

1 **Factors affecting piglet mortality during the first 24 h after the onset of**
2 **parturition in large litters: effects of farrowing housing on behaviour of**
3 **postpartum sows**

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13 Short title: postpartum sow behaviour and postnatal mortality

14 **Abstract**

15 The present study aimed to identify factors that affect immediate (within 24 hours
16 after farrowing onset) postnatal piglet mortality in litters with hyperprolific sows, and
17 investigate their associations with behaviour of postpartum sows in two different
18 farrowing housing systems. A total of 30 sows were housed in: 1) CRATE (N = 15):
19 the farrowing crate closed (0.80 × 2.20 m) within a pen (2.50 × 1.70 m), and 2) OPEN
20 (N = 15): the farrowing crate open (0.80 × 2.20 × 1.80 m) within a pen (2.50 × 2.40
21 m) with a provision of 20 litres of hay in a rack. A total of 518 live born piglets,
22 produced from the 30 sows, were used for data analyses during the first 24 h after
23 the onset of parturition (T24). Behavioural observations of the sows were assessed

24 via video analyses during T24. Total and crushed piglet mortality rates were higher in
25 OPEN compared to CRATE ($P < 0.01$, for both). During T24, the OPEN sows tended
26 to show higher frequency of postural changes ($P = 0.07$) and duration of standing (P
27 $= 0.10$), and showed higher frequencies of bar-biting ($P < 0.05$) and piglet trapping (P
28 < 0.01), when compared with the CRATE sows. During T24, the mortality rates
29 caused by crushing were correlated with the piglet trapping event ($r = 0.93$, $P <$
30 0.0001), postural changes ($r = 0.37$, $P < 0.01$), duration of standing ($r = 0.32$, $P <$
31 0.01), and frequency of bar-biting behaviour ($r = 0.51$, $P < 0.01$) of the sows ($n = 30$).
32 In conclusion, immediate postnatal piglet mortality, mainly due to crushing, may be
33 associated with potential increases in frequency of postural changes, duration of
34 standing, and incidence of piglet trapping in postpartum sows in the open crate
35 system with large litters.

36 **Keywords:** hyperprolific pig, loose-housed, postnatal mortality, sow behaviour,
37 salivary cortisol

38 **Implications**

39 Postnatal piglet mortality mainly due to crushing in non-crating farrowing systems has
40 been of great concern, particularly with litters of hyperprolific sows. The loose-housed
41 pen seems to reduce stress of sows mainly through provision of space for the sow to
42 achieve maternal behaviour. Our research, however, imply that if the loose-housed
43 pen is poorly designed, it may result in restlessness of postpartum sows, which could
44 indicate discomfort of the sows, with consequent deleterious effects on piglet
45 survival.

46

47 **Introduction**

48 In pig husbandry, loose-housed or non-crating farrowing systems have been
49 developed as alternatives to a farrowing crate where sow welfare is compromised in
50 a number of ways (for a review, see Baxter *et al.*, 2017) including interruption of nest-
51 building (Yun *et al.*, 2014) and maternal interaction with the piglets (Chidgey *et al.*,
52 2017). In practice, however, the implementation of loose housing remains a
53 challenge for pig producers partly because the number of piglet deaths, primarily
54 caused by crushing, increases during early lactation (Weary *et al.*, 1998; Pedersen *et*
55 *al.*, 2006; Weber *et al.*, 2009; Baxter *et al.*, 2015).

56 Postnatal piglet deaths occur mainly due to starvation, crushing, hypothermia, or their
57 combinations in modern pig husbandry (Weary *et al.*, 1998; Edwards, 2002; Vasdal
58 *et al.*, 2011). There are growing concerns that large litter size, in conjunction with a
59 decrease in average piglet birth weight and an increase in proportion of lower birth
60 weight piglets, has brought about an increase in piglet mortality including crushing
61 (for a review, see Rutherford *et al.*, 2013). The risk of being crushed may depend on
62 sow maternal nurturing and carefulness behaviour, which could be inhibited by stress
63 in the peripartum period (for reviews, see Algers and Uvnäs-Moberg, 2007; Yun and
64 Valros, 2015). Hence, in order to reduce postnatal piglet loss in the loose-housed
65 systems, it would be beneficial to optimize farrowing housing to improve maternal
66 behaviour of the peripartum sows.

67 The present study was therefore conducted to investigate the effects of two different
68 farrowing housing systems on sow behaviour during and after parturition, and their
69 associations with immediate, i.e. within the first 24 h after the onset of parturition
70 (T24), postnatal piglet mortality. The study also examined physiological changes (i.e.
71 salivary cortisol elevation) in prepartum sows and investigated their interactions with
72 behavioural observations of postpartum sows and immediate postnatal piglet loss in

73 different farrowing housing. It was hypothesized that the different housing systems
74 would result in different responses in prepartum salivary cortisol levels and behaviour
75 observations during T24 in sows, and that this would be reflected in immediate
76 postnatal piglet mortality.

77

78 **Materials and Methods**

79 The study procedure was reviewed and approved by the Animal Experiment Board
80 (ELLA) in Finland, permission ESAVI/2325/04.10.07/2017. The experiment was
81 conducted during 2017 at a commercial pig farm in western Finland.

