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1 **Title:** Short-term association between ozone and mortality: a global two-stage time-series
2 study in 406 locations in 20 countries.

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99 **Summary boxes**

100 *What is already known on this topic*

- 101 • Evidence on the short-term association between ground-level ozone and mortality has
102 been obtained in a large number of studies. These investigations have been mostly
103 performed in a relatively small number of locations, in limited geographical areas, and
104 using various designs and modelling approaches.
- 105 • While most of the studies found positive associations, results are heterogeneous, and
106 a critical comparison across different countries and regions is made difficult by the
107 limited statistical power and the differences across studies mentioned above.
- 108 • Estimates of the association are usually reported as relative risk, a summary measure
109 that does not quantify the actual health impact and makes it difficult to evaluate
110 comparative health benefits of different regulatory limits.

111

112 *What this study adds*

- 113 • This large multi-country study found increased mortality risks associated to ozone
114 exposure across locations and countries, with an average 0.18% per 10 µg/m³,
115 reinforcing the evidence of a potential causal association.
- 116 • The application of state-of-the-art study designs and analytical methods allows a
117 consistent comparison across regions and populations, with evidence of
118 heterogeneous associations.
- 119 • Risk estimates were translated in measures of excess mortality, and it was found that
120 more than 6 thousand deaths per year, corresponding to 0.20% of the total mortality,
121 would have been avoided in the 406 cities studied if countries had implemented stricter
122 air quality standards compliant with WHO guideline. Substantial annual excess deaths
123 above this threshold were found in main cities such as Valley of Mexico with 694 per
124 year, 211 in Los Angeles, 170 in Tokyo or 128 in Toronto.
- 125 • Moreover, smaller but still substantial mortality impacts were found below WHO
126 guideline, supporting the WHO initiative of encouraging countries to revisit current air
127 quality guidelines and enforcing stronger emission restrictions to meet these
128 recommendations.

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142 **Abstract**

143 Objective: To assess short-term mortality risks and excess mortality associated to exposure
144 to ozone in a multi-city multi-country setting.

145 Design: Two-stage time-series analysis with quasi-Poisson time series regression and
146 multilevel meta-analysis.

147 Setting and population: daily time series data from 406 cities within 20 countries in
148 overlapping periods between 1985 and 2015, collected in the Multi-City Multi-Country (MCC)
149 Collaborative Research Network.

150 Main Outcome(s) and Measure(s): Daily total mortality (all or non-external causes only).

151 Results: On average, a 10- $\mu\text{g}/\text{m}^3$ increase in ozone during the current and previous day was
152 associated with a relative risk of mortality of 1.0018 (95% CI, 1.0012 to 1.0024). We found
153 some heterogeneity across countries, ranging from estimates above 1.0020 in the United
154 Kingdom, South Africa, Estonia and Canada to associations below 1.0008 in Mexico and
155 Spain. Exposure to ozone above maximum background levels (70 $\mu\text{g}/\text{m}^3$) accounted for
156 short-term excess mortality of 0.26% (95% CI, 0.24 to 0.28) on average across the 406
157 cities. The impact remained substantial (0.20% (95% CI, 0.18 to 0.22)) when restricting to
158 days above the WHO guideline (100 $\mu\text{g}/\text{m}^3$), corresponding to a total of 6,262 deaths per
159 year (95% CI, 1,413 to 11,065). Above more lenient thresholds, excess mortality amounted
160 to 0.14%, 0.09% and 0.05% corresponding to the European, American and Chinese air
161 quality standards (AQs), respectively.

162 Conclusions: This multi-country study represents the largest assessment to date on short-
163 term ozone-related mortality. For the first time, this study reports health impacts quantified
164 as excess mortality across countries and various exposure ranges. In particular, results
165 suggest that a substantial reduction in mortality would be potentially achieved under stricter
166 AQS. These findings have relevance for the implementation of efficient clean air
167 interventions and mitigation strategies designed within national and international climate
168 policies.

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182 **Print abstract**

183 Study question: What is the short-term mortality risk associated with exposure to ozone and
184 the corresponding excess mortality at exposure levels above current air quality standards?

185 Methods: A two-stage time-series analysis with quasi-Poisson time series regression and
186 multilevel meta-analysis was performed using daily data from 406 cities within 20 countries in
187 overlapping periods between 1985 and 2015, collected by the Multi-City Multi-Country
188 Collaborative Research Network.

189 Study answer and limitations: overall, mortality risk increased by 0.18% per 10- $\mu\text{g}/\text{m}^3$ increase
190 in ambient ozone, which translated into 0.26% excess mortality in days with exposure to ozone
191 above maximum background levels (70 $\mu\text{g}/\text{m}^3$). The impact remained substantial (0.20% (95%
192 CI, 0.18 to 0.22)) when restricting to days above the WHO guideline (100 $\mu\text{g}/\text{m}^3$),
193 corresponding to a total of 6,262 deaths per year (95% CI, 1,413 to 11,065) in the selected
194 cities. Findings cannot be considered truly global estimates, as some geographical areas or
195 countries are under-represented. There could be some systematic differences in the collected
196 data between countries. This study did not aim at assessing cause-specific mortality
197 association or sources of heterogeneity across estimates. Excess mortality estimates refer to
198 transient impact measures and not to the mortality burden or person-years of life lost attributed
199 to long-term ozone exposure.

