



| Title       | Mechanism of Electrolytic Reduction of SiO at Liquid Zn Cathode in Molten CaCl  |
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| Citation    | Journal of The Electrochemical Society (2019), 166(6): D162-<br>D167  |
| Issue Date  | 2019-03-13  |
| URL         | http://hdl.handle.net/2433/245274   |
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| Туре        | Journal Article   |
| Textversion | author  |

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20 Abstract

The reaction mechanism of electrolytic reduction of SiO<sub>2</sub> at a liquid Zn cathode 21 in molten CaCl<sub>2</sub> was investigated with the aim of establishing a new production process 22 of solar-grade Si. Three types of Zn/SiO<sub>2</sub> contacting electrodes were prepared 23 depending on the objectives. Cyclic voltammetry suggested two reduction mechanisms 24 of SiO<sub>2</sub> at a Zn electrode. One is a direct electrolytic reduction that proceeds at 25 potentials more negative than 1.55 V vs.  $Ca^{2+}/Ca$ . The other is an indirect reduction by 26 liquid Ca-Zn alloy at potentials more negative than 0.85 V. The both reduction 27 mechanisms were confirmed to proceed at 0.60 V by electrolysis and immersion 28 experiments. Impurity analysis by ICP-AES was conducted for the Si prepared by 29 30 potentiostatic electrolysis at 0.60 V, and confirmed that the concentrations of the metal elements and P were lower than the target levels for primary Si before directional 31 solidification process. 32

33

34 **1. Introduction** 

Photovoltaic (PV) power generation has attracted attention as a source of green
 energy that can substitute the conventional fossil-based energy. The global production of

PV cells has experienced a rapid growth in the last decade. Accordingly, their 37 production volume increased in the 21st century by a factor of approximately 250 i.e., 38 from 0.285 GW in 2000 to 75.5 GW in 2016. Among the many types of solar cells, 39 crystalline Si solar cells accounted for 94.3% of the worldwide production in 2016<sup>1</sup>. The 40 global production of high-purity crystalline Si also increased to 412,600 tons in 2016 41 i.e., by a factor of approximately 18 over the level achieved in 2000<sup>2</sup>. Therefore, 42 crystalline Si solar cells would most likely remain the main product of the PV industry 43 in the long term. 44

The high-purity Si used in crystalline Si solar cells is known as solar-grade Si 45 (SOG-Si), and requires a purity of 6–7N. Approximately 90% of SOG-Si is currently 46 produced by the Siemens  $process^{3-5}$ . To develop a next-generation production process 47 for SOG-Si, purification of metallurgical-grade Si<sup>6-11</sup> and metallothermic reduction of 48 silicon halides by metal reductants<sup>12–15</sup> were investigated. One of the metallothermic 49 reduction processes proposed is a reduction of SiCl<sub>4</sub> by Zn, and is known as the Du Pont 50 process, which was the commercial process for high-purity Si production before the 51 Siemens process was introduced<sup>12</sup>. There are two major advantages of the Du Pont 52 53 process. One is no formation of Si-Zn intermetallic compounds due to the low chemical affinity of Zn with Si<sup>16</sup>. The other is the easy removal of the unreacted Zn and ZnCl<sub>2</sub> 54

55 generated from the Si product because of their high vapor pressures.

For the past two decades, we have been studying the direct electrolytic reduction of solid SiO<sub>2</sub> to Si in molten CaCl<sub>2</sub> as a new production process of SOG-Si<sup>17–</sup>  $^{20}$ . Here, since purification of SiO<sub>2</sub> up to 6-7N is possible at low cost<sup>21,22</sup>, such purified SiO<sub>2</sub> is assumed to be used as the raw material<sup>22</sup>. In this process, electrochemical reduction of insulating SiO<sub>2</sub> is realized by using a SiO<sub>2</sub> contacting electrode, which provides the three-phase zone of conductor/SiO<sub>2</sub>/CaCl<sub>2</sub><sup>17</sup>.

$$\operatorname{SiO}_2(s) + 4 e^- \to \operatorname{Si}(s) + 2 \operatorname{O}^{2^-}$$
[1]

62 Several other research groups have also investigated the direct electrolytic reduction of 63  $\text{SiO}_2^{23-34}$ . One of the challenges faced in the industrial application of this process is the 64 efficient recovery and separation of the powdery Si product from unreacted SiO<sub>2</sub> and 65 molten CaCl<sub>2</sub><sup>35</sup>.

