Results of a UK-wide vignette study with Occupational Therapists to

explore cognitive screening post-stroke

Abstract

Background.

There is a paucity of evidence in the UK regarding occupational therapy (OT) cognitive screening, and whether, and how, cognitive impairments are identified and assessed.

Aims

To identify current OT practice for the assessment of cognitive problems in patients following stroke.

Methods

OTs were invited to complete an online vignette study. Participants were asked to identify any presenting cognitive problems, decide whether to complete cognitive assessments and list any assessments they would use. Data were analysed using descriptive analysis.

Findings

Fifty-three OTs from across the UK participated. OTs identified key cognitive issues but some problems, such as apraxia and attention, were overlooked. A large number of potential assessments were suggested: the most common were the Montreal Cognitive Assessment and Oxford Cognitive Screen.

Conclusion

The variation found in OTs' recognition and assessment of cognitive problems has potential to impact on management and rehabilitation in stroke services, survivor outcomes, education and research.

Keywords: Occupational therapy, stroke, cognitive screening, community, rehabilitation

Key points:

- There is variation in OTs' recognition and assessment of cognitive problems.
- OTs use a wide variety of cognitive assessments.
- OTs favour functional assessments over cognitive assessments.
- The variation has the potential to impact on stroke rehabilitation, research and education.

Introduction

It is estimated that over 100,000 people have a stroke in the UK every year (Stroke Association 2018). The prevalence of first time stroke in adults aged 45+ years is expected to increase by 59% by 2035 (Patel et al, 2018) with people most likely to have a stroke aged 55 years and over (Wang et al, 2013). Cognitive problems are common after stroke (Lincoln et al, 2011) and are reported in over half of stroke survivors six months post stroke (Mellon et al, 2015). Also, importantly, they occur in approximately half of those who have a transient ischemic attack (TIA) (Fens et al, 2013). Cognitive problems encompass impairments of attention, memory, language, visuospatial and executive abilities. Such impairments may vary in severity and indeed may not be significant until after discharge from hospital when the patient returns home and undertakes daily living activities (Patel et al, 2017; Zinn et al, 2004), or when they return to work (Grant et al, 2014: Sinclair et al, 2014) and find that they are not independent or need substantial help and support with activities

UK clinical guidelines advise screening patients early for cognitive problems (Department of Health, 2007; British Psychological Society, 2010; Royal College of Physicians [RCP], 2016a). The RCP clinical guideline for stroke states: *People with stroke should be considered to have at least some cognitive impairment in the early phase. Routine screening should be undertaken to identify the person's level of functioning, using standardised measures' (4.3.1.1.A)* (Royal College of Physicians, 2016a). Current UK audit data suggests that many stroke survivors are screened before being discharged from hospital (Sentinel Stroke National Audit Programme, 2017). The increasing emphasis on discharging stroke survivors from hospital early has important implications for rehabilitation management within community stroke teams, particularly with regard to how information is passed on and how results of tests are used. There can also be issues around who should take responsibility

for follow-up of stroke survivors with cognitive problems. Tang et al (2017), in a study of primary and secondary care, found professionals were unsure who was ultimately responsible for longer term care and highlighted problems around lack of experience in some clinicians in conducting assessments and difficulties in communicating findings.

Occupational therapists (OTs) play an important role in the rehabilitation of stroke survivors, and are regarded as having a key role in cognitive rehabilitation (Govender and Kalra, 2014) particularly amongst Allied Health Professionals. There has been some exploration internationally of cognitive assessment practices undertaken by OTs with stroke survivors.

A previous telephone survey by Korner-Bitensky et al (2011) of OTs in Canada explored assessment and management of cognitive impairments following stroke; they found detection of problems was lower in community-based patient scenarios. They also found that a large number of assessments were used for screening, but highlighted that the most frequently cited was the Mini- Mental State Examination (MMSE). Korner-Bitensky et al (2011) found that few clinicians indicated that they would reassess cognitive function after the initial assessment which may result in missed opportunities to identify changes in cognition, which in turn, impacts on missed opportunities to adjust rehabilitation. In addition, the authors found that, of the clinicians who would repeat a cognitive assessment, they reported that they would use MMSE, which is not an appropriate measure for monitoring cognition post stroke as it is does not predict deterioration or improvement in cognitive function over time (Bour et al 2010).