82

83 *Animals, experimental design, and management*

84 During pregnancy, sows were housed in groups of between 18 and 20 per pen,
85 where they were allowed *ad libitum* access to water and were fed a standard
86 pregnancy diet twice a day via an automatic liquid feeding system. A total of 30 sows
87 (Danish Yorkshire × Danish Landrace inseminated with Duroc semen; 12 parity 3, 15
88 parity 4, and 3 parity 5) were selected from five batches at farrowing intervals of two
89 weeks. The sows were allocated according to parity and backfat thickness measured
90 at P₂ (approximately 7 cm on both sides of mid-line at the level of the last rib) using
91 ultrasound (10.0 MHz linear array probe, MyLab™One VET, Esaote) prior to moving
92 them to the farrowing accommodation. All sows had farrowed more than 11 live born
93 piglets during the previous parturition, and had experienced only the closed crate
94 during previous parturition and lactation periods.

95 Approximately seven days prior to the expected parturition date, the sows were
96 moved to a farrowing and lactating unit in a temperature-controlled room (21 ± 1 °C),
97 and were separately housed in two different individual pens (Figure 1). The
98 treatments were: 1) CRATE: 15 sows were confined in farrowing crates (0.80 × 2.20
99 m) within pens (2.50 × 1.70 m), with fully slatted plastic floors in the piglet areas that
100 contained heating pads, and fully slatted metal floors in the sow areas, and 2) OPEN:
101 15 sows were housed in open farrowing crates, trapezoid in shape (0.80 × 2.20 ×
102 1.80 m; the sow area was therefore 2.86 m²) within pens (2.50 × 2.40 m), with fully
103 slatted plastic floors (4.00 m²) outside of the crates and partially (approximately
104 20 %) slatted plastic floors (2.00 m²) inside of the crates. In OPEN, approximately 20
105 litres of hay or straw were provided in a rack (80 × 45 × 20 cm, with a net interval of 9
106 cm) that was attached to one side of the crate. The OPEN pens contained wooden
107 piglet shelters in one corner with a plastic floor covered with rubber mats and a heat
108 lamp. All pens were connected to a concrete wall on one side and the remaining
109 sides were surrounded by a 60 cm high plastic fence. In OPEN, plastic barriers were
110 installed horizontally to prevent physical contact or movement of the sows between
111 neighbouring pens.

112 The temperature of the floor surface was measured using an infrared thermometer
113 (IR260 Extech®, Nashua, NH). The temperatures of the fully slatted plastic floor of
114 both housing systems, the rubber mats of the shelter in OPEN and the heating pad in
115 CRATE were maintained at approximately 21 °C, 28 °C and 35 °C, respectively,
116 during the experimental period. There was no induced delivery or parturition
117 assistance for these sows. Umbilical cords were broken by researchers if present,
118 after at least 20 seconds following birth. Thereafter, the piglets were lifted and dried
119 with towels, and were marked with their birth order number on their backs and

120 returned to the pick-up point. To minimize disturbance of the farrowing process and
121 sow behaviour, the researchers aimed to stand outside the sow area when
122 performing the procedure. No cross-fostering, euthanasia, or any medical treatments
123 for piglets were performed during T24.

124

125 *Data collection*

126 *Litter size, birth order, and piglet mortality.* The researchers attended all parturitions
127 and therefore litter size could be recorded separately for stillborn and live born piglets
128 at birth. Stillbirths were determined as found dead at birth (no respiration activity and
129 no movement of the limbs or body). Mummified piglets were not included in the
130 study. Birth order of each piglet was recorded, and thereafter relative birth order of
131 the piglets was calculated using the formula $[(\text{birth order} - 1) / (\text{Total born piglets} - 1)]$.
132 Piglet mortality, through crushing or other factors except crushing during T24,
133 was determined on the farm. Piglet death resulting from crushing was defined
134 according to visible signs of trauma, such as bruised corpses or broken bones and it
135 was verified by video data analyses when necessary. A detailed post-mortem
136 examination was not carried out in the current study.

137

138 *Behavioural observations.* All sows and their offspring were video-recorded using
139 internet protocol (IP) cameras (Niceview NICECAN420WL, Niceview Corp.) during
140 T24. One camera was mounted in one corner of each pen 2.0 m above floors in
141 CRATE, and two cameras per pen were mounted in opposite corners 2.0 m above
142 the floor in OPEN. The sequence output was recorded using IP-camera software
143 (Blue Iris v.2.64, Perspective Software Corp.). The CowLog v.3.0.2 (Hänninen and

144 Pastell, 2009) behavioural observation program and a media player (MATLAB[®],
145 MathWorks, Inc.) were used for data analyses by two trained observers. The display
146 resolution was 640 x 480 pixels, and the frame rate was 5 FPS. Farrowing duration
147 was determined as time interval between the expulsions of the first and the last piglet
148 born, including stillbirths. Cumulative farrowing duration was regarded as the elapsed
149 time between the birth of the first piglet and that of each subsequent piglet. Birth
150 interval was regarded as time difference between births of two consecutive piglets.
151 Piglet vitality was scored from the video recordings for 15 s immediately after birth.
152 The score for piglet vitality was determined using parameters according to Baxter *et*
153 *al.* (2008). The scales for vitality score were: 1) 1: no movement or breathing 2) 2: no
154 body or leg movements but the piglet is breathing or attempting to breathe, 3) 3:
155 some movement, breathing or attempting to breathe and rights itself onto its sternum,
156 4) 4: good movement, good breathing, standing or attempting to stand. Durations of
157 body postures, comprising standing (all four legs are straight), sitting (forelegs are
158 straight while posterior touch the floor), sternal lying (sow is lying with sternal
159 recumbence without udder exposed), and lateral lying (sow is lying with lateral
160 recumbence with udder exposed), and the total number of postural changes of the
161 sows were recorded. The onset of bar-biting behaviour was defined as when sows bit
162 or licked the farrowing crate or feed trough for longer than 5 s, and the end was
163 defined as no performance for longer than 30 s. Manipulation of the hay rack was
164 observed but not included in bar-biting behaviour. Time from birth to first udder
165 contact by the piglet (BUC) was determined as time from birth to first nose contact by
166 the piglet at any point of the udder. Trapping was defined as a piglet being caught
167 under any part of the sow whilst the sow changed a posture, and the total number of
168 piglet trapping events was recorded. Suckling behaviour was observed from the birth

