

1 **Importance of Ethiopian shade coffee farms for forest bird conservation**

2 Evan R. Buechley^{1,2}, Çağan H. Şekercioğlu^{2,3}, Anagaw Atickem⁴, Gelaye
3 Gebremichael⁵, James Kuria Ndungu⁶, Bruktawit Abdu Mahamued⁷, Tifases
4 Beyene⁸, Tariku Mekonnen^{4,9}, Luc Lens¹⁰

5

6

7 ¹Corresponding Author

8 e.buechley@utah.edu

9

10 ²University of Utah, Department of Biology, 257 S. 1400 E. Salt Lake City, UT,
11 84112, USA

12

13 ³College of Sciences, Koç University, Rumelifeneri, Istanbul, 34450, Turkey

14

15 ⁴Centre for Ecological and Evolutionary Synthesis, Biology Department, Oslo
16 University, Box 1066, N-0316, Blindern, Oslo, Norway

17

18 ⁵Jimma University, College of Natural Sciences, P.O. Box 378, Jimma, Ethiopia

19

20 ⁶Front Trail Safaris, P.O Box 60903-00200, Nairobi, Kenya

21

22 ⁷Manchester Metropolitan University, School of Science and the Environment,
23 Manchester, M15 6BH, United Kingdom

24

25 ⁸Arba Minch Crocodile Farm, Arba Minch, Ethiopia

26

27 ⁹Jimma University, College of Agriculture and Veterinary Medicine, Jimma,
28 Ethiopia

29

30 ¹⁰Ghent University, Department of Biology, Terrestrial Ecology Unit,
31 Ledeganckstraat 35, B-9000 Ghent, Belgium

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47 **Abstract**

48

49 Coffee is the most important tropical commodity and is grown in high-priority
50 areas for biological conservation. There is abundant literature on the
51 conservation value of coffee farms internationally, but there has been little
52 research on this topic in Africa. Ethiopia is a diverse and little-studied country
53 with high levels of avian endemism, pressing conservation challenges, and
54 where *Coffea arabica* originated. We sampled bird communities in shade coffee
55 farms and moist evergreen Afromontane forest in Ethiopia utilizing standard mist
56 netting procedures at seven sites over three years to evaluate bird species
57 richness, diversity and community structure. Although species diversity did not
58 differ between shade coffee and forest, shade coffee farms had over double the
59 species richness of forest sites and all but one of the nine Palearctic migratory
60 species were captured only in shade coffee. There was a greater relative
61 abundance of forest specialists and understory insectivores in forest,
62 demonstrating that little-disturbed forest is critical for sustaining these at-risk
63 groups of birds. Nonetheless, all species recorded in primary forest control sites
64 were also recorded in shade coffee, indicating that Ethiopian shade coffee is
65 perhaps the most “bird-friendly” coffee in the world. This is an important finding
66 for efforts to conserve forest birds in Africa, and for shade coffee farmers that
67 may benefit from avian pest regulation and biodiversity-friendly coffee
68 certifications.

69

70

71

72 **Keywords:** understory insectivore, coffee, agroforest, biodiversity hotspot,
73 ecosystem services, forest specialist, climate change, tropical ecology,
74 ornithology

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94 **1 Introduction**

95 **1.1 Tropical Forest Declines and Implications for Bird Populations**

96 Increasing human populations and corresponding land use changes are driving a
 97 global extinction crisis (Brashares et al., 2001; Pimm et al., 2006; Vitousek et al.,
 98 1997). Tropical forests are the most species-rich terrestrial ecosystem on Earth,
 99 supporting up to 70% of plant and animal species, and are being lost at an
 100 alarming rate (Dirzo and Raven, 2003; Donald, 2004; Laurance and Bierregaard,
 101 1997; Sodhi et al., 2004). In the last decade, approximately 13 million hectares of
 102 forest were cut down each year, with most of the losses occurring in the tropics
 103 (UNFAO, 2010). Tropical deforestation represents the single greatest threat to
 104 global biodiversity (Donald, 2004): it results in rapid transformations in plant and
 105 animal communities, which drastically alters ecological processes and impacts
 106 human societies (Clough et al., 2009a; Tilman et al., 2001).

107 Numerous studies attribute forest bird declines to deforestation and the
 108 conversion of tropical forests to agricultural habitats, particularly in forest
 109 archipelagos in agricultural landscapes (Bregman et al., 2014; Newmark, 1991;
 110 Şekercioğlu, 2012a; Sigel et al., 2006; Sodhi et al., 2011; Stratford and Stouffer,
 111 1999). Currently, 23% of bird species are globally threatened or near threatened
 112 with extinction (BirdLife International, 2014), with the vast majority of threatened
 113 species inhabiting tropical forests (BirdLife International, 2014; Brooks et al.,
 114 1999; Lees and Peres, 2006; Sodhi et al., 2004; Turner, 1996).

115 Understanding the ecological drivers underlying avian distributions is
 116 critical to evaluate the overall ecological integrity of ecosystems because birds
 117 are highly specialized, occupy a variety of ecological niches, have key ecological
 118 functions, and are variably susceptible to disturbance (Komar, 2006; Şekercioğlu,
 119 2006a, 2006b; Anjos et al. **This Issue**; Pollock et al. **This Issue**; Pavlacky et al.
 120 **This Issue**). Bird extinction risk increases with ecological specialization
 121 (Şekercioğlu, 2011). Shifts in bird relative abundance and/or local extinctions are
 122 likely to affect ecological processes, including seed dispersal, pollination, nutrient
 123 cycling, and even soil formation (Chapin et al., 1998; Heine and Speir, 1989;
 124 Lens et al., 2002; Şekercioğlu et al., in press).

125 Forest understory insectivores are especially sensitive to forest
 126 fragmentation and disturbance, and are thus among the most threatened bird
 127 species in the world (Tobias et al., 2013). They have relatively high habitat
 128 specificity, dependence on forest interior habitats, and limited mobility (Lens et
 129 al., 2002; Şekercioğlu et al., 2002; Tobias et al., 2013). Evaluating where and
 130 why they are declining is a conservation priority in the tropics (Tobias et al.,
 131 2013).

132

133 **1.2 Agroforests as Bird Habitat**

134 Preserving biodiversity in habitats that are impacted by human activities is
 135 important because (i) these habitats make up an increasingly large portion of the
 136 globe (Norris, 2008) and (ii) about one third of world's ~10,000 bird species have
 137 been recorded in human-dominated and mostly agricultural habitats (Şekercioğlu
 138 et al., 2007). Agriculture accounts for over 37% of global land cover (World Bank,

139 2012a) and is a major cause of deforestation. Agroforestry—a farming technique
 140 that combines a mixture of trees, shrubs, and crops—is particularly valuable for
 141 biodiversity conservation, especially when native tree species are present
 142 (Fischer and Lindenmayer, 2007; Perfecto et al., 1996; Pimentel et al., 1992).
 143 The conservation value of tropical agroforests is being increasingly recognized
 144 (Greenberg et al., 2008; Perfecto and Vandermeer, 2008; Tschardt and Klein,
 145 2005). Landscape management strategies that maximize biological diversity
 146 retention, ecological services, and economic profitability should be investigated
 147 and promoted (Bengtsson et al., 2005; Railsback and Johnson, 2014;
 148 Rosenzweig, 2003).

149 A number of factors affect bird assemblages in tropical agroforests,
 150 including forest patch size, proximity to other habitat types, percent canopy
 151 cover, and shade tree composition. For example, agroforests that have intact
 152 forest canopies with high shade tree diversity and native tree species harbor
 153 relatively high avian diversity (Gove et al., 2008; Perfecto et al., 1996; Greenberg
 154 et al., 1997; Van Bael et al., 2007). Shade coffee is among the most bird-friendly
 155 of agricultural habitats, often harboring a high diversity of birds, including forest
 156 specialists (Komar 2006; Perfecto et al., 1996; Greenberg et al., 1997; Van Bael
 157 et al., 2007). However, most avian studies only evaluate species diversity or
 158 richness, and often overlook the role of community composition in shaping the
 159 ecological and conservation importance of bird species utilizing coffee farms. In
 160 particular, there is a need to evaluate the degree of habitat specialization,
 161 foraging guild structure, and conservation status of bird communities (Komar,
 162 2006). Furthermore, the majority of this research has taken place in the
 163 Neotropics and the ecology of birds in coffee farms in Africa, in particular, needs
 164 further investigation (Komar, 2006; Şekercioğlu, 2012a).

166 **1.3 Ethiopia: Importance and Challenges**

167 Ethiopia is a unique, immensely diverse and little-studied country with a high
 168 level of avian endemism. It is located along the critical African-Eurasian migratory
 169 flyway (Ash et al., 2009; Şekercioğlu, 2012b). Eastern Afrotropical and Horn of
 170 Africa Global Biodiversity Hotspots cover most of the country (Conservation
 171 International, 2014) and the Ethiopian highlands account for over 50% of the
 172 Eastern Afrotropical eco-region (Figure A1). This eco-region is intermittently
 173 distributed, is the least explored and least protected eco-region in Africa, and is a
 174 major source of endemism (Gole et al., 2008; Küper et al., 2004; Scholes et al.,
 175 2006). Approximately three-quarters of plant species (Gole et al., 2008) and 32
 176 bird species are endemic to the Abyssinian Highlands, which include Ethiopia
 177 and a portion of neighboring Eritrea (Ash et al., 2009). Despite minimal visitation
 178 by ornithologists and birders, especially the unstable border regions with
 179 Somalia, Kenya, North and South Sudan, and Eritrea, an impressive total of over
 180 860 species have been documented (Şekercioğlu, 2012b); ranking Ethiopia
 181 among the richest countries in the world in terms of bird diversity. This species
 182 list is steadily growing with increasing research and tourism. The combination of
 183 bird diversity, endemism, globally important migration routes, and scant research

184 make Ethiopia a top priority in Africa for ornithological research and conservation
185 (Şekerciöğlü, 2012b).

186 While Ethiopia has a tremendous wealth of natural resources and
187 biological diversity, it also faces serious conservation challenges. The country's
188 population growth rate is among the highest in the world—currently estimated at
189 2.6% per year (World Bank, 2013)—which is causing rapid and widespread
190 conversion of forest habitats for human settlements, charcoal and firewood
191 harvesting, and clearing for agriculture, including tea and coffee plantations
192 (Bekele, 2011; Campbell, 1991; Hurni, 1988). Furthermore, there is limited
193 governmental commitment to wild-land conservation. These factors have led to
194 widespread deforestation in the biologically rich Ethiopian highlands: forest cover
195 was reduced from over 15,100,000 hectares in 1990 to just under 12,300,000
196 hectares in 2010—a drastic 18.6% decline in 20 years (FAO, 2010).

197 Global coffee consumption has increased consistently since the early
198 1980's, at a rate of about 1.2% annually (ICO, 2012a). With an annual value of
199 \$100 billion (Donald, 2004), coffee is the second most valuable legal international
200 commodity after oil (O'Brien and Kinnaird, 2003) and is the most important export
201 commodity for many tropical countries (ICO, 2012a). It is produced on
202 approximately 11.5 million hectares of terrain, often in areas of high conservation
203 importance (Donald, 2004). *Coffea arabica*—the most widespread and
204 economically valuable coffee strain—makes up two-thirds of the world's coffee
205 market (Aerts et al., 2011; Labouisse et al., 2008), and is native to southwestern
206 Ethiopia where it has been cultivated for over a thousand years (Aerts et al.,
207 2013; Anthony et al., 2001, 2002).

208 The agricultural industry accounts for 80% of employment in Ethiopia
209 (United Nations, 2012) and coffee is the primary export crop (ICO, 2012b). From
210 2000-2010, coffee accounted for an average of 33% of export earnings, the
211 second most of any country (ICO, 2012b). Present day coffee cultivation in
212 Ethiopia ranges from the harvesting of near-wild coffee in forest to shade coffee
213 farms with native tree canopies to monoculture sun coffee farms. While Ethiopia
214 has a long history of shade coffee farming, it is following a recent global trend
215 towards sun coffee production, due to the ease of mechanization which can yield
216 higher production per unit area despite decreased production per plant (Donald,
217 2004; Gove et al., 2008). Intensive sun coffee farms produce a lower quality crop
218 and often face problems with crop pollination and pest outbreaks due to loss of
219 avian ecological function (Kellermann et al., 2008). These biodiversity losses can
220 cause increased reliance on pesticides, which in turn cause further ecological
221 damage (Donald, 2004). As little forest cover remains in Ethiopia and agriculture
222 is the dominant land use, determining the conservation value of agricultural
223 systems is pressing. In addition to being an important step towards determining
224 avian conservation priorities in the tropics, our study also fills an important gap in
225 the existing literature on birds in coffee farms, in a country with high levels of
226 biodiversity, endemism, deforestation rates, human population growth, and
227 economic dependence on agriculture.

