


Neoadjuvant therapy for breast cancer

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Abstract

Objective: To evaluate the frequency of neoadjuvant therapy (NT) in women with stage I–III breast cancer in Italy and whether it is influenced by biological characteristics, screening history, and geographic area.

Methods: Data from the High Resolution Study conducted in 7 Italian cancer registries were used; they are a representative sample of incident cancers in the study period (2009–2013). Included were 3546 women aged <85 years (groups <50, 50–69, 70–64, and 75+) with stage I–III breast cancer at diagnosis who underwent surgery. Women were classified as receiving NT if they received chemotherapy, target therapy, and/or hormone therapy before the first surgical treatment. Logistic models were built to test the association with biological and contextual variables.

Results: Only 8.2% of women (290 cases) underwent NT; the treatment decreases with increasing age (14.5% in age <50 and 2.2% in age 75+), is more frequent in women with negative receptors (14.8%), HER2-positive (15.7%), and triple-negative (15.6%). The multivariable analysis showed the probability of receiving NT is higher in stage III (odds ratio [OR] 3.83; 95% confidence interval [CI] 2.83–5.18), luminal B (OR 1.87; 95% CI 1.27–2.76), triple-negatives (OR 1.88; 95% CI 1.15–3.08), and in symptomatic cancers (OR 1.98; 95% CI 1.13–3.48). Use of NT varied among geographic areas: Reggio Emilia had the highest rates (OR 2.29; 95% CI 1.37–3.82) while Palermo had the lowest (OR 0.41; 95% CI 0.24–0.68).

Conclusions: The use of NT in Italy is limited and variable. There are no signs of greater use in hospitals with more advanced care.

Keywords

Breast cancer, neoadjuvant therapy, multidisciplinary

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Introduction

In 2017, 52,300 new cases of breast cancer (BC) were diagnosed in Italy, accounting for 14% of all malignant tumors and 30% of those in female patients.¹ BC is the most common cancer in women in all age groups: it accounts for 41% of cancer diagnoses before age 50, 35% between 50 and 69 years, and 22% in women aged ≥70. Tumor stage² at diagnosis is a strong determinant of survival: in the regions where an organized screening program is active, most of the cases are diagnosed at an early stage, resulting in better survival.³

In general, great attention is paid to the diagnosis and treatment of early forms (in situ tumors and stage I tumors); early diagnosis is possible owing to mammographic screening and good outcomes owing to treatment.^{4,5} Attention to metastatic forms (stage IV) is also

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Table 1. Characteristics of the population in the areas covered by cancer registries and presence of organized screening.

Registry	Varese	Genova	Reggio Emilia	Modena	Latina	Palermo	Ragusa
Total population ^a	871,000	854,000	518,000	686,000	545,000	1,243,000	308,000
% Living in cities > 100,000 ^a	0	68.5	31.4	26.1	21.6	52.9	0
Centers with more than 150 new cases per year in the area	Yes	Yes	Yes	Yes	No	Yes	No
Incidence ASR (Europe) ^b	120.5	118.8	122.6	130.4	101.7	100.9	88.9
Stage, %							
I	52.7	58.4	55.4	63.3	46.9	34.5	41.8
II	31.6	25.5	28.3	23.0	30.7	39.0	35.4
III	15.0	16.1	16.3	11.7	17.1	23.8	22.6
Unknown	0.7	0.0	0.0	2.0	5.3	2.7	0.2
5-year survival, %	87	87	89	90	84	85	81
Screening							
Year of activation ^c	2001	2000	1994	1995	2001	2005	2009 ^d
Invitation coverage, % ^c	91.7	30.9	~100	~100	50.2	54.3	59.7
% Test coverage in organized screening ^c	51.6	19.6	73.3	59.6	26.4	24.2	24.7
Total coverage including opportunistic screening ^b	85.8	74.7	85.1	86.9	67.5	60.8	47.3

^aData from the National Institute of Statistics (ISTAT), 1 January 2012.

^bData from ITACAN, Tumori in Italia versione 2.0.

^cData from the National Centre for Screening Monitoring.

^dActive since 1994, but inactive in the 2004–2009 period.

