



TITLE:

Decline in Left Ventricular Ejection Fraction during Follow-up in Patients with Severe Aortic Stenosis

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CITATION:

Minamino-Muta, Eri ...[et al]. Decline in Left Ventricular Ejection Fraction during Follow-up in Patients with Severe Aortic Stenosis. JACC: Cardiovascular Interventions 2019, 12(24): 2499-2511

ISSUE DATE:

2019-12-23

URL:

<http://hdl.handle.net/2433/245213>

RIGHT:

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Decline in Left Ventricular Ejection Fraction during Follow-up in Patients with Severe Aortic Stenosis

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This work was supported by an educational grant from the Research Institute for Production Development (Kyoto, Japan). The authors have reported that they have no relationships

relevant to the contents of this paper to disclose.

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Short title: **Decline in LVEF in severe AS**

Structured abstract word counts: 246 words.

Condensed abstract word counts: 99 words.

Text word counts: 4491 words.

Total number of tables: 4

Total number of figures: 6 (including Central Illustration)

Supplementary files: 1

Key words: severe aortic stenosis, left ventricular ejection fraction, conservative treatment

Face book account: <https://www.facebook.com/kuhp.cardio/>

Severe AS patients with $\geq 10\%$ decline in LVEF at 1-year follow-up had worse outcome under conservative management, using CURRENT AS registry in Japan.

1 **Structured Abstract**

2

3 **Objective:** This study aimed to investigate the prognostic impact of the decline in left
4 ventricular ejection fraction (LVEF) at 1-year follow-up in patients with severe aortic stenosis
5 (AS) managed conservatively.

6

7 **Background:** No previous study has explored the association between LVEF decline during
8 follow-up and clinical outcomes in severe AS.

9

10 **Methods:** Among 3815 patients with severe AS enrolled in the multicenter CURRENT AS
11 registry in Japan, we analyzed conservatively managed 839 patients who underwent
12 echocardiography at 1-year follow-up. The primary outcome measure was a composite of AS-
13 related deaths and hospitalization due to heart failure.

14

15 **Results:** There were 91 patients (10.8%) with >10% decline in LVEF and 748 patients
16 (89.2%) without decline. LV dimensions and the prevalence of valve regurgitation and atrial
17 fibrillation/flutter significantly increased in the decline in LVEF group. The cumulative 3-
18 year incidence of the primary outcome measure was significantly higher in the decline in
19 LVEF group than no decline group (44.8% vs. 28.5%, $p<0.001$). After adjusting for
20 confounders, the excess risk of decline in LVEF to no decline for the primary outcome
21 measure remained significant (hazard ratio: 1.67, 95% confidence interval: 1.10-2.53). When
22 stratified by the LVEF at the index echocardiography ($70\% \leq$, 60 to 69%, and $<60\%$), the risk
23 of decline in LVEF on the primary outcome was consistently seen in all the subgroups
24 without any interaction ($P=0.77$).

25

26 **Conclusions:** Severe AS patients with a >10% LVEF decline at 1-year after diagnosis had
27 worse AS-related clinical outcome than those without decline in LVEF under conservative
28 management.

29

30

31 (Contemporary Outcomes After Surgery and Medical Treatment in Patients With Severe
32 Aortic Stenosis Registry; UMIN000012140)

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35 [0014041&language=E](https://upload.umin.ac.jp/cgi-open-bin/ctr/ctr.cgi?function=brows&action=brows&type=summary&recptno=R000014041&language=E)

1 **Condensed abstract**

2

3 Assessing the serial changes in left ventricular ejection fraction (LVEF) might be an
4 important aspect in the management for aortic stenosis (AS). No previous study has explored
5 the association between LVEF during follow-up and clinical outcomes in severe AS. We
6 revealed that patients with decline of more than 10% in LVEF at 1-year after diagnosis of
7 severe AS had worse clinical outcome under conservative management than those without
8 decline in LVEF, using a multicenter observational database of severe AS patients in Japan.
9 Monitoring a decline in LVEF would be clinically useful in patients with severe AS under
10 conservative management.

11

- 1 **Abbreviations list**
- 2
- 3 AS=aortic stenosis
- 4 AVA=aortic valve area
- 5 AVR=aortic valve replacement
- 6 CI=confidence intervals
- 7 HF =heart failure
- 8 HR=hazard ratios
- 9 LV=left ventricular
- 10 LVEF=left ventricular ejection fraction
- 11 STS= society of thoracic surgeons
- 12 TAVI=transcatheter aortic valve implantation
- 13 Vmax=peak aortic jet velocity
- 14

1 Introduction

2 Left ventricular (LV) dysfunction in aortic stenosis (AS) could be the consequence
3 of maladaptation when wall stress exceeds the compensatory mechanism. The presence of LV
4 dysfunction, classically defined as left ventricular ejection fraction (LVEF) <50% is a current
5 class 1 indication for aortic valve replacement in patients with severe AS(1,2), and more
6 recently, less severe LV dysfunction with LVEF 50-59 % has also been reported to be
7 associated with a poor prognosis(3-6). However, assessing the serial changes in LVEF might
8 be another important aspect in the management for AS. Previous studies that evaluated serial
9 changes in LV function in AS patients were limited to those after surgical aortic valve
10 replacement (SAVR)(7,8) or transcatheter aortic valve implantation (TAVI)(9). In patient with
11 mild to moderate AS, serial measurements of LV function showed annual decline in LVEF in
12 the patients with low ejection fraction (LVEF<50%) before the established diagnosis of
13 severe AS(4). There is no previous study exploring the association between decline in LVEF
14 during follow-up and subsequent clinical outcomes in patients with established severe AS. In
15 the present study, we investigated the prognostic implication of the decline in LVEF during
16 follow-up using a large Japanese multicenter observational database of consecutive patients
17 with severe AS(10).

18 Methods

19 Study patients

1 CURRENT AS (Contemporary outcomes after sURgery and medical tREatmeNT in patients
2 with severe Aortic Stenosis) registry is a retrospective multicenter registry that enrolled 3815
3 consecutive patients with severe AS from 27 centers in Japan between January 2003 and
4 December 2011. The design, patient enrollment, and main result of the registry were
5 previously reported in detail(10). In brief, we searched the hospital database for transthoracic
6 echocardiography and enrolled consecutive patients who had met the criteria for severe AS
7 (peak aortic jet velocity [Vmax] >4.0 m/s, mean aortic pressure gradient >40 mm Hg, or
8 aortic valve area [AVA] <1.0 cm²)(11) for the first time during the study period. Follow-up
9 data were mainly collected via review of hospital charts; otherwise, data were collected via
10 contact with patients, relatives, and/or referring physicians via mail with questions regarding
11 vital status, symptoms, and subsequent hospitalizations. Based on the initial treatment
12 strategies after the index echocardiography, the entire cohort was divided into the
13 conservative management cohort (N=2618) and the initial aortic valve replacement (AVR)
14 cohort (N=1197). In the present analysis, we explored the relation between the
15 echocardiographic findings at follow-up and subsequent clinical outcomes in 2618 patients
16 under the conservative management. We excluded those patients from the main analysis who
17 did not undergo follow-up echocardiography (N=801) and patients who underwent follow-up
18 echocardiography outside the 1-year time frame (N=708) (Figure 1). Among 1109 patients
19 with follow-up echocardiography, the current study population consisted of 839 patients with

1 available LVEF data by follow-up echocardiography at 1-year without interim SAVR, TAVI,
2 or heart failure (HF) hospitalization (Figure 1). The 1-year time frame for follow-up
3 echocardiography was defined with the allowance period of 6 months (6- to 18-month after
4 the index echocardiography).

5 The institutional review board of each participating center approved the study
6 protocol. Written informed consent was waived due to the retrospective nature of the study.
7 Patient records were anonymized prior to analysis.

8 **Echocardiography and definitions of decline in LVEF**

9 All patients underwent a comprehensive 2-dimensional and Doppler
10 echocardiographic evaluation in each participating center according to the guidelines(12).
11 Echocardiographic data were site-reported without echocardiographic core laboratory.
12 Biplane Simpson's method of disks or the Teichholz method was used for calculating LVEF.
13 Peak and mean aortic pressure gradient were determined using the simplified Bernoulli
14 equation, and AVA was calculated using the standard continuity equation(13). We defined the
15 decline in LVEF as an absolute decrease of LVEF >10%, based on the previous reports on
16 inter-observer variability(14-16), serial changes of LVEF in dilated cardiomyopathy(17,18),
17 and the decline in LVEF by cancer chemotherapy(19-21). The changes (Δ) in LVEF were
18 calculated according to the following equation: (the value at follow-up) – (the value at
19 baseline).

1 **Outcomes**

2 The primary outcome measure for the present analysis was AS-related events
3 defined as a composite of AS-related death and HF hospitalization after follow-up
4 echocardiography. AS-related death included aortic valve procedure-related death, sudden
5 death, death caused by HF potentially related to the aortic valve, and death due to aortic valve
6 endocarditis(10,22). HF hospitalization was defined as hospitalization due to worsening HF
7 requiring intravenous drug therapy. The causes of death were classified according to the
8 Valve Academic Research Consortium definitions, and were adjudicated by a clinical event
9 committee(23).

