Test Ordering and Medical Decision Making: A Synergistic Relationship

By T. Allan Pryor, Paul D. Clayton and Keith G. Larsen

H istorically, the development of hospital information systems has tended to emphasize either administrative or clinical applications. Administrative systems have concentrated on implementing the admitdischarge-transfer function, automating test ordering, capturing patient hospital charges and compiling financial requirements. Clinical systems have concentrated on capturing and recording clinical data on patients served by the system. In the development of both systems, however, little has been done to implement automated decision processing.

The Health Evaluation through Logical Processing (HELP) system developed over the last 15 years at the LDS Hospital in Salt Lake City, Utah, has combined the administrative and clinical attributes of traditional hospital information systems with a generalized decision structure available to users for making on-line medical decisions. The majority of the medical decisions defined in the HELP system are data drive. That is, the criteria is automatically processed as the data associated with the decision are captured and stored by the system. This feature ensures that the recipient of the information may review the most current decisions with minimal interaction with the system.

While many of the decisions written for HELP concern the medical evaluation of patients, decision processing can be added to any function incorporated in the system. In particular, ordering drugs and procedures, such as laboratory tests and x-rays, provide opportunities to create decision logic that controls the order and suggests clinical decisions. In the data driven mode, the order is the data that triggers the appropriate decision criteria.

Figure 1 illustrates the general mechanism followed in the HELP system for the processing of an order. When the order is completed, it is stored in the patient data base and that input triggers the decision processing. The information processed



includes the order, other patient data base items, and medical knowledge pertinent to the specific order. Following the processing, the order together with any decisions—is forwarded to the appropriate locations.

interrelation of Medical Logic

One example of the interrelation of medical logic in the HELP system used to enhance test ordering and reporting occurs in our radiology sub system. In developing the sub system, we wanted to automate the order, and ensure that clinically pertinent radiology findings from the patient's xray could be easily entered into the computer data base. The goal resulted in two major constraints being placed on the subsystem design. The first constraint was that the radiological findings had to be coded so that the stored data could be reviewed by clinicians and analyzed by medical decision logic. The second constraint was that the system had to be unobtrusive to radiologists so that they would readily cooperate in entering findings into the computer.

We found that the salient information in a large majority of x-ray examinations can be reported with a limited list of possible findings. We further realized that for an individual patient with a specific ordered procedure, we could narrow the list of possible findings to the five most likely by using patient-specific data that was already part of the computerized data base at the time the examination was ordered. These realizations led us to conclude that the radiologists could conveniently report pertinent clinical results by selecting from an individualized list of likely findings for each patient. To implement this convenient coding scheme, we used HELP-based medical decision logic as part of the ordering process, so that the list of likely findings was appropriate for each individual patient. Requests for radiological examinations are processed in the

following way. After the ward clerk has entered the patient number, ordering clerk and requesting physician, the five most recent x-ray procedures ordered for that patient are displayed. This information reduces duplicate or unnecessary examinations. If this review does not alter the request, the clerk selects from a menu that lists 13 general categories of examinations. These categories range from chest xrays to ultrasound, computerized tomography or nuclear medicine.

١Ĺ

Choosing the general category of chest examination causes the next menu to display the common specific procedures for that category. The menus are used to obtain the reason for ordering the examination. The first question describes the general category such as postoperative, preoperative, follow-up known disease. symptoms only, and so on. The followup menu displays a list of common reasons for ordering the specific, previously ordered procedure. The selected reasons are coded and stored as part of the order string and are extremely important in the decision logic used to predict the most likely findings of the requested procedures. Free text may be entered to describe unusual examinations or reasons for ordering certain procedures.

Requisition Report

When the ward clerk has answered additional questions concerning time and patient transportation, the computer prints the paper work necessary to perform the examination and the requisition report form (Figure 2) that contains the individualized list of most likely findings for the requested procedure. In addition to the list of likely findings, the requisition report form gives other clinical data, such as admitting diagnosis and surgical procedures that may be of interest to the radiologist.