200 What this study adds: our study suggests that ozone-related health impacts can be largely
201 preventable by attaining effective AQSs in line with the WHO guideline.

202 Funding, competing interests and data sharing: This work was primarily supported by the
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205 also supported this work. Ethical approval was not required. No additional data is available.

206 *Include Figure 3

207 **Introduction**

208 Ground-level ozone is a highly reactive, oxidative gas commonly found in urban and suburban
209 environments mostly derived from anthropogenic emissions. The exposure to this pollutant
210 has been associated to adverse health outcomes, including increased short-term mortality and
211 morbidity, in numerous epidemiological studies and reported in several reviews from important
212 health and environmental agencies worldwide.¹⁻⁴ Evidence on health impacts related to ozone
213 exposure has important implications in climate change research, as ozone levels are predicted
214 to increase as global warming progresses.⁵

215
216 Short-term ozone-mortality associations have been widely assessed in several multi-location
217 time series studies in Europe, US, Canada, Latin-America and Asia.^{2,6-8} The general
218 methodological framework consists of pooling location-specific estimated risks, accounting for
219 potential heterogeneity in the magnitude of the effect and uncertainty. In addition, the
220 increased statistical power of multi-location analyses allows for the exploration of potentially
221 complex features of the association (*i.e.*, non-linearity, delayed effects and harvesting, or
222 differential risks by season).⁹⁻¹¹ However, previous multi-location studies included a small
223 number of cities/countries, have generally a limited geographical scope, and applied
224 heterogeneous analytical approaches and modelling choices, making it difficult to draw a
225 consistent and comprehensive picture across different regions of the world.

226 While ozone-mortality associations have been widely assessed, results are rarely reported in
227 terms of health impacts, for instance as excess deaths.¹² Available figures are mostly derived
228 from long-term exposure metrics and risks estimated in specific subgroups, which are usually
229 extrapolated to the general population.^{13,14} Quantification of air-pollution-health burdens can
230 be extremely useful for the design of efficient public health interventions, including the
231 definition, assessment, and review of air quality standards (AQS). Current AQS greatly vary
232 between countries, and only a few of them meet the stricter World Health Organization (WHO)
233 recommendations.¹⁵ The comparison between health impacts above different AQSs can
234 provide valuable insights into potential public health benefits achieved by strengthening
235 current clean air policies. Although a few studies attempted to address this issue, a
236 widespread evaluation across several countries, which would help identifying more affected
237 areas with a greater need for intervention, is still lacking.^{16,17}

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239 We aim to address these gaps in knowledge through a comprehensive assessment of
240 mortality associated with short-term exposure to ozone, using the largest epidemiological
241 dataset ever collected for this purpose, including data from 406 cities within 20 countries from
242 multiple geographical regions. We first assessed ozone-mortality associations using advanced
243 statistical techniques for multi-location time-series analysis. Next, we explored potential
244 complexities of the association, namely non-linearity, mortality displacement and seasonality.
245 Finally, we quantified the ozone-associated mortality impacts for specific concentrations
246 ranges consistent with the current AQS levels, and then we compared estimates across
247 countries.

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251 **Methods**

252 **Data collection**

253 Data for 434 locations across the 20 countries were initially extracted from the database of the
254 Multi-city Multi-country (MCC) Collaborative Research Network (<http://mccstudy.lshtm.ac.uk/>).
255 These include location-specific daily mortality counts and environmental measures (weather
256 and air pollutants) in largely overlapping periods, ranging from 1st of January 1985 to 31st
257 December 2015. For each location, we derived daily time series of ozone (maximum 8-hour
258 average), particulate matter with an aerodynamic diameter less than or equal to 10 μm (PM₁₀,
259 $\mu\text{g}/\text{m}^3$, 24-hour average), particulate matter with an aerodynamic diameter less than or equal
260 to 2.5 μm (PM_{2.5}, $\mu\text{g}/\text{m}^3$, 24-hour average), nitrogen dioxide (NO₂, 24-hour average), total
261 mortality, mean temperature ($^{\circ}\text{C}$) and relative humidity (%). Mortality was represented by all-
262 cause deaths in Canada, Czech Republic, Estonia, France, Germany, Greece, Italy, Japan,
263 Mexico, Portugal, South Africa, South Korea, Sweden, Taiwan, UK, and US, while deaths due
264 to non-external causes (e.g. excluding self-intentional harm, poisoning) were used in Australia,
265 China, Spain and Switzerland (see the eMethods 1 for the specific ICD used in each country).
266 City-specific air pollution series were derived from daily measurements of one or more
267 monitors of the national or regional network. When more than one monitor were available,
268 daily level of each pollutant (24h-average of 8-hour maximum) was computed as the average
269 across monitors of the city, consistent with previous multi-city studies.² We excluded 28 cities
270 due to either poor quality data or limited periods (less than 3 years), with a final number of 406
271 locations included in the final analysis (detailed description of the data, exposure assessment,
272 and exclusion criteria are provided in eMethods 1).