228

229 **2 Material and Methods**

230 **2.1 Site Description**

231 Our study took place in the Oromia Region of southwestern Ethiopia, in the heart
232 of the country's coffee producing region and where *C. arabica* was first
233 domesticated from wild stock (Anthony et al., 2002). Bird community sampling
234 was carried out in two habitat types: shade coffee farms (422 km² area; at four
235 localities, Garuke, Eladale, Fetche, and Yebu) and moist evergreen Afromontane
236 forest (920 km² area; at three localities, Afalo, Abana Bunna, and Quaccho)
237 (Figure 1).

238 The shade coffee farms are located within the major coffee-producing
239 agricultural mosaic near the city of Jimma (in Kaffa Province, which gave coffee
240 its name) and are all operated by small-scale local farmers with similar growing
241 strategies. The area of the shade coffee farms ranged from two to ten hectares.
242 These shade coffee farms are agroforest fragments in a patchwork of pastures
243 and agriculture. There is extensive canopy and understory thinning and
244 widespread planting of *C. arabica* at high densities and regularly spaced
245 intervals. The coffee cultivars at all of the sites were from wild stocks of *C.*
246 *arabica* and there was no documented pesticide or fungicide use on the farms.
247 The shade coffee sites have a simplified structure and reduced shrub and tree
248 species composition when compared with the forest sites. Three forest sites were
249 selected from the closest accessible large contiguous forest patches that
250 occurred within the same elevational range, climactic region, and vegetation
251 zone as our shade coffee sites. Located within the Belete-Gera Regional Forest
252 Priority Area, these sites showed only moderate signs of forest management and
253 human alteration, including some clearing of the understory to promote the
254 growth of wild coffee. The forest was complex structurally and compositionally,
255 including diverse herbs, shrubs, lianas and saplings, with an average canopy
256 height of approximately 20m in the most pristine sections.

257 Hundera et al., (2013) studied forest composition and structure within our
258 same study sites in detail. They documented a total of 69 woody plant species
259 across all sites, with 44 species found in forest, while 26 to 38 species were
260 found on different shade coffee farms. When comparing forest to shade coffee,
261 there was a 70-95% reduction of seedlings, tree abundance was reduced by 30-
262 68%, and basal area decreased by up to 75%, respectively. Emergent tree
263 species, such as *Pouteria adolfi-friederici*, *Olea welwitschii*, and *Afrocarpus*
264 *falcatus*, are often the first removed in the conversion from forest to shade coffee.
265 While mean tree and canopy height did not vary significantly between habitats,
266 regeneration of late successional tree species was significantly greater in forest
267 than in shade coffee. Hundera et al., (2013) conclude that cutting of saplings in
268 shade coffee inhibits recruitment of late-successional and secondary tree
269 species.

270 We determined the elevation and mean annual rainfall for all study
271 localities (Table A1). Elevation was extracted from a high resolution digital
272 elevation model (Hijmans et al., 2005), and rainfall values were determined using
273 a world climate database (WorldClim, 2014). All study sites are located in a 110m
274 elevational band. The sites are at least 5 km apart and the maximum distance
275 between the two most distant localities is 57 km. All sites occur within the Moist

276 Evergreen Montane Forest vegetation zone and the Warm Temperate 1 and 2
277 climatic regions as described in Ash et al., (2009). There are distinct weather
278 seasons in the region; a wet season from March to mid-September, with peak
279 rains occurring in April and August, and a dry season from September to
280 February.

281

282 **2.2 Study Design and Sampling**

283 Birds were sampled at all sites using standard mist-netting procedures as
284 described in Karr (1979). Mist-netting is regarded as an effective method for
285 sampling understory bird communities, as it can detect species that are cryptic
286 and/or less vocal and is repeatable with few observer biases (Karr, 1981).
287 Sampling took place during the dry season, from December to February, over a
288 three-year time frame, from 2010 to 2012. At each site, we positioned twenty 12
289 x 2.5 m nets within a 1 ha area and at least 50 m from any bordering habitat type.
290 As much as the terrain and vegetation allowed, net placement approximated a
291 square of 60 m on each side. We used the same net lanes throughout the three-
292 year study period. Each site was sampled at least six times every season, with
293 approximately two weeks between each sampling session. A sampling session
294 consisted of opening the nets half an hour before sunrise and keeping the nets
295 open for six continuous hours. The nets were routinely checked at 30-minute
296 intervals so as to promptly remove, process, and release the birds. To process
297 each bird we identified the species, banded it, took standard measurements, and
298 released it (Redman et al., 2009; Stevenson and Fanshawe, 2002).

299

300 **2.3 Bird Classification**

301 We classified each bird species using four main criteria: (i) migratory status, (ii)
302 forest dependence, (iii) foraging guild, and (iv) habitat strata association. Bird
303 taxonomy follows *Clement's 6th Edition*, updated in 2014 (Clements, 2014).

304 We first classified each species as either a Palearctic migrant or an
305 Afrotropical resident. We then used the established classification of East African
306 forest birds (Bennun et al., 1996) to create a forest dependence rank. In this
307 work, species are classified as forest specialists (FF), forest generalists (F), and
308 forest visitors (f). For a small number of study species that were not included in
309 Bennun et al., (1996), we followed the authors' methods to classify species,
310 using habitat association information found in Ash et al., (2009), del Hoyo et al.,
311 (1992), and Redman et al., (2009).

312 Bird species' foraging guilds were determined using a dataset containing
313 the ecological traits of all of the bird species in the world (hereafter "Birdbase"),
314 as described in Şekercioğlu et al., (2004). This dataset was initially compiled
315 from an extensive literature survey of 248 sources, is updated regularly, and has
316 been used in numerous ecological studies and meta-analyses of bird populations
317 (e.g. Bregman et al., 2014; Burivalova et al., 2014; Redding et al., 2015;
318 Şekercioğlu, 2012a). Herein, seven food categories are identified (plant material,
319 seeds, fleshy fruits, nectar, invertebrates, carrion, and vertebrates) and ordered
320 by priority in each species' diet on a ten-point scale to determine primary diet and
321 foraging strategy. The species' first diet choice was used to classify it into one of

322 the following guilds that were present in our study: frugivore, nectarivore,
323 granivore, and insectivore. These bird diet classification methods are further
324 described in Kissling et al. (2011). Consulting the Birdbase, Ash et al., (2009), del
325 Hoyo et al., (1992), and Redman et al., (2009), we also categorized each
326 species' occurrence within the understory, midstory, and canopy.

327 Using these categories, we identified two additional groups: understory
328 insectivores, and resident understory insectivores. These groups are composed
329 of species that are insectivorous and consistently frequent the understory, with
330 the latter including only Afrotropical resident species. These groups are of
331 particular interest in this study for two main reasons: (i) pan-tropical studies have
332 shown that understory insectivores are highly impacted by forest modifications
333 (e.g. Bregman et al., 2014; Burivalova et al., 2014), making them good indicators
334 of forest health; (ii) understory insectivores have been shown to contribute
335 ecosystem services to coffee farmers in the form of pest-regulation in other
336 regions of the world (Şekercioğlu et al., in press), and may likewise be of
337 economic importance to coffee farmers in Ethiopia. (See Table A2 for a list of
338 species along with their classifications included in the analysis.)

340 **2.4 Data Analysis**

341 We made several modifications to the dataset prior to analysis, to account for
342 limitations and potential biases associated with mist net data (Remsen Jr. and
343 Good, 1996) (see Discussion for full treatment of these issues). We removed
344 species that do not consistently frequent the understory and species that are not
345 reliably caught in mist nets due to their large size, such as raptors, owls, and
346 ravens (Wang and Finch, 2002; see Table A3 for a list of species and the reason
347 they were excluded from the analysis). Individuals were only counted when
348 trapped first (recaptures were excluded from the analysis) to avoid estimation
349 bias from individuals that were recaptured many times (Remsen and Good,
350 1996). Then, all shade coffee sites and forest sites were combined, so as to
351 compare the two major habitat types.

352 Using EstimateS 9.1.0 (Colwell, 2013), we calculated estimated species
353 richness $S(\text{est})$, estimated shared species $V(\text{est})$, and Morisita-Horn sample
354 similarity. We used the Chao1 estimator to calculate $S(\text{est})$ for our species
355 relative abundance data. The Morisita-Horn index was used because it has
356 minimal sample size biases and is useful for large species assemblages with
357 many rarely recorded species, as was the case in our study (Magurran, 1988).
358 Rarefaction and extrapolation curves of $S(\text{est})$ were computed with 95%
359 confidence intervals in both habitat types, extrapolating the smaller sample to the
360 number of captures of the larger sample (1,208 individuals), in order to directly
361 compare observed and estimated species richness in both habitats. Using this
362 method, statistically robust extrapolation of samples is possible to directly
363 compare sites with different sample sizes, as was the case in our study (Colwell
364 et al., 2012).

365 Shannon's Diversity (H) was compared between forest and shade coffee
366 by fitting a generalized linear mixed effects model using the package lme4 in R
367 (Bates et al., 2008). Average Shannon's Diversity for each one of the 142

368 sampling sessions from the seven sites was used as the response variable, site
 369 as the random effect and habitat (shade coffee or forest) as the fixed effect. The
 370 frequency of breeding birds was determined for both habitats, using the number
 371 of individuals in breeding condition, as evidenced by cloacal protuberance or
 372 brood patch, divided by the total number of captures (Ralph and Dunn, 2004).
 373 The ratio of juvenile to adult birds was then determined. Birds in their first year
 374 were classified as juveniles and all birds in their second year or after were
 375 classified as adults, with species of undetermined age excluded. Relative
 376 abundance was determined from the capture rate (number of birds per net hour),
 377 an index which controls for differing effort between habitats (Karr, 1982;
 378 Newmark, 1991). To compare relative abundance between habitats, we (i)
 379 identified the capture rate of each individual species and each bird classification
 380 category and (ii) divided this by the total capture rate in each habitat respectively.
 381 We then ran a chi-square analysis in SPSS 21.0 (IBM Corp., 2012) to test for
 382 significant differences in relative abundance between habitats.

383 **3 Results**

384 **3.1 Bird Captures, Richness and Diversity**

385 A total of 1,692 individuals of 71 species were captured in 18,177 net-hours;
 386 1,281 individuals were captured in shade coffee and 411 in forest. Nine species
 387 were excluded from analysis due to their large body sizes and 11 species were
 388 excluded because they do not consistently frequent the understory. After these
 389 refinements to the dataset were made, 1,605 individuals (94.9% of all individuals
 390 captured) of 51 species (71.8% of all species captured) were included in the
 391 analysis. All 51 species were captured in shade coffee, while 19 of these were
 392 caught in forest. Because shade coffee had more land cover, mist netting effort in
 393 shade coffee (13,690 net hours) was more than double the effort in forest sites
 394 (4,487 net hours), while the overall capture rate was identical (0.085 and 0.082
 395 birds per net-hour in forest and shade coffee, respectively). Six species had
 396 significantly greater relative abundance in forest, as determined from the capture
 397 rate: Lemon Dove (*Columba larvata*), African Hill Babbler (*Sylvia abyssinica*),
 398 Abyssinian Ground-thrush (*Geokichla piaggiae*), Eastern Olive Sunbird
 399 (*Cyanomitra olivacea*), Abyssinian Crimson-wing (*Cryptospiza salvadorii*) and
 400 Green-backed Twinspot (*Mandingoa nitidula*). Nine species had significantly
 401 greater relative abundance in shade coffee: Tambourine Dove (*Turtur*
 402 *tympanistria*), Yellow-fronted Tinkerbird (*Pogoniulus chrysoconus*), Willow
 403 Warbler (*Phylloscopus trochilus*), Blackcap (*Sylvia atricapilla*), Common
 404 Chiffchaff (*Phylloscopus collybita*), Broad-ringed White-eye (*Zosterops*
 405 *poliogastrus*), Abyssinian Slaty-Flycatcher (*Melaernornis chocolatinus*), African
 406 Paradise-flycatcher (*Terpsiphone viridis*), and Tree Pipit (*Anthus trivialis*).
 407 Palearctic migrants were predominantly found in shade coffee, where they were
 408 captured nearly twice as frequently. All but one (Blackcap, *S. atricapilla*) of the
 409 nine migratory species were captured only in shade coffee. (See Table A3 for a
 410 full list of species included in the analysis with relative abundance values.)

