

1 THERMAL BEHAVIOR OF GREEN ROOFS UNDER NORDIC 2 WINTER CONDITIONS

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8 9 **Abstract**

10

11 To understand how green roofs affect building energy performance under cold climatic
12 conditions, a proper thermal analysis of the roof and its components is required. To address
13 this, we measured the thermal conductivity of each layer of experimental green roofs, as
14 well as the equivalent thermal resistance of the complete green roof system during winter
15 conditions in southern Finland. Green roofs were compared to bare roofs (without
16 substrate, vegetation and other green roof layers) to assess the basic functioning and
17 relative performance of the green roof system. Layer analysis at various intensities of frost
18 penetration showed that the thermal conductivity of each layer decreased when penetrated
19 by frost. In particular, thermal conductivity of the substrate and vegetation layers decreased
20 from $0.41 \text{ Wm}^{-1}\text{K}^{-1}$ and $0.34 \text{ Wm}^{-1}\text{K}^{-1}$ prior to freezing, to $0.12 \text{ Wm}^{-1}\text{K}^{-1}$ and $0.10 \text{ Wm}^{-1}\text{K}^{-1}$
21 after freezing, respectively. This phenomenon is explained by a reduction in bridge-water
22 connectivity during freezing and a volumetric water content that was below the critical
23 threshold value. Overall, a frost depth that extended through the complete green roof
24 yielded the greatest equivalent thermal resistance at a mean value of $2.01 \text{ m}^2\text{WK}^{-1}$. During
25 times of snow cover, snow acted as an insulator and reduced the relative energy saving
26 benefits achieved by green roofs. These results provide information for designing the
27 substrate and vegetation layers of green roofs for optimal insulation.

28

29 Keywords: green roof, thermal conductivity, heat flux, energy saving, winter conditions

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42 **1. Introduction**

43

44

45 To make buildings more environmentally friendly, new energy efficient technologies and
46 designs are continually sought after. A green, or vegetated roof, is a structural design
47 approach that brings nature and engineering together to provide a sustainable alternative to
48 conventional roofing [1]. Among the multifunctional benefits that a green roof provides,
49 improved building envelope thermodynamics has been an important aspect for reducing
50 energy consumption within the building sector [2,3]. As a living system, a green roof's
51 thermal behavior is highly influenced by the surrounding climate. While it has been shown
52 that they are effective tools for reducing cooling energy demands in warm and sunny
53 climates [4–6], in cold climates, where heat energy demands dominate, there is still general
54 uncertainty and a lack of research about how beneficial a green roof may be [3].

55

56 Winter thermal benefits achieved from a green roof system depend on vegetation type and
57 material properties of the layers, including thickness, physical structure and thermal
58 conductivity [7–9]. Commonly, the layers of a green roof from the top down consist of
59 surface vegetation, substrate, filter/water retaining mat, drainage/root barrier, and a
60 waterproofing membrane that all sit atop the structural support. When necessary, green
61 roofs also utilize synthetic insulation at their base in order to ensure adequate thermal
62 resistance [10].

63

64 A green roof will keep itself, and the building below, cool in the summer by means of
65 evapotranspiration, photosynthesis and shading and yet remain an effective thermal mass
66 in winter when vegetation is dormant and evapotranspiration negligible [11]. In
67 comparison, an insulation system of only synthetic materials works well but is limited in
68 performance due to constant thermal properties throughout the year. The synthetic system
69 can thus only be optimized in terms of material thickness. Therefore, in designing for best
70 annual energy use, indoor thermal comfort, and sustainability, application of a vegetated
71 system in conjunction with minimal synthetic insulation, may provide the greatest thermal
72 performance for Nordic climates [6,11–13].

73

74 A modelling study on four different climates in the United States has shown that green
75 roofs have had greater heating energy savings in colder climates [14]. It has also been
76 shown that roof and wall vegetation could considerably reduce heat loss through the
77 building's façade in winter by reducing convective heat loss [15,16]. Thermal mass of the
78 green roof has been shown to reduce heat flux through the green roof during winter, by 1-
79 2 Wm^{-2} , and create more stable internal temperatures compared to a conventional roof
80 [17,18]. Two studies conducted in the sub-tropical winters of Hong Kong have shown
81 beneficial results for an extensive green roof (traditionally defined as green roofs with
82 shallow substrates, see [19]) and negative results for an intensive green roof (with thicker
83 substrates [19]). In the case of the extensive roof, roofing materials acted as a heat sink that
84 released heat into the building during cooler nights [20]. In the case of the intensive roof,
85 heat was lost from the substrate to the air, drawing warmer indoor air outwards [21]. In the
86 French temperate climate, a green roof was shown to have very little impact on overall
87 heating demands due to reduced heat losses during cold winter days along with a reduction
88 in positive solar gains during sunny winter days [22]. Furthermore it was shown that snow
89 effectively insulates buildings but scales down the relative benefits that a green roof can
90 have compared to a conventional roof [2,23,24]. In the case of extreme weather conditions
91 with sub-zero temperatures and severe wind and rain, the benefits of green roofs tend to
92 increase [25], however, ice transfers heat energy more efficiently through its medium
93 compared to liquid water [26], suggesting greater heat loss for frozen green roofs. Overall,
94 given the variable performance in cold climates, a detailed understanding of energy loss
95 and heat flux through green roof systems is still required.