The system includes prewritten medical logic to predict the likelihood for 357 separate radiological findings. Whenever a chest examination is ordered, the logic sectors for 45 pertinent findings are evaluated and the five findings with the highest likelihood for that patient are printed on the requisition report form. The logic for the likely findings is based upon indicants that are found to be significantly correlated to a specific finding. Such items include age, sex and other clinical data in the

DEPARTMENT OF RADIOLOGY 45 Phone (801) 350-1791					1 19	144	,		LDS 325 6g		SPIT	AL • Civ.	Ulph B4	1143
Patient:	Age: 38F				CHEST 1									
Room: 4530	m: 4530 Date To Be Done: 30 MAR 82				RES	PIKA) I Z I RE	.55					
Hospital #157574	Coepital #157574 Radiology # 13-35-601													
Annual Heist, JOHN Annual Herst, JOHN HARRIS, ALVIN E. Computer Entry: 29 MAR 82 11:28 By: DWX Admitting														
Radiology Rep	port Summary**			latio	No	Ibrahad		Ned	Moderale	Servie	_			
CHEST 1				- mong		_		Small	Medkum	Large		Présettie	Pessible	
NO SIGNIFICANT AI HYPOAERATIONIAT PNEUMOTHORAX PULMONARY EDEM PLEURAL EFFUSIOI OTHER	BNORMALITIES ELECTASIS A N	L/A L/R	ABCDHFGHIJKLN	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	2222222222222	333333333333333333333333333333333333333	444444444444444444444444444444444444444	556555555555555555555555555555555555555	6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	777777777777777777777777777777777777777	8 6 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8		10 10 10 10 10 10 10 10 10 10 10 10 10	11 11 11 11 11 11 11 11 11 11 11
The conditions printed above are simply computer calculated possibilities determined prior to the examination. They represent radiologic interpretations <u>ONLY</u> if their corresponding boxes are checked. See text below for detailed report.														
Figure 2.														

computer. The most important item is usally the physician's reason for ordering the examination. The actual use of these indicants to predict the presence of a specific finding is based upon sequential applications of Bayes' formula.

The requisition report form listing the likely findings, goes to the radiologist's office where the film is read and the appropriate boxes of the likely findings are checked. Traditional detailed dictation is typed on the bottom of the sheet and selected findings are entered into the computer. Once the information is entered into the computer, it is available for review and use in furiher decision-making efforts. Billing information and management statistics are derived when the radiology technician confirms with a bar code reader that the examination was cerformed as ordered.

By providing the radiologist with a limited patient-specific list of coded findings, clinical data is captured from this major source of ancillary tests. The radiologis's use a check-thebox format to report coded findings for more than 90 percent of the procedures performed in our hospital. With our present level of decision logic, we are able to include all of the appropriate findings on the predicted list 73 percent of the time. An additional 25 percent of the examinations can be reported using key words in combination with the findings that appear on the list.

Ordering Medications

Another example of the interaction between medical decision processing and computerized order entry is illustrated in the ordering of medications at the LDS Hospital. This action is initiated by the physician with a written order on the patient's medical chart. A direct copy of the medication order is given to a pharmacist, who is then responsible for entering the order into the patient's computer record. The order may be entered at a terminal located on the nursing station or at a terminal located in the pharmacy department.

The order information entered into the medication profile includes the name of the medication, prescribed dosage, route of administration and scheduled times the medication should be given. As with most traditional order entry systems, this information is used for generating medication labels, capturing billing information, updating the patient's medication profile and updating a narcotics utilization file.

In addition, medical decision processing results are reported back to the pharmacist before the order is



Figure 3.

completed (Figure 3). The computer decision-making criteria screens for allergy contraindications, drug interactions and drug contraindications as indicated by laboratory and medical diagnoses. The decision logic integrates the medication information with other patient clinical data such as medical diagnosis, laboratory results, bacteriological data and so on.

The output of the computer medication screening is a series of recommendations concerning drug therapy alteration if indicated. These recommendations are displayed to the pharmacist immediately following the

STATEMENT OF OWNERSHIP.	MANAGEMENT	AND	CIRCULATION	(Act	of	August	12,	1970:	Section	3685.
Title 39, United States Code).										

Title of Publication: COMPUTERS IN HEALTHCARE.

Date of Filing: September 30, 1982. 2.

Frequency of Issue: 12 issues per year. (plus one extra.)

