

1        **Hemispheric and large-scale land surface air temperature**  
2        **variations: An extensive revision and an update to 2010**

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by

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40 **Abstract**

41 This study is an extensive revision of the Climatic Research Unit (CRU) land station  
42 temperature database that has been used to produce a grid-box dataset of 5° latitude by  
43 5° longitude temperature anomalies. The new database (CRUTEM4) comprises 5583  
44 station records of which 4842 have enough data for the 1961-90 period to calculate or  
45 estimate the average temperatures for this period. Many station records have had their  
46 data replaced by newly homogenised series that have been produced by a number of  
47 studies particularly from National Meteorological Services (NMSs).

48

49 Hemispheric temperature averages for land areas developed with the new CRUTEM4  
50 dataset differ slightly from their CRUTEM3 equivalent. The inclusion of much  
51 additional data from the Arctic (particularly the Russian Arctic) has led to estimates  
52 for the Northern Hemisphere (NH) being warmer by about 0.1°C for years since 2001.  
53 The NH/SH warms by 1.12/0.84°C over the period 1901-2010. The robustness of the  
54 hemispheric averages is assessed by producing five different analyses each including  
55 a different subset of 20% of the station time series and by omitting some large  
56 countries.

57

58 CRUTEM4 is also compared with hemispheric averages produced by reanalyses  
59 undertaken by the European Centre for Medium-Range Weather Forecasts (ECMWF)  
60 - ERA-40 (1958-2001) and ERA-Interim (1979-2010) datasets. For the NH,  
61 agreement is good back to 1958 and excellent from 1979 at monthly, annual and  
62 decadal timescales. For the SH agreement is poorer, but if the area is restricted to the  
63 SH north of 60°S the agreement is dramatically improved from the mid-1970s.

64 **1. Introduction**

65

66 The purpose of this paper is to revise, improve and update the gridded land-based  
67 Climatic Research Unit (CRU) temperature database (CRUTEM4), last documented  
68 by Brohan *et al.* (2006, CRUTEM3). There are two principal reasons for such an  
69 analysis at the present time. First, some years have passed since it was last undertaken  
70 and significant changes and improvements have been made to the availability of  
71 monthly average temperature data in real time. The second reason is that several  
72 national and other initiatives (co-ordinated by National Meteorological Services,  
73 NMSs) have also dramatically improved the quantity and quality of monthly-mean  
74 temperature data available. Some countries have extensively homogenised significant  
75 parts of their entire national holdings, releasing the results for all to use. Both these  
76 developments should improve the coverage of available data.

77

78 Despite these improvements to the quantity and quality of data available, it is not  
79 expected that major changes will occur in the hemispheric-average series, as at these  
80 scales the existing averages are highly robust. The principal reason for expecting only  
81 small changes is that time series of the many thousands of station records are not  
82 statistically independent of each other. The number of statistically-independent  
83 locations (at timescales above annual) over the Earth's surface has been estimated by  
84 several authors to be about 100 or less (see discussion in Jones *et al.*, 1997). The  
85 improvements to data quality and quantity in the present study, though, should impact  
86 individual grid-box series and analyses of spatial patterns.

87

88 The paper is organised in the following way. Section 2 extensively discusses the  
89 sources of additional data used in CRUTEM4 and the challenges of merging,  
90 replacing and updating the existing station-based records. Section 3 discusses the  
91 gridding technique used to develop the improved grid-box datasets. Section 4 presents  
92 extensive comparisons of the new analyses with those already available, illustrating  
93 the improvements in coverage. Section 5 concludes.

94

## 95 **2. Data**

96

97 The station data sources incorporated into previous versions of the CRUTEM  
98 database have been extensively discussed in Jones *et al.* (1985, 1986), Jones (1994),  
99 Jones and Moberg (2003) and Brohan *et al.* (2006). The station data used in the  
100 CRUTEM3 dataset had assigned codes to each station giving the principal source for  
101 each series (see above references). These have been augmented here and a full list of  
102 source codes is given in Table 1. Although the ultimate sources of all the station data  
103 are the NMSs, much of these data have made their way to users via a number of  
104 World Meteorological Organization (WMO) and Global Climatological Observation  
105 System (GCOS) initiatives, as well as NMS websites and scientific publications. We  
106 have replaced station data in CRUTEM3 with improved data from NMSs for stations  
107 with the same locations as these were deemed to be of better quality. In some cases,  
108 the improvement could simply have been a more complete series with fewer missing  
109 monthly values.

110

111 The next sections introduce much of this additional material, but only the major  
112 source codes in Table 1 are discussed. Apart from NMS source material there are

113 three additional sources that incorporate station data across the world's land areas:  
114 CLIMAT (WMO co-ordinated transmission of many meteorological parameters  
115 including monthly average temperatures), Monthly Climatic Data for the World  
116 (MCDW), and the decadal World Weather Records (WWR) volumes (from the 1950s  
117 onwards up to the 1990s). CLIMAT and MCDW are sources that are available in real  
118 time and near-real time respectively, and contain data for approximately 2000-2500  
119 stations, though the number of stations available varies from month to month,  
120 particularly so for some developing countries. MCDW is available slightly later (3-4  
121 months) than CLIMAT and tends to contain the same stations (though with fewer  
122 missing values), but considerably more for the contiguous United States (US). We do  
123 not use all the station data that report in CLIMAT and MCDW, but restrict ourselves  
124 to stations that have enough data to calculate 1961-90 averages (see section 3.1).

125

126 The WWR volumes are released every ten years after the completion of each decade.  
127 WWR is an important source of data for South America, Africa, Asia and many island  
128 groups. The availability of WWR data only every decade is part of the reason why the  
129 coverage of data in near-real time appears to reduce since the last decade of WWR  
130 was released for the 1990s. Part of this reduction is due to incomplete availability  
131 rather than the non-existence of data and should not be interpreted as evidence that the  
132 network of stations across the world's land area is reducing. WWR sources can  
133 additionally be important in other parts of the world for infilling missing monthly  
134 values that occasionally occur in CLIMAT and MCDW sources.

135

136 The numbers of stations from each source are included in Table 1. Although each  
137 station is allocated a source code, most station series do not come from a single source

138 (see also Jones and Moberg, 2003 and Brohan *et al.* 2006). Real-time monthly  
139 updating has to be based on CLIMAT and MCDW data, and most NMSs do not fully  
140 assess the quality of these data in real time. The CLIMAT and MCDW data are  
141 quality controlled by Meteorological Office staff. Within a few years we would expect  
142 to replace the recent data for some series with data from direct NMS sources or from  
143 the 2001-2010 WWR volume when it becomes available. Further details about  
144 updating are given in Section 2. A station series is, therefore, often based on a  
145 combination of multiple sources: the source code given in Table 1 for each station  
146 indicates only the dominant source code. The ordering of the updating affects (to  
147 some extent) the exact number of sites added from each source.

148

149 Another potential, extensive source of additional data is daily and hourly Synoptic  
150 Reports (SYNOP). SYNOP data also include many other weather variables and are  
151 one of the principal sources of input data for operational weather forecasts. We have  
152 never used data from the SYNOP source in our earlier versions of CRUTEM, and  
153 continue to exclude it from the new database. There are a number of reasons for this.  
154 First, SYNOP data are operational in nature, so are not always extensively quality  
155 controlled by NMSs. Second, their coverage tends to be denser in regions where we  
156 already have many series. Finally, monthly averages derived from SYNOP data are  
157 often found to be biased compared to CLIMAT and MCDW data for several reasons  
158 (see discussion in van den Besselaar *et al.*, 2011). These reasons include: incomplete  
159 numbers of days in each month and the daily maximum and minimum temperatures  
160 not necessarily being the true values in mid and high latitude regions of the world.  
161 Additionally, many countries do not calculate monthly averages from monthly mean

162 maximum and minimum temperatures averages, so potential biases will be introduced  
163 into series updated with SYNOP data.

164

165 *United States*

166

167 Previous versions of CRUTEM incorporated more stations for this region than any  
168 other land area. Our earlier work used almost all the station series available from  
169 CLIMAT and MCDW. The only series we excluded were those that we had deemed  
170 to have non-correctable inhomogeneities which we documented in Jones *et al.* (1985,  
171 1986). For CRUTEM2 (Jones and Moberg, 2003) this was supplemented by an  
172 additional 1023 series for the contiguous US, but these all ended in 1996. We never  
173 sought to update these data for CRUTEM3, as the number reporting from CLIMAT  
174 and WWR for this region was already denser than any other region of the world (see  
175 discussion in Jones and Moberg, 2003). With CRUTEM4 we have replaced the 1023  
176 series with 892 series from the current US Historical Climatic Network (USHCN,  
177 which contains 1218 stations for the contiguous United States, see code 44 in Table 1)  
178 described by Menne *et al.* (2009). The version we have used includes adjustments for  
179 time of observation bias and site relocations (see details in Menne *et al.*, 2009). As  
180 many of the additional USHCN series (i.e. the 1220 minus the 892) report through  
181 CLIMAT or MCDW, we have replaced our original series for these locations with  
182 USHCN data. With both additions we had to ensure that no data series appeared  
183 twice. Additionally, the earliest year in all the USHCN series is 1895, so in order not  
184 to lose any useful 19th century data from the series we replaced, we compared  
185 USHCN series with those from the replaced set during the 1895-1900 period and kept  
186 any pre-1895 data where there was no step jump in 1895. Of the 892 USHCN stations

187 incorporated into CRUTEM4, 525 stations had additional years added before 1895.  
188 The USHCN data we use will be periodically updated from the above source. Later  
189 we will show that the contiguous US has only a negligible impact on average NH  
190 temperatures, by removing all station data from this region.

191

### 192 *Russian Federation*

193

194 Monthly temperature time series for 475 stations were obtained from the All Russian  
195 Research Institute of Hydrometeorological Information - World Data Center (RIHMI-  
196 WDC, see Code 43 in Table 1). We compared these data series with those we already  
197 held and identified three groups of stations: those in common to both datasets (131),  
198 those only in the CRUTEM database and those only in the RIHMI-WDC dataset  
199 (344). The latter group were incorporated into CRUTEM4, and those stations unique  
200 to CRU were retained. For the 131 stations in common, comparison revealed  
201 differences for some of the series. The differences were of two kinds: (1) systematic  
202 offsets between the data series (consistently differing for different months of the year)  
203 very suggestive of homogeneity adjustments having been applied to RIHMI-WDC  
204 data and (2) apparently random differences. We are confident that the systematic  
205 offsets were applied to the data obtained from RIHMI-WDC rather than to our  
206 CRUTEM data, since the latter come from earlier World Weather Record (WWR)  
207 sources and we applied few adjustments to former Soviet Union (fUSSR) data in the  
208 1980s (see details in Jones *et al.*, 1985, 1986).

209

210 The apparently random differences were also assessed and while the Russian source  
211 mostly seemed to be a more reliable value (compared with neighbouring stations) this



212 was not always the case. We contacted the Russian NMS and sought to find any  
213 documentation about the systematic and random differences. We were not successful  
214 in finding any information for the systematic differences, but received considerable  
215 help with the random ones. At the end of the exercise, the number of sites in  
216 CRUTEM4 was increased by 344 (i.e. the number in the third category above). For  
217 some other sites, the majority of the series came from this source, so these are also  
218 classified as source 43 (see Table 1).

219

#### 220 *Former Soviet Union*

221

222 For countries entirely within the former Soviet Union (fUSSR) we updated data from  
223 daily data from 223 locations in the fUSSR, also downloaded from RIHMI-WDC  
224 (Code 51 in Table 1). We downloaded series from 1990 onwards (for series already in  
225 the CRUTEM database) which offered useful updates, recalculating monthly averages  
226 from the daily data in the archive. Most of these series are within Russia, but there  
227 were series for other fUSSR countries.

228

229 Additionally for central Asian countries within the fUSSR, we added in additional  
230 data from the National Snow and Ice Center (NSIDC) in Boulder, CO, choosing only  
231 stations for which we already had some temperature data (Williams and Konoyalov,  
232 2008; see Code 50 in Table 1). The records for 61 series within Kazakhstan,  
233 Kyrgyzstan, Tajikistan, Turkmenistan, and Uzbekistan were extended and/or  
234 improved (fewer missing values).

235

#### 236 *Canada, Australia and New Zealand*

237

238 In both our previous two versions, CRUTEM2 (Jones and Moberg, 2003) and  
239 CRUTEM3 (Brohan *et al.*, 2006) we have incorporated Canadian station temperature  
240 series which have been tested for homogeneity and adjusted for discontinuities due to  
241 site relocations and changes in observing procedures (Vincent and Gullett, 1999 and  
242 Vincent *et al.*, 2002). The convention followed by CRU in the 1980s (e.g. Jones *et al.*,  
243 1985) was that all necessary homogeneity adjustments were applied to the earlier part  
244 of a station timeseries, so that ongoing updates could be appended to the modern end  
245 of the series without the need for them to be adjusted. The adjustments applied by  
246 Vincent and Gullett (1999) and Vincent *et al.* (2002) have not followed this  
247 convention. Some minor further adjustments have been applied to the data since its  
248 last update in 2008 (see Code 42 in Table 1) to address the change in observing time  
249 at airport stations in the eastern regions of the country (discussed briefly in Brohan *et*  
250 *al.*, 2006). We apply these adjustments, therefore, to real-time CLIMAT updates for  
251 sites in this region prior to appending them to the modern end of a series, so that they  
252 are homogeneous with the past data.

253

254 The station data we are using for Australia and New Zealand were discussed briefly in  
255 Brohan *et al.* (2006). Source details (web sites or literature references) for these and  
256 other groups in this section are given in Table 1 (codes 40 and 41). For CRUTEM4,  
257 we downloaded these homogenized data again and checked against what we had,  
258 incorporating all the changes made in Australia and New Zealand.

259

260 *Arctic*

261

262 Bekryaev *et al.* (2010) analyzed recent trends in Arctic temperatures. In order to  
263 improve coverage across the Eurasian and North America parts of the Arctic, they  
264 have gained access to more series (with respect to the series already in CRUTEM3)  
265 from the region. This dataset was compared with the CRUTEM database (after the  
266 inclusion of the additional Russian and Canadian data discussed earlier). From this  
267 source 125 stations were new to CRUTEM (coming mainly from Alaska, Canada and  
268 Russia, but Greenland is considered separately later). Additionally many of the other  
269 records extended some series and/or made some series more complete, so were added  
270 where there was good overlap agreement. It may seem somewhat surprising that there  
271 are more data than analysed or available from the Russian and Canadian NMS. This  
272 just illustrates that personal contact has the potential to elicit additional sources  
273 beyond those that an NMS makes available over WMO systems such as CLIMAT or  
274 via its web site. Also, many NMSs often have sites classified as being first- or second-  
275 order stations or being climatological or agri-climatological stations, so some series  
276 may not be available in near-real time to the NMS. Such sites may be considered not  
277 available to be transmitted over CLIMAT, so are not made available for other sorts of  
278 international exchange.

279

### 280 *Greater Alpine Region*

281

282 This is a network of 141 stations for the Greater Alpine Region (GAR) developed  
283 during a number of projects led by the Austrian Meteorological Service. Many of the  
284 data series extend back to the 18th century and cover Austria, Switzerland, Slovenia,  
285 Croatia and parts of France, Italy, Germany, the Czech Republic, Slovakia and  
286 Hungary. These data have been extensively assessed for long-term homogeneity (see

287 Auer *et al.*, 2001) and have additionally been adjusted for biases in the period before  
288 the introduction of ‘screened’ thermometer housing (Böhm *et al.*, 2010). The issue of  
289 the introduction of thermometer screens will be discussed further in section 4.  
290 Temperature data for 107 stations were added. The additional 34 are either  
291 precipitation-only measuring stations or their data were of insufficient length for  
292 inclusion. The HISTALP source (Code 49 in Table 1) does not include the Swiss  
293 stations. These were added from a different source (Code 52 in Table 1).

294

#### 295 *Greenland, Faroes and Denmark*

296

297 Long mean temperature station series for Denmark (5), Faroes (1) and Greenland (7)  
298 were updated or added using data from recently completed Danish Meteorological  
299 Institute (DMI) reports (Cappeln *et al.*, 2010, 2011) and (Vinther *et al.* 2006). The two  
300 DMI reports are given separate source codes (47 and 48 in Table 1).

301

#### 302 *WWR Decadal Volumes*

303

304 When the 1991-2000 WWR decade was received (~2006) we were able to infill  
305 significant numbers of missing values in our CRUTEM3 monthly series. The 1961-  
306 1990 volumes had been assessed for additional series in the course of the development  
307 of CRUTEM2 and CRUTEM3 (Jones and Moberg, 2003 and Brohan *et al.*, 2006), but  
308 the additional data from the 1991-2000 volumes were not always included. During the  
309 development of the CRUTEM4 database, it was realized that some of the series we  
310 had which came from Global Historical Climatology Network version 2 (GHCNv2,

311 see Jones and Moberg, 2003) did not always include data from earlier WWR decades  
312 (for 1961-70, 1971-80 and 1981-90).

313

314 GHCNv2 kept all sources of data separately for each station by the use of version  
315 numbers. The problem of deciding which might be the best source for a given year  
316 has been partly resolved within GHCNv3 (<http://www.ncdc.noaa.gov/ghcnm/v3.php>)  
317 as a single series has been developed for each location. We say partly, as there has to  
318 be some automation in any decision and without manually checking each it is unlikely  
319 to be the best source in every case. As we noticed that some of our station series did  
320 not include the WWR data (which we almost always deemed to be of better quality  
321 than that received over CLIMAT and/or MCDW) we checked the data series we had  
322 for the three decades (1961-90) against the WWR data. For a few stations we added in  
323 the WWR source (Code 37 in Table 1) mainly for series from South America, Africa,  
324 southern and eastern Asia, parts of Europe and for many island groups around the  
325 world.

