (burst min ⁻¹)	11 ± 5	30 ± 7*	23 ± 11*†
Burst Incidence (burst 100 HB ⁻¹)	25 ± 13	53 ± 15*	30 ± 13*†
Burst Amplitude (A.U.) •	42.1± 22.2	46.7±7.9	46.3± 19.1

♦ Derived from Model Flow calculation.

•Burst amplitude calculated as median and interquartile range

* Significantly different from Lowlanders tested at low altitude (344m); p < 0.05.

† Significantly different from Lowlanders tested at high altitude (5050m); p<0.05.

TABLE 2: Participant demographics and metrics of basal neuro-cardiovascular function in Sherpa (at 1400m).

	SHERPA (1400m)	
	1400m (n = 5)	
<i>Subject Demographics</i>		
Age (years)	28 ± 7	
Height (m)	1.67 ± 0.08	
Weight (kg)	62.5 ± 10.7	644
BMI (kg/m ²)	22.7 ± 4.6	
<i>Resting Cardiovascular Function</i>		
Heart Rate (bpm)	65 ± 8	645
SPO ₂ (%)	96 ± 2	646
Systolic Pressure (mmHg)	137 ± 13	
Diastolic Pressure (mmHg)	81 ± 10	
Mean Pressure (mmHg)	102 ± 11	647
Cardiac Output (L/min) ♦	5.1 ± 1.2	
Total Peripheral Resistance ♦	21 ± 5	648
<i>Resting Sympathetic Function</i>		
Burst Frequency (burst/ min)	13 ± 3	649
Burst Incidence (burst / 100 HB)	21 ± 6	
Burst Amplitude (A.U.) •	69.5 ± 19.3	650
♦ Derived from Model Flow calculation.		651
•Burst amplitude calculated as median and interquartile range		652

