# Interventional Pulmonology



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# Idiopathic Pulmonary Fibrosis: Best Practice in Monitoring and Managing a Relentless Fibrotic Disease

Wim A. Wuyts<sup>a</sup> Marlies Wijsenbeek<sup>b</sup> Benjamin Bondue<sup>c</sup> Demosthenes Bouros<sup>d</sup> Paul Bresser<sup>e</sup> Carlos Robalo Cordeiro<sup>f</sup> Ole Hilberg<sup>g</sup> Jesper Magnusson<sup>h</sup> Effrosyni D. Manali<sup>i</sup> António Morais<sup>j</sup> Spyridon Papiris<sup>i</sup> Saher Shaker<sup>k</sup> Marcel Veltkamp<sup>l</sup> Elisabeth Bendstrup<sup>m</sup>

<sup>a</sup>Department of Respiratory Diseases, Unit for Interstitial Lung Diseases, University Hospitals Leuven, Leuven, Belgium; <sup>b</sup>Department of Respiratory Medicine, Erasmus MC, University Medical Centre, Rotterdam, The Netherlands; <sup>c</sup>Department of Pneumology, Hôpital Erasme, Université libre de Bruxelles, Brussels, Belgium; <sup>d</sup>First Academic Department of Pneumonology, Interstitial Lung Diseases Unit, Department of Medicine, National and Kapodistrian University of Athens, Athens, Greece; <sup>e</sup>Department of Respiratory Medicine, Onze Lieve Vrouwe Gasthuis, Amsterdam, The Netherlands; <sup>f</sup>Department of Pneumology, Coimbra University Hospital, Coimbra, Portugal; <sup>g</sup>Department of Respiratory Medicine and Allergology, Aarhus University Hospital, Aarhus, Denmark; <sup>h</sup>Department of Internal Medicine/Respiratory Medicine Department, General University Hospital "Attikon," Medical School, National and Kapodistrian University of Athens, Athens, Greece; <sup>j</sup>Department of Pulmonology, Hospital de São João, Porto, Portugal; <sup>k</sup>Herlev and Gentofte University Hospital, Copenhagen, Denmark; <sup>l</sup>Department of Pulmonology ILD Center of Excellence, St. Antonius Hospital, Nieuwegein, The Netherlands; <sup>m</sup>Center for Rare Lung Diseases, Department of Respiratory Diseases and Allergy, Aarhus University Hospital, Aarhus, Denmark

# **Keywords**

Nintedanib · Pirfenidone · Interstitial lung disease · Therapeutics · Treatment · Mortality

# Abstract

Idiopathic pulmonary fibrosis (IPF) is a fibrosing interstitial lung disease that is, by definition, progressive. Progression of IPF is reflected by a decline in lung function, worsening of dyspnea and exercise capacity, and deterioration in healthrelated guality of life. In the short term, the course of disease for an individual patient is impossible to predict. A period of relative stability in forced vital capacity (FVC) does not mean that FVC will remain stable in the near future. Frequent monitoring using multiple assessments, not limited to pulmonary function tests, is important to evaluate disease progression in individual patients and ensure that patients are offered appropriate care. Optimal management of IPF requires a multidimensional approach, including both pharmacological therapy to slow decline in lung function and supportive care to preserve patients' quality of life.

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# Introduction

Idiopathic pulmonary fibrosis (IPF) is a chronic progressive fibrosing interstitial lung disease (ILD) characterized by the presence of a usual interstitial pneumonia pattern on high-resolution computed tomography (HRCT) [1]. Diagnosis is recommended to be made in the context of a multidisciplinary discussion. IPF tends to affect adults in their sixties or seventies who have a history of smoking and is more commonly observed in men than in women [2-5]. Patients typically present with chronic dyspnea and a dry nonproductive cough and have "Velcro"-like bibasilar crackles on chest auscultation [1, 3]. The reported incidence and prevalence of IPF vary widely depending on the methodology used to define cases, but its incidence in North America and Europe, based on a systematic review of population-based studies, has been conservatively estimated as between 3 and 9 cases per 100,000 persons per year [6].