326

327 *How many CRU homogenized series remain in the CRUTEM4 database?*

328

329 Inhomogeneities may be introduced into a station series by a variety of effects, such  
330 as changes in instrument location, local environment, exposure or recording practices  
331 (issues discussed in Trewin, 2010). An early major effort by CRU in the 1980s  
332 identified, and attempted to correct where possible, significant inhomogeneities by  
333 inspection of data series and, particularly, by comparison with multiple neighbours.  
334 The results were fully documented in Jones *et al.* (1985, 1986). One conclusion from  
335 this exercise was that the large-scale (hemispheric and global series) were little

336 affected by the application of the adjustments to remove inhomogeneities, partly  
337 because positive and negative adjustments tend to cancel each other out (Figure 4 of  
338 Brohan et al., 2006). The adjustments did make improvements to the temperature  
339 series for individual grid boxes, but no further inhomogeneity adjustments were  
340 applied by CRU following those reported in the 1980s. Instead, we recommended  
341 (e.g. Jones and Moberg, 2003) that homogeneity assessments, and the development of  
342 adjusted series, should instead be undertaken by NMSs because they would in most  
343 cases have access to additional meta-data and additional measurement series that  
344 would allow more accurate results to be achieved. WMO has a number of documents  
345 detailing the need for homogeneity adjustments (Aguilar *et al.*, 2003 and WMO,  
346 2011).

347

348 Following on from our recommendation, we have replaced some of our data series  
349 (including some that we had adjusted in the mid-1980s) with the results of a number  
350 of international or national NMS-led homogeneity projects (Table 1). The number of  
351 CRU-adjusted series in the mid-1980s was 312. With all the additions for this analysis  
352 there are now 219 in CRUTEM4. This reduction has come about for the following  
353 reasons: 68 series have been replaced with newer series, 15 did not have 1961-90  
354 normals so are not used, and 10 have been removed. This does not mean that there are  
355 fewer adjusted series within the database, just that the adjustments have been  
356 undertaken by NMSs. The incorporation of the USHCN dataset and the replacement  
357 of the co-located series means we already had reduced the number of contiguous US  
358 station data in CRUTEM4 that were adjusted by CRU in the 1980s.

359

360 For the 219 series that were identified by CRU as in need of adjustment (and which  
361 have not subsequently been replaced by alternative data series), we re-visited the  
362 neighbour comparisons reported in the mid-1980s (Jones *et al.*, 1985, 1986). These  
363 comparisons showed that the adjustments for stations in the Southern Hemisphere  
364 outside of Africa reported in (Jones *et al.*, 1986) had not actually been applied to the  
365 station data used in CRUTEM3. These adjustments have now been applied to the  
366 station data used in CRUTEM4. For Southern Hemisphere stations in Africa, the  
367 comparisons showed that the adjustments had been correctly applied, though the  
368 period of adjustment had been reported incorrectly in Jones *et al.* (1986). The  
369 adjustment was correctly applied to the data prior to the inhomogeneity, though it was  
370 reported that the data after the inhomogeneity were adjusted. The availability of the  
371 station series is discussed in a later section, and will allow further inspection of these  
372 neighbour comparisons and a comparison between the CRUTEM3 and CRUTEM4  
373 station data.

374

375

376 *Updating the series*

377

378 In the course of all the above work, it became apparent that a number of the WMO  
379 Station Identifiers had been changed by the NMSs. Using the latest list of these  
380 identifiers (<http://www.wmo.int/pages/prog/www/ois/volume-a/vola-home.htm>),  
381 some of the CRUTEM3 identifiers were changed to the updated numbers. Changes to  
382 identifiers seem to be made by some NMSs to indicate that the station is no longer a  
383 manned location but has been replaced by an automatic weather station (AWS), but  
384 this is not always the case. The WMO list of station identifiers (referred to as Volume

385 A) is updated at the beginning of every year. It is possible to monitor this and to also  
386 flag up any 'new' WMO identifiers that appear in the monthly CLIMAT updates at  
387 the end of each year. Updating is much easier using current WMO station identifiers.

388

389 CRUTEM4 will continue to be updated in near-real time from CLIMAT and MCDW  
390 sources. These sources provide data for far fewer than the total number of series now  
391 in CRUTEM4, which is over 5500 (including the additional 892 from USHCN).

392 Updating will, therefore, lead to a significant drop in stations beyond 2010 (between  
393 them the two sources have a maximum of about 3000 stations) if only these sources  
394 are used. All the series discussed above should be updated on web sites, but with  
395 different schedules. We intend to periodically check the web sites and update the  
396 series every two years for those that are not updated in a more routine fashion. For  
397 Australia and Greenland it is likely these can be incorporated at the same stage as  
398 MCDW (i.e. 3-4 months behind the real-time update using CLIMAT). USHCN data  
399 will be updated at the end of each year. For the other regions/countries discussed  
400 updating should be possible annually or every 2-3 years. There are GCOS initiatives  
401 to request more countries to release many more of their national series over the  
402 CLIMAT system. Several European countries (e.g. Germany and Spain) have already  
403 begun to do this. In terms of the global average it would make most difference if  
404 Russia and Canada also did this as their areas are large.

405

406 *Availability of the station series*

407

408 Given the importance of the CRUTEM land temperature analysis for monitoring  
409 climate change (e.g. Trenberth *et al.* 2007), our preference is that the underlying



410 station data, and software to produce the gridded data, be made openly available. This  
411 will enhance transparency, and also allow more rapid identification of possible errors  
412 or improvements that might be necessary (see e.g. the earlier discussion of  
413 homogeneity adjustments in the SH).

414

415 Nevertheless, we are reliant on obtaining some data from NMSs and must be careful  
416 not to jeopardise our continued access to these data. Apart from data obtained from  
417 public sources, some data in our database was obtained without a clear indication of  
418 our freedom to make it openly available or perhaps with informal agreements not to  
419 do so. In November 2009, the UK Met Office wrote on our behalf to all NMSs to  
420 determine if we could release the versions of their monthly temperature series that we  
421 held. Of the about 180 letters, we received 62 positive replies, 5 negative replies and  
422 the remainder did not reply. For some of the positive replies conditions were imposed,  
423 basically of two kinds: (1) please point users to the NMS web site where they might  
424 gain access to more or improved station series, or (2) permission to release some but  
425 not all the series.

426

427 Not content to withhold data for those countries for which we had either no reply or a  
428 negative reply from their NMS, we have compared station locations and data with  
429 those available in GHCNv3. Where the locations and most of the data agreed, we  
430 deemed that we could release these data because they were already available through  
431 GHCNv3. WMO Resolution 40  
432 ([http://www.wmo.int/pages/about/Resolution40\\_en.html](http://www.wmo.int/pages/about/Resolution40_en.html)) requires that all monthly-  
433 mean temperature data “necessary to provide a good representation of climate” should  
434 be freely available, though the extent to which this is enforced in cases where NMSs

435 do not make this data available is unclear. Furthermore, this is an agreement signed  
436 by the NMSs and WMO and not with other third parties. Data from the WMO's  
437 RBCN (Regional Baseline Climatological Network) should be freely available  
438 however they have been obtained. Additionally, data from CLIMAT, MCDW and  
439 WWR are freely available, just in different formats.

440

441 As a result of these efforts, we are able to make the station data for all the series in the  
442 CRUTEM4 network freely available, together with software to produce the gridded  
443 data (<http://www.cru.uea.ac.uk/cru/data/temperature/> and  
444 <http://www.metoffice.gov.uk/hadobs/> ). Note that in many cases these station data  
445 have been adjusted for homogeneity by NMSs; in order to gain access to the original  
446 raw (i.e. as measured data or daily and sub-daily measurements) it will be necessary  
447 to contact each NMS.

448

### 449 **3. Transformation of the station data to a regular grid**

450

451 All analyses of large-scale temperatures require strategies to reduce the biases in (e.g.)  
452 hemispheric averages and principal component patterns that would arise from uneven  
453 station density (i.e. biased to regions where station density is high) or from temporal  
454 variations in data coverage (e.g. a reduction in data from regions with cooler average  
455 temperature). These strategies typically include the representation of temperature  
456 anomalies on a regular grid (Peterson *et al.*, 1998): the most widely used method is  
457 termed the climate anomaly method (CAM, e.g. Jones, 1994), with the other two  
458 being the reference station method (RSM, Hansen *et al.*, 2010) and the first difference  
459 method (FDM, Peterson *et al.*, 1998).

460

461 Direct comparisons of the three approaches with the same basic data were discussed  
462 by Peterson *et al.* (1998) and Vose *et al.* (2005). Possible differences between the  
463 techniques and advantages/disadvantages of each are also discussed by Jones *et al.*  
464 (1999). In this study we use the CAM approach, which requires reducing all the  
465 station temperature data to anomalies, from a common period such as 1961-90 on a  
466 monthly basis. Grid-box anomaly values were then produced by simple unweighted  
467 averaging of the individual station anomaly values within each grid box.

468

469 The main disadvantage of CAM is that stations must have enough years with data  
470 within the 1961-90 period in order to be used. For some stations with incomplete data  
471 for 1961-90 it will be possible to use published 1961-90 normals (WMO, 1996),  
472 although care is required when doing this.

473

### 474 **3.1 Development of 1961-90 normals and outlier checks**

475

476 Monthly averages for 1961-90 (the latest WMO normal period) were calculated from  
477 the enhanced station dataset, accepting an average if at least 14 years of data are  
478 available. For stations where this was not possible, WMO (1996) normals were used,  
479 if available, for all months. For a further set of stations, 1961-90 normals were  
480 estimated using the 1951-70 period and adjusted by the difference between the grid-  
481 box averages for 1961-90 and 1951-70 from the earlier gridded data (see discussion  
482 in Jones and Moberg, 2003). Altogether 1961-90 normals were developed for 4842  
483 stations, of which 4625 were calculated directly, 151 from WMO (1996), and 66  
484 using 1951-70 averages. Temperature data for the remaining 741 stations without

485 1961-90 normals were not used in the subsequent gridding. In terms of station years  
486 (where a year with at least nine valid months counts as a year) over the 1850-2010  
487 period, the amount of data not used totals only 4% of the overall station-year total.

488

489 The choice of 1961-90 rather than a later 30-year period (e.g. 1971-2000 or 1981-  
490 2010) ensures that as much data as possible are used. There would be a much greater  
491 amount of unused data if a more recent 30-year period were used. The period 1961-90  
492 also ensures consistency with earlier analyses. Differences in base periods can also  
493 confuse users, especially the media (see Arguez and Vose, 2011).

494

495 Section 2 has extensively discussed the sources of the additional temperature data.  
496 Although many of the sources have undergone detailed homogeneity testing there is  
497 still the possibility of outliers, which might induce a longer-lived influence if they  
498 occur during the 1961-90 period. To assess outliers we have also calculated monthly  
499 standard deviations for all stations with at least 15 years of data during the 1941-90  
500 period. If a station does not have standard deviation values then the station is not used  
501 in the subsequent gridding. This removes an additional 59 series, but all of these are  
502 relatively short in duration. All outliers in excess of five standard deviations from the  
503 1961-90 mean were compared with neighbours and corrected or set to the missing  
504 code. After this step the 1961-90 normals and the 1941-90 standard deviations were  
505 recalculated. In the subsequent gridding (next section) outliers in excess of 5 standard  
506 deviations are omitted. As there are no outliers for 1961-90 values this step only  
507 applies to years before 1961 and after 1990.

508

509 **3.2 Gridding and number of stations used through time**

510

511 Each of the 4842 stations with normals were first associated with their 5° by 5°  
512 latitude/longitude grid box and grid-box anomaly values calculated by simple  
513 averaging of all available station anomaly values within each grid box for all months  
514 1850-2010. All station outliers in excess of five standard deviations were omitted  
515 from the analysis. Apart from retaining the grid-box temperature values, we also  
516 retain the number of stations per grid box. This latter value will be necessary to  
517 calculate a ‘variance-adjusted’ version of the gridded dataset following the approach  
518 outlined in Jones et al. (2001), Jones and Moberg (2003) and Brohan *et al.* (2006).  
519 The approach used adjusts the variance of each grid-box time series to be compatible  
520 with the infinitely-sampled grid box (see Jones and Moberg, 2003 and Brohan *et al.*  
521 2006). This version of the dataset is referred to as CRUTEM4v with the unadjusted  
522 version as CRUTEM4. CRUTEM4v reduces the impact on each grid-box time series  
523 of changing station availability through time. CRUTEM4v is recommended for use  
524 for small regions and individual grid-box time series, especially if users wish to  
525 consider changes in variance and/or extremes (at the monthly timescale – see for  
526 example Figure 5 of Jones *et al.* 1999). At the hemispheric scales, that will be  
527 discussed in the next section, there is very little difference between averages  
528 calculated with CRUTEM4 and CRUTEM4v. Brohan *et al.* (2006) additionally  
529 discusses reasons for appropriate usage of these two versions of the dataset. In the  
530 subsequent analyses in the next section we will use CRUTEM4 to calculate all the  
531 hemispheric and any regional series used.

532

533 Before moving to the next section, we first explain changes in the number of stations  
534 available through time. Figure 1 illustrates both the number of stations used each year

535 and the % area coverage this produces for each hemisphere. The results are compared  
536 with the earlier analysis using CRUTEM3 in Brohan *et al.* (2006). The improvement  
537 in the station numbers is more dramatic for the NH compared to the SH. The big  
538 increase in 1895 represents the starting date for many of the stations in the contiguous  
539 United States, while there is a similar increase in station numbers in 1951 when the  
540 first of the 10-year WWR volumes became available. Numbers of stations reduce  
541 from a peak in the 1960s, occurring in a series of steps at the end of each decade  
542 indicative of the cause being changes in station availability in the WWR volumes. For  
543 the SH, there are few improvements in coverage, the main ones being due to  
544 improved use of the 1971-80 WWR volumes and the inclusion of more data after  
545 2000.

546

547 In terms of percentage area coverage, the improvements have had a smaller effect  
548 than in terms of station numbers, with the increase being greater in the NH compared  
549 to the SH. The small step changes at the end of each decade (1980, 1990 and 2000)  
550 are due to the WWR volumes. There are generally enough contiguous US data for  
551 2010 from the CLIMAT and MCDW sources, so the unavailability of USHCN data in  
552 2010 does not affect the area coverage for the NH and the drop in the final year for  
553 the NH is principally due to missing Russian data. There is little change in area  
554 coverage for the SH in CRUTEM4 compared to CRUTEM3.

555

#### 556 **4. Analysis of the enhanced gridded land data**

557

##### 558 **4.1 Hemispheric-scale averages and comparisons with CRUTEM3**

559

560 Hemispheric average time series were produced using cosine weighting of grid-box  
561 values in each hemisphere (Jones, 1994). Averages were calculated for each month  
562 from January 1850 and then seasonal and annual averages calculated using the  
563 hemispheric-average monthly values. Standard three-month climatological seasons  
564 were used, with December of the previous counting towards the winter value for the  
565 current year. As December 1849 is not available all the seasonal and annual series for  
566 the Northern Hemisphere (NH) begin in 1851. For the Southern Hemisphere (SH), the  
567 first year is taken as 1856. Before this date there are less than 5 stations with data.  
568 Beginning with 1856 the number of available stations in the SH increases to 5 series,  
569 reaching 10 by 1860 (see Figure 1). In later figures (Figures 5b and 9b) we will  
570 highlight that uncertainty ranges of SH averages, calculated from so few stations, are  
571 substantial.

572  
573 Figure 2 shows the seasonal and annual values for the NH and SH and an annual  
574 series for the global land together with 10-year Gaussian smoothed series. For  
575 comparison the smoothed CRUTEM3 series are also shown to see the impact of the  
576 additional or replaced series in the station database. The global land series is  
577 computed by weighting the two hemispheres approximately in proportion to the areas  
578 of their land masses (i.e.  $\text{Global} = [(2/3)\text{NH} + (1/3)\text{SH}]$ ). This weighting is different to  
579 the equal hemispheric weighting applied by Brohan *et al.* (2006) for CRUTEM3. The  
580 new weighting has also been applied here to CRUTEM3.

581  
582 The differences between the two sets of smoothed lines indicate excellent agreement  
583 from 1880 up to 2000 for the NH. At this decadal timescale, all the additions have  
584 made no discernible differences between the analyses, an initial indicator that for

585 hemispheric-scale averages the analysis is very robust. CRUTEM4 is very slightly  
586 warmer since 2000 for the NH for the year and all seasons except summer. The likely  
587 reason for this is the additional data in the Arctic (particularly Russia) and this will be  
588 further investigated in the next section. Prior to 1880, CRUTEM4 is slightly cooler  
589 than CRUTEM3, more so in winter (DJF) and spring (MAM) than the other seasons.  
590 Again later analyses will be suggestive that this results from the additional Russian  
591 series. For the SH, differences between CRUTEM4 and CRUTEM3 are slightly  
592 greater earlier in the series and extend up to the early 20th century, particularly in the  
593 austral winter (JJA). CRUTEM4 is cooler than CRUTEM3 during 1861-1910, the  
594 exact period depending on the season. CRUTEM4 is very slightly warmer than  
595 CRUTEM3 since about 2005. Possible reasons for the differences in the 19th century  
596 in the SH will be investigated in the next section. Uncertainty ranges, calculated using  
597 the same approach as Brohan *et al.* (2006) will be shown in later figures (on the  
598 decadal scale in Figure 5 and on the interannual timescale in Figure 9).

599

600 For the NH, year-to-year variability is greatest during winter and least in summer.  
601 The slightly greater variability prior to 1880 in all seasons (except summer) is more  
602 likely to be due to sparser coverage than a real feature. This greater variability is  
603 marginally reduced by adjusting the individual grid-box time series for changing  
604 station data contribution (introduced in Jones *et al.*, 2001 and the dataset produced  
605 here called CRUTEM4v) but the variance of regional averages has not been similarly  
606 adjusted for reduced grid-box availability. For the SH, year-to-year variability is more  
607 similar between the seasons.