653

654

655

656

FIGURE 1

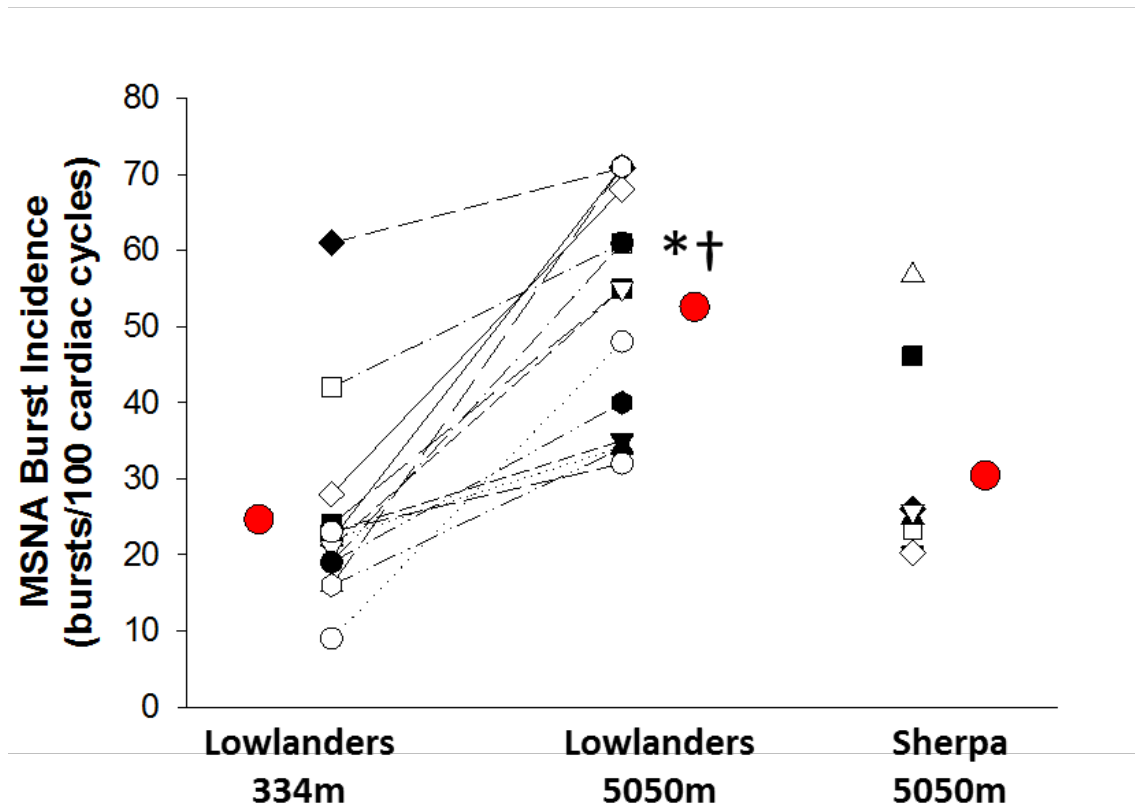
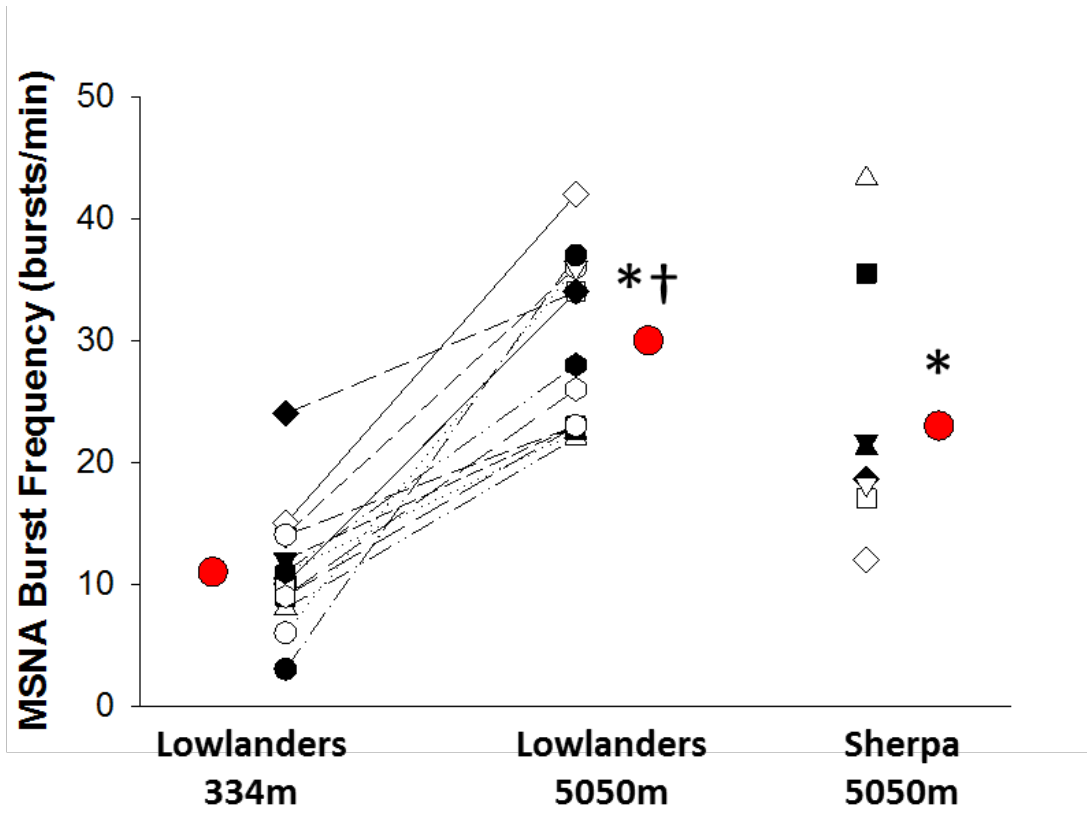