ASR: Age-standardized rate

increasing as the availability of biological drugs has significantly improved the prognosis of these neoplasms.⁶ Describing locally advanced forms (tumor–node–metastasis [TNM] stage II and III) is more complex as these include different tumor sizes and different levels of regional lymph node involvement, with a large spectrum of possible treatments.

For stages II and III, the therapeutic objectives of neoadjuvant therapy (NT) are to obtain a low probability of relapse, increasing the possibility of curative surgery, and at the same time, reducing the invasiveness of the same. For this reason, several trials have evaluated the efficacy of neoadjuvant (presurgical) therapies for nonmetastatic tumors that present characteristics making an immediate surgical approach difficult or at risk of failure.^{7,8} Currently, the guidelines include the option of NT or hormone therapy for large tumors, i.e. stage II (T2, N0), and for locally advanced stages, i.e. IIIA, IIIB, and IIIC.^{8–10}

With respect to conventional adjuvant treatments, the neoadjuvant approach significantly modifies the therapeutic and diagnostic pathway, changing therapy timing, surgical modalities, therapeutic objectives, diagnostic tools for monitoring response to treatment, and prognosis.^{8,11,12} It is therefore useful to quantify the use of NT across disease stages and settings and along care pathways. At present, however, there are few publications on the diffusion of NT,¹³ and these few show wide variations depending on the type of institution that is caring for the patient.

The objective of the study is to evaluate the use of NT in women with locally advanced BC.

Methods

For this project, the data of the High Resolution Study, collected by Italian population cancer registries (CRs) and centralized at the National Cancer Institute of Milan as a part of a large European study, were used.¹⁴ The study includes BC cases from 7 CRs: 4 in northern Italy (Genoa, Varese, Reggio Emilia, and Modena), 1 in central Italy (Latina), and 2 in southern Italy (Palermo and Ragusa).

Table 1 provides a description of the population in the areas covered by the registries, the presence of oncology screening, and specialized reference centers (more than 150 cases per year). The 4 CRs in northern Italy have the highest incidence of BC (range 118.8–130.4 cases per 100,000) but also have the highest cancer screening coverage (74.7%–86.9%), resulting in higher 5-year survival rates (87%–90%). The Latina Cancer Registry (central Italy) and those of Palermo and Ragusa (southern Italy) report the lowest incidence of BC (Age-standardized rate (European standard population) 88.9–101.7 × 100,000) but also the lowest survival rates (81%–85%).

The study includes all incident cases in 2009–2013 in areas covered by CRs of women aged 15–84 with infiltrating BC stages I–III who underwent surgery. Ages were divided into 4 groups. Excluded were in situ tumors, metastatic tumors, women aged ≥85 years, and those with Paget disease. Receptor status (positive/negative), HER2 (luminal A, luminal B, HER2-positive, triple-negative), morphology (ductal, lobular, mixed ductal–lobular, mucinous), grade (1, 2, 3), and screening history (screen-detected, symptomatic) were analyzed.

Table 2. Number of cases and % distribution of patient and cancer characteristics by type of neoadjuvant therapy.