169 of the last piglet until T24. The start of suckling behaviour was defined as when more
170 than half of the piglets in a litter were performing sucking movements (a teat in the
171 mouth) at the udder. The end of suckling was defined as when more than half of the
172 piglets had left the udder or remained inactive near the udder. Udder massage was
173 included in the observation of suckling behaviour since it was difficult to separate
174 actual suckling from udder manipulation during the current experimental period. The
175 piglets that appeared in blind spots where the view was obstructed either by the sow
176 or by the farrowing crate, were excluded from the behaviour analysis in this study.

177

178 *Salivary cortisol collection and assays.* Saliva samples from each sow were collected
179 on synthetic swabs (Salivette® Cortisol, Sarstedt, Nümbrecht, Germany) on days 1,
180 2, and 3 before parturition, approximately 1 h after the morning feeding (0700 h). The
181 swabs were fixed with forceps and placed around the back teeth for approximately 1
182 min. The collected saliva samples in the swabs were stored at -20 °C for subsequent
183 analysis of cortisol. All saliva samples were centrifuged for 10 min at 1000 × g
184 immediately before analysis. Concentrations of salivary cortisol were analysed in
185 duplicate with a radioimmunoassay kit (ImmuChem™ CT cortisol kit, MP
186 Biomedicals, Orangeburg, NY, USA) using a modified RIA method for saliva. Salivary
187 cortisol assays are described in more detail in *Yun et al. (2017)*.

188

189 *Statistical analysis*

190 SAS v.9.4 (SAS Institute Inc., NC, USA, 2012) was used for statistical processing of
191 all the data. PROC UNIVARIATE with the Shapiro-Wilk test was used to test
192 normality of the data. A PROC MIXED model was fitted to the data for farrowing

193 duration, birth interval, litter size, vitality score, postnatal piglet mortality rate, and
194 cortisol concentrations. Housing type was used as a fixed effect and a batch as a
195 random effect. Parity as a fixed effect was used to test its effect on farrowing duration
196 and birth interval. Repeated measure tests with a 'first order autoregressive' structure
197 were used for cortisol data analysis for days 1, 2, and 3 before the parturition. The
198 experimental unit was mean value per litter, and data are presented as LSmeans \pm
199 SE.

200 A Poisson distribution with a logarithmic link function was fitted to PROC GLIMMIX to
201 analyse the effects of housing systems on postural changes, duration of sow
202 postures, and incidences of bar-biting and piglet trapping during parturition (i.e.
203 between the first and the last piglet born) and T24. Suckling behaviour and BUC
204 were analysed using a nonparametric test with rank transformation. The ranking was
205 done using the BLOM algorithm. Thereafter, a PROC GLM model was fitted to the
206 ranked data including housing type as a fixed effect. Data for sow and litter behaviour
207 are presented as means \pm SEM. All the correlations in the study were tested using
208 Spearman rank correlation coefficients (r).

209 A binomial distribution with a logit model was fitted to PROC GLIMMIX to evaluate
210 parameters (i.e. total litter size, relative birth order, cumulative farrowing duration,
211 birth interval, vitality score, and BUC) of surviving and dead piglets. Mortality
212 variables (survival vs. death) for each housing type (CRATE vs. OPEN) were used as
213 independent variables. The piglet was the experimental unit, and the sow nested
214 within the batch was used as a random effect. Data for observations of surviving and
215 dead piglets are presented as means \pm SE.

216

217 **Results**

218 The average backfat thickness and parity were 18.5 (\pm SD 3.5) mm and 3.8 (\pm SD
219 0.7) for the CRATE sows, and 18.3 (\pm SD 3.3) mm and 3.6 (\pm SD 0.6) for the OPEN
220 sows, respectively.

221

222 *Farrowing process and litter characteristics*

223 Average duration of farrowing of all sows was 369 (\pm SD 204) min. Farrowing
224 housing systems did not affect duration of farrowing or birth interval (Table 1). There
225 was no effect of parity on farrowing duration or birth interval in the present study.

226 Litter size, including stillborn and live born piglets, or the vitality score of the live born
227 piglets did not differ between the housing systems (Table 1). Farrowing duration and
228 birth interval were not correlated with litter size or vitality score. In addition, no
229 correlations were established between those parameters and piglet mortality.

230 A total of 563 piglets were produced from the 30 sows. Of these, 518 were born alive
231 and used for mortality analyses during T24. Of the 518 live born piglets, 40 died by
232 crushing and 12 died for other reasons during T24. Total and crushed piglet mortality
233 rates were higher ($P < 0.001$, for both, Table 1), and the rate of mortality due to other
234 reasons tended to be higher in OPEN ($P = 0.08$, Table 1), when compared with those
235 in CRATE.