FIGURE 2

658

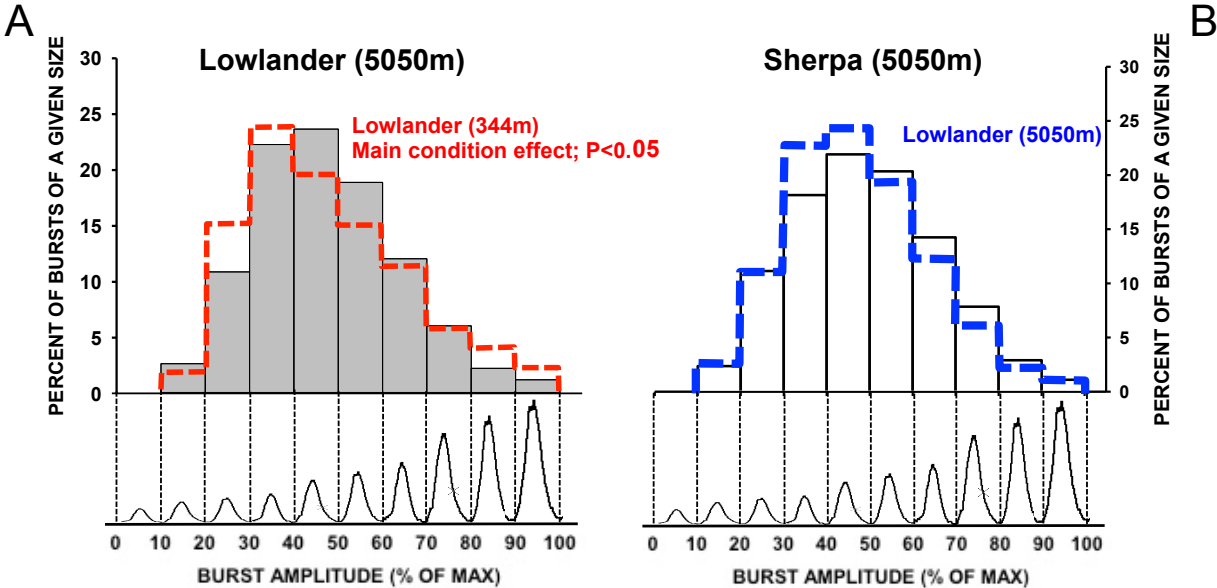


FIGURE 3