	Total		Neoadjuvant chemotherapy		Neoadjuvant endocrine therapy		No neoadjuvant therapy	
	n	%	n	%	n	%	n	%
Overall	3546		290	8.2	25	0.7	3231	91.1
Age, y								
<50	904	25.5	131	14.5	2	0.2	771	85.3
50–69	1675	47.2	130	7.8	10	0.6	1535	91.6
70–74	433	12.2	17	3.9	4	0.9	412	95.2
75–84	534	15.1	12	2.2	9	1.7	513	96.1
Stage								
I	1774	50	60	3.4	16	0.9	1698	95.7
II	1083	30.5	76	7	5	0.5	1002	92.5
III	623	17.6	138	22.2	4	0.6	481	77.2
Unknown	66	1.9	16	24.2	0	0	50	75.8
HR summary								
Positive	3048	86	221	7.3	25	0.8	2802	91.9
Negative	384	10.8	57	14.8	0	0	327	85.2
Unknown	114	3.2	12	10.5	0	0	102	89.5
Subtype								
Luminal A	2448	69	151	6.2	25	1	2272	92.8
Luminal B	389	11	61	15.7	0	0	328	84.3
HER2+ nonluminal	127	3.6	18	14.2	0	0	109	85.8
Triple-negative	212	6	33	15.6	0	0	179	84.4
Unknown	370	10.4	27	7.3	0	0	343	92.7
Morphology								
Ductal	2770	78.1	242	8.7	21	0.8	2507	90.5
Lobular	352	9.9	22	6.3	2	0.6	328	93.2
Mixed	164	4.6	6	3.7	1	0.6	157	95.7
Mucinous	81	2.3	4	4.9	1	1.2	76	93.8
NOS	179	5	16	8.9	0	0	163	91.1
Grading								
I	312	8.8	3	1	5	1.6	304	97.4
2	1859	52.4	106	5.7	17	0.9	1736	93.4
3	1187	33.5	139	11.7	3	0.3	1045	88
Unknown	188	5.3	42	22.3	0	0	146	77.7
Registry								
Genova	517	14.6	32	6.2	20	3.9	465	89.9
Latina	655	18.5	64	9.8	1	0.2	590	90.1
Modena	564	15.9	57	10.1	2	0.4	505	89.5
Palermo	592	16.7	37	6.3	0	0	555	93.8
Ragusa	438	12.4	37	8.4	0	0	401	91.6
Reggio Emilia	368	10.4	40	10.9	2	0.5	326	88.6
Varese	412	11.6	23	5.6	0	0	389	94.4
Screening history								
Screen-detected	1003	28.3	36	3.6	10	1	957	95.4
Symptomatic tumor	1539	43.4	179	11.6	13	0.8	1347	87.5
Unknown	1004	28.3	75	7.5	2	0.2	927	92.3

HR: hormone receptor; NOS: not otherwise specified.

For all variables examined, the status of unknown was taken into consideration.

Multivariable logistic regression models were built to estimate the odds of receiving neoadjuvant treatment, with 95% confidence intervals (CIs), adjusted for registry and screening history. The models were adjusted for age at diagnosis, stage grouping (stage I was excluded because the proportion of neoadjuvant was too low), and tumor

subtype. All statistical analyses were performed with STATA.13 software.

Results

Table 2 describes the characteristics of the 3546 patients included in this study. Most of the sample (1675 women, 47.2%) were aged 50–69 years, the mammography

Table 3. Number of cases and % distribution of adjuvant or neoadjuvant therapy by type of surgery.

	Conservative surgery		Mastectomy		Total	
	n	%	n	%	n	%
Overall	2654	75	892	25	3546	100
chemotherapy						
Not done	1326	50	281	31.5	1607	45.3
Neoadjuvant	122	4.6	168	18.8	290	8.2
Adjuvant	828	31.2	320	35.9	1148	32.4
Unknown	378	14.2	123	13.8	501	14.1
Endocrine therapy						
Not done	498	18.8	181	20.3	679	19.1
Neoadjuvant	35	1.3	38	4.3	73	2.1
Adjuvant	1114	42	343	38.5	1457	41.1
Unknown	1007	37.9	330	37	1337	37.7
Radiotherapy						
Not done	206	7.8	507	56.8	713	20.1
Neoadjuvant	55	2.1	4	0.4	59	1.7
Adjuvant	2102	79.2	242	27.1	2344	66.1
Unknown	291	11	139	15.6	430	12.1
Target therapy						
Not done	1775	66.9	570	63.9	2345	66.1
Neoadjuvant	12	0.5	22	2.5	34	1
Adjuvant	124	4.7	57	6.4	181	5.1
Unknown	743	28	243	27.2	986	27.8

screening target population. Fifty percent of the women had stage I cancer, most with positive receptors (86%), and 69% with molecular subtype luminal A (positive receptor, HER2-negative). The most common morphology was ductal (78.1%), the most frequent grade was 2 (52.4%), and tumor status, symptomatic (43.4%). The percentage of cases per CR varied from 10.4% in Reggio Emilia to 18.5% in Latina.