The prevailing hypothesis for the pathogenesis of IPF is that the disease is caused by persistent micro-injury to the alveolar epithelium combined with an abnormal repair process. Continued replacement of alveolar tissue with fibrotic lesions distorts the lung architecture, resulting in a reduction in lung volume, impaired gas exchange, and ultimately in death [7]. Median survival after diagnosis of IPF is approximately 3–4 years [2, 8, 9]. However, the clinical course of IPF is variable between patients [10]. Some patients die shortly after diagnosis, while others experience a slower decline over time, and some show periods of clinical stability interspersed with episodes of rap-

id respiratory deterioration known as acute exacerbations [11]. Acute exacerbations are devastating events associated with very high mortality; in-hospital mortality following an acute exacerbation is estimated to be over 50% [11]. Although acute exacerbations are more common in patients with advanced lung function impairment, they can occur at any time, including in patients with preserved lung function [12, 13].

In this review, we will discuss the manifestations of disease progression in patients with IPF, how disease progression can be evaluated, and the importance of taking a multifaceted and individualized approach to the monitoring and management of IPF. This article is based on discussions held at a meeting attended by the authors in June 2017, as well as a review of the scientific literature.

# **Progression of IPF**

IPF is, by definition, a progressive disease [1]. Progression of IPF is typically reflected in a decline in forced vital capacity (FVC), worsening of dyspnea, a reduction in exercise capacity, and deterioration in health-related quality of life (HRQL) [5, 14, 15]. Data from clinical trials suggest that in patients with mild or moderate lung function impairment at baseline, the decline in FVC in patients who receive placebo is approximately 150–200 mL over 1 year (Fig. 1) [16]. Decline in FVC is a strong predictor of mortality in patients with IPF. In a pooled analysis of data from the placebo groups of the TOMORROW, INPUL-SIS, CAPACITY, and ASCEND trials, patients who had

Fig. 1. Lung function decline in patients with IPF treated with placebo in Phase II and III clinical trials [16]. Red dots denote the mean or median change from baseline in FVC or VC in the placebo groups of Phase II and III clinical trials in patients with IPF. The black line denotes the mean decline in FVC in healthy subjects aged 60 years based on FVC measurements taken between 1987-1989, 1990-1992, and 2011-2013 [87]. Reproduced with permission of the <sup>©</sup> ERS 2019 [16]. This material has not been reviewed prior to release; therefore, the European Respiratory Society may not be responsible for any errors, omissions, or inaccuracies, or for any consequences arising there from, in the content. FVC, forced vital capacity; IPF, idiopathic pulmonary fibrosis.



an FVC decline  $\geq 10$  to <15% predicted had a more than two-fold greater risk of mortality during the trials than patients who had an FVC decline <5% predicted (Fig. 2) [17]. Other studies have shown even greater risks of mortality associated with decline in FVC  $\geq 10\%$  predicted [12]. Patients with low FVC, or with documented decline in FVC, are also more likely to experience an acute exacerbation [12, 18].

While these data from clinical trials are useful for characterizing the progression of IPF at a population level, for an individual patient, the situation is much more complex. At present, there is no means of making an accurate prediction of disease course for an individual patient. Prior rate of change in FVC appears to be a poor predictor of subsequent change in FVC (Fig. 3) [19]. In the INPUL-SIS trials, placebo-treated patients with well-preserved FVC (FVC >90% predicted) at baseline had almost exactly the same decline in FVC over 1 year as patients with less well-preserved FVC (-225 vs. -224 mL/year, respectively) [13]. Preservation of FVC in patients with IPF should not be regarded as indicating that FVC will remain stable in the future. A period of stability in FVC does not mean that the disease is not progressing at a subclinical level or that the patient is not at risk of an acute exacerbation or death. A seminal study found that even in patients with stable FVC over 6 months, median survival was only 3 years [20]. Thus, it is important that measures other than FVC are taken into account in the evaluation of disease progression in an individual patient.