608



609 All seasons and the annual series for both hemispheres show comparable century-  
610 scale warming from the beginning of the 20th century but there are differences in  
611 timing between them. Warming is significant in all seasons and annually for 1861-  
612 2010, 1901-2010 and 1979-2010 (except for May and December for the SH for 1979-  
613 2010). Table 2 provides the warming explained by a least squares linear fit to the  
614 monthly series for these three periods. Warming in all three periods tends to be greater  
615 in the NH compared to the SH, and the NH warming has a much more marked  
616 seasonal character than that for the SH. Table 2 also includes calendar year average  
617 values for CRUTEM3. CRUTEM4 warms more than CRUTEM3 for all three periods  
618 due to the cooler values before about 1880 (particularly in the SH) and slightly  
619 warmer values in the NH since about 2000.

620

621 The marked seasonality of the warming for 1861 to ~1900 (estimated by comparing  
622 the NH trend differences in Table 2 for 1861-2010 and 1901-2010) may be artificial  
623 due to the possible impacts of direct sunlight on the instruments, prior to the  
624 development of Stevenson-type screens, in higher northern latitudes during summer  
625 (see earlier discussion in relation to the HISTALP dataset, Böhm *et al.*, 2010). The  
626 addition of the newly adjusted series in the GAR may be the reason for the slight  
627 difference between CRUTEM3 and CRUTEM4 before 1860 when coverage is sparse  
628 outside Europe. Böhm *et al.* (2010) and Brunet *et al.* (2011) are suggestive of this  
629 issue being much wider in scale across the mid and high latitudes of the NH.

630 Alternatively, if this seasonal contrast is real, then it implies a marked change in  
631 continentality (greater winter/summer temperature differences) over part of the NH  
632 prior to 1880. Further work is required, but the studies reported above are clearly  
633 suggestive of screen exposures being the more likely cause.

634

#### 635 **4.1.1 Spatial comparisons between CRUTEM3 and CRUTEM4**

636

637 In this section we compare spatial patterns between CRUTEM4 and the earlier  
638 CRUTEM3 dataset. In Figure 3, we plot the annual temperature anomaly for the  
639 decade 2001-2010, with respect to our base period of 1961-90, for both analyses and  
640 their difference. This difference clearly illustrates the improvement (i.e. outlined in  
641 black in panel (c)) in coverage in CRUTEM4 compared to CRUTEM3, particularly  
642 across the higher latitudes of Eurasia and North America. As this expansion of spatial  
643 coverage in the Northern Hemisphere has contributed to warmer temperatures in  
644 CRUTEM4, the 2001-2010 decade is warmer than CRUTEM3 for the NH ( $0.80^{\circ}\text{C}$   
645 compared to  $0.73^{\circ}\text{C}$ ). There is much less coverage change across the Southern  
646 Hemisphere and the two corresponding averages are  $0.43^{\circ}\text{C}$  for CRUTEM4 and  
647  $0.40^{\circ}\text{C}$  for CRUTEM3. Panel c of Figure 3 is mostly green, but differences do occur,  
648 particularly over the contiguous United States and Australia, where we have made  
649 many changes to the station data used (see discussion in Section 2).

650

651 In Figure 4, we show linear trend maps for annual temperature averages for 1951-  
652 2010 for both analyses and the difference. The panel (b) for CRUTEM4 shows the  
653 improvements in coverage, which can also be seen in panel (c) by the grid boxes  
654 outlined in black. Of the grid boxes in common between the two analyses, 499 boxes  
655 differ within  $\pm 0.2^{\circ}\text{C}$  in their total trends over the 60-year period, with 86 boxes  
656 indicating that the CRUTEM4 trend was  $> 0.2^{\circ}\text{C}$  more than CRUTEM3 and 41 with  
657 CRUTEM3 having  $> 0.2^{\circ}\text{C}$  more warming than CRUTEM4.

658

659 **4.2 Assessment of the robustness of hemispheric averages omitting large**  
660 **numbers of stations**

661

662 In the previous section, we illustrated the robustness of the large-scale averages by  
663 comparing this new version of the dataset (CRUTEM4) with the previous  
664 (CRUTEM3). Differences are relatively minor and well within the error ranges  
665 estimated by the earlier Brohan *et al.* (2006) study and re-calculated here. In this  
666 section we expand on this, by using considerably less station data while still  
667 producing essentially the same hemispheric series at the decadal time scale. We do  
668 this by using mutually exclusive subsets of the overall station data and secondly  
669 omitting all the station data from some large countries.

670

671 **4.2.1 Using only a subset of the station data**

672

673 For this exercise we took the 5583 stations and separated them into five subsets each  
674 containing a unique 20% of the data. The ordering of the stations in the station file  
675 uses the World Meteorological Organization (WMO) numbering system, with the  
676 exception of the 892 USHCN stations, which have been placed at the end. The first  
677 subset contained stations ordered 1, 6, 11, 16... etc in the list. The second contained  
678 stations ordered 2, 7, 12, 17...etc, with the fifth set containing the stations ordered 5,  
679 10, 15, 20... In this separation into five subsets, no account was taken of whether the  
680 station had sufficient data for the 1961-90 reference period. Therefore, after removal  
681 of those station records with insufficient data during the 1961-90 reference period, the  
682 size of each subset may differ slightly. It will also differ back in time, since record  
683 length is also not considered when forming the subsets. For each subset the 20% of

684 the data were gridded using the same method as described in section 3.2 and  
685 hemispheric seasonal and annual averages calculated as stated in section 4.1. Figure 5  
686 shows the hemispheric averages from the five networks, by season and year, together  
687 with that of the complete CRUTEM4 network (i.e. 100%). Differences between the  
688 five networks are barely noticeable after the 19th century for the NH. For the SH there  
689 are larger differences, but for both hemispheres they are well within the error ranges  
690 calculated by Brohan *et al.* (2006) approach. For the 19th century, differences are  
691 only marked in the Southern Hemisphere, where coverage is poorer than in other parts  
692 of the world.

693

694 The results shown in Figure 5 are not unexpected. A similar assessment of this kind  
695 was undertaken by Parker *et al.* (2009) using two networks of offset and non-adjacent  
696  $5^\circ$  by  $5^\circ$  latitude/longitude grid boxes. The differences in the 19th century in the SH  
697 for Parker *et al.* (2009) were larger, but that was due to an even smaller set of stations  
698 (and hence grid boxes) being used. The simple reason that a small network of well-  
699 located sites can closely reproduce the series derived from a much greater station  
700 network is due to there being a limited number of independent spatial degrees of  
701 freedom (see Jones *et al.*, 1997, where this concept was explored in considerable  
702 detail). That paper concluded that hemispheric and global average temperatures (at  
703 annual timescales and above) could be reliably estimated (i.e. within the error ranges  
704 shown in Figure 5) by as few as 50-100 sites. Reliably here means within the error  
705 range estimated by Brohan *et al.* (2006). The greater differences during the 19th  
706 century, especially for the SH, arise because the station network is so limited then,  
707 that separating it into five subsets results in each subset having insufficient stations to  
708 obtain a reliable SH temperature estimate. This point is discussed more in section 4.3.

709

710 There are a number of obvious asides that can be made once the concept is realised.  
711 For example, if resources became available for digitization of early temperature data  
712 then these would be best targetted at the data sparse regions, particularly in the  
713 Southern Hemisphere and the tropics. These issues are discussed further in Jones and  
714 Wigley (2010).

715

#### 716 **4.2.2 Omitting large countries**

717

718 Another possible concern is that the CRUTEM4 station database might be unduly  
719 dominated by data from particular countries or regions. Gridding the data overcomes  
720 this to a large extent but the robustness of the CRUTEM4 data to this issue can  
721 additionally be assessed by considering the effect of removing series from different  
722 countries of the world. In the first part of this exercise we took the 5583 stations and  
723 separately removed all stations in the contiguous United States and Australia. Figure  
724 6 shows the NH seasonal and annual averages based on all stations compared to  
725 averages omitting sites from the contiguous United States. The effect here is only  
726 noticeable in the 19th century and then mostly only in winter (DJF) and spring  
727 (MAM). In these seasons, and to some extent in the annual mean, omitting the  
728 contiguous US data lowers the earliest temperature estimates, implying that the mean  
729 US temperature anomalies are slightly warmer than the mean for the rest of the NH.  
730 Figure 7 shows similar plots omitting all Australian stations. This is a much more  
731 severe test than in Figure 6, as Australia is a much larger component of the SH  
732 landmass than the contiguous USA is of the NH. Removing Australian stations has a  
733 larger effect, particularly prior to 1900, but as with Figure 5, if error ranges were

734 plotted these would easily encompass the differences seen. The sign of the difference  
735 arising from the removal of Australian temperatures varies between seasons and with  
736 time, indicating no systematic difference with the mean of the rest of the SH. In the  
737 annual mean, removing Australian data warms the SH mean around 1860 and in the  
738 1940s, but cools it during the 1880s.

739

740 Although both Australia and the contiguous United States are very large areas, we  
741 now go a stage further and omit two larger regions: first Russia and second the former  
742 Soviet Union (fUSSR). The results are shown in Figures 7 and 8. As expected the  
743 effects of removing fUSSR are slightly more apparent than when removing just  
744 Russia, though the periods of the differences tend to be similar (as Russia was a large  
745 component in terms of area of the fUSSR). Removal of either tends to make the NH  
746 slightly warmer in the 19th century, particularly in the winter (DJF) and spring  
747 (MAM) seasons. As we have added large numbers of extra stations in both Russia and  
748 the Arctic (particularly the Russian Arctic) this is probably the principal reason for the  
749 slightly cooler NH temperatures during the 19th century and to a lesser extent the  
750 slightly warmer temperatures in the last ten years in CRUTEM4 compared to  
751 CRUTEM3. The similarity of the seasonal differences between Figure 1 and Figures 7  
752 and 8 is very suggestive of this being the most likely cause. Additional data in other  
753 parts of the world (principally Europe in the 19th century) are also probably factors.  
754 The negligible effects of omitting large regions (and consequently large numbers of  
755 stations) are a direct result of the remaining stations still being adequate for  
756 monitoring hemispheric averages by sampling the most important spatial degrees of  
757 freedom, across the world's land areas.

758

759 There are also issues with the exposure of early instrumental data prior to about 1910  
760 over parts of Australia (Nicholls *et al.*, 1996). It is important that resources be found  
761 to objectively estimate the necessary adjustments, so that pre-1910 data can be used  
762 with more confidence. Biases due to different exposures of early thermometers are  
763 also important in Europe, particularly for the period before 1870 (Böhm *et al.*, 2010).  
764 Issues with the different exposure properties (from pre-louvred-screen locations) are  
765 only beginning to be incorporated into global temperature databases. Traditional  
766 approaches to station homogenization are unable to detect the problem as all sites  
767 within a region are likely similarly affected by the same problem (see discussion in  
768 Jones and Wigley, 2010). In this study we have included 107 series from the GAR  
769 that have been adjusted to attempt to compensate for changes in exposure, but it is  
770 apparent that stations in other mid and high latitude regions probably need adjustment  
771 during the summer months (typically to cool the earliest temperature estimates  
772 relative to the modern data). For the NH, the effect principally occurs for the period  
773 before about 1880, so the regions of the world where additional assessment is needed  
774 is Europe, Russia and Iceland/Greenland. Canada and Alaska are also likely to be  
775 affected, but there are few stations beginning before 1880. Assessment will be  
776 difficult as all series are likely to be similarly affected. Approaches such as the  
777 rebuilding of the screens from the 19th century (e.g. Brunet *et al.*, 2010) and taking  
778 parallel measurements is a possible avenue to follow.

779

#### 780 **4.3 Comparison of annual hemispheric series with the results of analyses by** 781 **other groups**

782

783 In this section the two hemispheric land-only averages are compared with two other  
784 analyses: series developed by the National Climatic Data Center (NCDC, Smith and  
785 Reynolds, 2008) and the Goddard Institute for Space Studies (GISS, Hansen *et al.*,  
786 2010). Our present study uses a base period of 1961-90 while NCDC currently uses  
787 1901-2000 and GISS 1951-80 for their published series. For direct comparison we  
788 have adjusted both series to our 1961-90 base period on a monthly basis. Figure 9  
789 shows hemispheric seasonal and annual series from CRUTEM4, additionally plotting  
790 decadal-smoothed series for the two US analyses. For both the NH and SH,  
791 CRUTEM4 tends to more closely follow NCDC than GISS, even though all three  
792 show similar amounts of long-term warming since 1880. The reason why CRUTEM4  
793 more closely follows NCDC has been discussed before (Vose *et al.* 2005) and relates  
794 to these two analyses using the same 5° by 5° latitude/longitude grid boxes compared  
795 to the 40 equal area boxes used per hemisphere by GISS. Correlations between  
796 CRUTEM4 and NCDC/GISS are 0.984/0.980 for the NH and 0.950/0.927 for the SH  
797 (for the 1880 to 2010 period) and support the findings of Vose *et al.* (2005).  
798 Differences between the three analyses are greater in the SH compared to the NH,  
799 particularly before about 1920. Differences are not sustained right back to the start of  
800 records, however, as the lines move closer together again in the 1880s. The  
801 uncertainty ranges for the SH are larger than the NH due to more missing boxes  
802 (particularly over the Antarctic) and fewer stations per grid box over Africa and South  
803 America than the northern continents.

804

#### 805 **4.4 Comparisons with ERA-Interim and ERA-40 Reanalyses**

806



807 In this section we compare CRUTEM4 at the hemispheric resolution with similar land  
808 averages calculated from two versions of the European Centre for Medium-Range  
809 Weather Forecasting Reanalyses (ECMWF) Reanalyses (ERA-40 and ERA-Interim).  
810 ERA-40 covers the period 1958-2001 and ERA-Interim (which uses 4D variational  
811 assimilation compared to the 3D schemes in ERA-40) the period from 1979 to 2010.  
812 For a discussion of the ECMWF Reanalyses see Simmons *et al.* (2004, 2010) and  
813 Uppala *et al.* (2005). A common period for both Reanalyses is 1981-2000 so we  
814 reduce their absolute land temperature values to anomalies from this base period.  
815 Figure 10a shows seasonal and annual comparisons between the two Reanalyses and  
816 CRUTEM4. As with the earlier plots we show seasonal and annual values of  
817 CRUTEM4 (from the 1961-90 base period) with the two ECMWF Reanalyses as  
818 smoothed series using a 10-year Gaussian smoother. For the NH, both ERA-40 and  
819 ERA-Interim track one another very well over their period of overlap (1979-2001)  
820 and are offset from CRUTEM4 by an amount that relates to the difference between  
821 the 1961-90 and 1981-2000 periods. In Figure 11 we compare ERA-Interim with  
822 CRUTEM4 for the Northern Hemisphere on the monthly timescale from 1979. For  
823 this plot, the base period of 1979-2010 is used for both series. The agreement between  
824 the two series is excellent. ERA-Interim warms slightly more than CRUTEM4 over  
825 this period, which is probably due to greater warming in the Arctic land grid boxes in  
826 ERA-Interim that are missing in CRUTEM4.

827

828 For the SH in Figure 10b, there are marked differences between both Reanalyses  
829 during their overlap period. ERA-Interim is closer to CRUTEM4 but the similarity of  
830 the smooth curves is markedly less good particularly in the austral autumn (MAM)  
831 and winter (JJA). ERA-40 is further offset from CRUTEM4 before about 1980 in all

832 season except austral summer, and this is due to a cold bias in the climate model used  
833 by both Reanalyses over the Antarctic (Uppala *et al.*, 2005). To illustrate this further,  
834 we have calculated averages for both the SH 0-60°S and for the Antarctic (60-90°S)  
835 for all three series (Figure 12). For ERA-Interim, the time series agreement (for the  
836 SH 0-60°S) is almost as good as the NH land but for ERA-40, there is a significant  
837 divergence before the early 1970s with warmer ERA-40 temperatures in all seasons.  
838 This difference was commented upon by Simmons *et al.* (2004) and was shown to be  
839 due to ERA-40 being given little input data for Australia prior to the early 1970s.  
840 With little input data to correct model biases, the Reanalyses tends to the model  
841 simulation which for Australia is a model that is biased warm (see further discussion  
842 in Simmons *et al.*, 2004 and Uppala *et al.*, 2005). For the Antarctic, the cold bias in  
843 the climate model used by ERA-40 is clearly evident, particularly so in all seasons,  
844 although it is smaller in the austral summer (DJF). Figure 13 repeats Figure 11 but for  
845 the SH 0-60°S showing good agreement between CRUTEM4 and ERA-Interim, but  
846 this is less good than the NH for the 12-month Gaussian smoothed lines.

847

## 848 **5. Conclusions**

849

850 In this paper we have detailed the developments to the CRUTEM4 dataset available  
851 from the Climatic Research Unit. The improvements to the quality of the grid-box  
852 dataset have been made possible by better availability of the basic station data. The  
853 homogeneity of the station data has been improved by investments of effort by a  
854 number of research groups and particularly by a number of NMSs around the world.  
855 We undertook much homogeneity work in the 1980s, but recommended at that time  
856 that this work be best undertaken by NMSs. This is beginning to come to fruition and

857 we hope that more can find the resources to complete this task. In the 1980s, we  
858 adjusted 312 station series (then about 10% of the overall total of stations).  
859 Replacement of many of these series by improvements from NMSs means that there  
860 are only 219 stations (4.6% of the new total of stations with normals) that we adjusted  
861 almost thirty years ago. The major bias issue that still affects the dataset relates to  
862 exposure of the thermometers before louvred screens were introduced between 1870  
863 and 1880. Three studies (Böhm *et al.*, 2010, Brunet *et al.*, 2011 and Nicholls *et al.*,  
864 1996) have considered the problem (summer temperatures are probably biased warm  
865 by up to 0.5°C) and provided adjusted data in the case of the Greater Alpine Region,  
866 which we have used. We urge more studies of these kinds to be undertaken using the  
867 parallel measurement approach developed by Brunet *et al.* (2011).