Korner-Bitensky et al (2011) noted the marked variation in practice between OTs participating in their survey and felt that less emphasis was placed on cognition in community

services. Similarly, findings from interviews in the qualitative phase of the present study, (Ablewhite et al, 2019) also showed marked variation in the cognitive screening of stroke survivors by OTs working in the community. It seemed that cognitive assessments were selected based on availability and familiarity rather than evidence for appropriateness to the suspected cognitive problem. Similarly, a Danish study that surveyed the choices made by OTs when selecting assessments for screening cognitive deficits during the immediate post-acute phase of stroke found only 9% of participants were using standardised assessments (Pilegaard et al, 2014). The authors also found that 13 different screening methods were being used.

The impact of cognition on recovery after stroke has been recognised (McKevitt et al, 2011) and, indeed, the James Lind Alliance cited it first in their list of the top ten priorities relating to life after stroke (Pollock et al., 2012). In the recent European Stroke Action Plan (ESAP) for 2018-2030 the variability in access to rehabilitation following stroke within and between countries (Norrving et al, 2018) was highlighted and the effective management of cognitive impairment following stroke was identified as a research and development priority. In addition, ESAP also agreed that the improvement of community rehabilitation is a target.

Despite emerging international research findings, relatively little is known about the identification of cognitive problems by OTs in the UK, the assessments that OTs routinely undertake or how these are used to shape rehabilitation, particularly in the community. Thus the aim of this study was to identify current OT practice in the UK for the assessment of cognitive problems in stroke survivors, in the community. We wanted to specifically explore: (i) OTs' identification of common cognitive problems after stroke, (ii) OTs' intention to

screen stroke survivors, and (iii) which cognitive assessments were suggested and the reasons for this choice.

Methods

Ethics

Ethical approval was obtained from the Health Research Authority (Reference 232332; 6/10/17). Approvals were also obtained from University of Nottingham as the study sponsor (02/10/17), Nottinghamshire Healthcare NHS Foundation (12/05/17), and Derbyshire Health Services NHS Foundation Trust (22/12/17).

Recruitment

OTs were invited to take part in the study via advertisements circulated within the Royal College of Occupational Therapists' Specialist Section in Neurological Practice and via a professional Twitter account. We aimed to recruit 40 OTs; this was a pragmatic target in accordance with the time and resources available for this exploratory study.

The eligibility criteria were that participants were OTs, working primarily in UK community stroke services and willing to give informed consent.

Development of questionnaire

A questionnaire was developed by the research team with input from the research steering group, which consisted of OTs, neuropsychologists, experts in stroke rehabilitation and stroke survivors and carers with personal experience of stroke. A series of ten vignettes (brief descriptions of stroke survivor scenarios) were produced. Each vignette contained realistic examples of common cognitive problems listed in the UK Stroke Association Guide (Stroke Association, 2018) in individuals of different ages, genders, occupations, activity levels and social support systems. The vignettes were generated to identify OTs' current knowledge and understanding of cognition and cognitive assessment. OTs were asked to record whether the patient had a cognitive problem and their thoughts around screening assessment. In some scenarios, they were asked what further assessments might be administered, and to describe the likely implications of the given test results for patient management. The vignettes were circulated amongst the steering group for comments and iteratively developed.

Each vignette was set out slightly differently to minimise response bias and fatigue. In some vignettes, we provided a Montreal Cognitive Assessment (MoCA) (Nasreddine et al, 2005) score from a previous assessment in hospital, and in others the scenario unfolded over several statements in order to reflect gathering information over time and to find out at what point (if any) the OTs would complete a cognitive assessment with the stroke survivor. Each vignette contained signs and symptoms of two common cognitive problems after stroke such as a memory problem an executive function problem (see Table 3 for further details on this). An example of a 'closed' vignette is shown in Table 1 and an 'unfolding' vignette is shown in Table 2.

Insert Table 1 here

Insert Table 2 here.

The question response formats included a 6-point Likert scale (6 was deemed 'very likely') to indicate how likely participants felt the presenting issues were due to cognitive problems and yes/no response formats to record whether participants would administer a cognitive assessment. Participants were asked to complete free text responses to identify any cognitive problems, note any relevant issues for screening, or any other factors they considered

relevant. Responses were categorised into cognitive domains based on the definitions provided in the Stroke Association Guide (Stroke Association, 2018). In addition, OTs were asked to provide information about: their NHS banding to note their seniority, the higher the band, the more senior the occupational therapist, (explanation of the NHS clinical bands can be found at https://www.nhsemployers.org/your-workforce/pay-and-reward/jobevaluation/national-job-profiles/allied-health-professionals), how long they have worked clinically with stroke patients, whether the bulk of their work was in a hospital or community setting and their geographical location.

