

Buildings of classical groups and centralizers of Lie algebra elements

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Abstract

Let F_o be a non-archimedean locally compact field of residual characteristic not 2. Let G be a classical group over F_o (with no quaternionic algebra involved) which is not of type A_n for $n > 1$. Let β be an element of the Lie algebra \mathfrak{g} of G that we assume semisimple for simplicity. Let H be the centralizer of β in G and \mathfrak{h} its Lie algebra. Let I and I_β^1 denote the (enlarged) Bruhat-Tits buildings of G and H respectively. We prove that there is a natural set of maps $j_\beta : I_\beta^1 \rightarrow I$ which enjoy the following properties: they are affine, H -equivariant, map any apartment of I_β^1 into an apartment of I and are compatible with the Lie algebra filtrations of \mathfrak{g} and \mathfrak{h} . In a particular case, where this set is reduced to one element, we prove that j_β is characterized by the last property in the list. We also prove a similar characterization result for the general linear group.

Introduction

In this paper we establish new functoriality properties between affine Bruhat-Tits buildings of classical reductive groups over local fields. More precisely let F_o be a non-archimedean local field of residual characteristic not 2 and G be the group of F_o -rational points of a classical group defined over F_o . We assume that G is the isometry group of an ε -hermitian form over an F -vector space, where F is a (commutative) extension of F_o of degree less than 2. We denote by \mathfrak{g} the Lie algebra of G and by I its affine building. Let β be an element of \mathfrak{g} that we assume to be semisimple for simplicity. Let H be the centralizer of β in G . Then H is the group of F_o -rational points of a product of groups of the form $\text{Res}_{E_o/F_o} \mathbf{H}_i$, where E_o/F_o is a field extension and where Res denotes the functor of restriction of scalars. Here i runs over a finite set \tilde{J} . Each \mathbf{H}_i is either a classical group as above or a general linear group. We denote by $J_+ \subset \tilde{J}$ the (possibly empty) subset of indices corresponding to linear groups. We denote by \mathfrak{h} the Lie algebra of H and by I_β^1 its (enlarged) affine building. Then there is a natural set of maps $j_\beta : I_\beta^1 \rightarrow I$ which depend on identifications of the enlarged buildings of \mathbf{H}_i , $i \in J_+$, with certain sets of lattice functions (see §4 below). In particular, when $J_+ = \emptyset$, there is a natural choice of j_β . The maps j_β enjoys the following properties:

- a) They are affine.
- b) They are H -equivariant.
- c) They map any apartment of I_β^1 into an apartment of I .
- d) They are compatible with the Lie algebra filtrations of \mathfrak{g} and \mathfrak{h} (cf. §9).

In [BL] it was proved that when G is the general linear group and β is an elliptic element then, replacing the buildings by the non-enlarged buildings, there is such a natural map j_β satisfying the conditions above. It is actually characterized by properties

a) and b). However in the case of a classical group (and assuming that $J_+ = \emptyset$) it is no longer true that properties a), b) and c) characterize j_β . The simplest counter-example is the following. Consider the case of $G = \mathrm{Sp}_2(F_o) = \mathrm{SL}(2, F_o)$. One may choose β is such a way that H is E^1 , the group of norm 1 elements of a ramified quadratic extension E/F_o . Then I_β^1 is reduced to a point and fixing a map j_β satisfying a) b) and c) amounts to choosing a point in I fixed by the torus E^1 . But E^1 is contained in an Iwahori subgroup of G and therefore fixes a chamber of I .

We prove that, in the case of a general linear group and of an elliptic element β , the map j_β of [BL] is actually characterized by property d). In the case of a classical group, we also prove that if $J_+ = \emptyset$ and if a technical condition on β is satisfied then j_β is characterized by condition d). We conjecture that when $J_+ = \emptyset$ then j_β is indeed characterized by property d).

In this work, we do not actually assume β to be semisimple but only to satisfy a weaker assumption (see hypothesis (H1) of §5). Such elements naturally appear in the generalization of the theory of strata due to Bushnell and Kutzko [BK] to the case of classical groups (see the work of the second author [S1], [S2]. Even though the work of the second author does not use the theory of affine buildings in a straightforward way (it uses the equivalent language of hereditary orders), the existence and properties of the maps j_β are applied to the representation theory of G , particularly in [S2].

The paper is organized as follows. In §2 we recall the structure of the maximal split tori of G . In §3,4, using ideas of Bruhat and Tits, we give a model of the affine building of G in terms of “self-dual lattice functions”. In §5 we study the centralizers in \mathfrak{g} and G of the Lie algebra element β . The construction of the maps j_β is done in §6 and their properties are established in §7,8 and 9. In §10 We prove the uniqueness result for the general linear group and finally §11 is devoted to the uniqueness result in the classical group case.

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1. Notation

Here F_o is the ground field; it is assumed to be non-archimedean, locally compact and equipped with a discrete valuation v normalized in such a way that $v(F_o^\times)$ is the additive group of integers. We assume that the residual characteristic of F_o is not 2. We fix a Galois extension F/F_o such that $[F : F_o] \leq 2$ and set $\sigma_F = \mathrm{id}_F$ if $F = F_o$ and take σ_F to be the generator of $\mathrm{Gal}(F/F_o)$ in the other case. We still denote by v the unique extension of v to F . We fix $\varepsilon \in \{\pm 1\}$ and a finite dimensional left F -vector space V . Recall that a σ_F -skew form h on V is a \mathbb{Z} -bilinear map $V \times V \rightarrow F$ such that

$$h(\lambda x, \mu y) = \lambda^{\sigma_F} \mu h(x, y) , \quad \lambda, \mu \in F, \quad x, y \in V .$$

Such a form is called ε -hermitian if $h(y, x) = \varepsilon h(x, y)^{\sigma_F}$ for all $x, y \in V$. From now on we fix such an ε -hermitian form on V and we assume it is non-degenerate (the orthogonal of V is $\{0\}$).

For $a \in \mathrm{End}_F(V)$, we denote by $a^{\sigma_h} = a^\sigma$ the adjoint of a with respect to h , i.e. the unique F -endomorphism of V satisfying $h(ax, y) = h(x, a^\sigma y)$ for all $x, y \in V$.

We denote by \mathbf{G} the simple algebraic F_o -group whose set of F_o -rational points G is formed of the $g \in \mathrm{GL}_F(V)$ satisfying $g.h = h$ (it is not necessarily connected). Here $g.h$ is the form given by $g.h(x, y) = h(gx, gy)$, $x, y \in V$.

We know ([Sch](6.6), page 260) that in the case $\sigma_F \neq \mathrm{id}_F$, we may reduce to the case $\varepsilon = 1$. So we have three possibilities:

- $\sigma_F = \mathrm{id}_F$ and $\varepsilon = 1$, the orthogonal case;
- $\sigma_F = \mathrm{id}_F$ and $\varepsilon = -1$, the symplectic case;
- $\sigma_F \neq \mathrm{id}_F$ and $\varepsilon = 1$, the unitary case.

We abbreviate $\tilde{G} = \mathrm{GL}_F(V)$ and $\tilde{\mathfrak{g}} = \mathrm{End}_F(V)$.

2. The maximal split tori of \mathbf{G}

Recall that a subspace $W \subset V$ is totally isotropic if $h(W, W) = 0$ and that maximal such subspaces have the same dimension r , the Witt index of h . Set $I = \{\pm 1, \pm 2, \dots, \pm r\}$ and $I_o = \{(0, k) ; k = 1, \dots, n - 2r\}$. We fix a *Witt decomposition* of V , that is

two maximal totally isotropic subspaces V_+ and V_- ,
bases $(e_i)_{i=1, \dots, r}$, $(e_{-i})_{i=1, \dots, r}$, $(e_i)_{i \in I_o}$ of V_+ , V_- and $V_o := (V_+ + V_-)^\perp$,
such that

$$\begin{aligned} h(e_i, e_i) &= 0, \quad i \in I, \\ h(e_i, e_j) &= 0, \quad \text{for } i, j \in I \text{ with } j \neq -i \text{ or } i \in I, j \in I_o, \\ h(e_i, e_{-i}) &= 1, \quad \text{for } i \in I \text{ with } i > 0, \\ h(x, x) &\neq 0, \quad \text{for } x \in V_o \text{ and } x \neq 0. \end{aligned}$$

The Witt decomposition gives rise to a maximal F_o -split torus \mathbf{S} whose group of F_o -rational points is

$$S = \{s \in G ; se_i \in F_o e_i, \quad i \in I \text{ and } (s - \mathrm{Id})V_o = 0\} .$$

It has dimension r , the F_o -rank of \mathbf{G} . Conversely any maximal F_o -split torus of \mathbf{G} is obtained from a Witt decomposition as above. The centralizer \mathbf{Z} of \mathbf{S} in \mathbf{G} has for F_o -rational points

$$Z = \{z \in G ; ze_i \in F e_i, \quad i \in I \text{ and } zV_o = V_o\} .$$

For each $i \in I$, we have a morphism of algebraic groups $a_i : \mathbf{Z} \rightarrow \mathrm{Res}_{F/F_o}(\mathbf{G}_m)$ given by $ze_i = a_i(z)e_i$. Note that $a_{-i}(z) = a_i(z)^{-\sigma}$. We also denote by $a_i : \mathbf{S} \rightarrow \mathbf{G}_m / F_o$ the character obtained by restriction. We have $a_i = -a_{-i}$ in $X^*(\mathbf{S})$, the \mathbb{Z} -module of rational characters of \mathbf{S} . The a_i , $i \in I$, $i > 0$, form a basis of $X^*(\mathbf{S})$.

The normalizer \mathbf{N} of \mathbf{Z} in \mathbf{G} is the sub-algebraic group whose F_o -rational points are the elements of G which stabilize X_o and permute the lines $V_i = F e_i$, $i \in I$. The group $N = \mathbf{N}(F_o)$ is the semidirect product of Z by the subgroup N' formed of the elements which permute the $\pm e_i$, $i \in I$.

3. MM-norms and self-dual lattice-functions

We keep the notation as in the previous sections.