10 **Statistical analysis**

11 The categorical variables were expressed as numbers and percentages and were
12 compared using a chi-square test or Fisher's exact test. Continuous variables were expressed
13 as mean \pm standard deviation or median with interquartile range. Based on their distribution,
14 continuous variables between the 2 groups were compared using a Student's t-test or
15 Wilcoxon rank sum test. When we compared the clinical and echocardiographic data at
16 baseline and at follow-up, we used paired Student's t-tests for continuous variables, sign tests
17 for between the 2 variables and Wilcoxon signed rank test for the 3 ordinal variables for
18 LVEF.

19 The cumulative incidences of the clinical events during 3 years after the follow-up

1 echocardiography were estimated using the Kaplan-Meier method with the between-groups
2 difference assessed by the log-rank test. To estimate the risk of the decline in LVEF group
3 relative to the non-decline in LVEF group, a multivariable Cox proportional hazards model
4 was developed for the outcome measures with the results expressed as hazard ratios (HRs)
5 and 95% confidence intervals (CIs). We selected 22 clinically relevant risk-adjusting
6 variables (Table 1 and 2) with the center incorporated as the stratification variable, which was
7 basically consistent with our previous study, except for the addition of symptom and LVEF
8 classification at baseline into 3 groups (LVEF<60%, 60-69%, ≥70%) as the risk-adjusting
9 variables (10). In the subgroup analysis, we evaluated the interaction between those subgroup
10 factors such as Vmax, symptoms, and LVEF at baseline and the effect of decline relative to
11 no decline in LVEF for the primary outcome measure. Given the small number of patients
12 with an event in the subgroup analysis and additional analyses, we adopted the parsimonious
13 model incorporating 6 risk-adjusting variables for the subgroup analyses based on Vmax,
14 symptoms, or LVEF at baseline as presented in Table 1 and 2, or **Supplementary Table 1 for**
15 **additional analyses**. All statistical analyses were conducted by 2 physicians (E.M. and T.K.)
16 and a statistician (T.M.) using JMP 14 or SAS 9.4 (SAS Institute Inc., Cary, North Carolina).
17 All the reported P values were two-tailed, and the level of statistical significance was set at P
18 < 0.05.

19

1 **Results**

2 **Baseline characteristics**

3 There were 91 patients (10.8%) with >10% decline in LVEF and 748 patients
4 (89.2%) without decline in LVEF at 1-year follow-up (Figure 1). The baseline patient
5 characteristics were generally similar between the decline and no-decline groups except for
6 the higher prevalence of men, current smoking, and anemia in the decline in LVEF group
7 (Table 1).

8 **Echocardiographic parameters**

9 At baseline, LVEF and LV wall thickness were significantly greater and AVA was
10 significantly smaller in the decline in LVEF group than in the no decline in LVEF, while
11 Vmax was not significantly different between the 2 groups (Table 2, and Figure 2). During
12 follow-up, AVA significantly decreased in both groups, while Vmax and LV mass index
13 significantly increased in the no decline in LVEF group but not in decline in LVEF group
14 (Table 2). From baseline to follow-up in the decline in LVEF group, LV systolic/diastolic
15 dimensions and the prevalence of moderate or severe mitral regurgitation, aortic
16 regurgitation, and atrial fibrillation/flutter significantly increased along with a decline in
17 LVEF, while these changes were not observed in the no decline in LVEF group (Table 2, and
18 Figure 2).

19 **The patients in the decline in LVEF group had a higher prevalence of men, however,**

1 there were no sex-specific differences for changes in LVEF, Vmax, or LV mass index over
2 time (Supplementary Table 2).

3 **Clinical outcomes**

4 The median follow-up duration after the follow-up echocardiography was 875
5 (interquartile range: 526-1260) days, with an 83.2% follow-up rate at 2-year. The cumulative
6 incidence of AVR/TAVI trended to be higher in the decline in LVEF group than in the no
7 decline in LVEF group within 1 year after the follow-up echocardiography, while it was not
8 different at 3-year between the 2 groups (Figure 3). The cumulative 3-year incidence of the
9 primary outcome measure was significantly higher in the decline in LVEF group than in no
10 decline in LVEF group (39.5% vs. 26.8%, $P < 0.001$) (Figure 4A). After adjusting for
11 confounders, the excess risk of the decline in LVEF relative to no decline in LVEF for the
12 primary outcome measure remained significant (HR: 1.98, 95% CI: 1.29-3.06) (Table 3). The
13 cumulative 3-year incidences of all-cause death, AS-related death, and HF hospitalization
14 were also significantly higher in the decline in LVEF group than no decline in LVEF group
15 (Central illustration, Figure 4B, and 4C). After adjusting for confounders, the excess risk for
16 all-cause death, and AS-related death remained significant, while it was no longer significant
17 for HF hospitalization.

18 In the decline in LVEF group, 38 out of 91 patients (42%) developed AS-related
19 events after follow-up echocardiography. Within the decline in LVEF group, there was no

1 significant difference in the baseline and follow-up LVEF, nor the delta LVEF, between
2 patients with and without AS-related events (Figure 5A). In the no decline in LVEF group,
3 26% of patients (191 out of 748) developed at least one event after follow-up
4 echocardiography. In contrast to the findings in the decline in LVEF group, in the no decline
5 in LVEF group, the ejection fraction was significantly lower both at baseline and at 1-year,
6 among patients with an AS-related event (Figure 5B). There was no significant difference in
7 the delta LVEF between patients with and without AS-related event in the no decline in LVEF
8 group.

9 **Subgroup analysis**

10 When stratified by Vmax, symptoms, and LVEF at baseline, there were no
11 significant interactions between the subgroup factors and the effect of decline in LVEF
12 relative to no decline in LVEF for the primary outcome measure (Table 4).

13 **Analysis of patients who remained asymptomatic at the time of 1-year follow-up** 14 **echocardiography**

15 In this main study population (N=839), out of 594 asymptomatic patients, 555
16 patients remained asymptomatic at the time of the 1-year follow-up echocardiography. There
17 were 61 patients with >10% decline in LVEF and 494 patients without decline in LVEF at 1-
18 year follow-up (Supplementary Table 1). The proportion of patients who remained
19 asymptomatic at the time of the 1-year follow-up did not differ between the decline in LVEF

1 and no-decline in LVEF groups (88 % and 94 %, respectively, $P=0.11$). The outcome was
2 fully consistent with the main analysis (Supplementary Figure 1 and Supplementary Table 3).

3 **Additional analysis of patients not included in the main analysis**

4 Among 1509 patients excluded from the main analysis, 241 patients underwent follow-up
5 echocardiography within 5 months, 467 patients after 18 months, and 801 patients had no
6 follow-up echocardiography (Supplementary Figure 2). Compared to those patients included
7 in the main analysis, those 241 patients who had echocardiography within 5 months were
8 older, more likely to be symptomatic, and had higher STS (society of thoracic surgeons)
9 score, lower LVEF, lower AVA, and higher prevalence of any combined valvular disease,
10 while those 467 patients who had echocardiography after 18 months showed no remarkable
11 differences in the baseline characteristics and echocardiographic data (Supplementary Table
12 4). Out of 467 patients, 120 patients were excluded from the outcome analysis, because of
13 interim SAVR, TAVI, or HF hospitalization. The cumulative 3-year incidence of the primary
14 outcome measure from the follow-up echocardiography was significantly higher in the
15 decline in LVEF group ($N=51$) than in the no decline group ($N=296$) (39.2% vs. 29.1%,
16 $P=0.03$) (Supplementary Table 5 and Supplementary Figure 3). Among 801 patients without
17 follow-up echocardiography, 497 deaths were observed (Supplementary Table 6). Among
18 them, 212 patients (42.7%) died within 5 months (cardiovascular death: $N=149$; non-
19 cardiovascular death; $N=63$), 132 patients (26.6%) died in the 1-year time frame

1 (cardiovascular death: N=71; non-cardiovascular death: N=61), and 153 patients died
2 (30.8%) after 18 months (cardiovascular death: N=94; non-cardiovascular death: N=59).

3 Discussion

4 The main finding of this study is that patients with a decrease in LVEF at 1-year
5 after diagnosis of severe AS had significantly higher risk for AS-related serious adverse
6 events than those without decrease in LVEF, regardless of the baseline LVEF.

7 There were only a few studies reporting the decline of LVEF in patients with AS
8 under the conservative management strategy(4,24,25). In the PARTNER trial, serial
9 measurements of LVEF in this population showed no changes of mean LVEF during 1-year
10 follow-up sessions, without reporting the prognostic impact of decline of LVEF(26).