868

869 Differences in the hemispheric averages produced by the new version (CRUTEM4)  
870 compared to the earlier (CRUTEM3) are relatively small and well within the error  
871 ranges developed using the techniques described in Brohan *et al.* (2006). This result is  
872 not unexpected and confirms a number of other studies by the groups producing these  
873 datasets. To illustrate this robustness further we carried out two sets of analyses,  
874 focussing on the hemispheric-scale averages that result. Firstly, we separated the  
875 station data into five independent samples each comprising 20% of the basic station  
876 series. Secondly, we separately omitted all the station series from large countries  
877 (contiguous United States, Australia, Russia and the former Soviet Union). For both  
878 sets there were differences between the analyses, but they were barely visible on time-  
879 series plots after 1900 for the Northern Hemisphere (NH) and after about 1920 for the  
880 Southern Hemisphere (SH), so effects are only for periods where coverage becomes

881 markedly sparse. Even then, differences were well within the range of the error  
882 estimates we have developed in an earlier study (Brohan *et al.*, 2006).  
883  
884 Finally, we compared the hemispheric averages with estimates derived from  
885 Reanalysis products (ERA-40 and ERA-Interim) developed by the European Centre  
886 for Medium-Range Weather Forecasts. ERA-40 covers the period 1958-2001 and  
887 ERA-Interim 1979-2010. For the NH, the agreement between the two Reanalyses and  
888 CRUTEM4 was excellent. For the SH, agreement was considerably poorer, but if the  
889 SH was restricted to 0-60°S then it was markedly improved. Problems with  
890 Reanalyses over the Antarctic are well known, though ERA-Interim is a considerable  
891 improvement over ERA-40 for the Antarctic region.

892

893

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895

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902

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**Table 1: Source codes and number of stations from each**

Code	Station Count	Regions	Sources (paper, project acronym or website)
10	2444	Global	Jones (1994), Jones and Moberg (2003) and Brohan <i>et al.</i> (2006); homogenized sites assessed in Jones <i>et al.</i> (1985, 1986).
30	440	Europe, East Asia, Africa, USA, S. America and Australia	GHCNv2 (adjusted series) <a href="http://www.ncdc.noaa.gov/ghcnm/v2.php">http://www.ncdc.noaa.gov/ghcnm/v2.php</a>
31	113	Middle East, E. Asia and N. Africa	GHCNv2 (adjusted series) – added at a different time than Code 30 <a href="http://www.ncdc.noaa.gov/ghcnm/v2.php">http://www.ncdc.noaa.gov/ghcnm/v2.php</a>
33	11	North Atlantic/Fennoscandia	NACD project: Frich <i>et al.</i> (1996)
34	18	Fennoscandia	NORDKLKIM project: Tuomenvirta <i>et al.</i> (2001)
35	1	Long European series	IMPROVE project: Camuffo and Jones (2002)
36	1	Canadian climate series	CHTD: Vincent and Gullett (1999). Replaced by code 42 stations
37	63	Asia, Central and S. America	WWR, data added in 2006, mostly for the 1981-1990 decade
38	60	Mali, DR. Congo plus a few others	Series given to CRU by various academic visitors
40	98	Australia	Homogenized series, Bureau of Meteorology, Australia <a href="ftp://ftp.bom.gov.au/anon/home/ncc/www/change/HQdailyT/HQdailyT_in">ftp://ftp.bom.gov.au/anon/home/ncc/www/change/HQdailyT/HQdailyT_in</a>
41	13	New Zealand	Homogenized series, NIWA, New Zealand <a href="http://www.niwa.co.nz/our-science/climate/news/all/nz-temp-record">http://www.niwa.co.nz/our-science/climate/news/all/nz-temp-record</a>
42	207	Canadian (updated version of #36)	AHCCD (Vincent <i>et al.</i> , 2002) <a href="http://www.ec.gc.ca/dccha-ahccd/">http://www.ec.gc.ca/dccha-ahccd/</a>
43	372	Russia	RIHMI-WDC: Razuvaev and Bulgina (2009) <a href="http://meteo.ru/climate/sp_clim.php">http://meteo.ru/climate/sp_clim.php</a>
44	1064	Contiguous United States	USHCN : Menne <i>et al.</i> (2009) <a href="http://www.ncdc.noaa.gov/oa/climate/research/ushcn/ushcn.html">http://www.ncdc.noaa.gov/oa/climate/research/ushcn/ushcn.html</a>
45	13	United Kingdom	UK Met Office and SNIFFER (Jones and Lister, 2004) <a href="http://www.metoffice.gov.uk/climate/uk/stationdata">http://www.metoffice.gov.uk/climate/uk/stationdata</a>
47	1	Greenland (Qaqortoq)	Vinther <i>et al.</i> (2006) and Cappeln (2010, 2011) <a href="http://www.dmi.dk/dmi/tr10-05">http://www.dmi.dk/dmi/tr10-05</a> and <a href="http://www.dmi.dk/dmi/tr11-05">http://www.dmi.dk/dmi/tr11-05</a>
48	6	Denmark and Faroe Islands	Cappeln (2010, 2011) - <a href="http://www.dmi.dk/dmi/tr10-05">http://www.dmi.dk/dmi/tr10-05</a> and <a href="http://www.dmi.dk/dmi/tr11-05">http://www.dmi.dk/dmi/tr11-05</a>

49	107	Greater Alpine Region (GAR)	HISTALP: Böhm <i>et al.</i> (2010) <a href="http://www.zamg.ac.at/histalp">http://www.zamg.ac.at/histalp</a>
50	61	Central Asian stations mostly at high elevation	NSIDC: Williams and Kononov (2008) <a href="http://nsidc.org/data/docs/noaa/g02174_central_asia_data/index.html">http://nsidc.org/data/docs/noaa/g02174_central_asia_data/index.html</a>
51	213	Russia (includes some fUSSR) – daily series	RIHMI -WDC <a href="http://meteo.ru/english/climate/d_temp.php">http://meteo.ru/english/climate/d_temp.php</a>
52	12	Swiss climate series combined by HISTALP	<a href="http://www.meteosuisse.admin.ch/web/en/research/events/archive/foko_2007_2.Par.0008.DownloadFile.tmp/beggertfoko20072.pdf">http://www.meteosuisse.admin.ch/web/en/research/events/archive/foko_2007_2.Par.0008.DownloadFile.tmp/beggertfoko20072.pdf</a>
53	30	Various NMSs	Data from various NMSs received in 2010
54	235	Arctic Series	IARC: Bekryaev <i>et al.</i> (2010) and see data series at: <a href="http://people.iarc.uaf.edu/~igor">http://people.iarc.uaf.edu/~igor</a>
	5583	<b>Total</b>	

1040

1041 **Table 2:** Total temperature change (°C) for CRUTEM4 described by linear  
1042 least squares regression lines fitted over three periods: 1861-2010, 1901-2010  
1043 and 1979-2010. Comparative annual values for CRUTEM3 are shown at the  
1044 bottom.

	1861-2010		1901-2010		1979-2010	
	NH	SH	NH	SH	NH	SH
<b>Jan.</b>	1.39	0.94	1.12	0.84	1.02	0.39
<b>Feb.</b>	1.48	0.96	1.55	0.77	1.21	0.37
<b>Mar.</b>	1.69	0.92	1.62	0.83	1.40	0.38
<b>Apr.</b>	1.25	0.91	1.33	0.78	1.24	0.35
<b>May</b>	1.06	1.11	1.15	0.90	1.00	0.15
<b>Jun.</b>	0.69	0.92	1.00	0.78	0.99	0.53
<b>Jul.</b>	0.52	1.10	0.84	0.86	1.00	0.58
<b>Aug.</b>	0.67	1.07	0.82	0.95	1.04	0.40
<b>Sep.</b>	0.71	0.89	0.75	0.88	0.98	0.64
<b>Oct.</b>	1.20	0.92	0.89	0.95	1.16	0.65
<b>Nov.</b>	1.54	0.86	1.10	0.81	1.43	0.43
<b>Dec.</b>	1.47	0.76	1.27	0.74	0.81	0.18
<b>Year</b>	1.14	0.94	1.12	0.84	1.11	0.42
<b>CRUTEM3</b>	1.05	0.77	1.06	0.82	1.02	0.39

1045

1046 **Figure Captions**

1047

1048 **Figure 1:** Comparison of station counts and percent area coverage (of the entire  
1049 hemisphere including oceans) for CRUTEM4 (thick) and CRUTEM3 (thin) for the  
1050 NH and SH.

1051

1052 **Figure 2:** Seasonal and annual averages by hemisphere for CRUTEM4, with the  
1053 smoothed lines showing decadal-filtered series for CRUTEM4 (thick) and CRUTEM3  
1054 (thin). Hemispheric temperature averages for the land areas are expressed as  
1055 anomalies (in degrees Celsius from the base period of 1961-90). The decadal  
1056 smoothing uses a 13-term Gaussian filter, padded at the ends with the mean of the  
1057 adjacent 6 values. (a) NH, (b) SH and (c) global for the annual average. The global  
1058 average is calculated as  $[(2/3)NH + (1/3)SH]$ .

1059

1060 **Figure 3:** Comparison of annual mean temperature anomalies from (a) CRUTEM3  
1061 and (b) CRUTEM4 for the period 2001-2010 (degC anomalies from 1961-90). Grid  
1062 boxes with less than 50% data coverage (5 years) are left white. (c) Difference (b)-(a)  
1063 to compare CRUTEM3 and CRUTEM4 means over this period. Grid boxes with  
1064 insufficient data (<5 years during 2001-2010) in CRUTEM3 but sufficient data in  
1065 CRUTEM4 are outlined in black; black crosses indicate the reverse situation.

1066

1067 **Figure 4:** Comparison of linear trends fitted to (a) CRUTEM3 and (b) CRUTEM4  
1068 annual temperatures for the period 1951-2010. Trends are expressed as the degC  
1069 linear trend change over the 60 year period. Grid boxes with less than 80% data  
1070 coverage (48 years) are left white. Boxes or regions outlined in black are those where  
1071 the trend slopes are significantly different from zero, with 95% confidence taking into

1072 account first-order autocorrelation. (c) Difference (b)-(a) to compare CRUTEM3 and  
1073 CRUTEM4 trends over this period. Grid boxes with insufficient data (<48 years  
1074 during 1951-2010) in CRUTEM3 but sufficient data in CRUTEM4 are outlined in  
1075 black; black crosses indicate the reverse situation.

1076

1077 **Figure 5:** Seasonal and annual averages by hemisphere for CRUTEM4 compared to 5  
1078 sets of independent station data (each representing roughly 20% of the total station  
1079 dataset). The five different subsets are referred to as A to E, indicated by different  
1080 coloured lines. The data are plotted smoothed using a 21 point binomial filter as used  
1081 in Brohan *et al.* (2006). (a) NH and (b) SH. The green swathe is the uncertainty range  
1082 from 2.5 to 97.5% calculated using Brohan et al. (2006) at this smoothing timescale.

1083

1084 **Figure 6:** Seasonal and annual averages by hemisphere for CRUTEM4 compared to  
1085 (a) excluding all stations from the contiguous United States from the NH and (b)  
1086 excluding all stations from Australia from the SH. Smoothing and linestyles as in  
1087 Figure 2.

1088

1089 **Figure 7:** Seasonal and annual averages for the NH for CRUTEM4 compared to  
1090 excluding all stations from Russia. Smoothing and linestyles as in Figure 2.

1091

1092 **Figure 8:** Seasonal and annual averages for the NH for CRUTEM4 compared to  
1093 excluding all stations from the former Soviet Union. Smoothing and linestyles as in  
1094 Figure 2.

1095

1096 **Figure 9:** Seasonal and annual averages for CRUTEM4 compared to similar series  
1097 developed by NCDC (Smith and Reynolds, 2008) and GISS (Hansen *et al.*, 2010).  
1098 Only the smoothed series from NCDC and GISS are shown. The smoothing here is  
1099 the same as Figure 2, but the green swathe encompasses the 2.5 and 97.5%  
1100 uncertainty range calculated at the interannual timescale using the approach of Brohan  
1101 *et al.* (2006). (a) NH and (b) SH.

1102

1103 **Figure 10:** Seasonal and annual averages for CRUTEM4 compared to two versions of  
1104 the ECMWF Reanalyses (red ERA-40 from 1958-2001 and blue ERA-Interim from  
1105 1979-2010). The two reanalyses have been set to a base period of 1981-2000, so are  
1106 offset slightly cooler than CRUTEM4, which uses a base period of 1961-1990.  
1107 Smoothing as in Figure 2. (a) NH and (b) SH.

1108

1109 **Figure 11:** Monthly time series for ERA-Interim and CRUTEM4 (both set as  
1110 anomalies by month based on the period 1979-2010) for the NH. The smoothed line is  
1111 a 12-term Gaussian filter. The least-squares linear trend during the 1979-2010 overlap  
1112 period (using annual averages) is shown for both series, together with its slope.

1113

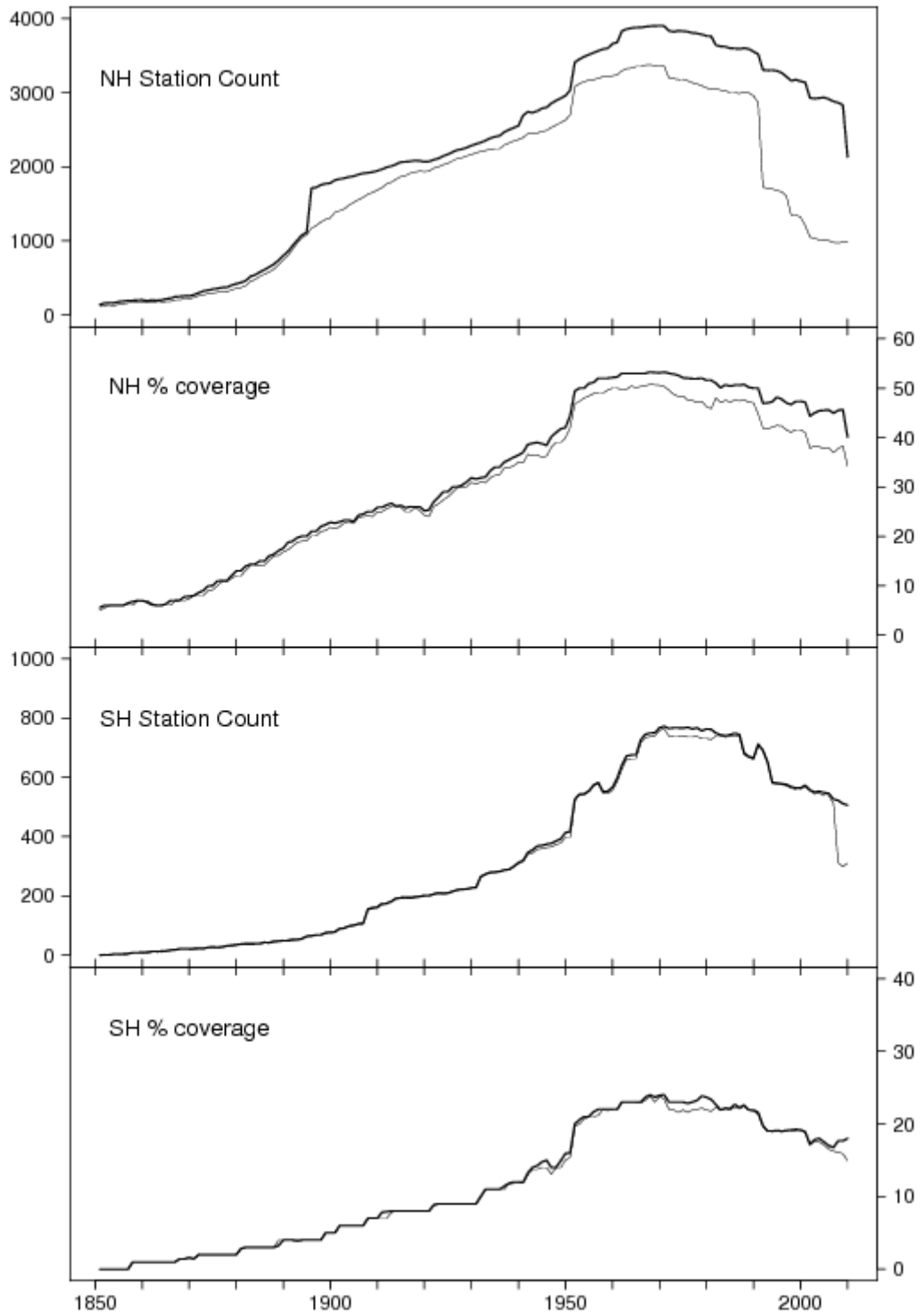
1114 **Figure 12:** As Figure 8 but for (a) SH 0-60°S and (b) Antarctica (60-90°S).

1115

1116 **Figure 13:** As Figure 9, but for the SH 0-60°S.

