

Article

Accelerated Hermeticity Testing of Biocompatible Moisture Barriers Used for the Encapsulation of Implantable Medical Devices

Changzheng Li, Maarten Cauwe *, Lothar Mader, David Schaubroeck and Maaïke Op de Beeck *

Centre for Microsystems Technology (CMST), imec and Ghent University, Technologiepark 126, 9052 Gent, Belgium; changzheng.li@ugent.be (C.L.); Lothar.Mader@UGent.be (L.M.); David.Schaubroeck@UGent.be (D.S.)

* Correspondence: Maarten.Cauwe@imec.be (M.C.); Maaïke.OpdeBeeck@imec.be (M.O.d.B.); Tel.: +32-9264-6601 (M.C.); +32-9264-5364 (M.O.B.)

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Abstract: Barrier layers for the long-term encapsulation of implantable medical devices play a crucial role in the devices' performance and reliability. Typically, to understand the stability and predict the lifetime of barriers (therefore, the implantable devices), the device is subjected to accelerated testing at higher temperatures compared to its service parameters. Nevertheless, at high temperatures, reaction and degradation mechanisms might be different, resulting in false accelerated test results. In this study, the maximum valid temperatures for the accelerated testing of two barrier layers were investigated: atomic layer deposited (ALD) Al₂O₃ and stacked ALD HfO₂/Al₂O₃/HfO₂, hereinafter referred to as ALD-3. The in-house developed standard barrier performance test is based on continuous electrical resistance monitoring and microscopic inspection of Cu patterns covered with the barrier and immersed in phosphate buffered saline (PBS) at temperatures up to 95 °C. The results demonstrate the valid temperature window to perform temperature acceleration tests. In addition, the optimized ALD layer in combination with polyimide (polyimide/ALD-3/polyimide) works as effective barrier at 60 °C for 1215 days, suggesting the potential applicability to the encapsulation of long-term implants.

Keywords: temperature acceleration test; valid temperature window; moisture barrier; biocompatible encapsulation; ALD HfO₂/Al₂O₃/HfO₂; polyimide

1. Introduction

Conventional implantable electronic devices are often packaged in materials such as titanium, Ti alloys, and glass, resulting in reliable but hard and bulky devices. Since device miniaturization, softness and flexibility are important evolutions, and alternative packaging with polymer materials is getting more attention [1–5]. Replacing those hard materials with soft polymers has two major advantages. First, flexibility of the total device can be achieved. As a result, its mechanical properties are closely matched with the mechanical properties of the targeted soft tissue or organ. This also leads to increased comfort for the patient. Second, smaller and flexible devices can reduce scar tissue formation and foreign body reaction (FBR) [6,7]. In general, the encapsulation should realize a hermetic enclosure of the device, using only materials which are biocompatible and biostable. To ensure hermeticity, barrier layers have to be selected carefully. Polymers such as polyimide, polydimethylsiloxane (PDMS), and parylene types are well known for their use in the development of flexible or stretchable implantable electronic [8–10]. However, these polymers do not meet the stringent barrier requirements (very limited diffusion of water, ions, and molecules) to act as barrier layers for long-term implantation [11–13]. Hence the polymers have to be combined with extra

diffusion barriers, such as thin films of biocompatible metal oxides (Al_2O_3 , SiO_2 and HfO_2) [14], deposited by atomic layer deposition (ALD).

ALD is a technique for ultrathin film deposition, enabling a very high control of the film composition, step coverage, and thickness, due to the advantages of the sequential, self-limiting reactions in an ALD process. This results in a thin conformal layer with extremely low pinhole density [15–18]. Due to its reliable deposition process and very low water vapor transmission rate (WVTR), Al_2O_3 is the most readily studied thin film used for corrosion protection of steel and moisture/oxygen diffusion protection in organic light-emitting diodes (OLED) [19–21]. The WVTR ($\text{g}/\text{m}^2\text{day}$) is the flux density of water vapor through a substance (Fick's law of diffusion), which is generally used for the evaluation of barrier layers. It must be mentioned that WVTR tests (in accordance with the ASTM F1249 test method, using Mocon's AQUATRAN® Model 1 instrument) of barrier layers with a WVTR below 10^{-4} $\text{g}/\text{m}^2\text{day}$ are very time consuming and can only be measured one sample at the time [22]. In addition, the actual lifetime of a device cannot be readily determined solely based on the WVTR of its protective barrier layers.

Although ALD Al_2O_3 is a good barrier against water vapor, ions, and other gases, direct contact with aqueous solutions results in degradation due to hydrolysis [23–25]. To avoid the hydrolysis of Al_2O_3 in aqueous solutions, capping the Al_2O_3 layer with more chemically stable oxides, such as SiO_2 or HfO_2 , has been reported [24,26]. In 2017, we showed that capping an Al_2O_3 layer with HfO_2 on both sides (ALD-3) and sandwiching it between two biphenyltetracarboxylic dianhydride and paraphenylenediamine (BPDA-PPD) polyimides (hereinafter referred to as PI) layers results in thin films with a very low WVTR at 100% relative humidity (RH) (decrease with a factor of 8000 compared to the bare PI layer) [4].