Recently, we proposed an electrolytic reduction process of SiO<sub>2</sub> using a liquid Zn cathode in molten CaCl<sub>2</sub>. Since the electrolysis product is liquid Si–Zn alloy, its separation from unreacted SiO<sub>2</sub> and molten salt is expected to be easier than that entailed in the use of the conventional solid cathode<sup>36, 37</sup>. The choice of Zn as an alloying element stems from the very factors that render the Du Pont process advantageous, i.e., the use of Zn ensures the formation of no intermetallic compounds with Si, and facilitates easy removal of both Zn and ZnCl<sub>2</sub>. Here, the most important point is that the existence of molten salt over liquid Zn effectively suppresses the evaporation of Zn even at high temperatures such as 1123 K. Figure 1 schematically illustrates the proposed process<sup>36, 37</sup>. The overall process consists of three major steps: electrolysis, precipitation, and refining. In the electrolysis step, solid SiO<sub>2</sub> is reduced to form liquid Si–Zn alloy at a liquid Zn cathode.

In the precipitation step, solid Si is recovered by lowering the temperature of the liquid
Si–Zn alloy.

Si–Zn (*l*, high Si conc.) 
$$\rightarrow$$
 Si(s) + Si–Zn (*l*, low Si conc.) [3]

Since the solubility of Si in liquid Zn is 6 at.% at 1123 K and 1 at.% at 923 K<sup>38</sup>, 5 at.% of solid Si with reference to Zn is theoretically recovered when the temperature is lowered from 1123 K to 923 K. After the precipitation step, the Si–Zn alloy with a low concentration of Si is reused as the cathode in the electrolysis step. The Si recovered is then subjected to the refining step: that entails vacuum refining to remove residual Zn and directional solidification to manufacture SOG-Si ingots.

86 In our previous study, the suppression of evaporation of Zn metal by covering it

with molten CaCl<sub>2</sub> was confirmed at 1123 K<sup>36, 37</sup>. Moreover, the alloying rate between solid Si and liquid Zn was measured, and the formation of liquid Si–Zn alloy at a liquid Zn cathode was demonstrated<sup>36, 37</sup>. After potentiostatic electrolysis at 0.9 V, Si particles of sizes in the range 2–30  $\mu$ m were precipitated in the solidified Zn matrix. The formation of liquid Ca–Zn alloy (reaction [4]) was also suggested by cyclic voltammetry.

$$Zn(l) + Ca^{2+} + 2e^{-} \rightarrow Ca - Zn(l)$$
[4]

Based on these results, the electrolytic reduction of SiO<sub>2</sub> at a liquid Zn cathode was
considered to be a mixed mechanism of (A) direct electrolytic reduction of SiO<sub>2</sub>
(reaction [5]), and (B) indirect reduction of SiO<sub>2</sub> by liquid Ca–Zn alloy (reaction [6]).

$$\operatorname{SiO}_2(s) + \operatorname{Zn}(l) + 4 e^- \to \operatorname{Si-Zn}(l) + 2 \operatorname{O}^{2-}$$
[5]

$$SiO_2(s) + Ca - Zn(l) \rightarrow Si - Zn(l) + 2 CaO(l)$$
 [6]

However, the evidence of (B) i.e., indirect reduction was not seen, and the contributions
of (A) and (B) were not investigated.

In the present study, a series of electrolysis and immersion experiments were conducted to confirm the indirect reduction of  $SiO_2$ . Based on the results, the mixed reduction mechanism was discussed in terms of reduction rate. Finally, impurity analysis was performed for the Si particles produced at the Zn cathode.

## 103 2. Experimental

104 All experiments were performed in a dry Ar atmosphere at 1123 K. An  $Ag^+/Ag$ 105 electrode was used as the reference electrode in the experiments. The experimental 106 conditions for (a) cyclic voltammetry, (b) electrolytic reduction of SiO<sub>2</sub> plates, and (c) 107 electrolytic reduction of SiO<sub>2</sub> particles are described below.

108

# 109 (a) Cyclic Voltammetry

Figure 2 shows a schematic illustration of the electrolysis cell for the 110 observation of the reduction behavior of  $SiO_2$  at a liquid Zn cathode. Figure 3(a) 111 schematically illustrates the structure of the liquid Zn working electrode for cyclic 112 voltammetry. Approximately 70 g of Zn (Wako Pure Chemical Corp., reagent grade, 113 granule) was charged into a small Al<sub>2</sub>O<sub>3</sub> crucible (AS ONE, o.d. 45 mm × height 36 mm, 114 115 >99%). The small Al<sub>2</sub>O<sub>3</sub> crucible was placed at the bottom of another Al<sub>2</sub>O<sub>3</sub> crucible (AS ONE, o.d. 90 mm  $\times$  i.d. 80 mm  $\times$  height 140 mm, >99%), and approximately 500 g 116 of CaCl<sub>2</sub> (Kojundo Chemical Laboratory Co., Ltd., >99%) was charged. A W wire (The 117 118 Nilaco Corporation, diameter 2.0 mm, 99.95%) threaded into an Al<sub>2</sub>O<sub>3</sub> tube (Nikkato Corp., SSA-S grade, o.d. 6.0 mm  $\times$  i.d. 4.0 mm) or a SiO<sub>2</sub> tube (Soei Riken Corp., o.d. 119