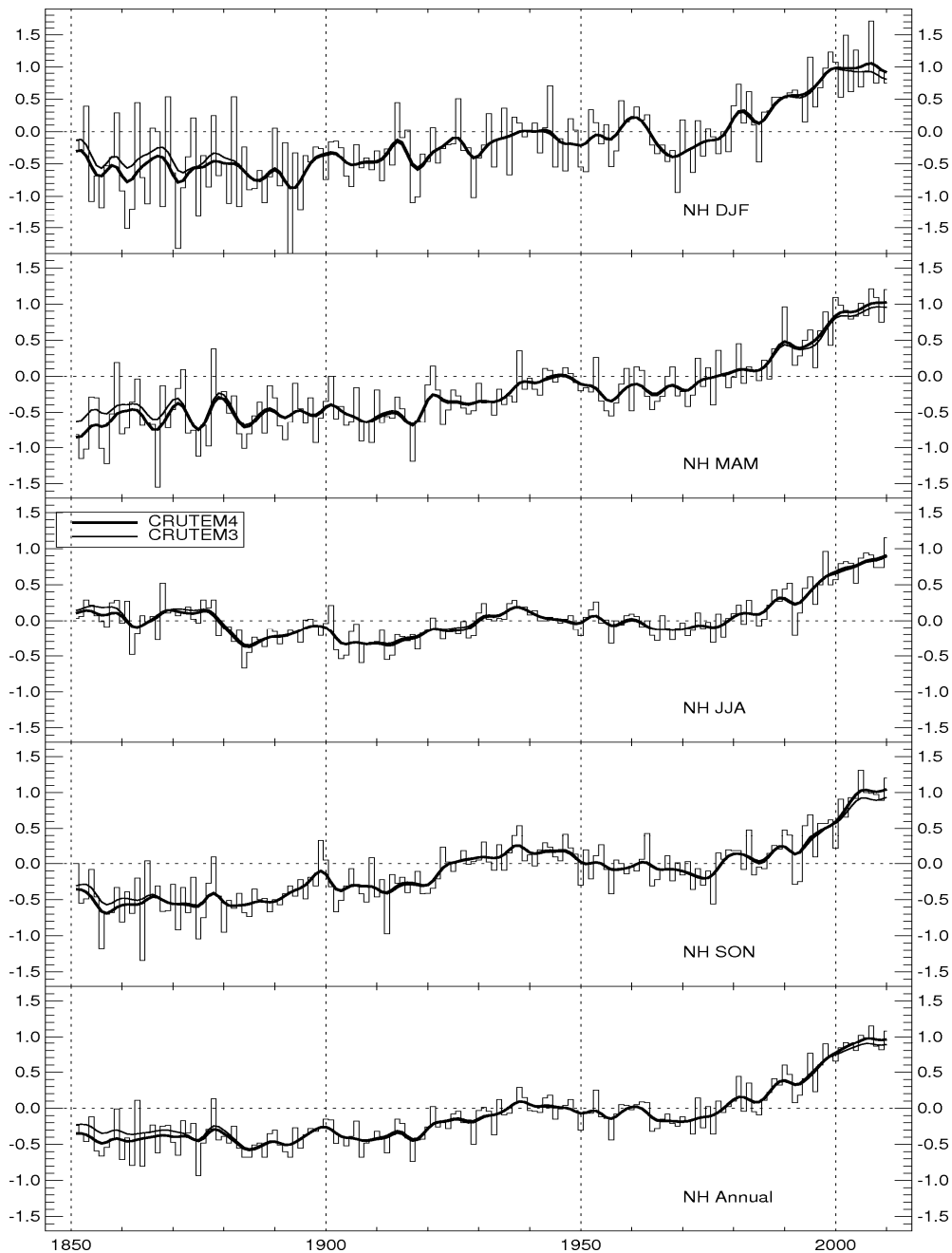
1117

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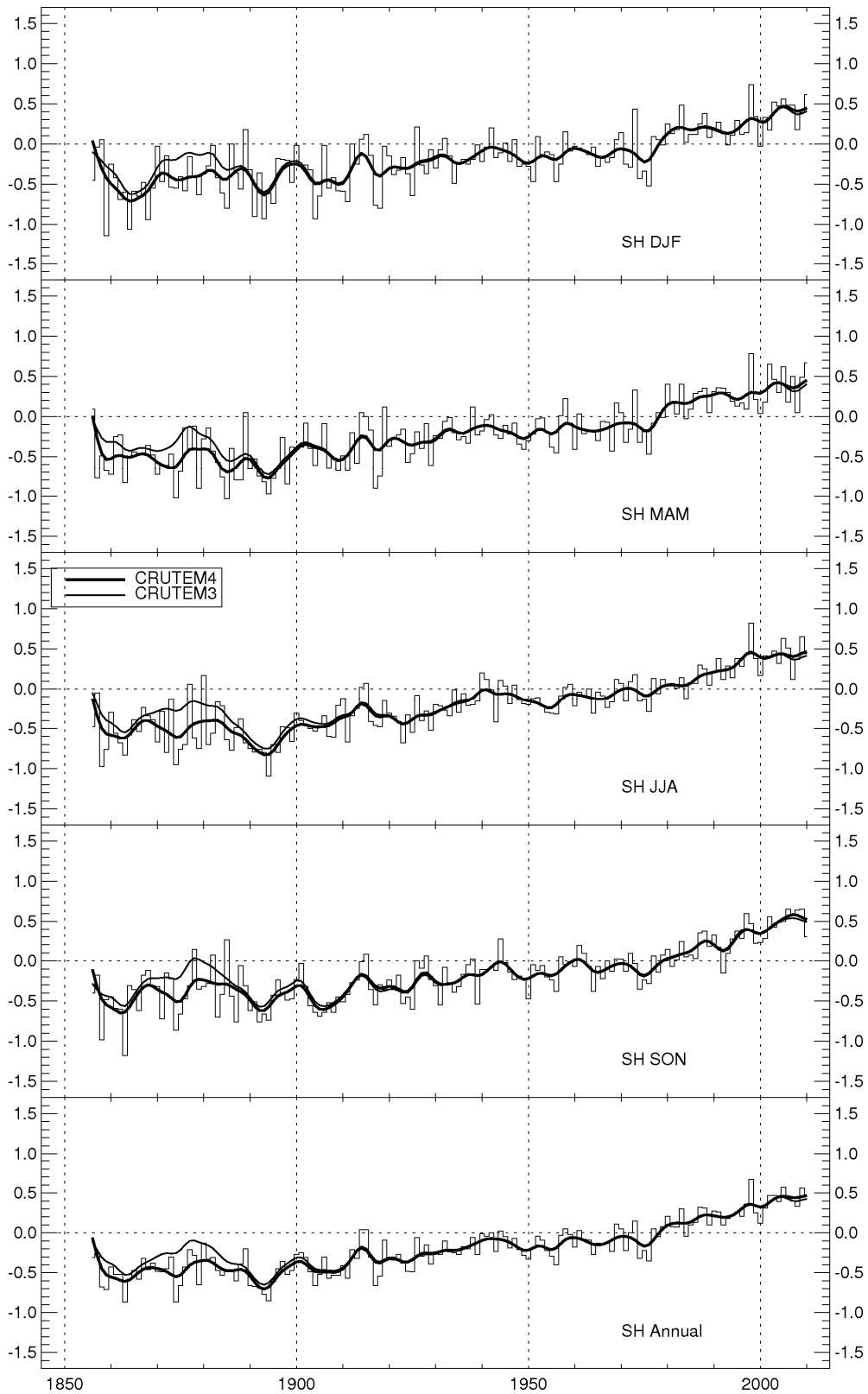
1119  
 1120 Figure 1: Comparison of station counts and percent area coverage (of the entire  
 1121 hemisphere including oceans) for CRUTEM4 (thick) and CRUTEM3 (thin) for the  
 1122 NH and SH.  
 1123





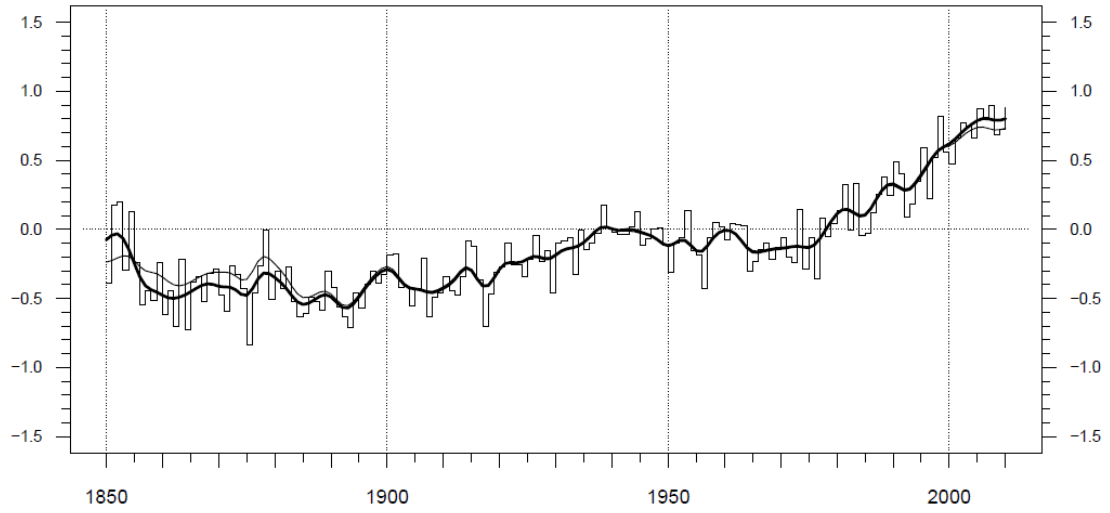
1124

1125 **Figure 2:** Seasonal and annual averages by hemisphere for CRUTEM4, with the  
 1126 smoothed lines showing decadal-filtered series for CRUTEM4 (thick) and CRUTEM3  
 1127 (thin). Hemispheric temperature averages for the land areas are expressed as  
 1128 anomalies (in degrees Celsius from the base period of 1961-90). The decadal  
 1129 smoothing uses a 13-term Gaussian filter, padded at the ends with the mean of the  
 1130 adjacent 6 values. (a) NH, (b) SH and (c) global for the annual average. The global  
 1131 average is calculated as  $[(2/3)NH + (1/3)SH]$ .



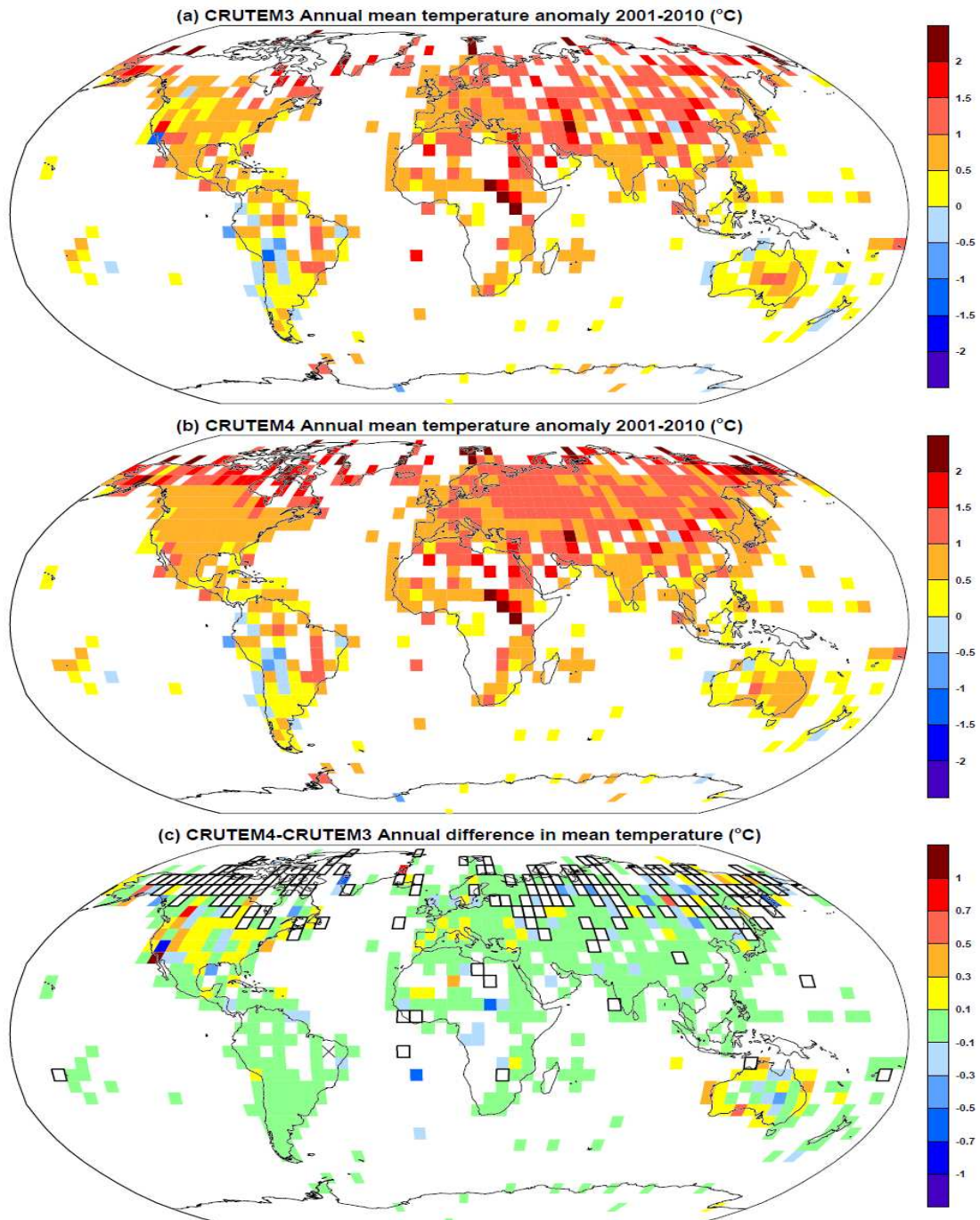
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1133 Figure 2b: see Figure 2a



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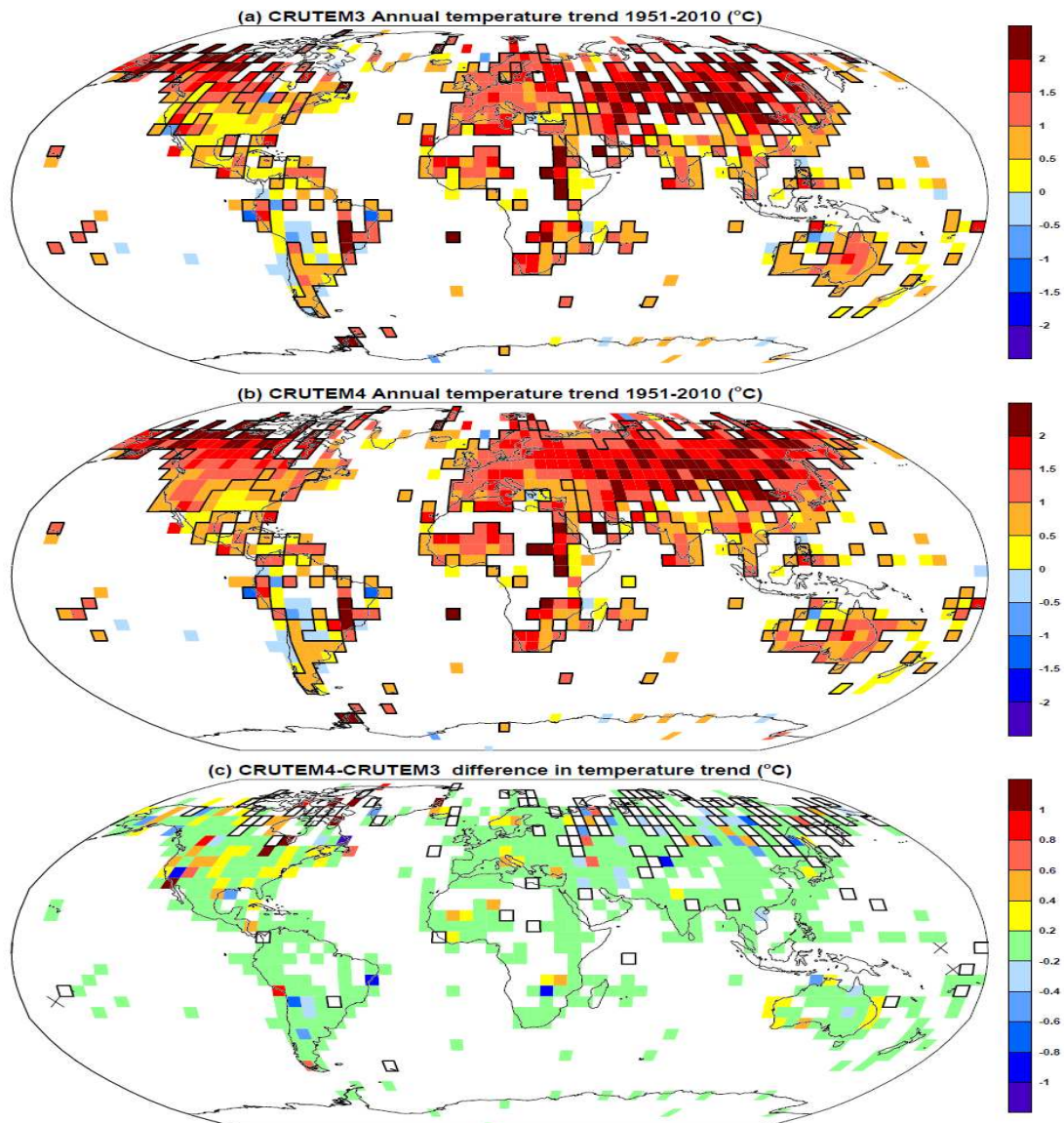
Figure 2c: see Figures 2a



