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7	Identification of senescence and death in Emiliania huxleyi and Thalassiosira pseudonana:
8	Cell staining, chlorophyll alterations, and dimethylsulphoniopropionate (DMSP) metabolism
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28 Abstract

We measured membrane permeability, hydrolytic enzyme, and caspase-like activities 29 using fluorescent cell stains to document changes caused by nutrient exhaustion in the 30 coccolithophore *Emiliania huxleyi* and the diatom *Thalassiosira pseudonana*, during batch-31 culture nutrient limitation. We related these changes to cell death, pigment alteration, and 32 concentrations of dimethylsulphide (DMS) and dimethylsulfoniopropionate (DMSP) to assess 33 the transformation of these compounds as cell physiological condition changes. E. huxleyi 34 persisted for 1 month in stationary phase; in contrast, T. pseudonana cells rapidly declined 35 within 10 days of nutrient depletion. T. pseudonana progressively lost membrane integrity 36 and the ability to metabolise 5-chloromethylfluorescein diacetate (CMFDA; hydrolytic 37 activity) whereas E. huxleyi developed two distinct CMFDA populations and retained 38 membrane integrity (SYTOX green). Caspase-like activity appeared higher in E. huxleyi than 39 T. pseudonana during the post-growth phase, despite a lack of apparent mortality and cell 40 41 lysis. Photosynthetic pigment degradation and transformation occurred in both species after growth; chlorophyll a (Chl a) degradation was characterised by an increase in the ratio of 42 methoxy Chl a:Chl a in T. pseudonana but not in E. huxleyi, and the increase in this ratio 43 preceded loss of membrane integrity. Total DMSP declined in T. pseudonana during cell 44 death and DMS increased. In contrast, and in the absence of cell death, total DMSP and DMS 45 increased in E. huxleyi. Our data show a novel chlorophyll alteration product associated with 46 T. pseudonana death, suggesting a promising approach to discriminate non-viable cells in 47 48 nature.

49 Introduction

Phytoplankton cell physiology is fundamental to global biogeochemical cycles 50 because the mediation of biogeochemical processes by phytoplankton, such as the production 51 of the trace gas dimethylsulphide and carbon fixation, strongly depends on cell physiological 52 state. Non-dividing alternative physiological states include senescence, quiescence 53 (dormancy) and death (Franklin et al. 2006). Such alternative states are poorly understood, 54 especially in eukaryotic marine phytoplankton, but are likely to be significant in natural 55 assemblages. Some progress has been made in recognising cell state in the laboratory: the 56 morphological changes associated with nutrient limitation in batch cultures have been studied, 57 and similarities with metazoan programmed cell death (PCD; Bidle and Falkowski 2004; 58 Franklin et al. 2006) have been described in certain phytoplankton (e.g., Dunaliella 59 tertiolecta; Segovia and Berges 2009). An improved ability to recognise senescent, quiescent, 60 moribund and dead cells within microbial populations is important because a substantial 61 62 fraction of natural phytoplankton biomass may be non-viable (Veldhuis et al. 2001; Agusti 2004) and yet viability will be a major driver of primary production and biogeochemistry. 63 Accurate estimation of phytoplankton primary production through remote sensing could be 64 improved by practical recognition of different physiological states. Although efforts to 65 understand physiological change in terms of variable pigment content within 66 photosynthesizing cells via remote sensing (Behrenfeld and Boss 2006) offers a useful way to 67 assess natural physiological variability, the ability to discriminate 'viability' cannot currently 68 be achieved by remote sensing. In order to achieve this, we need to find robust indicators of 69 70 cell death that have value in the field. As part of this effort we undertook a laboratory study which aimed to provide tools for field assessments of phytoplankton viability. 71

Chlorophyll a (Chl a) alteration during senescence is of great interest in organic 72 73 geochemistry (Louda et al. 2002; Szymczak-Zyla et al. 2008) and may be useful as a field signal of phytoplankton cell death. One potential difficulty is that observations of Chl a 74 75 alteration have not been explicitly linked with microalgal growth phase or physiological state (Louda et al. 2002) limiting its usefulness as an indicator of cell death. In general, increased 76 concentrations of chlorophyll oxidation products have been observed in nutrient-depleted 77 78 cells, but it is likely that specific chlorophyll transformation pathways vary between species 79 (Bale 2010). Initial investigations into pigment alteration and cell viability in natural phytoplankton assemblages (using SYTOX green staining) have used pigment fluorescence to 80 81 assess chlorophyll loss (Veldhuis et al. 2001). Such approaches have been useful, but miss vital information on the early alteration of chlorophyll. Early alteration mostly gives 82 structures with indistinguishable absorption and fluorescence properties from the parent 83 84 compound and which are, therefore, invisible to fluorescence-based methods. Molecular structures resulting from early stage alterations can be produced by the reaction of chlorophyll 85 a with, for example, the reactive oxygen species H₂O₂ (Walker et al. 2002), and likely occur 86 in conjunction with cell death because reactive oxygen species are associated with cell death. 87 High-performance liquid chromatography (HPLC) methods vary in their ability to separate 88 89 and detect chlorophyll allomers (Airs et al. 2001) and a suitable method has not yet been applied to the model species of this study in combination with independent measures of cell 90 viability. In our study we link an assessment of viability (using flow cytometry) with a high 91 resolution HPLC method (Airs et al. 2001), in combination with liquid chromatography-mass 92 93 spectrometry (LC-MS) characterisation, in order to assess the pigment changes associated with changing physiological state. 94