Alternative techniques to evaluate the quality of the ALD layer are porosity inspection and electrochemical impedance spectroscopy (EIS) of an underlying Cu layer. Although an ALD layer is theoretically pin-hole free, very small pore defects are observed which can lead to leakage paths in the barrier layers [27]. Porosity inspection of the ALD Al_2O_3 by Cu electroplating or Cu wet etching are practical methods to evaluate the quality of the ALD layers [18]. Monitoring the changes in the thickness or roughness of the ALD Al_2O_3 layer when the layer is soaked in an aqueous environment enables us to study the dissolution of Al_2O_3 [23,25]. However, all the approaches above do not show how long the ALD Al_2O_3 can perform as a good diffusion barrier when soaked in aqueous solution.

EIS is a non-destructive, high sensitivity test method to monitor the degradation of coating layers exposed to aqueous solutions at different temperatures [28]. In our previous study, this method was employed to monitor the degradation of tested barriers [29]. However, EIS tests are time consuming and labor intensive, especially when testing a large quantity of samples. Therefore, the development of an easier, faster, and automated method to screen and evaluate long-term stability and hermeticity of barrier layers through accelerated temperature testing is also important.

When testing hermetic barriers for long-term implantation, there is an important timing problem. Testing at 37°C takes much too long. Hence, accelerated testing at elevated temperatures is necessary. The Arrhenius equation for prediction of the acceleration effects is well known [30,31]. As a rule of thumb, it is often assumed that the lifetime of a barrier under test is reduced by a factor of 2 per 10°C increase in test temperature [9,31–33]. However, the acceptable temperature elevation is limited, since the failure mechanisms that are expected to occur at 37°C must be identical to the ones encountered at higher temperatures. Therefore, it is important to determine the maximum test temperature for a particular device in order to perform a valid accelerated test and to derive the specific acceleration factor.

PI has been used as a flexible substrate and carrier for implantable medical devices for a long time, and its non-(cyto)toxicity has been proven in many in vitro and in vivo studies [7,14,34–39]. PI was selected because of its high thermal stability, making it compatible with the thermal ALD processes performed at elevated temperature at 250°C . Verplancke et al. recently developed a PI encapsulated active high-density transverse intrafascicular micro-electrode probe to interface with the peripheral nervous system [40]. Parylene C and Al_2O_3 bilayer encapsulation was applied to Utah electrode array-based neural interfaces for chronic implantation [41]. By sandwiching the ALD-3

layer between PI layers, the problem of the non-flexibility of thin metal oxide layers can be tackled. Finally, Al_2O_3 and HfO_2 are biocompatible and can therefore be used as barrier materials for the encapsulation of implants [42–44].

In the present work, we test the degradation of bare Cu meanders, as well as Cu meanders coated with ALD Al_2O_3 , ALD-3, PI, and PI/ALD-3/PI barriers by a resistance monitoring method. The aim of the present work is to study the maximum acceleration temperature for a given test barrier and to derive the corresponding acceleration factor. As part of this investigation, the protection against corrosion by various ALD thin films and PI combined with ALD-3 is evaluated.

2. Materials and Methods

2.1. Fabrication of Cu Meander Patterns

A Cu layer with a thickness of 1 μm was deposited via plasma magnetron sputter coating on $5 \times 5 \text{ cm}^2$ glass substrates, using a thin TiW layer to obtain good adhesion. Narrow U-turn Cu meanders of different widths were used (30, 40, and 50 μm width) with a total length $\sim 25 \text{ cm}$ for each meander. To create the patterned Cu, contact photolithography techniques were used, followed by Cu and TiW wet etching and resist stripping. The width and thickness of the obtained Cu patterns were verified by an optical microscope (Zeiss Stemi 2000C, Oberkochen, Germany) and non-contact optical profiler (WYKO NT3300, Plainview, NY, USA), respectively.

2.2. Fabrication of Barrier Layers: ALD Al_2O_3 and HfO_2 , PI

Both the Al_2O_3 and HfO_2 layers were deposited in an ALD Savannah system from Ultratech (Waltham, MA, USA), using a thermal ALD process at 250 $^\circ\text{C}$. For the single Al_2O_3 layer, a thickness of 20 nm was selected. In the case of the ALD-3 barrier, a stack of 8 nm HfO_2 , 20 nm Al_2O_3 , and again 8 nm HfO_2 was used, as shown in Figure 1a,b. These ALD layers were deposited directly on the Cu patterns, or combined with a polymer (PI) layer, as described below.

PI2611 from HD Microsystems was spin coated to obtain a $\sim 5 \mu\text{m}$ thick PI layer. A single coating of PI was used as protection of the patterned Cu, which was compared to a stack of PI/ALD-3/PI, as shown in Figure 1c,d. A cross-section of the PI/ALD-3/PI obtained by focused ion beam scanning electron microscopes (FIB-SEM) is shown in Figure 1e,f. The thickness of the bottom PI layer was 5.30 μm , and the top PI layer was 5.16 μm . The ALD-3 layer sandwiched between the two PI layers was 37 nm.