The questionnaire underwent a review with three clinical psychologists (who were not part of the research team or steering group) who had expertise in cognitive rehabilitation in stroke. This was to ensure the cognitive issues described were accurate, relevant and could be identified from the vignette. Some changes were made at this stage to clarify the wording, to ensure cognitive issues were central. A final review was conducted by the research team before formally pilot testing the questionnaire.

Three clinical OTs, recruited via contacts within the East Midlands, agreed to pilot test the questionnaire. Further minor changes were made to the wording of certain vignettes, based on their feedback. Furthermore their feedback on the length of the questionnaire and the time needed to complete it, led the research team to remove two vignettes. This was in order to ensure the questionnaire took approximately 30 minutes to complete. The data collected in the pilot study was not included in the final data analysis.

The vignettes and questionnaire were uploaded onto Bristol Online Survey. Participants were emailed a participant information sheet, informed consent was taken online and a link to the

online survey was provided. A written version of the questionnaire was also available on request.

Data Analysis

Descriptive statistics were used to examine the numbers of participants who identified cognitive problems within the vignettes, and the number of participants who, based on the information in the vignettes, indicated an intention to administer a cognitive assessment. We also examined the proportion who stated that they required additional information prior to deciding whether to administer a cognitive assessment, although the research team felt there was sufficient information in the scenarios. We also analysed the combination of proposed cognitive assessments with cognitive problem included within the vignette. Quantitative data were analysed using Statistical Package for the Social Sciences (SPSS) version 24. Free text responses were explored for key themes. The free text responses were coded and analysed using content analysis, following Kippendorff (2004). We coded free text segments into meaning units, which were then condensed to specific codes that coalesced around a meaning unit. These codes were then collapsed into categories and higher level themes.

Results

Participant Characteristics

A total of 53 OTs participated in the study and completed all 8 vignettes. The majority of OTs were employed at an NHS clinical Band 6 (56.6%, n=-30) and 7 (37.7%, n=7), with small numbers employed at Band 5 (1.9%, n=1), Band 8 (1.9%, n=1) and Band 9, (1.9%, n=1). (Note that the higher the banding, the more senior the OT was). The majority had a community caseload (64.2%, n=34), with the remainder having a hospital caseload (30.2%, n=16) and combined caseload (5.6%, n=3). OTs' experience of working with stroke survivors ranged from 1 month to 30 years (mean 9.4 years, SD 7.44). OTs were from across the UK:

Scotland (15.2%, n=8), England (64%, n=34), Wales (1.9%, n=1), Northern Ireland (1.9%, n=1), or no location given (17%, n=9).

Vignette Reponses

Identification of cognitive problems and intention to conduct screening assessment Between 85% and 95% (n=45-51) of OTs correctly identified that the issues presented in vignettes 1, 3 and 8 were due to cognitive problems. Vignettes 1, 3 and 8 were also the stroke survivor scenarios where OTs indicated that they would cognitively assess.

Vignette 4 was least likely to be considered as having a cognitive issue, with 60% (n=32) of the participants identifying a cognitive problem. Despite this, 79% (n=42) indicated that they would administer a cognitive assessment in this scenario.

In each of the vignette responses, a higher number of OTs indicated they would administer a cognitive assessment compared to the number of OTs who identified the stroke survivor to have a cognitive issue (Figure 1).

Insert Fig 1 here

When asked to identify the cognitive problem, memory was accurately recognised between 79% -93% (n=42-49) of OTs. Visual neglect was also accurately identified by the majority of OTs (83%, n=44). The cognitive domains that were least well identified were apraxia 47% (n=25), and attention (between 8%- 59%, n= 4-31). Identification was more varied for executive function (between 45% -100%, n=24 -53) and visuospatial perception (between 19% - 96% n=10-51).

For most of the vignettes, participants indicated that they required additional information regarding the stroke survivor in order to decide whether or not to administer cognitive assessments, with the exception of vignettes 1 (89%, n=47), vignette 3 (81%, n=43) and 8 (89%, n=47) (Figure 2).