236

237 *Behavioural observations of sows*

238 The data for sow behaviour during parturition are presented as frequency or duration
239 per hour since the length of parturition differed between sows. During parturition,

240 sows in OPEN tended to show higher frequency of postural change and spend longer
241 times standing, when compared with the CRATE sows ($P = 0.06$, $P < 0.05$,
242 respectively, Table 2). Similarly, these tendencies were also shown during T24 ($P =$
243 0.07 , $P = 0.10$, respectively, Table 2). During parturition, the sows in OPEN were
244 associated with longer durations for sternal lying down than those in CRATE ($P <$
245 0.05 , Table 2). Frequency of bar-biting behaviour tended to be higher in sows with
246 OPEN during parturition ($P = 0.09$, Table 2), and it was higher for OPEN sows during
247 T24 ($P < 0.05$, Table 2), when compared with values for CRATE sows. Frequency
248 and total duration of bar-biting behaviour were correlated with the numbers of
249 postural changes ($r = 0.63$, $P < 0.001$; $r = 0.68$, $P < 0.001$, respectively), and duration
250 of standing ($r = 0.42$, $P < 0.05$; $r = 0.55$, $P < 0.01$, respectively) of the sows ($n = 30$)
251 during T24. During the experimental period, none of the sows were observed using
252 hay from the racks.

253 Piglet trapping events were more frequently observed in OPEN during parturition and
254 T24 ($P < 0.05$, $P < 0.01$, respectively, Table 3), compared with in CRATE. During
255 T24, the trapping events were correlated with the number of postural changes and
256 duration of standing ($r = 0.50$, $P < 0.0001$; $r = 0.44$, $P < 0.0001$, respectively), and
257 with frequency and total duration of bar-biting behaviour ($r = 0.60$, $P < 0.001$; $r =$
258 0.53 , $P < 0.01$, respectively) of the sows ($n = 30$). Frequency of suckling did not differ
259 between the housing systems, but average duration of suckling per hour tended to be
260 longer for CRATE than for OPEN piglets until T24 after the end of parturition ($P =$
261 0.07 , Table 3).

262 Frequency and total duration of bar-biting behaviour of the sows ($n = 30$) were
263 correlated with the rate of total live-born mortality (Table 4), and the rate of mortality
264 caused by crushing (Table 4). During T24, the rates of total live-born mortality and

265 mortality caused by crushing were also correlated with the number of postural
266 changes (Table 4), duration of standing (Table 4), and piglet trapping events (Table
267 4) by the sows (n = 30).

268

269 *Characteristics of surviving and dead piglets*

270 During T24, four out of the 259 live born piglets were dead in CRATE, while 47 out of
271 the other 259 live born piglets were dead in OPEN. When comparing dead piglets
272 with survivors, piglet mortality during T24 was not influenced by litter size, cumulative
273 farrowing duration, birth interval, or vitality score in either housing system. Dead
274 piglets tended to be born earlier than survivors ($P = 0.07$, Table 5) in OPEN, but no
275 difference was found among CRATE piglets. Dead piglets had longer BUC than
276 survivors in both CRATE and OPEN ($P < 0.001$, $P < 0.05$, respectively, Table 5).
277 There was a negative correlation between vitality score and BUC in CRATE (n = 173,
278 $r = -0.25$, $P < 0.001$), but no correlation was established in OPEN (n = 116, $r = -$
279 0.08 , $P = 0.41$). The average BUC of the litter in OPEN tended to be longer than that
280 in CRATE (means \pm SEM; 25 ± 4.3 vs. 37 ± 5.0 min, $P = 0.08$). The average BUC of
281 the litter was positively correlated with the total mortality rate during T24 (n = 30, $r =$
282 0.41 , $P < 0.0001$).

283

284 *Salivary cortisol concentrations of prepartum sows*

285 Salivary cortisol concentrations of the sows in OPEN were greater on day 3 before
286 parturition ($P < 0.05$, Figure 2), and tended to be greater on day 1 before parturition
287 ($P < 0.10$, Figure 2), compared with those in CRATE. Repeated measures showed
288 that salivary cortisol concentrations of the sows were greater in OPEN than in

289 CRATE during the three days before parturition (3.0 ± 0.4 vs. 2.0 ± 0.3 , $P < 0.05$).
290 Prepartum salivary cortisol concentrations were not correlated with farrowing
291 duration, behavioural observations of the sows, or postnatal piglet mortality during
292 T24.

293

294 **Discussion**

295 The current findings support those of previous studies suggesting that a potential
296 increase in the number of crushed piglets in hyperprolific sows in loose housing
297 systems represents a major cause of postnatal piglet mortality (for a review, see
298 Rutherford *et al.*, 2013). The present results showed that postnatal piglet mortality
299 caused by crushing, or for other reasons, could be associated with a different
300 behavioural pattern in the sow during 24 h after the onset of parturition. Furthermore,
301 the current study established potential factors that increase immediate postnatal
302 piglet mortality, from the perspectives of neonatal piglet features and housing
303 structure *per se* in two different housing systems with large litters.

304 The sows in the current open crate system showed more incidences of bar-biting and
305 tended to show more postural changes during farrowing and the first 24 h following
306 the onset of parturition, compared with the sows in the closed farrowing crate.