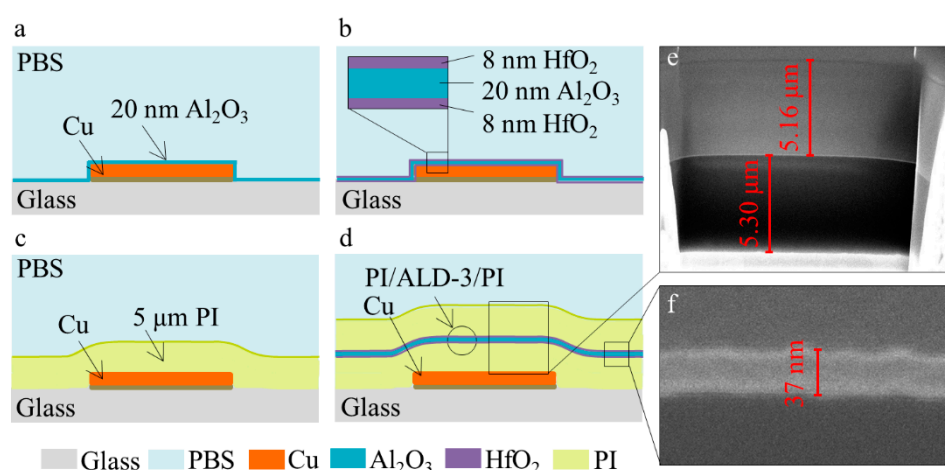


Figure 1. Cross section schematic of barriers: (a) atomic layer deposited (ALD) Al_2O_3 , (b) $\text{HfO}_2/\text{Al}_2\text{O}_3/\text{HfO}_2$ (ALD-3), (c) polyimide (PI), and (d) PI/ALD-3/PI. The cross-section of PI/ALD-3/PI obtained by FIB-SEM: (e) thickness of PI layers and (f) thickness of ALD-3.

2.3. Experimental Setup

Cu meander patterns were covered with the barrier materials under test and submerged in phosphate buffered saline solution (PBS). The corrosion delay results in an enhanced lifetime of the Cu structures, which was used as a figure of merit to evaluate the performance of the barriers. To enable immersion of the Cu patterns, a fluid container was made by gluing a glass ring on the test substrate using silicone glue. The insulating ALD layer and polymer layers were removed from the Cu solder pads outside the glass ring and connectors were soldered to connect the samples to the resistance measurement system, as shown in Figure 2.

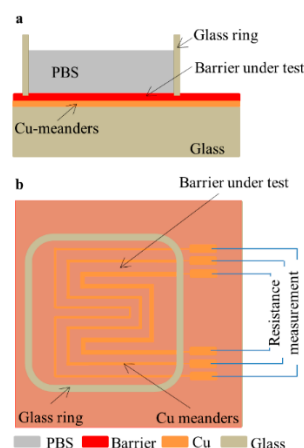


Figure 2. Schematic of soaking test setup: (a) cross-section view; (b) top view.

The Cu soaking tests were performed in ovens with temperatures ranging from 21 °C to 95 °C. Temperature variations from sample to sample were minimized to ± 0.5 °C by placing all test samples on the same shelf inside the oven. This was confirmed using thermocouple measurements. For the Cu soaking test, 15 mL of PBS (Sigma Aldrich, St. Louis, MI, USA) was injected in the cylindrical glass container. Subsequently, the container was sealed with Kapton tape to limit evaporation. Degradation of the Cu by corrosion was monitored by measuring the resistance of the meanders every 5 min using a switching system with an integrated multimeter (Keithley 3700A, Beaverton, OR, USA).

2.4. Acceleration Factor

In general, the lifetime of samples decreases with increasing soaking temperature. The Arrhenius acceleration factor (A_T) between the lifetime at reference temperature and the lifetime at tested temperature T is:

$$A_T = X_T/X_{ref} = ((R_f - R_0)/L_T)/((R_f - R_0)/L_{ref}) = L_{ref}/L_T \quad (1)$$

where X_T is the degradation speed of the test set at accelerated temperature, in $\Omega\text{-day}^{-1}$; X_{ref} is the degradation speed of the test set at the reference temperature, in $\Omega\text{-day}^{-1}$; R_f is the resistance when meanders fail, in Ohm; R_0 is the initial resistance of the meanders, in Ohm; L_{ref} is the lifetime of meanders at a reference temperature; L_T is the lifetime of meanders at an accelerated temperature T (°C). Both lifetimes are expressed in days. Since our tests were related to the use of an implantable device, the reference temperature was 37 °C.