Insert Figure 2 here.

Proposed cognitive screening assessments

Participants proposed a number of assessments they would use to screen for cognitive problems. Table 3 presents the most common assessments proposed by OTs to screen for cognitive problems and the percentages of OTs who would use these assessments in each vignette.

Insert Table 3

As shown in table 3, for each of the vignettes, the OTs said they would use a 'functional' assessment. Although they did not define what they meant by this, the impression given was that they were observing patients undertaking a functional activity (such as a kitchen task). This was particularly in vignettes 2 and 6 that included a scenario with visuospatial and attention problems.

Free text comments

Participants had the opportunity to provide free text comments. The key issues raised are listed in Table 4 with representative quotations to illustrate the points raised.

Insert Table 4

Discussion

In this exploratory study, data were gathered on UK OTs' recognition of cognitive problems in stroke survivors (based on eight vignettes), their intention to administer cognitive assessments based on that recognition, and the assessments most frequently used. While there are research findings from other countries, this study and other results (Drummond et al 2019), provides a foundation for understanding UK OTs' cognitive assessment practices.

Although the aim was to recruit 40 OTs working in stroke teams primarily in the community, we recruited 53 OTs from throughout the UK. We believe this demonstrated the interest of the OTs who responded in the topic of cognitive problems after stroke.

Our results demonstrated inconsistencies in the identification of common cognitive problems. Although the majority of the OTs identified difficulties such as memory loss and visual neglect, apraxia and attention were less well recognised. This has implications for rehabilitation as these cognitive deficits may not be factored into or addressed in the rehabilitation programme, which suggests variability in rehabilitation as identified in the development of ESAP (Norrving et al, 2018). There were also discrepancies in the identification of the cognitive problems within the same domain, which might be explained by the presentation of other symptoms in the vignette (such as aphasia) which might have confused the OTs.

In some vignettes, where the OTs were able to identify a cognitive problem, they felt that the stroke survivors' presenting difficulties were not a result of cognitive problems. This suggests

that there are individual differences in the knowledge of cognitive problems, and that there is some uncertainty in how to assess cognition when stroke survivors display perceptual or language issues.

Our findings also showed that not all OTs would consider routinely assessing all stroke survivors for cognitive problems and that the likelihood of assessment varied depending on the signs and symptoms described. OTs were most likely to conduct an assessment when they felt stroke survivors had memory loss and executive function difficulties, and least likely when they believed stroke survivors had visuospatial issues. The most common reasons for not administering assessments were because the OTs felt 'functional' assessments would be more suitable for older patients or those with speech or perceptual issues would find assessments difficult. Although the OTs did not define what they meant by 'functional assessments', the impression is that they were observing patients undertaking a functional activity (such as dressing). This impression would be very much in keeping with what Koh et al (2009) reported in their survey of Australian OTs whereby a number of OTs felt that performance on paper and pencil activities may not be transferrable to activities of daily living. Some OTs did not consider assessments to be appropriate when the stroke survivor was demonstrating perceptual issues as they did not perceive this to be a cognitive issue.

Consistent with other study findings (Pilegaard 2014, Ablewhite et al 2019) a variety of assessments were suggested by OTs. The most commonly suggested were the MoCA and the OCS, followed by the ACE and the CAM (Addenbrooke's Cognitive Assessment (Mioshi et al, 2006)and Cognitive Assessment of Minnesota (Rustad et al, 1993)). Key reasons for choosing assessments included speed and ease of use, and familiarity which is consistent with other findings (Ablewhite et al, 2019). The MMSE was only proposed once and for only one

vignette, unlike findings by Koh et al (2009) and Korner-Bitensky et al (2011) in which the MMSE was one of the most popular tools reported. Functional assessments were also a popular choice when OTs considered exploring cognitive problems after stroke either, instead of, or as well as, another assessment. Functional assessments were most commonly suggested if the stroke survivor was retired or had language issues, or because they believed they would highlight both cognitive issues and rehabilitation goals. This was also similar to the results from the Koh et al (2009) who found that OTs relied heavily on clinical observations.