| 120 | 6.0 mm $\times$ i.d. 2.0 mm) was used as the current lead and immersed in the liquid Zn in the  |
|-----|---|
| 121 | small $Al_2O_3$ crucible. The direct electrolytic reduction of $SiO_2$ occurs at the three-phase  |
| 122 | interface of (Al <sub>2</sub> O <sub>3</sub> or SiO <sub>2</sub> )/CaCl <sub>2</sub> (l)/Zn (l), as shown in Fig. 3(a). For comparison, a |
| 123 | square-shaped plate of single-crystal Si (ca. 30 mm $\times$ 5 mm $\times$ 0.5 mm, p-type, (100),   |
| 124 | $1.53.0\times10^{-3}~\Omega$ cm at 298 K) was also used as the working electrode. The counter   |
| 125 | electrode was a square graphite bar (Toyo Tanso Co., Ltd., 5 mm $\times$ 5 mm $\times$ height 50  |
| 126 | mm).  |

## 128 (b) Electrolytic reduction of SiO<sub>2</sub> plates

Figure 3(b) shows a schematic illustration of the liquid Zn cathode for the 129 investigation of the effect of indirect reduction of SiO<sub>2</sub> by liquid Ca-Zn alloy. 130 131 Approximately 125 g of Zn was charged into a small ZrO<sub>2</sub> crucible (AS ONE, ZrO<sub>2</sub> 91.5%,  $Y_2O_3$  8%, o.d. 60 mm × i.d. 52 mm × height 35 mm). The ZrO<sub>2</sub> crucible was 132 133 placed in a graphite crucible (Toyo Tanso Co., Ltd., IG-110 grade, o.d. 100 mm × i.d. 90 mm × height 120 mm) to which a graphite rod (Toyo Tanso Co., Ltd., IG-110 grade, 134 diameter 9 mm) was fixed using a stainless steel screw. Approximately 500 g of CaCl<sub>2</sub> 135 136 was charged into the graphite crucible. A SiO<sub>2</sub> plate (Soei Riken Corp., 25 mm  $\times$  10 mm  $\times$  thickness 1 mm), fixed to an insulating tube of Al<sub>2</sub>O<sub>3</sub> (Nikkato Corp., SSA-S grade, 137

o.d. 2.5 mm  $\times$  i.d. 1.5 mm) by winding a Mo wire (The Nilaco Corporation, diameter 138 0.20 mm, 99.95%), was immersed into the liquid Zn in the small ZrO<sub>2</sub> crucible. The 139 counter electrode was the graphite crucible, and the graphite rod was used as the current 140 141 lead. The test piece prepared by electrolysis or immersion of SiO<sub>2</sub> plate was washed with distilled water to remove the salt, and then immersed in HCl aq. (3 wt.%, prepared 142 from Fujifilm Wako Pure Chemical Corporation, reagent grade, 36 wt.%) overnight to 143 144 dissolve the Zn metal on the surface of the plate. An optical microscope (Thanko Inc., DILITE30) was used for surface observation, and the masses of the SiO<sub>2</sub> plates were 145 measured before and after the experiment. 146

147

# 148 (c) Electrolytic reduction of SiO<sub>2</sub> particles

Figure 4 schematically illustrates a cell for the electrolytic reduction of SiO<sub>2</sub> particles. A total of 335 g of Zn and 349 g of CaCl<sub>2</sub> were charged into an Al<sub>2</sub>O<sub>3</sub> crucible. After the temperature was raised to 1123 K, a total of 16.2 g of SiO<sub>2</sub> particles (Kojundo Chemical Laboratory Co., Ltd., 0.25-1.00 mm, 99.995%) was charged into molten salt uniformly using a quartz funnel. The SiO<sub>2</sub> particles floated on the surface of liquid Zn in molten CaCl<sub>2</sub> because the densities of liquid CaCl<sub>2</sub>, solid SiO<sub>2</sub>, and liquid Zn are 2.05 g cm<sup>-3</sup>, 2.2 g cm<sup>-3</sup>, and 5.9 g cm<sup>-3</sup>, respectively, at 1123 K. A W wire (The Nilaco

| 156 | Corporation, diameter 1.0 mm, 99.95%) threaded into an alumina tube was used as the                 |
|-----|---|
| 157 | current lead for liquid Zn. The counter electrode was a graphite rod (Toyo Tanso Co.,               |
| 158 | Ltd., IG-110 grade, diameter 20 mm $\times$ height 40 mm) fixed to a thinner graphite rod           |
| 159 | (Toyo Tanso Co., Ltd., IG-110 grade, diameter 9 mm $\times$ height 500 mm). The sample              |
| 160 | obtained after electrolysis was cooled from 1123 K to 773 K for 35 h, then maintained               |
| 161 | at 773 K for 10 h, and further cooled to 298 K for 5 h. The ingot of Zn metal was                   |
| 162 | recovered after the removal of $CaCl_2$ by flowing water. The Zn metal ingot was                    |
| 163 | dissolved in HCl aq. (20 wt.%). The particles recovered after the dissolution of HCl                |
| 164 | were further washed; they were alternately immersed in HCl aq. (10 wt.%) twice and in               |
| 165 | HF aq. (5 wt.%, prepared from Tama Chemicals Co., Ltd., AA-100 grade, 38 wt.%)                      |
| 166 | once overnight. The analysis was conducted using X-ray diffraction (XRD, Rigaku,                    |
| 167 | Ultima 4, Cu-K $\alpha$ , $\lambda$ = 1.5418 Å, 40 kV, 40 mA) and inductively coupled plasma atomic |
| 168 | emission spectroscopy (ICP-AES; AMETEK, Inc., SPECTROBLUE).   |