3. Results and Discussion

Thin Cu meander patterns on glass were selected as test structures. Cu is interesting due to its fast corrosion rate when immersed in PBS (pH = 7.4). The corrosion can be easily monitored by measuring the resistance of the Cu patterns immersed in PBS at elevated temperatures. In doing so, different barriers deposited on the Cu meanders were tested and evaluated:

- Uncoated bare Cu meanders as reference;

- ALD Al₂O₃ (20 nm);
- ALD-3 (8 nm HfO₂/20 nm Al₂O₃/8 nm HfO₂);
- PI;
- PI + ALD-3 + PI.

Schematic illustrations of the build-up of these barriers can be found in Figure 1a–d.

The resistance of the meanders remains stable when the barrier works effectively to stop water, ions, and oxygen permeation, while it increases fast when the barrier loses its functionality. Due to the change in conductivity of the Cu with temperature, we defined the resistance of meanders when soaked for 1 h as initial resistance (R_0). A Cu meander fails when its resistance reaches three times the initial resistance ($3R_0$), as shown in Figure S1. This criterion is somewhat arbitrary and is mainly motivated by the sharp increase in resistance occurring at this point, which reduces the variability from sample to sample. While corrosion of the Cu is already ongoing for some time at this moment, the period of quick Cu corrosion is short compared to the lifetime of the barrier. The average time to failure of samples was defined as the meanders' lifetime.

3.1. Evaluation of the Corrosion of Bare Cu Meanders

The average lifetime of the bare Cu meanders with a width of 30, 40, and 50 μm soaked in PBS at 28 $^{\circ}\text{C}$ are presented in Figure 3. Five identical samples were used for each test case and the detailed results of each sample are available in Figure S2. The resistance curves increased immediately after starting the soaking test, indicating that corrosion occurred immediately. After two to four days, the lifetime criterion of $3R_0$ was reached, and corrosion at the Cu meanders was clearly visible. The average lifetime of meanders showed a positive correlation to its width (2.7 days for a width of 30 μm versus 3.4 and 3.5 days for a width of 40 and 50 μm , respectively). Probably, these differences were observed because the amount of Cu on the 50 μm meander to be corroded was larger than the meanders with a width of 40 and 30 μm . All resistance measurements of the bare Cu meanders (40 μm) against soaking time at various temperatures are presented in Figure S3, and the detailed data are shown in Table S1.

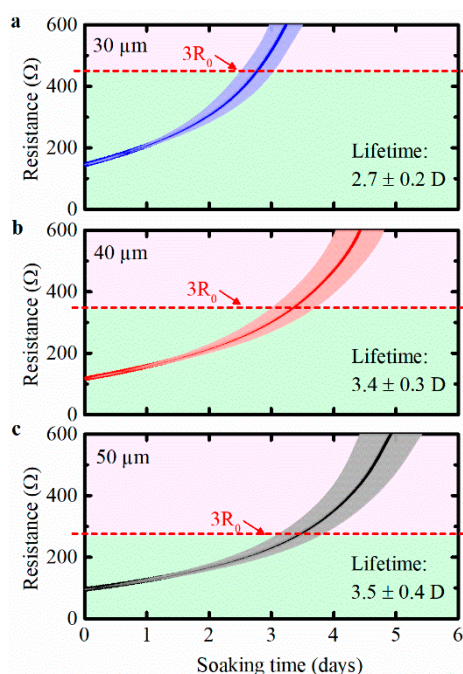


Figure 3. Bare Cu meander samples with different width soaked in phosphate buffered saline (PBS) at 28 $^{\circ}\text{C}$: (a) 30 μm ; (b) 40 μm ; (c) 50 μm . Each test used five identical samples, the shaded area is \pm standard deviation.

To evaluate the corrosion of bare Cu meanders at elevated temperature, the meanders were soaked in PBS at different temperatures, ranging from 21 $^{\circ}\text{C}$ to 95 $^{\circ}\text{C}$. The lifetimes of the bare Cu

meanders with a width of 40 μm soaked at various temperatures are shown in Figure 4a. In the range of 21–75 $^{\circ}\text{C}$, the lifetime decreased exponentially with the soaking temperature. Above 80 $^{\circ}\text{C}$, further increase in the soaking temperature resulted in an increased lifetime. This suggests that corrosion was slowed down for the bare Cu meanders. At these temperatures, the failure mechanism changed. A possible explanation for the reduction in corrosion rate at temperatures above 75 $^{\circ}\text{C}$ is the reduced concentration of dissolved oxygen in PBS at those temperatures. It is known that the amount of dissolved oxygen will decrease significantly when the temperature of the water increases [45,46]. Therefore, the oxidation reaction will first speed up when the test temperature increases, until the temperature is reached at which the limited presence of oxygen will significantly decrease the speed of oxidation.

The acceleration factor at elevated temperatures was calculated and plotted in Figure 4b. For the accelerated corrosion test of bare Cu meanders, the lifetime decreased with a factor of 1.5 per 10 $^{\circ}\text{C}$ increase in test temperature, up to 75 $^{\circ}\text{C}$. However, for temperatures above 75 $^{\circ}\text{C}$, accelerated testing was not reliable anymore.