Dimethyl sulphide (DMS) is the main natural source of reduced sulphur to the 95 96 troposphere (Simó 2001). DMS is a volatile trace gas which promotes aerosol formation and thereby affects global climate (Charlson et al. 1987). The molecular precursor of DMS, the 97 compatible solute dimethylsulphoniopropionate (DMSP), occurs at high intracellular 98 concentrations (100–400 mmol L^{-1}) in coccolithophores such as *E. huxlevi* (Keller et al. 99 100 1989), and at lower concentrations in diatoms (Keller and Korjeff-Bellows 1996). DMSP can 101 be released to the seawater dissolved organic carbon pool through grazing, viral lysis, cell senescence or active exudation, but information on the latter two processes is very limited 102 (Stefels et al. 2007). Intracellular DMSP concentration increases in some phytoplankton 103 104 species when growth is limited due to CO₂ or Fe limitation, Ultraviolet light exposure, toxic levels of cupric ions or addition of hydrogen peroxide (Sunda et al. 2002). On this basis 105 106 Sunda et al. (2002) suggested that DMSP and its lysis products DMS and acrylate may form 107 an antioxidant cascade. This would presumably increase the survival of phytoplankton cells during conditions associated with oxidative stress and elevated levels of reactive oxygen 108 109 species. An alternative hypothesis is that under conditions of unbalanced growth an overflow 110 mechanism operates whereby excess energy and reduced compounds are used for DMSP production to ensure the continuation of other metabolic pathways (Stefels 2000). Several 111 112 studies have shown that nitrogen limitation leads to increased DMSP concentration (Stefels et al. 2007). For example, Harada et al. (2009) recently found that intracellular DMSP 113 concentration increased from 2.1 to 15 mmol L-1 in 60 h when the diatom Thalassiosira 114 *oceanica* was grown in low nitrate medium, and this was especially notable when the cells 115 reached the stationary phase. In addition, Archer et al. (2010) showed that under conditions of 116 acute photo-oxidative stress Emiliania huxleyi rapidly accumulated DMSP to a level that was 117 21% above that of control cells. Such processes must require an intact and functioning 118

metabolism, and a logical next step is to assess DMSP and DMS production in parallel withassessments of pigments and cell viability.

Emiliania huxleyi and *Thalassiosira pseudonana* are good model species for the major 121 calcifying and silicifying phytoplankton groups and are therefore highly relevant for an 122 investigation into cell physiology and its relationship with biogeochemical processes. We 123 grew cells through the batch cycle and used flow cytometry to examine changes in 124 physiological state using fluorescent cell stains for membrane permeability and enzyme 125 activity. In conjunction with these cell viability assays we investigated the time course of 126 pigment alteration using a high resolution HPLC-LC-MS method that allows the separation 127 and detection of chlorophyll allomers (Airs et al. 2001). In addition, we analysed for DMSP 128 and DMS to address the knowledge gap on the production of these compounds relative to cell 129 viability. 130

131 Methods

Cell culture and growth measurements Unialgal duplicate cultures of Emiliania 132 huxleyi (CCMP 1516; calcifying) and Thalassiosira pseudonana (CCMP 1335) were grown 133 in 500 mL of ESAW/5 media (Enriched Seawater, Artificial Water; Harrison et al. 1980) in 134 1000 mL borosilicate conical flasks. Silica was omitted in E. huxleyi media. 135 Photosynthetically active radiation was supplied at 100 μ mol photons m⁻² s⁻¹ (Biospherical 136 Instruments QSL 2101) from cool white fluorescent tubes, on a 14 h:10 h light:dark cycle 137 (08:00 h - 22:00 h) at a constant temperature of 17°C. Each day at the same time (10:00 h) 138 139 biomass was quantified as cell number, cell (or coccosphere in the case of *Emiliania huxleyi*) volume (Beckman Coulter MS3), and fluorescence (Heinz-Walz GmbH; PHYTO-PAM 140 equipped with a PHYTO-ED measuring head). The efficiency of Photosystem II (Fv:FM; 30 141 142 minute dark-acclimation) was measured at the same time.

Flow cytometry and cell staining Fluorescent staining analyses were conducted with 143 three molecular probes. Two of these have been described as 'live/dead' stains; SYTOX green 144 can be used to measure changes in membrane permeability (Veldhuis et al. 1997; 'dead' cells) 145 and CMFDA is cleaved by a variety of enzymes indicating hydrolytic enzymatic activity (D.J. 146 Franklin and J.A. Berges unpubl. data; Garvey et al. 2007; 'live' cells). SYTOX green 147 (Invitrogen S7020) was applied at a final concentration of 0.5 μ mol L⁻¹ during a 10 minute. 148 culture temperature, dark incubation. Uptake of the stain was compared with unstained 149 150 controls via flow cytometry (BD FACScalibur). SYTOX green was diluted from the supplied 5 mmol L^{-1} in dimethyl sulphoxide stock solution to 0.1 mmol L^{-1} in Milli-Q water and stored 151 frozen (-20°C) prior to use. CMFDA (5-chloromethylfluorescein diacetate; Invitrogen C2925) 152 was added to a final concentration of 10 μ mol L⁻¹ and incubated for 60 min at culture 153 temperature and light conditions. CMFDA was diluted to a concentration of 1 mmol L^{-1} in 154 155 acetone prior to use (Peperzak and Brussaard 2011) before aliquoting and storage at -20°C. SYTOX-green and CMFDA final concentration and incubation time were optimised prior to 156 157 use using heat-killed cells (80°C, 5 min) and the 'maximum fluorescence ratio' approach (Brussaard et al. 2001). We used an adaptation of the protocol of Bidle and Bender (2008) to 158 detect caspase-like activity: cells were stained in vivo with a fluorescein isothiocyanate 159 (FITC) conjugate of carbobenzoxy-valyl-alanyl-aspartyl-[O-methyl]-fluoromethylketone to 160 label cells containing activated caspases (CaspACE; Promega G7462). Caspases are proteases 161 thought to be specific to programmed cell death (see Discussion). CaspACE was added to 162 cells at a final concentration of 0.5 μ mol L⁻¹ and incubated for 30 min at culture temperature 163 in the dark, before flow cytometric analysis. For all stains working stocks were kept at -20°C 164 before use. We used Milli-Q water as a sheath fluid, analyses were triggered on red 165 fluorescence, using 'lo' flow (approximately 20 μ L min⁻¹), and 10,000 events were collected. 166 We used an event rate between 100 and 400 cells s^{-1} to avoid coincidence and when needed, 167 Viability, pigments, and DMSP 8

168	samples were diluted in 0.1 μ m-filtered artificial seawater prior to analysis. Flowset beads
169	(Beckman-Coulter) were analysed at the beginning of each set of measurements and bead
170	fluorescence was used to normalize stain fluorescence (Marie et al. 2005).