411 The sites had estimated understory bird species richness $S(\text{est})$ of 51.00
 412 (95% CI [44.49, 57.51]) and 19.25 (95% CI [17.82, 20.67]), for shade coffee and
 413

414 forest, respectively. While sharing an observed 19 species $V(\text{obs})$, estimated
 415 shared species Chao $V(\text{est})$ was 20.96. Despite the large difference in species
 416 richness between habitats, the Morisita-Horn sample similarity index was 0.728,
 417 indicative of a high degree of overlap in bird communities. Species rarefaction
 418 and extrapolation curves reached a plateau in forest, while shade coffee curves
 419 had a positive slope indicating that continued sampling in this habitat might have
 420 yielded additional species (Figure 2). Analysis of Shannon's Diversity Index
 421 showed no significant difference in bird diversity between shade coffee farms and
 422 forest (Table A4).

423

424 **3.2 Community Structure Analysis**

425 While there were no significant differences in overall bird diversity values
 426 between shade coffee and forest, there were differences in the relative
 427 abundance of bird community categories, as determined from the capture rate.

428 Forest generalists (F) were frequently captured in both habitat types,
 429 accounting for 58% of captures in shade coffee and 41% of captures in forest.
 430 Forest visitors (f) accounted for over one-third of all captures in shade coffee,
 431 whereas they were only one-fifth of captures in forest. There was no significant
 432 difference in the composition of these 2 groups between habitats, however.
 433 Importantly, though, forest specialists (FF) had a greater relative abundance in
 434 forest than in shade coffee by a wide margin; they were captured nearly 5 times
 435 as frequently in this habitat ($\chi^2=9.877$, $df=1$, $p=0.001$) (Figure 3).

436 Four foraging guilds were found in our study: frugivore, granivore,
 437 insectivore, and nectarivore. Frugivores had a greater relative abundance in
 438 shade coffee ($\chi^2=4.670$, $df=1$, $p=0.017$), whereas granivores had a greater
 439 relative abundance in forest ($\chi^2=18.900$, $df=1$, $p<0.001$). Nectarivores constituted
 440 less than 1% of all captures, with no significant difference between habitats.
 441 Insectivores were by far the most frequently captured in both habitats, comprising
 442 68% of all captures in shade coffee and 64% in forest. There was no significant
 443 difference in the overall relative abundance of insectivores between the habitats.
 444 However, both understory insectivores ($\chi^2=14.195$, $df=1$, $p<0.001$) and resident
 445 understory insectivores ($\chi^2=48.392$, $df=1$, $p<0.001$) had much greater relative
 446 abundance in forest. In contrast, shade coffee sites had much greater relative
 447 abundance of Palearctic migrants ($\chi^2=21.375$, $df=1$, $p<0.001$) (Figure 3).

448 There was no significant difference in the frequency of breeding birds (as
 449 evidenced by cloacal protuberance or brood patch) between forest and shade
 450 coffee, with 27% of all captures in breeding condition in shade coffee and 23% in
 451 forest ($\chi^2=2.476$, $df=1$, $p=0.065$). The species that most frequently showed signs
 452 of breeding in shade coffee were Yellow-fronted Tinkerbird (*P. chrysoconus*),
 453 Green-backed Camaroptera (*Camaroptera brachyura*), Broad-ringed White-eye
 454 (*Z. poliogastrus*), and Eastern Olive Sunbird (*C. olivacea*). The species that most
 455 frequently showed signs of breeding in forest were two of the same species,
 456 Broad-ringed White-eye (*Z. poliogastrus*) and Eastern Olive Sunbird (*C.*
 457 *olivacea*), plus African Hill Babbler (*S. abyssinica*) and Abyssinian Crimson-wing
 458 (*C. salvadori*). The juvenile to adult ratio was 0.19 in shade coffee and 0.22 in
 459 forest, with no significant difference between sites ($\chi^2=2.215$, $df=1$, $p=0.080$).

460

 461 **4. Discussion**

 462 **4.1 Richness and Diversity**

463 Results from rarefaction show that shade coffee had over double the species
 464 richness of forest. Despite this, the Morisita-Horn Sample Similarity Index
 465 indicates high community overlap of nearly 73% between the bird communities.
 466 There were no significant differences in Shannon's Diversity. Eight of the nine
 467 Palearctic migrants in the study were found only in shade coffee. These results
 468 are consistent with numerous tropical studies showing that shade coffee farms
 469 harbor high bird species richness and diversity, and provide important habitat for
 470 temperate migrants (Jones and Ramoni-Perazzi, 2002; Komar, 2006; Perfecto et
 471 al., 2003; Sherry, 2000). The fact that every species we captured in forest was
 472 also captured in shade coffee indicates that forest specialist birds may rely on
 473 shade coffee farms in Ethiopia even more than they do in other regions of the
 474 world. This is supported by the result that shade coffee had no significant
 475 difference from forest in the frequency of birds in breeding condition or the ratio
 476 of juveniles to adults. We captured several forest specialist birds in breeding
 477 condition in shade coffee, indicating that this habitat may provide viable breeding
 478 habitat for some forest specialists, including Lemon Dove (*C. larvata*), Abyssinian
 479 Ground-thrush (*G. piaggiae*), Eastern Olive Sunbird (*C. olivacea*), and Green-
 480 backed Twinspot (*M. nitidula*). The lack of chemical use in these traditional shade
 481 coffee plantations is also likely to contribute to high bird diversity and abundance.
 482 However, the viability of shade coffee as breeding habitat for forest birds in this
 483 region requires further study. It is possible that shade coffee farms serve mainly
 484 as stepping stones for forest birds searching for more suitable habitat, or that
 485 these shade coffee fragments are an ecological trap (Battin, 2004) for forest bird
 486 species in a highly fragmented and human-dominated landscape. Long-term
 487 studies of population dynamics using capture-mark-recapture methods are
 488 needed. Nonetheless, the high species richness, diversity, and presence of forest
 489 specialist species in organic shade coffee farms in this region are encouraging
 490 findings, illustrating the potential importance of shade coffee farms for bird
 491 conservation in Africa.

492

 493 **4.2 Community Structure**

494 Considering species richness alone, however, could be misleading when
 495 assessing the importance of shade coffee farms and forest for bird conservation.
 496 Results from community structure analysis show that there are significant
 497 differences in the relative abundance of bird species between the two habitats,
 498 illustrating the importance of little-disturbed Afromontane forest for particular
 499 groups of birds. For example, forest had a much higher relative abundance of
 500 forest specialists, understory insectivores, and resident understory insectivores.
 501 These results corroborate studies from around the world that have shown that
 502 understory insectivores are among the most susceptible of groups to forest
 503 disturbance and are often the first species to disappear from altered forests
 504 (Şekercioğlu et al., 2002; Stouffer and Bierregaard Jr., 1995; Sodhi et al., 2011;
 505 Cordeiro et al. **This Issue**; Paclacky et al. **This Issue**; Arcilla et al. **This Issue**).

506 In order to conserve forest specialists and understory insectivores in the long
507 term, it is necessary to conserve areas of little-disturbed forest in the Afrotropics
508 as well.

509 With regard to guild structure, insectivores made up a similar proportion of
510 the community in both forest and shade coffee, a result that is unusual
511 (Hernandez et al., 2013; Şekercioğlu, 2012a). This may be explained by the fact
512 that coffee is a native crop within our study area and a larger portion of the
513 invertebrate prey base for insectivores may be maintained in shade coffee farms
514 here. A recent study has shown similar incidence of pests on coffee grown in
515 contiguous forest and forest fragments in this region of Ethiopia (Samnegård and
516 Hambäck, 2014). Also of note is a higher proportion of granivores in forest than
517 in shade coffee. This is an unusual result, as well, as granivores typically prefer
518 disturbed and open habitats. Two granivorous species captured frequently in
519 forest, Abyssinian Crimson-wing (*C. salvadorii*) and Green-backed Twinspot (*M.*
520 *nitidula*), account for the greater relative abundance of granivores in forest.
521 These two species were among the most commonly captured species in forest,
522 accounting for 18% of all captures in this habitat. Unlike many other tropical
523 studies (Şekercioğlu, 2012a), shade coffee farms in our study did not have high
524 numbers of open country granivores. This is an important result, as granivores
525 can be agricultural pests. Frugivores were more common in shade coffee than in
526 forest, a result consistent with pan-tropical findings (Şekercioğlu, 2012a). An
527 increase in frugivores in shade coffee is perhaps the result of selective thinning
528 of the forest in favor of fruiting trees, a frequent practice in agroforests that helps
529 to increase economic production.

530 These results indicate an important difference in overall community
531 composition from specialists in forest to generalists in shade coffee. These
532 findings are consistent with previous research (Komar, 2006; Şekercioğlu,
533 2012a). Generalists are more widespread, relatively common, and less
534 threatened than forest specialists (Şekercioğlu, 2012a). Thus, while the high
535 species richness in shade coffee is an encouraging result, the lower relative
536 abundance of forest specialist species in shade coffee is illustrative of the
537 importance of little disturbed forest for many species.

538

539 **4.3 Caveats**

540 Mist netting is regarded as likely the best technique for assessing the relative
541 abundance of tropical understory birds because it can detect species that are
542 cryptic and/or less vocal and is repeatable with few observer biases (Karr, 1982;
543 Newmark, 1991). Nonetheless, there are limitations and potential biases
544 associated with mist netting data (Remsen Jr. and Good, 1996). For example,
545 habitat modifications, such as removal of canopy trees and clearing of the
546 understory may alter flight height of species, thereby changing their susceptibility
547 to mist-net capture without changing their relative abundance (Arcilla et al, this
548 issue; Remsen Jr. and Good, 1996). We recognize that the number of captures
549 by species is therefore a result, at least in part, of how susceptible a species is to
550 be caught by mist nets and of the habitat structure where the nets are placed.

551 We have therefore made extensive efforts in this study to control for these

552 potential biases. Accordingly, we restricted our analysis by removing species that
 553 do not consistently frequent the understory, and species that are not reliably
 554 caught in mist nets due to their large size, such as raptors, owls, and ravens
 555 (Wang and Finch, 2002). It should therefore be stressed that our results are
 556 restricted to interpreting differences in the understory bird community—not the
 557 entire bird community—between these habitats. While there was considerable
 558 difference in the structure between our shade coffee and forest sites, the average
 559 canopy tree height at our sites did not differ (Hundera et al, 2013). We also
 560 recognize that the three-year time period of our study could affect the relative
 561 abundance estimates of long-lived versus short-lived species. However, in one of
 562 the most rigorous studies of tropical forest bird longevity, results from Korfanta et
 563 al. (2004) show that the average life span of forest species in Tanzania’s
 564 Usambara Mountains is 11.8 years. Taking this into account, we believe that a 3-
 565 year study period is relatively short compared to the average longevity of tropical
 566 forest species. Furthermore, longevity is positively related to body mass in most
 567 terrestrial organisms, including birds (Jones et al., 2003; Laurance, 1991), and
 568 we have excluded species of large body size from the analysis, which should
 569 help minimize any bias in this regard. Lastly, we believe that audio-visually
 570 obtained data, such as from point counts (e.g. Aerts et al., 2008), would
 571 substantially add to our understanding of bird community composition in
 572 Afromontane forest and shade coffee sites. Accordingly, a multi-year point count
 573 study is currently being conducted to improve our understanding of the bird
 574 communities in these habitats.

575
 576 **4.4 Agroforests and Conservation**

577 While shade coffee provides important habitat for many bird species, particularly
 578 those migrating from temperate regions, it is substantially different from forests
 579 and likely does not provide suitable habitat for all forest species. As evidenced in
 580 our study sites by the work of Hundera et al., (2013), shade coffee farming
 581 practices often involve the clearing of much of the diverse understory and mid-
 582 story of saplings, shrubs, and forbs, as well as the selective removal of large
 583 canopy trees. Native tree species are often replaced with those of greater
 584 economic value, including fruit and timber producers. Importantly, not all
 585 agroforests are created equally, and different farming practices can have
 586 profound impacts on biodiversity. For example, agroforests with higher percent
 587 shade cover and greater shade tree diversity have been shown to host a greater
 588 richness and diversity of birds (Clough et al., 2009a). Retaining shade cover and
 589 shade tree diversity on coffee farms may help preserve forest specialist birds, as
 590 well as insectivores and nectarivores, which can in turn benefit crop production
 591 (Johnson et al., 2010; Maas et al., 2009; Şekercioğlu, 2012a; Şekercioğlu et al.,
 592 in press). Further research on bird communities on coffee farms with different
 593 structural and floral components is needed to evaluate how these factors may
 594 impact bird communities.