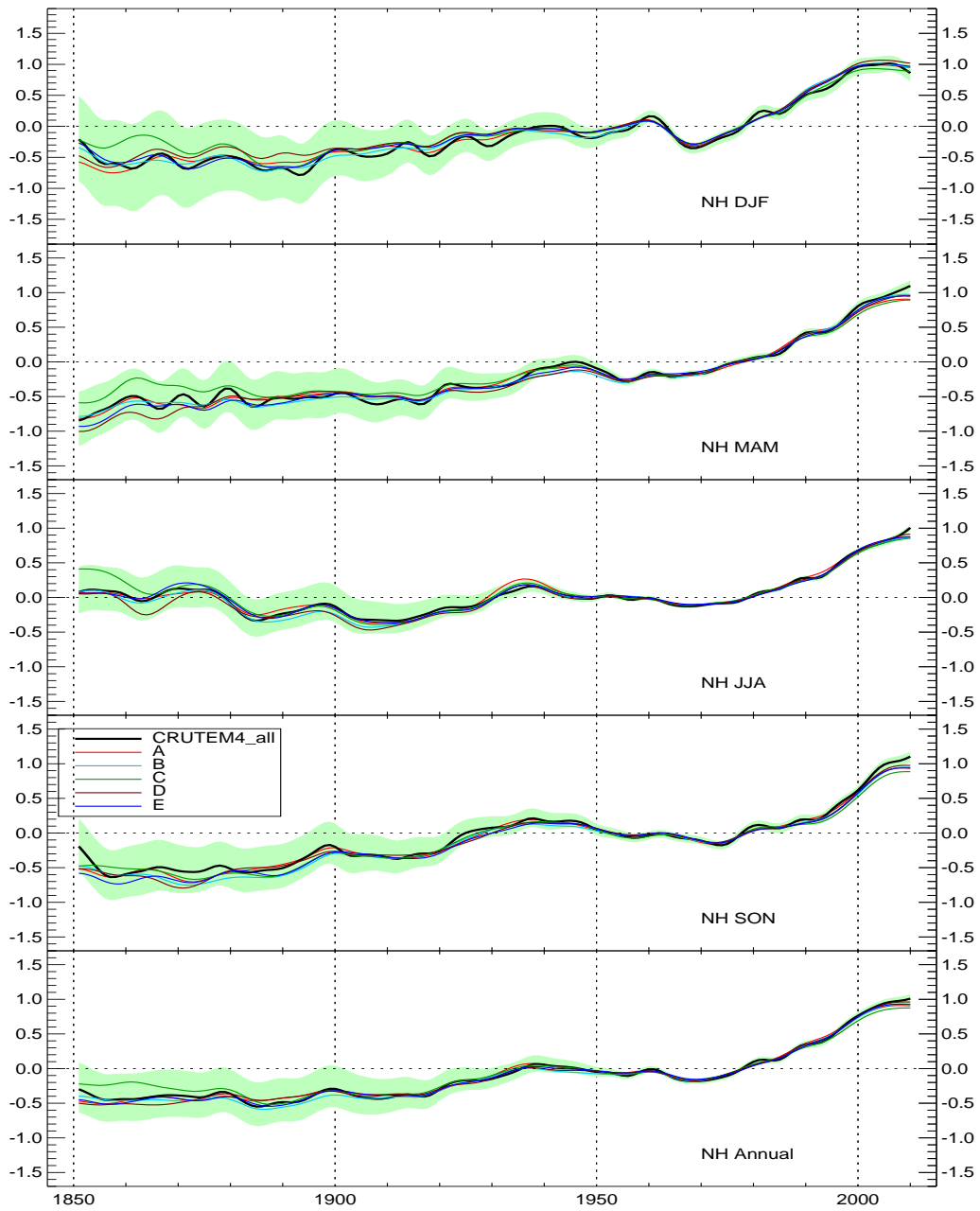
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1139 Figure 3: Comparison of annual mean temperature anomalies from (a) CRUTEM3  
 1140 and (b) CRUTEM4 for the period 2001-2010 (degC anomalies from 1961-90). Grid  
 1141 boxes with less than 50% data coverage (5 years) are left white. (c) Difference (b)-(a)  
 1142 to compare CRUTEM3 and CRUTEM4 means over this period. Grid boxes with  
 1143 insufficient data (<5 years during 2001-2010) in CRUTEM3 but sufficient data in  
 1144 CRUTEM4 are outlined in black; black crosses indicate the reverse situation.

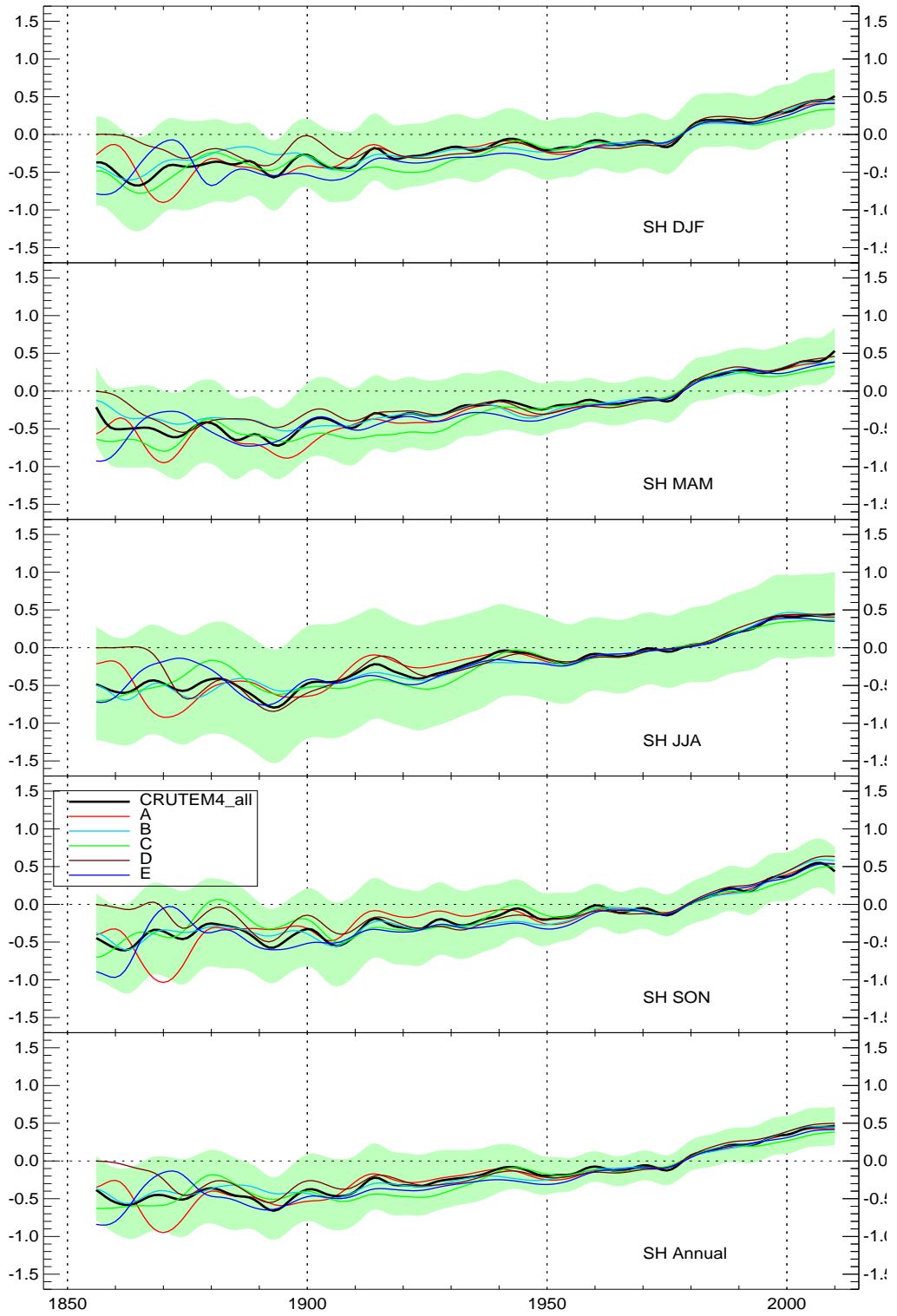
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1146 Figure 4: Comparison of linear trends fitted to (a) CRUTEM3 and (b) CRUTEM4  
 1147 annual temperatures for the period 1951-2010. Trends are expressed as the degC  
 1148 linear trend change over the 60 year period. Grid boxes with less than 80% data  
 1149 coverage (48 years) are left white. Boxes or regions outlined in black are those where  
 1150 the trend slopes are significantly different from zero, with 95% confidence taking into  
 1151 account first-order autocorrelation. (c) Difference (b)-(a) to compare CRUTEM3 and  
 1152 CRUTEM4 trends over this period. Grid boxes with insufficient data (<48 years  
 1153 during 1951-2010) in CRUTEM3 but sufficient data in CRUTEM4 are outlined in  
 1154 black; black crosses indicate the reverse situation.

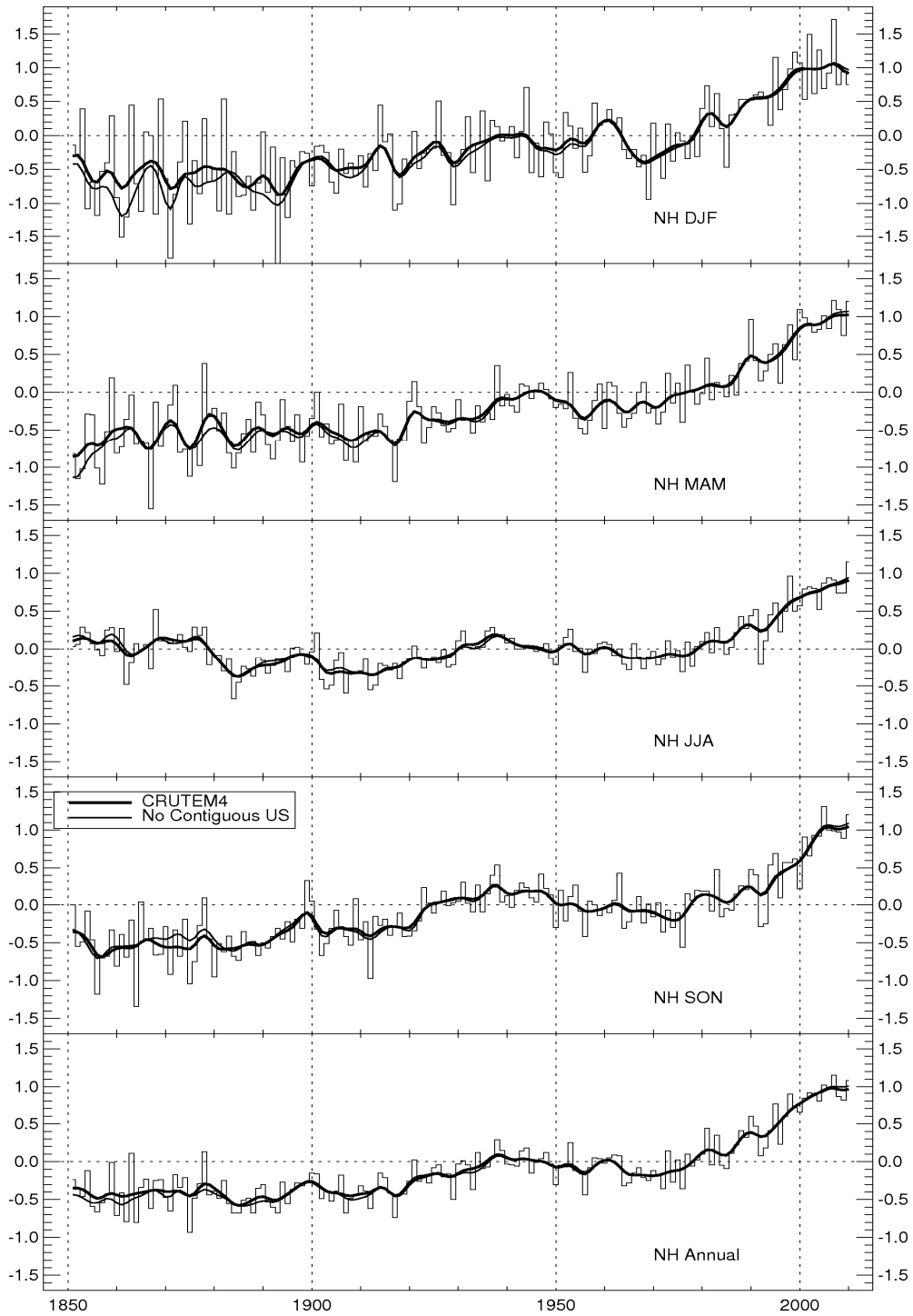


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 1158 Figure 5a: Seasonal and annual averages by hemisphere for CRUTEM4 compared to  
 1159 5 sets of independent station data (each representing roughly 20% of the total station  
 1160 dataset). The five different subsets are referred to as A to E, indicated by different  
 1161 coloured lines. The data are plotted smoothed using a 21 point binomial filter as used  
 1162 in Brohan *et al.* (2006). (a) NH and (b) SH. The green swathe is the uncertainty range  
 1163 from 2.5 to 97.5% calculated using Brohan *et al.* (2006) at this smoothing timescale.  
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Figure 5b: See Figure 5a



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Figure 6a: Seasonal and annual averages by hemisphere for CRUTEM4 compared to

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(a) excluding all stations from the contiguous United States from the NH and (b)

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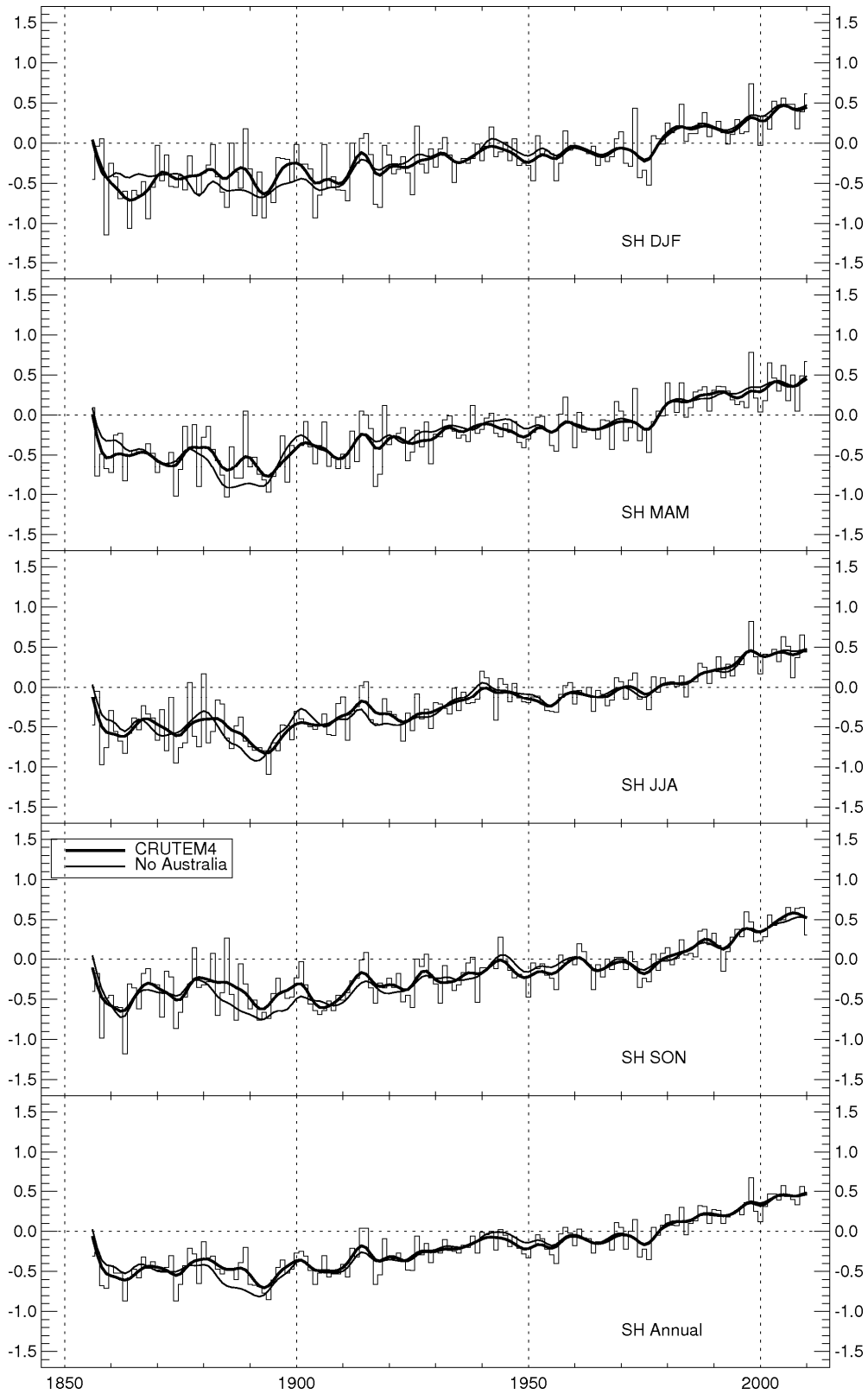
excluding all stations from Australia from the SH. Smoothing and linestyles as in

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Figure 2.