96

97 Currently, very few studies have examined the thermal behavior of green roof layers during
98 ice and snow conditions and none have exclusively evaluated overall or layer-specific
99 thermal conductivity (k-values, see [26]). Since the thermal properties of a green roof vary
100 significantly with moisture [7,27], and the thermal behavior of soil is affected by degree of
101 frost penetration [28–30], it is important to develop k-values for the green roof and its
102 component layers during winter conditions. Knowledge on the thermal behavior of the
103 individual layers during times of freezing and thawing and different levels of frost intensity

104 would enable a better understanding of green roof thermal performance and resulting heat
105 flux under various winter conditions. A particular focus of this study is on the behavior of
106 the substrate layer because of its complexity for design applications and because there are
107 no current guidelines for the type of substrate to use for best thermal performance in
108 freezing conditions.

109

110 In this study we hypothesized that (i) frost penetration will increase green roof and green
111 roof layer k-values, (ii) substrate is expected to exhibit a positive relationship between
112 volumetric water content and k-values above 0 °C and a positive relationship between frost
113 intensity and k-values below 0 °C, (iii) heat flux through the green roof will be less than
114 the bare roof for the majority of the winter period, and (iv) snow cover will act as an
115 additional insulation layer, reducing heat flux through both roofing systems.

116

117 **2. Methods**

118

119 *2.1 Experimental setup*

120

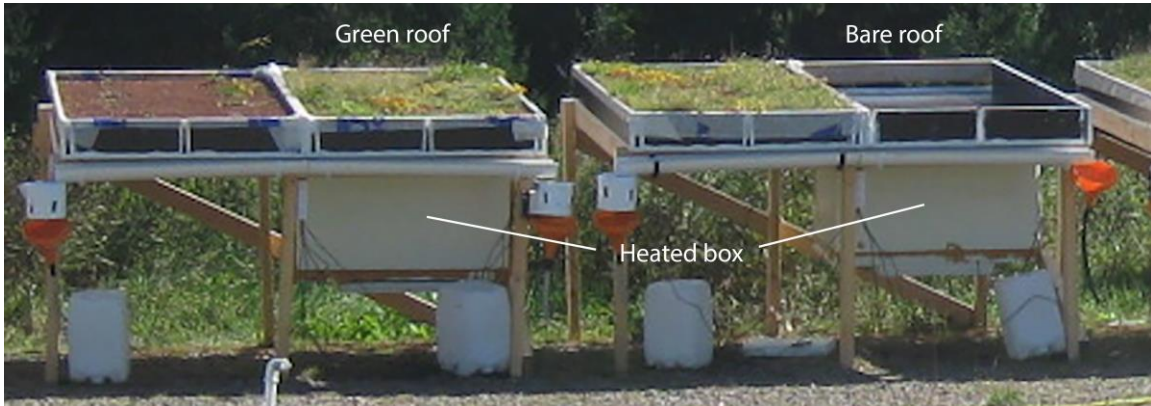
121 The experiment was carried out at Jokimaa, a University of Helsinki research station
122 located in Lahti, southern Finland (60°52'N, 25°52'E), where winter is the dominant
123 season, with long periods of sub-zero temperatures and snow cover that typically last 135-
124 145 days [31].

125

126 Twenty-five roof platforms, each 1 m × 2 m in size at a height of 1.5 m were constructed
127 at the station. Six of the platforms were used in this study (three green roofs and three bare
128 or control roofs) (Fig. 1). The base, or supporting layer, was a 24 mm thick hardwood
129 plywood. The bare roofs consisted only of the hardwood plywood support layer. For the
130 green roofs, directly atop the plywood was an “Antico Rankka” moisture barrier sheet
131 followed by a 25 mm thick water retaining and drainage layer made of molded polystyrene
132 (“Nophadrain” [32]), hereafter referred to as the “drainage” layer. On top of the drainage
133 layer was a 10 mm thick water holding filter fabric (“VT-filt”: water storage capacity 8 l
134 m⁻², [32]) used to prevent the loss of substrate particles and to retain water, hereafter

135 referred to as the “fabric” layer. On top of these layers was a 50-60 mm thick substrate
136 layer made of crushed recycled brick (85%), bark chippings (5%), peat (5%) and compost
137 (5%; all percentages by fresh volume) (see Fig. 2 for particle size distribution).

138

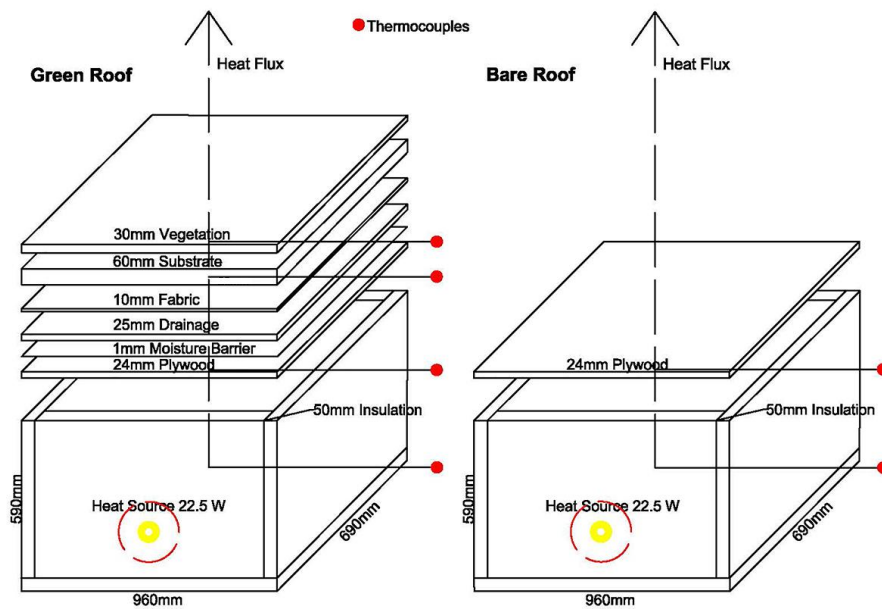


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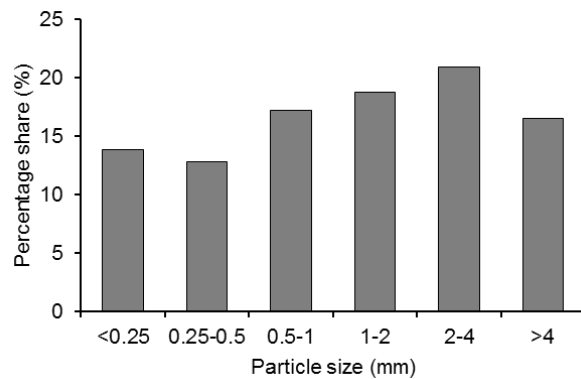
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143 Fig. 1. Experimental green and bare roof setup (above) and schematic diagram of the
 144 systems (below).