Recall that a *norm* on V is a map $\alpha : V \rightarrow \mathbb{R} \cup \{\infty\}$ satisfying:

- i) $\alpha(x + y) \geq \mathrm{Inf}(\alpha(x), \alpha(y))$, $x, y \in V$,

- ii) $\alpha(\lambda x) = v(\lambda) + \alpha(x)$, $\lambda \in F$, $x \in V$,
- iii) $\alpha(x) = \infty$ if and only if $x = 0$.

We denote by $\text{Norm}^1(V)$ the set of norms on V .

Definition 3.1. (cf. [BT](2.1)) *Let $\alpha \in \text{Norm}^1(V)$. We say that α is dominated by h if*

$$\alpha(x) + \alpha(y) \leq v(h(x, y)) \text{ for all } x, y \in V .$$

We say that α is an MM-norm for h (maximinorante in french), if α is a maximal element of the set of norms dominated by h .

In [BT](2.5) an involution $\bar{}$ is defined on $\text{Norm}^1(V)$ in the following way. If $\alpha \in \text{Norm}^1(V)$, then

$$\bar{\alpha}(x) = \inf_{y \in V} [v(h(x, y)) - \alpha(y)] , \quad x \in V .$$

We then have

Proposition 3.2. (cf. [BT](Prop. 2.5)) *An element α of $\text{Norm}^1(V)$ is an MM-norm if and only if $\bar{\bar{\alpha}} = \alpha$.*

We are going to describe the set $\text{Norm}_h^1(V)$ of MM-norms in terms of self-dual lattice-functions. Recall [BL] that a lattice-function in V is a function Λ which maps a real number to an \mathfrak{o}_F -lattice in V and satisfies:

- i) $\Lambda(r) \subset \Lambda(s)$ for $r \geq s$, $r, s \in \mathbb{R}$,
- ii) $\Lambda(r + v(\pi_F)) = \mathfrak{p}_F \Lambda(r)$, $r \in \mathbb{R}$,
- iii) Λ is left-continuous.

Here \mathfrak{o}_F denotes the ring of integers of F , \mathfrak{p}_F the maximal ideal of \mathfrak{o}_F and π_F a uniformizer of F . As in [BL], we denote by $\text{Latt}_{\mathfrak{o}_F}^1(V)$ (or by $\text{Latt}^1(V)$ when no confusion may occur) the set of \mathfrak{o}_F -lattice-functions in V . Recall [BL] that $\text{Norm}^1(V)$ and $\text{Latt}^1(V)$ may be canonically identified in the following way. To $\alpha \in \text{Norm}^1(V)$, we attach the function $\Lambda = \Lambda_\alpha$ given by

$$\Lambda(r) = \{x \in V ; \alpha(x) \geq r\} , \quad r \in \mathbb{R} .$$

Conversely a lattice-function Λ corresponds to the norm α given by

$$\alpha(x) = \sup\{r ; x \in \Lambda(r)\} , \quad x \in V .$$

For a $\Lambda \in \text{Latt}^1(V)$ and $r \in \mathbb{R}$, set

$$\Lambda(r+) = \bigcup_{s > r} \Lambda(s) .$$

For an \mathfrak{o}_F -lattice L in V , we define its dual $L^\sharp = L^{\sharp h}$ by

$$L^\sharp = \{x \in V ; h(x, L) \subset \mathfrak{p}_F\} .$$

Finally, we define the dual $\Lambda^\sharp = \Lambda^{\sharp h}$ of a lattice-function Λ by

$$\Lambda^\sharp(r) = [\Lambda((-r) +)]^\sharp , \quad r \in \mathbb{R} .$$

We say that a lattice function Λ is self dual if $\Lambda^\sharp = \Lambda$ and we denote by $\text{Latt}_h^1(V)$ the corresponding set.

Proposition 3.3. *Given a norm $\alpha \in \text{Norm}^1(V)$, we have $\Lambda_{\bar{\alpha}} = \Lambda_{\alpha}^{\sharp}$.*

Corollary 3.4. *Let α be a norm on V . Then α is an MM-norm if and only if the attached lattice-function Λ is self-dual.*

Proof of Proposition. Let $x \in V$ and $r \in \mathbb{R}$. Then the fact that $x \in \Lambda_{\bar{\alpha}}(r) \setminus \Lambda_{\bar{\alpha}}(r+)$ is equivalent to the following points:

- i) $\bar{\alpha}(x) = r$;
- ii) there exists $y \in V$ such that $v(h(x, y)) - \alpha(y) = r$, and for all $y \in V$, we have $v(h(x, y)) - \alpha(y) \geq r$;
- iii) there exists $y \in V$ such that $v(h(x, y)) = 0$ and $\alpha(y) = -r$, and for all $y \in V$ such that $\alpha(y) > -r$, we have $v(h(x, y)) > 0$ (scale by a suitable power of a uniformizer π_F);
- iv) there exists $y \in \Lambda_{\alpha}(-r) \setminus \Lambda_{\alpha}(-r+)$ such that $h(x, y) \in \mathfrak{o}_F \setminus \mathfrak{p}_F$, and for all $y \in \Lambda_{\alpha}(-r+)$ we have $h(x, y) \in \mathfrak{p}_F$;
- v) $x \in \Lambda_{\alpha}^{\sharp}(r) \setminus \Lambda_{\alpha}^{\sharp}(r+)$.

This proves that the two lattice-functions $\Lambda_{\bar{\alpha}}$ and $\Lambda_{\alpha}^{\sharp}$ share the same discontinuity points and that at those points they take the same values; so there are equal.

Let $\text{Norm}^2 \tilde{\mathfrak{g}}$ (resp. $\text{Latt}^2 \tilde{\mathfrak{g}}$) denote the \tilde{G} -set of square norms in $\tilde{\mathfrak{g}}$ (resp. of square lattice-functions in $\tilde{\mathfrak{g}}$; see [BT1] and [BL]). Recall that a lattice-function Λ^2 in the F -vector space $\tilde{\mathfrak{g}}$ is square if there exists $\Lambda \in \text{Latt}^1(V)$ such that $\Lambda^2 = \text{End}(\Lambda)$, where

$$\text{End}(\Lambda)(r) = \{a \in \tilde{\mathfrak{g}} ; a\Lambda(s) \subset \Lambda(s+r), s \in \mathbb{R}\}, r \in \mathbb{R} .$$

An additive norm on $\tilde{\mathfrak{g}}$ is square if the corresponding lattice function is square. Recall [BT1] that $\text{Norm}^1(V)$ and $\text{Norm}^2 \tilde{\mathfrak{g}}$ (and therefore $\text{Latt}^1(V)$ and $\text{Latt}^2 \tilde{\mathfrak{g}}$ by transfer of structure) are endowed with affine structures : the barycenter of two points with positive weights is defined.

The involution σ acts on $\text{Norm}^2 \tilde{\mathfrak{g}}$ via

$$\alpha^{\sigma}(a) = \alpha(a^{\sigma}), a \in \tilde{\mathfrak{g}}, \alpha \in \text{Norm}^2 \tilde{\mathfrak{g}} .$$

By transfer of structure, σ acts on $\text{Latt}^2 \tilde{\mathfrak{g}}$ via

$$\Lambda^{\sigma}(r) = [\Lambda(r)]^{\sigma}, \Lambda \in \text{Latt}^2 \tilde{\mathfrak{g}}, r \in \mathbb{R} .$$

A square norm α (resp. a square lattice function Λ) is said to be self-dual if $\alpha = \alpha^{\sigma}$ (resp. $\Lambda = \Lambda^{\sigma}$). We denote by $\text{Norm}_{\sigma}^2 \tilde{\mathfrak{g}}$ and $\text{Latt}_{\sigma}^2 \tilde{\mathfrak{g}}$ the corresponding sets.

Now, in terms of lattice functions, Corollary 2 of [BT2], page 163, writes:

Lemma 3.5 *The map $\Lambda \mapsto \text{End}(\Lambda)$ induces a bijection from the set of self-dual lattice functions in V to the set of self-dual square lattice functions in $\tilde{\mathfrak{g}}$.*

In other words, for any $\Lambda \in \text{Latt}_{\sigma}^2 \tilde{\mathfrak{g}}$, there exists a unique $\Lambda^2 = \Lambda_h^2 \in \text{Latt}_h^1(V)$ such that $\text{End}(\Lambda) = \Lambda^2$.

Note that the sets $\text{Latt}_h^1(V)$, $\text{Norm}_h^1(V)$, $\text{Latt}_{\sigma}^2 \tilde{\mathfrak{g}}$ and $\text{Norm}_{\sigma}^2 \tilde{\mathfrak{g}}$ are G -sets and that the various identifications among them are G -equivariant.

Let $u \in F^{\times}$ and assume that uh is still an ε -hermitian form with respect to σ_F . Then the involution σ of $\tilde{\mathfrak{g}}$ corresponding to uh remains the same and defines the same

unitary group $G \subset \tilde{G}$. For $\Lambda \in \text{Latt}^1(V)$ and $s \in \mathbb{R}$, we denote by $\Lambda + s$ the lattice function given by $(\Lambda + s)(r) = \Lambda(s + r)$, $r \in \mathbb{R}$.

Lemma 3.6. *Let $\Lambda^2 \in \text{Latt}_{\sigma}^2 \tilde{\mathfrak{g}}$ and Λ_h^2 (resp. Λ_{uh}^2) be the unique element of $\text{Latt}_h^1(V)$ (resp. of $\text{Latt}_{uh}^1(V)$) satisfying $\text{End}(\Lambda_h^2) = \Lambda^2$ (resp. $\text{End}(\Lambda_{uh}^2) = \Lambda^2$). Then $\Lambda_{uh}^2 = \Lambda_h^2 - v(u)/2$, that is $\Lambda_{uh}^2(r) = \Lambda_h^2(r - v(u)/2)$, $r \in \mathbb{R}$.*

Proof. We easily check that for $\Lambda \in \text{Latt}^1(V)$ and $s \in \mathbb{R}$, we have

$$\Lambda^{\sharp_{uh}} = u^{-\sigma} \Lambda^{\sharp_h} \text{ and } (\Lambda + s)^{\sharp_h} = \Lambda - s .$$

We certainly have $\text{End}(\Lambda_h^2 - v(u)/2) = \text{End}(\Lambda_h^2) = \Lambda^2$. So by a unicity argument, we must prove that $\Lambda_h^2 - v(u)/2 \in \text{Latt}_{uh}^1(V)$. But

$$\begin{aligned} (\Lambda_h^2 - v(u)/2)^{\sharp_{uh}} &= u^{-\sigma} (\Lambda_h^2 - v(u)/2)^{\sharp_h} \\ &= u^{-\sigma} (\Lambda_h^2 + v(u)/2) = \Lambda_h^2 + v(u)/2 - v(u^\sigma) = \Lambda_h^2 - v(u)/2 , \end{aligned}$$

as required.