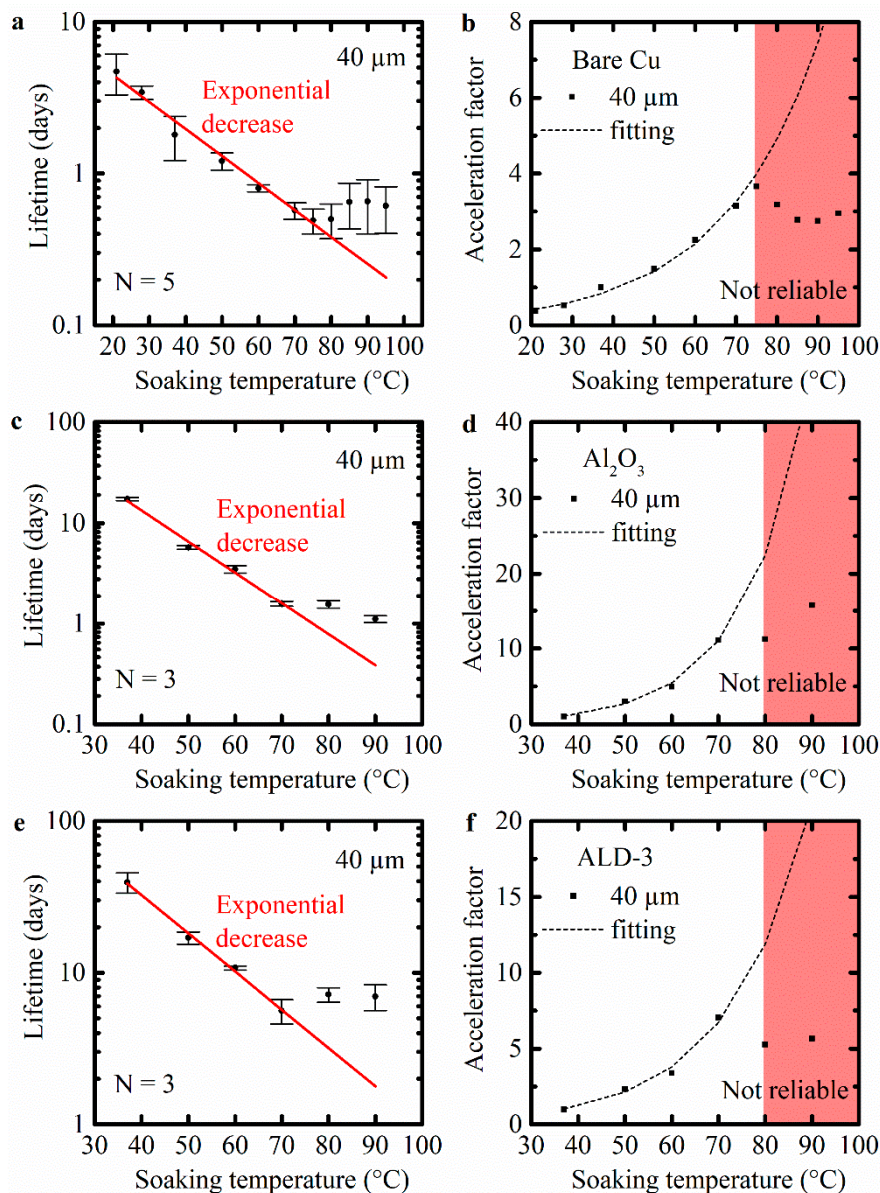


Figure 4. The lifetime and the acceleration factors against the soaking temperature ($^{\circ}\text{C}$) of Cu meanders (width of 40 μm) soaked in PBS at various temperatures: (a–b) uncoated Cu meanders (N = 5); (c–d) ALD Al_2O_3 protected Cu meanders (N = 3); (e–f) ALD-3 protected Cu meanders (N = 3). “N” is the number of tested samples, and the bars show standard deviation.

3.2. Evaluation of the Performance of Protected Cu Meanders: ALD Al₂O₃ and ALD-3 as Barrier Layers

Cu meander samples coated with ALD Al₂O₃ and ALD-3 were exposed to PBS at temperatures ranging from 37 °C to 90 °C, and subjected to the same test method for uncoated meanders.