145

146 The top layer was a pre-grown vegetation “Veg Tech” mat with a nominal thickness of 40
 147 mm and supported drought resistant species of sedum, moss, and grass [32]. The dry
 148 density of the substrate and vegetation layers was on average 1.37 g cm^{-3} and 1.17 g cm^{-3} ,
 149 respectively. A closed 0.30 m^3 (internal volume) insulated box was placed below each of
 150 the six roofing structures. The box had five walls made of extruded polystyrene, a housing
 151 insulation material (“Finnfoam 300/50”) attached to the bottom surface of the plywood
 152 layer. All boxes were equipped with identical heating sources: a 25 W incandescent light
 153 bulb running at 90% inefficiency, 24 hours per day.

154



155

156

157 Fig. 2. The percentages of different sized particles in terms of dry weight for the crushed
158 brick mixture, used as substrate in the green roof platforms.
159

160 *2.2 Data collection*

161

162 For the green roofs, thermocouples with moisture sensors were placed on the vegetation
163 surfaces, within the substrates, on the top surface of the supporting structures (plywood),
164 and inside the insulated boxes. For the bare roofs, they were placed on the supporting
165 structures, and inside the insulated boxes (Fig. 1). Together the thermocouples were
166 arranged in a vertical line that passed through the centroid of the insulated box.
167 Temperature and moisture data were recorded at 20-min time intervals, 24 h per day at an
168 accuracy of ± 1 °C and ± 3 % VWC [33]. VWC data were determined by measuring the
169 dielectric constant of the media using capacitance/frequency domain technology at 70 MHz
170 frequency and are reliable only in soil [33]. Data loggers (“Decagon devices Em50”)
171 collected the data. The on-site Vaisala WXT520 Micro Weather Station provided data on
172 ambient air temperature and precipitation, and recorded data at 10-min intervals. Snowfall
173 and snow depth information was obtained from the Finnish Meteorological Institute’s
174 Laune weather station, located 5 km from the experimental site. The measurement period
175 for the roof ran from the beginning of October 2013 to the end of March 2014.

176

177 A linear one-dimensional temperature gradient was assumed in the vertical direction [34]
178 and when the temperature of the thermocouple decreased below zero degrees, it was
179 assumed that the layer and those above it, were penetrated by frost equal to the depth of
180 the thermocouple. When temperatures decreased further, it was assumed that frost was
181 penetrating further downward into the green roof. Since the fabric and drainage layer did
182 not have thermocouples within them, temperatures from the thermocouple on the plywood
183 surface were used to indicate that these bottom layers had frozen. All data were averaged
184 over the three replications. Means and standard deviations reported assume normally
185 distributed data.

186

187 Temperature data were separated into phases determined by level of frost depth penetration
188 (Table 1). This was done in order to describe the effect of temperature on k-values during
189 various frost intensity levels.

190

191 Table 1. Description of each phase used in monitoring green roof thermal behavior.

| Phase | Level of Frost Penetration | Details |
|-------|--|---|
| A | No frost penetration | Pre-winter, positive ambient temperatures, no snow. |
| B | No frost penetration | Thawing, positive ambient temperatures, snow on roof. |
| C | Frost penetration into vegetation layer only | Light sub-zero ambient temperatures. |
| D | Frost penetration into vegetation and substrate layers only. | Sub-zero ambient temperatures. |
| E | Frost penetration into all layers. | Intensive sub-zero ambient temperatures. |

192

193 *2.3 Theoretical approach*

194

195 Heat transfer through the green roof is a transient process, however, because the aim of
196 this study was to assess the thermal behavior of a green roof in cold climate, a steady state
197 analysis was assumed to quantify heat flux. The steady state approach provides a
198 quantitative estimate of k and R-values that are useful as a reference for qualitative
199 interpretation of the thermal behaviour of the green roof and its component layers [7].

200

201 A probabilistic analysis on large samples of temperatures recorded provide most likely k
202 and R-values and associated variance during each phase of frost penetration.

203

204 *2.3.1 Conductive heat flux*

205

206 The energy balance for roofing structures (Fig. 1) is given by:

207

$$208 \quad Q_{\text{roof}} = Q_{\text{source}} - Q_{\text{walls}} , \quad (1)$$

209

210 where Q_{roof} is the overall heat flux through the bare or green roof surface, Q_{source} is the
211 energy input from the incandescent light bulb, and Q_{walls} represents heat flux through the
212 insulated walls of the heated box.

213

214 During winter, there is a temperature gradient through the roofing components of both the
215 bare and green roofs due to the temperature difference between the warm inside air and the
216 cold outside air. The majority of heat transferred from the interior outward in a green roof
217 is through conduction [2,10]. Integrating Fourier's equation for steady state heat transfer,
218 over the thickness of a medium, the mathematical model for heat flow by conduction is
219 expressed as:

220

$$221 \quad Q/A = T_1 - T_2 / (L/k) , \quad (2)$$

222

223 where A is surface area through which heat flux occurs (m^2); L is roof medium thickness
224 (m); T_1 and T_2 are vertical temperature points (K), k is thermal conductivity ($\text{Wm}^{-1}\text{K}^{-1}$),
225 and L/k is thermal resistance (R-values) for conduction (m^2KW^{-1}).