4. The building as a set of self-dual lattice-functions

Let I denote the building of the standard valuated root datum of G introduced in [BT2] and A denote the apartment of I attached to \mathcal{S} . Write $V^* = X^*(\mathcal{S} \otimes \mathbb{R})$; this is an \mathbb{R} -vector space with basis $(a_i)_{i=1, \dots, r}$. Let V denote the linear dual of V^* . We identify A with V .

To a point $p \in A \simeq V$, we attach the norm α_p on V defined by

$$\alpha_p \left(\sum_{i \in I} \lambda_i e_i + x_o \right) = \inf[\omega(x_o), \inf_{i \in I} (v(\lambda_i) - a_i(p))], \quad x_o \in V_o, \quad \lambda_i \in F \text{ for } i \in I .$$

Here $\omega(x_o) = \frac{1}{2}v(h(x_o, x_o))$, $x_o \in V_o$.

Here are two important facts from [BT2].

Proposition 4.1. ([BT2](Prop. 2.9, 2.11(i))) *The map $p \mapsto \alpha_p$ is a bijection from A to the set of MM-norms on V which split in the decomposition $V = \bigoplus_{i \in I} F e_i \oplus V_o$. It is N -equivariant.*

For the notion of splitting for norms, see [BT1](1.4).

Proposition 4.2. ([BT2](2.12)) *i) The map $p \mapsto \alpha_p$ extends in a unique way to a G -equivariant and affine bijection $j_h : I \rightarrow \text{Norm}_h^1(V)$ (in particular $\text{Norm}_h^1(V)$ is a convex subset of $\text{Norm}^1(V)$).*

ii) The map j_h is the unique affine and G -equivariant map $I \rightarrow \text{Norm}_h^1(V)$.

From §3, we get a unique affine and G -equivariant map $I \rightarrow \text{Latt}_h^1(V)$ that we still denote by j_h .

For $r \in \mathbb{R}$, let \mathcal{V}_o^r be the lattice of V_o given by $\{x_o \in V_o ; \omega(x_o) \geq r\}$. For $x \in \mathbb{R}$, let $[x]$ denote the least integer greater than or equal to x . Then the map $j_h : I \rightarrow \text{Latt}_h^1(V)$ is given on A by $j_h(p) = \Lambda_p$, where

$$\Lambda_p(r) = \mathcal{V}_o^r \oplus \bigoplus_{i \in I} \mathfrak{p}_F^{\lceil r + a_i(p) \rceil} e_i , \quad r \in \mathbb{R} .$$

Let u be an element of F^\times such that uh remains ε -hermitian with respect to σ_F . It follows from the proof of Lemma (3.6) that if $\Lambda \in \text{Latt}^1(V)$, we have $\Lambda \in \text{Latt}_h^1(V)$ if, and only if, $\Lambda - v(u)/2 \in \text{Latt}_{uh}^1(V)$. Since $\text{End}(\Lambda + s) = \text{End}(\Lambda)$, for $\Lambda \in \text{Latt}^1(V)$ and $s \in \mathbb{R}$, the bijective map $j_\sigma : I \rightarrow \text{Latt}_\sigma^2(V)$, given by $j_\sigma = \text{End} \circ j_h$, does not depend on the choice of the form h , the involution σ being fixed. By construction it is affine and G -equivariant. It is uniquely determined by these two properties. Indeed if $j'_\sigma : I \rightarrow \text{Latt}_\sigma^2(V)$ is affine and G -equivariant, so is $(j'_\sigma)^{-1} \circ j_\sigma : I \rightarrow I$. But such a map must be the identity map.

We also recall here the description of the enlarged building I^1 of $\tilde{G} = \text{GL}_F(V)$ in terms of lattice functions.

Proposition 4.3. ([BT1](2.11)) *i) There is a \tilde{G} -equivariant and affine bijection $j : I^1 \rightarrow \text{Norm}^1(V)$.*

ii) If we have another affine and \tilde{G} -equivariant map $j' : I^1 \rightarrow \text{Norm}^1(V)$ then there exists $r \in \mathbb{R}$ such that, for all $\alpha \in \text{Norm}^1(V)$, $j'(\alpha) = j(\alpha) + r$.

From [BL] Proposition 2.4, for each j as in Proposition 4.3, we get an affine and \tilde{G} -equivariant map $I^1 \rightarrow \text{Latt}^1(V)$ that we also denote by j .

5. Centralizers of Lie algebra elements

We denote by \mathfrak{g} the Lie algebra of G :

$$\mathfrak{g} = \{a \in \tilde{\mathfrak{g}} ; a + a^\sigma = 0\} .$$

We consider an element β of \mathfrak{g} satisfying

(H1) The F -algebra $E := F[\beta] \subset \tilde{\mathfrak{g}}$ is a direct sum of fields.

We write $\tilde{\mathfrak{h}}$ (resp. \mathfrak{h}) for the centralizer of β in $\tilde{\mathfrak{g}}$ (resp. in \mathfrak{g}) and \tilde{H} (resp. H) for the fixator of β in \tilde{G} (resp. in G) for the adjoint action.

Since $\sigma(\beta) = -\beta$, we have easily that $E \subset \tilde{\mathfrak{g}}$ is σ -stable. We write

$$E = \bigoplus_{i=1, \dots, t} (E_i \oplus E_{-i}) \oplus \bigoplus_{k=1, \dots, s} E_{(0,k)},$$

where, for each i in $J = \{\pm 1, \dots, \pm t\}$ or $J_o = \{(0, k) : k = 1, \dots, s\}$, E_i is a field extension of F , and we have labeled the components such that, for each $i \in J_o \cup J$,

(H2) $\sigma(E_i) = E_{-i}$,

with the understanding that $i = -i$, for $i \in J_o$. We remark that the torus $E \cap G$ in G is anisotropic (modulo the centre) if and only if $J = \emptyset$ and that every maximal anisotropic torus in G takes this form (see [Mor] Proposition 1.3).

For each $i \in J_o$, we set $E_i^o = \{a \in E_i ; a = a^\sigma\}$, so that E_i/E_i^o is a Galois extension of degree ≤ 2 and a generator of $\text{Gal}(E_i/E_i^o)$ is $\sigma_{E_i} := \sigma|_{E_i}$. For $i \in J_o \cup J$, let $\mathbf{1}_i$ be the idempotent of E attached to E_i ; from (H2), we have $\sigma(\mathbf{1}_i) = \mathbf{1}_{-i}$. We have the decomposition

$$V = \bigoplus_{i \in J_o \cup J} V_i, V_i = \mathbf{1}_i V .$$

Note that, if $i \neq -k$, $v \in V_i$ and $w \in V_k$, we have $h(v, w) = h(\mathbf{1}_i v, w) = h(v, \mathbf{1}_i w) = 0$ so, for $i \in J_o \cup J$,

$$V_i^\perp = \bigoplus_{k \neq -i} V_k.$$

For $i \in J_o \cup J$, V_i is naturally an E_i -vector space and we have obvious isomorphisms of algebras and groups respectively:

$$\tilde{\mathfrak{h}} \simeq \prod_{i \in J_o \cup J} \text{End}_{E_i} V_i,$$

$$\tilde{H} \simeq \prod_{i \in J_o \cup J} \text{Aut}_{E_i} V_i.$$

The involution σ stabilizes $\tilde{\mathfrak{h}} \subset \tilde{\mathfrak{g}}$ and, for each i , $\sigma(\text{End}_{E_i} V_i) = \text{End}_{E_{-i}} V_{-i}$. For $i \in J_o$, we write $\sigma_i = \sigma|_{\text{End}_{E_i} V_i}$. Let us fix $i \in J_o$. The map σ_i is an involution of the central simple E_i -algebra $\text{End}_{E_i} V_i$. By a classical theorem ([Inv] Theorem 4.2), there exists $\varepsilon_i \in \{\pm 1\}$ and a non-degenerate ε_i -hermitian form h_i on V_i relative to σ_{E_i} such that σ_i is the involution attached to h_i . Of course h_i is only defined up to a scalar in E_i^\times . Let

$$H_i = \{g \in \text{Aut}_{E_i} V_i ; gg^{\sigma_i} = 1\}$$

be the unitary group attached to h_i . On the other hand, for $i \in J$, we put

$$H_i = \text{Aut}_{E_i} V_i,$$

so that $\sigma(H_i) = H_{-i}$ and H_i is isomorphic to $\{g \in H_i \times H_{-i} : gg^\sigma = 1\}$ by $h \mapsto (h, h^{-\sigma})$. Then, putting $J_+ = \{1, \dots, t\}$, we have a natural group isomorphism

$$H \simeq \prod_{i \in J_o \cup J_+} H_i.$$

We may actually require a compatibility relation between the forms h_i , $i \in J_o$ and the form h . Let us fix $i \in J_o$. Let $\lambda_i : E_i \rightarrow F$ be any σ -equivariant non-zero F -linear form. Such forms exist. Indeed choose a non-zero linear form $\lambda_i^o : E_i^o \rightarrow F_o$. If $F = F_o$ then we put $\lambda = \lambda_i^o \circ \text{Tr}_{E/E_i^o}$. Otherwise $E_i = FE_i^o$ and we can extend λ_i^o by linearity to get the required map λ_i . In all cases we have:

$$(5.1) \quad \lambda_i^o \circ \text{Tr}_{E_i/E_i^o} = \text{Tr}_{F/F_o} \circ \lambda.$$

We still write h for the restriction of h to V_i .