These findings offer some preliminary insights into the identification and management of cognitive problems after stroke in the UK. They must, however be considered in light of the study's limitations. There is a possibility of sampling bias, as participants with a particular interest and knowledge of cognitive problems after stroke would have self-selected to participate in the research. We were also very interested in gaining responses from OTs who worked in the community but, as this was an online tool, we could not control this. However, it was clear from the responses that the majority of the respondents were currently employed in, or had recent experience of working in the community. We also did not define any of the terms used but left the OTs to use these as they would routinely and as they thought appropriate. It was clear from the findings that OTs used many terms interchangeably-notably assessments, tools, and screening measures.

Notwithstanding these limitations, this is the first UK study to examine the day to day assessment of cognitive problems by OTs and the findings are in keeping with literature from other countries where similar research has been undertaken. The results suggest there is interest in the topic and the real possibility of professionally developing OT skills further in identifying cognitive problems, which could potentially improve the rehabilitation of people

with stroke. Not only would this have the potential to improve the independence and quality of life for stroke survivors but would have implications for caregivers and support services. Given the current issues with clinical psychology staffing and provision for stroke in the UK (RCP, 2016b), and the role of OT in providing ecologically valid assessments (Crist, 2015). OTs would be ideally placed to manage day to day cognitive issues in people with stroke.

Conclusion

There is variation within UK OTs recognition and assessment of cognitive problems which has potential to impact on rehabilitation in stroke services, survivor outcomes, education and research.

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Conflict of Interest

'The Author(s) confirm that there is no conflict of interest'

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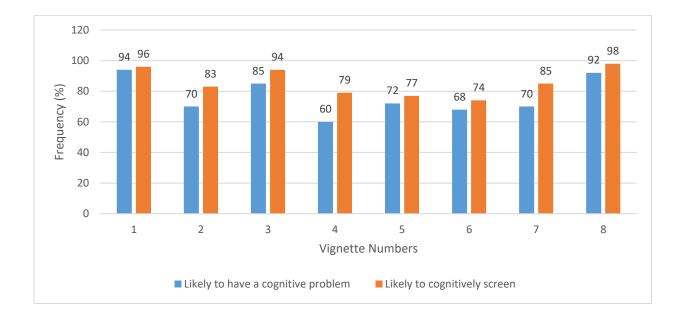
Table 1- Example of a closed vignette

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Table 2 Example of an unfolding vignette

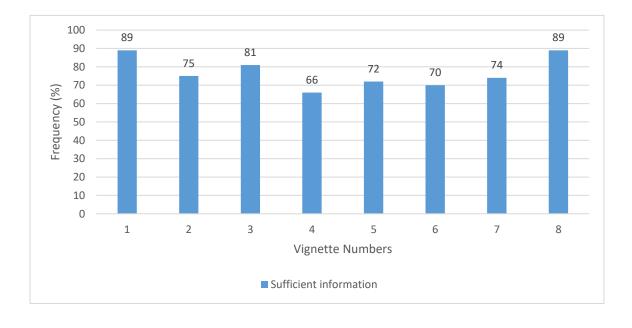
usually followin	a keen and g a left her perienced p	l active ga nisphere s	rdener. He v troke and h	was discharg as now beer	ome usually ir ged from the n referred to d significant v	stroke unit the commu	10 weel nity stro	ks ago oke team. He
>	Would you	screen thi	s person fo	r cognitive p	oroblems? (pl	ease circle)	YES/NC)
meals. down a recently	His daught meal plan /. His daug	er reports for each da hter report	that he forg ay. He is un is that he ge	ets things the state of the second se	does all his s nat she has to ember when nfused. problems now	old him and people have	l she ha e visited	s to write l him
daughte taken a	er reported back.	that he tri	ed to kill ar	insect with	ult to move f such extraor	dinary forc	e she wa	as quite
\rightarrow	-		-		oroblems now	? (piease c	ircie) YE	S/NU
	If YES , wh	iat cognitiv	e assessme	nts would y	ou use?			
	-	-	-	-	tive assessm			
					6			
					for cognitive			
	What are y	our thoug	hts on issue	s relevant h	ere? E.g. age	e, gender e	tc.	
						2		
	What cogn	itive probl	ems do you	think this p	erson may ha	ave?		
A	How likely abilities? (s experienci	ng problems	with regarc	ls to the	ir cognitive
Unlikely	1		2	3	4	5	6	Likely
•					n the above s g this person			judgement
Not En	ough	1	2	3	4	5	6	Enough
Please ι	use the spa	ce below t	o make any	further con	nments on th	is scenario.		