Location of Known Office Of Publication: 6430 S. Yosemite St., Englewood, Colorado 80111.

Location of the Headquarters or General Business Office of the Publishers: 6430 S. Yosemite St., Englewood, Colorado 80111.

6. Names and Addresses of Publisher and Editor. Publisher: Bill Childs, 6430 S. Yosemite St., Englewood, olorado 80111. Editor: Don Peterson, 6430 S. Yosemite St., Englewood, Colorado 80111.

7. Owner (if owned by a corporation, its name and address must be stated and also immediately there under the names and addresses of the stockholders owning or holding 1 percent or more of total amount of stock. If not names and addresses of the stockholders owning or holding 1 percent or more of total amount of stock. If not owned by a corporation, the names and addresses of the individual owners must be given. If owned by a partner-ship or othe: unincorporated firm, its name and address, as well as that of each individual must be given.); Cardliff Communications, Inc. (owner), 6430 S. Yosemite St., Englewood, Colorado 80111. Stanley M. Searie (stockholder), 6430 S. Yosemite St., Englewood, Colorado 80111. Lorna K. Searie (stockholder), 6430 S. Yosemite St., Englewood, Colorado 80111. Robert A. Searie (stockholder), 6430 S. Yosemite St., Englewood, Colorado 80111. V.L. Patterson, 6430 S. Yosemite, Englewood, CO 80111. J. Miltmore, Rt. 1, Strove, OK 74079. 8. Known bondholders, mortgages, and other security holders owning or holding 1 percent or more of total amount of borsts, mortgages or other securities: American National Bank, P.O. Box 900, Bristow, OK 74010. 9. For optional completion by ublishers mailting at the regular rates (Section 132 12: Postal Service Manual):

9. For optional completion by publishers mailing at the regular rates (Section 132,127, Postal Service Manual): 39 U.S.C. provides in pertinent part: "No persons who would have been entitled to mail matters under former Section 4359 of this title shall mail such matters at the rates provided under this subsection unless he files Section 4359 of this title shall man such matters at the rates provided under title subsection diffest in annually with the Postal Service a written request for permission to mail matter at such rates." In accordance with the provisions of this statute, I hereby request permission to mail the publication named

in Item 1 at the reduced postage rates presently authorized by 39 U.S.C. 3626.

(Signea) Bill W. Chillas, Publisher	Average No. Copies Each Isoue During Preceeding 12 Months	Actual No. Copies of Single Issue Published Nearest to Filing Date
A. Total No. Copies Printed (Net Press Run)	11,500	14,642
B. Paid Circulation		
1. Sales Through Dealers and Carriers, Street		
Vendors and Counter Sales	None	None
2. Mail Subscriptions	2,700	3,349
C. Total Paid Circulation	2,700	3,349
D. Free Distribution by Mail, Carrier or Other		
Means - Samples, Complimentary and Other		
Free Copies	7,300	8,085
E. Total Distribution (Sum of C and D)	10,000	11,434
F. Copies Not Distributed		
1. Office Use, Left-Over Unaccounted, Spolled		
After Printing	1,500	3,400
2. Returns From News Agents	None	None
G. Total (Sum of E, F1 and 2 Should Equal		
Net Press Run Shown in A)	11,500	14,842
I certify that the statements made by me above are co	errect and complete. (Signed) Bi	ill W. Childs, Publisher

38

scheduling of the patient's medication orders. The pharmacist is responsible for follow-up of the recommendation with the appropriate member of the patient's health team. If the severity of the anticipated reaction warrants. the pharmacist will withhold the medication until the patient's physician has been contacted.

While this incidence is low, the potential cost to the patients of unrecognized medication problems is greatly reduced. Between three and five percent of hospital patients have an alert generated on a medication order during their hospital stay. In each instance the patient realizes a four to one cost benefit from the medication ordering system. To accomplish the same screening for medication complications in a hospital the size of LDS pharmacists would have to review about 500 hospital charts per day. With the computer system, the pharmacists at LDS Hospital have been able to concentrate on the 15 to 20 patients a day for whom medication complications were indicated during the ordering process.