171

Photosynthetic pigments Culture samples (20-25 mL) were centrifuged (5300 x g, 20 172 min, 8°C), the supernatant discarded and cells were flash frozen in liquid N₂ and stored at -173 80°C until analysis. Samples were extracted in 0.5 mL acetone under dim light by sonication 174 (Amplitude 35%; Vibra Cell Probe; Sonics) for 45 s. The extract was clarified by 175 centrifugation (10,956 x g, Microcentrifuge 5415; Eppendorf). Reversed-phase high 176 177 performance liquid chromatography (HPLC) was conducted using an Agilent 1200 system with photodiode array detector. Instrument control, data processing and analysis were 178 performed using Chemstation software. Separations were performed in the reversed-phase 179 180 mode using two Waters (Milford, MA, USA) Spherisorb ODS2 C18 3 µm columns (150 x 4.6 mm i.d.) in-line with a pre-column containing the same phase (10 x 5 mm i.d.). A 181 Phenomenex pre-column filter (Security Guard, ODS C18, 4 x 3 mm i.d.) was used to prevent 182 rapid deterioration of the pre-column. Elution was carried out using a mobile phase gradient 183 comprising acetonitrile, methanol, 0.01 mol L^{-1} ammonium acetate and ethyl acetate at a flow 184 rate of 0.7 mL min⁻¹ (Method C in Airs et al. 2001). All solvents were HPLC grade. Liquid 185 chromatography-mass spectrometry (LCMS) analysis was performed using an Agilent 1200 186 HPLC with photodiode array detection coupled via an atmospheric pressure chemical 187 ionisation (APCI) source to an Agilent 6330 ion trap mass spectrometer. The HPLC 188 conditions used were as described above. The MS was operated in the positive ion mode. 189 LCMS settings were as follows: drying temperature 350°C, APCI vaporiser temperature 190 450°C, nebulizer 413700 Pa, drying gas 5 L min⁻¹, capillary voltage -4500 V. Methanoic acid 191 was added to the HPLC eluent post column at a flow rate of 5 μ L min⁻¹ to aid ionisation (Airs 192 Viability, pigments, and DMSP 9

and Keely 2000). Using a combination of high resolution HPLC and LCMS (Airs et al. 2001)
enabled separation and structural assignment of chlorophyll alteration products present in the
samples, as well as routinely detected chlorophylls and carotenoids.

DMSP and DMS Five mL of culture was sampled using gas-tight syringes and gently 196 filtered (25 mm Whatman GF/F) using a Swinnex unit. The filter was then placed into a 4 mL 197 vial containing 3 mL of 0.5 mol NaOH and immediately closed with a screw cap containing a 198 PTFE/silicone septum (Alltech). The vials were kept in the dark and placed in a constant 199 temperature heating block at 30°C overnight to equilibrate. The headspace of the vial was 200 then analysed for DMS by piercing the septum with a gas-tight syringe and injecting 50 μ L 201 into a gas chromatograph (Shimadzu GC-2010 with flame photometric detection). The 202 203 amount of DMSP particulate on the filter was then calculated with reference to standard curves and expressed as a concentration in the cells (Steinke et al. 2000). The filtrate was 204 purged immediately to analyse culture DMS concentration. The filtrate was purged for 15 min 205 $(N_2, 60 \text{ mL min}^{-1})$ in a cryogenic purge-and-trap system; DMS was trapped in a Teflon loop 206 (-150°C), flash evaporated by immersing the loop in boiling water and then injected into the 207 GC (Turner et al. 1990). After purging the DMS from the filtrate, the concentration of 208 DMSP_{dissolved} was determined by transferring 4 mL of the purged filtrate into a 20 mL crimp 209 vial, to which 1 mL of 10 mol NaOH was added and topped up with 10 mL distilled water to 210 maintain a constant analytical volume of 15 mL. The vial was immediately closed with a 211 Teflon coated septum and later analysed by the headspace technique. DMSP_{total} was measured 212 in an unfiltered volume of culture hydrolysed with 0.5 mL of 10 mol NaOH in a vial sealed 213 214 gas-tight with a PTFE-silicone septum.

- 215 **Results**
- 216 *Cell culture and growth measurements*

To minimise the presence of dead cells and debris in the cultures at the beginning of 217 218 the experiment, cultures were closely monitored and grown in semi-continuous mode before measurements commenced. From preliminary work it was clear that both Emiliania huxleyi 219 220 and Thalassiosira pseudonana biomass would consistently achieve a final yield of approximately 2.5 x 10⁶ cells mL⁻¹ with a specific growth rate (μ d⁻¹) of 0.6 under our culture 221 222 conditions. By calculation, nitrogen should have been limiting in both species at this point assuming cells were using nutrients in the Redfield ratio. We performed 'add-back' 223 experiments to test what controlled limitation (data not shown). These experiments indicated 224 that for T. pseudonana nitrogen clearly caused growth limitation; when nitrate was added 225 226 back cell number increased. The pattern for E. huxleyi was less clear as no obvious increase in *E. huxleyi* biomass was stimulated by adding back either nitrate or phosphate. After the onset 227 of stationary phase E. huxleyi cell number remained constant for 20 days whereas T. 228 229 pseudonana cell number began to decline after 5 days, and over the next 20 days declined by 65% (Fig. 1A). E. huxleyi coccosphere volume increased after the growth phase from a mean 230 of about 35 μ m³ to almost 80 μ m³ at the end of the stationary phase. *T. pseudonana* also 231 232 increased in cell volume, but by less than E. huxleyi coccosphere volume; the increase in cell volume stabilised after the growth phase at about 50 µm³ (Fig. 1A). T. pseudonana dark-233 acclimated F_V:F_M (Maximum photosystem II efficiency; PS II efficiency; Kromkamp and 234 Forster 2003) declined from a maximum of 0.6 in early log-phase to zero after 5 days in 235 stationary phase. E. huxleyi dark-acclimated F_V:F_M remained constant at approximately 0.5 236 (Fig. 1B). Culture fluorescence declined after the onset of stationary phase in both species 237 (Fig. 1C). During this decline it was possible to discriminate two subpopulations by flow 238 cytometry (see below). 239

240

241 Flow cytometry and cell staining

Light scattering. Over the transition from growth to stationary phase *Emiliania huxleyi*forward scatter increased and side scatter became more variable. An increase in *T*.

pseudonana forward scatter was also evident over the transition but no obvious change in sidescatter developed (data not shown).