Gas exchange, measured by the diffusing capacity of the lungs for carbon monoxide (DLco), is reduced in patients with IPF and declines as the disease progresses [14, 21]. A decline in DLco >15% predicted over 6–12 months is associated with a significantly increased risk of mortality in patients with IPF [5, 22]. Difficulties in standardizing measurements across centers make DLco a chal-

N	Deaths		HR	95% (1
535	15 Jeans	Age $>65$ to $<75$	1 3 3	0.83 2.13
180	4J 25	Age >75	2.21	12 / 3 96
109	25		2.21	12.4, 5.90
718	74	Former smoker	1.64	1.01, 2.68
41	1	Current smoker	0.72	0.09, 5.65
284	43	FVC ≤63% predicted	3.21	1.32, 7.78
286	31	FVC >63% to ≤73% predicted	2.09	0.86, 5.07
281	17	FVC >73% to ≤85% predicted	1.73	0.73, 4.12
266	43	DLco ≤36% predicted	3.92	1.53, 10.07
267	30	DLco >36% to ≤42% predicted	4.05	1.73, 9.51
294	21	DI co >42% to $<50\%$ predicted	2 40	0 97, 5 93
201			2.10	0.07, 0.00
157	26	FVC decline ≥15% predicted	6.09	3.14, 11.80
228	17	EVC decline >10% and <15% predicted	2 20	1 10, 4 37
255	24	EVC decline 25% and <10% predicted	134	0.75, 2.40
222	24		1.54	0.75, 2.40
103	40	Acute exacerbation	10.31	5.69, 18.69
		U 5 IU 15	20	

**Fig. 2.** Risk of mortality in patients treated with placebo in the TO-MORROW, INPULSIS, CAPACITY, and ASCEND trials in subgroups by baseline variables, decline in FVC, and acute exacerbations (adapted from [17]). Comparisons were made to reference levels: age <65 years, never smoker, FVC >85% predicted, DLco > 50% predicted, FVC decline <5% predicted, no acute exacerbation. Reprinted with permission of the American Thoracic Society. Copyright  $^{\odot}$  2019 American Thoracic Society [17]. FVC, forced vital capacity.



**Fig. 3.** Trajectory of FVC following stability or decline in previous year (adapted from [19]). Mixed-models analysis of trend in FVC. Solid lines indicate mean FVC; dashed lines indicate 95% CI. Reprinted from [19]. Copyright (2019) with permission from the American College of Chest Physicians; permission conveyed through Copyright Clearance Center, Inc. FVC, forced vital capacity.

lenging end point to use in clinical trials, but in clinical practice, it is a useful tool for assessing disease progression in an individual patient.

The functional deterioration that occurs as IPF progresses is reflected in a diminishing of exercise capacity. In a study of 748 patients, a reduction in the distance walked during a 6-min walk test of >50 m over 24 weeks was associated with a nearly three-fold increase in mortality over the following year [23]. Oxygen desaturation during exercise (reduction of >10% from baseline) has also been associated with a significantly increased risk of mortality [24]. A requirement for supplemental oxygen, initially during exertion and then later also at rest, is a marker of advanced disease in patients with IPF and a strong predictor of mortality [21, 22, 25, 26]. In a realworld cohort of 167 patients with IPF, median survival after initiation of oxygen therapy was <18 months, compared with approximately 49 months for patients not using supplemental oxygen [21].

The symptoms of IPF, particularly cough and dyspnea, invariably worsen as the disease progresses [14, 27]. Cough has been shown to be an independent predictor of disease progression (defined as a decline in FVC  $\geq$ 10% predicted, decline in DLco  $\geq$ 15% predicted, lung transplantation, or death) in the following 6 months [28]. An increase in the severity of dyspnea is also a predictor of mortality [22, 29]. Cough and dyspnea are major determinants of HRQL among patients with IPF [14, 27, 30]. As IPF progresses, worsening of symptoms makes it difficult for patients to perform tasks requiring even mild exertion, with impacts on many aspects of patients' lives including family, social participation, and employment [27, 31]. Thus, for an individual patient, worsening of symptoms can be the most debilitating aspect of the progression of the disease.

There is increasing evidence that the extent of fibrosis on HRCT, and of specific features evident on HRCT such as reticular patterns with architectural distortion and vessel-related structures, and changes in these over time are predictors of mortality in patients with IPF [32–34]. However, in clinical practice, it is currently not possible to use changes in HRCT scans as a means of assessing disease progression. More research is needed to validate computerized scoring systems against outcomes. At present, there is no consensus as to when repeat HRCT scans should be performed in the monitoring of patients with IPF. The identification and validation of genetic and molecular biomarkers that predict disease progression is also an active area of research [35–38], but the integration of biomarkers into clinical practice remains some time away.