Lemma 5.2. *Let $i \in J_o$. There exists a unique ε -hermitian form $h_i : V_i \times V_i \rightarrow E_i$ relative to σ_{E_i} such that*

$$(5.3) \quad h(v, w) = \lambda_i(h_i(v, w)), \quad \text{for all } v, w \in V_i.$$

It is non-degenerate.

Proof. Since we have the orthogonal decomposition

$$V = V_i \perp \bigoplus_{k \neq i} V_k,$$

the restriction $h|_{V_i}$ is non-degenerate.

The F -linear map $\text{Hom}_{E_i}(V_i, E_i) \rightarrow \text{Hom}_F(V_i, F)$, $\varphi \mapsto \lambda_i \circ \varphi$ is an isomorphism of F -vector space. Indeed if φ lies in the kernel, we have $\text{Im}(\varphi) \subset \text{Ker}(\lambda_i)$, a strict subspace of E_i , and φ must be trivial. Moreover the two dual spaces have the same F -dimension. For $v \in V_i$ let h_v be the element of $\text{Hom}_F(V_i, F)$ given by $h_v(w) = h(v, w)$. There exists a unique $\varphi_w \in \text{Hom}_{E_i}(V_i, E_i)$ such that $h_v = \lambda_i \circ \varphi_w$. It is now routine to check that $h_i(v, w) := \varphi_v(w)$, $v, w \in V_i$, has the required properties.

We easily check that if h_i is as in the lemma, then the corresponding involution on $\text{End}_{E_i} V_i$ is σ_i . In the following we assume that the forms h_i , $i \in J_o$, satisfy (5.3).

For technical reasons, we need one more assumption on the λ_i , $i \in J_o$. We fix i again. Let

$$\mathcal{J} = \{e \in E_i^o ; \lambda_i^o(e\mathfrak{o}_{E_i^o}) \subset \mathfrak{p}_{F_o}\} .$$

This is an $\mathfrak{o}_{E_i^o}$ -lattice in E_i^o and must have the form $t\mathfrak{p}_{E_i^o}$, for some $t \in (E_i^o)^\times$. So replacing λ_i by $e \mapsto \lambda_i(tx)$, we may assume that $\mathcal{J} = \mathfrak{p}_{E_i^o}$. In the following we assume that the linear forms λ_i , $i \in J_o$, have this property.

Lemma 5.4. *Fix $i \in J_o$. Let $\lambda_i^1, \lambda_i^2 : E_i \rightarrow F$ be two linear forms as above and let h_i^1, h_i^2 be the corresponding ε -hermitian forms on V_i (i.e. h_i^1 and h_i^2 satisfy (5.3)). Then there exists $u \in \mathfrak{o}_{E_i^o}^\times$ such that $h_i^2 = uh_i^1$.*

Proof. Since h_i^1 and h_i^2 induce the same involution on $\text{End}_{E_i} V_i$, there exists $u \in E_i^\times$ such that $h_i^2 = uh_i^1$. The fact that h_i^1 and h_i^2 are both ε -hermitian with respect to σ_{E_i} implies that u lies in E_i^o . Condition (5.3) writes

$$h(v, w) = \lambda_i^1(h_i^1(v, w)) = \lambda_i^2(uh_i^1(v, w)) , \quad v, w \in V_i .$$

So $\lambda_i^1(e) = \lambda_i^2(ue)$, $e \in E_i$. By applying Tr_{F/F_o} to this equality, we get $\lambda_i^{o,1}(e) = \lambda_i^{o,2}(ue)$, $e \in E_i^o$. Hence

$$\begin{aligned} \mathfrak{p}_{E_i^o} &= \{e \in E_i^o ; \lambda_i^{o,1}(e\mathfrak{o}_{E_i^o}) \subset \mathfrak{p}_{F_o}\} \\ &= \{e \in E_i^o ; \lambda_i^{o,2}(ue\mathfrak{o}_{E_i^o}) \subset \mathfrak{p}_{F_o}\} = u^{-1}\mathfrak{p}_{E_i^o} . \end{aligned}$$

So $u \in \mathfrak{o}_{E_i^o}^\times$ as required.

Let us fix i . Let L be an $\mathfrak{o}_{E_i^o}$ -lattice in V_i . Then L has a dual L^\sharp relative to the form $h|_{V_i}$ and a dual $L^{\sharp i}$ relative to the form h_i .

Lemma 5.5. *The lattices L^\sharp and $L^{\sharp i}$ coincide.*

Proof. We have

$$\begin{aligned} L^\sharp &= \{v \in V_i ; h(v, L) \subset \mathfrak{p}_F\} \\ &= \{v \in V_i ; \text{Tr}_{F/F_o} h(v, L) \subset \mathfrak{p}_{F_o}\} \\ &= \{v \in V_i ; \lambda_o \circ \text{Tr}_{E_i/E_i^o} h_i(v, L) \subset \mathfrak{p}_{F_o}\} \\ &= \{v \in V_i ; \text{Tr}_{E_i/E_i^o} h_i(v, L) \subset \mathfrak{p}_{E_i^o}\} \\ &= \{v \in V_i ; f(v, L) \subset \mathfrak{p}_{E_i}\} \\ &= L^{\sharp i}, \end{aligned}$$

where the second and fifth equalities hold because F/F_o and E_i/E_i^o are at worst tamely ramified.

6. Embedding the building of the centralizer

We keep the notation as in the previous section. Assume for a moment that the extensions E_i/F , $i \in J_o \cup J$, are separable. Then the group H is naturally the group of rational points of a reductive F -group \mathbf{H} . Indeed each H_i , $i \in J_o \cup J$, is naturally the group of rational points of a classical E_i -group \mathbf{H}_i (we do not need E_i/F -separable here) and

$$\mathbf{H} \simeq \prod_{i \in J_o \cup J} \text{Res}_{E_i/F} \mathbf{H}_i .$$

The (enlarged) affine building of \mathbf{H} , $I_\beta^1 := I^1(\mathbf{H}, F)$, is the cartesian product of the (enlarged) affine buildings $I^1(\text{Res}_{E_i/F} \mathbf{H}_i, F)$, $i \in J_o \cup J$. For all i , the (enlarged) buildings $I^1(\text{Res}_{E_i/F} \mathbf{H}_i, F)$ and $I^1(\mathbf{H}_i, E_i)$ identify canonically. Note also that, for $i \in J_o$, the centre of \mathbf{H}_i is compact so the enlarged building is also the non-enlarged building; in particular, if $J = \emptyset$ then all the buildings involved are non-enlarged.

Since we do not want any restriction on the extensions E_i/F , we shall take as a definition of the (enlarged) building I_β^1 attached to the group H :

$$(6.1) \quad I_\beta^1 := \prod_{i \in J_o \cup J} I^1(\mathbf{H}_i, E_i)$$

We abbreviate $I_i^1 = I^1(\mathbf{H}_i, E_i)$, $i \in J_o \cup J$.

We are going to construct a map $j_\beta : I_\beta^1 \rightarrow I$. We normalize the lattice-functions in $\text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ by $\Lambda_i(r + v_i(\pi_i)) = \mathfrak{p}_{E_i} \Lambda_i(r)$, $r \in \mathbb{R}$, where, for each i , π_i denotes a uniformizer of E_i and v_i the unique extension of v to a valuation of E_i . It is straightforward that we have a well defined map

$$\begin{aligned} \tilde{j}_\beta : \prod_{i \in J_o \cup J} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i) &\longrightarrow \text{Latt}^1(V) \\ (\Lambda_i)_{i \in J_o \cup J} &\mapsto \bigoplus_{i \in J_o \cup J} \Lambda_i \end{aligned}$$

where $(\bigoplus_{i \in J_o \cup J} \Lambda_i)(r) = \bigoplus_{i \in J_o \cup J} \Lambda_i(r)$, for $r \in \mathbb{R}$. This map is clearly injective and equivariant for the action of group $\prod_{i \in J_o \cup J} \text{Aut}_{E_i} V_i \subset \text{Aut}_F V$.

For $i \in J_o$, we denote by \sharp_i the involution on $\text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ attached to h_i , and by $\text{Latt}_{\mathfrak{o}_{E_i}, h_i}^1(V_i) \subset \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ the set of fixed points. For $i \in J$, we denote by \sharp_i the map $\text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i) \rightarrow \text{Latt}_{\mathfrak{o}_{E_{-i}}}^1(V_{-i})$ given by

$$\Lambda_i^{\sharp_i}(r) = \{v \in V_{-i} ; h(v, \Lambda_i(-r+)) \subset \mathfrak{p}_F\} .$$

for $\Lambda_i \in \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$.

We define an involution b on $\prod_{i \in J_o \cup J} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ by

$$(\Lambda_i)_{i \in J_o \cup J}^b = \left(\Lambda_{-i}^{\sharp_{-i}} \right)_{i \in J_o \cup J} ,$$

Then we have a bijection

$$\iota_h : \prod_{i \in J_o} \text{Latt}_{\mathfrak{o}_{E_i}, h_i}^1(V_i) \times \prod_{i \in J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i) \rightarrow \left(\prod_{i \in J_o \cup J} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i) \right)^b,$$

given by $(\Lambda_i)_{i \in J_o \cup J_+} \mapsto (\Lambda_i)_{i \in J_o \cup J}$, with $\Lambda_{-i} = \Lambda_i^{\sharp}$, for $i \in J_+$.

