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4 **A systematic review of assessment and conservation management in large floodplain**
5 **rivers – actions postponed**

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- 24 • We review approaches to the assessment of ecological condition and conservation
25 management of large floodplain rivers.
26 • The review highlights research gaps and emphasizes the importance of developing
27 more holistic indicators of ecosystem condition.
28 • Indicators that better reflect landscape level changes in structure and functioning of
29 floodplain rivers are needed.

- 30 • Studies that distinguish the role of different river floodplain habitat types in
31 ecosystem services provision are needed.
- 32 • More effective spatial conservation prioritization tools are needed at the river
33 floodplain scale.

34 Abstract

35 Large floodplain rivers (LFRs) are currently threatened by high levels of human alteration,
36 and utilization is expected to grow. Assessments to determine ecological condition should
37 address the specific environmental features of these unique ecosystems, while conservation
38 management requires balancing maintenance of good ecological condition with the ecosystem
39 services provided by LFRs. However, a systematic evaluation of the scientific literature on
40 assessment of ecological condition of LFRs and trade-offs to guide conservation management
41 is currently lacking. Here, we reviewed 153 peer reviewed scientific articles to characterize
42 methodological patterns and trends and identify knowledge gaps in the assessment of LFRs.
43 Our review revealed that most approaches used classical biotic indices for assessing
44 ecological condition of LFRs. However, the number of articles specifically addressing the
45 peculiarities of LFRs was low. Many studies used watershed level surveys and assessed
46 samples from small streams to large rivers using the same methodological protocol. Most
47 studies evaluated the status of main stem river habitats only, indicating large knowledge gaps
48 with respect to the diversity of river-floodplain habitat types or lateral connectivity. Studies
49 related to management were oriented toward specific rehabilitation actions rather than broader
50 conservation of LFRs. Papers relating to ecosystem services of LFRs were especially few.
51 Most importantly, these studies did not distinguish the different functional units of river-
52 floodplain habitat types (e.g. eopotamon, parapotamon) and their role in ecosystem services
53 provision. Overall, the number of articles was too low for meaningful analyses of the
54 relationships and tradeoffs between biodiversity conservation, maintaining ecological
55 condition, and use of ecosystem services in LFRs. Our review highlights research gaps and
56 emphasizes the importance of developing more holistic indicators of ecosystem condition,
57 which better reflect landscape level changes in structure and functioning of LFRs. As human
58 use of water and land increases, the need to develop more effective spatial conservation
59 prioritization tools becomes more important. Empirical research in this field can aid in solving
60 conflicts between socio-economic demands for ecosystem services and nature conservation of
61 LFRs.

62 key words: ecological condition, biological integrity, ecological status, rehabilitation,
63 restoration, biodiversity, ecosystem services

64

65 Introduction

66 Large floodplain rivers (LFRs) are the lifelines of our landscapes. By draining large
67 catchment areas, they integrate environmental, topographic and hydro-geomorphic conditions.
68 LFRs are four dimensional systems, with longitudinal connectivity along the river gradient,
69 lateral connectivity to the floodplain, vertical connections with the substrate and the
70 groundwater layer, and having a temporal trajectory (Ward, 1989). Large river habitats can be
71 considered hierarchically nested from regions down to river reaches, with quality and spatial
72 arrangement of habitat units at the finer spatial scales controlled by processes at coarse spatial
73 levels (Gurnell et al., 2016). Regularly occurring floods and droughts make rivers
74 disturbance-driven systems subjected to periodic rejuvenation of habitats through erosion and
75 deposition processes. As a result, LFRs provide a dynamic mosaic of habitats in various
76 successional states that differ in complexity, connectivity and patchiness (e.g., Thorp et al.,
77 2006), which is usually considered the foundation of their exceptionally high biodiversity
78 (e.g., Tockner and Ward, 1999).

79
80 At the same time, LFRs are subject to intense use by humans, including transformation,
81 reclamation, and degradation of the natural landscape (Tockner and Stanford, 2002; Peipoch
82 et al., 2015). Ancient civilizations arose on floodplains by cultivating the fertile land.
83 Increasing agriculture and urbanization, and the associated river regulation (e.g.
84 channelization, building of dams, flood control by levees) over time have substantially
85 reduced the area as well as the spatial and temporal complexity of LFRs. For example, more
86 than 50% of the world's population currently lives within 3 km of freshwaters (Kummu et al.,
87 2011), and more than 600,000 km of inland waterways have been altered for navigation
88 worldwide (CIA, 2002). The net result is constriction of floodplains by more than 50% of the
89 historical expanse (for details, see Tockner and Stanford, 2002). In Europe, which is the most
90 human dominated continent, up to 90% of former floodplains have been degraded to
91 functional extinction (Tockner et al., 2010). Modification and degradation is ongoing due to
92 agriculture, urbanization, navigation and development of large hydropower projects, making
93 LFRs the most threatened ecosystems on Earth (Arthington et al., 2010; Sommerwerk et al.,
94 2010).

95 In sum, LFRs are highly complex natural systems of high biodiversity and societal value, but
96 severely degraded and in urgent need of protection and rehabilitation. It shall be noted here
97 that rehabilitation is used throughout this article to reference all measures and attempts to
98 mitigate degradation and to improve ecosystem functions and processes. This acknowledges
99 the persistence and irreversibility of certain uses and changes, respectively, and the
100 corresponding impossibility to restore LFRs to historical or pristine states (i.e. restoration).
101 Due to their size, inherent complexity and integrative nature, LFRs are costly to sample and
102 assess (e.g. de Leeuw et al., 2007; Flotemersch et al., 2011). Broader challenges include the
103 need to identify and prioritize the most pressing stressors on LFRs while balancing
104 conservation and rehabilitation of ecological condition with the diverse benefits that LFRs
105 provide to society (i.e. ecosystem services; see Fig. 1). Accordingly, examples of in-depth
106 assessment of pressure effects, rehabilitation measures in or rehabilitation guidance for LFRs
107 are rather scarce (e.g. Zajicek et al., 2018). Correspondingly, in Germany an analysis of the
108 first river basin management plans implementing the European Water Framework Directive
109 (WFD, 2000/60/EEC) revealed that huge knowledge gaps were evident (especially for large
110 rivers), and mostly conceptual measures were planned (Kail and Wolter, 2011). Trade-offs
111 and synergies between the spatial distribution of ecological condition and ecosystem services
112 have to be understood and quantified. LFR management is expected to either spare the land

113 for biodiversity conservation or for human use, or to share it between conservation and use for
114 the joint benefit of both nature and the society (Cordingley et al., 2016; Doody et al., 2016).
115 This evaluation procedure requires scientifically robust methods that can assess the ecological
116 or conservation status of LFRs and also identify optimal solutions for the allocation of
117 resources (i.e. prioritization of the landscape for conservation/rehabilitation and/or for use).