# 170 **3. Result and Discussion**

171 (a) Cyclic Voltammetry

Figure 5 shows the cyclic voltammograms at the liquid Zn (black color) and Si
plate (red color) electrodes. As for the Si electrode, the cathodic and anodic current

| 174 | peaks at around 1.3–1.4 V vs. $Ca^{2+}/Ca$ correspond to the reduction of surface SiO <sub>2</sub> film                    |
|-----|--|
| 175 | to metallic Si and the oxidation of Si into SiO <sub>2</sub> layer, respectively <sup>35, 39</sup> . The smaller           |
| 176 | anodic current compared with the cathodic current is due to the passivation effect of the                                  |
| 177 | formed SiO <sub>2</sub> layer. The redox at 0.5 V is attributed to CaSi <sub>2</sub> /Si <sup>35, 39</sup> . The solid and |
| 178 | broken black lines show the voltammograms at Zn electrodes using $\mathrm{Al}_2\mathrm{O}_3$ and $\mathrm{SiO}_2$          |
| 179 | tubes, respectively. A sharp increase in cathodic current at 0.85 V is seen at both the Zn                                 |
| 180 | electrodes. Since the electrochemical reduction of $Al_2O_3$ does not occur in the potential                               |
| 181 | range measured <sup>40</sup> , the cathodic current is attributed to the formation of liquid Ca–Zn                         |
| 182 | alloy, which was already confirmed by potentiostatic electrolysis at potentials more                                       |
| 183 | negative than 0.85 $V^{37}$ . In the case of the Zn electrode with SiO <sub>2</sub> , the rest potential is                |
| 184 | 1.55 V, which is more positive than that of the Si plate electrode (1.47 V), and the                                       |
| 185 | cathodic current is observed from the rest potential in the negative scan even at  |
| 186 | potentials more positive than 1.3 V. These results suggest the formation of liquid Si-Zn                                   |
| 187 | alloy with Si activity lower than unity with respect to pure solid Si. The cathodic current                                |
| 188 | at the Zn electrode with $SiO_2$ was 80 mA larger than that with $Al_2O_3$ , which also                                    |
| 189 | suggests electrolytic reduction of $SiO_2$ (reaction [5]).   |
|     |  |

191 (b) Electrolytic reduction of SiO<sub>2</sub> plates

| 192   | On the basis of the voltammetry results, potentiostatic electrolysis was first   |
|---|--|
| 193   | conducted at 0.60 V and then at 0.90 V. Specifically, the experiments were conducted in  |
| 194   | the following order: [A1] electrolysis at 0.90 V for 30 min, [B1] immersion for 30 min,  |
| 195   | [A2] electrolysis at 0.60 V for 30 min, and [B2] immersion for 30 min. Here, a new   |
| 196   | SiO <sub>2</sub> plate was used in each step. As a result, four samples, A1, B1, A2 and B2, were   |
| 197   | prepared. Since electrolysis was not conducted for the samples B1 and B2, the reduction  |
| 198   | of SiO <sub>2</sub> could be advanced only due to indirect reduction by liquid Ca-Zn alloy   |
| 199   | (reaction [6]). On the other hand, the reduction of the samples A1 and A2 could proceed  |
| 200   | by a mixed reduction mechanism comprising direct electrolytic reaction (reaction [5])  |
|   |  |
| 201   | and indirect reduction by liquid Ca–Zn alloy.  |
| 201<br>202  | and indirect reduction by liquid Ca–Zn alloy.<br>The optical images of the samples A1, B1, A2, and B2 are shown in Figure 6.   |
|   |  |
| 202   | The optical images of the samples A1, B1, A2, and B2 are shown in Figure 6.  |
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| 202<br>203<br>204   | The optical images of the samples A1, B1, A2, and B2 are shown in Figure 6.<br>For the sample A1, which was electrolyzed at 0.90 V, a change in color from transparent i.e., no color to dark brown is observed in a portion lower than the Zn/CaCl <sub>2</sub> interface (8  |
| <ul><li>202</li><li>203</li><li>204</li><li>205</li></ul>                   | The optical images of the samples A1, B1, A2, and B2 are shown in Figure 6.<br>For the sample A1, which was electrolyzed at 0.90 V, a change in color from transparent<br>i.e., no color to dark brown is observed in a portion lower than the Zn/CaCl <sub>2</sub> interface (8<br>mm from the bottom of the SiO <sub>2</sub> plate). Also, a decrease in plate thickness is clearly  |
| <ul> <li>202</li> <li>203</li> <li>204</li> <li>205</li> <li>206</li> </ul> | The optical images of the samples A1, B1, A2, and B2 are shown in Figure 6.<br>For the sample A1, which was electrolyzed at 0.90 V, a change in color from transparent<br>i.e., no color to dark brown is observed in a portion lower than the Zn/CaCl <sub>2</sub> interface (8<br>mm from the bottom of the SiO <sub>2</sub> plate). Also, a decrease in plate thickness is clearly<br>observed. In the case of the sample A2, which was electrolyzed at 0.60 V, the entire area |