595 Shade coffee farms may not provide viable habitat for all species found
 596 therein. Rather, some species may use these farms as stepping-stones between
 597 forest patches. Research globally has shown that “suboptimal” forest habitats,

598 such as agroforests, secondary forest, plantations, and even individual trees can
599 help increase connectivity of forest patches in agricultural landscapes (Berens et
600 al., 2008; Ferraz et al., 2012; Neuschulz et al., 2011; Uezu et al., 2008).

601 Research in northern Ethiopia demonstrated that forest restoration sites with
602 suboptimal habitat can help connect forest fragments and also provide suitable
603 habitat for some forest species (Aerts et al., 2008). Similarly, shade coffee farms
604 in southwestern Ethiopia may help connect populations of species that rely on
605 forests for breeding. Thus, the location of shade coffee farms may be important
606 in determining their ecological value as links between forest patches.

607

608 **4.5 Climate Change Threats**

609 Climate change is predicted to have profound impacts on biodiversity (Thomas et
610 al., 2004). It may cause as many as 900 bird extinctions over the next century,
611 with the vast majority expected to occur in the tropics (Şekercioğlu et al., 2012).

612 Tropical montane forest birds are among the most threatened of all bird species
613 from climate change (Wormworth and Şekercioğlu, 2011) because they are often
614 sedentary and have small ranges. Our study took place in and near Ethiopia's
615 montane forests, which have a large number of endemic and range-restricted
616 bird species that are expected to experience further range contractions with
617 climate change. The distributions of montane birds in East Africa are predicted to
618 shrink and become more isolated as arid areas expand in the region (Huntley et
619 al., 2006). Human-induced habitat loss is likely to further exacerbate the effects
620 of climate change on forest birds by reducing viable habitat and creating barriers
621 to dispersal (Şekercioğlu et al., 2008). In order to preserve forest birds in
622 Ethiopia—and forest biodiversity in general—reserves should incorporate wide
623 elevational distributions and have high connectivity (Noss, 2001; Şekercioğlu et
624 al., 2012). Shade coffee farms that are strategically located near forest patches
625 may help improve connectivity of forests and help mitigate the predicted
626 extinction crisis. Furthermore, trees help buffer against climate change impacts,
627 by improving water quality, reducing topsoil erosion, and creating microclimates
628 (Bonan, 2008; Şekercioğlu 2010). Encouragingly, there is evidence that
629 Ethiopian farmers recognize these benefits, and are already working to mitigate
630 the effects of climate change on crops by planting trees (Deressa et al., 2009).

631 Coffee production is also expected to suffer worldwide as a result of
632 climate change. A global model estimates land suitable for growing coffee will
633 decrease by about 50% by 2050 (Bunn et al., 2014). Interestingly, Ethiopia is one
634 of the few locations where the suitability for coffee production is expected to
635 improve. This model shows suitable land for coffee growing in Ethiopia shifting
636 upwards with climate change, from rugged hillsides to the extensive highland
637 plateaus. This scenario presents Ethiopia with a unique opportunity: by investing
638 in shade coffee farming now, it may position itself to control a larger share of the
639 lucrative coffee market in the future, while helping to mitigate the local effects of
640 climate change by planting trees, and simultaneously benefiting the countries rich
641 biodiversity by increasing connectivity of native forests. However, in order to
642 conserve biodiversity, it is also imperative to preserve remaining forest patches
643 with minimal human disturbance.

644

645 **4.6 Avian Ecosystem Services and “Shade Grown Coffee” Certification**

646 Approximately half of the global human population relies on subsistence or small-
647 scale farming (Donald, 2004). Therefore, changes in ecological processes and
648 ecosystem services can have profound impacts on human livelihood and
649 wellbeing (Şekercioğlu 2010). With a per-capita GDP of \$374 USD in 2011
650 (World Bank, 2012b), Ethiopia is one of the most impoverished nations on Earth.
651 However, it has tremendous opportunities for sustainable development based on
652 its high biological diversity, abundant natural resources, and potential for
653 ecotourism. Shade coffee farming with high canopy cover and shade tree
654 diversity have the potential to benefit not only the local ecology and biodiversity,
655 but also the economy.

656 Birds provide valuable ecosystem services in agricultural areas, including
657 pollination, predation of pests, seed dispersal, and ecosystem engineering
658 (Şekercioğlu, 2006a, 2006b; Wenny et al., 2011; Şekercioğlu et al., in press). In
659 the Neotropics, birds have been shown to provide economically valuable services
660 to coffee farmers in the form of pest control (Clough et al., 2009b; Dietsch et al.,
661 2007; Greenberg et al., 2000a, 2000b; Johnson et al., 2010; Perfecto et al.,
662 2004; Şekercioğlu, 2006a, 2006b; Van Bael et al., 2008). For example, a study in
663 Jamaica concluded that pest reduction by birds economically benefited coffee
664 farmers by \$310 USD per hectare (Johnson et al., 2010). Investigating avian
665 usage of and pest-regulating services in African shade coffee farms is a high
666 priority, in order to compare with extensive findings from other regions of the
667 world (Komar, 2006). Our results show that shade coffee farms in southwestern
668 Ethiopia harbor a diverse and abundant insectivorous bird community. This is an
669 important finding with implications for pest regulation on shade coffee farms.
670 Fifteen coffee insect pests have been documented in the vicinity of our study,
671 including the Coffee Berry Borer (*Hypothenemus hampei*) and Coffee Berry Moth
672 (*Prophantis smaragdina*), which can drastically damage coffee crops (Abedeta et
673 al., 2014). Indeed, average Coffee Berry Moth incidence on coffee berries in the
674 region was documented at 24.5%, with peak incidence of over 60% in some
675 seasons (Mendesil and Tesfaye, 2009). Coffee Berry Borer is similarly ubiquitous
676 in the region (Mendesil, 2004). This high prevalence of coffee pests implies that
677 there may be large benefits from avian insectivory on shade coffee farms in
678 Ethiopia. One study within the region documented similar pest infestation rates
679 between shade coffee grown in contiguous forest and forest patches
680 (Samnegård and Hambäck, 2014), however there is need for further investigation
681 of the frequency of pest infestation and avian pest regulation in differing habitats
682 where coffee is grown.

683 To our knowledge, our study documents the only known location in the
684 world where all forest understory bird species recorded in primary forest control
685 sites were also recorded in shade coffee sites (e.g. Wunderle Jr. and Latta, 1996;
686 Tejeda-Cruz and Sutherland, 2004; Philpott et al., 2008; Waltert et al., 2005;
687 Aguilar-Ortiz, 1982). This is not altogether surprising, because coffee is native to
688 our study region, whereas most studies of bird communities on coffee farms have
689 occurred in the Neotropics, where coffee is an exotic crop. However, there is

690 almost no awareness of this in the global "biodiversity friendly" coffee market.
 691 Certifying, publicizing and marketing Ethiopian coffee as "shade-grown" and "bird
 692 friendly" has the potential to increase incomes of local coffee farmers and provide
 693 them a major financial incentive to maintain traditional shade coffee farms
 694 instead of converting them into sun coffee plantations that are poor for
 695 biodiversity conservation. Farms in Ethiopia that have "shade grown" certification
 696 may receive as much as 15-20% more revenue per unit of crop (Takahashi and
 697 Todo, 2013). Furthermore, shade coffee is widely regarded to be of superior
 698 quality to sun coffee, and is thus more valuable. These factors should be a
 699 significant consideration for local farmers in developing countries attempting to
 700 maximize profits (Philpott and Dietsch, 2003).

701

702 **5. Conclusions**

703 In studies around the world, shade coffee has been shown to support high
 704 bird species richness, albeit with fewer forest specialist species, particularly
 705 understory insectivores. Our results corroborate these findings. Shade coffee
 706 farms in southwestern Ethiopia had over double the species richness of nearby
 707 primary forest, while there was a much higher relative abundance of forest
 708 specialists, understory insectivores and Afrotropical-resident understory
 709 insectivores in primary forest. These groups are among the most extinction-prone
 710 of birds globally. There were also some results that contrast with most global
 711 findings: (i) there was no difference in the relative abundance of all insectivores
 712 between the two habitats, and (ii) there was a greater relative abundance of
 713 granivores in primary forest. Our results support the consensus that shade coffee
 714 farms are an important habitat for forest bird conservation in the tropics.
 715 However, differences in the relative abundance of species in shade coffee and
 716 forest habitats indicate that intact forest must also be conserved in order to
 717 mitigate declines in forest specialist birds. Conserving all types of forested habitat
 718 is increasingly important for biodiversity conservation in the tropics (Gibson et al.,
 719 2011; Hernandez et al., 2013).

720 Humans can benefit in turn from conservation of forests and bird
 721 communities. Shade coffee farmers can benefit from valuable ecosystem
 722 services provided by forest bird communities, such as pollination and insect
 723 regulation. These benefits can be economically significant, and may help
 724 contribute to poverty alleviation in Ethiopia—one of the poorest countries in the
 725 world. Shade coffee farms located near forest and those that maintain high levels
 726 of canopy cover and native tree diversity are particularly likely to benefit from
 727 avian ecosystem services. Our results imply that Ethiopian shade coffee is
 728 among the most "bird friendly" in the world. By promoting, certifying, and
 729 marketing shade coffee, Ethiopia has the potential to substantially increase
 730 revenue, while simultaneously helping conserve biodiversity.

731

732

733 **Acknowledgements**

734 This research was supported by the USA National Science Foundation, VLIR
 735 IUC-JU Programme, University of Utah Global Change and Sustainability Center,

736 the Christensen Fund and National Geographic Society. Fieldwork was a
737 collaboration of the University of Utah, Ghent University, Jimma University, and
738 the Ethiopia Wildlife Conservation Authority. Nils Bunnefeld assisted with data
739 analysis. We are grateful to Khalifa Ali, Abdu Ibrahim, Ian Lees, and Sisay Sayfu
740 for their dedicated field assistance and to the people of Ethiopia for their
741 kindness, friendship and hospitality. We thank Boka Asefa and Dr. Diriba Muleta
742 of the VLIR IUC-JU Programme at Jimma University for their constant help. We
743 also thank our colleagues and friends at the Ethiopian Wildlife and Natural
744 History Society for their support, advice, and friendship through the years.

745

746

747 **References**

748 Abedeta, C., Getu, E., Seyoum, E., Hindorf, H. 2014. Coffee berry insect pests
749 and their parasitoids in the Afromontane rainforests of southwestern
750 Ethiopia. *East African J. Sci.* 5, 41–50.

751 Aerts, R., Berecha, G., Gijbels, P., Hundera, K., Glabeke, S., Vandepitte, K.,
752 Muys, B., Roldán-Ruiz, I., Honnay, O. 2013. Genetic variation and risks of
753 introgression in the wild *Coffea arabica* gene pool in south-western Ethiopian
754 montane rainforests. *Evol. Appl.* 6, 243–52.

755 Aerts, R., Hundera, K., Berecha, G., Gijbels, P., Baeten, M., Van Mechelen, M.,
756 Hermy, M., Muys, B., Honnay, O. 2011. Semi-forest coffee cultivation and
757 the conservation of Ethiopian Afromontane rainforest fragments. *For. Ecol.*
758 *Manage.* 261, 1034–1041.

759 Aerts, R., Lerouge, F., November, E., Lens, L., Hermy, M., Muys, B. 2008. Land
760 rehabilitation and the conservation of birds in a degraded Afromontane
761 landscape in northern Ethiopia. *Biodivers. Conserv.* 17, 53–69.

762 Aguilar-Ortiz, F. 1982. Estudio ecológico de las aves del cafetal. Pages 103-127
763 in E. Jimenez-Avila and A. Gomez-Pompa, editors. *Estudios ecológicos en*
764 *el sistema cafetalero*. Instituto Nacional de Investigaciones sobre Recursos
765 Bioticos, Xalapa, Veracruz, Mexico.

766 Anjos, L., C. Collins, R. Holt, G. Volpato, E. Lopes, G. Bochio **This Issue**. Can
767 habitat specialization patterns of Neotropical birds highlight vulnerable areas
768 for conservation in the Atlantic rainforest, southern Brazil? *Biol. Conserv.*

769 Anthony, F., Bertrand, B., Quiros, O. 2001. Genetic diversity of wild coffee
770 (*Coffea arabica* L.) using molecular markers. *Euphytica* 118, 53–65.