11 Although we did not collect the echocardiographic data before the index echocardiography,
12 Ito et al. reported that in patients with LVEF of $\leq 50\%$ at the diagnosis of severe AS, their
13 LVEF had been gradually declined from the time of moderate AS(4). In addition, LVEF
14 below 50% at the diagnosis of severe AS showed worse outcomes independently of AVR or
15 conservative treatment(4). In a randomized study evaluating the effect of eplerenone in 65
16 symptomatic patients with moderate-severe AS, LVEF did not change in patients with
17 placebo treatment with 19 months follow-up(27). Another trial involving patients with mild-
18 to-moderate asymptomatic AS, male sex independently predicted larger reduction in LVEF
19 during progression of AS, not consistently with our result (28). These studies evaluated the

1 patients with moderate AS and the progression of AS. The present study, being a large multi-
2 center observational study, is the first to report the worse effect of the decline in LVEF on the
3 prognosis of patients with severe AS under conservative management. In the decline in LVEF
4 group, there were no differences in baseline, follow-up, and the delta of LVEF between
5 patients with and without events. Moreover, there were no interactions between the LVEF
6 classifications or the presence of symptom at baseline and the deleterious effect of the decline
7 in LVEF. The present study suggested the clinical usefulness of monitoring a decline in
8 LVEF. In addition, even in patients who remained asymptomatic at the time of the 1-year
9 follow-up echocardiography, the LVEF >10% decline was a factor associated with AS-related
10 event or all-cause death. It would suggest that a decline in LVEF of $\geq 10\%$ during follow-up
11 should potentially be a trigger to referral for valve replacement in an asymptomatic severe AS
12 patient managed conservatively.

13 Progressive contractile dysfunction may represent a fundamental component of the
14 pathogenesis of HF in severe AS, because the increasing afterload due the stenotic valve
15 leads to the dysfunction of LV with an excessive myocardial hypertrophy(24) and progression
16 of fibrosis(25,29), leading to poor prognosis(1-3). The present study nicely illustrated the
17 relation between the ventricular remodeling in a time frame of the disease progression and
18 subsequent outcomes in severe AS patients. The transition of adaptive hypertrophy to
19 maladaptive response was clearly showed by the fact that the no decline group showed less

1 thickened ventricular wall than the decline group at baseline, and an increase in Vmax, LVEF,
2 and LV mass index at follow-up. These observations were theoretically consistent with
3 adaptive hypertrophy(30). However, the decline group did not show an increase of wall
4 thickness despite of a smaller area of aortic valve at follow-up echocardiography, while the
5 prevalence of mitral regurgitation, aortic regurgitation and atrial fibrillation /flutter increased
6 at follow-up. These longitudinal changes of echocardiographic parameters in the decline
7 group showed the progressive ventricular damage associated with severe AS(31). Our
8 observations were consistent with progression of staging classification recently
9 suggested(31). In the present study, we chose the follow-up duration of 1 year from baseline
10 echocardiography, because the patient risk should be re-assessed at 1-year at the latest during
11 watchful waiting after initial risk assessment. Further study is needed to clarify how to and
12 when to capture the transition from adaptive ventricular response to maladaptive process in
13 each patient with severe AS in a more sensitive manner(29,32,33).

14

15 **Limitations**

16 **First, the main limitation of the present study is that only 839 of 3815 (22%) patients**
17 **identified with severe AS were included in the current analysis. This truly affects the**
18 **generalizability of the findings. The present study was retrospectively performed without pre-**
19 **specified echocardiographic follow-up protocol. Thus, data regarding the follow-up**

1 echocardiography was obtained only in patients who were followed regularly in each
2 participating center. Therefore, the follow-up data during one year was not available in all
3 patients in the registry. However, the trend was fully consistent when we analyzed the
4 patients (N=347) with a follow-up echocardiography after 18 months (beyond the time frame
5 of 1-year follow-up echocardiography). **Second**, we did not collect detailed clinical
6 information including the changes of symptoms at the follow-up echocardiography. Thus, we
7 could not investigate the link between the development or worsening of symptoms and the
8 decline in LVEF. **Third**, the baseline LVEF was higher in the decline in LVEF group than in
9 the no decline in LVEF group. In patients with low LVEF, absolute values of decline may be
10 small, even if they actually had decline in LVEF. We cannot deny the possibility that those
11 patients might have been included in the no decline in LVEF group despite the presence of
12 substantial worsening of LVEF, which could have diluted the difference in outcomes between
13 the 2 groups. However, the decline in LVEF group showed worse prognosis than the no
14 decline in LVEF group in the present study, despite higher baseline LVEF in the decline in
15 LVEF group. **Fourth**, we developed multivariable Cox proportional hazard models using
16 baseline characteristics noted at enrollment. As the survival analysis started at the time of
17 follow-up echocardiography, the data at follow-up echocardiography might be more
18 appropriate for evaluation. **Fifth**, echocardiographic measurement was not performed in a
19 core laboratory, but in each participating center. **Finally**, information about the cardiac output

1 and stress echocardiography was not obtained in this study.

2

3 **Conclusions**

4 Patients with decline of more than 10% in LVEF at 1-year after diagnosis of severe AS had

5 worse clinical outcome under conservative management than those without decline in LVEF.

6 Monitoring a decline in LVEF would be clinically useful in patients with severe AS under

7 conservative management.

8

1 **Clinical Perspectives**

2 **What is next? (what is needed to improve our knowledge base).**

3 Monitoring a decline in LVEF at the 1-year follow-up would be clinically useful in patients
4 with severe AS under conservative management. Further study is needed to clarify how to
5 and when to capture the transition from adaptive ventricular response to maladaptive process
6 in each patient with severe AS in a more sensitive manner.

7

8 **What is new? (What did this study add;)**

9 In the present study, we investigated the prognostic implication of the decline in LVEF during
10 follow-up using a large multicenter observational database of consecutive patients with
11 severe AS in Japan. We showed that the patients with a >10% decline of LVEF at the 1-year
12 follow-up echocardiography had a worse prognosis compared with those without decline in
13 LVEF, regardless of the baseline LVEF. The present study nicely illustrated the relation
14 between the ventricular remodeling in a time frame of the disease progression and subsequent
15 outcomes in severe AS patients.

16

17 **What is known? (what is the background that generates the question that is being**

18 **addressed);** In patient with mild to moderate AS, serial measurements of LV function
19 showed annual decline in LVEF in the patients with low ejection fraction (LVEF<50%)

- 1 before the established diagnosis of severe AS. There is no previous study exploring the
- 2 association between decline in LVEF during follow-up and subsequent clinical outcomes in
- 3 patients with established severe AS.

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28

29

1 **Figure legends**

2 **Figure 1. Study patient flow.**

3 The 1-year time frame was defined with the allowance period of 6 months (6- to 18-month
4 after the index echocardiography).

5 CURRENT AS=Contemporary Outcomes After Surgery and Medical Treatment in Patients

6 With Severe Aortic Stenosis, Vmax=peak aortic jet velocity, PG=pressure gradient,

7 AVA=aortic valve area, AVR=aortic valve replacement, TAVI=transcatheter aortic valve

8 implantation, and LVEF=left ventricular ejection fraction.

9 **Figure 2. Changes in echocardiographic parameters other than LVEF**

10 (A) Vmax, (B) AVA, (C) LVDd, and (D) LVMI comparing between the 2 groups with and
11 without decline in LVEF.

12 **The error bars represent standard deviation.**

13 LVDd=left ventricular end-diastolic dimension, and LVMI=left ventricular mass index.

14 **Figure 3. Cumulative incidences of AVR or TAVI represent Kaplan-Meier estimates at 1, 2,
15 and 3 years after follow-up echocardiography.**

16 **Figure 4. Cumulative incidences of primary and secondary outcome measure represent
17 Kaplan-Meier estimates at 1, 2, and 3 years after follow-up echocardiography. (A) Primary
18 outcome measure that was a composite of AS-related death or HF hospitalization (B) AS-
19 related death, and (B) HF hospitalization. AS=aortic stenosis, and HF=heart failure.**

- 1 **Central illustration.** Cumulative incidences of all-cause death represent Kaplan-Meier
- 2 estimates at 1, 2, and 3 years after follow-up echocardiography.
- 3 The decline in LVEF was defined as an absolute decrease of LVEF >10%.
- 4 **Figure 5. Changes in LVEF at 1-year**
- 5 (A) Decline in LVEF group, and (B) No decline in LVEF group
- 6 AS-related event was defined as a composite of AS-related death or HF hospitalization
- 7

1 **Table 1. Baseline patient characteristics: With or without decline in LVEF**

	Decline in LVEF group ¶	No decline in LVEF group	P value
	(N=91)	(N=748)	
Age, years *#	78.1±7.0	76.9±9.5	0.24
Men*	42 (46)	261 (35)	0.03
BMI<22*	53 (58)	444 (59)	0.84
Hypertension*	59 (65)	552 (74)	0.07
Current smoking*	11 (12)	30 (4)	0.002
Dyslipidemia	32 (35)	305 (41)	0.30
On statin therapy	23 (25)	217 (29)	0.46
Diabetes mellitus	27 (30)	185 (25)	0.31
On insulin therapy*	6 (7)	33 (4)	0.35
Prior myocardial infarction*	11 (12)	77 (10)	0.60
Coronary artery disease*	33 (36)	219 (29)	0.17
Prior PCI	21 (23)	126 (17)	0.14
Prior CABG	5 (5)	50 (7)	0.67
Prior open heart surgery	12 (13)	92 (12)	0.81