The lifetime of the Al₂O₃ coated meanders with a width of 40 μm soaked at various temperatures is shown in Figure 4c. Individual resistance measurements for each sample of the Cu meanders against soaking time at various temperatures are provided in Figure S4 and the detailed data are shown in Table S1. Due to the presence of the ALD barrier, the resistance of the meanders remained stable for a certain time (depending on the soaking temperature) before increasing rapidly. This stable resistance indicated that the Al₂O₃ barrier protected the underlying Cu meanders during these specific soaking periods. As the hydrolysis of Al₂O₃ progressed, the barrier started to fail. The Cu meanders were exposed to the PBS and started to corrode. In the temperature range from 37 °C to 70 °C, the lifetime decreased exponentially with the soaking temperature. The lifetime of the meanders decreased more slowly at temperatures of 80 °C and 90 °C, since the reduced concentration of oxygen in PBS slowed down the corrosion of the Cu. The contribution of the Cu corrosion to the overall lifetime outweighed the barrier degradation at higher temperatures. Take the lifetimes at 70 °C and 90 °C as examples. The lifetimes of bare Cu meanders were 0.57 days (70 °C) and 0.66 days (90 °C), while the same values for Al₂O₃-coated Cu meanders were 1.57 days (70 °C) and 1.01 days (90 °C). The lifetime extension of bare Cu meanders protected by Al₂O₃ was 1.00 (70 °C) and 0.35 days (90 °C). This revealed that hydrolysis of Al₂O₃ was still accelerated at higher temperatures. Also, for this barrier material, the lifetime prediction by means of the Arrhenius equation was not reliable at high temperatures. In conclusion, for the accelerated tests with Al₂O₃, the lifetime decreased with a factor of 2.0 per 10 °C increase in test temperature, up to 70 °C (Figure 4d).

The results for the ALD-3 coated meander soaked at various temperatures are shown in Figure 4e. In Figure S5, all individual resistance measurements of the meanders protected by ALD-3 against soaking time at the different temperatures are shown. The corresponding detailed data are provided in Table S1. For ALD-3 barrier, there was a higher variation in the measured lifetime from sample to sample. This was partially related to the longer soaking time, although the dominant factor was the different degradation process in this case (see Section 3.3). The decrease in the lifetime followed an Arrhenius behavior until 70 °C. At temperatures above 80 °C, the lifetime prediction no longer followed the Arrhenius equation. Figure 4f shows the acceleration factor for accelerated testing in the case of protection by the ALD-3 barrier. For the ALD-3 barrier, the lifetime decreased with a factor of 1.8 per 10 °C increase in test temperature. This was valid for temperatures up to 70 °C.

The equivalent soaking time for 70 °C relative to 37 °C was calculated based on the acceleration factors for the three test cases, shown in Table 1.

In conclusion, the lifetime in days (37 °C–70 °C) increased in the following order: uncoated Cu meanders < ALD Al₂O₃ < ALD-3. Limitations in the temperature used for accelerated testing were observed for all barrier materials.

Table 1. Equivalent soaking time and acceleration factors for 70 °C relative to 37 °C for the three test cases.

Barrier Layer on Cu Meanders	Soaking Time at 70 °C (day)	Equivalent Soaking Time at 37 °C (day)	Acceleration Factor
None	0.57	2.17	3.8
Al ₂ O ₃	1.57	15.46	9.8
ALD-3	5.61	39.02	7.0

3.3. Corrosion Failure of Meanders for Three Test Cases: Uncoated Cu Meanders, ALD Al₂O₃, and ALD-3

The results of the electrical resistance measurements when immersing the meanders in PBS at 60 °C are shown in Figure 5a. The uncoated Cu meanders showed fast and steady corrosion, as expected. When the meanders were protected by Al₂O₃, the Cu corrosion was delayed. After a few days in the fluid, the Al₂O₃ was fully hydrolyzed, and the barrier function disappeared completely. At that point, corrosion of the Cu meanders occurred quickly, which resulted in a steep increase in resistance.

In the case where the Cu meanders were protected with ALD-3, the resistance remained stable for a much longer time. After about 10 to 13 days, the resistivity increased dramatically. The ALD-3 layer protected the meanders for a longer time, but also the degradation process was different, indicating that the overall failure mechanism changed.

To understand the shape of the resistance curve versus soaking time, the corrosion failure process for the three configurations was studied. In the case of bare Cu meanders, corrosion started fast and uniformly across the samples, as shown in Figure 5b,c. Cu corrosion on the samples protected by Al_2O_3 occurred rather uniformly, as shown in Figure 5d,e. Based on this observation, it was assumed that the hydrolysis of the Al_2O_3 layer is a uniform process. There was a small tendency to have faster corrosion at edges of the Cu patterns, probably because the ALD Al_2O_3 layer was slightly thinner at the edges.

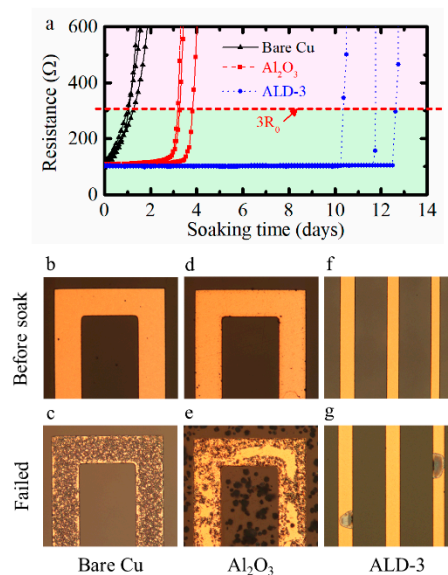


Figure 5. Corrosion of Cu meanders for three test cases soaked at 60 °C: (a) resistance change of meanders against soaking time in PBS; (b) bare Cu meanders before soak; (c) bare Cu meanders soaked for one day and failed; (d) Cu meanders with Al_2O_3 barrier before soak; (e) Cu meanders with Al_2O_3 barrier soaked for 3.3 days and failed; (f) Cu meanders with ALD-3 barrier before soak; (g) Cu meanders with ALD-3 barrier soaked for 13 days and failed.