771 Anthony, F., Combes, M., Astorga, C. 2002. The origin of cultivated *Coffea*
772 *arabica* L. varieties revealed by AFLP and SSR markers. *Theor. Appl.*
773 *Genet.* 104, 894–900.

- 774 Arcilla, N., Holbech, L., Kolani, Z. **This issue**. Recent declines of Upper Guinea
775 forest understory birds as indicators of unsustainable logging and illegal
776 forest exploitation in Ghana, West Africa. *Biol. Conserv.*
- 777 Ash, J., Atkins, J., Ash, C.P. 2009. *Birds of Ethiopia and Eritrea: an atlas of*
778 *distribution*. A & C Black.
- 779 Bates, D., Maechler, M., Dai, B. 2008. The lme4 package.
- 780 Battin, J. 2004. When good animals love bad habitats: ecological traps and the
781 conservation of animal populations. *Conserv. Biol.* 18, 1482–1491.
- 782 Bekele, M. 2011. Forest plantations and woodlots in Ethiopia: a platform for
783 stakeholders in African forestry. *African For. Forum* 1.
- 784 Bengtsson, J., Ahnström, J., Weibull, A.-C. 2005. The effects of organic
785 agriculture on biodiversity and abundance: a meta-analysis. *J. Appl. Ecol.*
786 42, 261–269.
- 787 Bennun, L., Dranzoa, C., Pomeroy, D. 1996. The Forest Birds of Kenya and
788 Uganda. *J. East African Nat. Hist.* 85, 23–48.
- 789 Berens, D.G., Farwig, N., Schaab, G., Bohning-Gaese, K. 2008. Exotic guavas
790 are foci of forest regeneration in Kenyan farmland. *Biotropica* 40, 104–112.
- 791 BirdLife International 2014. Birdlife Data Zone [WWW Document]. URL
792 <http://www.birdlife.org/datazone/home> (accessed 3.19.14).
- 793 Bonan, G. 2008. Forests and climate change: forcings, feedbacks, and the
794 climate benefits of forests. *Science*. 320, 1444–1449.
- 795 Brashares, J., Arcese, P., Sam, M.K. 2001. Human demography and reserve
796 size predict wildlife extinction in West Africa. *Proc. R. Soc. Biol. Sci.* 268, 2473–
797 2478.
- 798 Bregman, T.P., Şekerciöglu, Ç.H., Tobias, J.A. 2014. Global patterns and
799 predictors of bird species responses to forest fragmentation: implications for
800 ecosystem function and conservation. *Biol. Conserv.* 169, 372–383.
- 801 Brooks, T., Pimm, S., Oyugi, J. 1999. Time lag between deforestation and bird
802 extinction in tropical forest fragments. *Conserv. Biol.* 13, 1140–1150.
- 803 Bunn, C., Läderach, P., Ovalle Rivera, O., & Kirschke, D. 2014. A bitter cup:
804 climate change profile of global production of Arabica and Robusta coffee.
805 *Climatic Change*. 1-13.

- 806 Burivalova, Z., Şekercioğlu, Ç.H., Koh, L.P. 2014. Thresholds of logging intensity
807 to maintain tropical forest biodiversity. *Curr. Biol.* 24: 1893-1898.
- 808 Campbell, J. 1991. Land or peasants?: the dilemma confronting Ethiopia
809 resource conservation. *Afr. Aff.* 90, 5–21.
- 810 Chapin, F., Sala, O., Burke, I., Grime, J. 1998. Ecosystem consequences of
811 changing biodiversity. *Bioscience* 45–52.
- 812 Clements, J.F. 2014. *The Clements Checklist of Birds of the World*. 6th Edition.
813 Updated 2014. Cornell Laboratory of Ornithology, Cornell University Press,
814 Ithaca.
- 815 Clough, Y., Dwi Putra, D., Pitopang, R., Tschardtke, T. 2009a. Local and
816 landscape factors determine functional bird diversity in Indonesian cacao
817 agroforestry. *Biol. Conserv.* 142, 1032–1041.
- 818 Clough, Y., Faust, H., Tschardtke, T. 2009b. Cacao boom and bust: sustainability
819 of agroforests and opportunities for biodiversity conservation. *Conserv. Lett.*
820 2, 197–205.
- 821 Colwell, R., Chao, A., Gotelli, N. 2012. Models and estimators linking individual-
822 based and sample-based rarefaction, extrapolation and comparison of
823 assemblages. *J. Plant Ecol.* 5, 3–21.
- 824 Colwell, R.K. 2013. EstimateS: Biodiversity Estimation Software.
- 825 Conservation International 2013. *The Biodiversity Hotspots - Conservation*
826 International [WWW Document]. www.conservation.org. URL
827 [http://www.conservation.org/where/priority_areas/hotspots/Pages/hotspots_](http://www.conservation.org/where/priority_areas/hotspots/Pages/hotspots_main.aspx)
828 [main.aspx](http://www.conservation.org/where/priority_areas/hotspots/Pages/hotspots_main.aspx) (accessed 12.12.13).
- 829 Conservation International 2014. *Conservation International: Eastern*
830 *Afromontane Biodiversity Hotspot* [WWW Document]. URL
831 [http://www.conservation.org/where/priority_areas/hotspots/africa/Eastern-](http://www.conservation.org/where/priority_areas/hotspots/africa/Eastern-Afromontane/Pages/default.aspx)
832 [Afromontane/Pages/default.aspx](http://www.conservation.org/where/priority_areas/hotspots/africa/Eastern-Afromontane/Pages/default.aspx) (accessed 3.1.14).
- 833 Cordeiro, N.J., Borghesio, L., Joho, M.P., Monoski, T.J., Mkongewa, V.J., Dampf, C.J., **This**
834 **Issue**. Forest fragmentation in an African biodiversity hotspot impacts mixed-
835 species bird flocks. *Biol. Conserv.*
- 836 Del Hoyo, J., Elliott, A., Sargatal, J., Cabot, J. 1992-2013. *Handbook of the Birds*
837 *of the World*. Lynx Edicions, Barcelona.

- 838 Deressa, T.T., Hassan, R.M., Ringler, C., Alemu, T., Yesuf, M. 2009.
839 Determinants of farmers' choice of adaptation methods to climate change in
840 the Nile Basin of Ethiopia. *Glob. Environ. Chang.* 19, 248–255.
- 841 Dietsch, T. V., Perfecto, I., Greenberg, R. 2007. Avian foraging behavior in two
842 different types of coffee agroecosystem in Chiapas, Mexico. *Biotropica* 39,
843 232–240.
- 844 Dirzo, R., Raven, P. 2003. Global state of biodiversity and loss. *Annu. Rev.*
845 *Environ. Resour.* 28, 137–167.
- 846 Donald, P. 2004. Biodiversity impacts of some agricultural commodity production
847 systems. *Conserv. Biol.* 18, 17–37.
- 848 FAO 2010. Global Forest Resources Assessment 2010: Main Report. Food and
849 Agriculture Organization of the United Nations.
- 850 Ferraz, K., De Barros, M.P.M., De Siqueira, M.F., Alexandrino, E.R., Da Luz,
851 D.T.A., Do Couto, H.T.Z. 2012. Environmental suitability of a highly
852 fragmented and heterogeneous landscape for forest bird species in south-
853 eastern Brazil. *Environ. Conserv.* 39, 316–324.
- 854 Fischer, J., Lindenmayer, D. 2007. Landscape modification and habitat
855 fragmentation: a synthesis. *Glob. Ecol. Biogeogr.* 16, 265–280.
- 856 Gibson et al. 2011. Primary forests are irreplaceable for sustaining tropical
857 biodiversity. *Nature* 478, 378–381.
- 858 Gole, T.W., Borsch, T., Denich, M., Teketay, D. 2008. Floristic composition and
859 environmental factors characterizing coffee forests in southwest Ethiopia.
860 *For. Ecol. Manage.* 255, 2138–2150.
- 861 Gove, A.D., Hylander, K., Nemomisa, S., Shimelis, A. 2008. Ethiopian coffee
862 cultivation-implications for bird conservation and environmental certification.
863 *Conserv. Lett.* 1, 208–216.
- 864 Graham, C.H.C. 2001. Factors influencing movement patterns of keel-billed
865 toucans in a fragmented tropical landscape in southern Mexico. *Conserv.*
866 *Biol.* 15, 1789–1798.
- 867 Greenberg, R., Bichier, P., Angon, A. 2000a. The impact of avian insectivory on
868 arthropods and leaf damage in some Guatemalan coffee plantations.
869 *Ecology* 81(6), 1750–1755.

- 870 Greenberg, R., Bichier, P., Angon, A.C. 2000b. The conservation value for birds
871 of cacao plantations with diverse planted shade in Tabasco, Mexico. *Anim.*
872 *Conserv.* 3, 105–112.
- 873 Greenberg, R., Bichier, P., Sterling, J. 1997. Bird populations in rustic and
874 planted shade coffee plantations of eastern Chiapas, Mexico. *Biotropica* 29,
875 501–514.
- 876 Greenberg, R., Perfecto, I., Philpott, S. 2008. Agroforests as model systems for
877 tropical ecology. *Ecology* 89, 913–914.
- 878 Heine, J., Speir, T. 1989. Ornithogenic soils of the Cape Bird Adelie penguin
879 rookeries, Antarctica. *Polar Biol.* 2, 199–205.
- 880 Hernandez, S.M., Mattsson, B.J., Peters, V.E., Cooper, R.J., Carroll, C.R. 2013.
881 Coffee agroforests remain beneficial for neotropical bird community
882 conservation across seasons. *PLoS One* 8, e65101.
- 883 Hijmans, R.J., Cameron, S.E., Parra, J.L., Jones, P.G., Jarvis, A. 2005. Very high
884 resolution interpolated climate surfaces for global land areas. *Int. J. Climatol.*
885 25, 1965–1978.
- 886 Hundera, K., Aerts, R., Fontaine, A., Van Mechelen, M., Gijbels, P., Honnay, O.,
887 Muys, B. 2013. Effects of coffee management intensity on composition,
888 structure, and regeneration status of Ethiopian Moist Evergreen
889 Afromontane forests. *Environ. Manage.* 51, 801–9.
- 890 Hurni, H. 1988. Degradation and conservation of the resources in the Ethiopian
891 Highlands. *Mt. Res. Dev.* 8, 123–130.
- 892 IBM Corp. 2012. SPSS Statistical Software, Version 21.0.
- 893 ICO 2012a. International Coffee Organization - World Coffee Trade [WWW
894 Document]. URL <http://www.ico.org/countries/ethiopia.pdf> (accessed
895 10.12.13).
- 896 ICO 2012b. International Coffee Organization: Ethiopia coffee fact sheet [WWW
897 Document]. URL <http://www.ico.org/countries/ethiopia.pdf> (accessed
898 10.12.13).
- 899 Johnson, M.D., Kellermann, J.L., Stercho, a. M. 2010. Pest reduction services by
900 birds in shade and sun coffee in Jamaica. *Anim. Conserv.* 13, 140–147.
- 901 Jones, J., Ramoni-Perazzi, P. 2002. Species composition of bird communities in
902 shade coffee plantations in the Venezuelan Andes. *Ornitol. Neotrop.* 397–
903 412.