| 210 | comparison with the two-phase interface of $Zn/SiO_2$ in liquid Zn. This is explained by                |
|-----|---|
| 211 | higher solubility and faster diffusion of $O^{2-}$ ions in molten CaCl <sub>2</sub> compared with those |
| 212 | in liquid Zn. As for the immersion sample B1, no dark brown part is observed,                           |
| 213 | indicating no progress of indirect reduction. Concerning the sample B2, which was                       |
| 214 | immersed after electrolysis at 0.60 V, the color of the immersed portion changed to dark                |
| 215 | brown. This is explained by the indirect reduction. The weight losses of the samples                    |
| 216 | were 0.03 g (A1), 0.07 g (A2), 0.00 g (B1), and $0.03$ g (B2).  |
| 217 | By comparing the samples A1 and B1, it can be inferred that only the direct                             |
| 218 | reduction of SiO <sub>2</sub> (reaction [5]) occurs at 0.90 V. On the other hand, the results for       |
| 219 | sample A2 and B2 conclude that comparable amount of reduction proceeded in the                          |
| 220 | indirect reaction, i.e., reduction by liquid Ca–Zn alloy (reaction [6]), in the electrolysis            |
| 221 | at 0.60 V to the direct reduction.  |
| 222 |   |

223 (c) Electrolytic reduction of  $SiO_2$  particles

| 224 | To prepare a sample for impurity analysis, potentiostatic electrolysis of $SiO_2$        |
|-----|--|
| 225 | particles was conducted at 0.60 V for 50 h. Figure 7(a) shows a photograph of the        |
| 226 | granules recovered after the dissolution of Zn by HCl solution and the treatment of acid |
| 227 | washing. The XRD analysis confirms that the granules are crystalline Si (Figure 7(b)).   |

228 The current efficiency  $(\eta)$  is calculated by the following equations:

$$\eta = \frac{W_{\text{act.}}}{W_{\text{theo}}} \times 100$$
<sup>[7]</sup>

$$W_{\text{theo.}} = \frac{Q}{4F} \times M_{\text{Si}}$$
[8]

where Q is the quantity of electric charge during electrolysis, F is Faraday's constant (96485 C mol<sup>-1</sup>),  $M_{Si}$  is the molar weight of Si (28.1 g mol<sup>-1</sup>),  $W_{act.}$  is the actual weight of the Si recovered, and  $W_{theo.}$  is the theoretical weight of Si by Faraday's law in reaction [5]. From the values of  $W_{act.} = 3.02$  g and  $Q = 1.48 \times 10^5$  C,  $\eta$  is calculated to be 28%. One of the reasons for the low current efficiency is the loss during acid washing. Another is the formation of liquid Ca–Zn alloy as a side reaction; a part of it contributes to the indirect reduction of SiO<sub>2</sub> and the other remains as Ca–Zn alloy.

Table 1 lists the impurity contents of the Si granules after the treatment of acid 236 washing. It also lists the acceptable levels for SOG-Si<sup>41</sup>, segregation coefficients<sup>7</sup>, and 237 target levels for primary Si for directional solidification. For comparison, the impurity 238 contents of the Si sample obtained by solid electrolytic reduction at 0.60 V for 5 h in 239 molten  $CaCl_2$  are also shown<sup>42</sup>. The impurity levels of the metallic elements (Al, Ca, Fe, 240 and Ti) in the Si granules meet the target levels for the primary Si that is to be further 241 242 purified by unidirectional solidification according to the process proposed in Figure 1. The content of P is also lower than the target level for the primary Si, and this is 243

| 244 | noteworthy because the removal of P from elemental Si is known to be difficult. The          |
|-----|--|
| 245 | content of B is higher than the target level, and this needs to be improved in the future.   |
| 246 | Overall, a comparison between the impurity concentrations in the Si products obtained        |
| 247 | by electrolysis at a liquid Zn cathode and at a solid electrode indicates that higher purity |
| 248 | is achieved by the use of the liquid Zn electrode. The main reason for the higher purity     |
| 249 | is the solidification refining during the precipitation of Si from the Si-Zn alloy wherein   |
| 250 | most impurities remain in the liquid Zn phase. It should be mentioned that the Ca            |
| 251 | concentration is lower than the target level for the primary Si in spite of the use of       |
| 252 | indirect reduction by liquid Ca–Zn at 0.60 V. Calcium is also expected to be removed to      |
| 253 | the Zn phase during precipitation. Although a relatively large amount of Zn (6055            |
| 254 | ppmw) remained in the Si granules, this can be easily removed in the gas phase during        |
| 255 | the refining process owing to its high vapor pressure.                                       |