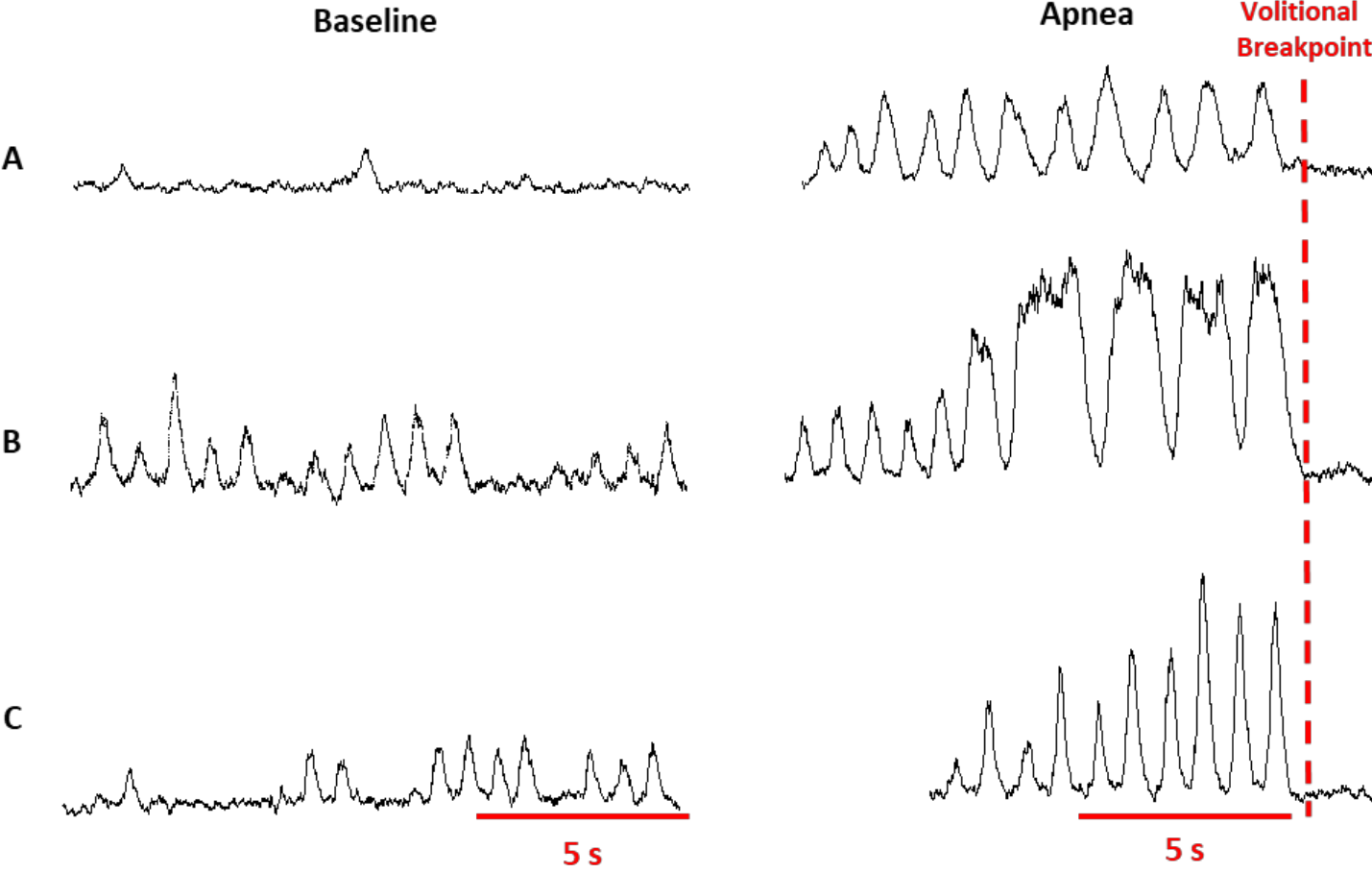


FIGURE 4

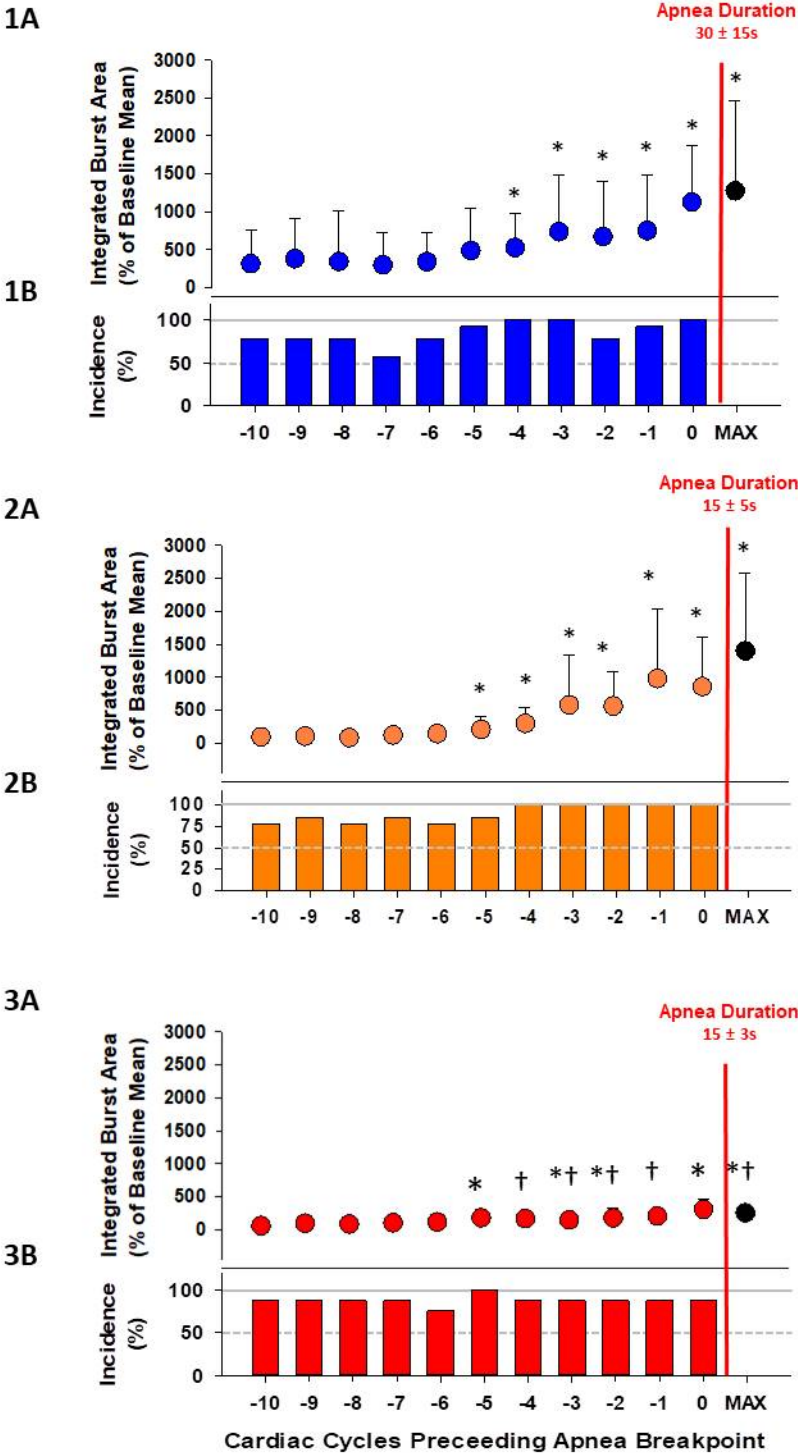