273 **Statistical analysis**

274 The general statistical framework applied here is an extension of the classical two-stage
275 design,⁶ and it incorporates complex multi-parameter associations, hierarchical pooling
276 methods, and the computation of impact measures.^{18–20} In brief, city-specific ozone-mortality
277 risks were firstly estimated from separate time-series regression models, and then pooled in
278 the second stage through a meta-analysis. In a final step, impact estimates, expressed as
279 excess mortality fractions associated with ozone, were derived from the pooled country-
280 specific risks and city-specific exposure series. Using this general statistical framework, a set
281 of additional and sensitivity analyses were performed to investigate specific features of the
282 association. The following sub-sections provide a more detailed description of each step and
283 sub-analyses. We did all analysis with R software (version 3.5.2) using the packages *dlnm*
284 and *mixmeta*.

285 *Main analysis*

286 In the first stage, we performed city-specific time-series analyses using generalized linear
287 models with quasi-Poisson family. In this type of regression models, a quasi-likelihood is
288 applied to properly scale the standard deviation of the coefficients proportionally to the
289 potential overdispersion. This phenomenon is very common in this type of data, when the
290 variability is larger than that expected under the assumption of a Poisson distribution. Short-
291 term ozone-mortality associations were assessed using unconstrained distributed lag linear
292 models (DLMs).^{11,21} These model accounts for delayed effects of time-varying exposures, and
293 quantify net effects over a pre-defined lag period.²⁰ For the main model, we selected lag 0-1,
294 estimating cumulative associations with the same and previous day's exposures. The

295 regression model included a natural spline of time with 7 degrees of freedom (df) per year,
296 selected based on a quasi-likelihood version of the Akaike Information Criterion (q-AIC)
297 between 4, 6, 7, 8, 10 df, and indicator variables for the day of the week, in order to control for
298 long-term, seasonal, and weekly variations in risk. Unlike in most previous studies on ozone,
299 we applied a stricter control for temperature by using distributed lag non-linear models
300 (DLNMs), an extension of DLNs for modelling complex non-linear and lagged association.
301 Following modelling choices applied in published analyses, we modelled the net temperature-
302 mortality association over lag 0-21 (see details in eMethods 2).²²

303 In the second stage, city-specific estimates were then pooled through a multilevel meta-
304 analysis. This novel meta-analytical model defines more complex random-effects that can
305 account for variations in risk across two nested grouping levels, represented by cities within
306 countries.¹⁹ This approach allowed the derivation of improved estimates of ozone-mortality
307 associations at both city and country level, defined as best linear unbiased predictions
308 (BLUPs). BLUPs borrow information across units within the same hierarchical level, and can
309 provide more accurate estimates especially in locations with small daily mortality counts or
310 short series. We tested the presence of heterogeneity and reported it using multilevel
311 extensions of Cochran Q test and I^2 statistic.²³ Association estimates, expressed as relative
312 risk (RR) of mortality per 10 $\mu\text{g}/\text{m}^3$ increase of ozone and 95% confidence interval (CI), were
313 derived for each country from the corresponding BLUPs.

314 Ozone-mortality risk estimates were then translated into impact measures, represented by
315 excess mortality, following a method described elsewhere.¹⁸ In brief, for each city we
316 computed the daily number of deaths attributable to ozone (or daily excess deaths) using the
317 corresponding risk estimate associated with the level of ozone in each day. Regarding the
318 latter, country-specific BLUPs, instead of the city-specific estimates, were used to avoid
319 imbalances due to selection of cities and periods within each country. City-specific estimates
320 were reported as annual average number of excess deaths and 95% CI, so allowing for a
321 proper comparison between locations with different length of study period. Then, country-
322 specific impacts were represented by excess mortality fractions (%) computed as the sum of
323 the city-specific daily excess deaths divided by the total mortality for each country. Fractions
324 were used instead of number of excess deaths, as these are not comparable across countries
325 given its dependency on the denominator (i.e. total mortality) which at the same time depends
326 on the number of locations included. Although there is no evidence of a “safe” threshold, we
327 computed associated deaths only for days with ozone above 70 $\mu\text{g}/\text{m}^3$, as in previous health
328 impact assessments.⁴ This counterfactual scenario of 70 $\mu\text{g}/\text{m}^3$ was considered because
329 ozone levels below this threshold could be mostly attributed to non-anthropogenic sources. A
330 counterfactual scenario defined at 0 $\mu\text{g}/\text{m}^3$ would not be appropriate either as it is not realistic
331 given the ubiquitous presence of low levels of ozone derived from natural sources. Mortality
332 impacts were also disaggregated into contributions for exposure ranges above and between
333 current AQS: 100 $\mu\text{g}/\text{m}^3$ (WHO), 120 $\mu\text{g}/\text{m}^3$ (EU directive), 140 $\mu\text{g}/\text{m}^3$ (National Ambient Air
334 Quality Standard (NAAQS) in the US, approximately 0.070 parts-per-million) and 160 $\mu\text{g}/\text{m}^3$
335 (Chinese Ambient Air Quality Standard (CAAQS) level 2).¹⁵

336 *Additional complexities and sensitivity analyses*

337 A series of additional sub-analyses were performed to explore more complex features of the
338 association, such as potential non-linearity, lagged effects, and seasonal differences. First,
339 exposure-response functions were modelled with a non-linear function consisting of a cubic
340 B-spline with internal knots at 50 and 60 $\mu\text{g}/\text{m}^3$ of ozone. Second, delayed risks and potential

341 mortality displacement were assessed by extending the lag dimension of the DLM up to 30
342 days. Lag-response associations were modelled using a natural cubic spline with three internal
343 knots placed at equally-spaced lag values in the log scale. Third, seasonal differences were
344 assessed through interaction models between an indicator of season and the DLM of ozone,
345 as described elsewhere.²⁴ We derived the ozone-mortality risk for the warm season (June to
346 August in Northern Hemisphere, December, January and February in Southern Hemisphere)
347 and cold seasons (the remaining months).