307 Similarly, the studies by Melisova *et al.* (2014) and Hales *et al.* (2016) demonstrated
308 that sows in loosed housing showed more postural changes in the first three days
309 after parturition than sows in confined system. The larger space may result in more
310 postural changes including rolling in the loose-housed sows (Weary *et al.*, 1996). On
311 the other hand, Harris and Gonyou (1998) suggested that the increased postural
312 change or restlessness could indicate the state of discomfort of the peripartum gilts,

313 irrespective of farrowing housing. Our previous study by Yun *et al.* (2015) has also
314 demonstrated that standing and locomotion activity could be increased in crated
315 sows when they were confined suddenly from the onset of parturition, compared with
316 crated sows adapted to confinement since the prepartum period. Furthermore, the
317 present study revealed that the number of postural changes and duration of standing
318 were positively related to the incidence of bar-biting during 24 h after the onset of
319 parturition. Considering that bar-biting is known to be a stress indicator (e.g.
320 Thodberg *et al.*, 2002a), the current findings may consequently imply that the sows in
321 the open crate were discomforted during parturition and postpartum. In the open
322 crate system used in this study, the sows were often observed slipping on the floor of
323 the sow area. In addition, the sows might have been uncomfortable with the piglets
324 sharing the sow area where the protective structures were not suitably designed to
325 support the sows for lying down carefully. We therefore speculate that sows
326 previously used to farrowing crates were experiencing additional stress when
327 attempting to avoid lying down on piglets in the current open system, in particular
328 with the large litter size of the sows in the current study.

329 This study demonstrated that the piglets in the open crate were more exposed to the
330 risk of being trapped by the sows, and that this resulted in the higher mortality due to
331 crushing when compared with figures from the farrowing crate. This is in line with
332 reported results suggesting that crushing by the sows can be a major cause of
333 postnatal piglet mortality in loose housing (e.g. Pedersen *et al.*, 2006). The current
334 results for the associations between sow behavioural observations and postnatal
335 piglet mortality including crushing also support previous findings that crushing,
336 particularly in loose housing, could depend on standing-to-lying down behaviour
337 (Weary *et al.*, 1998), and the number of postural changes (Thodberg *et al.*, 2002b;

338 Chidgey *et al.*, 2017) of the sows. It is also suggested that the risk of being crushed
339 can be increased in starved piglets, mainly due to compromised viability (e.g.
340 Pedersen *et al.*, 2006). It therefore appeared that the piglets in the current open crate
341 system might be at disadvantage when compared with those in the closed crate
342 system in terms of the risk of being crushed since a tendency for reduced suckling
343 rate was shown in the open crate system. Furthermore, according to recent findings
344 by King *et al.* (2018), sows with previous experience of crating could have increased
345 piglet mortality when given more space at farrowing in a subsequent parity because
346 the sows had no chance to learn to reduce the risk of piglet crushing. Our present
347 results suggest that this may indeed be the case since all the sows in this
348 experimental herd had experienced only the crate during previous parturition and
349 lactation periods. Other studies have shown that the incidence of crushing in pre-
350 weaning piglets can be reduced by protective structures such as a sloping wall and a
351 protective rail in loose-housed systems (Damm *et al.*, 2006; Andersen *et al.*, 2007).
352 We therefore suggest that the high piglet mortality in the open crate in this study
353 could have been reduced by installing further protective structures. It might be
354 beneficial to install such structures in particular on the wall side, as sows prefer to lie
355 down against a solid wall (e.g. Damm *et al.*, 2006).

356 During parturition and early lactation, sows need a certain degree of space to inspect
357 and group their offspring before lying down (for a review, see Baxter *et al.*, 2011).

358 Weber *et al.* (2009) suggested that if this space in loose housing systems is less than
359 5 m², it could interrupt piglet gathering behaviour, which in turn increases piglet
360 mortality compared with the crating system. This could also be one explanation for
361 the current results for increased piglet mortality in the open crate where the extent
362 (2.86 m² in total) of the sow area was smaller than this requirement. From another

363 structural point of view regarding increased piglet mortality, thermoregulation of
364 neonates could be compromised in loose-housed pens, either because floor heating
365 for the piglets is often absent or because piglets tend to be born further away from
366 the heated site, as reported by Vasdal *et al.* (2009) and Baxter *et al.* (2015). It is
367 suggested that cold could induce hypothermia and thus reduce piglet viability, which
368 in turn could elevate risks of the piglets being crushed and dying (Baxter *et al.*, 2008;
369 Weber *et al.*, 2009; Pedersen *et al.*, 2011). Moreover, the higher risk of crushing was
370 apparent when piglets stayed close to the udder in an attempt to keep warm (Weary
371 *et al.*, 1996; Weber *et al.*, 2009). A recent study by Chidgey *et al.* (2017) also
372 demonstrated that piglets between the ages of 1 and 6 days spent more time inactive
373 near the udder of the loose-housed sows to maintain body temperature compared
374 with piglets of the crated sows, and that this would have resulted in the increase in
375 preweaning piglet mortality in the loose-housed pen studied by Chidgey *et al.* (2015).
376 Although a piglet shelter with a heat lamp was present in the open crate used in the
377 current study, piglets were seldom observed entering the shelter spontaneously
378 during the experimental period. This may be explained by a recent finding that the
379 heating with incandescent bulbs reduced the time that piglets stay in the creep area
380 in early lactation, compared with radiant heating system (Larsen *et al.*, 2017). Based
381 on such evidence, it was therefore assumed that the thermoregulatory capacity of the
382 postnatal piglets in the open crate might have been impaired, possibly due to being in
383 a larger pen with improper heating system, compared with the closed crate.
384 Consequently, the potentially lowered piglet body temperature might have resulted in
385 increased crushing and subsequent death of the neonates.