118 This systematic review aims to evaluate status and progress in assessing and managing LFRs,
119 defining research gaps and future research avenues. Several research and review articles
120 emphasize the importance of natural patterns and processes in the effective conservation of
121 LFRs (e.g. Jungwirth et al., 2002; Thorp et al., 2010). However, a systematic evaluation of
122 assessment approaches for LFRs and how well they address societal goals of maintaining
123 good ecological condition, conserving biodiversity, and capitalizing on ecosystem services is
124 currently lacking. Consequently, we conducted a systematic review to summarize trends in
125 the assessment of ecological condition, conservation and ecosystem services of LFRs.
126 Specifically, we asked the following two questions: 1) how is ecological condition of LFRs
127 assessed, and 2) how can maintenance of ecological condition be balanced with use of
128 ecosystem services of LFRs?

129 **Materials and Methods**

130 We conducted a systematic evaluation of the peer-reviewed literature relating to the
131 determination, conservation and rehabilitation of ecological condition, the conservation of
132 biodiversity and/or the use of ecosystem services in LFRs. We performed a literature search in
133 the Web of Science (WoS; <http://apps.webofknowledge.com>) database using the following
134 keywords combination: („ecological status” OR „ecological condition” OR „ecosystem
135 health” OR "ecological integrity" OR "biological integrity" OR conservation OR
136 rehabilitation OR restoration OR biodiversity OR "ecosystem services") AND (river* OR
137 floodplain* OR „floodplain-lake*" OR oxbow*). For simplicity, we selected English
138 language articles only. The search was executed on 11 December 2017, and yielded 2426
139 articles in the time period from 1992 to 2017. All authors were assigned an equal number of
140 articles to screen against review criteria. Because the definition of large rivers varied, we
141 decided to incorporate all studies dealing with potamal floodplain rivers larger than 1000 km²
142 in catchment size. Articles were excluded from the analyses if i) the main topic was not
143 related to assessment of ecological condition, conservation or ecosystem services, ii) the focus
144 was only on small streams and rivers, or iii) evaluations were performed at the level of sites or
145 sub-catchments with unclear relation to LFRs. We also excluded review articles, except where
146 they contained detailed case studies for effective evaluation (e.g. details of restoration projects
147 in Jungwirth et al., 2002). This procedure resulted in a total of 153 papers matching our study
148 criteria.

149 From each study, we extracted the location, spatial scale, year(s) of investigation, the
150 floodplain habitat types studied and other circumstances of data collection (see Appendix I.).
151 We paid special attention to evaluating the role of different river-floodplain functional habitat
152 types (for details see Amoros et al., 1982; 1987; Ward and Stanford, 1995) in assessment and
153 management goals. We distinguished five habitat types as follows: MR, main river or
154 eopotamon habitats, which include the main channel and side arms that are connected to the
155 main channel even at low flow; FP1, floodplain 1 or parapotamon, and plesiopotamon
156 habitats, which are abandoned braided channels or backwaters blocked from upstream
157 (parapotamon) and from both upstream and downstream direction (plesiopotamon), but often
158 connected to the main arm depending on water level; FP2, floodplain 2 or paleopotamon

159 habitats are oxbows in the floodplain area, which are only rarely connected to the river and to
160 other side arm components by surface flow; FPA, flood protected area, which contains
161 oxbows separated completely from the floodplain by dams; and R, riparian areas, which
162 include all other terrestrial habitats belonging to the floodplain.

163 We characterized each study into six categories based on the main study objectives, as (1)
164 assessment of ecological condition (EC; note that this broad term incorporates evaluation of
165 ecological or ecosystem status, health, condition or ecological/biological integrity), (2)
166 conservation (C), (3) rehabilitation or restoration (R, hereafter we use the term rehabilitation
167 only, because – although the term is widely used – true restoration, e.g. of pristine or natural
168 conditions of LFR is rarely intended), (4) ecosystem services (ES), (5) trade-off situation
169 between C and ES (C/ES), and (6) biodiversity inventory or monitoring (BDM). Studies that
170 addressed more than one topic were classified to more than one type (e.g., to both EC and
171 BDM).

172 For ecological assessments (EC), we classified the taxonomic group(s), number and type of
173 variables (metrics) used for the evaluation, the number and type of stressors measured, and
174 the characterization of reference condition. For conservation (C), rehabilitation (R) and
175 ecosystem service (ES) studies we examined the components of biodiversity and services, and
176 whether and how trade-off relationships were handled. We also evaluated the reported
177 involvement of stakeholders in achieving study objectives. Further details of the data
178 collected and reviewed are provided in Appendix I.

179 **Results and Discussion**

180 *General findings*

181 Of the 153 articles reviewed, 60.0%, 24.7%, 9.5%, 4.2%, 1.6%, and 0.0% addressed EC,
182 BDM, R, C, ES, and C/ES, respectively. The geographic distribution of the studies was highly
183 unequal across continents and ecoregions (Fig. 2). A majority of the studies were conducted
184 in Europe (32.0%) and North America (28.1%), whereas studies from Asia (16.3%), Africa
185 (8.5%), South America (7.8%) and Australia and New Zealand (7.2%) were much less
186 represented. Altogether 73 ecoregions were represented in studies. However, a relatively large
187 proportion were conducted in just three ecoregions: Central & Western Europe 10.5%
188 (Europe), the Upper-Danube 9.2% (Europe), and the Lower Mississippi 5.9% (North
189 America).

190 *Assessment of ecological condition*

191 Evaluation of ecological condition (EC articles) was mostly performed (48.9% of the studies)
192 using main river assemblages (i.e. in eupotamon habitats). In contrast, other floodplain
193 habitats were assessed by a much lower number of studies (Fig. 3). Specifically, floodplain
194 habitats type 1 (parapotamon, plesiopotamon) and type 2 (paleopotamon) were assessed by
195 22.6% and 18.9% of the studies, respectively, and flood protected areas and riparian systems
196 were considered in only 6.3% and 3.2%, respectively. A majority of the studies (60.9%)
197 incorporated only one habitat type for evaluating ecosystem status. Similar numbers of studies
198 evaluated two (16.5%) and three (19.1%) habitat types; however, only 3.5% studies
199 incorporated four habitat types. No study evaluated all five habitat types of LFRs.