226

227 In locations where the temperature was not given by a thermocouple (interface of the
228 vegetation and substrate layer, interface of the fabric and substrate layer, and the outside
229 surface of the insulated box) an interpolated value was obtained by simultaneously solving
230 for the k-values and heat flux of the corresponding layers.

231

232 *2.4 Invariant thermal properties*

233

234 Thermal conductivity and resistance of the insulating material (used for the heated boxes)
235 and the plywood base are assumed constant throughout the experiment (Table 2). The
236 thermal properties of these materials are a function of humidity and temperature, however

237 at normal ambient temperatures any change is negligible in comparison to the other roofing
238 components [35,36].

239
240 Table 2. Thermal resistance (R) and thermal conductivity (k) of materials used for both the
241 green and bare roofs. Plywood R and k uncertainty = 10%.

| | Thermal Resistance (m^2KW^{-1}) | Thermal Conductivity ($\text{Wm}^{-1} \text{K}^{-1}$) |
|------------------------|--|--|
| Plywood (24 mm) | 0.27 | 0.09 |
| Box insulation (50 mm) | 1.45 | 0.035 |

242

243 **3. Results and Discussion**

244

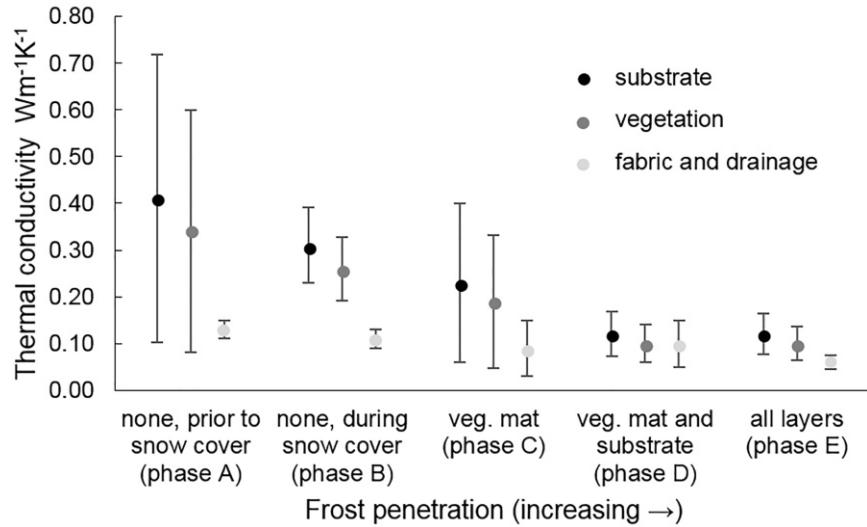
245 *3.1 Green roof thermal conductivity*

246

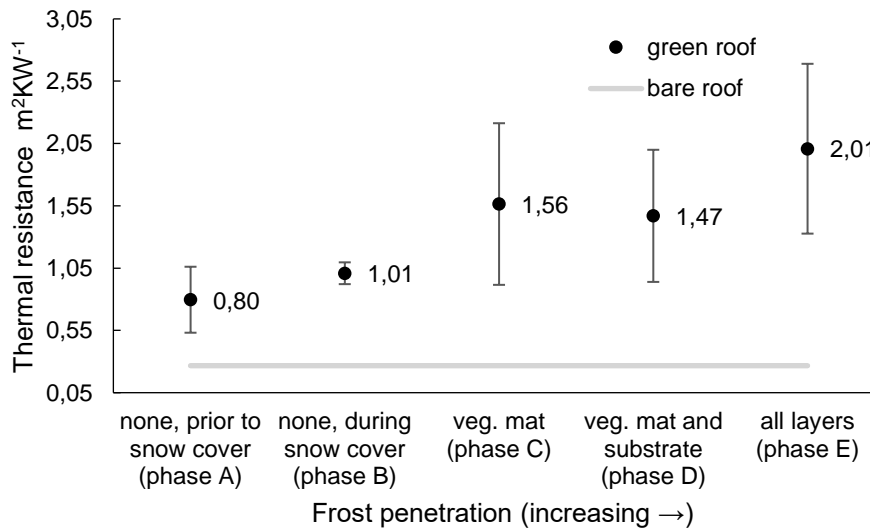
247 Green roofs resisted heat loss better than the bare roofs during all frost depth phases.
248 Analysis of the various green roof layers show that k-values of the vegetation and substrate
249 layers decreased as frost penetration depth increased (Fig. 3). Since the k-value of ice is
250 about 4 times higher than water ($k_{\text{water}} = 0.60 \text{ W m}^{-1} \text{ K}^{-1}$, $k_{\text{ice}} = 2.30 \text{ W m}^{-1} \text{ K}^{-1}$) a
251 corresponding increase in green roof layer k-values were expected during freezing,
252 however, the opposite was observed. Correspondingly, green roof equivalent R-values
253 increased as frost penetration depth increased, indicating that green roofs were better
254 insulators during colder temperatures.

255

256



257
258



259

260 Fig. 3. Mean (\pm SD) thermal conductivity (k-value) of green roof layers (above) and
261 equivalent thermal resistance (R-value) of green and bare roofs (below) during the different
262 phases of frost penetration. Averaging time for each phase was 8-10 days.