348 Modelling choices in the main model and extensions described above were assessed and
349 compared through q-AIC and multivariate extensions of the Wald test. As sensitivity analyses,
350 we first assessed changes in control for time trends, and the potential confounding from other
351 air pollutants (PM₁₀, PM_{2.5} and NO₂) and relative humidity by including each of these terms
352 separately in the model. We then assessed the exclusion of a subset of US cities with summer-
353 only data which were included in the main analysis, and then different modelling approaches
354 to control for temperature. See eMethods 1 and 2 for a description of the modelling details.

355 **Patient and public involvement**

356 This was a multinational collaboration using aggregated city-level mortality and environmental
357 data. Patients and members of the public did not contribute to the steering committee, design
358 or other areas of the study, which involved complex research methods and analysis.
359 Dissemination of the findings will be carried out through press releases by the research
360 institutions of the contributing authors.

361

362

363 **Results**

364 Table 1 provides a summary description of the data included for each country. We analysed
365 a total number of 45,165,171 deaths in the 406 cities, with an average time series of 13 years.
366 Figure 1 shows a widely heterogeneous pattern in ozone levels, reported as average annual
367 mean, across cities between and within country. For example, lower levels were registered in
368 the Australian cities and cities in Northern Europe, while higher annual averages were found
369 in some cities in the central area of US, Mexico and Taiwan. Country-specific descriptive
370 summaries of the other air pollutants and humidity are provided in eTable1, and the
371 corresponding city-specific descriptive results are reported in eTable 2.

372 On average, each 10- $\mu\text{g}/\text{m}^3$ increase in ozone was associated with an overall RR of mortality
373 of 1.0018 (95%CI, 1.0012 to 1.0024) (Figure 2). Some heterogeneity was found across country
374 and city-specific risks (I^2 of 29.8%, Cochran Q p-value <0.001). Larger risk estimates were
375 found in the United Kingdom (UK) (1.0035 (95%CI, 1.0024 to 1.0046)), South Africa (1.0027
376 (95%CI, 1.0013 to 1.0042)), Estonia (1.0023 (95% CI, 1.0006 to 1.0040)) and Canada (1.0023
377 (95%CI, 1.0013 to 1.0032)), while Australia, China, Czech Republic, France, Germany, Italy,
378 Japan, South Korea Sweden, Switzerland and the US reported similar risks ranging between
379 1.0014 and 1.0020. Lower and imprecise associations were estimated for Greece (1.0011
380 (95%CI, 0.9995 to 1.0028)), Mexico (1.0008 (95%CI, (1.000 to 1.0015))), Portugal (1.0011
381 (95%CI, 0.9997 to 1.0026)), Spain (1.0006 (95%CI, 0.9992 to 1.0019)) and Taiwan (1.0010
382 (95%CI, 0.9999 to 1.0021)). The corresponding figures with the RRs for an increase in 10
383 parts-per-billion (ppb) of ozone are provided in eFigure 1.

384 Figure 3 depicts the excess mortality fractions above WHO guideline and its distribution across
385 intervals between the other AQSs for each country, while eTable 3 and eTable 4 report the
386 corresponding figures for excess fractions for total ozone (above 70 $\mu\text{g}/\text{m}^3$) and above and
387 between AQS. Table 2 shows fractions and annual number of excess deaths associated with
388 ozone for the total range of exposure and above WHO guideline for a selection of the main
389 cities in each country and overall across the 406 locations. Total mortality associated with
390 ozone above 70 $\mu\text{g}/\text{m}^3$ accounted for 0.26% of deaths (95%CI, 0.24 to 0.28), which translates
391 into 8,203 annual excess deaths (95% CI, 3,525 to 12,840) across the 406 locations studied
392 (Table 2). A substantial residual excess mortality of 0.20% (95%CI, 0.18 to 0.22)
393 corresponding to 6,262 (95% CI, 1,413 to 11,065) annual excess deaths remained when
394 restricting to days with levels above the WHO recommendation of 100 $\mu\text{g}/\text{m}^3$. As shown in
395 Figure 3, this proportion varied greatly by country, with considerably larger fractions in Mexico
396 (0.52% (95%CI, 0.14 to 0.92)) and Taiwan (0.37% (95%CI, 0.08 to 0.64)). Mortality excess
397 around 0.20% were estimated in Canada, China, Italy, Japan, South Africa, Switzerland and
398 USA, while France, Germany, South Korea and UK reported smaller percentages, ranging
399 between 0.14% and 0.05%. Imprecise or almost null estimates were found in Czech Republic,
400 Estonia, Greece, Portugal, Spain and Sweden. Overall mortality fractions above more lenient
401 AQSs (i.e. EU, NAAQS and CAAQS) decreased progressively to 0.14%, 0.09% and 0.05%,
402 respectively (eTable 3). Only Mexico reported a considerably higher fraction of 0.35% above
403 the highest AQS of 160 $\mu\text{g}/\text{m}^3$, although highly uncertain (black bar in Figure 3). Note that null
404 excess deaths were found in Australia, as daily exposure levels were all below 70 $\mu\text{g}/\text{m}^3$. A
405 similar pattern was found across estimates for the main cities in each country (Table 2). A
406 substantial number of annual excess deaths were associated to ozone levels above WHO
407 guideline, namely 694 (95% CI, 22 to 1,317) in Valley of Mexico, 211 (95% CI: 112 to 307) in
408 Los Angeles, 170 (95% CI, 40 to 304) in Tokyo, 128 (95% CI, 59 to 197) in Toronto, 82 (95%
409 CI, 19 to 148) in Johannesburg, 48 (95% CI, 0 to 96) in Paris and 37 (95% CI, 15 to 57) in
410 London (Table 2). eTable 5 shows the corresponding estimates for the 406 cities.