256

#### 4. Conclusion 257

The reaction mechanism of electrolytic reduction of SiO<sub>2</sub> at a liquid Zn cathode 258 was investigated in molten  $CaCl_2$  at 1123 K. Cyclic voltammetry suggested that 259 electrolytic reduction of SiO<sub>2</sub> started from the rest potential (1.55 V), and liquid Ca–Zn 260 alloy was formed at potentials more negative than 0.85 V. The progress of the indirect 261

| 262 | reduction of SiO <sub>2</sub> by liquid Ca–Zn alloy was confirmed by electrolysis and immersion     |
|-----|---|
| 263 | experiments. The reduction at 0.60 V proceeded by a mixed reduction mechanism of                    |
| 264 | direct electrolytic reaction and indirect reduction by Ca-Zn alloy. Impurity analysis               |
| 265 | confirmed that the concentrations of the metal elements and P were lower than the target            |
| 266 | levels for primary Si. The indirect reduction of SiO <sub>2</sub> by liquid Ca–Zn does not increase |
| 267 | the Ca content of the Si product because it is removed to the Zn phase during                       |
| 268 | precipitation.  |
| 269 |   |
| 270 | Acknowledgements  |
| 271 | This study was partially supported by Core Research for Evolutionary Science                        |
| 272 | and Technology (CREST) from the Japan Science and Technology Agency (JST);                          |
| 273 | Grant-in-Aid for Scientific Research A, Grant Number 16H02410, from the Japan                       |
| 274 | Society for the Promotion of Science (JSPS); the Kato Foundation for Promotion of                   |
| 275 | Science; and The Joint Usage/Research Center for Zero Emission Energy Research                      |
| 276 | (ZE28A12), Institute of Advanced Energy, Kyoto University.  |
| 277 |   |
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## 343 **Table and Figure captions**

Impurity contents of Si granules obtained after acid leaching, and target levels 344 Table 1 for primary Si of SOG-Si. The electrolytic reduction of SiO<sub>2</sub> particles was 345 conducted at 0.6 V for 50 h at a liquid Zn cathode in molten CaCl<sub>2</sub> at 1123 K. 346 Figure 1 Schematic drawing of SOG-Si production process using electrochemical 347 reduction of SiO<sub>2</sub> powder at a liquid Si–Zn alloy cathode in molten CaCl<sub>2</sub>.<sup>36,37</sup> 348 Figure 2 Schematic illustration of the electrolysis cell for observation of SiO<sub>2</sub> 349 reduction behavior at liquid Zn cathode. (a)  $Ag^+/Ag$  reference electrode, (b) 350 Ca<sup>2+</sup>/Ca dynamic reference electrode on a Mo wire, (c) liquid Zn electrode 351 with  $Al_2O_3/SiO_2$  tube, (d) graphite counter electrode, (e)  $Al_2O_3$  crucible, (f) 352 molten  $CaCl_2$ , (g) small  $Al_2O_3$  crucible, and (h) liquid Zn. 353 354 Figure 3 Schematic illustrations of the liquid Zn electrode for (a) cyclic voltammetry and (b) electrolytic reduction of SiO<sub>2</sub> plate. 355 Figure 4 Schematic illustration of the electrolysis cell for the electrolytic reduction of 356 SiO<sub>2</sub> particles. (a)  $Ag^+/Ag$  reference electrode, (b)  $Ca^{2+}/Ca$  dynamic reference 357 electrode on a Mo wire, (c) W lead wire, (d) graphite counter electrode, (e) 358 359 Al<sub>2</sub>O<sub>3</sub> crucible, (f) molten CaCl<sub>2</sub>, (g) SiO<sub>2</sub> particle, and (h) liquid Zn. Figure 5 Cyclic voltammograms for liquid Zn electrode with an Al<sub>2</sub>O<sub>3</sub> tube or a SiO<sub>2</sub> 360

| 361 |          | tube (left axis) and for Si plate electrode (right axis) in molten $CaCl_2$ at 1123        |
|-----|----------|--|
| 362 |          | K. Scan rate: $100 \text{ mV s}^{-1}$ .  |
| 363 | Figure 6 | Optical images of the SiO <sub>2</sub> plates after electrolysis at liquid Zn electrode or |
| 364 |          | immersion into liquid Zn for 30 min in molten $CaCl_2$ at 1123 K. (a)                      |
| 365 |          | potentiostatic electrolysis at 0.9 V, (b) immersion after electrolysis (a), (c)            |
| 366 |          | potentiostatic electrolysis at 0.6 V, and (d) immersion after electrolysis (c).            |
| 367 | Figure 7 | (a) An optical image and (b) XRD pattern of the Si granules obtained after                 |
| 368 |          | acid leaching of Zn ingots. The electrolytic reduction of $SiO_2$ particles was            |
| 369 |          | conducted at 0.6 V for 50 h at a liquid Zn cathode in molten $CaCl_2$ at 1123 K.           |
| 370 |          |  |