386 The current findings, similar to those of Rohde Parfet and Gonyou (1988), Baxter *et*
387 *al.* (2008), and Vasdal *et al.* (2011), confirmed that time from birth to first udder

388 contact by the neonates played an important role in postnatal piglet survival. First
389 suckling behaviour by the neonates, which was determined in those reported studies,
390 was not observed in the present study due to technical restrictions. Based on the
391 evidence presented by Rohde Parfet and Gonyou (1988), however, we believe that
392 the time from birth to first suckling can be predicted by the time from birth to first
393 udder contact, which was analysed in this study. Baxter *et al.* (2008) and Vasdal *et*
394 *al.* (2011) revealed that the higher vitality score the piglets had at birth, the earlier
395 they achieved first suckling. This is in line with the results for the closed crate in this
396 study, although it should be noted that a rather weak rank correlation was reported.
397 However, the current results indicated no correlations in the open crate. Considering
398 a tendency for longer duration from birth to first udder contact established for the
399 open crate, presumably the advantages for the piglets with good vitality at birth did
400 not contribute to shortening the time from birth to first udder contact in the open
401 crate. This may be because the space was larger and the sows were more active
402 during parturition, as shown in the present study. In addition, this larger space and
403 greater activity of the sow might have brought about the finding that early birth order
404 was associated with a higher risk of death in the open crate. Meanwhile, all the
405 piglets included in the present study were completely towel dried after birth, in order
406 to weigh them for the follow-up study. According to Vasdal *et al.* (2011), latency to
407 first suckling could be influenced by drying the neonate piglets in loose-housed pens.
408 Therefore, this procedure, used in the current study, cannot be excluded from the
409 factors affecting the data for the mortality rate and time from birth to first udder
410 contact by the piglets and their associations with vitality score at birth.

411 Increasing farrowing duration has been a growing concern in modern pig herds with
412 large litter size since it was shown to be associated with increases in stillbirth rate or

413 postnatal piglet death (Herpin *et al.*, 1996; Van Dijk *et al.*, 2005). Contrary to those
414 findings, the current results did not show that the farrowing process was associated
415 with litter size, including stillbirths, piglet vitality at birth, or postnatal mortality.
416 Meanwhile, the average number of total piglets born per litter in the present study
417 was relatively high compared with those reported by Herpin *et al.* (1996) or Van Dijk
418 *et al.* (2005) (18.8 vs. 10.6 or 11.7 piglets per litter, respectively). Furthermore, the
419 selection of the current experimental sows was set to minimize sow-related factors,
420 such as parity, which affect litter size and piglet mortality. Therefore, no conclusion
421 can be reached in the present study on the association between farrowing duration,
422 litter size and parity.

423 The present study revealed that the open crate system increased salivary cortisol
424 concentrations of prepartum sows, compared with the crated system. This is similar
425 to recent findings by Hales *et al.* (2016) demonstrating that sows in loose housing
426 had higher salivary cortisol levels on one day before parturition. During the prepartum
427 period, the provision of a wider space could increase sow activity, including nest-
428 building behaviour (Yun *et al.*, 2014). It may therefore be speculated that the
429 elevated salivary cortisol levels observed in the sows of the current open crate could
430 be related with more vigorous activities prepartum. However, to our knowledge, there
431 is little research to investigate the activity effect per se on the salivary cortisol levels
432 in prepartum sows. In contrast, lower salivary cortisol levels of the prepartum sows
433 confined in the farrowing crate can be explained by hypocortisolism, indicating that
434 chronic or repeated stress can cause a blunted cortisol response (Fries *et al.*, 2005;
435 Valros *et al.*, 2013). On the other hand, in comparison with the closed crate, the open
436 crate used in this study may have exposed sows to some additional stressors.
437 Specifically, the experimental pen was enclosed by a low fence (height 60 cm) on

438 three sides, with one side adjoining the wall. Thus, the sows were often exposed to
439 farm staff and neighbouring sows since they were allowed to move freely within the
440 sow area of the open crate. In nature or semi-natural conditions, however, it is widely
441 known that prepartum sows prefer nesting sites isolated from their social group
442 (Stolba and Wood-Gush, 1984; Mayer *et al.*, 2002). Even under commercial
443 conditions, domesticated sows also preferred to farrow more distantly from
444 neighbouring sows in order to achieve isolation (Baxter *et al.*, 2015). In the current
445 open crate, however, the sows were unable to properly isolate themselves from sows
446 of the neighbouring pen. Thus, this might, in turn, increase salivary cortisol levels in
447 the prepartum sows. Similarly to the study by Hales *et al.* (2016), however, we failed
448 to reveal interactions between prepartum salivary cortisol levels and postpartum sow
449 behaviour, including bar-biting. Further studies therefore are needed to demonstrate
450 the causal relationship between salivary cortisol levels and behaviour observations in
451 peripartum sows.

452 In conclusion, immediate postnatal piglet mortality, mainly due to crushing, may be
453 increased in the non-crating system with large litters, especially if the pen is poorly
454 designed, heating system for the piglet is impaired, or space allowance for sows is
455 inadequate. The present results suggest that it can also be associated with frequency
456 of postural changes, duration of standing, and incidence of piglet trapping in
457 postpartum sows in the open crate system. Therefore, in order to achieve maximum
458 piglet survival in the non-crating farrowing system with large litters, farrowing housing
459 should be considered to minimize incidence of crushing from potential increases in
460 these behaviours of postpartum sows.

461

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468

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565 veterinary science*, 114, 80-85.

566

567 **Table 1.** *Farrowing process and litter characteristics in sows with the farrowing crate*
 568 *closed (CRATE, n=15) or open (OPEN, n=15)¹.*

	Treatments		SE	P value
	CRATE	OPEN		
Farrowing process, min				
Farrowing duration	338.0	399.4	52.9	0.42
Birth interval	19.7	22.3	3.1	0.56
Litter size, n				
Total born	18.1	19.3	1.4	0.27
Stillborn	1.3	1.7	0.4	0.41
Live-born	16.9	17.5	1.1	0.53
Vitality score (1 – 4)	2.7	2.6	0.2	0.84
Postnatal piglet mortality, % ²				
Total	1.4	17.9	2.3	< 0.001
Crushed	0.4	14.6	2.1	< 0.001
Other causes	1.1	3.3	1.2	0.08

569 ¹Data are presented as LSmeans with standard errors.