Pigment fluorescence. During growth all *Emiliania huxleyi* cells had the same, slightly 246 increasing, pigment fluorescence (data not shown). During the stationary phase all cells 247 248 declined in pigment fluorescence and a 'low-red' subpopulation developed (Fig. 2). This subpopulation doubled in size during the stationary phase, from approximately 6 to 12% of all 249 cells. Low-red E. huxleyi cells were not obviously different in terms of forward and side 250 251 scatter compared to 'normal' cells. T. pseudonana cells also declined in average pigment fluorescence after the onset of stationary phase and low-red cells accounted for almost 50% of 252 cells towards the end of the sampling period. As in *E. huxleyi*, *T. pseudonana* low-red cells 253 254 did not obviously differ from normal cells in their forward and side scatter characteristics (data not shown). 255

SYTOX green staining. *E. huxleyi* showed <5% labeled cells throughout the
experiment; neither the low-red nor normal cells labeled with SYTOX green, indicating that
almost all cells, of both cell types, had intact plasma membranes over the duration of the
monitoring period. In contrast, *T. pseudonana* had low numbers of labeled cells (<2%) until
the stationary phase whereupon the percentage of labeled cells rose rapidly to a maximum of
25% on the last sampling day (Fig. 3).

CMFDA staining. Within the growth phase *E. huxleyi* cells showed clear differences
in CMFDA metabolism. Most cells metabolised the probe and become highly fluorescent;
however about 20% of cells showed no increased fluorescence and were similar to unstained
controls (Fig. 4A). This difference remained roughly constant throughout the stationary phase
(Fig. 4B). Further, in *E. huxleyi* the 'high CMF' population increased their CMFDA
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metabolism in the stationary phase (Fig. 4C). The low red E. huxleyi cells that increased 267 slightly in abundance throughout the experiment did not metabolise the probe; low red cell 268 green fluorescence was comparable to unstained cells. T. pseudonana did not show this intra-269 270 population variability; all cells within the population exhibited a significant decline (linear regression; p=0.001) in CMFDA fluorescence over the transition from active growth to 271 stationary phase (Fig. 4C). However, even in the death phase, T. pseudonana cells showed 272 273 CMFDA fluorescence that was elevated relative to unstained controls (data not shown). 274 CaspACE staining. E. huxleyi CaspACE fluorescence increased during the experiment with both types of cells (normal and low red) showing a similar level of fluorescence due to 275 CaspACE binding. Amongst normal E. huxleyi cells there was a significant increase (linear 276 regression; p=0.001) in CaspACE binding over time (Fig. 5). There was no significant trend 277 (linear regression; p=0.05; Fig. 5) in T. pseudonana CaspACE fluorescence with time, and as 278

279 with *E. huxleyi* cells, there was no obvious difference between normal and low-red *T*.

280 *pseudonana* cells (data not shown).

281 *Photosynthetic pigments*

Chemical assignment. During reversed-phase HPLC, chlorophyll allomers typically 282 elute in the region of the chromatogram immediately prior to chlorophyll *a* (Walker et al. 283 2002) and most exhibit UV-vis spectra indistinguishable from chlorophyll a. In extracts from 284 this study, five components (I-V, Fig. 6) eluted in the region expected for chlorophyll 285 allomers. Components I and III were assigned as 13^2 -hydroxy-chlorophyll *a* (see structure 286 inset, Fig. 6) and 13^2 -hydroxy-chlorophyll a', and components IV and V were assigned as (S)-287 13^2 -methoxy-chlorophyll *a* and (*R*)- 13^2 -methoxy-chlorophyll *a*, respectively, by comparison 288 to published MS/MS data (Table 1; Walker et al. 2002). Component II exhibited similar 289 analytical data to Chl a (Table 1), showing a 2 Da difference in protonated molecule and 290 common major ions in MS^2 (Table 1). The phytyl chain of chlorophyll *a* is lost as phytadiene, 291 Viability, pigments, and DMSP 13

resulting in a loss of 278 Da during APCI-LCMSⁿ (Airs et al. 2001; Table 1). The loss of 276 Da from the protonated molecule of component II indicates that the structural difference from Chl *a* originates on the phytyl chain and is likely to be due to an additional double bond. This component has been assigned previously in a culture of *Pavlova gyrans* (Bale 2010). One of the final stages in the biosynthesis of chlorophyll *a* is the conversion of geranylgeraniol to phytol by saturation of three of its double bonds (Rudiger 2006). Component II, referred to from here on as Chl a_{P276} , may therefore be a biosynthetic precursor to chlorophyll *a*.

299 Pigment changes during growth limitation

Of the alteration products observed, methoxychlorophyll a was present at highest 300 301 concentrations relative to chlorophyll a in both Emiliania huxleyi and Thalassiosira *pseudonana* (Fig. 7A). In both cultures Chl a_{P276} was highest in the active growth phase, 302 303 consistent with its assignment as a biosynthetic precursor to chlorophyll a. In T. pseudonana, 304 methoxychlorophyll *a* increased relative to chlorophyll *a* during the transition from cell division to the stationary phase (Fig. 7A). The concentration of methoxychlorophyll a stayed 305 high relative to chlorophyll *a* into the diatom death phase, before declining to undetectable 306 307 levels (Fig. 7A). The ratio of hydroxychlorophyll *a*:Chl *a* showed a slight increase in *T*. pseudonana during the transition, mirroring the profile of methoxychlorophyll a. No increase 308 309 in the ratio of methoxychlorophyll or hydroxychlorophyl a to chlorophyll a was observed in E. huxleyi cultures (Fig. 7A). The carotenoid:chlorophyll a ratio remained constant in E. 310 huxleyi (Fig. 7B) but steadily increased in T. pseudonana. In E. huxleyi, the reduction in 311 carotenoids closely tracked the reduction in chlorophyll, consistent with a controlled 312 313 reduction of cellular pigment concentration. In T. pseudonana, the increase in the carotenoid:chlorophyll ratio occurred because of a more rapid decrease in chlorophyll relative 314 to carotenoids. 315