In the case where the ALD-3 barrier was used for protection, the corrosion started at only a few spots. The corroded spot grew until its size matched the width of the meander. This resulted in a steep increase in the resistance of the meander, i.e., sample failure. The onset of the corrosion was typically at the side of the Cu tracks and there was no indication at all of any global degradation of the ALD-3 layer, as shown in Figure 5f,g. SEM inspection after corrosion testing revealed a crack at the edge of the Cu track (see Figure 6). Before corrosion testing, these cracks were not visible, but small cracks might have been present already. The ALD-3 layer was very thin and conformal, and hence very difficult to observe on top of the Cu pattern. It was difficult to obtain straight forward proof. Possibly, this is related to the thermal expansion/shrinkage during the ALD deposition, which happened at 250 °C. Differences in the coefficient of thermal expansion (CTE) between glass (3.25 ppm/K) [47,48], Cu (17 ppm/K) [49], and the ALD materials (5–7 ppm/K) [50] might generate small cracks in the ALD layer due to stress concentration at the Cu edges, and hence local degradation can happen rather fast.

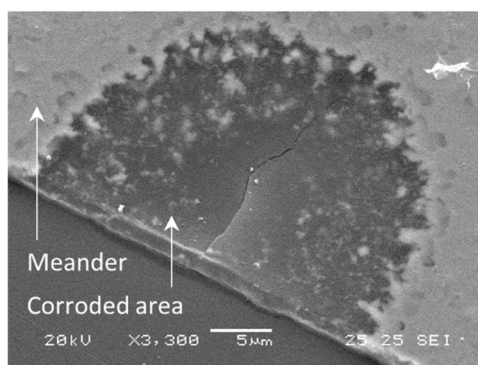


Figure 6. SEM picture of a corrosion spot on an ALD-3-protected Cu meander. The crack started at the side of the meander and grew as the Cu below was dissolved.

3.4. PI Combined with ALD-3 to Protect Cu Meanders

A PI layer of 5 μm was spin coated on the Cu meanders. The layer thickness of the PI was much larger compared to the ALD barrier layers discussed in Sections 3.2 and 3.3. As a consequence, the layers containing PI are discussed separately. Spin-coated PI layers combined with ALD stacks are interesting for the development of flexible implantable devices, as discussed in reference [12]. The WVTR of PI decreased significantly by sandwiching the ALD-3 between two PI layers, from 4300 $\text{mg}/(\text{cm}^2\cdot\text{day})$ to a value below the detection limit of the WVTR tool (0.5 $\text{mg}/(\text{cm}^2\cdot\text{day})$) [4]. The major disadvantage of this type of spin-coat PI is that conformal coating of 3D devices is not possible.

On the samples with only PI, the three tested samples failed after roughly one year soaked in PBS at 60 $^{\circ}\text{C}$ in the oven. The steep increases in the resistance indicated that failure of the meanders happened in a short period, as shown in Figure 7a. Figure 7b shows a typical failure of meanders. We hypothesize that moisture diffuses through the local defects on PI layer and condenses on locations at the interface where adhesion is not perfect. Blisters are formed in these locations and Cu corrosion is observed. It is important to mention that the failure spots or areas of PI coating layer are local rather than global.

The Cu meanders protected by the PI/ALD-3/PI film were immersed in PBS at 60 $^{\circ}\text{C}$. The resistance of meanders remained stable over the 1215 days of soaking period at 60 $^{\circ}\text{C}$ (Figure 7c), which indicated the absence of corrosion on the Cu meanders. Moreover, no visible degradation phenomena were observed during inspection with the optical microscope. Figure 7d shows a typical image of the PI/ALD-3/PI-protected Cu meanders. Based on this result it can be concluded that the ultrathin ALD-3 barrier can stop the penetration of PBS into the encapsulation layer effectively for at least 1215 days with good coverage on the protected meanders surface.