Regarding treatment, overall only 8.2% of women (290 cases) underwent NT; this treatment was less frequent with increasing age (14.5% in younger women and 2.2% in elderly women) but increased with increasing stage and grade. It was more frequent in women with negative receptors (14.8%), with HER2-positive receptors (15.7%), and in those who were triple-negative (15.6%). There were no great differences in terms of morphology, while in terms of screening status, those with symptomatic BC underwent more treatment (11.6%) than did those who were screen-detected (3.6%). Only 0.7% of women (25 cases) received adjuvant hormone therapy; most were older, luminal A, mucinous morphology, grade 1, resident in Genova, and screen-detected.

Women who underwent mastectomy (Table 3) received more adjuvant therapy than did those who underwent breast-conserving surgery, whether it was chemotherapy (18.8% vs 4.6%), endocrine therapy (4.3% vs 1.3%), or target therapy (2.5% vs 0.5%). As far as radiotherapy is concerned, NT was more frequent among women undergoing breast-conserving surgery than among those undergoing mastectomy (2.1% vs 0.4%, respectively).

Finally, multivariable analysis (Table 4) showed the odds of receiving NT decreases with increasing age. Women with stage III BC were significantly more likely to receive NT (odds ratio [OR] 3.83; 95% CI 2.83–5.18) than those with stage II; the probability was highest for the unknown stage (OR 5.03; 95% CI 2.52–10.03). Women with luminal B tumor were more likely to receive NT (OR 1.87; 95% CI 1.27–2.76) than luminal A. Triple-negatives were also significantly more likely (OR 1.88; 95% CI 1.15–3.08) to receive NT.

Mixed ductal–lobular carcinoma carried a lower significant OR of receiving NT (OR 0.35; CI 0.14–0.89). Grading was not associated with the odds of receiving NT, except for the category unknown (OR 8.86; 95% CI 2.47–31.83).

Among the CRs, only Reggio Emilia shows a high probability of receiving NT (OR 2.29; 95% CI 1.37–3.82), unlike the other CRs in northern Italy. Palermo shows a lower OR of receiving NT (OR 0.41; 95% CI 0.24–0.68), as does Ragusa, although with nonsignificant values.

Discussion

The use of NT was investigated in this study using data from 7 Italian CRs, which includes more than 3500 patients with BC diagnosed in the 2009–2013 period. NT was generally infrequent and concentrated in stage III and among young women; it usually consisted of chemotherapy, while endocrine therapy alone was rare. Positive receptors and HER2-positive and triple-negative subtypes were associated with greater use of NT.

Table 4. Odds ratios (ORs) of receiving neoadjuvant therapy for women diagnosed with locally advanced breast cancer in 2009–2013 in Italy.

	Number of cases ^a	OR ^b	<i>p</i>	95% CI
Age, y				
<50 (ref)	503	1		
50–69	748	0.60	0.00	0.44–0.82
70–74	214	0.25	0.00	0.14–0.46
75–84	307	0.21	0.00	0.12–0.36
Stage				
II (ref)	1083	1		
III	623	3.83	0.00	2.83–5.18
Unknown	66	5.03	0.00	2.52–10.03
Subtype				
Luminal A (ref)	1146	1		
Luminal B	231	1.87	0.00	1.27–2.76
HER2 + nonluminal	77	1.20	0.58	0.63–2.31
Triple-negative	129	1.88	0.01	1.15–3.08
Unknown	189	0.59	0.07	0.33–1.05
Morphology				
Ductal (ref)	1346	1		
Lobular	189	0.82	0.44	0.49–1.36
Mixed	98	0.35	0.03	0.14–0.89
Mucinous	35	2.01	0.18	0.72–5.61
NOS	104	0.88	0.69	0.46–1.67
Grading				
I (ref)	70	1		
2	847	2.74	0.10	0.82–9.12
3	738	2.69	0.11	0.80–9.05
Unknown	117	8.86	0.00	2.47–31.83
Registry				
Latina (ref)	348	1		
Genova	215	1.43	0.17	0.86–2.38
Modena	207	1.16	0.58	0.69–1.95
Palermo	388	0.41	0.00	0.24–0.68
Ragusa	255	0.82	0.46	0.49–1.38
Reggio Emilia	164	2.29	0.00	1.37–3.82
Varese	195	0.77	0.37	0.43–1.37
Screening history^c				
Screen-detected (ref)	190	1		
Symptomatic tumor	297	1.98	0.02	1.13–3.48
Unknown	91	1.11	0.80	0.48–2.56

^aStage I excluded.^bAdjusted for age, stage, and subtype.^cSelected women age 50–69 and excluded Palermo cancer registry.