200 The taxonomic groups most often used to assess ecological condition were fishes and benthic
201 invertebrates, accounting for 45.6% and 35.0% of the studies, respectively. All other taxa (e.g.
202 algae, macrophytes) were much less frequently used (Fig. 4). 83.0% of the papers used only a
203 single taxonomic group for the assessment, 10% applied two groups, and only 7.0% of the
204 studies used three or more groups. Taxonomic (e.g. species richness, number and/or
205 abundance of specific taxa) and functional (e.g. % omnivores, % invertivores) metrics were
206 the most frequently used biological response variables across all studies. In studies using fish
207 as the response group, index-based approaches (i.e., scoring alteration metrics from a
208 reference value and summing values into a single index) were most common (see e.g.
209 Ganasan and Hughes, 1998; Sharma et al., 2017); however, it should be noted that this
210 methodology was typically unchanged from how it is applied to assess site-level degradation
211 in small streams and rivers (e.g., Karr, 1981). Assessments that focused on benthic
212 invertebrates tended to rely on diversity indices (e.g. Shannon-Wiener, Simpson indices) and
213 density metrics (individuals m⁻²) (see e.g. Cabecinha et al., 2004; Raburu et al., 2009), which
214 were only infrequently used in fish based studies. Though few in number, studies on
215 macrophytes incorporated structural vegetation variables like maximum vegetation height.
216 For example, in the San Pedro River, (Gila ecoregion, U.S.A.), Stromberg et al. (2006)
217 examined how groundwater withdrawal influences the ecological condition of the floodplain
218 system based on maximum vegetation height across the floodplain, % shrubland cover, and
219 absolute as well relative cover of hydric perennial herbs. Interestingly, algae were also
220 relatively rarely used in EA of LFRs. Utilizing algae as indicators, for example, Greiner et al.
221 (2010) used classification algorithms (Self-Organizing Maps) to set up biotypes along an
222 alteration gradient and to determine ecological thresholds for setting up the boundaries of
223 condition classes.

224 Many studies, however, did not use biotic indices or any other quantitative assessment of
225 ecological condition. These studies instead examined how the structure (i.e. presence/absence
226 or relative abundance) of biological assemblages was associated with the degradation (i.e.
227 ecological condition) of the habitats using multivariate community analyses (e.g. Pan et al.,
228 2014). Further, some articles exclusively assessed habitat condition, which of course is an
229 important component of overall ecological condition, but cannot be used *per se* for this
230 purpose, if the biotic response to the habitats is not considered. For example, in Austrian
231 rivers Muhar et al. (2000) concluded that only 43 km (5.9%) out of 731 km of large alluvial
232 rivers remained in relatively intact condition using a scoring system that characterized the
233 habitat quality based on morphological character, instream structures, longitudinal and lateral
234 connectivity, and hydrological regime compared with reference conditions.

235 A surprisingly large number of papers did not provide a clear description of the methodology
236 of ecological condition assessment by specifying the type of stressors or the response biotic
237 metrics. In fact, many studies used only the biotic groups as indicators of ecological condition
238 without evaluating the role of stressor variables (e.g. only 32.5% of the papers examined
239 stressor metric relationships). When stressors were analyzed as part of the assessment, land
240 use variables (e.g. percentage of forest, agricultural land) were the most frequently used,
241 reported in 54.4% of the papers. Land use is not only easy to derive from thematic maps; it
242 seemingly provides a good approximation for ecological degradation of large rivers. For
243 example, Trautwein et al. (2012) found two simple land use metrics, % agriculture and %
244 urbanization, were the best correlated stressor metrics with fish-based biotic indices (i.e.
245 ecological condition) in the Upper Danube ecoregion, Austria; however, stream fish
246 assemblages of lower mountain rivers were more sensitive to land use changes than fish

247 assemblages inhabiting low gradient, large rivers. In the Paraíba do Sul ecoregion, Brasil,
248 Pinto et al. (2006) found land use (especially % pasture, % urban area) and riparian condition
249 closely associated with fish biotic indices.

250 Physical stressors were assessed in 34.2% of the papers. Among these, connectivity (effect of
251 dams), instream and riparian habitat structure (flow regulation, channel modification) were
252 most frequently measured. For example, in main stem rivers in the Central & Western Europe
253 ecoregion, Czech Republic, Musil et al. (2012) demonstrated that weirs and dams affected the
254 biotic status of fish assemblages. In the Upper Lancang (Mekong) ecoregion, China, Zhai et
255 al. (2010) demonstrated how a series of hydropower dams affected the ecological condition
256 due to alteration of flow, water quality and sediment transport. Chemical (i.e. water quality)
257 stressors were utilized in 28.1% of studies and included primarily sediment pollution, point
258 source pollution, concentration of nutrients and oxygen content. For example, in the Liao He
259 ecoregion, China, basic physiochemical parameters, BOD₅, COD_{Cr}, TN, TP, NH₃-N, DO,
260 petroleum hydrocarbon and conductivity were associated with an integrated ecological health
261 index (Meng et al., 2009). This integrated index combines physical habitat quality, fecal
262 coliform count, attached algae diversity, and a benthic index of biotic condition (Meng et al.,
263 2009). Biological stressors appeared in only 7.0% of studies, and were largely comprised of
264 the number or abundance of non-native species (fish) and livestock grazing. For example, in
265 the Southern Iberia ecoregion, Spain, dominance of non-native fishes was an important
266 determinant of ecological condition indicated by fish-based indices (Hermoso et al., 2010). In
267 the Lake Victoria Basin ecoregion, Kenya, excessive grazing and deforestation affected fish-
268 based ecological condition (Raburu and Masase, 2012). Nevertheless, most studies showed
269 that a combination of stressors shape the structure and assemblages of biotic communities in
270 large rivers (e.g. Weigel and Dimick, 2011; Sarkar et al., 2017), which corresponds well with
271 findings from smaller streams and rivers (Hering et al., 2006; Feld and Hering, 2007).