Prior stroke*	20 (22)	111 (15)	0.08
History of Atrial fibrillation or flutter*#	28 (31)	163 (22)	0.054
Aortic/peripheral vascular disease*	9 (10)	60 (8)	0.54
Serum creatinine, mg/dl	0.9 (0.73-1.21)	0.87 (0.7-1.2)	0.57
Serum creatinine>0.83mg/dl *‡	50 (55)	334 (45)	0.06
Hemodialysis*	11 (12)	70 (9)	0.41
Hemoglobin	11.5 (10.3-12.9)	12 (10.6-13.2)	0.29
Anemia *§	54 (59)	329 (44)	0.006
Liver cirrhosis (Child B or C) *	0	3 (0.4)	1.00
Chronic lung disease (moderate or severe) *	5 (5)	23 (3)	0.22
Malignancy under treatment*#	2 (2)	37 (5)	0.42
Chest wall irradiation	1 (1)	8 (1)	1.00
Logistic EuroSCORE	9.2 (6.2-15.2)	8.5 (5.5-15.2)	0.45
EuroSCORE	2.7 (1.9-4.3)	2.7 (1.6-4.3)	0.99

STS score	3.9 (2.2-5.5)	3.6 (2.3-5.7)	0.38
Symptoms ^{*#}	22 (24)	223 (30)	0.26
Heart failure	20 (20)	179 (24)	
Syncope	2 (2)	15 (2)	
Chest pain	8 (9)	50 (7)	
HF hospitalization at index UCG	7 (8)	71 (9)	0.58

- 1 Baseline patient characteristics indicated those at time of the index echocardiography.
- 2 Values are number (%), mean \pm standard deviation, or median with interquartile range.
- 3 P values were calculated from a chi-square test or Fisher's exact test for categorical variables,
- 4 and Student's t-test or Wilcoxon rank sum test for continuous variables.
- 5 * Risk-adjusting variables selected for the Cox proportional hazard models.
- 6 # Risk-adjusting variables selected for the parsimonious Cox proportional hazard models.
- 7 || Body mass index was calculated as weight in kilograms divided by height in meters
- 8 squared.
- 9 ‡ Serum creatinine was divided by the mean value.
- 10 § Anemia was defined by the World Health Organization criteria (hemoglobin <12.0 g/dL in
- 11 women and <13.0 g/dL in men).
- 12 ¶ Decline in LVEF was defined as >10% absolute decrease in LVEF at 1-year follow-up
- 13 echocardiography.

- 1 LVEF=left ventricular ejection fraction, BMI=body mass index, PCI=percutaneous coronary
- 2 intervention, CABG=coronary artery bypass grafting, STS=society of thoracic surgeons, and
- 3 HF=heart failure.
- 4
- 5

Table 2. Echocardiographic parameters at baseline and follow-up in the decline and no decline in LVEF groups

	Decline in LVEF group (N=91)				No decline in LVEF group (N=748)				Comparison between the 2 groups		
	Baseline	Follow-up	Delta	P value (Paired)	Baseline	Follow-up	Delta	P value (Paired)	P value (Baseline)	P value (Follow-up)	P value (Delta)
Atrial fibrillation or flutter	17 (19)	23 (25)		0.01	120 (16)	123 (16)		0.63	0.52	0.04	
Vmax (m/s)	3.9±0.8	4.0±0.9	0.1±0.5	0.12	3.8±0.8	3.9±0.8	0.17±0.5	<0.001	0.08	0.51	0.21
Vmax ≥4 m/s *#	42 (46)	45 (49)		0.53	308 (41)	343 (46)		0.001	0.36	0.57	
Peak aortic PG (mmHg)	64.6±28.0	67.2±29.5	3.1±18.1	0.11	59.7±24.7	64.9±27.5	5.2±16.0	<0.001	0.08	0.47	0.25
Mean aortic PG (mmHg)	35.3±15.5	38.8±17.3	3.5±9.2	0.003	34.0±15.3	36.8±17.0	2.8±9.3	<0.001	0.49	0.34	0.60
Aortic valve area (cm ²)	0.74±0.17	0.70±0.22	-0.04±0.17	0.03	0.79±0.16	0.78±0.20	-0.02±0.16	0.005	0.009	0.001	0.17
LV diastolic dimension (mm)	45.0±6.5	46.4±7.7	1.3±5.3	0.02	45.5±6.5	45.3±6.4	-0.2±4.1	0.16	0.54	0.13	0.001
LV systolic dimension (mm)	28.0±7.1	33.5±8.4	5.5±4.5	<0.001	29.5±7.1	28.9±6.9	-0.6±4.0	<0.001	0.06	<0.001	<0.001

LVEF (%)	69.1±11.7	52.9±13.8	-16.3±6.8	<0.001	64.2±11.7	65.9±10.9	1.7±7.1	<0.001	<0.001	<0.001	<0.001
LVEF <60% *#	16 (18)	55 (60)			182 (24)	154 (21)					
LVEF 60~69% *#	26 (29)	30 (33)		<0.001	332 (44)	313 (42)		<0.001	<0.001	<0.001	
LVEF ≥70% *#	49 (54)	6 (7)			234 (31)	281 (38)					
LVMI (g/m ²)	130±34	135±36	5.0±26.8	0.06	118±37	119±35	1.7±23.2	0.10	0.006	<0.001	0.28
IVST (mm)	11.8±2.2	11.7±2.2	-0.03±1.79	0.87	10.8±2.1	10.9±2.1	0.14±1.44	0.008	<0.001	<0.001	0.30
Any combined valvular disease *#	36 (40)	46 (51)		0.01	270 (36)	275 (40)		0.03	0.52	0.008	
Moderate or severe AR	14 (15)	21 (23)		0.02	136 (18)	136 (20)		0.36	0.51	0.43	
Moderate or severe MS	2 (2)	2 (2)		1.00	22 (3)	28 (4)		0.03	0.69	0.39	
Moderate or severe MR	12 (13)	23 (25)		<0.001	103 (14)	113 (16)		0.15	0.88	0.03	
Moderate or severe TR	20 (22)	21 (24)		0.76	110 (15)	117 (17)		0.10	0.07	0.12	

TRPG >40mmHg*	17 (19)	18 (24)		0.47	99 (13)	97 (17)		0.68	0.16	0.12	
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The categorical variables were expressed as numbers and percentages and were compared using a chi-square test or Fisher's exact test.

Continuous variables were expressed as mean \pm standard deviation and were compared between the two groups using the Student's t-test.

When we compared the data at baseline and at follow-up, we used paired Student's t-tests for continuous variables, sign tests for between the 2 variables and Wilcoxon signed rank test for the 3 ordinal variables for LVEF.

Delta was calculated according to the following equation: (the value at follow-up) – (the value at baseline).

High LVMI was defined as $>115 \text{ g/m}^2$ in men, and $>95 \text{ g/m}^2$ in women.

* Risk-adjusting variables selected for the Cox proportional hazard models.

Risk-adjusting variables selected for the parsimonious Cox proportional hazard models.

LVEF=left ventricular ejection fraction, Vmax=peak aortic jet velocity, PG=pressure gradient, LV=left ventricular, LVMI=left ventricular mass index, IVST=interventricular septal thickness, AR=aortic regurgitation, MS=mitral stenosis, MR=mitral regurgitation, TR=tricuspid regurgitation, and TRPG=tricuspid regurgitation pressure gradient.

Table 3. Clinical Outcomes comparing between the decline and no decline in LVEF groups.

Decline in LVEF group	No decline in LVEF group		Unadjusted HR (95% CI)	P value	Adjusted HR (95% CI)	P value
	N=91	N=748				
	N of patients with event (Cumulative 3-year incidence)	N of patients with event (Cumulative 3-year incidence)				
Primary outcome measure: A composite of AS-related death or HF hospitalization	38 (39.5%)	191 (26.8%)	2.09 (1.45-2.92)	<0.001	1.98 (1.29- 3.06)	0.002
All-cause death	45 (43.4%)	238 (28.3%)	1.95 (1.40-2.66)	<0.001	2.37 (1.61- 3.49)	<0.001

AS-related death	25 (22.9%)	103 (14.9%)	2.52 (1.59-3.84)	<0.001	3.46 (1.98- 6.06)	<0.001
HF hospitalization	27 (29.4%)	145 (21.1%)	1.97 (1.28-2.91)	0.001	1.67 (1.00- 2.77)	0.051

LVEF=left ventricular ejection fraction, HR=hazard ratio, CI=confidence interval, AS=aortic stenosis, and HF=heart failure.