263

264 During all phases of frost penetration, the substrate layer maintained the highest k-values
265 with the vegetation mat having predominately the second highest values, slightly below
266 those of the substrate. The fabric/drainage layer resisted heat flow the most, and its k-values
267 remained relatively consistent throughout the winter season. Insulation properties of the
268 fabric and drainage layers may be due to the large volume of stationary air held within the
269 drainage structure [37].

270

271 Variation in k-values shows how vulnerable green roofs are to surrounding environmental

272 conditions (see SDs in Fig. 3). However, variability was lower during snow cover on these
273 roofs. In the case of no snow cover prior to frost penetration (phase A), variability of both
274 the vegetation and the substrate was high, with a coefficient of variation (CV) of 0.76 and
275 0.75, respectively. High variation was also present during phase C, when there was frost
276 penetration only into the vegetation layer (CV for the vegetation and substrate layers were
277 0.75 and 0.74, respectively). Variation is greatly reduced during the other phases,
278 especially when all the green roof layers had frozen (phase E) with a CV of 0.36, 0.36, and
279 0.24 for the vegetation, substrate, and fabric and drainage layers, respectively.

280

281 A mean R-value of $2.01 \text{ m}^2 \text{ K W}^{-1}$ achieved by the green roof, when all the layers were
282 frozen, indicates that the system, while not as effective as synthetic insulation, has
283 performed reasonably well as a thermal insulator during extreme winter conditions.
284 Moreover, the reduction in k-values with decreasing sub-zero temperatures demonstrate a
285 positive dynamic behavior that improves its thermal resistance, as higher values of
286 resistance are desired.

287

288 It should be noted that green roof k and R-values are based on a simplified steady state
289 analysis and the estimated values are more important for analysis of behavioral trends and
290 relative performance rather than value accuracy.

291

292 *3.2 The effect of volumetric water content on substrate thermal conductivity*

293

294 The decrease in substrate and vegetation k-values during freezing may be explained by
295 VWC and structural changes that occur within the layers when water turns into ice. In this
296 study, only the substrate layer VWC was measured and an explanation on micro scale
297 effects are discussed in relation to those measurements. VWC and corresponding k-values
298 of the substrate layer throughout winter are shown in Fig. 4.

299

300 Prior to freezing (mid-October – late-November), VWC of the substrate had values
301 fluctuating around $0.20 \text{ m}^3 \text{ m}^{-3}$. This period had k-values corresponding to phase A. During
302 times of thawing with snow cover (late-December and mid-February) substrate VWC was

303 lower than it was prior to freezing despite the melting snow above the substrate. This period
304 has k-values corresponding to phase B.

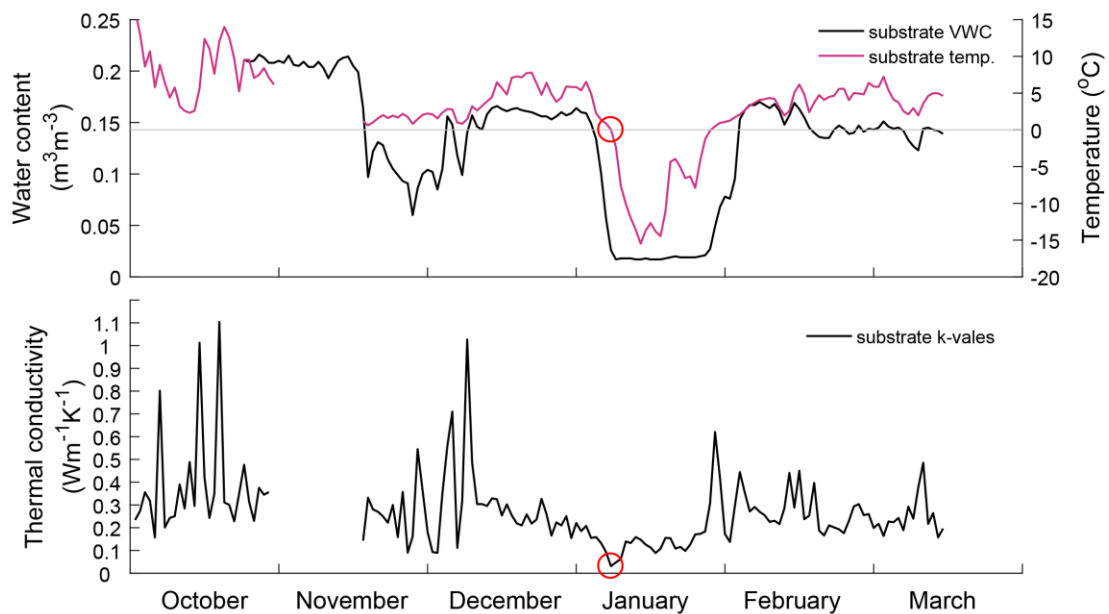
305

306 The first cold period began in November (24.11.2013) and ended in the beginning of
307 December (05.12.2013). During this period, VWC decreased from $0.20 \text{ m}^3 \text{ m}^{-3}$ to 0.06 m^3
308 m^{-3} indicating liquid moisture reduction due to frost penetration. This period had k-values
309 corresponding to phase C.

310

311 Phase E was experienced in January when temperatures decreased well below $0 \text{ }^\circ\text{C}$, to
312 minimum values of $-20 \text{ }^\circ\text{C}$. During this period, substrate VWC also reached its lowest point
313 ($0.02 \text{ m}^3 \text{ m}^{-3}$), indicating that practically all the water in the substrate had frozen.