FIGURE 5

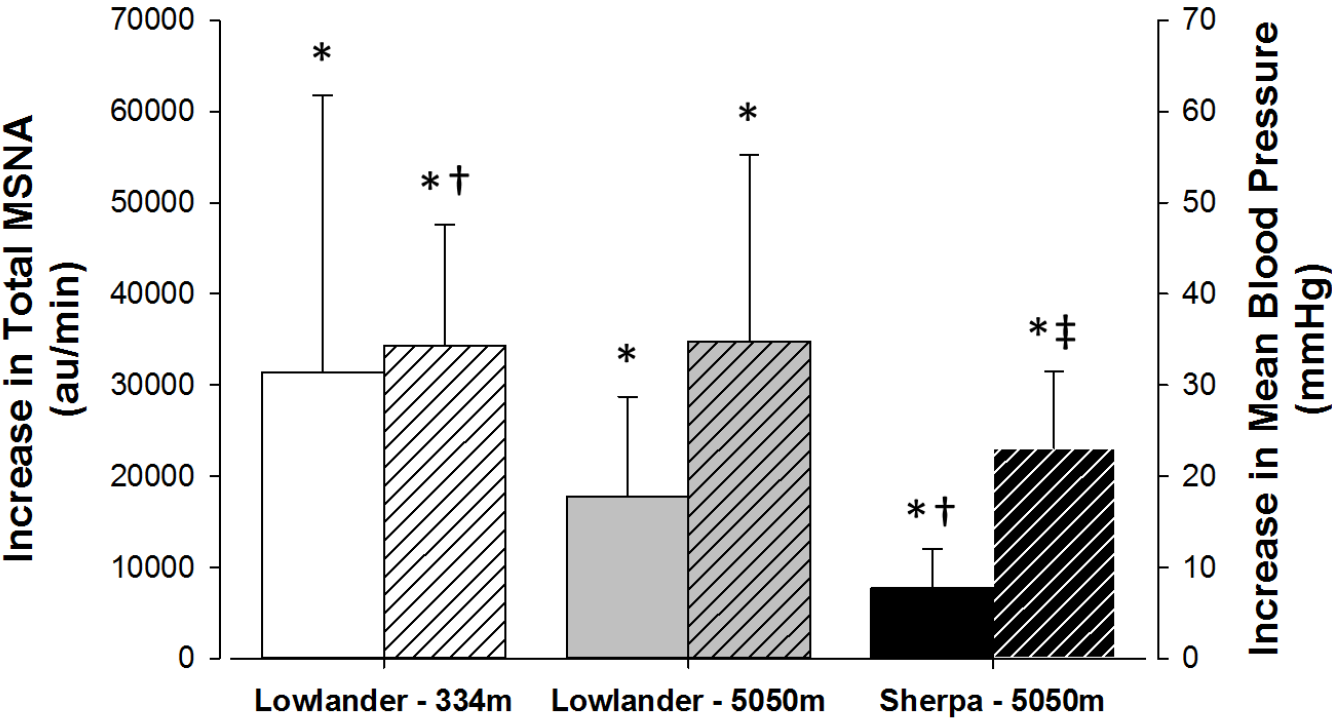