Table 4. Subgroup analysis for the effect of decline in LVEF relative to no decline in LVEF on the primary outcome measure.

	Decline in LVEF group	No decline in LVEF group			
	N=91	N=748			
	N of patients with event	N of patients with event	Adjusted HR	P value	P value for
	/N of patients at risk	/N of patients at risk	(95% CI)		interaction
	(Cumulative 3-year	(Cumulative 3-year			
	incidence)	incidence)			
Vmax at baseline					
Vmax ≥ 4m/s	19/42 (39.8%)	84/308 (25.9%)	2.13 (1.12-4.04)	0.02	0.55
Vmax < 4m/s	19/49 (39.8%)	107/440 (28.1%)	3.85 (2.14-6.90)	<0.001	
Symptoms at baseline					
Symptomatic	8/22 (44.2%)	84/223 (39.9%)	2.50 (1.01-6.23)	0.048	0.49

Asymptomatic	30/69 (37.8%)	107/525 (21.3%)	2.73 (1.66-4.51)	<0.001	
LVEF at baseline					
LVEF \geq 70%	19/49 (30.8%)	41/234 (17.5%)	2.64 (1.34-5.20)	0.005	
LVEF 60-69%	11/26 (42.9%)	74/332 (21.7%)	2.85 (1.32-6.14)	0.007	0.66
LVEF < 60%	8/16 (63.6%)	76/182 (49.9%)	3.67 (1.50-8.99)	0.004	

LVEF=left ventricular ejection fraction, HR=hazard ratio, CI=confidence interval, and Vmax=peak aortic jet velocity.

Figure 1

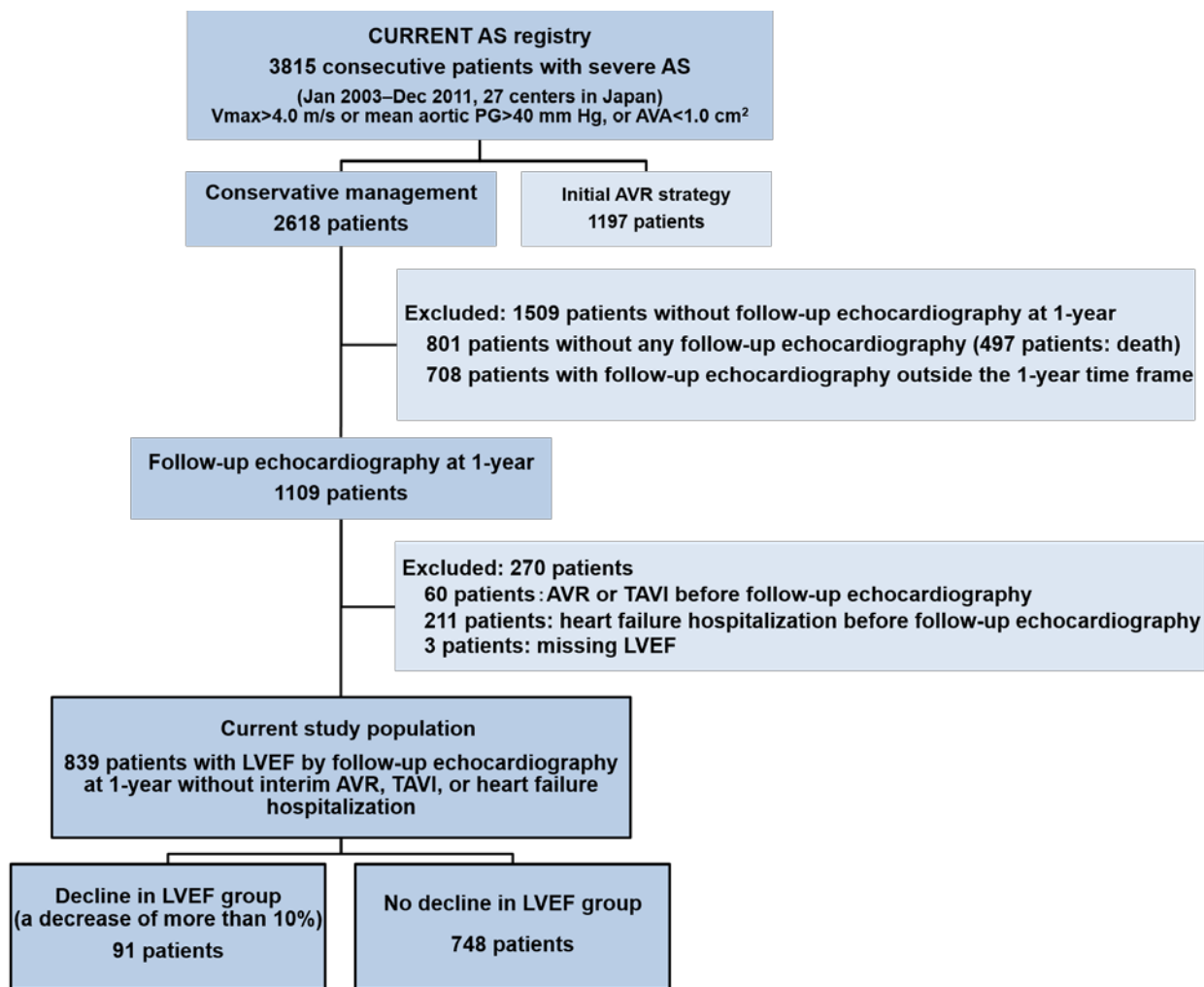
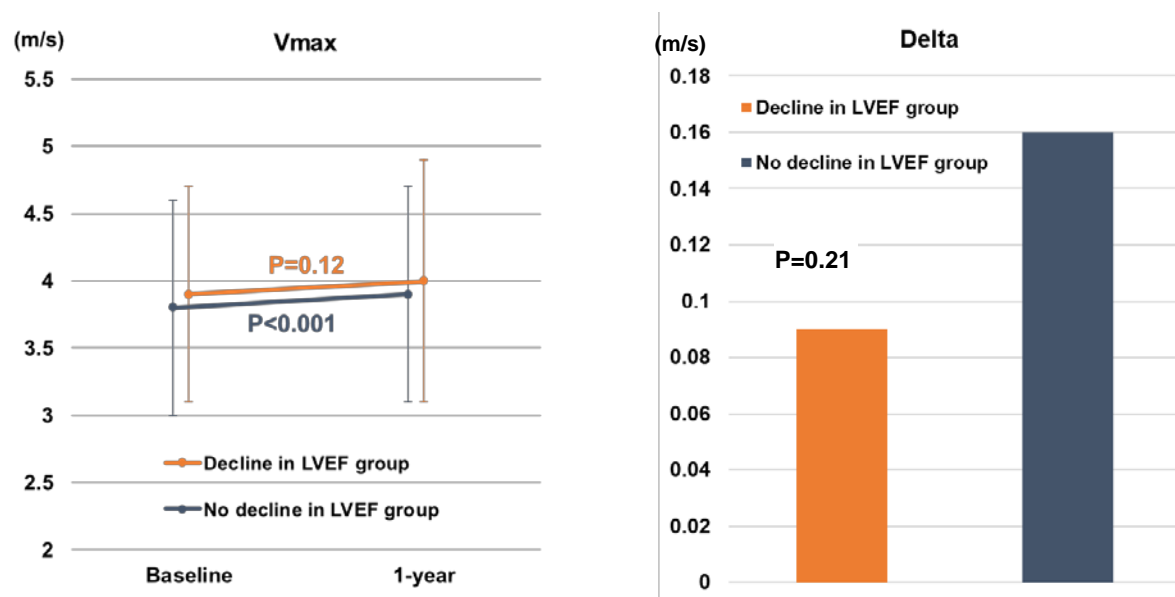
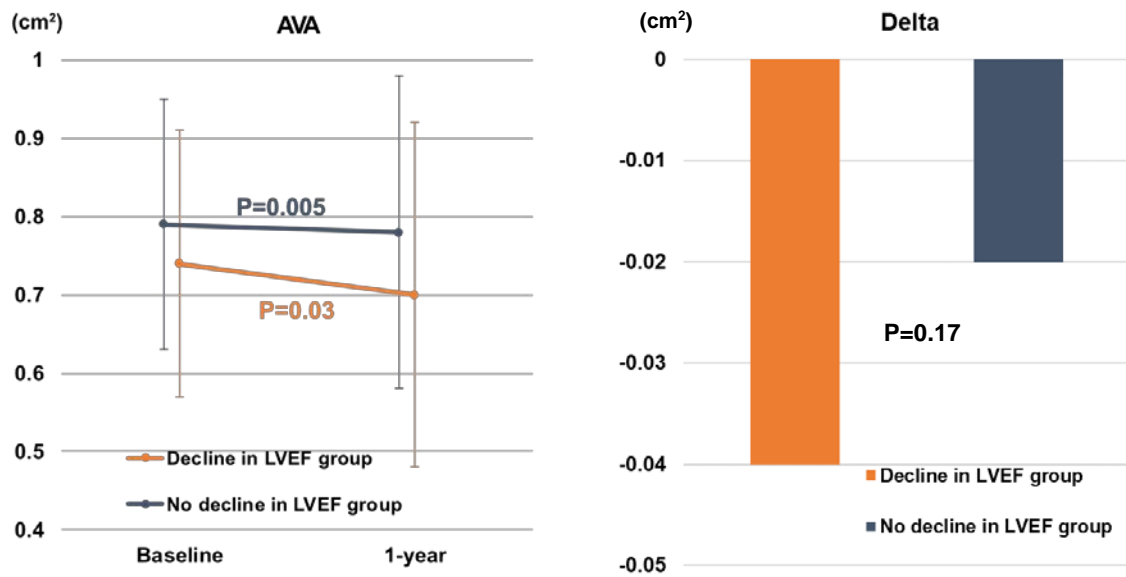