316 *DMSP and DMS*

317	Over the course of the experiment, <i>E. huxleyi</i> cultures significantly (linear regression;
318	p=0.001) accumulated DMSP (DMSP _{total}) whereas <i>T. pseudonana</i> DMSP _{total} showed no
319	significant relationship with time ($p=0.05$). Within the <i>T. pseudonana</i> dataset however, a
320	decline in DMSP _{total} is suggested within the stationary/death phase (Fig. 8A). The intracellular
321	concentration of DMSP (DMSP _{cell} ; Fig. 8B) showed no significant trend with time ($p=0.05$) in
322	both species over the whole course of the experiment, and was consistent within the
323	stationary/death phase at approximately 120 mmol L^{-1} (<i>E. huxleyi</i>) and 35 mmol L^{-1} (<i>T</i> .
324	pseudonana). However, between days 0 and 10 there was a notable increase in T. pseudonana
325	DMSP _{cell} from 0.7 to 34 mmol L ⁻¹ . The divergence between DMSP _{total} and DMSP _{cell} in <i>E</i> .
326	huxleyi can be explained by the increased coccosphere volume in stationary phase; E. huxleyi
327	coccosphere volume increased with time (Fig. 1A). The concentration of DMS in both
328	cultures increased significantly over the course of the experiment ($p=0.05$). In T. pseudonana
329	DMS increased from 5 nmol L^{-1} to 90 nmol L^{-1} and from 10 nmol L^{-1} to 42 nmol L^{-1} in <i>E</i> .
330	huxleyi (Fig. 8C). DMSP _{dissolved} increased in both species after the growth phase, to around 2
331	μ mol L ⁻¹ in <i>E. huxleyi</i> and around 1.25 μ mol L ⁻¹ in <i>T. pseudonana</i> (data not shown).

332 **Discussion**

The main finding of our work was that the response of the two model species to 333 nutrient limitation was quite different. Establishing the specific nutrient that is limiting is 334 important to place the work into an environmental context. Add-back experiments are a useful 335 way of verifying the limiting nutrient (La Roche et al. 1993) and clearly indicated N-336 limitation as the cause of growth limitation in our Thalassiosira pseudonana cultures. The 337 338 add-back data were ambiguous for Emiliania huxleyi. We suggest that the timing of the add-339 back is important and we may have been too late in adding the nutrients (which we did just before the plateau). We hypothesize that E. huxleyi cells may have already committed to 340

transforming into a 'persister' form by the time the extra nutrients were delivered and thus the 341 342 add-back of limiting nutrient had no effect. We find the fact that Loebl et al. (2010) find similar patterns in E. huxleyi PS II efficiency, and biomass, under N-deprivation quite 343 compelling as it provides support for N being the cause of growth limitation in our 344 experiments. However, Loebl et al. (2010) used a different type of experimental manipulation 345 (centrifugation of cells and resuspension in N-free media) which would have resulted in 346 347 somewhat different environmental conditions for the cells. Regardless of the method of inducing N-limitation however, the tolerance of *E. huxleyi* to endure growth-limiting 348 conditions were clearly superior to that of T. pseudonana. 349

Knowing whether cells are viable is important in order to scale metabolic parameters 350 such as exudation rates or primary production (Garvey et al. 2007). In this study, we have 351 linked an assessment of viability with the alteration of two classes of compounds important in 352 biogeochemical cycles. Viability is 'the quality or state of being viable; the capacity for 353 354 living; the ability to live under certain conditions' (Oxford English Dictionary), and in cell biology, the concept of viability is generally extended to a notion of having the capacity to 355 divide in the future. Whether or not a cell divides in the future will be determined by the 356 environment and the environment may change. Therefore it is difficult to assess viability with 357 358 existing live/dead staining techniques, as these do not reveal the capacity for cell division after being stained. Indeed, some staining procedures can themselves be toxic (e.g., some 359 DNA stains; Nebe von Caron, 2000) precluding a sort of cells on the basis of their staining 360 characteristics and subsequent monitoring for cell division. Instead, live/dead staining 361 362 methods test some physiological correlate of being alive, such as membrane permeability or enzyme activity. Such physiological correlates are 'validated' by abolishing them via cell 363 killing with heat, chemical fixation or some other method. Since it is possible to generate a 364

complicated spectrum of states with such methods, making simple categorisation difficult, 365 366 and the performance of the stains is variable between species (Brussaard et al. 2001), the use of live/dead stains has been limited in eukaryotic microbial ecology (Garvey et al. 2007). 367 Nevertheless, these methods are at present the 'state of the art' and they have given valuable 368 insight into the role of mortality in the microbial foodweb (Veldhuis et al. 2001). We show 369 370 here that the coccolithophore *Emiliania huxleyi* has a very different response to growth 371 limitation than the diatom *Thalassiosira pseudonana*. Benthic 'resting stages' are known in a number of *Thalassiosira* species (Lewis et al. 1999) but during the decline in our cultures we 372 saw no obvious change in cell morphology. The ability to form resting stages has not been 373 374 recorded in this strain/clonal isolate, and even if this ability did exist, it may have been lost in culture. T. pseudonana biomass remained constant for approximately 8 days before cell loss 375 due to lysis became apparent (Fig. 1A) and throughout this period the efficiency of PS II 376 377 declined in a pattern similar to that seen in T. weissflogii (Berges and Falkowski 1998) likely indicating a process of intracellular protein degradation brought about by nitrogen 378 379 deprivation. Such internal degradation leads to a dismantling of the photosynthetic apparatus 380 and the loss of photosynthetic pigment fluorescence. Both of these processes were very clear in our dataset; the loss of pigment fluorescence ('chlorosis'; Geider et al. 1993) correlated 381 382 with decreased enzyme activity and increased membrane permeability. This process was especially clear in the diatom but a more subtle process occurred in the coccolithophore. 383 Fluorescence due to CaspACE binding did not increase during the decline in diatom biomass. 384 Using the same strain of T. pseudonana (CCMP 1335) Bidle and Bender (2008) noted 385 increased CaspACE binding (expressed as % of cells stained) during the cell lysis of T. 386 pseudonana after stationary phase. Even higher binding was observed in Fe-limited biomass 387 declines, and CaspACE binding was most prominent in cells with low fluorescence. 388 Upregulation of caspases may therefore be more likely under Fe-limited conditions. An 389 Viability, pigments, and DMSP 17