314



315

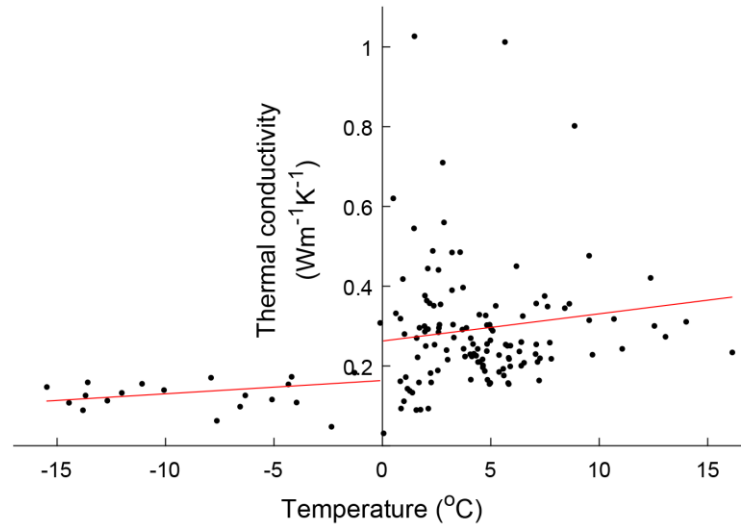
316 Fig. 4. Daily mean volumetric water content and temperature of the substrate layer at a
317 depth of 5 cm (i.e. in the middle of the substrate) (above). Daily mean thermal conductivity
318 of the substrate layer (below). The circles indicate initial frost penetration into the substrate
319 layer. Missing information in the figures is due to one or more of the heat sources
320 temporarily malfunctioning.

321

322

323 With increasing frost penetration, average substrate k-values decreased from 0.41 W m^{-1}
324 K^{-1} in unfrozen conditions, to $0.23 \text{ W m}^{-1} \text{ K}^{-1}$ as frost started to penetrate the substrate layer.
325 Finally, average k-value reduced to $0.12 \text{ W m}^{-1} \text{ K}^{-1}$ when frost had fully penetrated the

326 layer. The reduction in k-values indicated that freezing of the substrate layer improved its
327 insulative capacity, despite the higher k-value of ice. Furthermore, an immediate reduction
328 in substrate k-values was observed during initial freezing of the layer (Fig. 5).
329



330
331 Fig. 5. Substrate daily average thermal conductivity scatter and trend lines, before and after
332 freezing.
333

334 The different behavior of k-values before and after freezing may be explained by the bridge
335 water effect at positive temperatures and particle discontinuity at negative temperatures.
336 The basic features of these phenomena in freezing soils are explained in [28,38]. At
337 temperatures above zero, the positive correlation between k-values and soil water content
338 is due to the relative k-values of water and air ($k_{\text{water}} = 0.60 \text{ Wm}^{-1} \text{ K}^{-1}$, $k_{\text{air}} = 0.024 \text{ Wm}^{-1} \text{ K}^{-1}$).
339 With increasing VWC, pore space within the soil is replaced with water and heat is then
340 transferred through water, connected soil particles, as well as the additionally connected
341 soil structure created by the water-to-soil bridging. Water that covers and lines the solid
342 particles of the soil creates new points of connectivity between the particles, increasing the
343 effective surface area available for heat transfer [28]. This is known as the bridge water
344 effect and may explain the positive relationship between k-values and VWC observed at
345 positive temperatures. Furthermore, since water in the green roof is dynamic [39], changes
346 in particle connectivity would also be dynamic, explaining the large dispersion of k-values
347 ($\text{CV} = 0.75$) observed during the unfrozen phases in Fig. 3. The positive correlation

348 between substrate water content and k-values during warm conditions is in agreement with
349 other green roof studies, e.g. [8,27].

350

351 At sub-zero temperatures, substrates can exhibit a reduction in k-values during freezing
352 given the VWC is low enough. This phenomenon may occur due to a loss of connectivity
353 within the layer as water molecules reform to create solid ice. The contact points and bridge
354 water that existed in liquid form at positive temperatures are lost as solid ice crystals form.
355 During this transformation, ice H-O-H molecules move inward and away from the substrate
356 particles breaking connection points throughout the layer [40,41]. This disconnection
357 within the substrate continues to develop as temperatures decline and more ice forms. The
358 available surface area in which conductive heat transfer can occur is thus decreased and
359 the substrate layer becomes a less efficient heat transfer medium [28]. Furthermore, the
360 fusion process of water causes expansion and this may also result in substrate particle
361 disconnection and increased void space as the heaving material moves outward. This
362 phenomenon may explain the observed decrease in k-values for both the substrate and
363 vegetation in our study (Fig. 3). The declining efficiency of heat transfer observed during
364 decreasing temperatures was similar to various soils tested in [42] and [28]. Conversely,
365 soils tested in [43] note a considerable increase in soil k-values during freezing and relate
366 it to an extensive ice build-up (i.e. high VWC). A study that measured the k-values of
367 frozen soils during phase transition reported an immediate increase in k-values at the point
368 of freezing followed by an exponential decrease of k-values as temperatures continued to
369 decrease [44]. Therefore, both increases and decreases in soil k-values are possible during
370 freezing [28].

371

372 *3.3 Critical moisture content*

373

374 Theory suggests that there is a threshold VWC that causes soil k-values to increase or
375 decrease during a phase change. It has been shown in various soils of various properties
376 and aggregate size [30,40]. The relationship is not valid for every soil type but has been
377 shown to hold true for several types, including coarse-sandy soils [40], thus corresponding
378 to the rather coarse crushed brick substrate used in our study (Fig. 2). According to [28],

379 when VWC is below a certain critical moisture content, the k-value of a freezing soil
380 decreases when temperature is reduced and if it is above the critical VWC, an increase in
381 k-values occur. In [30], the threshold moisture content was shown to be 15-20 % for the
382 soil studied. The soil studied in [29] had a relatively high moisture content (45 %) and
383 reported a 50% increase in winter k-values compared to summer ones. In our study, a
384 decrease in substrate k-values was achieved during the freezing periods (Fig. 4), suggesting
385 the VWC of the green roofs was below the critical moisture content. Prior to the November
386 freezing period, VWC was 20 % and in January it was, 15 %.