411 Additional analyses suggested no evidence of non-linearity in the concentration-response
412 association (according to q-AIC) (eFigure 2). The assessment of the lagged associations
413 confirmed an immediate ozone-mortality association during the first week (eFigure 1).
414 However, lag-specific estimates below 1 were found after the second week which resulted in
415 a slightly lower overall cumulative association of 1.0015 (95% CI, 0.9991 to 1.0032) when
416 considering the delayed effects over the first 30 days after the exposure. Finally, no evidence
417 of seasonal differences in ozone-mortality association were found (warm season: 1.0012 (95%
418 CI, 1.000 to 1.0026); cold season 1.0015% (95% CI, 1.0006 to 1.0024), Wald test p-value =
419 0.37).

420 Results from sensitivity analyses suggest that risk estimates of the main analysis were robust
421 to the different modelling choices related to the control for time trends and adjustment by the
422 three air pollutants and humidity (eTable 6). However, ozone-mortality risk estimates seemed
423 to be sensitive to the approach to control for temperature (eFigure 3). We found larger ozone-
424 mortality association estimates using less stringent control, although q-AIC values suggested
425 that the model with DLNM of temperature (main model) provided the best fit.

426 Discussion

427 Principal findings

428 To the best of our knowledge, this is the largest epidemiological investigation on short-term
429 ozone-mortality associations to date, including almost 50 million deaths from 406 cities in 20
430 countries from different regions across the world. Given its large sample size and wide
431 geographical coverage, we were able to obtain consistent evidence of an association between
432 short-term exposure to ozone and total mortality. In addition, we provided for the first time
433 ozone-related impact estimates, quantified as excess mortality, across different AQS,
434 countries and cities, providing evidence with important public health implications.

435 On average, we found an overall short-term ozone-mortality association of 1.0018 (95%CI,
436 1.0012 to 1.0024) per 10- $\mu\text{g}/\text{m}^3$ increase. This evidence is supported by previous
437 epidemiological and experimental studies suggesting several patho-physiological
438 mechanisms (e.g. systemic inflammation, haemostatic alterations).^{25,26} Larger associations
439 were found in previous multi-country studies, including a subset of countries investigated here
440 (e.g. RR of 1.0022 in APHEA, 1.0026 in APHENA, per 10- $\mu\text{g}/\text{m}^3$ increase),^{11,21} or other single-
441 country studies (e.g. RR of 1.0025 in the US (originally 1.0052 per 10-ppb increase), and
442 China, and 1.015 in Italy).²⁷⁻²⁹ Differences in the definition of the exposure variable (e.g.
443 moving average, single lag) and modelling approach could explain these discrepancies in the
444 magnitude of the association. For example, compared to previous studies, we applied a
445 stronger control for temperature (i.e. DLNMs), fully accounting for non-linearity and lagged
446 temperature-mortality associations.²² In fact, results from sensitivity analyses are consistent
447 with previous findings showing that ozone-mortality risk estimates were very sensitive to the
448 modelling strategy to control for temperature, reporting larger risks when using simpler
449 approaches (eFigure 3).²⁷ Moreover, one of the novelties of the applied statistical framework
450 is the use of multilevel meta-analytical models in the second stage, properly accounting for
451 heterogeneity across cities and countries.

452 Our results revealed important differences in the ozone-mortality association across countries.
453 For example, while some areas such as UK, South Africa, Canada and Estonia reported the
454 largest risk estimates above 1.0020, smaller and/or imprecise estimates below 1.0011 were
455 found in Greece, Mexico, Spain and Taiwan. This unclear pattern would suggest that, while
456 several community-level factors have been proposed as potential modifiers in single-country
457 studies (e.g. population characteristics), these might not fully characterise between-country
458 differences.³⁰ Future multi-country studies are needed to provide further evidence on the
459 factors defining the level of vulnerability of the population to air pollution.

460 This study also provides valuable evidence on the potential public health benefits of stricter
461 clean air policies. In particular, we found that 0.20% excess mortality, which translates into
462 more than 6 thousand deaths per year, related to short-term exposure to ozone would have
463 been avoided if ambient levels were below WHO recommendation of 100 $\mu\text{g}/\text{m}^3$ in the 406
464 cities included in the study. Recent reviews found that the vast majority of current AQSs are
465 not compliant with the WHO air quality recommendations,¹⁵ and that 80% of the world
466 population in urban areas are exposed to air pollution levels above this threshold.³¹ Moreover,
467 an additional 0.06% of excess deaths is associated with ozone levels between 70 and 100
468 $\mu\text{g}/\text{m}^3$. These findings support the WHO initiative of encouraging countries to revisit current
469 AQSs and enforce stronger emission restrictions and other public health interventions to meet
470 their recommendations. Additionally, our results have important implications for healthcare
471 practice. Apart from the implementation of clean air policies, individual strategies to reduce
472 the personal exposure to air pollutants are also desirable.³² In this regard, clinicians play an
473 important role in providing counselling to patients with potentially a higher susceptibility to

474 adverse health outcomes related to air pollution. For instance, professionals can advise
475 sensitive individuals to stay indoors or avoid doing exercise during episodes of elevated
476 ambient ozone.