570 ²Percentages for postnatal piglet mortality resulting from crushing and other causes during
 571 the first 24 h after the onset of parturition.

572

573 **Table 2.** Behavioural observations during the first 24 h after the onset of parturition
 574 (T24) for sows housed in the closed (CRATE, n=15) or open (OPEN, n=15) farrowing
 575 crates¹.

	Treatments		P value
	CRATE	OPEN	
Parturition			
Postural changes, n/h ²	1.9 ± 0.6	3.9 ± 1.0	0.06
Standing/locomotion, min/h ³	0.9 ± 0.4	1.9 ± 0.9	< 0.05
Sitting, min/h	0.6 ± 0.2	1.0 ± 0.3	0.29
Lying sternally, min/h	1.5 ± 0.7	5.1 ± 1.2	< 0.05
Lying laterally, min/h	52.6 ± 4.1	48.8 ± 4.0	0.50
Bar-biting			
Frequency, n/h	0	0.1 ± 0.0	0.09
Total duration, min/h	0	0.2 ± 0.1	0.26
T24			
Postural changes, n	39.4 ± 9.2	68.3 ± 12.1	0.07
Standing/locomotion, min	26.5 ± 8.5	51.5 ± 11.8	0.10
Sitting, min	12.6 ± 3.8	15.9 ± 4.2	0.57
Lying sternally, min	184.0 ± 40.3	150.9 ± 36.5	0.55
Lying laterally, min	1234.6 ± 42.5	1225.7 ± 42.4	0.88
Bar-biting			
Frequency, n	0.1 ± 0.1	1.4 ± 0.4	< 0.05
Total duration, min	0.4 ± 0.3	2.0 ± 0.8	0.09

576 ¹Data for behaviour observations present means ± SEM.

577 ²Frequency / farrowing duration (h).

578 ³Total duration / farrowing duration (h).

579

580 **Table 3.** *Maternal characteristics of sows housed in the closed (CRATE, n = 15) or*
 581 *open (OPEN, n = 15) farrowing crates during the first 24 h after the onset of*
 582 *parturition (T24) ¹.*

	Treatments		P value
	CRATE	OPEN	
Piglet trapping event			
Parturition, n/h ²	0.0	0.2 ± 0.1	< 0.05
T24, n	0.1 ± 0.1	4.4 ± 0.7	< 0.01
Suckling, T24 after parturition			
Total frequency, n	30.2 ± 3.1	32.5 ± 3.2	0.50
Average duration per hour, min/h ³	25.6 ± 2.4	21.3 ± 2.2	0.07

583 ¹Data are presented as means ± SEM.

584 ²Frequency / farrowing duration (h).

585 ³Total suckling duration / [24 – farrowing duration (h)].

586

587 **Table 4.** Spearman rank correlation coefficients (*r*) between behavioural
 588 observations for sows and postnatal piglet mortality rates during 24 h after the onset
 589 of parturition (*n* = 30).

Piglet mortality ¹		Bar-biting		Other behavioural observations ²		
		Frequency	Total duration	Postural changes	Standing	Trapping events
Total live-born	<i>r</i>	0.45	0.49	0.38	0.31	0.87
	<i>P</i>	0.01	< 0.01	< 0.001	< 0.01	< 0.001
Caused by crushing	<i>r</i>	0.51	0.46	0.37	0.32	0.93
	<i>P</i>	< 0.01	0.01	< 0.001	< 0.01	< 0.001

590 ¹The rates of total piglet mortality (*n* = 51 out of the 518 live born piglets) and mortality
 591 caused by crushing (*n* = 39 out of the 518 live born piglets).

592 ²Behaviour observations for the sow present the numbers of postural changes, duration of
 593 standing, and piglet trapping events.

594

595 **Table 5.** *Characteristics of surviving and dead piglets in the closed (CRATE) and*
 596 *open (OPEN) farrowing crates during 24 h after the onset of parturition¹.*

	CRATE				OPEN				P value	
	Survived	n	Died	n	Survived	n	Died	n	Crate	Open
Litter size ²	19.2 ± 0.3	255	19.5 ± 1.9	4	19.2 ± 0.2	214	19.4 ± 0.4	45	0.88	0.63
R. birth order ³	0.50	236	0.61	4	0.54	214	0.35	45	0.19	0.07
BUC, min ⁴	25 ± 2.2	206	53 ± 42.2	3	34 ± 2.7	190	52 ± 10.4	31	< 0.001	0.03