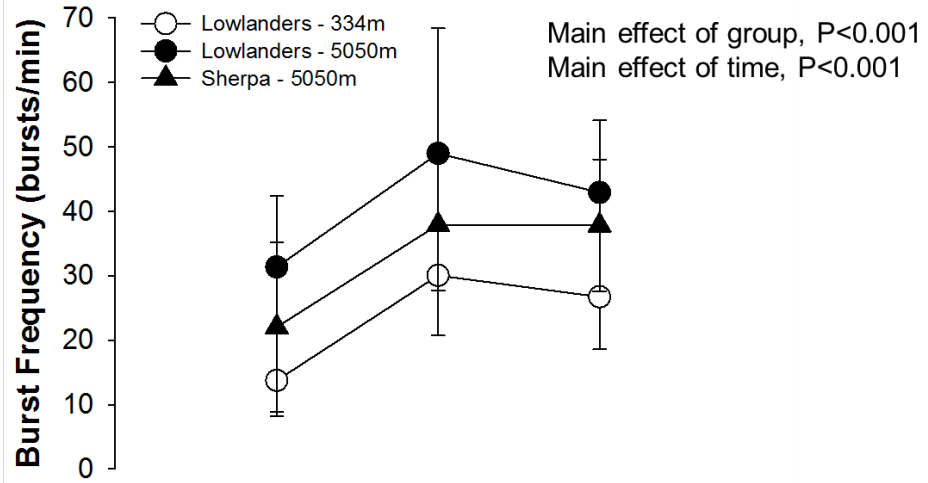
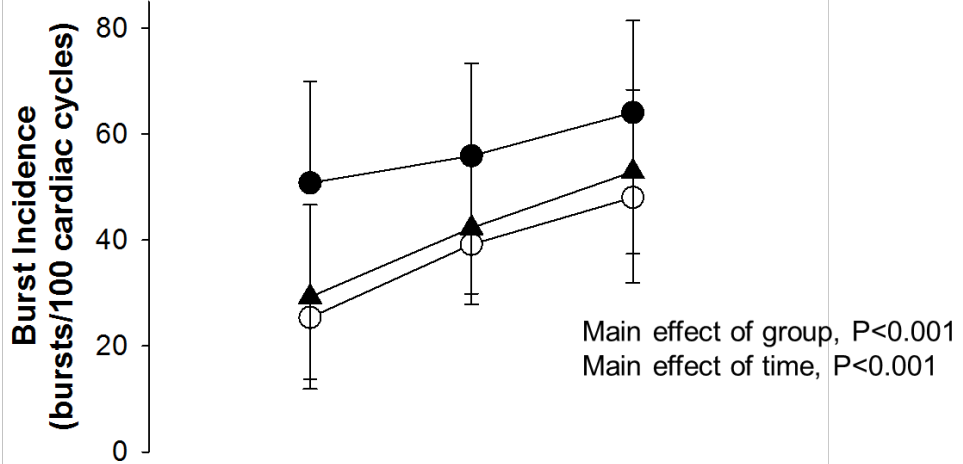