477 Previous studies showed that important health benefits could be achieved if reductions of
478 ozone levels are reached.^{9,13,16} However, this is the first multi-country study comparing excess
479 mortality estimates across AQSs and countries, providing additional insights on specific areas
480 with more urgent need of further interventions. For example, we found that 0.52% of total
481 mortality in Mexico was associated to ozone above WHO limit, the largest mortality fraction
482 amongst the studied countries. This is due to the high ozone levels registered in the Mexican
483 cities, especially above 160 $\mu\text{g}/\text{m}^3$ limit, which is close to its current AQS of 156 $\mu\text{g}/\text{m}^3$. This
484 means that attaining the current lenient standards would prevent a substantial proportion of
485 ozone-related deaths in this country. In contrast, results for the UK show a lower mortality
486 fraction, despite the strongest ozone-mortality association, due to the lower ozone levels
487 registered in this country.

488 **Strengths and limitations of the study**

489 We were able to efficiently explore additional complexities of the association by taking
490 advantage of the large statistical power and advanced statistical techniques. First, our results
491 support the conclusions of previous studies on a generally linear concentration-response
492 functions, with no indication of threshold.^{9,27} Second, we found evidence of a potential mortality
493 displacement in the third and fourth week after the exposure. A similar lag pattern was
494 previously observed.^{10,11} However, potential mechanisms explaining this delayed and
495 sustained pattern remain unclear. Finally, we found no evidence of seasonal differences in the
496 ozone-mortality association. Previous multi-site studies have provided conflicting results, with
497 larger risks in cold seasons in Asia,²⁷ and in warm season in US and Europe.⁶ Further analyses
498 are warranted to characterize different patterns across regions.

499 This study presents some limitations. First, our results should not be considered truly global
500 estimates, since several areas of the world such as South America, Africa and Middle East,
501 are unrepresented or not assessed. In addition, the reported nationwide results may not be
502 representative of the true impacts for some countries with a limited number of cities included
503 in the study (e.g. Sweden, Czech Republic, China). In particular, the estimated number of total
504 excess deaths attributed to ozone should be interpreted as the sum of impacts in the 406
505 observed locations, and not as total estimates across the 20 countries. It should be noted that
506 while excess fractions could be considered proper representations of the impacts for each
507 country, the total excess number of deaths for each country is highly dependent on the total
508 mortality considered in the study, that is the number of locations included in each country.
509 There could also be systematic differences between countries concerning the characteristics
510 of monitors (type, proximity to the study area), study area boundaries, temporal coverage,
511 data processing prior to the data collection and in the collection of mortality data (e.g. case
512 ascertainment, codification). However, we ensured that the provided data fulfilled a minimum
513 set of requirements in terms of quality, similar definition of the 8-hour maximum metric and
514 location of the monitor (i.e. within the study area or close enough to ensure its
515 representativeness). Risks and impact estimates were only reported for total mortality (i.e.
516 deaths due to all or non-external causes) and we did not seek to identify the sources of
517 heterogeneity of the results across countries. We acknowledge that the applied approach
518 prevents us from understanding the potential mechanisms and/or differential susceptibility of
519 the population, together with contextual differences across locations. Further studies are

520 warranted to clarify this complex research question including for example cause-specific
521 mortality and morbidity, and more complex two-stage analyses. Finally, it is worth noting that
522 although small the risk estimates apply to the whole population, thus translating into
523 substantial mortality impacts as shown in our estimates of excess mortality. To the same
524 token, due to the nature of the study design (i.e. time series analysis) the obtained excess
525 mortality estimates refer to transient impact measures and not to the mortality burden or
526 person-years of life lost attributed to chronic ozone exposure.³³

527

528 **Conclusions**

529 This large multi-country study provided robust evidence on the short-term association between
530 ozone and mortality. We also demonstrated that clean air policies with the enactment of AQs
531 can constitute essential public health tools to minimize the health burden. In particular, our
532 results clearly suggest that ozone-related health impacts can be largely preventable by
533 attaining effective AQs in line with the WHO guideline. Moreover, interventions to further
534 reduce ozone pollution would provide additional health benefits, even in regions that meet
535 current regulatory standards and guidelines. These findings have important implications for
536 the design of future public health actions, in particular, for example in relation to the
537 implementation of mitigation strategies to reduce the impacts of climate change.

538

539 **Figure legends**

540 **Figure 1.** Map showing the geographical distribution of the city-specific average annual means
541 of ozone (maximum 8-hour average) of the 469 MCC cities.

542 **Figure 2.** Overall and country-specific short-term ozone-mortality association, expressed as
543 relative risk (RR) per 10- $\mu\text{g}/\text{m}^3$ increase in ozone (maximum 8-hour average) (lag 01).