Lemma 6.2. *For $x \in \prod_{i \in J_o \cup J} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$, we have $\tilde{j}_\beta(x^b) = \tilde{j}_\beta(x)^{\sharp h}$. In particular $\tilde{j}_\beta \circ \iota_h$ maps $\prod_{i \in J_o} \text{Latt}_{\mathfrak{o}_{E_i}, h_i}^1(V_i) \times \prod_{i \in J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ into $\text{Latt}_h^1(V)$.*

Proof. Fix $(\Lambda_i)_{i \in J_o \cup J} \in \prod_{i \in J_o \cup J} \text{Latt}_{\mathfrak{o}_{E_i}}^1 V_i$ and set $\Lambda = \tilde{j}_\beta \left((\Lambda_i)_{i \in J_o \cup J_+} \right)$. We have

$$\Lambda^{\sharp h}(r) = \Lambda(-r+)^{\sharp h} = \{v \in V ; h(v, \Lambda(-r+)) \subset \mathfrak{p}_F\}, \quad r \in \mathbb{R}.$$

Fix $r \in \mathbb{R}$. We have

$$\Lambda(-r+) = \bigoplus_{i \in J_o \cup J} \Lambda_i(-r+).$$

Let $v = \sum_{i \in J_o \cup J} v_i$, with $v_i \in V_i$, be an element of V . Since $V_i^\perp = \bigoplus_{k \neq -i} V_k$, we have $v \in \Lambda^{\sharp h}(r)$ if and only if $h(v_{-i}, \Lambda_i(-r+)) \subset \mathfrak{p}_F$, for all i , that is if $v_{-i} \in \Lambda_i^{\sharp}(r)$, for all i (by lemma (5.5) for $i \in J_o$ or by definition for $i \in J$); the lemma follows.

With the notation of §4, for each set $\{j_i\}_{i \in J_+}$ of maps $j_i : I_i^1 \rightarrow \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ given by Proposition 4.3, we define a map $j_\beta : \prod_{i \in J_o \cup J_+} I_i^1 \rightarrow I$ by

$$j_\beta = j_h^{-1} \circ \tilde{j}_\beta \circ \iota_h \circ \left(\prod_{i \in J_o} j_{h_i} \times \prod_{i \in J_+} j_i \right).$$

These maps depend *a priori* on the forms h , and h_j , $j \in J_o$.

Theorem 6.3. *Each map j_β is injective, H -equivariant. The set of such maps (as $\{j_i\}_{i \in J_+}$ varies) depends only on the involution σ .*

In particular, if $J = \emptyset$ then there is a unique map j_β , depending only on the involution σ .

Proofs. The first two properties are straightforward. Assume that $h' = uh$, $u \in F^\times$, is another ε -hermitian form on V , with respect to σ_F , defining the same involution σ on $\tilde{\mathfrak{g}}$. Then $u \in F_o$. For $i \in J_o$, let h'_i be an ε -hermitian form on V_i satisfying

$$uh(v, w) = \lambda'_i(h'_i(v, w)) \quad v, w \in V_i,$$

where the $\lambda'_i : E_i \rightarrow F$ are linear forms as above. Then by lemma (5.4), for all $i \in J_o$, there exists $u'_i \in \mathfrak{o}_{E_i}^\times$ such that $u^{-1}h'_i = u'_i h_i$, that is $h'_i = uu'_i h_i$.

Let $\{j_i\}_{i \in J_+}$ be as above; we show that, for a suitable choice of $\{j'_i\}_{i \in J_+}$, we have

$$j_h^{-1} \circ \tilde{j}_\beta \circ \iota_h \circ j_1 = j_{h'} \circ \tilde{j}_\beta \circ \iota_{h'} \circ j'_1,$$

and the result follows.

By Lemma (3.6), for $i \in J_+$, for all $x_i \in I_i^1$, we have $j_{h'_i}(x_i) = j_{h_i}(x_i) - v(uu'_i)/2 = j_{h_i}(x_i) - v(u)/2$. For $i \in J_+$, we choose j'_i such that $j'_i(x) = j_i(x) - v(u)/2$ for $x \in I_i^1$, that is $j'_i \circ j_i^{-1}(\Lambda_i) = \Lambda_i - v(u)/2$ for $\Lambda_i \in \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$. We abbreviate

$$j = \prod_{i \in J_o} j_{h_i} \times \prod_{i \in J_+} j_i, \quad j' = \prod_{i \in J_o} j_{h'_i} \times \prod_{i \in J_+} j'_i;$$

then, for $(\Lambda_i)_{i \in J_o \cup J_+} \in \prod_{i \in J_o} \text{Latt}_{\mathfrak{o}_{E_i}, h_i}^1(V_i) \times \prod_{i \in J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$, we have

$$j' \circ j^{-1} \left((\Lambda_i)_{i \in J_o \cup J_+} \right) = (\Lambda_i - v(u)/2)_{i \in J_o \cup J_+}.$$

It is also straightforward to check that

$$\iota_{h'} \left((\Lambda_i - v(u)/2)_{i \in J_o \cup J_+} \right) = \iota_h \left((\Lambda_i)_{i \in J_o \cup J_+} \right) - v(u)/2,$$

for $(\Lambda_i)_{i \in J_o \cup J_+} \in \prod_{i \in J_o} \text{Latt}_{\mathfrak{o}_{E_i}, h_i}^1(V_i) \times \prod_{i \in J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$. Then we have

$$\begin{aligned} \tilde{j}_\beta \circ \iota_{h'} \circ j' \circ j^{-1} \left((\Lambda_i)_{i \in J_o \cup J_+} \right) &= \tilde{j}_\beta \circ \iota_{h'} \left((\Lambda_i - v(u)/2)_{i \in J_o \cup J_+} \right) \\ &= \tilde{j}_\beta \left(\iota_h \left((\Lambda_i)_{i \in J_o \cup J_+} \right) - v(u)/2 \right) \\ &= \tilde{j}_\beta \circ \iota_h \left((\Lambda_i)_{i \in J_o \cup J_+} \right) - v(u)/2. \end{aligned}$$

By Lemma (3.6) again, we have $j_{h'}(x) = j_h(x) - v(u)/2$, $x \in I$, that is $\Lambda - v(u)/2 = j_{h'} \circ j_h^{-1}(\Lambda)$, $\Lambda \in \text{Latt}_h^1(V)$. So

$$j_{h'} \circ j_h^{-1} \circ \tilde{j}_\beta \circ \iota_h = \tilde{j}_\beta \circ \iota_{h'} \circ j' \circ j^{-1},$$

and the lemma follows.

7. Affine structures

We keep the notation as in the previous sections. For $x = (x_i)_{i \in J_o \cup J_+}$, $y = (y_i)_{i \in J_o \cup J_+}$ in $I_\beta^1 = \prod_{i \in J_o \cup J_+} I_i^1$ and $t \in [0, 1]$, we define the barycenter $tx + (1-t)y$ to be

$$(tx_i + (1-t)y_i)_{i \in J_o \cup J_+}.$$

We define the barycenter of two points in $\prod_{i \in J_o \cup J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ in a similar way. Since, for $i \in J_o$, $\text{Latt}_{\mathfrak{o}_{E_i}, h_i}^1(V_i)$ is convex in $\text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$, the subset $\prod_{i \in J_o} \text{Latt}_{\mathfrak{o}_{E_i}, h_i}^1(V_i) \times \prod_{i \in J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ of $\prod_{i \in J_o \cup J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ is convex also.

Proposition 7.1. *Let β be as in §5. Then each map j_β is affine: for all $x, y \in I_\beta^1$, $t \in [0, 1]$, we have*

$$j_\beta(tx + (1-t)y) = tj_\beta(x) + (1-t)j_\beta(y) .$$

Proof. By construction it suffices to prove that the maps \tilde{j}_β and ι_h are affine. We begin with \tilde{j}_β . Let $(\Lambda_i)_{i \in J_o \cup J}$, $(M_i)_{i \in J_o \cup J}$ be elements of $\prod_{i \in J_o \cup J} \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$. We must prove that

$$\bigoplus_{i \in J_o \cup J} (t\Lambda_i + (1-t)M_i) = t \left(\bigoplus_{i \in J_o \cup J} \Lambda_i \right) + (1-t) \left(\bigoplus_{i \in J_o \cup J} M_i \right) .$$

Let us recall the construction of the barycenter of two lattice functions (we do it for $\text{Latt}^1(V)$). Let $\Lambda, M \in \text{Latt}^1(V)$. There exists an F -basis (e_1, \dots, e_n) of V which splits both Λ and M : there exist constants $\lambda_1, \dots, \lambda_n, \mu_1, \dots, \mu_n$ in \mathbb{R} such that

$$\Lambda(r) = \bigoplus_{k=1, \dots, n} \mathfrak{p}_F^{\lceil r + \lambda_k \rceil} e_k , \quad M(r) = \bigoplus_{k=1, \dots, n} \mathfrak{p}_F^{\lceil r + \mu_k \rceil} e_k , \quad r \in \mathbb{R} .$$

Then for $t \in [0, 1]$, $t\Lambda + (1-t)M$ is given by

$$(t\Lambda + (1-t)M)(r) = \bigoplus_{k=1, \dots, n} \mathfrak{p}_F^{\lceil r + t\lambda_k + (1-t)\mu_k \rceil} e_k , \quad r \in \mathbb{R} .$$

The proof that \tilde{j}_β is affine is then to construct a common splitting basis for $\bigoplus_{i \in J_o \cup J} \Lambda_i$ and $\bigoplus_{i \in J_o \cup J} M_i$ from bases \mathcal{B}_i of V_i , $i \in J_o \cup J$, where \mathcal{B}_i splits Λ_i and M_i . We leave this easy exercise to the reader.

Now we turn to ι_h . Suppose $i \in J_+$ and $\Lambda_i \in \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$, and let (e_1, \dots, e_n) be an E_i -basis of V_i which splits Λ_i . Let (e_{-1}, \dots, e_{-n}) be the dual E_{-i} -basis of V_{-i} , such that $h(e_{-k}, e_l) = \delta_{kl}$, for $1 \leq k, l \leq n$. It is straightforward to check that this basis splits $\Lambda_i^{\sharp_i}$ and that,

$$(7.2) \quad \text{if } \Lambda_i(r) = \bigoplus_{k=1, \dots, n} \mathfrak{p}_{E_i}^{\lceil r + \lambda_k \rceil} e_k \quad \text{then} \quad \Lambda_i^{\sharp_i}(r) = \bigoplus_{k=1, \dots, n} \mathfrak{p}_{E_{-i}}^{\lceil r - \lambda_k \rceil} e_{-k} .$$

To show that ι_h is affine, we just need to check that, for $i \in J_+$, $\Lambda_i, M_i \in \text{Latt}_{\mathfrak{o}_{E_i}}^1(V_i)$ and $t \in [0, 1]$, we have

$$(t\Lambda_i + (1-t)M_i)^{\sharp_i} = t\Lambda_i^{\sharp_i} + (1-t)M_i^{\sharp_i} .$$

The details of the proof – which is to choose an E_i -basis of V_i which splits both Λ_i and M_i , take its dual basis and then use (7.2) – are again left to the reader.