ongoing difficulty in the use of caspase-activity stains in the interpretation of cell death 390 391 processes is the lack of good positive controls. Cell differentiation to a resting stage is not a recognised pathway in coccolithophores, which may instead switch to a motile, haploid form 392 during stressful conditions and thereby exploit a different ecological niche (Frada et al. 2008). 393 However, the persistence of E. huxleyi during stationary phase in our study did not seem to be 394 accompanied by meiosis, as assessed by periodic microscopy on our cultures. Increases in 395 396 intracellular enzyme activity were clear from both CMFDA and CaspACE results, 397 highlighting perhaps the requirement for hydrolytic enzymatic activity to be present in the cell for the successful detection of caspase-like activity. In the absence of other measurements 398 399 (see below), there are three interpretations of increased CaspACE binding in E. huxleyi; 1) an increase in proteolytic activity within the cell related to a shift to a low metabolic state (which 400 nevertheless retains photosynthetic pigmentation), or 2) intracellular reorganisation related to 401 402 the induction of meiosis, or 3) programmed cell death (PCD) in moribund cells, potentially leading to an apoptotic morphology but with intact plasma membranes (the timing of 403 404 membrane permeability failure may therefore be late in E. huxleyi PCD). Of these two possibilities we suggest that 1) or 2) is the safest interpretation because we do not have 405 accompanying measurements of the other processes thought to be part of PCD and which 406 407 would result in apoptosis (e.g., DNA fragmentation, phosphatidylserine inversion). Additional complications in the interpretation of the CaspACE data are that caspases may have 408 alternative functions to PCD (Lamkanfi et al. 2007); in general, the clan to which caspases 409 410 belong (clan CD, family C14) is poorly understood in protists (Vercammen et al. 2007). 411 Although our two species showed different responses to growth limitation in many respects, one common element was the formation of low-red or chlorotic cells. As a 412

413 proportion of the total cell population chlorotic cells became more abundant in the diatom

cultures. The formation of chlorotic cells has been well noted before, in diatoms 414 415 (Phaeodactylum tricornutum; Geider et al. 1993) and also in cyanobacteria (Synechococcus PCC 7942; Sauer et al. 2001). After the onset of nitrogen deprivation Synechococcus PCC 416 417 7942 shows an immediate and substantial reduction in protein content leading to the formation of an ultra-low metabolism resting stage (Sauer et al. 2001). In P. tricornutum cell 418 pigmentation changes rapidly as part of an adaptive and reversible response to self-shading 419 420 thereby tuning photosynthetic activity to the available resources. Given our dataset it appears the response of *E. huxleyi* to nutrient limitation resembles that of *Synechococcus* in that whilst 421 there was an immediate change in pigment content per cell, photosynthetic efficiency was 422 423 unchanged and there was also no change in the pigment profile. Such a conclusion is reinforced by the recent finding that the high PSII repair capability of E. huxleyi means that it 424 is well-adapted to endure nutrient deplete conditions (Loebl et al. 2010). In contrast, T. 425 426 pseudonana showed a rapid decline in photosynthetic efficiency as pigment content declined and the pigment profile also changed. It is possible that the formation of chlorotic cells had 427 428 different causes: in E. huxleyi, where the proportion of chlorotic cells did not increase as much as in the diatom population, the ultimate cause of cell chlorosis may have been cell 429 cycle stage at a critical point in the onset of nutrient deprivation whereas in T. pseudonana, 430 431 the higher proportion of chlorotic cells after nutrient deprivation suggests that all cells were destined to share the same fate. These two ideas are not mutually exclusive however since we 432 did not assess the degree of cell-cycle synchrony; it may have been that the T. pseudonana 433 cells were in synchronised division at the onset of nutrient deprivation. This seems unlikely; 434 diatom cultures often require an experimental treatment (such as silica starvation) to induce 435 synchrony (Hildebrand et al. 2007) and were therefore unlikely to be undergoing synchronous 436 division. Resolving population cell cycle stage in parallel with assessments of physiological 437 staining would be beneficial in further investigations of these responses. In conclusion, 438

CMFDA and SYTOX-green worked well as indicators of changing cell condition and yielded 439 440 robust information. Our dataset highlights the necessity of making observations over a relatively long period in order to gather context and to avoid simple categorisations 441 (live/dead) without such context. The increase in E. huxleyi CMFDA fluorescence during the 442 stationary phase for example, clearly represents a process of cellular reorganisation, but cells 443 did not become 'more alive'. Simplifications about cell states (e.g., 'active' and 'inactive') 444 445 remain difficult using existing methods. Bacterioplankton, for example, display an enormous range of metabolic states in natural populations (Smith and del Giorgio 2003; Pirker et al. 446 2005). Development of simultaneous and multi-staining approaches in eukaryotic 447 448 microbiology should help in revealing all, or most, of the physiological heterogeneity within these populations. 449

This is the first study to investigate the formation of chlorophyll oxidation (allomer) 450 451 products in conjunction with measurements of photosynthetic efficiency and loss of cell viability in phytoplankton cultures. The chlorophyll oxidation products detected, 452 methoxychlorophyll and hydroxychlorophyll, are common products in laboratory studies of 453 chlorophyll allomerisation reactions (Hynninen and Hyvärinen 2002; Jie et al. 2002). 454 Methoxychlorophyll a however, has not been reported previously in eukaryotic 455 456 phytoplankton. Methoxychlorophyll a and hydroxychlorophyll a increased relative to chlorophyll a from day 30 onwards in T. pseudonana cultures, by which point the dark-457 acclimated F_V:F_M had declined from 0.6 to 0.1. In contrast to T. pseudonana, the relative 458 concentration of hydroxychlorophyll a and methoxychlorophyll a remained constant in E. 459 huxleyi, as did the dark-acclimated F_V:F_M and percentage of SYTOX-green stained cells. 460 Similarly, Bale (2010) found that the relative proportion of hydroxychlorophyll *a* remained 461 constant in *E. huxleyi* over a 40 day period in batch culture. In *T. pseudonana*, the reduction in 462 maximum PS II efficiency and increase in the relative abundance of chlorophyll oxidation 463 Viability, pigments, and DMSP 20