272 Most assessments used either field intensive (50.0%) or field rapid (27.9%) data collection
273 methodology (Fig. 5). This result clearly reflects a certain need for extensive sampling of
274 biota to represent status of LFRs, and which can be only partially replaced by modern remote
275 methods, even if collection of biological data is time consuming and resource intensive (e.g.
276 Flotemersch et al., 2011). However, besides conventional methodologies, innovative
277 methodological approaches became increasingly implemented. For example, Dzubakova et
278 al., (2015) applied LiDAR imagery to evaluate the dynamics of lateral connectivity in river
279 floodplain habitats, and similarly, Karim et al. (2014) developed a method to quantify
280 connectivity (timing, duration) of floodplain wetlands over space and time using high
281 resolution laser altimetry. A large majority of studies measured ecological condition against a
282 reference; however, the method used to define reference conditions varied widely (Fig. 6),
283 with designation of reference sites (29.8%) and modelling stressor-response relationships
284 (29.8%) being equally most important. In contrast, half of the studies did not describe how
285 natural variation was partitioned from human impacts (Fig. 7). When natural variation was
286 addressed, most studies used site-based classifications (i.e. evaluation of sites in major
287 typological classes) or focused on a single habitat type for filtering the role of natural
288 environmental variation to detect perturbation effects (22.8%, Fig. 7). These approaches
289 generally concur with those used in smaller streams and rivers (see Roset et al., 2007;
290 Hermoso and Linke, 2012).

291 *Conservation, rehabilitation and relationship with ecosystem services*

292 Studies addressing management actions were more rehabilitation than conservation oriented.
293 This is probably due to the typically high levels of human use throughout LFRs. Also,
294 although systematic conservation planning exercises may be done at large spatial scales,
295 selection of areas for conservation focus is typically at finer scales (i.e. among stream
296 segments and their associated watersheds) within large river systems (Esselman and Allan,
297 2011; Hermoso et al., 2011; Dolezsai et al., 2015). These studies do not deal with the
298 peculiarities of LFRs by addressing different scales, which are only indirectly related to the
299 conservation management of LFRs. Our review suggests that systematic approaches that
300 select among different reaches and floodplain habitats within the potamal section of LFRs are
301 relatively rare. We also found that although floodplain habitats and their associated main stem
302 section are often the focus of large scale rehabilitation projects (e.g. Tockner and Schiemer,
303 1997; Whalen et al., 2002), these areas are selected rather haphazardly or based on their
304 ecological status relative to a small number of potential candidate sites (Buijse et al., 2002;
305 Jungwirth et al., 2002; Sommerwerk et al., 2010; Hein et al., 2016). Most rehabilitation efforts
306 targeted the enhancement of habitat at small spatial extents (e.g. hundreds of meters to a few
307 kilometres; see e.g. Thomas et al., 2015; Morandi et al., 2017) or focused on increasing lateral
308 connectivity between the main channel and the floodplain (see e.g. Jacobson et al., 2011;
309 Riguier et al., 2015; Kozak et al., 2016). The emergent general conclusion of the studies is:
310 although in many cases rehabilitation activities enhanced habitat conditions and increased
311 biodiversity to some degree, the outcome of the rehabilitation depended greatly on the
312 selected abiotic and biotic variables, the spatial scale of the rehabilitation activity and the
313 temporal scales considered for evaluating rehabilitation effects (Bernhardt et al., 2005; Palmer
314 et al., 2010; Muhar et al., 2016). Prime reasons for failure of rehabilitation activities in LFRs
315 were: i) the overarching effect of catchment or landscape level alterations, ii) inadequate
316 improvement of instream habitat quality, iii) limited recolonization potential of the species
317 pool, and iv) the lack of a diverse species pool in the altered catchments (Palmer et al., 2010;
318 Tonkin et al., 2014; Muhar et al., 2016; Stoll et al., 2016).

319 We found surprisingly few papers (1.6%) addressing ecosystem services in LFRs. Although
320 the number of studies on ecosystem services of freshwaters is generally increasing, Hanna et
321 al. (2018) concluded these are almost exclusively quantifying ecosystem services at the scale
322 of watersheds or across multiple watersheds. Consequently, this review agrees with Hanna et
323 al. (2018) that evaluation of ecosystem services at the scale of LFRs is still rare. Ecosystem
324 services studies also did not distinguish between the different functional units of river-
325 floodplain habitat types (i.e. eupotamon, parapotamon, plesiopotamon) and their potential role
326 in ecosystem services provision. An important exception is Schindler et al. (2014), who
327 reviewed the effects of 38 floodplain management interventions on 21 ecosystem services.
328 The authors found that rehabilitation measures generally improved the multifunctionality of
329 the riverscape and resulted in win-win situations for enhancing the overall supply of
330 ecosystem services (Schindler et al., 2014, 2016). Overall, the number of studies is still too
331 low for meaningful analyses of the relationships between biodiversity conservation,
332 maintenance of ecological condition and ecosystem services in LFRs (but see e.g. Thorp et
333 al., 2010 for a more general paper).

334 **Conclusions and suggestions for future research**

335 Our systematic review revealed a strong geographic bias in the literature toward developed
336 countries in Europe and North America. Given systematically high levels of threat to rivers
337 around the globe (Vörösmarty et al. 2010), this is a substantial research gap and further
338 studies are clearly required in less examined continents to better understand the ecology and

339 conservation management of LFRs. In fact, conservation management of LFRs could
340 significantly benefit from intensive research in currently less studied and still relatively intact
341 LFRs in terms of spatial organization of habitat patterns, functional connectivity between
342 them and potential reference conditions. Europe and North America have a long history of
343 intense, large scale river engineering and use and thus, largely lack stretches appropriate for
344 use as natural references. Potential reference LFRs, however, may still exist in less developed
345 areas, such as areas of South America, Asia and Africa. Even if they occur in markedly
346 different biogeographic realms than more altered LFRs, which limits their applicability as
347 reference for taxonomic evaluations, they can still provide reference for functional
348 composition of species communities as well as functional connectivity between resources and
349 thus, will enhance our understanding of ecological function and processes in LFRs. We
350 acknowledge that ecology of LFRs has been investigated in some areas that our review
351 indicates are understudied (e.g. in Russia and China), where results have simply not yet
352 reached the English-dominated contemporary scientific literature.