- 904 Jones, K., Purvis, A., Gittleman, J. 2003. Biological correlates of extinction risk in
905 bats. *Am. Nat.* 16, 601–614.
- 906 Karr, J. 1979. On the use of mist nets in the study of bird communities. *Int. Bird*
907 *Band.* 51, 1–10.
- 908 Karr, J. 1981. Surveying birds with mist nets. *Stud. Avian Biol.* 6, 62–67.
- 909 Karr, J.R. 1982. Population variability and extinction in the avifauna of a tropical
910 land bridge island. *Ecology* 63, 1975–1978.
- 911 Kellermann, J.L., Johnson, M.D., Stercho, A.M., Hackett, S.C. 2008. Ecological
912 and economic services provided by birds on Jamaican Blue Mountain coffee
913 farms. *Conserv. Biol.* 22, 1177–85.
- 914 Kissling, W., Şekercioğlu, Ç.H., Jetz, W. 2011. Bird dietary guild richness across
915 latitudes, environments and biogeographic regions. *Glob. Ecol. Biogeogr.*
916 21, 328–340.
- 917 Komar, O. 2006. Ecology and conservation of birds in coffee plantations: a
918 critical review. *Bird Conserv. Int.* 16, 1.
- 919 Korfanta, N., Newmark, W., Kauffman, M. 2004. Long-term demographic
920 consequences of habitat fragmentation to a tropical understory bird
921 community. *Ecology* 93, 2548–2559.
- 922 Küper, W., Sommer, J.H., Lovett, J.C., Mutke, J., Linder, H.P., Beentje, H.J.,
923 Rompaey, R.S.A.R. Van, Chatelain, C., Sosef, M., Barthlott, W. 2004.
924 Africa's hotspots of biodiversity redefined. *Ann. Missouri Bot. Gard.* 91, 525–
925 535.
- 926 Labouisse, J.-P., Bellachew, B., Kotecha, S., Bertrand, B. 2008. Current status of
927 coffee (*Coffea arabica* L.) genetic resources in Ethiopia: implications for
928 conservation. *Genet. Resour. Crop Evol.* 55, 1079–1093.
- 929 Laurance, W. 1991. Ecological correlates of extinction proneness in Australian
930 tropical rain forest mammals. *Conserv. Biol.* 5, 79–89.
- 931 Laurance, W., Bierregaard, R. 1997. Tropical forest remnants: ecology,
932 management, and conservation of fragmented communities. University of
933 Chicago Press.
- 934 Lees, A., Peres, C. 2006. Rapid avifaunal collapse along the Amazonian
935 deforestation frontier. *Biol. Conserv.* 133, 198–211.
- 936 Leica Geosystems 2004. ERDAS Imagine Software.

- 937 Lens, L., Dongen, S. Van, Norris, K., Githiru, M., Matthysen, E. 2002. Avian
938 persistence in fragmented rainforest. *Science*. 298(5596), 1236–1238.
- 939 Maas, B., Putra, D.D., Waltert, M., Clough, Y., Tscharntke, T., Schulze, C.H.
940 2009. Six years of habitat modification in a tropical rainforest margin of
941 Indonesia do not affect bird diversity but endemic forest species. *Biol.*
942 *Conserv.* 142, 2665–2671.
- 943 Magurran, A.E. 1988. *Ecological Diversity and Its Measurement*. Croom Helm
944 Ltd.
- 945 Mendesil, E. 2004. Population dynamics and distribution of the coffee berry
946 borer, *hypothenemus hampei* (Ferrari) (Coleoptera: Scolytidae) on *Coffea*
947 *arabica* L. in Southwestern Ethiopia. *SINET Ethiop. J. Sci.* 27, 127–134.
- 948 Mendesil, E., Tesfaye, A. 2009. The influence of weather on the seasonal
949 incidence of coffee berry moth, *Prophantis smaragdina*(Butler). *J. Asia. Pac.*
950 *Entomol.* 12, 203–205.
- 951 Neuschulz, E.L., Botzat, A., Farwig, N. 2011. Effects of forest modification on bird
952 community composition and seed removal in a heterogeneous landscape in
953 South Africa. *Oikos* 120, 1371–1379.
- 954 Newmark, W.D. 1991. Tropical forest fragmentation and the local extinction of
955 understory birds in the Eastern Usambara Mountains, Tanzania. *Conserv.*
956 *Biol.* 5, 67–78.
- 957 Norris, K. 2008. Agriculture and biodiversity conservation: opportunity knocks.
958 *Conserv. Lett.* 1, 2–11.
- 959 Noss, R.F. 2001. Beyond Kyoto: Forest Management in a Time of Rapid Climate
960 Change. *Conserv. Biol.* 15, 578–590.
- 961 O'Brien, T.T., Kinnaird, M.M. 2003. Caffeine and conservation. *Science*.
962 300(5619), 587.
- 963 Pavlacky, D.C., Possingham, H.P., Goldizen, A.W., 2014. Integrating life history
964 traits and forest structure to evaluate the vulnerability of rainforest birds
965 along gradients of deforestation and fragmentation in eastern Australia. *Biol.*
966 *Conserv.*
- 967 Perfecto, I., Mas, A., Dietsch, T., Vandermeer, J. 2003. Conservation of
968 biodiversity in coffee agroecosystems: a tri-taxa comparison in southern
969 Mexico. *Biodivers. Conserv.* 12, 1239–1252.

- 970 Perfecto, I., Rice, R.A.R., Greenberg, R., Voort, M. Van der, van der Voort, M.E.
971 1996. Shade coffee: a disappearing refuge for biodiversity. *Bioscience* 46,
972 598–608.
- 973 Perfecto, I., Vandermeer, J. 2008. Biodiversity conservation in tropical
974 agroecosystems: a new conservation paradigm. *Ann. N. Y. Acad. Sci.* 1134,
975 173–200.
- 976 Perfecto, I., Vandermeer, J., Bautista, G., 2004. Greater predation in shaded
977 coffee farms: the role of resident neotropical birds. *Ecology* 85, 2677–2681.
- 978 Philpott, S. M., Arendt, W. J., Armbrecht, I., Bichier, P., Diestch, T. V, Gordon, C.,
979 Greenberg, R., Perfecto, I., Reynoso-Santos, R., Soto-Pinto, L., Tejada-
980 Cruz, C. Williams-Linera, G., Valenzuela, J., Zolotoff, J. M. 2008.
981 Biodiversity loss in Latin American coffee landscapes: review of the
982 evidence on ants, birds, and trees. *Conserv. Biol.* 5, 1093–1105.
- 983 Philpott, S.M., Dietsch, T. 2003. Coffee and Conservation: a global context and
984 the value of farmer involvement. *Conserv. Biol.* 17, 1844–1846.
- 985 Pimentel, D., Stachow, U., Takacs, D. 1992. Conserving biological diversity in
986 agricultural/forestry systems. *Bioscience* 354–362.
- 987 Pimm, S., Raven, P., Peterson, A., Şekercioğlu, Ç.H., Ehrlich, P.R. 2006. Human
988 impacts on the rates of recent, present, and future bird extinctions. *Proc.*
989 *Natl. Acad. Sci. U. S. A.* 103, 10941–10946.
- 990 Pollock, H.S., Cheviron, Z. a., Agin, T.J., Brawn, J.D., 2014. Absence of
991 microclimate selectivity in insectivorous birds of the Neotropical forest
992 understory. *Biol. Conserv.*
- 993 Railsback, S. F., & Johnson, M. D. 2014. Effects of land use on bird populations
994 and pest control services on coffee farms. *Proc. Natl. Acad. Sci. U. S. A.*
995 111, 6109–6114.
- 996 Ralph, C., Dunn, E. 2004. Monitoring bird populations using mist nets. Cooper
997 Ornithological Society.
- 998 Redding, D. W., Mooers, A. O., Şekercioğlu, Ç. H., Collen, B. 2015. Global
999 evolutionary isolation measures can capture key local conservation species
1000 in Nearctic and Neotropical bird communities. *Proceedings of the Royal*
1001 *Society B: Biological Sciences* 370. In press.
- 1002 Redman, N., Stevenson, T., Fanshawe, J. 2009. Birds of the Horn of Africa:
1003 Ethiopia, Eritrea, Djibouti, Somalia, and Socotra (Princeton Field Guides).
1004 Princeton University Press.

- 1005 Remsen Jr., J., Good, D. 1996. Misuse of data from mist-net captures to assess
1006 relative abundance in bird populations. *Auk* 381–398.
- 1007 Rosenzweig, M. 2003. Reconciliation ecology and the future of species diversity.
1008 *Oryx* 37, 194–205.
- 1009 Samnegård, U., Hambäck, P. 2014. Local and regional variation in local
1010 frequency of multiple coffee pests across a mosaic landscape in *Coffea*
1011 *arabica*'s native range. *Biotropica* 46, 276–284.
- 1012 Scholes, R., Kuper, W., Biggs, R. 2006. Biodiversity, in: *Africa Environment*
1013 *Outlook 2: Our Environment, Our Wealth*. pp. 226–261.
- 1014 Şekercioğlu, Ç.H. 2006a. Increasing awareness of avian ecological function.
1015 *Trends Ecol. Evol.* 21, 464–71.
- 1016 Şekercioğlu, Ç.H. 2006b. Ecological significance of bird populations, in:
1017 *Handbook of the Birds of the World*. pp. 15–51.
- 1018 Şekercioğlu, Ç.H. 2010. Ecosystem functions and services. Pp. 45-72 in
1019 *Conservation Biology for All*. Sodhi, N.S. and Ehrlich, P.R. (Eds.). Oxford
1020 University Press. Oxford.
- 1021 Şekercioğlu, Ç.H. 2011. Functional extinctions of bird pollinators cause plant
1022 declines. *Science*. 331(6020), 1019–20.
- 1023 Şekercioğlu, Ç.H. 2012a. Bird functional diversity and ecosystem services in
1024 tropical forests, agroforests and agricultural areas. *J. Ornithol.* 153, 153–
1025 161.
- 1026 Şekercioğlu, Ç.H. 2012b. Promoting community-based bird monitoring in the
1027 tropics: conservation, research, environmental education, capacity-building,
1028 and local incomes. *Biol. Conserv.* 151, 69–73.
- 1029 Şekercioğlu, Ç.H., Daily, G.C., Ehrlich, P.R. 2004. Ecosystem consequences of
1030 bird declines. *Proc. Natl. Acad. Sci. U. S. A.* 101, 18042–18047.
- 1031 Şekercioğlu, Ç.H., Ehrlich, P.R., Daily, G.C., Aygen, D., Goehring, D., Sandi,
1032 R.F. 2002. Disappearance of insectivorous birds from tropical forest
1033 fragments. *Proc. Natl. Acad. Sci. U. S. A.* 99, 263–267.
- 1034 Şekercioğlu, Ç.H., Primack, R.B., Wormworth, J. 2012. The effects of climate
1035 change on tropical birds. *Biol. Conserv.* 148, 1–18.
- 1036 Şekercioğlu, Ç.H., Schneider, S., Fay, J., Loarie, S. 2008. Climate change,
1037 elevational range shifts, and bird extinctions. *Conserv. Biol.* 22, 140–150.

- 1038 Şekercioğlu, Ç.H., Wenny, D. and Whelan, C.J. (Eds.). In press. *Why Birds Matter*.
1039 University of Chicago Press. Chicago.
- 1040 Sherry, T. 2000. Shade coffee: a good brew even in small doses. *Auk* 117, 563–
1041 568.
- 1042 Sigel, B.J., Sherry, T.W., Young, B.E. 2006. Avian community response to
1043 lowland tropical rainforest isolation: 40 years of change at La Selva
1044 Biological Station, Costa Rica. *Conserv. Biol.* 20, 111–21.
- 1045 Sodhi, N., Koh, L., Brook, B., Ng, P. 2004. Southeast Asian biodiversity: an
1046 impending disaster. *Trends Ecol. Evol.* 19, 654–660.
- 1047 Sodhi, N. S., Şekercioğlu, Ç.H., Barlow, J., Robinson, S. K. 2011. Conservation
1048 of tropical birds. John Wiley & Sons.
- 1049 Stevenson, T., Fanshawe, J. 2002. *The Birds of East Africa: Kenya, Tanzania,*
1050 *Uganda, Rwanda, Burundi (Princeton Field Guides)*. Princeton University
1051 Press.
- 1052 Stouffer, P., Bierregaard Jr., R.O. 1995. Use of Amazonian forest fragments by
1053 understory insectivorous birds. *Ecology* 76, 2429–2445.
- 1054 Stratford, J. A., Stouffer, P.C. 1999. Local extinctions of terrestrial insectivorous
1055 birds in a fragmented landscape near Manaus, Brazil. *Conserv. Biol.* 13,
1056 1416–1423.
- 1057 Takahashi, R., Todo, Y. 2013. Impact of a shade coffee certification program on
1058 forest conservation: a case study from a wild coffee forest in Ethiopia. *J.*
1059 *Environ. Manage.* 130, 48–54.
- 1060 Tejada-Cruz, C., & Sutherland, W. J. 2004. Bird responses to shade coffee
1061 production. *Anim. Cons.*, 2, 169–179.
- 1062 Thomas, C.D., Cameron, A., Green, R.E., Bakkenes, M., Beaumont, L.J.,
1063 Collingham, Y.C., Erasmus, B.F.N., De Siqueira, M.F., Grainger, A.,
1064 Hannah, L., Hughes, L., Huntley, B., Van Jaarsveld, A.S., Midgley, G.F.,
1065 Miles, L., Ortega-Huerta, M. a, Peterson, a T., Phillips, O.L., Williams, S.E.
1066 2004. Extinction risk from climate change. *Nature* 427, 145–8.
- 1067 Tilman, D., Fargione, J., Wolff, B., D'Antonio, C. 2001. Forecasting agriculturally
1068 driven global environmental change. *Science*. 292(5515), 281–284.
- 1069 Tobias, J., Şekercioğlu, Ç.H., Vargas, H. 2013. Bird conservation in tropical
1070 ecosystems: a review of challenges and opportunities. Pp. 258-276 in *Key*