544 **Figure 3.** Overall and country-specific excess mortality (%) associated to ozone by specific
545 ranges defined between thresholds consistent with current air quality standards. (No excess
546 mortality associated to ozone were found in Australia, as daily ozone levels were below the
547 maximum background level set up at 70 $\mu\text{g}/\text{m}^3$).

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549 * 100 $\mu\text{g}/\text{m}^3$, World Health Organization guideline (WHO); 120 $\mu\text{g}/\text{m}^3$, European Directive; 140 $\mu\text{g}/\text{m}^3$
550 (approximately 0.070ppm); National Ambient Air Quality Standard in the US (NAAQS); 160 $\mu\text{g}/\text{m}^3$
551 Chinese Ambient Air Quality Standard (CAAQS).

552

553 **Authors' contributions**

554 *AG and HK are both senior authors and contribute equally to this work.

555 AG, YG, MH, and BA set up the collaborative network. AMVC, AG, FS and HK designed the
556 study. AMVC coordinated the work, and took the lead in drafting the manuscript and
557 interpreting the results. AG and FS developed the statistical methods. AMVC conducted the
558 statistical analysis. BA, AH, FS, AG, KK, ES, MS, AT, CI, VH, AS, JS, NS, RG, EL provided
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562 data, and contributed to the interpretation of the results and to the submitted version of the
563 manuscript.

564 The corresponding author attests that all listed authors meet authorship criteria and that no
565 others meeting the criteria have been omitted

566

567 **Conflict of interest statements**

568 All authors have completed the ICMJE uniform disclosure form at
569 www.icmje.org/coi_disclosure.pdf and declare: no support from any organisation for the
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599 **Ethical approval**

600 It was not required.

601 **Data sharing**

602 Data have been collected within the MCC Collaborative Research Network
603 (<http://mccstudy.lshtm.ac.uk/>) under a data sharing agreement, and cannot be made publicly
604 available. Researcher can refer to MCC participants listed as co-authors for info on accessing

605 the data for each country. The R code for the analysis is available from the corresponding
606 author.

607 **Transparency**

608 The lead author affirms that the manuscript is an honest, accurate, and transparent account
609 of the study being reported; that no important aspects of the study have been omitted; and
610 that any discrepancies from the study as planned (and, if relevant, registered) have been
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612

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Table 1. Description of the environmental and mortality data.

	Locations (N)	Period (range)	Total deaths (N)	Daily deaths (Median (IQR))	Ozone (Median (IQR))	Mean temperature (Median (IQR))
<i>Australia</i>	3	2000-2009	513,527	49.3 (43.7; 55.7)	31.2 (24.2; 38.6)	18.3 (14.8; 21.5)
<i>Canada</i>	26	1986-2011	2,914,630	12.8 (10.5; 15.3)	69.2 (53.9; 88.4)	7.3 (-1.0; 15.7)
<i>China</i>	3	1996-2015	780,655	87.3 (71.7; 140.3)	49.3 (27.8; 77.5)	20.4 (13.0; 25.7)
<i>Czech Republic</i>	1	1994-2009	214,062	36.0 (32.0; 41.0)	69.3 (47.4; 95.0)	9.2 (2.5; 15.3)
<i>Estonia</i>	4	2002-2015	80,043	5.0 (3.5; 6.5)	48.9 (36.7; 61.8)	6.0 (0.2; 13.6)
<i>France</i>	18	2000-2010	1,197,555	16.3 (13.7; 19.1)	67.8 (46.8; 87.4)	12.7 (7.6; 17.9)
<i>Germany</i>	12	1993-2015	3,099,176	30.4 (26.4; 34.8)	57.1 (35.8; 79.2)	10.5 (4.8; 15.9)
<i>Greece</i>	1	2001-2010	287,969	78.0 (70.0; 87.0)	75.1 (52.8; 97.5)	17.9 (12.9; 24.9)
<i>Italy</i>	9	2006-2015	373,421	15.1 (12.6; 17.9)	74.1 (50.5; 97.0)	15.8 (10.2; 22.1)
<i>Japan</i>	45	2011-2015	1,856,232	22.3 (19.1; 25.7)	78.5 (62.4; 98.4)	16.1 (7.5; 22.7)
<i>Mexico</i>	7	2000-2012	2,018,313	61.0 (53.7; 69.4)	108.9 (85.1; 135)	18.6 (15.9; 20.5)
<i>Portugal</i>	2	1997-2012	536,958	47.0 (41.0; 54.0)	64.2 (50.2; 79.2)	16.1 (12.5; 19.6)
<i>South Africa</i>	5	2004-2013	924,478	58.4 (48.8; 67.0)	69.5 (52.9; 89.5)	18.3 (14.2; 21.2)
<i>South Korea</i>	7	1999-2015	1,662,199	38.3 (34.0; 42.7)	59.5 (42.7; 81.9)	15.1 (5.8; 22.1)
<i>Spain</i>	48	2004-2014	1,294,162	6.7 (5.1; 8.4)	70.0 (53.9; 84.7)	15.3 (10.3; 21.1)
<i>Sweden</i>	1	1990-2010	201,197	26.0 (22.0; 30.0)	61.9 (48.9; 76.0)	6.8 (1.2; 13.9)
<i>Switzerland</i>	8	1995-2013	230,587	4.2 (2.9; 5.6)	72.8 (47.0; 98.1)	10.7 (4.4; 16.5)
<i>Taiwan</i>	3	2008-2014	443,680	57.0 (51.0; 63.7)	109.1 (82.1; 138.6)	24.8 (20; 28.2)
<i>UK</i>	15	1993-2006	2,073,285	28.4 (24.5; 32.9)	51.6 (36.7; 65.2)	10.4 (6.5; 14.6)
<i>USA</i>	188	1985-2006	24,463,042	16.3 (13.6; 19.3)	80.1 (58.9; 104.0)	14.9 (7.5; 21.9)