353 Our review suggests that most ecological assessments to date have adopted use of classical
354 biotic index based evaluations (e.g. Angermeier and Karr, 1994; Karr, 1999). Not
355 surprisingly, these evaluations rely largely on fish and benthic invertebrate assemblages. Both
356 taxa have a relatively long history of development and application as indicators (Karr, 1981),
357 with established sampling guidance and diagnostic tools, particularly in small rivers (Herman
358 and Nejadhashemi, 2015). However, it should be noted that the number of articles specifically
359 addressing application of biotic indices in LFRs is low. Many studies applied sampling at the
360 watershed level, where samples from small streams to large rivers were evaluated using the
361 same methodological protocol. In addition, most studies evaluated the status of main stem
362 river habitats only (see e.g. Flotemersch et al., 2006; Whittier et al., 2007; Birk et al., 2012a;
363 Ruaro and Gubiani, 2013), but did not specifically consider the peculiarities of LFRs. The
364 number of articles addressing the ecological assessment of the whole riverine landscape (i.e.
365 all types of riverscape habitats) was very small (Fig. 3).

366 Most indices used to evaluate biotic condition were not specific to LFRs. A notable exception
367 is the floodplain index, which was developed to assess ecological condition of and lateral
368 connectivity between individual water bodies within a floodplain landscape (multiple riverine
369 habitat types). The index is based on species specific habitat preferences, which were assigned
370 to indicator values (Chovanec and Waringer, 2001; Chovanec et al., 2005; Illyova and
371 Matecni, 2014; Šporka et al., 2016; Funk et al., 2017). The index is an effective biological
372 indicator of spatial and temporal changes in the lateral hydrological connectivity of river-
373 floodplain functional habitat types (Chovanec et al., 2005; Šporka et al., 2016). Since
374 dynamic lateral hydrological connectivity is one of the most important determinants of river-
375 floodplain systems (Bayley, 1995; Johnson et al., 1995; Ward et al., 2001), the floodplain
376 index may serve as key measure for evaluating the ecological condition of LFRs at the
377 landscape scale. However, the floodplain index cannot be related to specific stressors and
378 thus, may not effectively indicate the summed effect of different physical, chemical and
379 biological stressors on biota and the LFR system in general. Therefore, other metrics are also
380 necessary for the effective evaluation of the ecological condition of LFRs, which we briefly
381 review here to guide future assessment research.

382 To quantify the degree of landscape alteration and assess ecological condition it is necessary
383 to determine how much area of the original landscape has been lost, and how structural
384 components and functional processes have been altered (Beechie et al., 2010; Peipoch et al.,

385 2015). However, most biotic indices quantify only site level alteration and consequently do
386 not consider or provide information on habitat loss and alteration – including spatial
387 configuration and diversity of different habitat types - at the landscape level. LFRs suffered
388 most from large scale loss of their original habitat due to increasing agricultural land use
389 (Tockner and Stanford, 2002). Therefore, we suggest that assessments of LFRs should
390 explicitly incorporate landscape level metrics of habitat alteration. Patch based evaluations of
391 habitat quantity, complexity (i.e. configuration, diversity, connectivity of patches) and quality
392 are routinely used in terrestrial landscape ecology (Pascual-Hortal and Saura, 2006; Lausch et
393 al., 2015). However, their application in riverscape ecology warrants greater consideration
394 (Erős and Grant, 2015), particularly in ecological assessment and conservation management.
395 For example, environmental history provides an excellent approach for quantifying spatial
396 and temporal changes in habitat quantity, configuration and diversity in LFRs (see e.g.
397 Hohensinner et al., 2004; Farkas-Iványi and Trájer, 2015). Further, graph theoretic and other
398 network based methods are increasingly applied to quantify connectivity relationships (Erős et
399 al., 2012; Wohl et al., 2018). In addition, since lateral diversity of habitats and the biota is a
400 key component of LFRs, the floodplain index mentioned above can serve as a coarse measure
401 for spatial and temporal changes in hydrologic connectivity and its effects on biota. Modelling
402 stressor response relationships with more effective analytical tools (e.g. machine learning
403 methods, Bayesian models) may lead to better predictive indices in the future (Kuehne et al.,
404 2017). These tools could better incorporate both structural and functional parameters. In fact,
405 measures of ecosystem function (e.g. water retention, organic matter decomposition,
406 production of trophic levels) are still underutilized in river management (von Schiller et al.,
407 2017). Overall, what is still missing is a more holistic approach, i.e. the effective integration
408 of the different approaches in a unified assessment framework (but see Flotemersch et al.,
409 2016 for an approach at the watershed level).

410 Classic indices are routinely used for determining quality of the biota (Birk et al., 2012a,
411 2012b; Ruaro and Gubiani, 2013). However, local, single habitat and single index based
412 assessments may fail to correctly reflect the broader ecological condition of LFRs and the
413 alteration of the riverscape (see also Moss et al., 2008), particularly if areas lost by water
414 regulation, land use alteration and other kinds of habitat modification are not considered. For
415 example, a riverscape that has lost 90% of its original area may show good ecological
416 condition at the local scale, due to remnant river-floodplain segments with sufficient habitat
417 quality and connectivity, while at the catchment scale the riverscape is seriously altered. This
418 narrow focus on the site scale and single elements of the riverscape is standard in most
419 environmental assessments of LFRs. For example, in Hungary the assessment of the
420 ecological condition of large floodplain rivers (Danube, Tisza) is exclusively based on
421 monitoring the main channel and the floodable area along the river. Oxbows and former side
422 arms in the historic floodplain are treated as lakes in the ecological assessment procedure and
423 their ecological condition is evaluated based on the criteria established for lakes. The formerly
424 vast floodplain area cut off by levees for flood protection is considered terrestrial habitat and
425 thus not evaluated at all. In the German environmental assessment system for the WFD, even
426 the active floodplain is not considered part of the water body and thus not addressed by
427 monitoring. Approaches that restrict the riverscape to the floodplain remaining between
428 levees fall short in assessing the ecological condition, because they ignore the original extent
429 of the riverscape as reference. Such an assessment largely underestimates the loss of habitats,
430 neglects lateral fragmentation effects and consequently cannot estimate the full losses due to
431 human alteration of LFRs. We are fully aware that many historical floodplain areas are
432 irreversibly lost; however, we argue for their conceptual consideration as functional habitats.
433 For fish in particular, small floodplain water bodies that are infrequently connected with the

434 main channel have been identified as key habitats for floodplain specialists (Schomaker and
435 Wolter, 2011). We argue that integrating landscape level and local scale evaluations will lead
436 to more effective evaluation of the ecological condition of LFRs. The joint application of the
437 different types of indicators of environmental quantity, complexity and quality together with
438 information on ecological threat indices (Paukert et al., 2011; Tulloch et al., 2015) will allow
439 development of more informed conservation and management decisions.