Figure 2

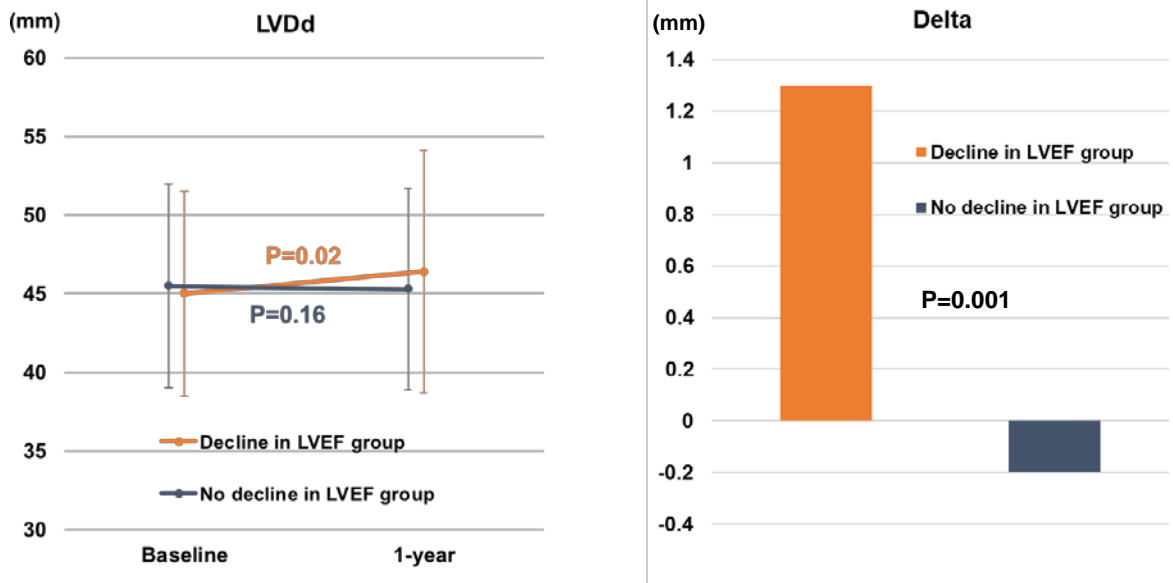
A)



B)



C)



D)

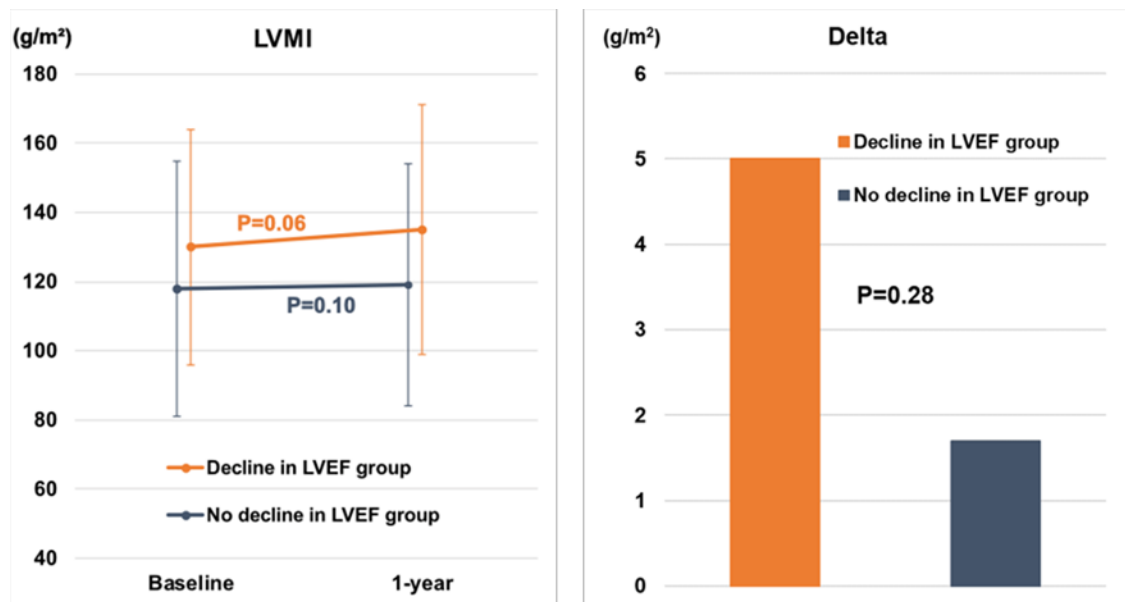
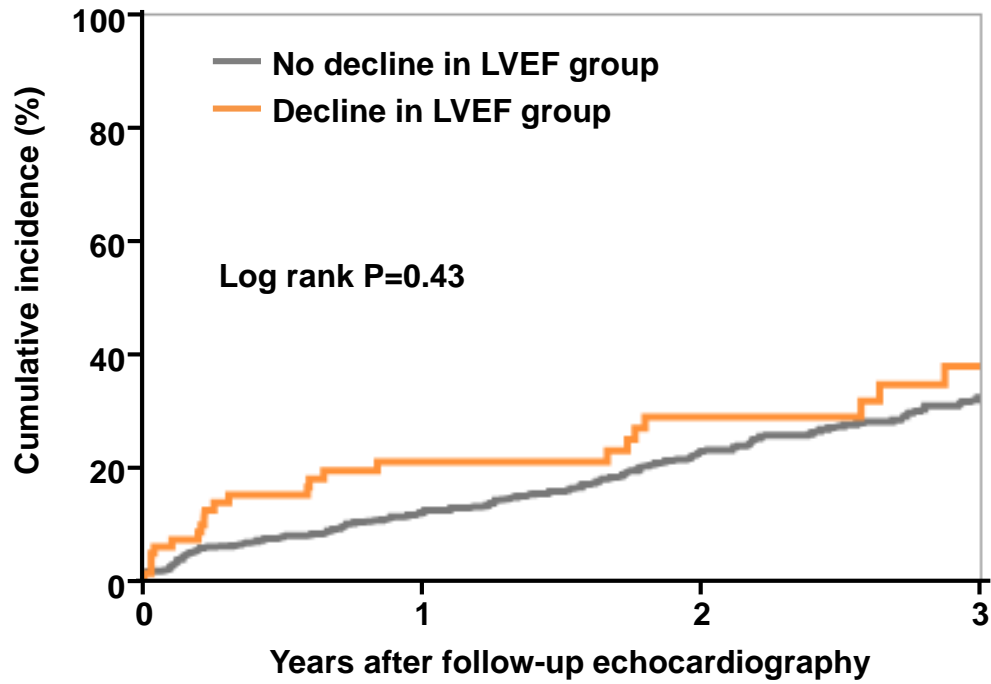


Figure 3

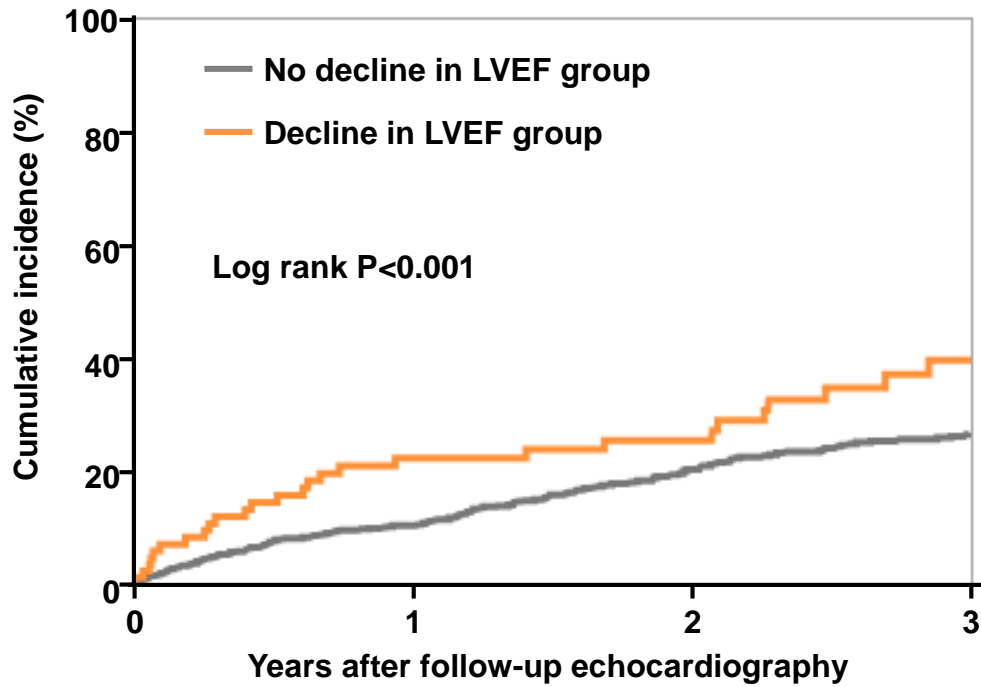
AVR/TAVI



		Interval (years)			
		0	1	2	3
No decline in LVEF group	N of patients with AVR/TAVI		82	141	175
	N of patients at risk	748	546	360	186
	Cumulative incidence		12.0%	22.8%	32.2%
Decline in LVEF group	N of patients with AVR/TAVI		16	20	23
	N of patients at risk	91	48	34	16
	Cumulative incidence		20.9%	28.8%	37.8%