8. The image of an apartment

We keep the notation of the previous sections. We will show that the image of an apartment of I_β^1 under each map j_β is contained in an apartment of I .

Given a Witt decomposition $V = V_+ \oplus V_o \oplus V_-$, with basis $(e_l)_{l=1,\dots,r}$ of V_+ and the dual basis $(e_{-l})_{l=1,\dots,r}$ of V_- (as in §2), we get a (self-dual) decomposition

$$V = \bigoplus_{l=1}^r V^l \oplus V_o \oplus \bigoplus_{l=1}^r V^{-l},$$

where $V^l = Fe_l = \left(\bigoplus_{k \neq -l} V^k \oplus V_o \right)^\perp$. Such a decomposition (which we will also call a Witt decomposition) corresponds to the choice of an apartment \mathcal{A} in I : in terms of lattice functions, $j_h(\mathcal{A})$ is the set of self-dual lattice functions Λ such that

$$\Lambda(s) = \bigoplus_{l=1}^r (V^l \cap \Lambda(s)) \oplus (V_o \cap \Lambda(s)) \oplus \bigoplus_{l=1}^r (V^{-l} \cap \Lambda(s)), \quad \text{for all } s \in \mathbb{R},$$

that is, Λ is *split* by the decomposition (cf. Proposition 4.1).

Similarly, the choice of an (enlarged) apartment \mathcal{A}^1 in $I_\beta^1 = \prod_{i \in J_o \cup J_+} I_i^1$ is given by similar E_i -decompositions of V_i for $i \in J_o$ and (without the self-duality restriction) $i \in J_+$.

Proposition 8.1. *Let \mathcal{A}^1 be an (enlarged) apartment of I_β^1 . Then there is an apartment \mathcal{A} of I such that $j_\beta(\mathcal{A}^1) \subset \mathcal{A}$.*

Proof. We write $\mathcal{A}^1 = \prod_{i \in J_o \cup J_+} \mathcal{A}_i^1$, with \mathcal{A}_i^1 an (enlarged) apartment in I_i^1 .

As above, for each $i \in J_o$, the apartment \mathcal{A}_i^1 corresponds to a Witt E_i -decomposition of V^i

$$V_i = \bigoplus_{l=1}^{r_i} V_i^l \oplus V_{i,o} \oplus \bigoplus_{l=1}^{r_i} V_i^{-l},$$

with $V_i^l = \left(\bigoplus_{k \neq -l} V_i^k \oplus V_{i,o} \right)^\perp$, $\dim_{E_i} V_i^l = 1$ and r_i the (E_i -)Witt index of V_i . We write $\text{Latt}_{\mathfrak{o}_{E_i}}^{\mathcal{A}_i^1}(V_i)$ for the set of lattice functions split by this decomposition, and $\text{Latt}_{\mathfrak{o}_{E_i}, h_i}^{\mathcal{A}_i^1}(V_i)$ for the subset of self-dual lattice functions, so that $j_{h_i}(\mathcal{A}_i^1) = \text{Latt}_{\mathfrak{o}_{E_i}, h_i}^{\mathcal{A}_i^1}(V_i)$.

Also, for each $i \in J_+$, the apartment \mathcal{A}_i^1 corresponds to a decomposition of V_i as a sum of 1-dimensional E_i -subspaces,

$$V_i = \bigoplus_{l=1}^{r_i} V_i^l,$$

with $r_i = \dim_{E_i} V_i$. As above, $j_i(\mathcal{A}_i^1) = \text{Latt}_{\mathfrak{o}_{E_i}}^{\mathcal{A}_i^1}(V_i)$, the set of lattice functions split by this decomposition.

We also take the dual splitting of V_{-i} as a sum of 1-dimensional E_{-i} -subspaces,

$$V_{-i}^l = \left(\bigoplus_{k \neq l} V_i^k \right)^\perp.$$

We remark that, if $\Lambda \in \text{Latt}_{\mathfrak{o}_{E_i}}^{\mathcal{A}_i^1}(V_i)$ then $\Lambda_i^{\#i}$ is split by this decomposition.

Now, for $i \in J_o \cup J_+$ and $1 \leq l \leq r_i$, we decompose V_i^l as a sum of 1-dimensional F -subspaces as follows: fix $v \in V_i^l$, $v \neq 0$, and let \mathcal{B}_i be an F -basis for E_i which splits the \mathfrak{o}_F -lattice sequence $s \mapsto \mathfrak{p}_{E_i}^{\lceil s/e(E_i/F) \rceil}$; then we take the decomposition

$$V_i^l = \bigoplus_{b \in \mathcal{B}_i} Fbv.$$

Note that any \mathfrak{o}_{E_i} -lattice sequence in V_i^l is split by this decomposition. For $i \in J_o$, we also take the dual decomposition of V_i^{-l} and, for $i \in J_+$, the dual decomposition of V_{-i}^l .

Now we need to decompose the anisotropic parts $W := \bigoplus_{i \in J_o} V_{i,o}$ suitably, for which we cheat. Let \mathbf{G}_o denote the classical group associated to the restriction of the form h to W and, for $i \in J_o$, let $\mathbf{H}_{i,o}$ denote the group associated to the restriction of the form h_i to $V_{i,o}$. Note that the groups $H_{i,o}$ are compact so the building $I_{\beta,o}^1 := I^1(\mathbf{H}_{i,o}, E_i)$ is reduced to a point.

Now, our constructions in §6 give an embedding of $I_{\beta,o}^1$ in the building $I_o^1 := I^1(\mathbf{G}_o, F)$ and the image is certainly contained in some apartment. Hence there is a Witt F -decomposition of W which splits the (unique) self-dual lattice sequence in W corresponding to $I_{\beta,o}^1$, and this is the decomposition we take.

Altogether, we have described a Witt F -decomposition of V , which corresponds to an apartment \mathcal{A} of I . We denote by $\text{Latt}_{\mathfrak{o}_F, h}^{\mathcal{A}}(V)$ the set of self-dual lattice functions in V which are split by this splitting, so that $j_h(\mathcal{A}) = \text{Latt}_{\mathfrak{o}_F, h}^{\mathcal{A}}(V)$.

Finally, by construction it is clear that $\tilde{j}_\beta \circ \iota_h$ maps $\prod_{i \in J_o} \text{Latt}_{\mathfrak{o}_{E_i}, h_i}^{\mathcal{A}^1}(V_i) \times \prod_{i \in J_+} \text{Latt}_{\mathfrak{o}_{E_i}}^{\mathcal{A}^1}(V_i)$ into $\text{Latt}_{\mathfrak{o}_F, h}^{\mathcal{A}}(V)$ so $j_\beta(\mathcal{A}^1) \subset \mathcal{A}$, as required.

9. Compatibility with Lie algebra filtrations

In this section, we fix H_k -equivariant identifications $j_k : I^1(H_k, E_k) \rightarrow \text{Latt}_{\mathfrak{o}_{E_k}}^1(V_k)$, $k \in J^+$. They give rise to the map $j_\beta : I_\beta^1 \rightarrow I(G, H)$ defined in §6.

Let $x \in I(G, F) = I^1(G, F)$, that we see as a self-dual lattice function Λ in $\text{Latt}_h^1(V)$. To x we can associate a filtration $(\mathfrak{g}_{x,r})_{r \in \mathbb{R}}$ of the Lie algebra \mathfrak{g} as follows. First x defines a filtration $(\tilde{\mathfrak{g}}_{x,r})_{r \in \mathbb{R}}$ of $\tilde{\mathfrak{g}}$ by

$$\tilde{\mathfrak{g}}_{x,r} = \{a \in \tilde{\mathfrak{g}} ; a\Lambda(s) \subset \Lambda(s+r), s \in \mathbb{R}\}, r \in \mathbb{R}.$$

We then define

$$\mathfrak{g}_{x,r} := \tilde{\mathfrak{g}}_{x,r} \cap \mathfrak{g} = \{a \in \mathfrak{g} ; a\Lambda(s) \subset \Lambda(s+r), s \in \mathbb{R}\}, r \in \mathbb{R}. \quad (1)$$

Similarly a point x of I_β^1 defines a filtration $(\mathfrak{h}_{x,r})_{r \in \mathbb{R}}$ of \mathfrak{h} . Write $x = (x_k)_{k \in J \cup J_o}$, $x_k \in I^1(H_k, E_k)$; each x_k corresponding to a lattice function Λ_k of $\text{Latt}_{\mathfrak{o}_{E_k}}(V_k)$ (with $\Lambda_k^{\sharp k} = \Lambda_{-k}$, $k \in J \cup J_o$). We then define

$$(2) \quad \mathfrak{h}_{x,r} := \bigoplus_{k \in J^+ \cup J_o} \mathfrak{h}_{x_k, r}^k, r \in \mathbb{R},$$

where

$$\mathfrak{h}_{x_k,r}^k = \{a \in \text{Lie}(H_k) ; a\Lambda_k(s) \subset \Lambda_k(s+r), s \in \mathbb{R}\}, r \in \mathbb{R}, k \in J^+ \cup J_o .$$

The filtration $(\mathfrak{h}_{x,r})_{r \in \mathbb{R}}$ only depends on the image \bar{x} of x in the non-enlarged building I_β . One can prove that for $x \in I(G, F)$, $(\mathfrak{g}_{x,r})_{r \in \mathbb{R}}$ is the filtration of \mathfrak{g} attached to x defined by Moy and Prasad [MP]. Similarly, when β is semisimple and $x \in I^1(H, F)$, $(\mathfrak{h}_{x,r})_{r \in \mathbb{R}}$ is the filtration of \mathfrak{h} attached to \bar{x} defined in loc. cit. The proof of this fact is announced by B. Lemaire and J.-K. Yu [BY].