Developing Decision Logic

The unique ability of the HELP system to interact with traditional hospital information functions and decision processing has been accomplished by providing a common integrated data and medical knowledge base, as well as by developing generalized user programs that provide an easy interface for creation and management of new data base items, entry systems, decision criteria and formatted screen displays. The availability of these user tools ensures that the system will remain dynamic, responding to changes in both the hospital data base and decision criteria necessitated by new medical knowledge.

Figure 4 illustrates the general model of implementing both the ordering function and the creation of the decision criteria using the HELP system. The first step is to define any new items to be added to the existing data base. The format and units of measure of the new data item, the key words to access the data, the cost accounting procedure number and the charge value are included with the data description. Once defined in the data base, an item may be used in the generation of entry questionnaires and decision modules.

November/December 1982



Figure 4.

The developer creates a data entry questionnaire using a screen definition system. The questions allow the user to enter fields of previously defined data items. The items consist of a series of data entry screens. Each screen has a set of attributes, including the type of data to be entered, diagnostic checks to be performed on the data in that screen, follow-up screens to be presented based on the results of data from that screen, the structure of the data to be stored and requests to perform specialized processing on any of the data items in the field. The questionnaire for obtaining the specific type of x-ray examination and the reason for performing the procedure is an example of how this tool is used.

this tool is used. Creation of the decision criteria is accomplished in a manner similar to development of the data entry questionnaires. The developer interacts with a set of system routines using key words to explicitly define the data items for the decision logic. This definition of the data set may include complicated time constraints and/or Boolean logic. Following the definition of the data items for the decision criteria, the developer defines the actual decision logic and determines whether the system will use logical or statistical constructs to evaluate data items.

Having defined the decision criteria, the developer of the medical decision logic specifies the locations where the output of the decision are to be transmitted. These locations may incal record, special system files used to rapidly alert medical personnel, specific printer locations for the reporting of the decision results and so on. The final step in decision creation includes specification of the data items whose storage will cause these decision criteria to be processed. the HELP system is a mechanism for the creation of screen formats for report and review of the entered data and any decision results. Again, system routines are provided that make it convenient for the developer to define the format cf each screen and the destination of each generated

Worry-Free UPS Power Protection **Control Control Control Control Control Cont**

Wayne West Director of Field Operations Alexian Brothers of America, Inc Elk Grove Village, Illinois

Alexian Brothers hospitals' total Patient Information System provides doctors and nurses with immediate access to clinical data. As system reliability is critical, a 30 kW.LorTec UPS insures system operation. 24 hours a day.

Our's Works!

LorTec UPS — 16 years of documented, worryfree, UPS dependability. But don't take our word * for it. Ask any of our customers. You'll find all their names, addresses and phone numbers in our new "UPS Reliability" Discovery Kit. Or, call Tom Q*Neill, Vice President at 216/327-5050.

LorTec UPS units are available from 12.5 kW to 125 kW; 50 Hz and 60 Hz.



LorTec Power Systems, Inc. 5214 Mills Industrial Parkway North Ridgeville, Ohio 44039



report. In some instances, the system may be used in the laboratory to order tests, or it may be used on the ward when the user is requesting review of such information.

Range of Applications

The ordering examples given above illustrates only some of the current interaction between the medical decision-making and order entry programs in the HELP system. Similar interaction and decision logic is available for ordering blood gas, clinical laboratory tests and so on. Research is currently under way to make the system useful in determining the most likely set of test orders that should be requested in order to further the diagnostic needs and management of a particular patient.

As these applications grow, the indication is that hospital information systems of the future must be medical decision systems wherein items of data originate from numerous medical and administrative sources.

Prvor

About the Authors



Clayton

T. Allan Pryor Ph.D., is a professor in the Department of Medical Biophysics and Computing at the University of Utah and is responsible for the development of the HELP system at the LDS Hospital. He has been involved in the creation of medical computer systems for over 15 years at the University of Utah and LDS Hospital.

Paul D. Clayton, Ph.D., is an associate professor in the Depart-

Larsen

ment of Medical Biophysics and Computing at the University of Utah and LDS Hospital. Clayton has been involved in the development of hospital computing and artificial intelligence.

Keith G. Larsen is a research Pharmacist on the staff of the LDS Hospital. For the past five years he has been involved in the development of computerized pharmacy systems at the LDS Hospital.