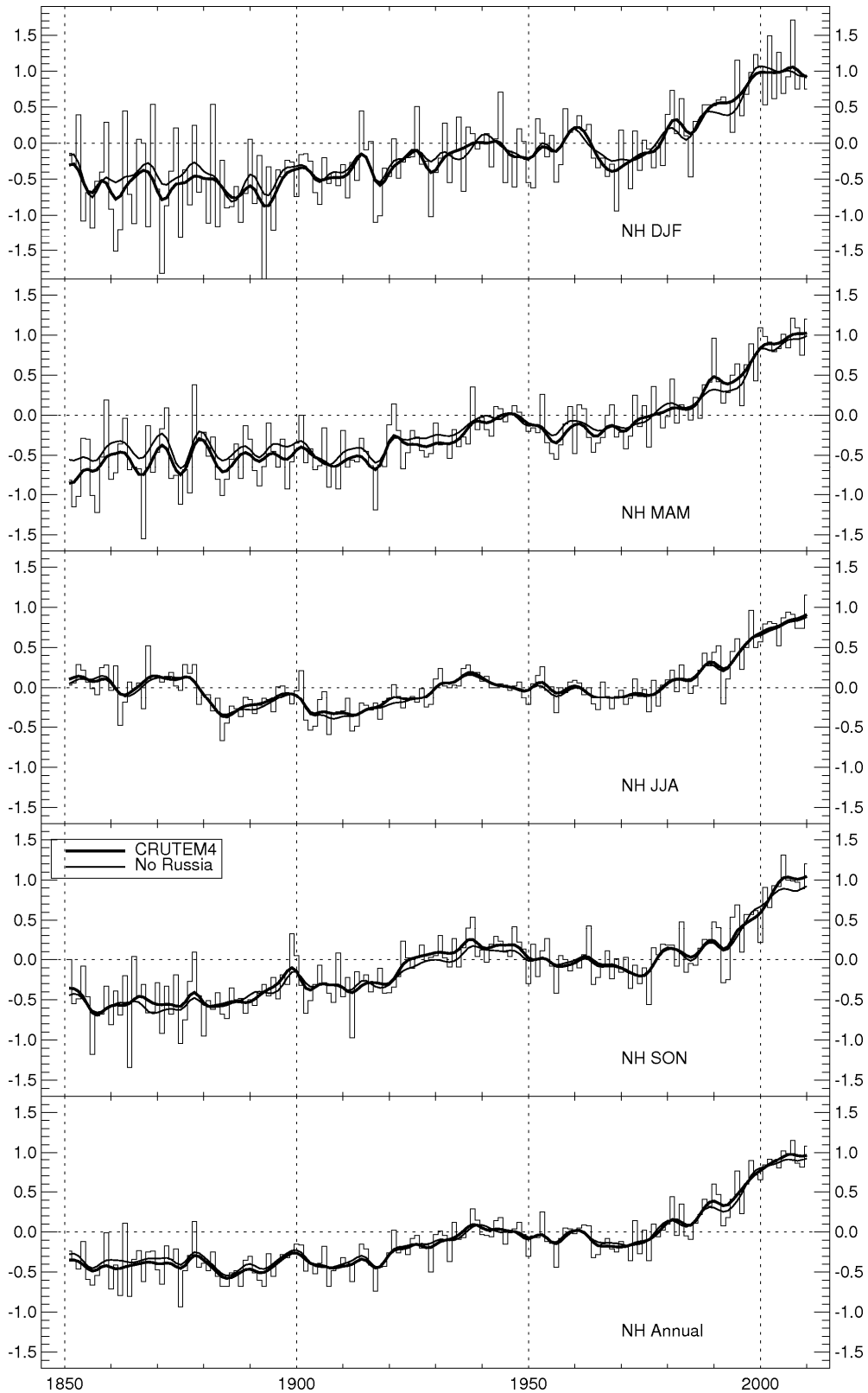
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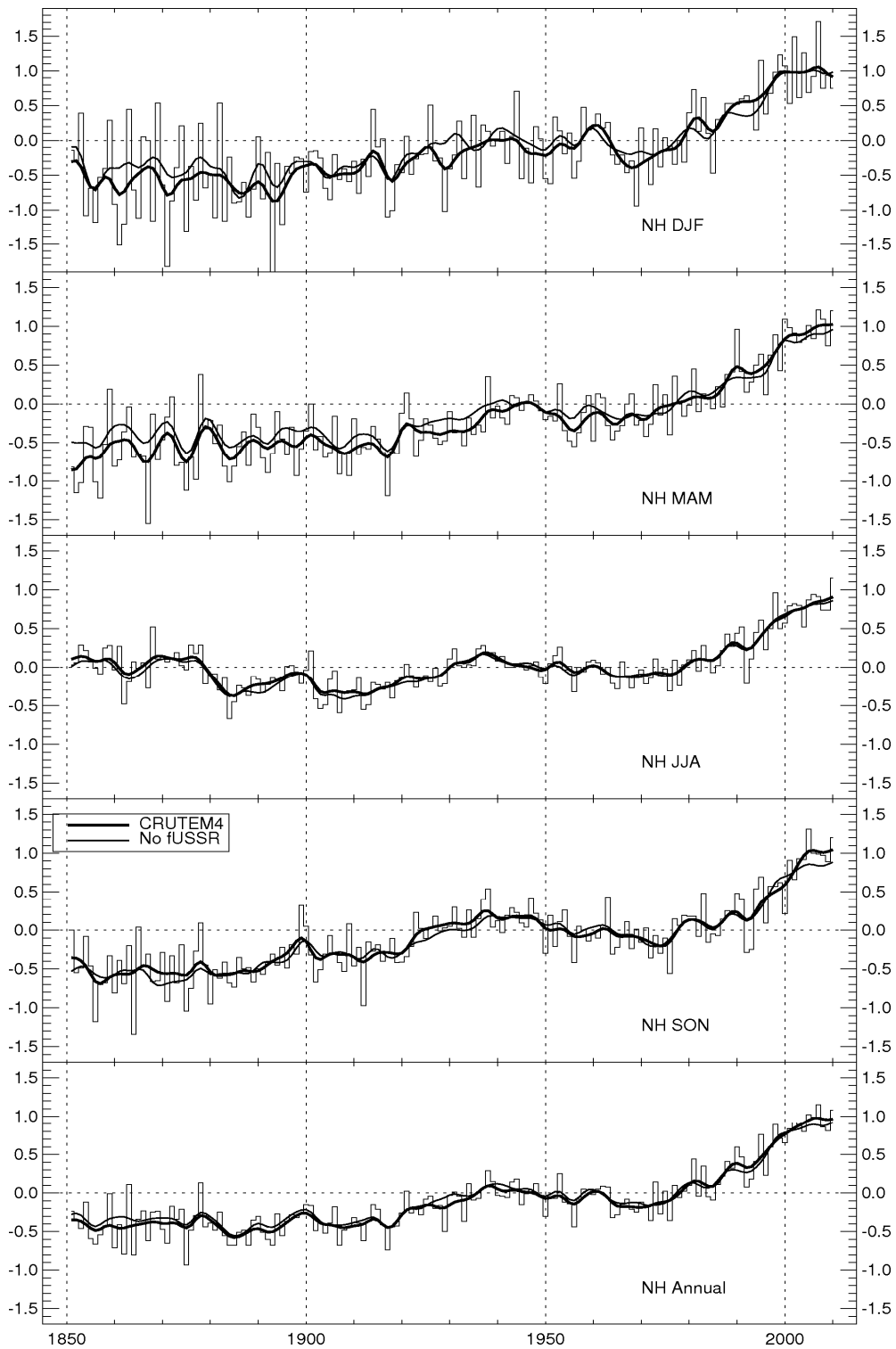
Figure 6b: Without Australia – see Figure 6a.



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1179 Figure 7: Seasonal and annual averages for the NH for CRUTEM4 compared to  
1180 excluding all stations from Russia. Smoothing and linestyles as in Figure 2.

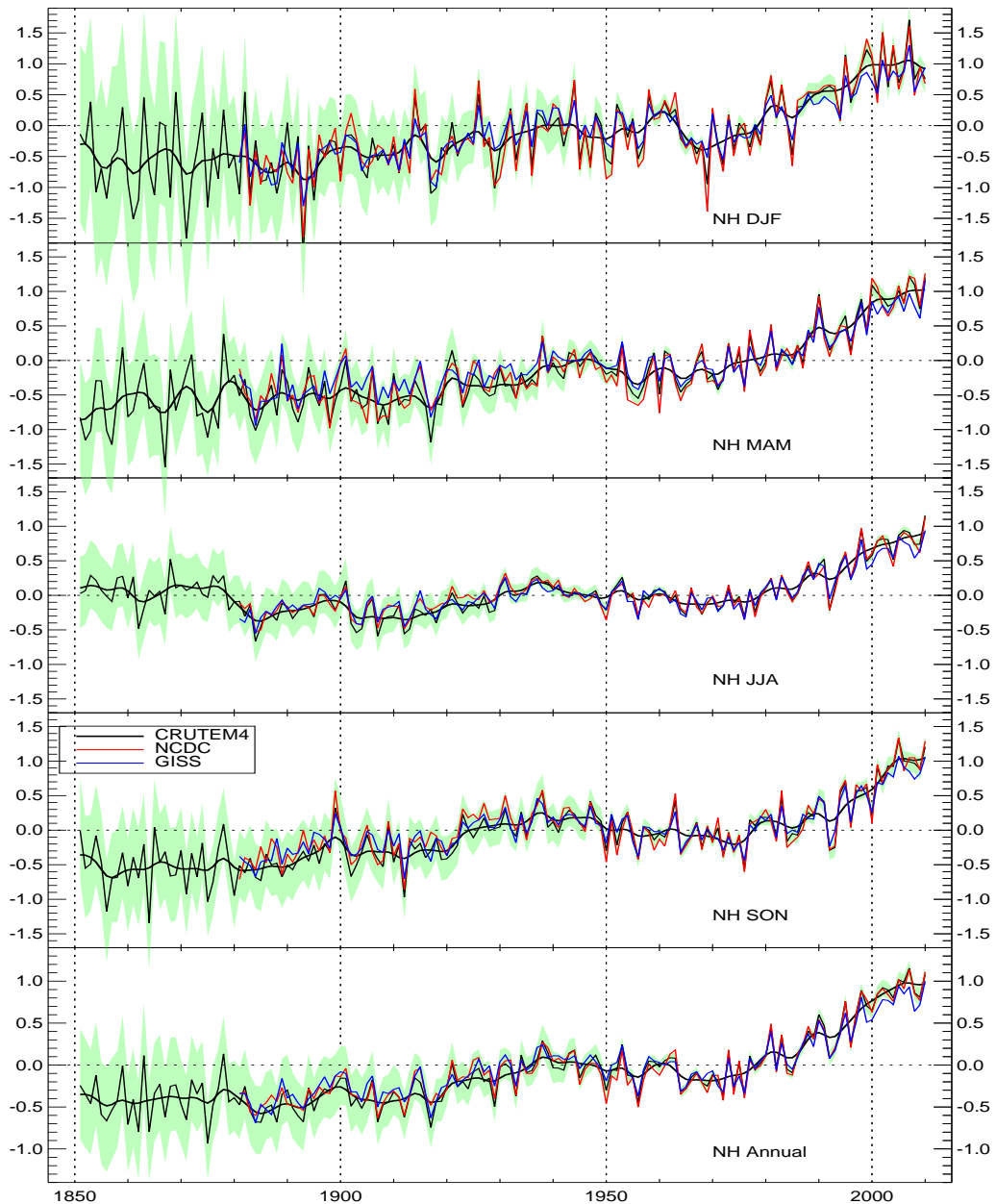
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1184 Figure 8: Seasonal and annual averages for the NH for CRUTEM4 compared to  
 1185 excluding all stations from the former Soviet Union. Smoothing and linestyles as in  
 1186 Figure 2.

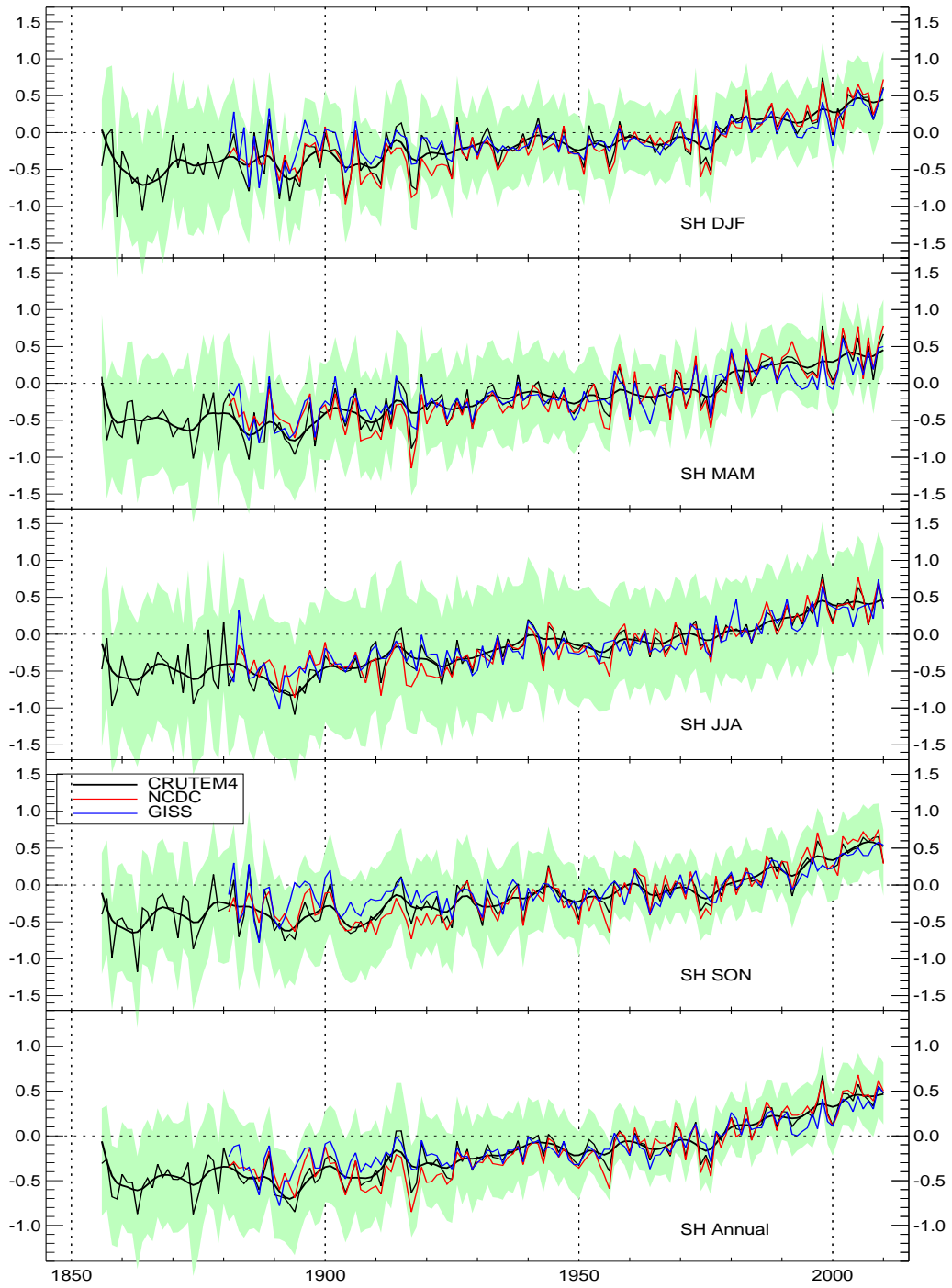
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1189 Figure 9a: Seasonal and annual averages for CRUTEM4 compared to similar series  
 1190 developed by NCDC (Smith and Reynolds, 2008) and GISS (Hansen *et al.*, 2010).  
 1191 Only the smoothed series from NCDC and GISS are shown. The smoothing here is  
 1192 the same as Figure 2, but the green swathe encompasses the 2.5 and 97.5%  
 1193 uncertainty range calculated at the interannual timescale using the approach of Brohan  
 1194 *et al.* (2006). (a) NH and (b) SH.