Given the shortcomings of single assessments as predictors of mortality in patients with IPF, multivariate

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models have been developed, including the GAP (gender, age, physiology) index and staging system [39], the composite physiologic index [40], and the risk stratification score [41]. However, none of these provides an accurate prediction of disease course for an individual patient, and none has been widely implemented in clinical practice.

# **Monitoring Patients with IPF**

Frequent monitoring is essential to evaluate disease progression in patients with IPF and so inform therapeutic decisions and patient counseling. Pulmonary function tests (PFTs) are a vital part of patient monitoring, but given that FVC decline is not the only indicator of disease progression in patients with IPF, follow-up assessments should not be limited to PFTs. While there is little evidence available to define the optimal interval between clinic visits, we regard visits with assessments of FVC and DLco every 3-4 months as reasonable for monitoring disease progression and for maintaining a good relationship with the patient. A disadvantage of performing PFTs at this frequency is that given the noise in FVC measurements, a number of measurements may be needed to detect a decline in FVC, leading to a significant lag time before FVC decline is identified and delays to decisions on treatment. More frequent monitoring of FVC using home spirometry may enable earlier detection of FVC decline and acute exacerbations [42-44], but its utility in everyday practice has yet to be established. In interpreting measurements of FVC and DLco, clinicians should be mindful of the potential confounding effects of concomitant emphysema; in patients with an extent of emphysema  $\geq$ 15%, FVC may not be a reliable measure for assessing disease progression [45].

Symptoms and HRQL can be assessed at every clinical visit through open-ended questions and the use of questionnaires [46, 47]. However, no short, disease-specific questionnaire to assess the overall severity and impact of the disease has been validated in patients with IPF. The severity and impact of dyspnea can be difficult to determine without an understanding of the level of activity normally undertaken by the patient; exertional dyspnea in a patient who is elderly and infirm is not comparable to that in an individual who has remained active. Patients who report only mild dyspnea may have reduced the severity of their dyspnea by reducing their mobility. The use of quantitative metrics such as the University of California San Diego Shortness of Breath Questionnaire [48], Medical Research Council dyspnea scale [49], or Borg

Best Practice in Monitoring and Managing IPF scale [50] can be of value in the assessment of changes in dyspnea as IPF progresses.

The 6-min walk test is a simple test that can be valuable in the assessment and follow-up of patients with IPF. If serial tests are used, it is important that the same methodology is used for all tests, including consistency in the delivery of supplemental oxygen (else differences in the provision of supplemental oxygen should be considered in the interpretation of test results) [51, 52]. Training effects and the potential impact of comorbidities should also be taken into account in the interpretation of followup test results. Oxygen saturation should be measured during the test and into the recovery period. An oxygen desaturation <88% during exercise is generally used as a guideline for prescribing supplemental oxygen. Oxygen saturation at rest should be measured at every clinic visit and the results considered in deciding on patient care.

# **Slowing the Progression of IPF**

All patients with IPF should be informed about access to treatments that slow disease progression. Two antifibrotic drugs, nintedanib [53] and pirfenidone [54], have been approved for the treatment of IPF. In large, placebocontrolled trials in patients with IPF and mild or moderate impairment in FVC at baseline, nintedanib [55] and pirfenidone [56] each reduced decline in FVC by approximately 50% over 1 year. Further, there was some evidence that these therapies reduced the risk of acute respiratory worsenings [18, 57]. Treatment guidelines issued by ATS/ERS/JRS/ALAT in July 2015 provided conditional recommendations for the use of nintedanib and pirfenidone in patients with IPF, recognizing that different choices will be appropriate for individual patients and that clinicians must help patients arrive at a decision about their management [58]. These recommendations are echoed in country-specific treatment guidelines and position statements authored by regional experts [59-63]. Importantly, data from clinical trials showed that nintedanib and pirfenidone had the same effect on FVC decline across the spectrum of baseline FVC investigated (FVC >50% predicted) [13, 64-67]. These data, combined with the unpredictable nature of disease progression in patients with IPF, and the fact that FVC is not the only indicator of disease severity or progression argue against a "watch and wait" approach to treatment.