387

388 The vegetation mat acted similar to the substrate layer and a reduction in k-values with
389 decreasing temperatures was achieved as well (Fig. 3). With increasing frost penetration,
390 average vegetation k-values decreased from $0.34 \text{ W m}^{-1} \text{ K}^{-1}$ in unfrozen conditions to 0.10
391 $\text{W m}^{-1} \text{ K}^{-1}$ when frost had fully penetrated the layer. The reasons for this may be the same
392 as the substrate layer; however, VWC was not measured in the vegetation layer. Overall,
393 the vegetation layer consistently acted as a better insulator than the substrate layer. This
394 may be due to higher density of the substrate since a denser medium increases heat transfer
395 efficiency, maintains unfrozen water longer and reduces permeability [28].

396

397 The importance of soil density on heat transfer was examined in [45] where it was observed
398 that the k-values of a frozen soil, at negative temperatures, decreased with increasing
399 temperature gradients and at positive temperatures, increased with increasing temperature
400 gradients. However, since the density of the frozen soil was very low (0.81 g cm^{-3}) and the
401 top soil had a lower temperature than the lower soil, convective heat transfer occurred
402 causing the k-values of the frozen soil to be five times higher than k-values at positive
403 temperatures. Therefore, determination of the critical moisture content for vegetation and
404 substrate layers is crucial for green roof designs in Nordic climates, as long as conductive
405 heat transfer is the dominating form of heat loss.

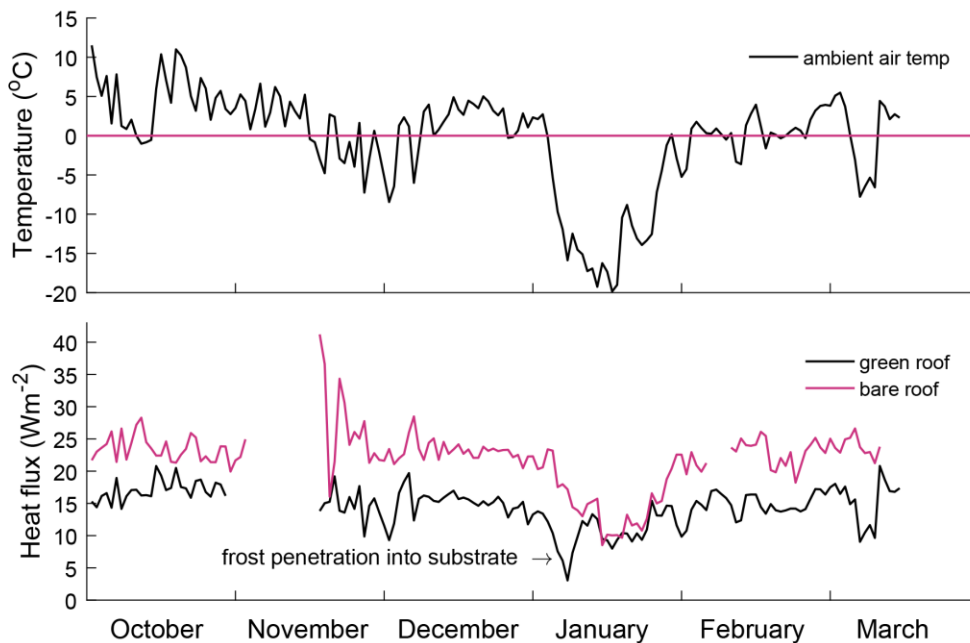
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407 *3.4 Heat flux during freezing conditions*

408

409 During winter, the green roof performed consistently better than the bare roof in terms of
410 heat flux. Due to additional thermal mass, the green roof had significantly less heat flux
411 through the roofing system (paired t -test, $t = 1.731$, $p = 0.043$) most of the time, and less
412 heat flux throughout the winter period (Fig. 6).

413
414



415 Fig. 6. Daily mean air temperature (above) and daily mean heat flux through bare and green
416 roofs (below) during the winter of 2013-2014. Positive heat flux values indicate heat
417 transfer from inside to outside through the roofs. The arrow indicates when frost
418 penetration reached the mid-point of the substrate layer.
419
420

421 During the major freezing period in January 2014, there was an initial decrease in heat flux
422 for the green roof (see arrow in Fig. 6). This happened when the vegetation and substrate
423 layers were freezing and shows the strong effect of phase change on overall heat loss.
424 However, the continuous decrease in temperatures was not accompanied by a continuous
425 decrease in heat flux. This may be because snow had begun to accumulate on the green
426 roofs, altering the overall heat flux of the roofs.