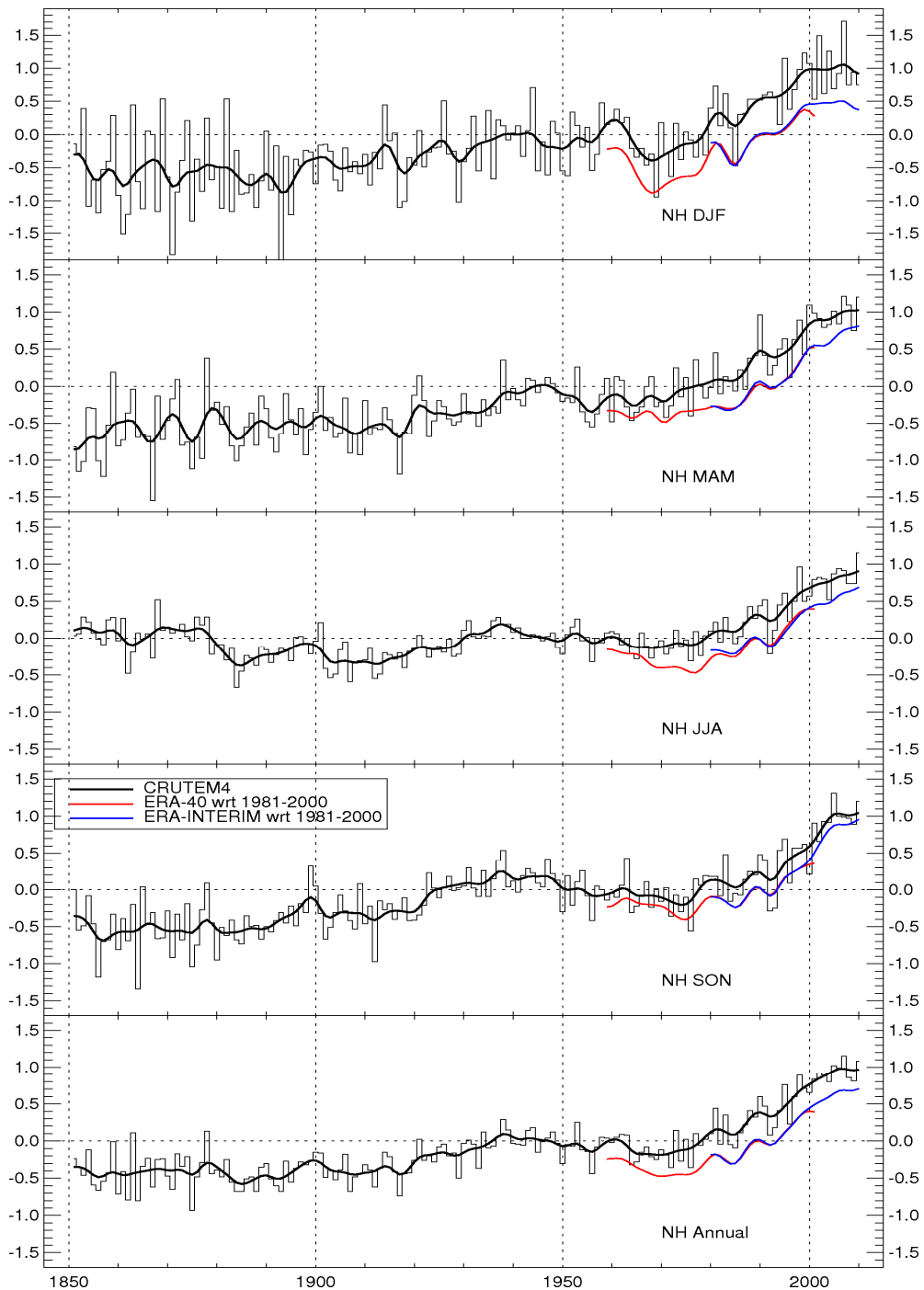
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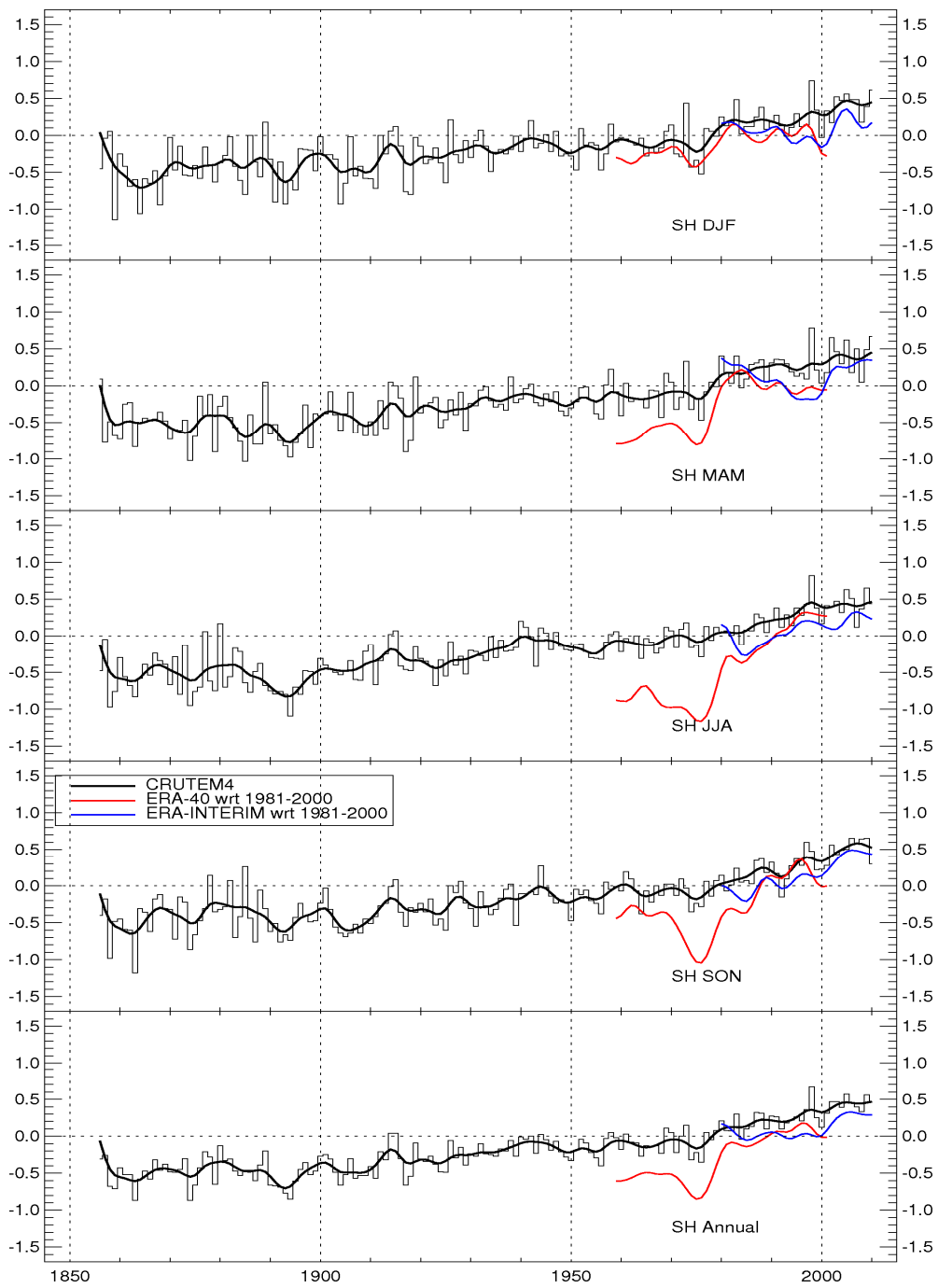
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Figure 9b: see Figure 9, but for the SH



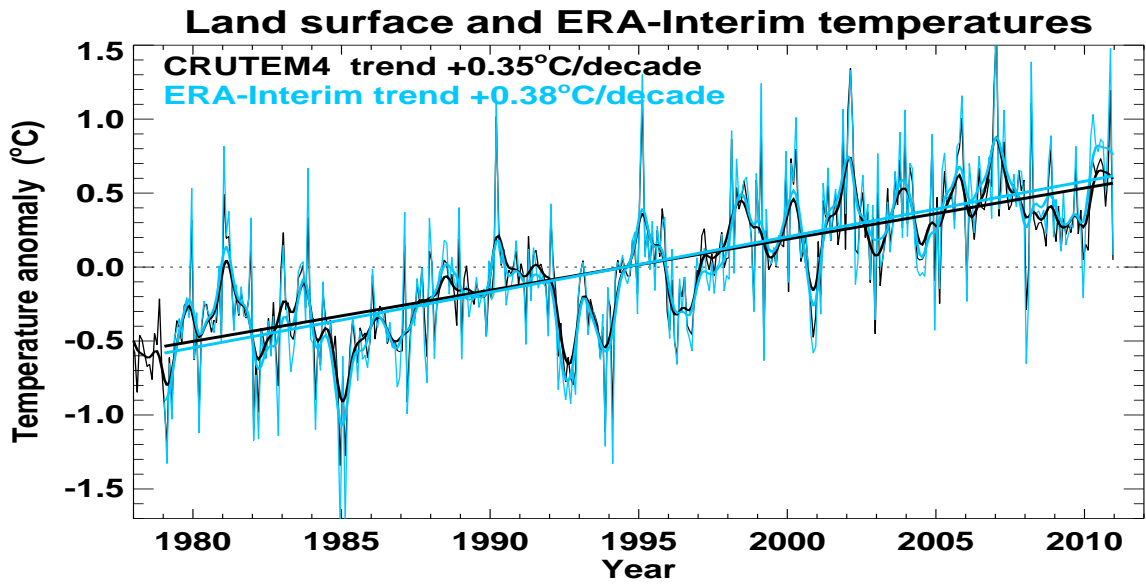
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1200 Figure 10a: Seasonal and annual averages for CRUTEM4 compared to two versions  
 1201 of the ECMWF Reanalyses (red ERA-40 from 1958-2001 and blue ERA-Interim from  
 1202 1979-2010). The two reanalyses have been set to a base period of 1981-2000, so are  
 1203 offset slightly cooler than CRUTEM4, which uses a base period of 1961-1990.  
 1204 Smoothing as in Figure 2. (a) NH and (b) SH.



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1207 Figure 10b: see Figure 10a

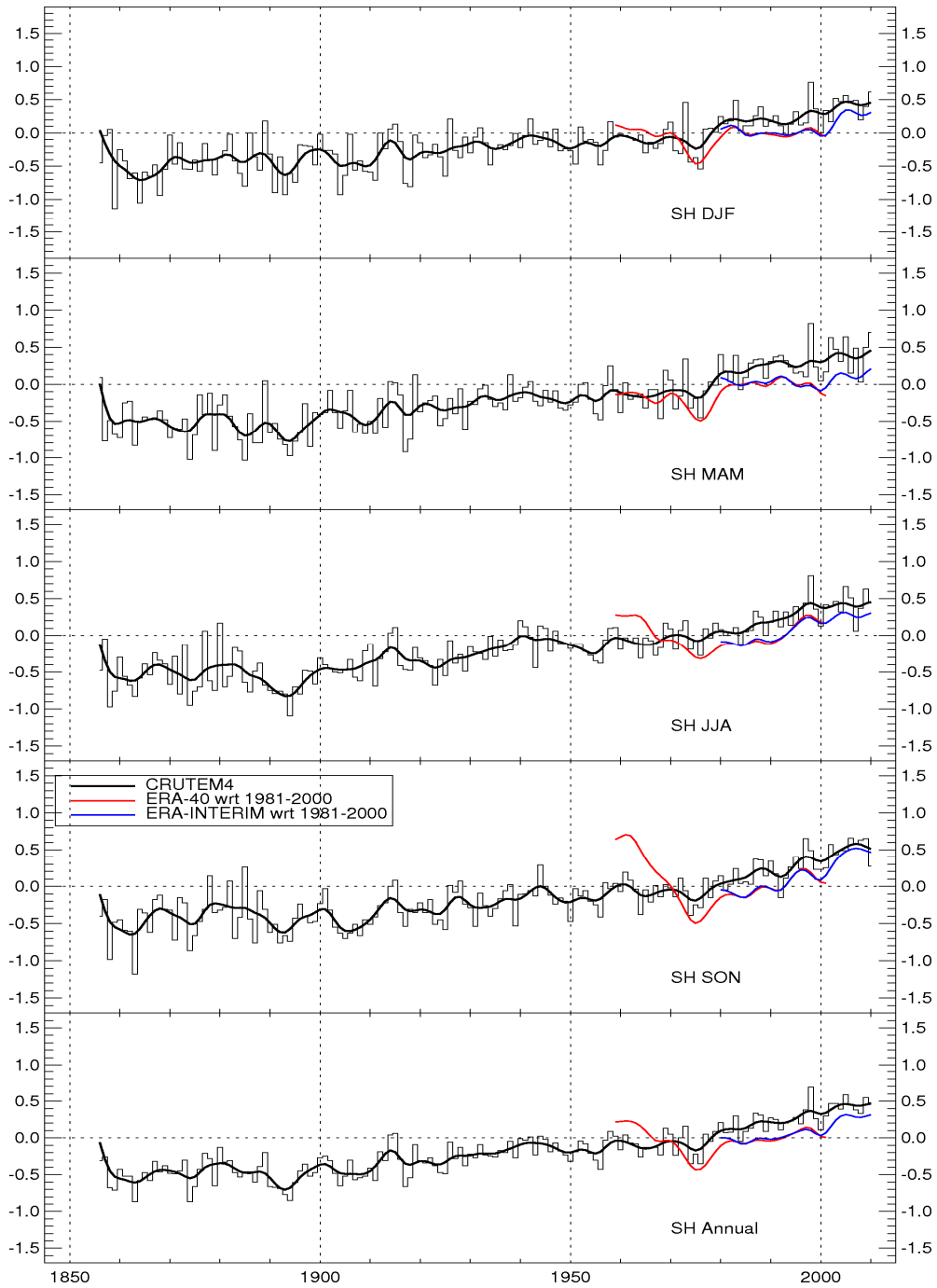


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1210 Figure 11: Monthly time series for ERA-Interim and CRUTEM4 (both set as  
 1211 anomalies by month based on the period 1979-2010) for the NH. The smoothed line is  
 1212 a 12-term Gaussian filter. The least-squares linear trend during the 1979-2010 overlap  
 1213 period (using annual averages) is shown for both series, together with its slope.

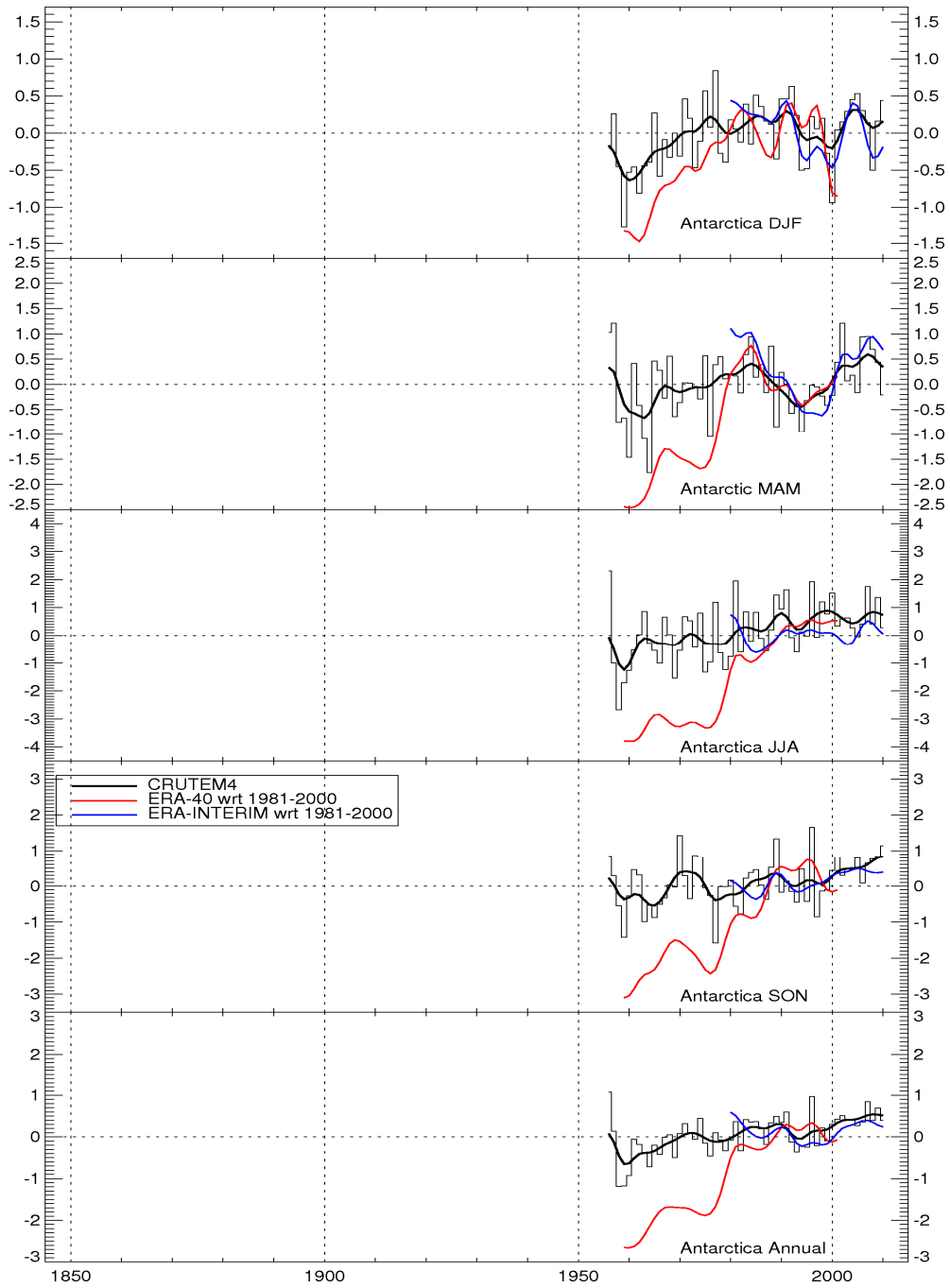
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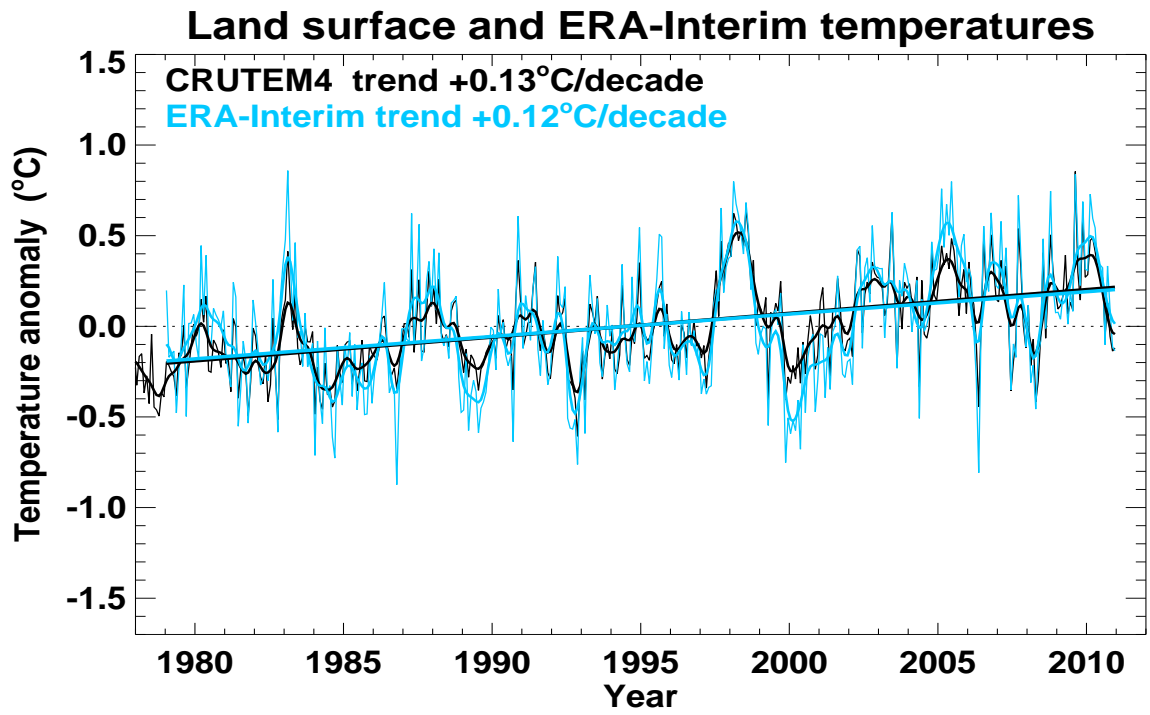
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Figure 12a: As Figure 8 but for (a) SH 0-60°S and (b) Antarctica (60-90°S).



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Figure 12b: see Figure 12a



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1225 Figure 13: As Figure 9, but for the SH 0-60°S.  
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