A total of 8.2% of women received NT; these values are very low compared to those of other studies, ranging from 20%^{15,16} to over 60% in some US centers,¹³ yet similar to those reported in a recent survey conducted in Italy (9.7%).¹⁷

Women are almost 40% less likely to receive neoadjuvant treatment after age 50, and 80% after age 70, as shown in other studies.^{13,18}

Stage is the most important determinant in the treatment of BC. Seven percent of women with stage II cancer and 22% with stage III received NT; these percentages are lower than those reported in the literature.¹² Despite its not being indicated, stage I also received a small percentage of NT (3.4%), as reported in other studies.¹⁹

The multivariate analysis confirmed that the probability of receiving NT increased 3.8 times in stage III (compared to stage II). The same value was observed in another study only for stage IIIA¹³; in stages IIIB and IIIC, the probability tends to decrease. The Mougalian et al. study¹⁸ also confirmed a similar trend for stage IIIB but much less so for IIIC.

NT is more frequent in tumors with negative receptors (14.8%), less than the 23.5% reported by Mougalian et al.¹⁸ The multivariate analysis confirmed higher values for subtype luminal B (OR 1.87) and for triple-negative (OR 1.88); Mohiuddin et al.¹³ reports similar values (OR 1.50 and 1.70, respectively). NT is less frequent in lobular morphology (OR 0.82) and in mixed morphology (0.35), in line with what Mohiuddin et al.¹³ reported. A positive correlation for grading was found only for unknown forms (OR 8.86), while nonsignificant excesses were found for grades 2 and 3, unlike what other studies have reported.¹⁸

Reggio Emilia (northern Italy) presented the highest use of NT (OR 2.29). These levels were not seen in neighboring areas such as Modena, despite having a similar healthcare organization and facilities. It should also be noted that Reggio Emilia has a high 5-year survival rate (89%) for BC, screening coverage at almost 100%, and organized screening participation among the highest in Italy (73.3%).

A novel finding of this study is that an increase in NT was observed in symptomatic women and with an unknown screening status, compared to screen-detected, in those provinces where a mammogram screening program has been present for many years. This could be partially due to a residual confounding in the stage: stage II screen-detected cancers are smaller than clinically detected cancers²⁰ and they are more frequently resectable at diagnosis, thus not needing NT.

Although not completely comparable, it is clear that Italy had a lower level of NT use in the period under study than did the United States in the same period. One possible explanation for the low tendency of administering NT is that quality of life, treatment delay, progression risk, organization difficulties, and patient compliance were considered obstacles to treatment.¹⁷ Cultural issues can influence the choice, as can women's awareness.²¹ In the literature, there is a tendency toward reduced NT if women move from academic centers to centers with >500 or with 100–500 interventions per year.¹³ This is not seen in Italy, however; NT use remains very low even in areas covered by top university centers (Modena, Genova, Palermo).

In the same period, in the United States, there was a clear time trend and greater use of NT in larger centers; in Italy, there were no signs of more frequent use in centers

with more advanced care. Thus, the picture does not forecast an increase in neoadjuvant use in Italy in the near future. This is important for decision-makers because the use of NT implies different resource consumption, particularly for imaging monitoring of treatment response, usually performed with magnetic resonance imaging, as well as a different organizational approach.

Conclusions

Although the CRs in Italy are not distributed evenly, they are nevertheless able to guarantee a coherent collection of data according to international standards. The use of NT in Italy is limited (8.2%), higher in young women, stage III, with negative receptors, HER2+, and triple-negative. There is a wide geographical variability, not related to the presence of specialized centers. The use of computed tomography should be recommended to patients when indicated.

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Declaration of conflicting interest

The authors declare that there is no conflict of interest.

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