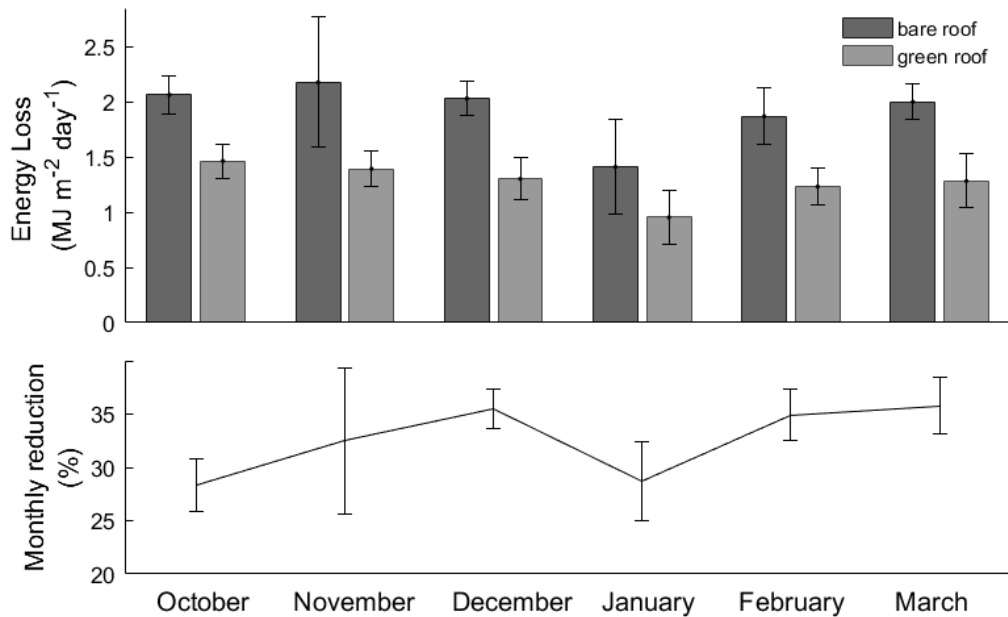
427

428 The largest difference in heat flux between the bare and green roofs occurred when ambient
429 air temperatures were oscillating around 0 °C. This freeze-thaw period occurred at the end
430 of November and the beginning of December 2013 when the green and bare roof heat flux

431 had greater fluctuations compared to other winter periods (Fig. 6). Sudden and large
 432 reductions in green roof heat flux were observed during periods when ambient
 433 temperatures decreased below 0 °C and frost penetration into the vegetation layer led to
 434 immediate reductions in k-values.

435

436 Mean daily energy loss was equated from mean daily heat flux in order to compare the
 437 monthly reduction in heat loss achieved by the green roof. The addition of the green roof
 438 saved a significant amount of energy each month throughout winter, compared to the bare
 439 roof (paired *t*-test: $t = 5.593$, $p = 0.001$; Fig. 7).



440

441 Fig. 7. Daily mean (\pm SD) energy loss through the bare and green roofs (above) and daily
 442 mean (\pm SE) percentage reduction in heat loss due to green roofs (below).

443

444

445 Overall, December 2013 and March 2014 were two months with the greatest reduction in
 446 heat loss. December achieved lower heat losses because of reduced temperature
 447 fluctuations and reduced k-values in the vegetation layer. The results during November and
 448 March may have occurred for the same reason as December. However, only partial monthly
 449 data were available for these months. October and January were months with the least
 450 reductions due to high VWC and the presence of snow, respectively. January was the

451 month with the lowest amount of heat loss for both the bare and green roofs. The large
452 reduction of heat loss in February may be attributed to the longer duration of snow cover
453 on the green roofs compared to bare roofs during thawing.

454

455 Our experimental observations are a result of roofs without insulation and ambient air
456 conditions on all sides of the heated box, including the bottom. Therefore, translations of
457 our results to an actual building are not direct and emphasis is placed rather on the plausible
458 causes discussed.

459

460 *3.5 Snow cover*

461

462 During consistent snow cover (approx. 20 days during the major freezing period in January
463 and into February), both the green and bare roofs experienced lower heat fluxes. A more
464 dramatic reduction in heat flux was exhibited by the bare roof compared to the green roof
465 for the duration of the snow period, except at the beginning and end of that period (Fig. 6).
466 At the beginning and end of the snow cover in January and during smaller snow events in
467 December, snow cover remained on the green roofs while it melted on the warmer surface
468 of the bare roof (Fig. 1). This lead to increased heat loss for the bare roof and increased
469 energy savings for the green roof. The nullifying effect that snow had on the relative green
470 roof benefits has been observed in other studies [2,3,12,46]. Despite the fact that snow
471 reduces the relative benefits of green roofs when covering both rooftops, these vegetated
472 roofs still benefited from greater snow depth, increased durations of snow cover, and
473 reduced temperature fluctuations compared to the bare roof surface. Therefore, according
474 to this study and [23], green roof designs that assist snow accumulation can also benefit
475 from the natural insulative properties of snow.

476

477 **4. Conclusion and future studies**

478

479 To obtain information on the energy efficiency of green roofs in Nordic climates, the
480 thermal behavior of the system and its components was assessed. A steady state analysis
481 on heat flux through the roofs provided thermal conductivity values along with their

482 relationship to frost penetration. Each of the green roof layer k-values decreased during
483 freezing and a threshold VWC that determines whether vegetation and soil thermal
484 conductivity increases or decreases upon freezing is proposed. Above the critical VWC,
485 the layer's thermal conductivity value increases because of the large amount of highly
486 conductive ice. Below the critical VWC, the layer loses connectivity during freezing and
487 thermal conductivity is reduced. A substrate that drains optimally and holds moisture
488 content below the critical volume (15-20%) can thus improve roof insulation during
489 freezing. Correspondingly, green roof equivalent thermal resistance increased along with
490 frost penetration and green roof heat flux remained lower than the bare roofs throughout
491 winter, except during snow cover when a similar heat flux was observed. Future studies
492 could validate our findings across various green roof soils with varying moisture contents.
493

494 Presented here are estimated heat flux and k-values determined from a one-dimensional
495 steady state analysis on experimental roofs. Further studies should model the dynamic
496 processes in which the effect of thermal mass, moisture and ice content, along with
497 convective and radiative heat transfer are considered in a transient conduction model. In
498 doing so k-values of greater reliability can be obtained and simulation programs (see e.g.
499 [8]) may be updated for Nordic climate analysis. Other considerations should include the
500 effects of material interfaces and three-dimensional heat transfer.

501

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512

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