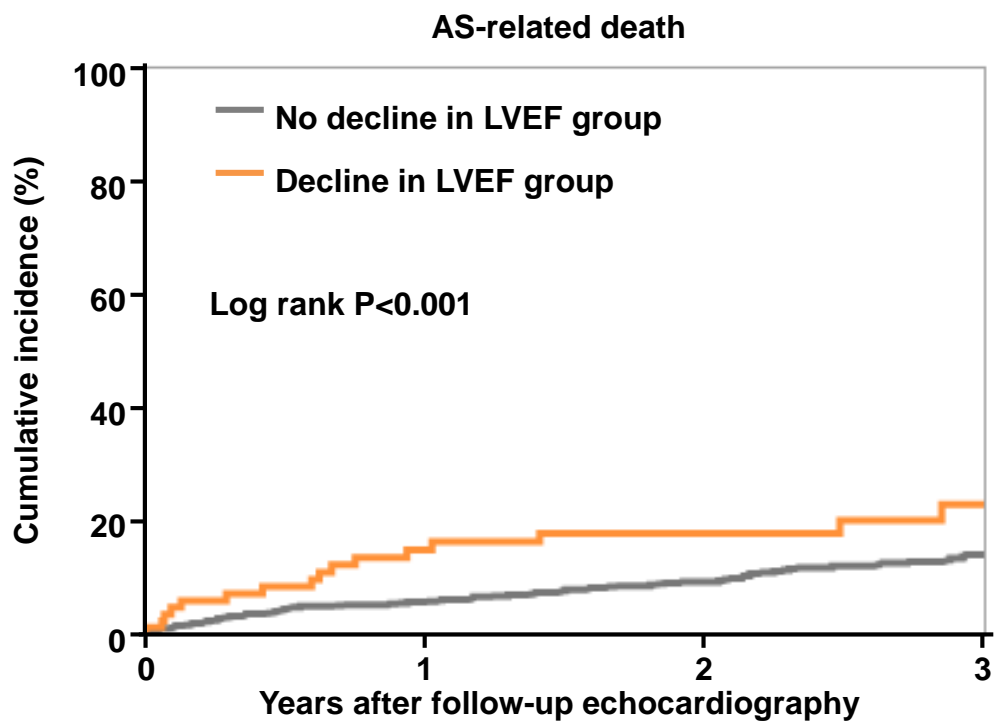
Figure 4 A)

A composite of AS-related death or HF hospitalization



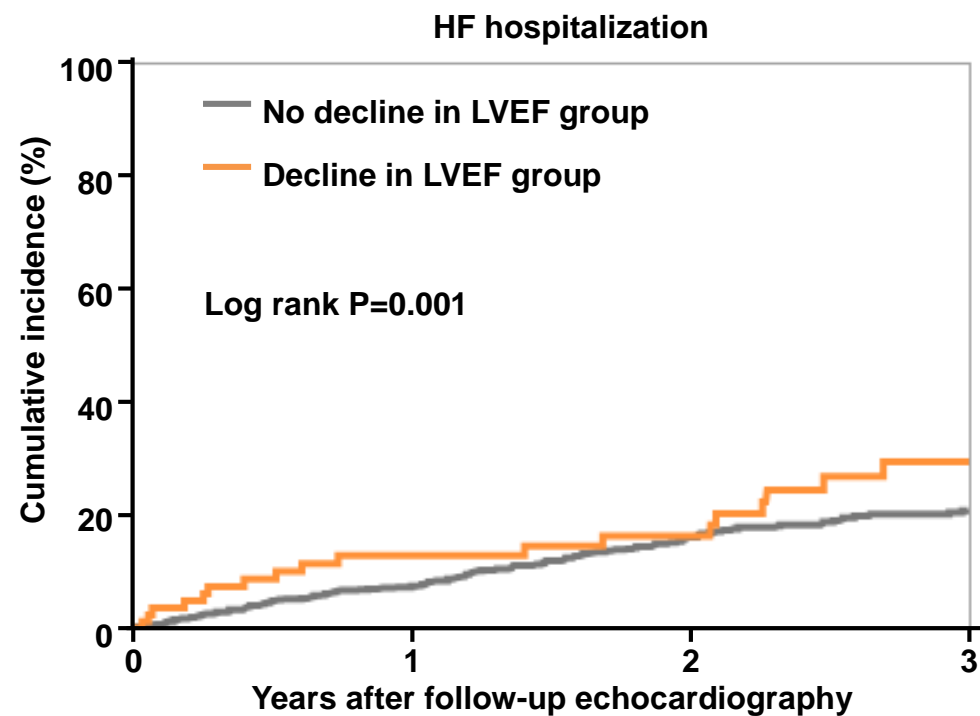
	Interval (years)	0	1	2	3
No decline in LVEF group	N of patients with at least 1 event		73	134	162
	N of patients at risk	748	593	416	233
	Cumulative incidence		10.3%	20.3%	26.8%
Decline in LVEF group	N of patients with at least 1 event		18	20	27
	N of patients at risk	91	56	44	22
	Cumulative incidence		22.2%	25.4%	39.5%

Figure 4 B)



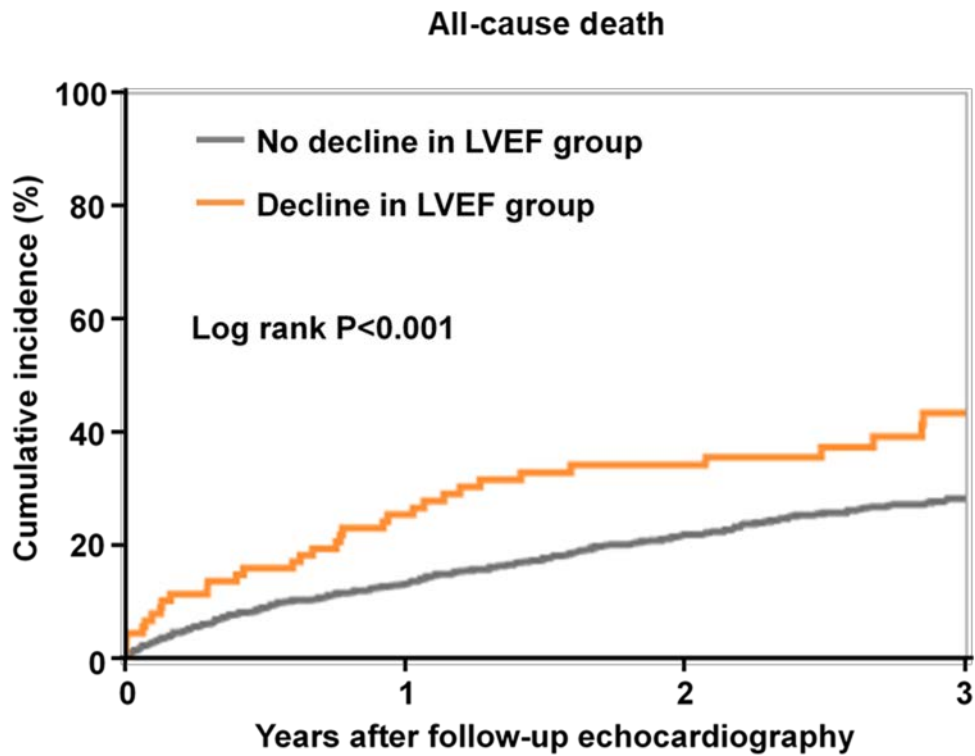
		Interval (years)			
		0	1	2	3
No decline in LVEF group	N of patients with event		40	62	82
	N of patients at risk	748	621	466	274
	Cumulative incidence		5.7%	9.2%	14.0%
Decline in LVEF group	N of patients with event		12	14	16
	N of patients at risk	91	62	47	24
	Cumulative incidence		14.9%	17.8%	22.9%