440 Limitations on conservation resources means that it is critically important to optimize
441 solutions across multiple conservation/rehabilitation purposes and/or other ecosystem
442 services. As indicated by the very low number of articles on ecosystem services of LFRs, this
443 challenge remains widely unaddressed. Furthermore, studies that specifically quantify trade-
444 off relationships between different ecosystem services and biodiversity conservation or the
445 maintenance of ecological condition are virtually lacking for LFRs. Watershed level studies
446 offer examples of how to optimize land use for the delivery of ecosystem services and for
447 conservation and/or rehabilitation of biota (e.g. Doody et al., 2016; Terrado et al., 2016; Erős
448 et al., 2018). Similar studies should be conducted in the segments of LFRs, because
449 examining trade-off relationships at larger scales and spatial extents may require different
450 approaches and result in different management outcomes (Erős et al., 2018; Hanna et al.,
451 2018).

452 In LFRs, selecting areas for conservation or rehabilitation should focus on reaches sufficiently
453 large to maintain a diverse array of floodplain habitat types and a diverse biotic community
454 (Hein et al., 2016). Spatial prioritization and optimization approaches could help to define
455 river segments 1) of priority for conservation and/or rehabilitation (e.g. biodiversity hotspots,
456 regeneration potential, nutrient retention, ecotourism), 2) primarily for human use (e.g.
457 infrastructure, housing, gravel mining), and 3) for both conservation functions and human use
458 shared according to societal needs and intentions. Taking the “land sharing versus land
459 sparing debate” (see Fisher et al., 2014; Shackelford et al, 2015) into the water would be
460 useful for developing more effective conservation decisions that address societal concerns,
461 especially for LFRs, where human needs for water seem to be in special conflict with
462 conservation aims (Arthington et al., 2010; Sommerwerk et al., 2010).

463 In summary, our review of the ecological research identified substantial challenges in
464 assessing and managing LFRs, primarily emerging from an insufficient recognition of the
465 spatial (longitudinal and lateral) and temporal complexity of LFRs. This review highlights
466 research gaps and emphasizes the importance of developing more holistic indicators and
467 assessment schemes of ecological condition that can better reveal landscape level changes in
468 the structure and functioning of LFRs. Improved assessment tools will help to effectively
469 select areas for conservation and rehabilitation, and evaluate those areas which are
470 rehabilitated. Indeed, as human use of water and land is increasing, developing effective
471 spatial prioritization tools becomes more important. Empirical research in this field can aid in
472 solving conflicts between socio-economic demands for ecosystem services and nature
473 conservation in LFRs.

474

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793 **Captions to figures**

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795 Figure 1. A schematic representation of the purpose of this study for exploring the assessment
796 of ecological condition and its relationship with ecosystem services and for showing the
797 balance between conserving and/or rehabilitating nature and utilizing it for human purposes
798 appearing in peer-reviewed scientific articles.

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800 Figure 2. The distribution of the studies among continents and ecoregions. Letters indicate the
801 type of the article as follows. EC, assessment of ecological condition; C, conservation; R,
802 rehabilitation/restoration; ES, ecosystem services; BDM, biodiversity inventory or
803 monitoring; C/ES, trade-off between C and ES.

804

805 Figure 3. The percentage (%) distribution of the studies among the different river-floodplain
806 habitat types. Abbreviations for the functional habitat types are as follows. MR, main river
807 (eupotamon); FP1, floodplain 1 (parapotamon, plesiopotamon); FP2, floodplain 2
808 (paleopotamon); FPA, former riverscape habitats in the flood protected area (oxbows etc);
809 RIP, riparian areas.

810

811 Figure 4. Representation (percentage % of all studies) of different taxonomic groups used to
812 evaluate ecological condition in EC studies.

813

814 Figure 5. The percentage (%) distribution of the type of data collection methods for the
815 assessment of ecological condition among the articles. Field-intensive (>0.5 day site⁻¹), field-
816 rapid (<0.5 day site⁻¹), desktop (based primarily on spatial and/or remotely sensed data),
817 expert (synthesis of expert knowledge).

818

819 Figure 6. The percentage (%) distribution of the methods of defining reference condition
820 among the articles. Basis of comparison for ecological condition: Site, selection of reference
821 sites; BPJ, best professional judgement or expert knowledge; Historical, based on empirically
822 derived estimate of historical condition; Model, models reference conditions using empirical
823 approach; Ambient, uses measured range of response.

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825 Figure 7. The percentage (%) distribution of the methods among EC articles that partitioned
826 natural variation from anthropogenic impacts. The categories used were as follows.
827 Classification, categorization of sites based on their habitat characteristics; Untest, univariate
828 tests of factors; Model, models which account for natural gradients; RGR, restricting
829 geographic range.