products preceded the increase in the percentage of cells labeled with SYTOX-green. The 464 465 relative increase in chlorophyll alteration products may therefore serve as an early indicator of loss of cell viability. Although methoxychlorophylls have not been reported previously in 466 pigment studies of senescent phytoplankton, detritus or sediments they have been detected in 467 cyanobacteria (R.A. Airs unpubl. data) and further high resolution LCMS studies may reveal 468 methoxychlorophyll to be a common early transformation product in phytoplankton. 469 470 Hydroxychlorophyll *a* has been detected in field samples from phytoplankton blooms in the Celtic Sea and North Atlantic (Walker and Keely 2004; Bale 2010), and chlorophyll allomer-471 type components are commonly detected in field samples, even when routine rather than high 472 473 resolution HPLC methods are applied (R.A. Airs unpubl. data). From the higher relative abundance of methoxychlorophyll a than hydroxychlorophyll a in our cultures, the detection 474 of hydroxychlorophyll *a* in field samples indicates that the likelihood of detecting 475 476 methoxychlorophyll *a* in field samples is good. The effect of these early chlorophyll alterations on the overall light absorption of the cell, and hence the potential of these 477 478 alterations to be detected by remote methods is, however, unknown. A trace of pheophytin a (magnesium-free chlorophyll a) was detected in both cultures throughout the experiment (data 479 not shown), contributing at levels <10% of the other chlorophyll alteration products detected. 480 481 Pheophytin a has been shown to be present in healthy cells, due to its role as a primary electron acceptor of Photosystem II (Klimov 2003). Both chlorophyllide a, and its 482 magnesium-free counterpart pheophorbide *a*, have been associated with senescence in earlier 483 studies (Jeffrey and Hallegraeff 1987; Louda et al. 2002). These compounds were not 484 detected, however, during this study. How senescence is defined within an experiment, the 485 method of senescence induction, the timescale of experiments as well as the presence or 486 absence of cellular enzymes (e.g., chlorophyllase) are likely to influence the specific 487 alterations of chlorophyll *a*. 488

There are a number of sources and sinks of DMS and its precursor DMSP within the 489 490 microbial foodweb. The intracellular concentration of DMSP in phytoplankton cells is the primary driver of ecosystem DMS emission, and certain microalgae synthesize DMSP in 491 response to environmental factors such as light (Archer et al. 2010) and nitrogen depletion 492 (Bucciarelli and Sunda 2003). DMSP can be released from algal cells by grazing and viral 493 lysis and these pathways may also elevate DMS levels by bringing algal enzymes that release 494 495 DMS from DMSP into more intimate contact with the substrate (Stefels et al. 2007). In addition bacteria demethylate DMSP, release DMS from DMSP and oxidise DMS to DMSO 496 (Schaefer et al. 2010). Depending upon the bacterial genera present and pathways involved 497 498 the DMS concentration can increase or decrease. However, it is interesting to note that the direct release by phytoplankton cells is suggested by modelling work to be the dominant 499 500 factor in explaining natural DMS seasonality (Gabric et al. 2008).

501 In order to be useful as an antioxidant or an overflow compound the intracellular concentration of DMSP would need to vary actively in response to environmental stress. To 502 503 estimate intracellular DMSP concentration it is necessary to have an accurate estimate of cell volume. In coccolithophores, this is complicated by the presence of the coccolith layer, the 504 coccosphere, around the cell and in diatoms the intracellular vacuolar space provides a similar 505 506 complication. During the stationary phase, coccolithophore calcification can continue after cell division stops (Lakeman et al. 2009) potentially leading to multi-layered coccospheres. 507 Acidification can remove coccoliths prior to cell volume measurement but unfortunately we 508 did not do this in the present study so our conclusion of a constant DMSP_{cell} concentration in 509 510 stationary phase *Emiliania huxleyi* is based on an assumption of increasing cell volume. Stefels et al. (2007) point out that cells generally decrease in volume with nitrogen starvation. 511 It is possible that in the present study the cell volume decreased whilst overall coccosphere 512 volume increased, in which case intracellular DMSP concentration would also have increased. 513 Viability, pigments, and DMSP 22

We recommend measuring acidified and non-acidified samples for volume estimates in future 514 515 studies. The realisation of how important this can be is currently spreading with some studies (Archer et al. 2010) acidifying to make accurate estimates of cell volume whereas older 516 517 studies tended not to do this. We are not aware of any studies quantifying vacuolar changes in Thalassiosira pseudonana during nutrient limitation and taking our data at face value the 50-518 fold increase in intracellular DMSP concentration with nitrogen starvation confirms our 519 520 original hypothesis. In nutrient-replete culture diatoms generally have lower concentrations of DMSP than representatives of other major phytoplankton groups (Stefels et al. 2007), so it has 521 often been assumed that diatoms cannot be a major source of DMS in the marine 522 523 environment. However, considering the data presented here and elsewhere (Sunda et al. 2002; Bucciarelli and Sunda 2003; Harada 2009), alongside estimates that diatom primary 524 production accounts for ~40% of the global total (Falkowski et al. 1998), it is clear that the 525 526 overall diatom contribution may be greater than previously assumed.

527 Our study indicates that two important phytoplankton species have fundamentally different responses to nutrient deprivation. These different responses reflect the ecology of 528 their groups in nature, and our assessment of physiological state reveals that E. huxleyi is 529 much better able to cope with nutrient deprivation than T. pseudonana, through a cellular 530 reorganisation which may involve caspase-like activity and DMSP production. T. pseudonana 531 shows a substantial increase in DMSP concentration in response to nitrogen limitation and 532 533 dies and lyses rapidly. We show for the first time that methoxychlorophyll a appears in T. pseudonana before membrane permeability is lost and lysis begins. Methoxychlorophyll a 534 535 could therefore be a useful indicator of diatom senescence.