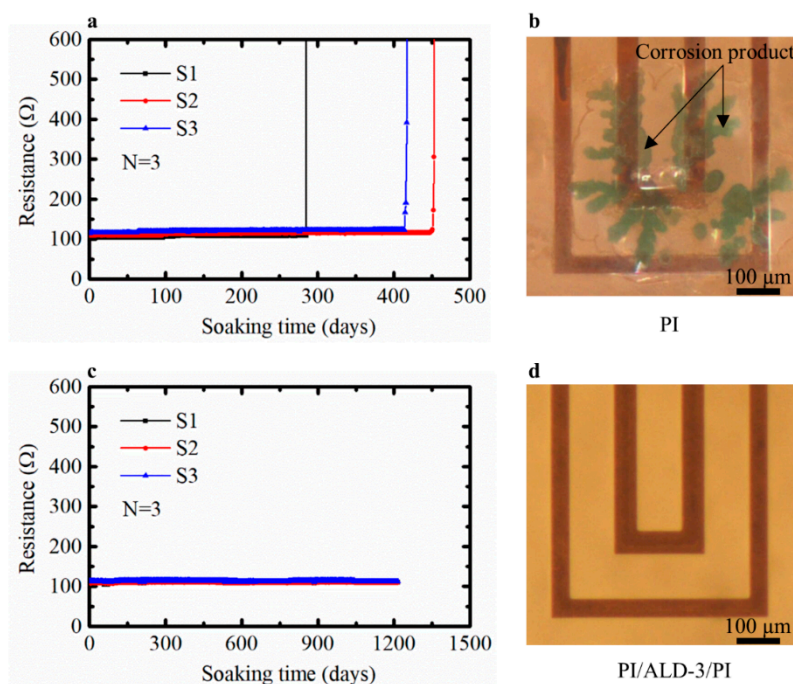


Figure 7. Resistance against soaking time of Cu meanders protected by (a) PI and (b) its failure meanders; (c) PI/ALD-3/PI and (d) meanders under perfect protection by the PI/ALD-3/PI. S1, S2, and S3 indicate the three samples for each test.

4. Conclusion

Accelerated testing was studied for several test cases using ALD layers as a moisture barrier to protect Cu meanders from corrosion during soaking in PBS at temperatures between 21 °C and 95 °C. The Arrhenius relationship between lifetime measured by meander resistance test and soaking temperature was valid at a temperature lower than 70 °C for uncoated Cu and Cu protected by ALD Al_2O_3 and ALD-3. The acceleration protocol enabled the estimation of the lifetime at 37 °C, while avoiding a time-consuming real-conditions durability test. The lifetime decreased by a factor of 1.5, 2.0, and 1.8 per 10 °C increase in test temperature for bare, ALD Al_2O_3 , and ALD-3 Cu meanders, respectively. The lifetime of 1.0 day at 70 °C equaled 3.8, 9.8, and 7.0 days at reference temperature 37 °C for the three test cases. Accelerating oxygen dependent processes, like Cu corrosion, in saline solutions by increasing the test temperature has inherent limitations. Each material combination resulted in a different acceleration factor, confirming that the acceleration factor is valid only for a dedicated test situation.

For various moisture barriers applied on top of Cu meanders, different corrosion locations/patterns were observed during or after sample testing. The bare Cu corrosion in PBS started fast and was present uniformly over the sample. The corrosion of Cu protected by ALD Al_2O_3 showed a tendency to be faster at all edges of the Cu structures. In the case of ALD-3-protected Cu meanders, the corrosion only happened on a few isolated spots located at the edges of the Cu lines. The Cu traces coated with PI corroded as water diffused through the PI layer to the interface, where bad adhesion presented.

PI/ALD-3/PI barriers on Cu meanders did not degrade after more than 1215 days soaking in PBS at 60 °C. An encapsulation solution was applied to an active high-density transverse intrafascicular micro-electrode probe to interface with the peripheral nervous system based on the stack of PI and ALD-3 technology [40]. Therefore, we conclude that the PI/ALD-3/PI barrier shows great potential to act as a moisture barrier for the encapsulation of implantable medical devices.

Nomenclature:

ALD	atomic layer deposition
ALD-3	HfO ₂ /Al ₂ O ₃ /HfO ₂
FBR	foreign body reaction
PDMS	polydimethylsiloxane
WVTR	water vapor transmission rate
OLED	organic light-emitting diode
PI	Polyimide
BPDA-PPD	biphenyltetracarboxylic dianhydride and paraphenylenediamine
RH	relative humidity
EIS	electrochemical impedance spectroscopy
FIB-SEM	focused ion beam scanning electron microscopes
PBS	phosphate buffered saline
CTE	coefficient of thermal expansion.

Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1—Figure S1: The lifetime definition is based on the resistance test. The green background area indicates that the Cu meanders are still intact, while the red background zone means that the Cu meander has failed; Figure S2: Bare Cu meander samples with different widths soaked in PBS at 28 °C: a. 30 μm, b. 40 μm, c. 50 μm. Each curve indicates one sample, each test has five identical samples; Figure S3: Lifetime of bare Cu meanders soaking in PBS up to 95 °C. Each curve indicates an independent sample; Figure S4: Lifetime of 20 nm ALD Al₂O₃-protected Cu meanders soaking in PBS up to 90 °C. Each curve indicates an independent sample; Figure S5: Lifetime of ALD 8 nm HfO₂/20 nm Al₂O₃/8 nm HfO₂-protected Cu meanders soaking in PBS up to 90 °C. Each curve indicates an independent sample; Table S1: Average lifetime (days) of Cu meanders soaking in PBS.

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