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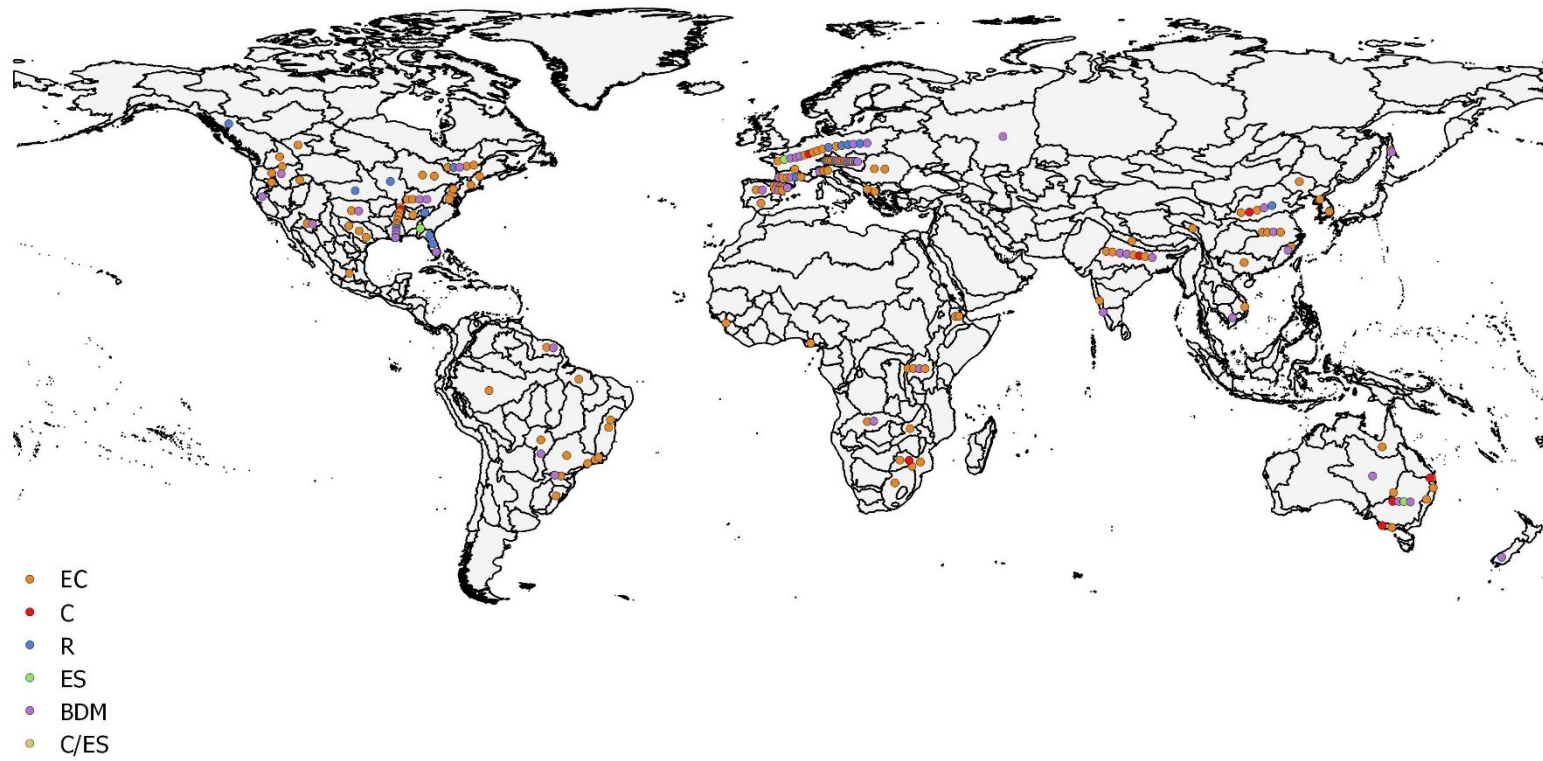
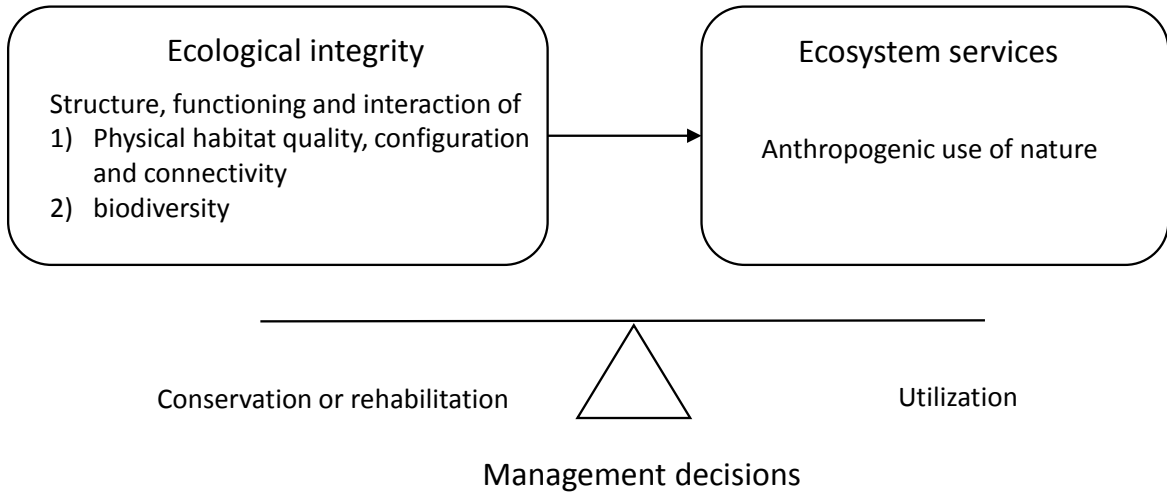
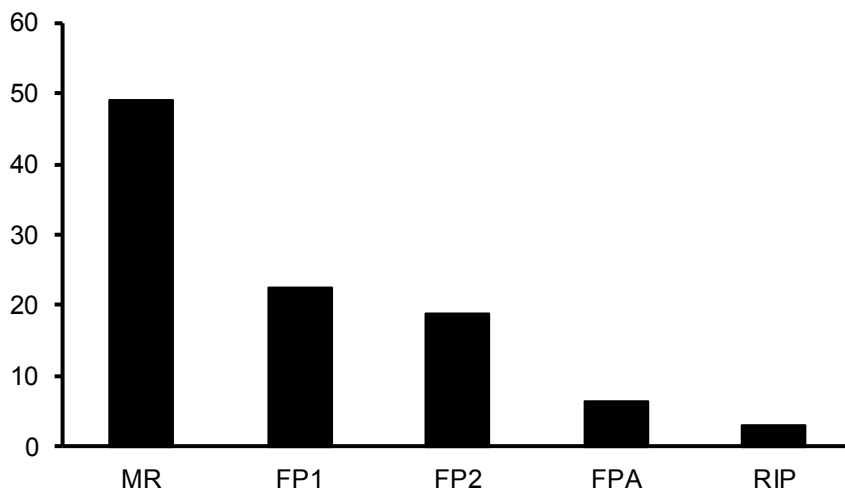


Fig. 1.