- 1071 *Topics in Conservation Biology*. MacDonald, D. (Ed.). Wiley-Blackwell.
1072 Oxford.
- 1073 Tscharrntke, T., Klein, A. 2005. Landscape perspectives on agricultural
1074 intensification and biodiversity–ecosystem service management. *Ecol. Lett.*
1075 8, 857–874.
- 1076 Turner, I. 1996. Species loss in fragments of tropical rain forest: a review of the
1077 evidence. *J. Appl. Ecol.* 200–209.
- 1078 Uezu, A., Beyer, D.D., Metzger, J.P. 2008. Can agroforest woodlots work as
1079 stepping stones for birds in the Atlantic forest region? *Biodivers. Conserv.*
1080 17, 1907–1922.
- 1081 United Nations 2012. UNdata: Country Profile: Ethiopia [WWW Document]. URL
1082 <http://data.un.org/CountryProfile.aspx?crName=Ethiopia> (accessed
1083 10.13.12).
- 1084 Van Bael, S.A., Bichier, P., Ochoa, I., Greenberg, R. 2007. Bird diversity in cacao
1085 farms and forest fragments of western Panama. *Biodivers. Conserv.* 16,
1086 2245–2256.
- 1087 Van Bael, S.A., Philpott, S.M., Greenberg, R., Bichier, P., Barber, N. a, Mooney,
1088 K. a, Gruner, D.S. 2008. Birds as predators in tropical agroforestry systems.
1089 *Ecology* 89, 928–34.
- 1090 Vitousek, P., Mooney, H., Lubchenco, J., Melillo, J. 1997. Human domination of
1091 Earth's ecosystems. *Science.* 277(5325), 494–499.
- 1092 Waltert, M., Bobo, K., & Sainge, N. 2005. From forest to farmland: habitat effects
1093 on Afrotropical forest bird diversity. *Ecol. Appl.* 4, 1351–1366.
- 1094 Wang, Y., Finch, D. 2002. Consistency of mist netting and point counts in
1095 assessing landbird species richness and relative abundance during
1096 migration. *Condor* 104, 59–72.
- 1097 Wenny, D.G., Devault, T.L., Johnson, M.D., Kelly, D., Şekercioğlu, Ç.H.,
1098 Tomback, D.F., Whelan, C.J. 2011. The need to quantify ecosystem
1099 services provided by birds. *Auk* 128, 1–14.
- 1100 World Bank 2012a. Agricultural land (% of land area) [WWW Document]. URL
1101 [http://data.worldbank.org/indicator/AG.LND.AGRI.ZS/countries?display=grap](http://data.worldbank.org/indicator/AG.LND.AGRI.ZS/countries?display=graph)
1102 [h](http://data.worldbank.org/indicator/AG.LND.AGRI.ZS/countries?display=graph) (accessed 10.12.12).
- 1103 World Bank 2012b. GDP per capita (current US\$) [WWW Document]. URL
1104 http://data.worldbank.org/indicator/NY.GDP.PCAP.CD?order=wbapi_data_v

1105 alue_2011+wbapi_data_value+wbapi_data_value-last&sort=asc (accessed
1106 10.12.12).

1107 World Bank 2013. World Development Indicators | The World Bank [WWW
1108 Document]. URL <http://wdi.worldbank.org/table/3.2> (accessed 6.1.14).

1109 WorldClim 2014. Global Climate Data [WWW Document]. URL
1110 <http://www.worldclim.org/> (accessed 3.30.14).

1111 Wormworth, J., Şekercioğlu, Ç.H. 2011. *Winged Sentinels: Birds and Climate*
1112 *Change*. Cambridge University Press, Cambridge.

1113 Wunderle Jr., J., & Latta, S. 1996. Avian abundance in sun and shade coffee
1114 plantations and remnant pine forest in the Cordillera Central, Dominican
1115 Republic. *Ornitología Neotropical* 7, 19–34.

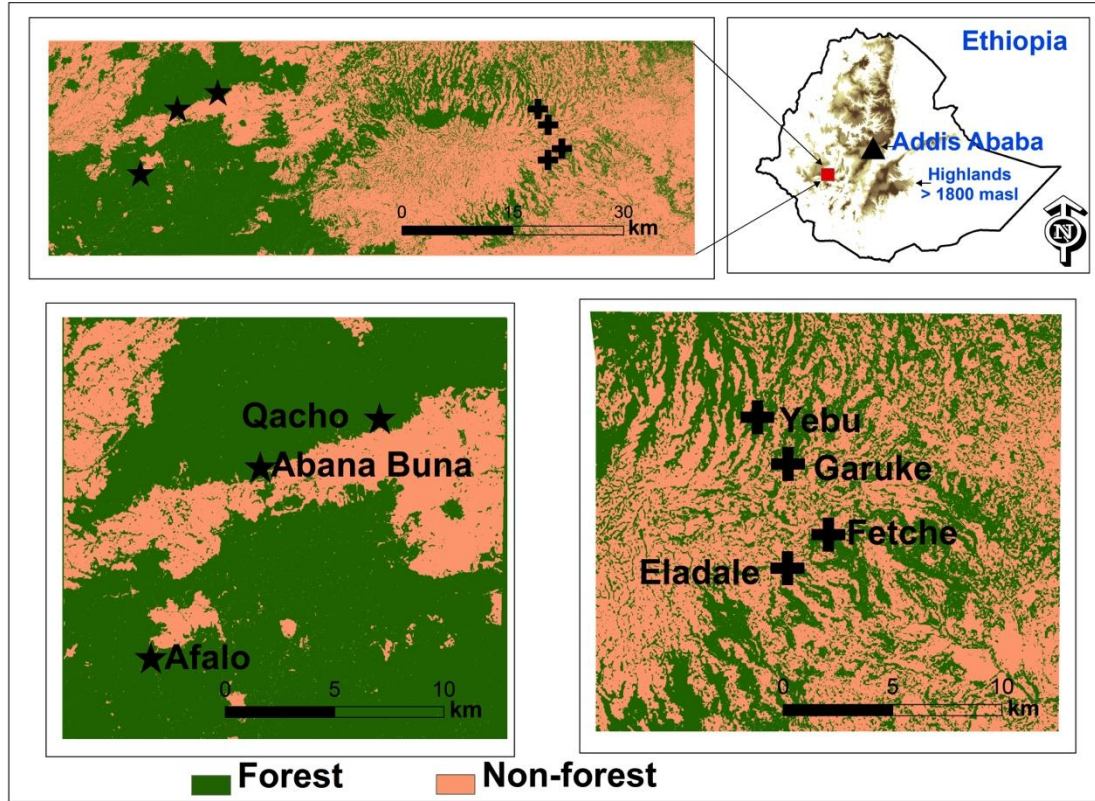
1116

1117

1118

1119 **Figures:**

1120 **Figure 1.** Location of four shade coffee farms (+) and three moist evergreen
1121 Afromontane forest sites (★) where mist netting took place in southwestern
1122 Ethiopia. The map shows regional forest cover from a 30 m resolution Landsat
1123 image (WorldClim.org, 2014) and classified using ERDAS Imagine Software
1124 (Leica Geosystems, 2004).



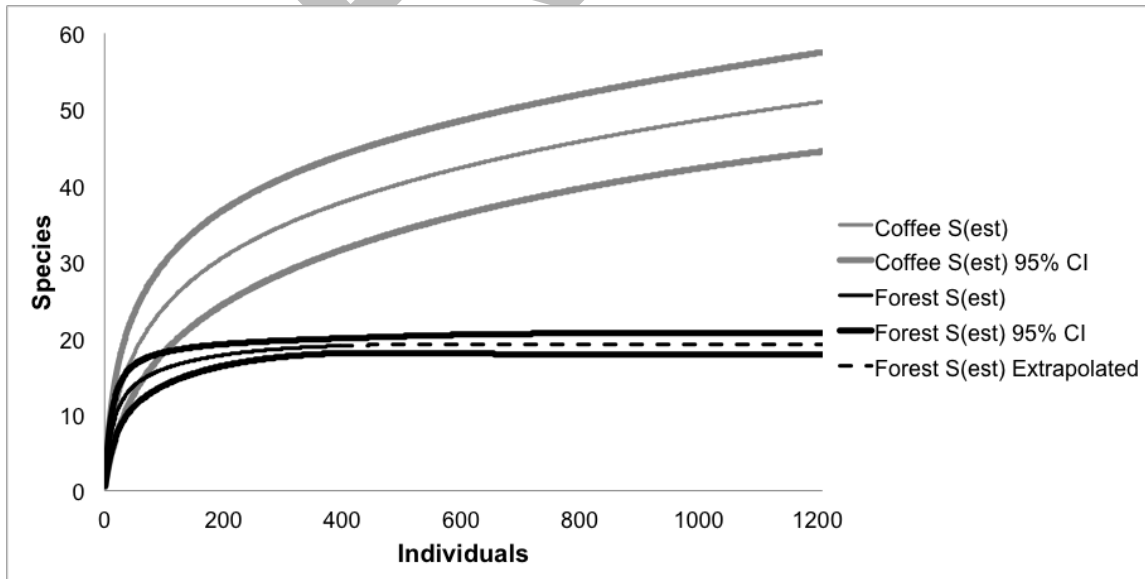
1125

1126

1127

1128 **Figure 2.** Observed and extrapolated bird species accumulation curves ($S(\text{est})$)
 1129 with 95% confidence intervals (CI) for shade coffee farms and moist evergreen
 1130 Afromontane forest sites in southwestern Ethiopia.

1131

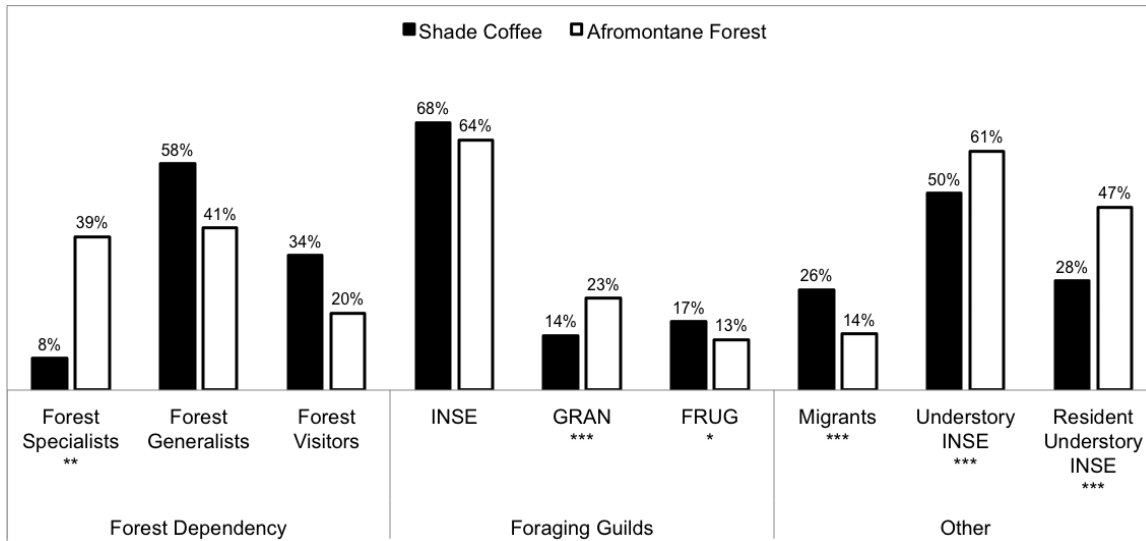


1132

1133

1134
1135
1136
1137
1138
1139
1140
1141
1142
1143
1144

Figure 3. Summary of the differences in bird relative abundance between shade coffee farms and moist evergreen Afromontane forest sites in southwestern Ethiopia. Bars illustrate the relative abundance of each bird classification category, calculated as the capture rate (# of birds/net hour) in each habitat divided by the total capture rate. Asterisks indicate significant differences in the relative abundance of a category between habitats at the $p < .05$ (*), $p < .01$ (**), and $p < .001$ (***) levels, based on chi-square analysis. Nectarivores were not included in the figure because they accounted for only a fraction of a percent of all captures.



1145
1146
1147
1148
1149
1150
1151
1152
1153
1154

Appendices:

Figure A1. The Ethiopian highlands account for more than 50% of the Eastern Afromontane eco-region, as indicated in orange. Image courtesy of Conservation International (2014).