FIGURE 6

A



B



C

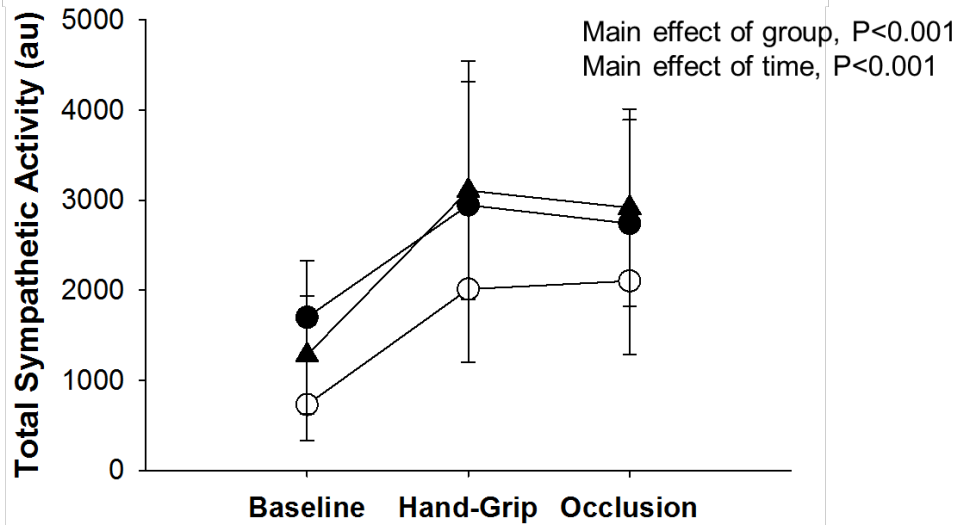
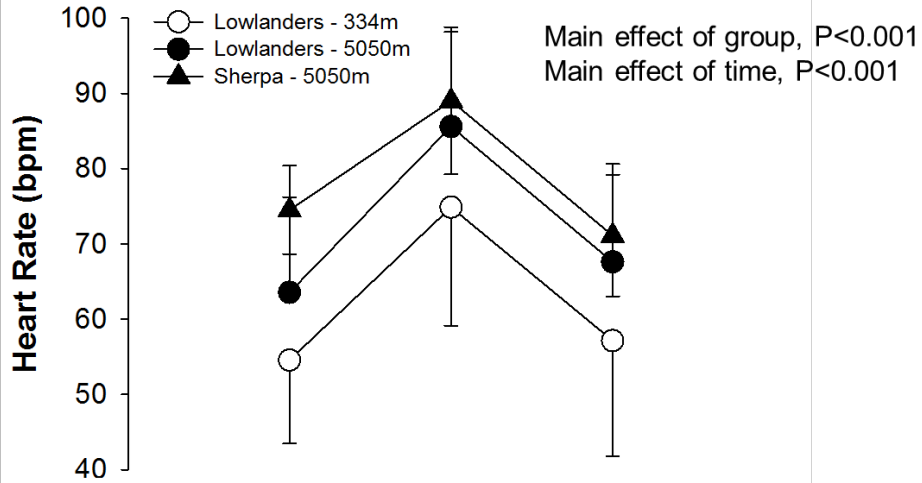
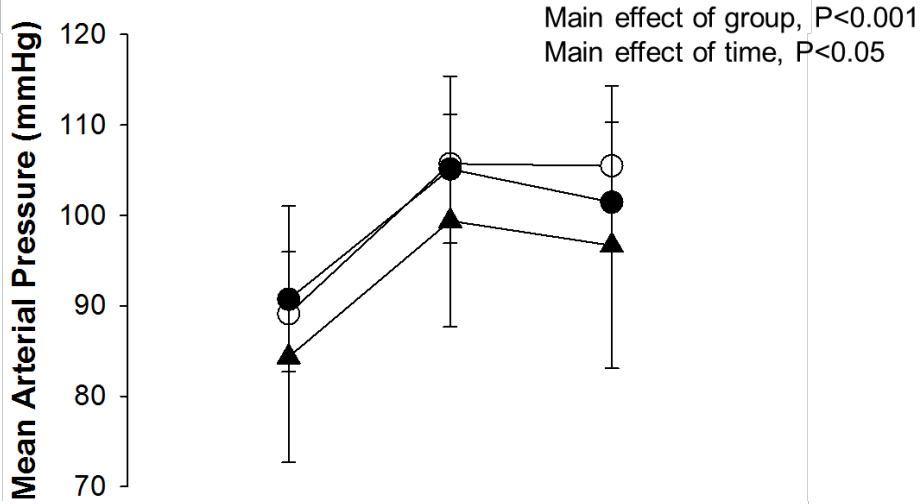


FIGURE 7

A



B



C

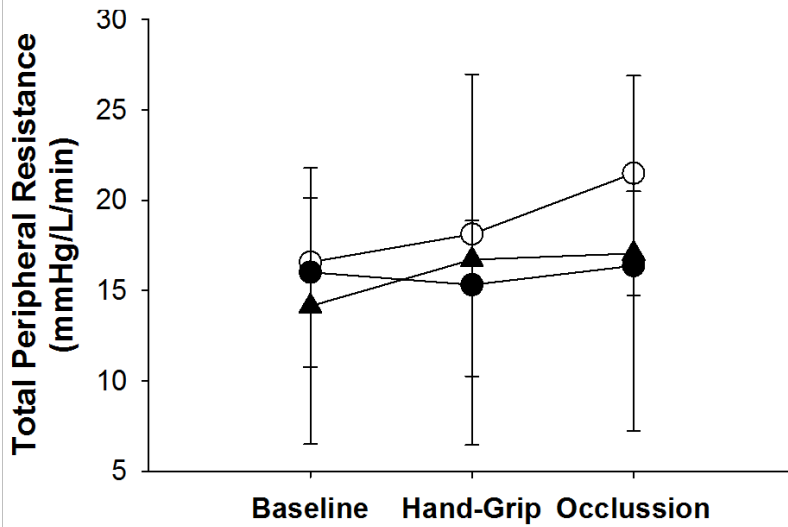


FIGURE 8