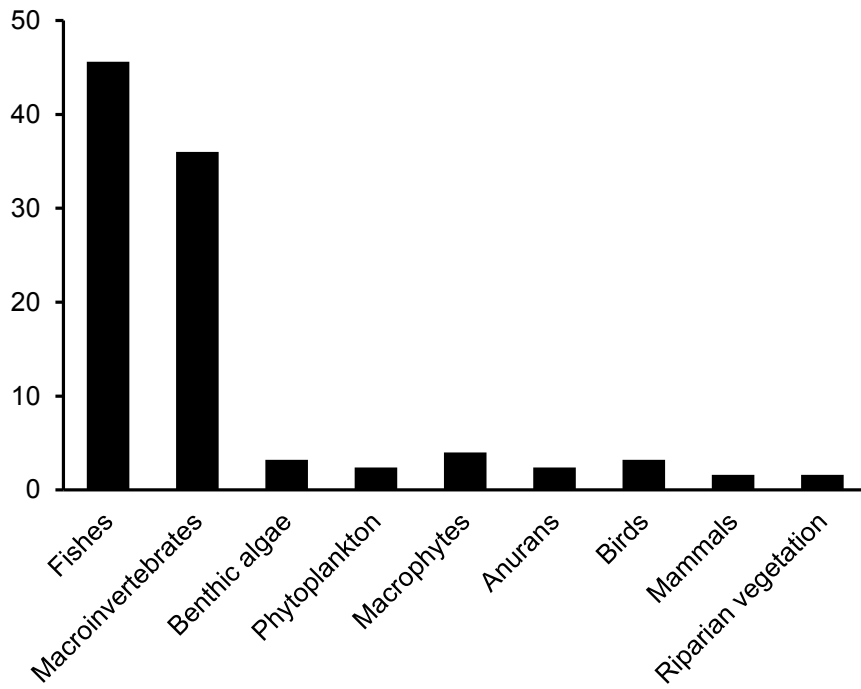
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Fig. 3.



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Fig. 4.



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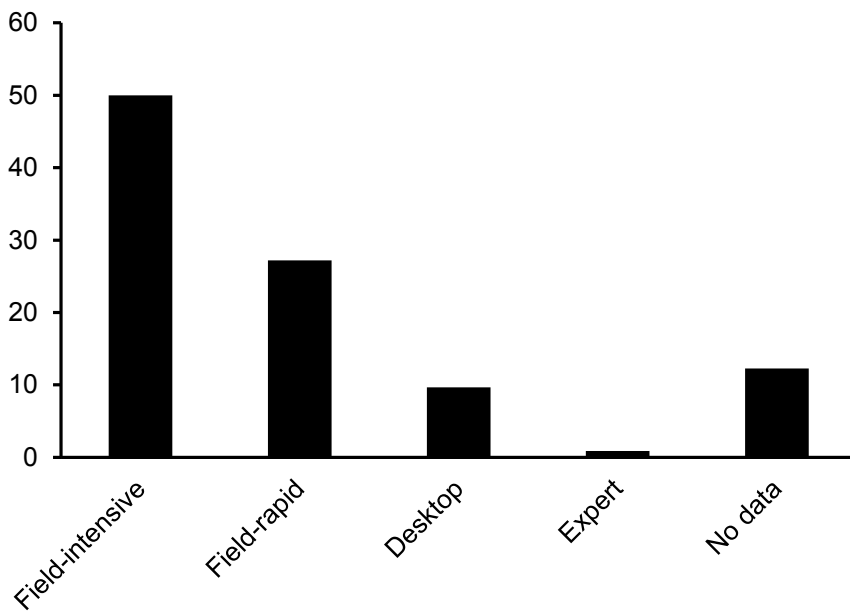
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Fig. 5.



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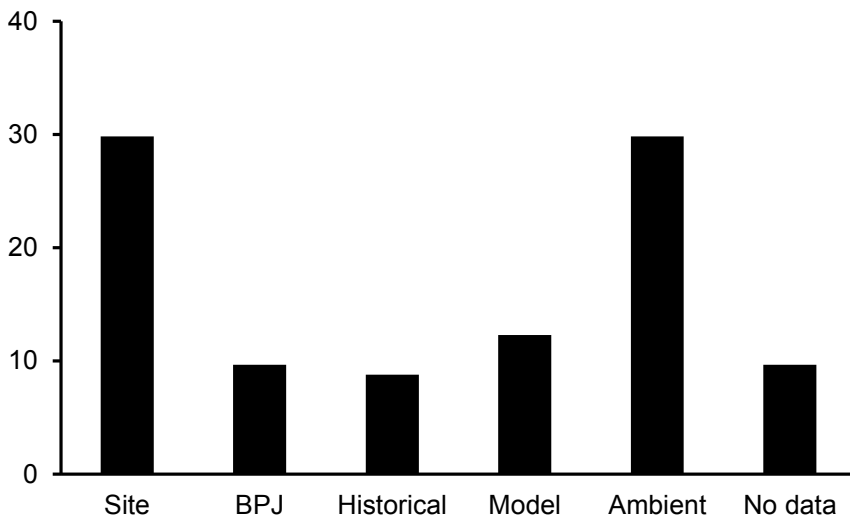
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Fig. 6.



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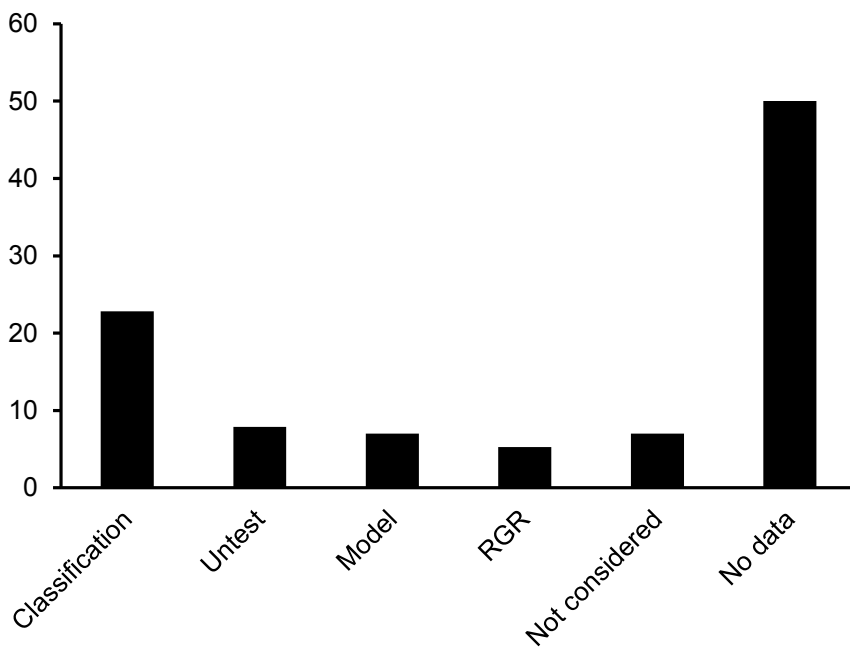
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Fig. 7.



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