Lemma 9.1. *Let us see \mathfrak{h} as being canonically embedded in $\tilde{\mathfrak{h}} = \text{End}_E V = \bigoplus_{k \in J \cup J_o} \text{End}_{E_k} V_k$*

via

$$(a_k)_{k \in J^+ \cup J_o} \mapsto (b_k)_{k \in J \cup J_o} ,$$

where $b_k = a_k$, $k \in J_o$, and $b_{-k} = -a_k^\sigma$, $k \in J^+$. Fix $x \in I_\beta^1$ as before and consider the \mathfrak{o}_F -lattice function in V given by

$$\Lambda = \bigoplus_{k \in J \cup J_o} \Lambda_k \text{ (notation of §6) .}$$

For $r \in \mathbb{R}$, let

$$\tilde{\mathfrak{h}}_{x,r} = \{a \in \tilde{\mathfrak{h}} ; a\Lambda(s) \subset \Lambda(s+r), s \in \mathbb{R}\}, r \in \mathbb{R} .$$

Then we have $\mathfrak{h}_{x,r} = \tilde{\mathfrak{h}}_{x,r} \cap \mathfrak{h}$, $r \in \mathbb{R}$.

Proof. Indeed, for all $a = (a_k)_{k \in J \cup J_o} \in \text{End}_E V$, we have $a \in \tilde{\mathfrak{h}}_{x,r} \cap \mathfrak{h}$ if and only if $a + a^\sigma = 0$ and $a\Lambda(s) \subset \Lambda(s+r)$, $s \in \mathbb{R}$, i.e.

$$a_k \Lambda_k(s) \subset \Lambda_k(s+r), s \in \mathbb{R}, k \in J \cup J_o .$$

For $k \in J_o$, these conditions can be rewritten $a_k \in \text{Lie}(H_k)$ and $a_k \Lambda_k(s) \subset \Lambda_k(s+r)$, $s \in \mathbb{R}$, that is $a_k \in \mathfrak{h}_{x,r}^k$, as required. For $k \in J$, these conditions can be rewritten $a_{-k} = -a_k^\sigma$ and

$$a_k \Lambda_k(s) \subset \Lambda_k(s+r), s \in \mathbb{R} \tag{a}$$

$$-a_k^\sigma \Lambda_k^\sharp(s) \subset \Lambda_k^\sharp(s+r), s \in \mathbb{R} . \tag{b}$$

So we must prove that conditions (a) and (b) are equivalent. By symmetry we only prove one implication. Applying the duality \sharp_k on lattices of V_k to inclusion (b), we obtain

$$\Lambda_k((-s-r)+) \subset [a_k^\sigma \Lambda_k^\sharp(s)]^\sharp, s \in \mathbb{R},$$

with

$$[a_k^\sigma \Lambda_k^\sharp(s)]^\sharp = \{v \in V_k ; a_k v \in \Lambda_k((-s)+)\}, s \in \mathbb{R} .$$

So we have

$$a_k \Lambda_k((-s-r)+) \subset \Lambda_k((-s)+) \subset \Lambda_k(-s), s \in \mathbb{R} ,$$

that is

$$a_k \Lambda(s+) \subset \Lambda_k(s+r), s \in \mathbb{R} .$$

On each open interval (u, v) where Λ_k is constant, we have

$$a_k \Lambda_k(s+) = a_k \Lambda_k(s) \subset \Lambda_k(s+r) ,$$

and (a) is true for $s \in (u, v)$. Finally if s_o is a jump of Λ_k with Λ_k constant on $(t, s_o]$, we have

$$a_k \Lambda_k(s_o) = a_k \Lambda_k(s_o+) \subset \Lambda_k(s_o+r) , \quad s \in (t, s_o) .$$

So

$$a_k \Lambda_k(s_o) \subset \bigcap_{s \in (t, s_o)} \Lambda_k(s+r) = \Lambda_k(s_o+r) ,$$

Λ_k being left continuous, and (a) is then true for all $s \in \mathbb{R}$.

Proposition 9.2. *Let $x \in I_\beta^1$. Then we have*

$$\mathfrak{g}_{j_\beta(x), r} \cap \mathfrak{h} = \mathfrak{h}_{x, r} , \quad r \in \mathbb{R} .$$

Proof. Indeed, with the notation of (9.1) and by definition of j_β , we easily see that

$$\tilde{\mathfrak{g}}_{j_\beta(x), r} \cap \tilde{\mathfrak{h}} = \tilde{\mathfrak{h}}_{x, r} .$$

So our result is now a corollary of (9.1) since $\mathfrak{h} = \mathfrak{g} \cap \tilde{\mathfrak{h}}$.

10. A unicity result for the general linear group

As in [BL]§I.2, we define an equivalence relation \sim on $\text{Latt}^1(V)$ by $\Lambda_1 \sim \Lambda_2$ if there exists $s \in \mathbb{R}$ such that $\Lambda_1(s) = \Lambda_2(r+s)$, $s \in \mathbb{R}$. Then \sim is compatible with the \tilde{G} -action and the quotient $\text{Latt}_{\mathfrak{o}_F}(V) := \text{Latt}^1(V) / \sim$ is naturally a \tilde{G} -set. We shall denote by $\bar{\Lambda}$ an element of $\text{Latt}_{\mathfrak{o}_F}(V)$, where Λ is a representative in $\text{Latt}^1(V)$. As a consequence of [BL]§I.2 and [BT1], there is a unique affine and \tilde{G} -equivariant map $j : \tilde{I} \rightarrow \text{Latt}_{\mathfrak{o}_F}(V)$, where \tilde{I} denotes the non-enlarged building of \tilde{G} .

We fix an element β of $\tilde{\mathfrak{g}}$ satisfying

$$(H) \quad E : F[\beta] \text{ is a field .}$$

As in §5 we denote by $\tilde{\mathfrak{h}} = \text{End}_E V$ the centralizer of β in $\tilde{\mathfrak{g}}$ and by $\tilde{H} = \text{Aut}_E V$ its centralizer in \tilde{G} . There is a canonical identification of the non-enlarged affine building \tilde{I}_β of \tilde{H} with the \tilde{H} -set $\text{Latt}_{\mathfrak{o}_E}(V)$. Here we normalize the lattice functions of $\text{Latt}_{\mathfrak{o}_E}^1(V)$ by the condition $\Lambda(s + v(\pi_E)) = \pi_E \Lambda(s)$, $s \in \mathbb{R}$, where π_E is a uniformizer of E .

Any $\bar{\Lambda} \in \text{Latt}_{\mathfrak{o}_F}(V)$ defines a filtration $(\tilde{\mathfrak{g}}_{\bar{\Lambda}, r})_{r \in \mathbb{R}}$ by

$$\tilde{\mathfrak{g}}_{\bar{\Lambda}, r} = \{a \in \text{End}_F V ; a \Lambda(s) \subset \Lambda(r+s), \quad s \in \mathbb{R}\} .$$

Then the map $\text{End}(\bar{\Lambda}) : r \mapsto \tilde{\mathfrak{g}}_{\bar{\Lambda}, r}$ is an element of $\text{Latt}^1 \tilde{\mathfrak{g}}$. The map $\bar{\Lambda} \mapsto \text{End}(\bar{\Lambda})$, $\text{Latt}_{\mathfrak{o}_F} V \rightarrow \text{Latt}^1 \tilde{\mathfrak{g}}$ is a \tilde{G} -equivariant injection (cf. [BL]§4) for the action of G on $\text{Latt}^1 \tilde{\mathfrak{g}}$ by conjugation. Its image is $\text{Latt}^2 \tilde{\mathfrak{g}}$. From now on we shall canonically identify \tilde{I} (resp. \tilde{I}_β with $\text{Latt}^2 \tilde{\mathfrak{h}}$).

Let us recall the main result of [BL].

Theorem 10.1. *There exists a unique affine and \tilde{H} -equivariant map $\tilde{j}_\beta : \tilde{I}_\beta \rightarrow \tilde{I}$. It is injective, maps any apartment into an apartment and is compatible with the Lie algebra filtrations in the following sense:*

$$(10.2) \quad \tilde{\mathfrak{g}}_{\tilde{j}_\beta, r} \cap \tilde{\mathfrak{h}} = \tilde{\mathfrak{h}}_{x, r}, \quad x \in \tilde{I}_\beta, \quad r \in \mathbb{R}.$$

Let us recall how \tilde{j}_β is constructed. If $x \in \tilde{I}_\beta$ corresponds to $\text{End}(\bar{\Lambda}) \in \text{Latt}^2 \tilde{\mathfrak{h}}$, then $\tilde{j}(x)$ simply corresponds to $\text{End}(\bar{\Lambda})$, where Λ , an \mathfrak{o}_E -lattice function in V , is now considered as an \mathfrak{o}_F -lattice function.

Theorem 10.3. *Let $x \in \tilde{I}_\beta$ and $y \in \tilde{I}$ satisfying*

$$\tilde{\mathfrak{g}}_{y, r} \cap \tilde{\mathfrak{h}} \supset \tilde{\mathfrak{h}}_{x, r}, \quad r \in \mathbb{R}.$$

Then $y = \tilde{j}_\beta(x)$. As a consequence the map \tilde{j}_β is characterized by property (10.2).

Proof. Assume that x and y correspond to elements $\bar{\Lambda}_x$ and $\bar{\Lambda}_y$ of $\text{Latt}_{\mathfrak{o}_E}(V)$ and $\text{Latt}_{\mathfrak{o}_F}(V)$ respectively.