The latest international treatment guidelines also provided a conditional recommendation for the use of anti-acid therapy in patients with IPF and asymptom-



**Fig. 4.** A multifaceted approach to managing patients with IPF (adapted from [88]). Reprinted from [88]. Copyright (2019) with permission from Elsevier; permission conveyed through Copyright Clearance Center, Inc.

atic gastroesophageal reflux disease, based on very lowquality evidence [58]; however, in the absence of randomized controlled trials, the risk:benefit of anti-acid medications in patients with IPF remains unknown [68, 69]. All other pharmacological therapies that have been used in the treatment of IPF, including N-acetylcysteine, received strong or conditional recommendations against their use [58]. Lung transplantation is an option for a minority of patients with IPF, with guidelines recommending that patients be evaluated for transplant at an early stage [70].

# Symptom Management and Supportive Care

Symptom management and supportive care are important elements of the management of IPF (Fig. 4). Symptom control presents great challenges to clinicians, as the dyspnea, cough, and fatigue associated with IPF are difficult to manage, with little evidence available to inform therapeutic decision-making. Although oral corticosteroids and opiates are sometimes used to relieve cough and dyspnea, the benefits of these therapies have not been established [71, 72]. Supplemental oxygen is recommended in international treatment guidelines for patients with IPF and clinically significant resting

hypoxemia [58]. A recent randomized study showed that supplemental oxygen provided benefits on HRQL in patients with ILD and exertional hypoxia [73], but more evidence is needed on its optimal use in patients with ILD [74]. Pulmonary rehabilitation is recommended in treatment guidelines [75] and has been shown in short-term studies to provide improvements in exercise capacity, dyspnea, and quality of life in patients with IPF [76].

The identification and management of comorbid conditions is an important part of optimizing outcomes and HRQL in patients with IPF [77, 78]. Many patients with IPF have comorbid respiratory and nonrespiratory conditions that complicate their disease, such as pulmonary hypertension, chronic obstructive pulmonary disease, emphysema, gastroesophageal reflux disease, cardiovascular disease, obstructive sleep apnea, and depression.

# **Patient Education and Communication**

A lack of appropriate information remains a challenge for patients with IPF and their caregivers [79, 80]. Much of the information about IPF provided on the Internet is outdated and inaccurate [81]. Although patients require information at the time of diagnosis, some issues may be better discussed at a later stage, according to the needs of the patient [82]. In addition to general information, patients value practical advice on how to manage their disease and maximize their quality of life [83]. It is essential that clinicians explain to patients and their caregivers that IPF is an intrinsically progressive disease so that they understand the value of taking therapies that slow disease progression even though their disease will continue to progress and their symptoms are not relieved. In particular, patients should be made aware that a history of relatively stable disease does not rule out a significant decline in lung function in the near future or the occurrence of an acute exacerbation. A structured, patient-centered communication approach is recommended to ensure that patients are supported in coming to an informed decision about how their disease should be managed [84].

Supportive/palliative care aims to improve or maintain HRQL as far as possible by relieving symptoms and providing support to patients and their caregivers to help them manage the impact of the disease and reduce fears about the future [85]. Supportive care may be provided on a one-to-one basis, via patient support groups, as part of pulmonary rehabilitation programs, or within an in-patient setting [85]. Specialist nurses can play a key role in providing advice and support to patients. Given the unpredictable course of IPF, supportive care should be integrated into discussions with patients and their caregivers at an early stage [85, 86]. End-of-life planning can be a difficult issue for patients and their families to discuss, and the timing and volume of information should be individualized to the needs of the patient [87, 88].

# Conclusions

IPF is an intrinsically progressive disease with a poor prognosis. Although predictors of disease progression and mortality have been identified in clinical trials and epidemiological studies, the course of disease for an individual patient remains impossible to predict. Progression of IPF is reflected by a decline in FVC, worsening of symptoms and exercise capacity, and deterioration in HRQL, but significant variability is observed between patients. A period of relative stability in FVC should not be interpreted as meaning that the disease is not progressing at a subclinical level or that FVC will remain stable in the near future. Frequent monitoring using multiple assessments including PFTs and measurements of functional capacity, symptoms, and HRQL is important to evaluate disease progression in individual patients and ensure that patients are offered appropriate care throughout the course of their disease. Optimal management of IPF requires a multidimensional approach, including both pharmacological therapy and supportive care.

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# **Author Contributions**

All authors contributed to the interpretation of the data and to the content of the article and have approved the final version.

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