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		2 ob	
Peak	Main UV-vis	Full MS and MS ² ions ^{a,0}	Assignment
no.	absorption bands		
	(nm)		
Ι	430, 664	Full MS: [M+H] ⁺ 887 (100); MS ² (887): 869 ([M+H] ⁺ -18; 2), 609 ([M+H] ⁺ -278; 100), 591 ([M+H] ⁺ -278-18;	Hydroxychlorophyll a
		50), 549 ([M+H] ⁺ -278-60; 15)	
II	432, 664	Full MS: [M+H] ⁺ 869 (100); MS ² (869): 837 ([M+H] ⁺ -32; 5), 593 ([M+H] ⁺ -276; 100), 533 ([M+H] ⁺ -276-60;	Chlorophyll a_{p276}
		80)	
III	432, 664	Full MS: $[M+H]^+ 887 (100); MS^2 (887): 869 ([M+H]^+-18; 5), 609 ([M+H]^+-278; 100), 591 ([M+H]^+-278-18; 5))$	Hydroxychlorophyll a´
		50), 549 ([M+H] ⁺ -278-60; 10)	
IV	422, 664	Full MS: $[M+H]^+$ 901 (60), 869 (100); MS ² (901): 869 ($[M+H]^+$ -32; 25), 623 ($[M+H]^+$ -278; 10), 591 ($[M+H]^+$ -	Methoxychlorophyll a
		278-32; 100), 559 ([M+H] ⁺ -278-32-32; 15), 531 ([M+H] ⁺ -278-32-60; 40); MS ² (869): 591 ([M+H] ⁺ -278; 100),	
		559 ([M+H] ⁺ -278-32; 15), 531 ([M+H] ⁺ -278-60; 30)	
V	420, 662	Full MS: $[M+H]^+$ 901 (90), 869 (100); MS ² (901): 869 ($[M+H]^+$ -32; 2), 623 ($[M+H]^+$ -278; 60), 591 ($[M+H]^+$ -	Methoxychlorophyll a
		278-32; 60), 559 ([M+H] ⁺ -278-32-32; 5), 531 ([M+H] ⁺ -278-32-60; 50); MS ² (869): 591 ([M+H] ⁺ -278; 100),	
		559 ([M+H] ⁺ -278-32; 5), 531 ([M+H] ⁺ -278-60; 30)	
VI	432, 664	Full MS: [M+H] ⁺ 871 (100); MS ² (871): 839 ([M+H] ⁺ -32; 5), 593 ([M+H] ⁺ -278; 100), 533 ([M+H] ⁺ -278-60;	Chlorophyll <i>a</i>
		75)	

Table 1. Assignment of chlorophyll and related alteration products in cultures of *Emiliania huxleyi* and *Thalassiosira pseudonana*.

^aAll chlorophyll derivatives appear as demetallated ions due to post column demetallation prior to sequential mass scanning (Airs and Keely 2000; *see* Methods).

^bFull MS: relative abundance shown in parentheses. MS^2 : Precursor ion indicated in parentheses. MS^2 ions: relationship to $[M+H]^+$ and relative abundance indicated in parentheses.

Figure legends

Figure 1. (A) Cell number and cell volume, (B) Efficiency of Photosystem II (dark-adapted $F_V:F_M$), and (C) In vivo fluorescence in duplicate *Emiliania huxleyi* and *Thalassiosira pseudonana* batch cultures (mean and standard error) during cell division, the transition from cell division to stationary phase, and the death phase (*T. pseudonana* only).

Figure 2. Representative biparametric plots of red and green fluorescence in *Emiliania huxleyi* and *Thalassiosira pseudonana* batch cultures. The plots indicate the process of chlorosis (the reduction in cellular pigment fluorescence over time) in batch cultures. At day 0 both species show single populations with consistently high red (pigment) fluorescence; by day 23 two populations are apparent and are highlighted by the regions overlaid on the plot. Cells transitional between the two states are visible, indicating that the low red population arises via chlorosis of the high red population.

Figure 3. Membrane permeability (SYTOX-green staining) during nutrient depletion in *Emiliania huxleyi* and *Thalassiosira pseudonana*. (A) shows representative biparametric polts for both species at day 23. (B) shows the % of SYTOX-stained cells over time (mean and standard error). Note that 'stained cells'= Q1+Q2. Q1=stained debris and stained 'low-red' cells, Q2=stained 'normal' cells, Q3=unstained normal cells and Q4=unstained debris and unstained low-red cells.

Figure 4. Hydrolytic enzyme activity (CMFDA staining) during nutrient depletion in *Emiliania huxleyi* and *Thalassiosira pseudonana*. A) shows representative biparametric plots for both species at day 23: note the clear separation of the *E. huxleyi* population into a 'high' and 'low' CMF population as indicated by the superimposed regions on the plot. B) shows relative % of high and low CMF cells over the course of growth and stationary phase in *E. huxleyi*. Finally, C) shows normalised CMF fluorescence within the high *E. huxleyi* Viability, pigments, and DMSP 32

population and all *T. pseudonana* cells (mean and standard error). n.b. CMF fluorescence was normalised to a fluorescence standard, flowset beads (*see* text), which were analysed simultaneously.

Figure 5. Changes in CaspACE binding in normal cells (*see* text) during nutrient depletion in *Emiliania huxleyi* and *Thalassiosira pseudonana* batch cultures (mean and standard error). n.b. CaspACE fluorescence was normalised to a fluorescence standard, flowset beads (*see* text), which were analysed simultaneously.

Figure 6. Partial HPLC chromatogram (660 nm) showing elution position (relative to chlorophyll *a*) of chlorophyll alteration products detected. For peak assignments *see* Table 1.

Figure 7. (A) Ratio of total methoxychlorophyll a to Chl a and total hydroxychlorophyll a + chl a p₂₇₆ to Chl a in *T. pseudonana* and *E. huxleyi* and (B) ratio of total carotenoid to Chl a in *T. pseudonana* and *E. huxleyi* (mean and standard error) in nutrient-limited batch cultures.

Figure 8. (A) DMSP_{total} (μ mol L⁻¹), (B) DMSP_{cell} (mmol L⁻¹), and (C) DMS (nmol L⁻¹) in duplicate *Emiliania huxleyi* (circles) and *Thalassiosira pseudonana* (triangles) batch cultures (mean and standard error) over the batch growth cycle.



Figure 1







Figure 3



Figure 4



Figure 5



Figure 6



Figure 7