Lemma 10.4. *Under the assumption of (10.2), Λ_y is an \mathfrak{o}_E -lattice function.*

Proof. To prove that Λ_y is an \mathfrak{o}_E -lattice function we must prove that it is normalized by $E^\times = \langle \pi_E \rangle \mathfrak{o}_E^\times$, or equivalently:

$$(10.5) \quad x \tilde{\mathfrak{g}}_{y, r} x^{-1} = \tilde{\mathfrak{g}}_{y, r}, \quad x \in E^\times, \quad r \in \mathbb{R}.$$

We first notice that $\mathfrak{o}_E \subset \tilde{\mathfrak{h}}_{x, 0} \subset \tilde{\mathfrak{g}}_{y, 0}$, so that $\mathfrak{o}_E^\times \subset \tilde{\mathfrak{g}}_{y, 0}^\times$ and (10.5) is true for $x \in \mathfrak{o}_E^\times$. We are reduced to proving (10.5) when $x = \pi_E$.

We have $\pi_E \in \tilde{\mathfrak{h}}_{x, 1/e} \subset \tilde{\mathfrak{g}}_{y, 1/e}$ and $\pi_E^{-1} \in \tilde{\mathfrak{h}}_{x, -1/e} \subset \tilde{\mathfrak{g}}_{y, -1/e}$, where $e = e(E/F)$. It follows that

$$(10.6) \quad \pi_E \tilde{\mathfrak{g}}_{y, r} \pi_E^{-1} \subset \tilde{\mathfrak{g}}_{y, 1/e} \tilde{\mathfrak{g}}_{y, r} \tilde{\mathfrak{g}}_{y, -1/e} \subset \tilde{\mathfrak{g}}_{y, r}, \quad r \in \mathbb{R}.$$

Consider the duality “*” on subsets of $\tilde{\mathfrak{g}}$ given by

$$S^* = \{a \in \tilde{\mathfrak{g}} ; \text{Tr}(aS) \subset \mathfrak{p}_F\}, \quad S \subset \tilde{\mathfrak{g}},$$

where Tr is the trace map. Recall ([BL](6.3)) that $(\tilde{\mathfrak{g}}_{y, r})^* = \tilde{\mathfrak{g}}_{y, (-r)_+}$, $r \in \mathbb{R}$. Using a well known property of the trace map, we observe that

$$(\pi_E \tilde{\mathfrak{g}}_{y, r} \pi_E^{-1})^* = \pi_E (\tilde{\mathfrak{g}}_{y, r})^* \pi_E^{-1}, \quad r \in \mathbb{R}.$$

So applying the duality to (10.6), we obtain

$$\tilde{\mathfrak{g}}_{y, (-r)_+} \subset \pi_E \tilde{\mathfrak{g}}_{y, (-r)_+} \pi_E^{-1}, \quad r \in \mathbb{R}.$$

We have proved that on each open interval (r_1, r_2) where the lattice function $(\tilde{\mathfrak{g}}_{y, r})_{r \in \mathbb{R}}$ is constant, we have both containments

$$\pi_E \tilde{\mathfrak{g}}_{y, r} \pi_E^{-1} \subset \tilde{\mathfrak{g}}_{y, r} \quad \text{and} \quad \pi_E \tilde{\mathfrak{g}}_{y, r} \pi_E^{-1} \subset \tilde{\mathfrak{g}}_{y, r}, \quad r \in \mathbb{R}.$$

So by continuity we have $\pi_E \tilde{\mathfrak{g}}_{y,r} \pi_E^{-1} = \tilde{\mathfrak{g}}_{y,r}$, for all r , as required.

Let us return to the proof of (10.3). Since Λ_y is an \mathfrak{o}_E -lattice function, we have

$$\tilde{\mathfrak{g}}_{y,r} \cap \tilde{\mathfrak{h}} = \tilde{\mathfrak{h}}_{x',r}, \quad r \in \mathbb{R},$$

where $x' \in \tilde{I}_\beta$ is attached to $\bar{\Lambda}_y$, Λ_y being seen as an \mathfrak{o}_E -lattice function. So by injectivity of the map $\text{Latt}_{\mathfrak{o}_E}^1(V) \rightarrow \text{Latt}_{\tilde{\mathfrak{h}}}^2(V)$, we have $\bar{\Lambda}_x = \bar{\Lambda}_y$ and $y = \tilde{j}_\beta(x)$ by definition.

11. A unicity result in the 1-block case and a conjecture

With the notation of §5, we consider an element $\beta \in \mathfrak{g}$ satisfying:

$$(11.1) \quad E := F[\beta] \subset \tilde{\mathfrak{g}} \text{ is a field and } \beta \neq 0.$$

We fix an ε -hermitian form h_E on the E -vector space V relative to σ_E and we assume that it satisfies (5.3) as well as the condition $\mathcal{J} = \mathfrak{p}_{E^\circ}$ of §5. This allows us to identify I_β^1 with $\text{Latt}_{h_E}^1(V)$. Identifying I with $\text{Latt}_h(V)$, the map j_β of §6 is simply given by

$$j_\beta(\Lambda) = \Lambda, \quad \Lambda \in \text{Latt}_{h_E}^1(V),$$

where on the right hand side Λ is considered as an \mathfrak{o}_F -lattice function.

Theorem 11.2. *Under the assumption (11.1), let $x \in I_\beta^1$ and $y \in I$ satisfying*

$$(11.3) \quad \mathfrak{g}_{y,r} \cap \mathfrak{h} = \mathfrak{h}_{x,r}, \quad r \in \mathbb{R}.$$

Then $y = j_\beta(x)$. In particular the map j_β is characterized by compatibility with the Lie algebra filtrations.

Proof. The point x (resp. y) corresponds to a self-dual lattice function $\Lambda_x \in \text{Latt}_{h_E}^1(V)$ (resp. $\Lambda_y \in \text{Latt}_h^1(V)$). We may see x and y as points of $\text{Latt}_{\mathfrak{o}_E}^1(V)$ and $\text{Latt}_{\mathfrak{o}_F}^1(V)$ respectively and they give rise to filtrations of $\tilde{\mathfrak{h}}$ and $\tilde{\mathfrak{g}}$ as in §9: $(\tilde{\mathfrak{h}}_{x,r})_{r \in \mathbb{R}}$ and $(\tilde{\mathfrak{g}}_{y,r})_{r \in \mathbb{R}}$. Write

$$\mathfrak{g}_{y,r}^+ = \{a \in \tilde{\mathfrak{g}}_{y,r} ; a = a^\sigma\}, \quad r \in \mathbb{R}$$

and

$$\mathfrak{h}_{x,r}^+ = \{a \in \tilde{\mathfrak{h}}_{x,r} ; a = a^\sigma\}, \quad r \in \mathbb{R}$$

Since 2 is invertible in \mathfrak{o}_F , we have:

$$\tilde{\mathfrak{g}}_{y,r} = \mathfrak{g}_{y,r} \oplus \mathfrak{g}_{y,r}^+ \quad \text{and} \quad \tilde{\mathfrak{h}}_{y,r} = \mathfrak{h}_{x,r} \oplus \mathfrak{h}_{x,r}^+, \quad r \in \mathbb{R}.$$

Write

$$r_o = v_{\Lambda_x}(\beta) := \text{Sup}\{r \in \mathbb{R} ; \beta \in \tilde{\mathfrak{h}}_{x,r}\}.$$

Since $\beta \in E^\times$, it normalizes Λ_x so that $\beta \tilde{\mathfrak{h}}_{x,r} = \tilde{\mathfrak{h}}_{x,r+r_o}$, $r \in \mathbb{R}$. Moreover since β is central in $\tilde{\mathfrak{h}}$, we easily have that $\mathfrak{h}_{x,r}^+ = \beta \mathfrak{h}_{x,r-r_o}^+$, $r \in \mathbb{R}$. Hence, for $r \in \mathbb{R}$, we have

$$\mathfrak{h}_{x,r}^+ = \beta(\mathfrak{g}_{y,r-r_o} \cap \mathfrak{h}) = \beta(\mathfrak{g}_{y,r-r_o} \cap \tilde{\mathfrak{h}}) \subset \mathfrak{g}_{y,r} \cap \tilde{\mathfrak{h}}.$$

It follows that, for $x \in \mathbb{R}$, we have:

$$\tilde{\mathfrak{h}}_{x,r} = \mathfrak{h}_{x,r} \oplus \mathfrak{h}_{x,r}^+ \subset \mathfrak{g}_{y,r} \cap \tilde{\mathfrak{h}} \oplus \mathfrak{g}_{y,r}^+ \cap \tilde{\mathfrak{h}} \subset \tilde{\mathfrak{g}}_{y,r} \cap \tilde{\mathfrak{h}}.$$

By applying (10.3), we obtain $\bar{\Lambda}_y = \tilde{j}_\beta(\bar{\Lambda}_x)$, that is $\bar{\Lambda}_y = \bar{\Lambda}_x$. In particular we have $\text{End}(\Lambda_x) = \text{End}(\Lambda_y) \in \text{Latt}_\sigma^2 \tilde{\mathfrak{h}}$. But by (3.5) we have $\Lambda_x = \Lambda_y$, as required.

Let us give an example. Assume that $G = \text{Sp}_2(F) = \text{SL}(2, F)$ (here $F = F_o$) and take $\beta \in \mathfrak{g}$ such that E/F is quadratic and ramified. Then H is the group E^1 of norm 1 elements in E . The building of H is reduced to a point $\{x\}$. The group E^\times fixes a unique chamber C of I and $H \subset E^\times$ fixes C pointwise. There are infinitely many maps $j : I_\beta^1 \rightarrow I$ which are affine and G -equivariant; indeed $j(x)$ can be any point of C . On the other hand there is a unique map $j : I_\beta^1 \rightarrow I$ which is compatible with the Lie algebra filtrations: it maps x to the isobarycenter of C .

We conjecture that when $J = \emptyset$ (notation of §5) then the map j_β of §6 is characterized by condition (11.3). We may address the more general (but more informal) question. Being given two F -reductive groups \mathbf{H} and \mathbf{G} , as well as a morphism of algebraic groups $\varphi : \mathbf{H} \rightarrow \mathbf{G}$, is there an affine and $\mathbf{H}(F)$ -equivariant map $I(\mathbf{H}, F) \rightarrow I(\mathbf{G}, F)$ which is compatible with the Lie algebra filtrations defined by Moy and Prasad. When is it characterized by this last property?

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