C)



		Interval (years)			
		0	1	2	3
No decline in LVEF group	N of patients with at least 1 event		50	102	122
	N of patients at risk	748	593	416	233
	Cumulative incidence		7.3%	16.1%	21.1%
Decline in LVEF group	N of patients with at least 1 event		10	12	18
	N of patients at risk	91	56	44	22
	Cumulative incidence		12.9%	16.3%	29.4%

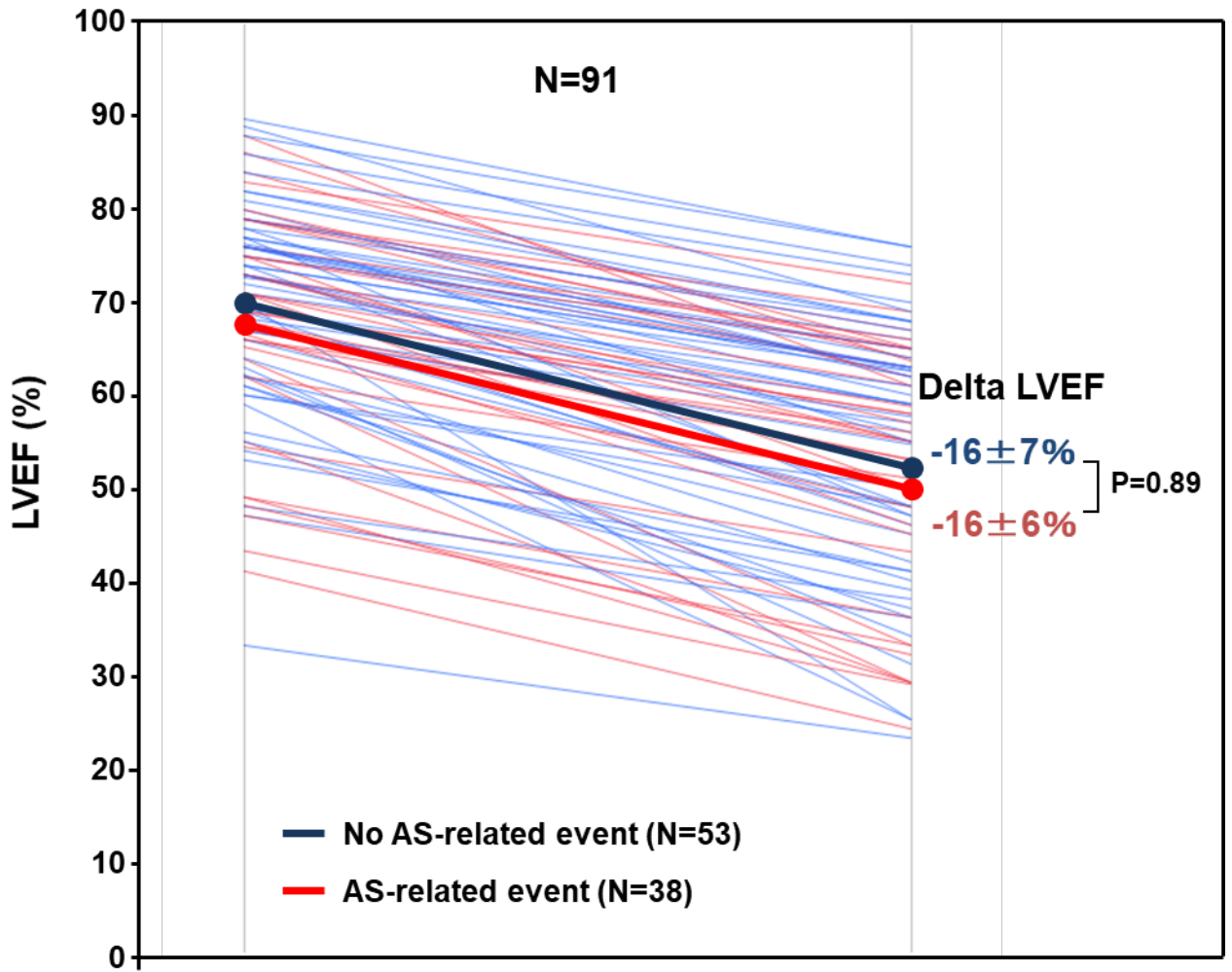
Central illustration



	Interval (years)	0	1	2	3
No decline in LVEF group	N of patients with event		97	156	188
	N of patients at risk	748	621	466	274
	Cumulative incidence		13.2%	21.9%	28.3%
Decline in LVEF group	N of patients with event		22	29	34
	N of patients at risk	91	62	47	24
	Cumulative incidence		25.4%	34.2%	43.4%

Figure 5

A)



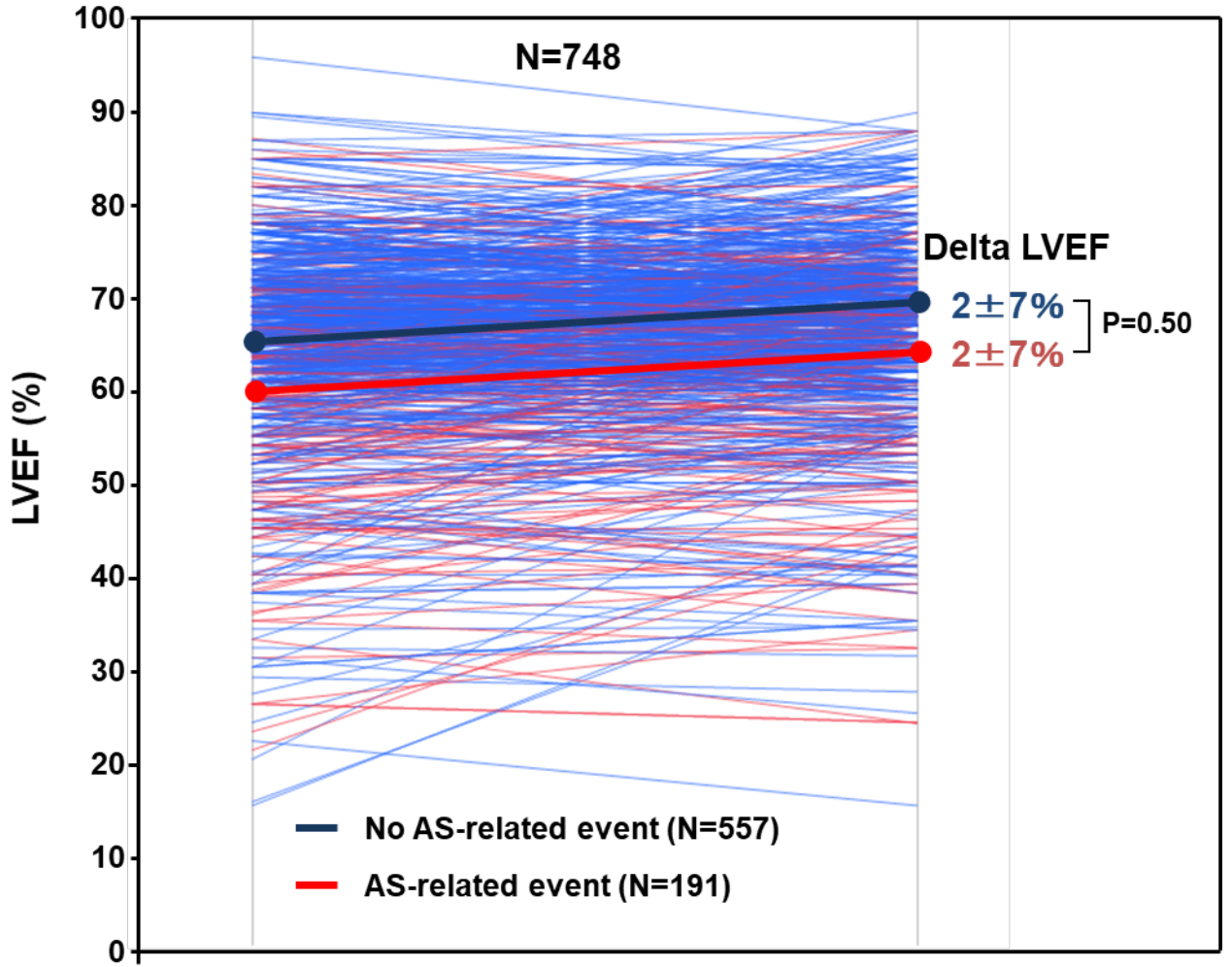
Baseline

1-year

No AS-related event	70 ± 11%] P=0.41		54 ± 14%] P=0.44
AS-related event	68 ± 12%			52 ± 14%	

Figure 5

B)



	Baseline		1-year	
No AS-related event	$65 \pm 11\%$] $P<0.001$	$67 \pm 10\%$] $P<0.001$
AS-related event	$60 \pm 13\%$		$62 \pm 12\%$	