1155
1156
1157
1158
1159
1160
1161
1162
1163

Table A1. Characteristics of four shade coffee and three moist evergreen Afromontane forest mist-netting sites in southwestern Ethiopia. Location was acquired from a handheld GPS on site, elevation was extracted from a high resolution digital elevation model (Hijmans et al., 2005), and rainfall values were determined using a world climate database (WorldClim, 2014).

Site	Habitat	Latitude	Longitude	Elevation (m)	Rainfall (mm)
Eladale	Shade Coffee	7.70363	36.80863	2062	1811
Fetche	Shade Coffee	7.71753	36.75606	2043	1815
Garuke	Shade Coffee	7.74667	36.73917	2060	1834
Yebu	Shade Coffee	7.76583	36.72639	1982	1843
Abana	Forest	7.76339	36.28510	1954	1849
Afalo	Forest	7.68427	36.24030	1957	1854
Qaccho	Forest	7.78384	36.33443	2012	1879

1164
1165
1166

1167 **Table A2.** A list of all species and classifications used in the analysis. Taxonomy
1168 follows the 2014 update of *Clements 6th Edition*. For guild classifications, FRUG
1169 = frugivore, GRAN = granivore, INSE = insectivore, and NECT = nectarivore.
1170 Migr. = Eurasian migrant, UI = understory insectivore, and RUI = resident
1171 understory insectivore. Forest Dependency categories are forest specialist (FF),
1172 forest generalist (F), and forest visitor (f) (Bennun et al., 1996). Relative
1173 abundance was calculated from the capture rate (# of birds/net hour), an index
1174 that controls for differing effort between habitats. The last column denotes
1175 species that significantly differed ($p < .05$) in capture rate between shade coffee
1176 and moist evergreen Afromontane forest. Species are listed in descending order
1177 of total captures.

DRAFT

Species #	Species English	Species Latin	Guild	Migr.	UI	RUI	Forest Dep.	Coffee Captures	Coffee Capture Rate	Forest Captures	Forest Capture Rate	Total Captures	Total Capture Rate	Sig. Diff. Between Habitats
1	Blackcap	<i>Sylvia atricapilla</i>	INSE	X	X		F	232	0.0169	57	0.0127	289	0.0159	X
2	Rueppell's Robin-chat	<i>Cossypha semirufa</i>	INSE		X	X	f	135	0.0099	52	0.0116	187	0.0103	
3	Broad-ringed White-eye	<i>Zosterops poliogastrus</i>	FRUG				F	131	0.0096	20	0.0045	151	0.0083	X
4	Tambourine Dove	<i>Turtur tympanistria</i>	GRAN				F	92	0.0067	15	0.0033	107	0.0059	X
5	Eastern Olive Sunbird	<i>Cyanomitra olivacea</i>	INSE		X	X	FF	57	0.0042	40	0.0089	97	0.0053	X
6	Abyssinian Slaty-Flycatcher	<i>Melaenornis chocolatinus</i>	INSE				F	84	0.0061	2	0.0004	86	0.0047	X
7	Green-backed Camaroptera	<i>Camaroptera brachyura</i>	INSE		X	X	f	68	0.0050	14	0.0031	82	0.0045	
8	Abyssinian Crimson-wing	<i>Cryptospiza salvadorii</i>	GRAN				F	16	0.0012	49	0.0109	65	0.0036	X
9	Dusky-brown Flycatcher	<i>Muscicapa adusta</i>	INSE		X	X	F	52	0.0038	11	0.0025	63	0.0035	
10	African Paradise-flycatcher	<i>Terpsiphone viridis</i>	INSE				f	49	0.0036	5	0.0011	54	0.0030	X
11	Lemon Dove	<i>Columba larvata</i>	FRUG				FF	19	0.0014	28	0.0062	47	0.0026	X
12	Abyssinian Ground-thrush	<i>Geokichla piaggiae</i>	INSE		X	X	FF	9	0.0007	36	0.0080	45	0.0025	X
13	Willow Warbler	<i>Phylloscopus trochilus</i>	INSE	X			f	37	0.0027	0	0.0000	37	0.0020	X
14	Yellow-fronted Tinkerbird	<i>Pogoniulus chrysoconus</i>	FRUG				F	34	0.0025	0	0.0000	34	0.0019	X
15	Green-backed Twinspot	<i>Mandingoa nitidula</i>	GRAN				FF	11	0.0008	22	0.0049	33	0.0018	X
16	African Hill Babbler	<i>Sylvia abyssinica</i>	INSE		X	X	FF	2	0.0001	29	0.0065	31	0.0017	X
17	Black-billed Wood-dove	<i>Turtur abyssinicus</i>	GRAN				F	22	0.0016	7	0.0016	29	0.0016	
18	Common Bulbul	<i>Pycnonotus barbatus</i>	FRUG				f	21	0.0015	2	0.0004	23	0.0013	X
19	Brown-throated Wattle-eye	<i>Platsteira cyanea</i>	INSE				f	15	0.0011	4	0.0009	19	0.0010	
20	Tree Pipit	<i>Anthus trivialis</i>	INSE	X	X		f	18	0.0013	0	0.0000	18	0.0010	X
21	Common Chiffchaff	<i>Phylloscopus collybita</i>	INSE	X	X		F	14	0.0010	0	0.0000	14	0.0008	X
22	Red-capped Robin-Chat	<i>Cossypha natalensis</i>	INSE		X	X	F	10	0.0007	3	0.0007	13	0.0007	
23	Ethiopian Boubou	<i>Laniarius aethiopicus</i>	INSE				f	8	0.0006	0	0.0000	8	0.0004	
24	Red-billed Firefinch	<i>Lagonosticta senegala</i>	GRAN				f	8	0.0006	0	0.0000	8	0.0004	
25	Variable Sunbird	<i>Cinnyris venustus</i>	INSE				f	8	0.0006	0	0.0000	8	0.0004	
26	African Pygmy-kingfisher	<i>Ispidina picta</i>	INSE				f	6	0.0004	0	0.0000	6	0.0003	
27	Magpie Starling	<i>Speculipastor bicolor</i>	FRUG				f	5	0.0004	1	0.0002	6	0.0003	
28	African Firefinch	<i>Lagonosticta rubricata</i>	GRAN				f	4	0.0003	0	0.0000	4	0.0002	
29	Red-eyed Dove	<i>Streptopelia semitorquata</i>	GRAN				f	4	0.0003	0	0.0000	4	0.0002	
30	Red-shouldered Cuckooshrike	<i>Campephaga phoenicea</i>	INSE				f	4	0.0003	0	0.0000	4	0.0002	
31	Scarlet-chested Sunbird	<i>Chalcomitra senegalensis</i>	NECT				f	4	0.0003	0	0.0000	4	0.0002	
32	Common Redstart	<i>Phoenicurus phoenicurus</i>	INSE	X	X		F	3	0.0002	0	0.0000	3	0.0002	
33	Streaky Seedeater	<i>Serinus striolatus</i>	GRAN				f	3	0.0002	0	0.0000	3	0.0002	
34	Bronze Mannikin	<i>Spermestes cucullata</i>	GRAN				f	2	0.0001	0	0.0000	2	0.0001	
35	Common Cuckoo	<i>Cuculus canorus</i>	INSE	X			F	2	0.0001	0	0.0000	2	0.0001	
36	Yellow-bellied Waxbill	<i>Coccyzygia quartinia</i>	GRAN				f	2	0.0001	0	0.0000	2	0.0001	
37	Tacazze Sunbird	<i>Nectarinia tacazze</i>	NECT				f	2	0.0001	0	0.0000	2	0.0001	
38	Northern Black-Flycatcher	<i>Melaenornis edoloides</i>	INSE		X	X	F	2	0.0001	0	0.0000	2	0.0001	
39	African Citril	<i>Serinus citrinelloides</i>	GRAN				f	1	0.0001	0	0.0000	1	0.0001	
40	Baglafaecht Weaver	<i>Ploceus baglafaecht</i>	INSE				f	1	0.0001	0	0.0000	1	0.0001	
41	Blue-spotted Wood-dove	<i>Turtur afer</i>	GRAN				f	1	0.0001	0	0.0000	1	0.0001	
42	Cardinal Woodpecker	<i>Dendropicos fuscescens</i>	INSE				f	1	0.0001	0	0.0000	1	0.0001	
43	Eurasian Reed-warbler	<i>Acrocephalus scirpaceus</i>	INSE	X	X		f	1	0.0001	0	0.0000	1	0.0001	
44	Gray Wagtail	<i>Motacilla cinerea</i>	INSE	X	X		F	1	0.0001	0	0.0000	1	0.0001	
45	African Grey Woodpecker	<i>Dendropicos goertae</i>	INSE				f	1	0.0001	0	0.0000	1	0.0001	
46	Lesser Whitethroat	<i>Sylvia curruca</i>	INSE	X	X		f	1	0.0001	0	0.0000	1	0.0001	
47	Pale Flycatcher	<i>Bradornis pallidus</i>	INSE		X	X	f	1	0.0001	0	0.0000	1	0.0001	
48	Red-cheeked Cordonbleu	<i>Uraeginthus bengalus</i>	GRAN				f	1	0.0001	0	0.0000	1	0.0001	
49	Red-winged Starling	<i>Onychognathus morio</i>	FRUG				F	1	0.0001	0	0.0000	1	0.0001	
50	Swainson's Sparrow	<i>Passer swainsonii</i>	GRAN				f	1	0.0001	0	0.0000	1	0.0001	
51	Yellow-breasted Apalis	<i>Apalis flavida</i>	INSE				f	1	0.0001	0	0.0000	1	0.0001	

1179 **Table A3.** List of species excluded from the analysis, including the reason they
 1180 were excluded, number of captures by habitat, and total number of captures.
 1181 Species are listed in descending order of the total number of captures.
 1182

Species English	Species Latin	Reason Excluded	Coffee Captures	Forest Captures	Total Captures
Narina Trogon	<i>Apaloderma narina</i>	Midstory/Canopy Species	14	1	15
African Goshawk	<i>Accipiter tachiro</i>	Large Size	11	2	13
Little Sparrowhawk	<i>Accipiter minullus</i>	Large Size	3	3	6
Ethiopian Black-headed Oriole	<i>Oriolus monacha</i>	Midstory/Canopy Species	5	0	5
Lesser Honeyguide	<i>Indicator minor</i>	Midstory/Canopy Species	4	1	5
Northern Puffback	<i>Dryoscopus gambensis</i>	Midstory/Canopy Species	5	0	5
White-breasted White-eye	<i>Zosterops abyssinicus</i>	Midstory/Canopy Species	5	0	5
Spectacled Weaver	<i>Ploceus ocularis</i>	Midstory/Canopy Species	1	3	4
African Emerald Cuckoo	<i>Chrysococcyx cupreus</i>	Midstory/Canopy Species	3	0	3
Brown Woodland-warbler	<i>Phylloscopus umbrovirens</i>	Midstory/Canopy Species	0	3	3
Black-headed Batis	<i>Batis minor</i>	Midstory/Canopy Species	1	0	1
White-cheeked Turaco	<i>Tauraco leucotis</i>	Midstory/Canopy Species	1	0	1
Woodland Kingfisher	<i>Halcyon senegalensis</i>	Midstory/Canopy Species	0	1	1
African Wood-owl	<i>Strix woodfordii</i>	Large Size	1	0	1
European Scops-Owl	<i>Otus scops</i>	Large Size	1	0	1
Levant Sparrowhawk	<i>Accipiter brevipes</i>	Large Size	1	0	1
Long-crested Eagle	<i>Lophaetus occipitalis</i>	Large Size	1	0	1
Scaly Francolin	<i>Francolinus squamatus</i>	Large Size	1	0	1
Thick-billed Raven	<i>Corvus crassirostris</i>	Large Size	1	0	1
Wattled Ibis	<i>Bostrychia carunculata</i>	Large Size	1	0	1

1183
 1184
 1185
 1186 **Table A4.** Estimates, standard errors (SE), t-values, and p-values from a linear
 1187 mixed effects model. Average Shannon's Diversity (H) for each one of the 140
 1188 nets was used as the response variable, site as the random effect and habitat
 1189 (shade coffee or moist evergreen Afromontane forest in southwestern Ethiopia)
 1190 as the fixed effect.
 1191

	Estimate	SE	t value	p-value
Intercept	1.2781	0.11	11.64	0.00
Forest	-0.0773	0.18	-0.44	0.68

1192