Figure 1- OTs perceptions on whether patient was displaying cognitive problems and



their intention to cognitively screen

Figure 2- Sufficiency of information to decide if OTs should cognitively screen



Vignette	Cognitive problem presented	'Functional'	МоСА	OCS	ACE	САМ	CLQT	RBMT	RPAB	BADS	TEA	BIT
		% (n)	% (n)	% (n)	% (n)	% (n)	% (n)	% (n)	% (n)	% (n)	% (n)	% (n)
1	Memory & EF	24.5 (13)	56.6 (30)	28.3 (15)	18.9 (10)	13.2 (7)	3.8 (2)	36 (19)	0	13 (7)	11 (6)	2 (1)
2*	Neglect & Visuospatial	37.7 (20)	9.4 (5)	24.5 (13)	5.7 (3)	5.7 (3)	1.9 (1)	2 (1)	11 (6)	2 (1)	4 (2)	34 (18)
3*	EF & Attention	13.0 (7)	13.2 (7)	13.2 (7)	5.7 (3)	13.2 (7)	1.9 (1)	8 (4)	0	43 (23)	17 (9)	0
4*	Memory & Attention	18.9 (10)	28.3 (15)	28.3 (15)	11.3 (6)	3.8 (2)	1.9 (1)	19 (10)	2 (1)	0	4 (2)	0
5	Attention & Visuospatial	24.5 (13)	22.6 (12)	13.2 (7)	5.7 (3)	1.9 (1)	3.8 (2)	0	38 (20)	0	0	2 (1)
6*	Apraxia & Visuospatial	37.7 (20)	18.9 (10)	26.4 (14)	3.8 (2)	7.5 (4)	1.9 (1)	2 (1)	11 (6)	11 (6)	4 (2)	8 (4)
7	Memory & EF	30.2 (16)	22.6 (12)	41.5 (22)	9.4 (5)	3.8 (2)	1.9 (1)	6 (3)	4 (2)	0	0	0
8*	EF & Attention	32.1 (17)	5.7 (3)	11.3 (6)	5.7 (3)	9.4 (5)	1.9 (1)	2 (1)	4 (2)	70 (37)	9 (5)	2 (1)

Table 3 OTs' suggestions for tests in each vignette

* = vignettes included a MoCA score EF= Executive Function

MoCA= Montreal Cognitive Assessment (Nasreddine et al, 2005), OCS= Oxford Cognitive Screen (Demeyere et al, 2015) ACE= Addenbrooke's Cognitive Assessment (Mioshi et al, 2006) CAM= Cognitive Assessment of Minnesota (Rustad et al, 1993), CLQT= Cognitive Linguistic Quick Test (Helm-Estabrooks ,2001) RBMT= Rivermead Behavioural Memory Test (Wilson et al, 200), RPAB= Rivermead Perceptual Assessment Battery (Whiting et al, 1985), BADS= Behavioural Assessment of Dysexecutive Syndrome (Wilson et al, 1996), TEA= Test of Everyday Attention (Robertson et al, 1994), BIT= Behavioural Inattention Test (Wilson et al, 1988).

Table 4. Free text comments

Theme	Supporting quotation
Functional or perceptual issues identified rather than cognitive issues	 "may indicate an inattention to the left, may be poor attention to detail or physical difficulties that are affecting her functional performance" (OT 36 vignette 2) " he clearly has a change in his functional abilities" (OT 5 vignette 1)
Issues relating to aphasia	"Impact of hearing deficit and potentially language mean many standardised assessments not appropriate". (OT 34 vignette 4)
Uncertainty regarding sensitivity and usefulness of cognitive screening assessments	"He scored well in the inpatient screen, most cognitive screens are not sensitive enough to pick up higher level cognitive difficulties. Further exploration of the difficulties he is having in the work context would offer a better insight and compensatory strategies could be explore in his work situation to overcome difficulties". (OT 49 vignette 3)
Choice of cognitive screening assessments	Good initial broad screening tool to identify what the issues are. I would then go on to use a more specific standardised assessment if required to gather more info, or to further explore specific deficits (OT 23 vignette 1) They are assessments that I am familiar with, available to use in the department and help to identify where problems may lie so that I can then look at those areas in more detail. I think they are valid for the specified population. (OT 35 Vignette 1)