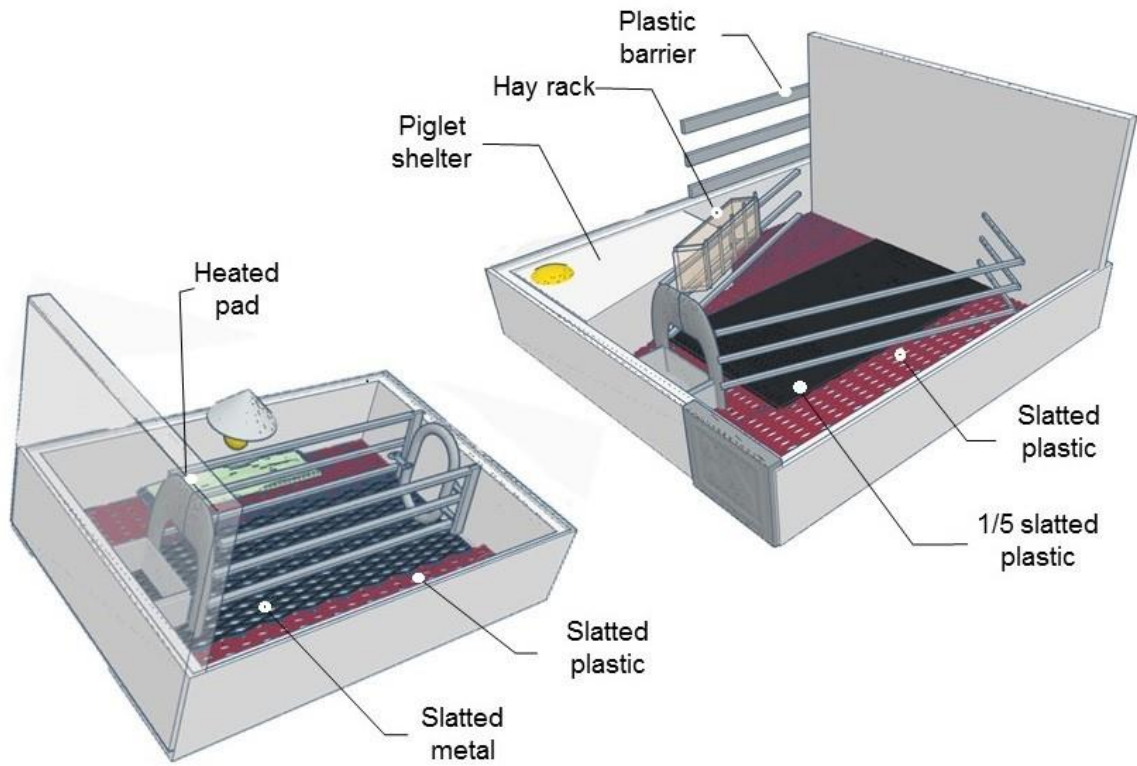
597 ¹Data are presented as means ± SE, except relative birth order.

598 ²The average number of total born piglets in the litter.

599 ³Relative birth order was calculated as (birth order – 1) / (Total born piglets - 1), and the
 600 results presented by medians.

601 ⁴Time from birth to nose contact by the piglet at any point of udder area.

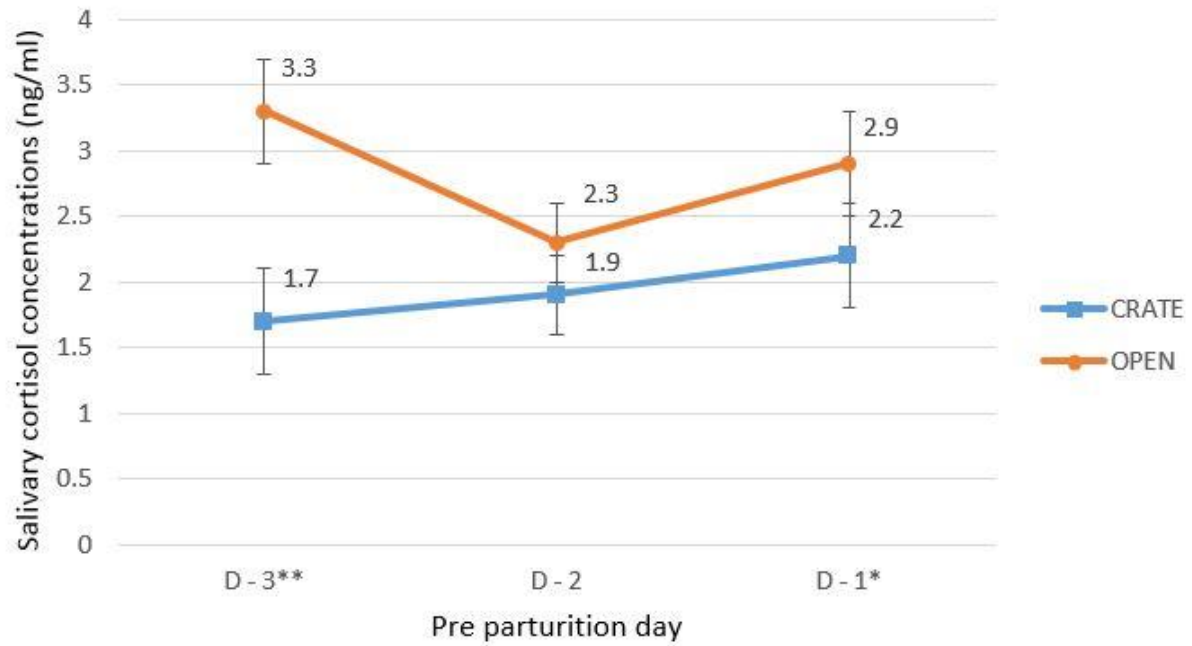
602



603

604 **Figure 1.** Schematic diagram of a farrowing CRATE (Left panel; sow area = 0.80 ×
 605 2.20 m, pen size = 2.50 × 1.70 m) and an OPEN crate (Right panel; sow area = 0.80
 606 × 2.20 × 1.80 m, pen size = 2.50 × 2.40 m).

607



608

609 **Figure 2.** Salivary cortisol concentrations of sows in the closed farrowing crate

610 (CRATE: n = 15) or open (OPEN: n = 15) on days 1, 2, and 3 before parturition.

611 Values are presented as LSmeans with SE bars. * $P < 0.10$, ** $P < 0.05$.

612