Table 1. Impurity contents of Si granules obtained after acid leaching, and target levels
for primary Si of SOG-Si. The electrolytic reduction of SiO<sub>2</sub> particles was conducted at

| 374 | 0.6  V for 50 h at a liquid Zn cathode in molten CaCl <sub>2</sub> at 1123 K. |
|-----|---|
|-----|---|

| Impurity<br>element,<br>A | Acceptable level<br>for SOG-Si <sup>41</sup> ,<br>$x_{A(SOG-Si)}$ / ppmw | Segregation<br>coefficient <sup>7</sup> ,<br>$k^{\circ}_{A}$ | Target level for<br>primary Si <sup>a</sup> ,<br>$x_{A(primary)}$ / ppmw | Impurity content<br>of Si granules by<br>electrochemical<br>reduction at<br>liquid Zn<br>cathode,<br>$x_A$ / ppmw <sup>b</sup> | Impurity<br>content of Si<br>by direct<br>electrochemica<br>l reduction <sup>42</sup> ,<br>$x_A^{-}$ / ppmw <sup>c</sup> |
|---------------------------|--|--|--|--|--|
| В                         | 0.1–0.3  | 0.8  | 0.13-0.38  | 1.5  | 2.6  |
| Р                         | 0.03–0.04  | 0.35   | 0.086–0.4  | <0.2   | 6.4  |
| Al                        | <0.1   | 2 x $10^{-3}$  | <50  | 8  | 600  |
| Ca                        | <0.2   | $1.6 \times 10^{-3}$   | <125   | 85   | 5800   |
| Fe                        | <0.1   | 8 x 10 <sup>-6</sup>   | <12500   | 110  | 30   |
| Ti                        | <10 <sup>-3</sup>  | 9 x $10^{-6}$  | <100   | 25   | 19   |
| Zn                        | d  | d  | d  | 6055   | 2.1  |

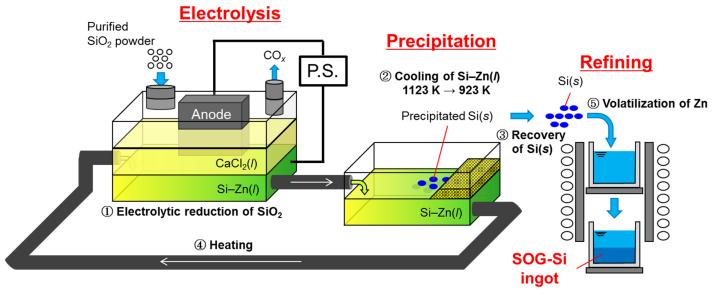
375 a:  $x_{A(\text{primary})} = x_{A(\text{SOG-Si})} / k_A^{\circ}$ 

376 b: Analyzed by ICP-AES

377 c: Analyzed by glow discharge-mass spectrometry (GD-MS)

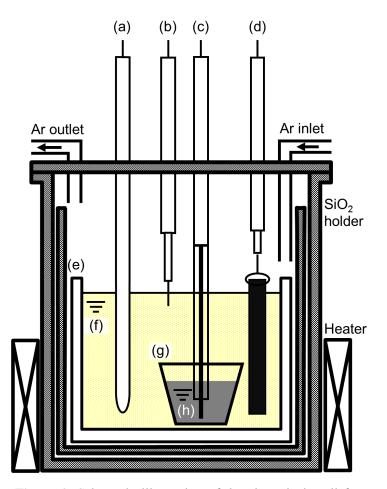
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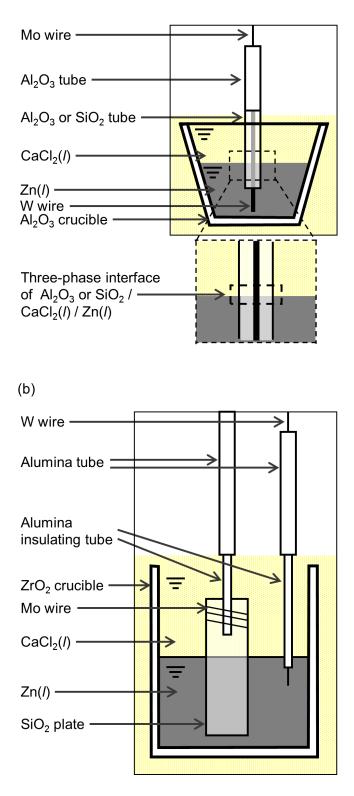