Ozone: daily maximum 8-hour mean, $\mu\text{g}/\text{m}^3$. Mean temperature, $^{\circ}\text{C}$. IQR: interquartile range. N: number. Mortality: deaths due to non-external causes (Australia, China, Spain, Switzerland (including accidents)) or to all-cause mortality (the remaining countries). Detailed description of the data provided in eMethods 1. Country-specific summaries of other air pollutants and relative humidity are provided in eTable 1. City-specific descriptive summaries reported in eTable 2.

Table 2. Excess mortality associated to ozone for the total (above 70 µg/m³) and above WHO guideline of 100 µg/m³ in the main cities of each participating country and overall estimates for the 406 cities.

Country	City	Total (Above 70 µg/m ³)*		Above WHO Guideline (100 µg/m ³)	
		Excess fraction (%, 95% CI)	Annual excess deaths (N, 95% CI)	Excess fraction (%, 95% CI)	Annual excess deaths (N, 95% CI)
<i>Australia**</i>	Sydney	0 (0 to 0)	0 (0 to 0)	0 (0 to 0)	0 (0 to 0)
<i>Canada</i>	Toronto	0.59 (0.34 to 0.85)	159 (90 to 228)	0.48 (0.22 to 0.73)	128 (59 to 197)
<i>China</i>	Shanghai	0.32 (0.04 to 0.57)	117 (15 to 209)	0.27 (-0.01 to 0.53)	99 (-4 to 195)
<i>Czech Republic</i>	Prague	0.27 (0.02 to 0.48)	38 (3 to 69)	0.20 (-0.06 to 0.44)	29 (-9 to 63)
<i>Estonia</i>	Tallinn	0.01 (0.00 to 0.02)	1 (0 to 1)	0.00 (-0.01 to 0.01)	0 (-1 to 1)
<i>France</i>	Paris	0.15 (0.05 to 0.26)	70 (24 to 119)	0.11 (0.00 to 0.21)	48 (0 to 96)
<i>Germany</i>	Berlin	0.12 (0.04 to 0.20)	46 (14 to 74)	0.08 (-0.01 to 0.17)	30 (-3 to 62)
<i>Greece</i>	Athens	0.16 (-0.07 to 0.41)	52 (-23 to 132)	0.11 (-0.13 to 0.37)	35 (-42 to 117)
<i>Italy</i>	Rome	0.27 (0.05 to 0.52)	69 (13 to 132)	0.19 (-0.05 to 0.44)	48 (-12 to 111)
<i>Japan</i>	Tokyo	0.27 (0.14 to 0.40)	249 (127 to 371)	0.18 (0.04 to 0.32)	170 (40 to 304)
<i>Mexico</i>	Valley of Mexico	0.73 (0.04 to 1.38)	707 (39 to 1,339)	0.72 (0.02 to 1.36)	694 (22 to 1,317)
<i>Portugal</i>	Lisbon	0.09 (-0.03 to 0.2)	20 (-6 to 45)	0.04 (-0.09 to 0.17)	9 (-20 to 39)
<i>South Africa</i>	City of Johannesburg	0.32 (0.15 to 0.49)	121 (59 to 187)	0.22 (0.05 to 0.39)	82 (19 to 148)
<i>South Korea</i>	Seoul	0.10 (0.03 to 0.17)	41 (13 to 71)	0.06 (-0.01 to 0.14)	27 (-3 to 58)
<i>Spain</i>	Madrid	0.03 (-0.04 to 0.11)	9 (-12 to 31)	0.01 (-0.07 to 0.10)	3 (-21 to 27)
<i>Sweden</i>	Stockholm	0.10 (0.02 to 0.18)	10 (2 to 18)	0.03 (-0.07 to 0.13)	3 (-7 to 13)
<i>Switzerland</i>	Zurich	0.31 (0.05 to 0.54)	13 (2 to 22)	0.23 (-0.02 to 0.48)	10 (-1 to 20)
<i>Taiwan</i>	Taipei	0.34 (-0.05 to 0.72)	131 (-21 to 276)	0.28 (-0.11 to 0.67)	109 (-43 to 258)
<i>UK</i>	London	0.10 (0.07 to 0.12)	63 (44 to 81)	0.06 (0.02 to 0.09)	37 (15 to 57)
<i>USA</i>	Los Angeles	0.41 (0.24 to 0.57)	242 (142 to 335)	0.36 (0.19 to 0.52)	211 (112 to 307)
<i>20 MCC countries</i>	406 MCC cities	0.26 (0.24 to 0.28)	8,203 (3,525 to 12,840)	0.20 (0.18 to 0.22)	6,262 (1,413 to 11,065)

*Total refers to ozone-related deaths when levels above 70 µg/m³ (defined as maximum background levels).

**No excess mortality associated to ozone were found in Australia, as daily ozone levels were below the maximum background level set up at 70 µg/m³.

WHO: World Health Organization. N: number.