### **(6)** Directional solidification

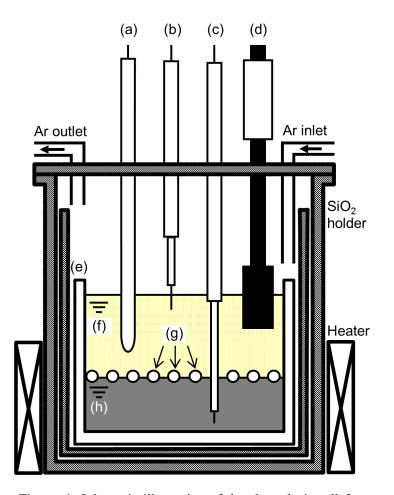
Figure 1. Schematic drawing of SOG-Si production process using electrochemical reduction of SiO<sub>2</sub> powder at a liquid Si–Zn alloy cathode in molten  $CaCl_2$ .<sup>38</sup>



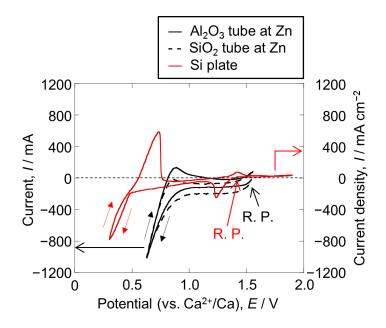
**Figure 2.** Schematic illustration of the electrolysis cell for observation of SiO<sub>2</sub> reduction behavior at liquid Zn cathode. (a) Ag<sup>+</sup>/Ag reference electrode, (b) Ca<sup>2+</sup>/Ca dynamic reference electrode on a Mo wire, (c)liquid Zn electrode with Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> tube, (d) graphite counter electrode, (e) Al<sub>2</sub>O<sub>3</sub> crucible, (f) molten CaCl<sub>2</sub>, (g) small Al<sub>2</sub>O<sub>3</sub> crucible, and (h) liquid Zn.



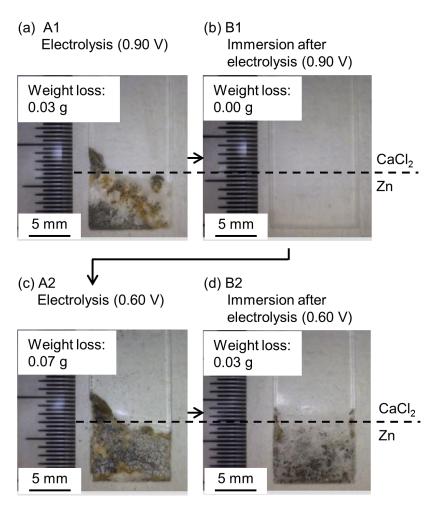
**Figure 3**. Schematic illustrations of the liquid Zn electrode for (a) cyclic voltammetry and (b) electrolytic reduction of  $SiO_2$  plate



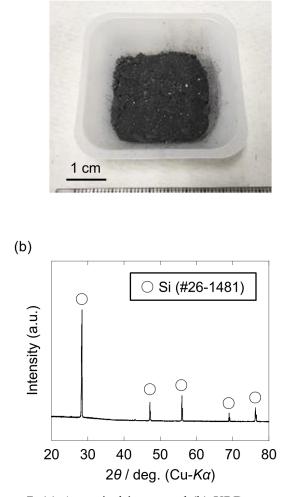
**Figure 4**. Schematic illustration of the electrolysis cell for the electrolytic reduction of SiO<sub>2</sub> particles. (a) Ag<sup>+</sup>/Ag reference electrode, (b) Ca<sup>2+</sup>/Ca dynamic reference electrode on a Mo wire, (c) W lead wire, (d) graphite counter electrode, (e) Al<sub>2</sub>O<sub>3</sub> crucible, (f) molten CaCl<sub>2</sub>, (g) SiO<sub>2</sub> particle, and (h) liquid Zn.



**Figure 5.** Cyclic voltammograms for liquid Zn electrode with an  $Al_2O_3$  tube or a  $SiO_2$  tube (left axis) and for Si plate electrode (right axis) in molten  $CaCl_2$  at 1123 K. Scan rate: 100 mV s<sup>-1</sup>.



**Figure 6**. Optical images of the SiO<sub>2</sub> plates after electrolysis at liquid Zn electrode or immersion into liquid Zn for 30 min in molten CaCl<sub>2</sub> at 1123 K. (a) potentiostatic electrolysis at 0.9 V, (b) immersion after electrolysis (a), (c) potentiostatic electrolysis at 0.6 V, and (d) immersion after electrolysis (c).



**Figure 7**. (a) An optical image and (b) XRD pattern of the Si granules obtained after acid leaching of Zn ingots. The electrolytic reduction of SiO<sub>2</sub> particles was conducted at 0.6 V for 50 h at a liquid Zn cathode in molten CaCl<sub>2</sub> at 1123 K.