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1 Impact of socioeconomic status on participation and outcomes in the Salford 2 Lung Studies 3 Authors: Rupert Jones<sup>1</sup>, Andy Nicholls<sup>2</sup>, Dominy Browning<sup>3</sup>, Nawar Diar Bakerly<sup>4,5</sup>, 4 Ashley Woodcock<sup>5,6</sup>, Jørgen Vestbo<sup>5,6</sup>, David A. Leather<sup>7</sup>, Loretta Jacques<sup>8</sup>, James 5 Lay-Flurrie<sup>2</sup>, Henrik Svedsater<sup>9</sup> and Susan Collier<sup>10</sup> 6 7 8 Affiliations: <sup>1</sup>Community and Primary Health Care, Faculty of Health, Plymouth University, Plymouth, UK. <sup>2</sup>Clinical Statistics, GlaxoSmithKline plc., Uxbridge, UK. 9 <sup>3</sup>Respiratory Research and Development, GlaxoSmithKline plc., Brentford, UK. 10 11 <sup>4</sup>Salford Royal NHS Foundation Trust, Salford, UK. <sup>5</sup>Division of Infection, Immunity 12 and Respiratory Medicine, Manchester Academic Health Sciences Centre, The University of Manchester, Manchester, UK. <sup>6</sup>Manchester University NHS Foundation 13 Trust, Manchester, UK. <sup>7</sup>Global Respiratory Franchise, GlaxoSmithKline plc., 14 15 Brentford, UK. 8Clinical Sciences, GlaxoSmithKline plc., Uxbridge, UK. 9Value Evidence and Outcomes, GlaxoSmithKline plc., Brentford, UK. <sup>10</sup>UK Medical, 16 GlaxoSmithKline plc., Uxbridge, UK. 17 18 **Corresponding author:** 19 20 Dr Rupert Jones 21 Community and Primary Health Care, Faculty of Health, Plymouth University, ITTC Building (N14), Plymouth PL6 8BX, UK 22 Tel: +44 1752 764258 23 24 Email: rupert.jones@plymouth.ac.uk 25

- 26 Key words (6 max.): asthma, COPD, Salford Lung Studies, fluticasone
- 27 furoate/vilanterol, effectiveness, deprivation
- 28
- 29 ERJ Open Research; Original Article
- 30 Word count: max. 3,000 words (currently = 2180)
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- 35 **Supplementary information:** permitted (3 supplementary tables, 1 supplementary
- 36 figure)
- 37
- **Take home message** (max. 256 characters inc. spaces): Deprivation did not impact
- 39 the main outcomes of the SLS, thus supporting recruitment of participants from all
- 40 socioeconomic strata to randomised controlled trials for assessment of
- 41 generalisability of study findings to routine clinical practice.

42

# 43 Abstract

44 COPD and asthma prevalence is associated with socioeconomic status (or 45 'deprivation'), yet deprivation is rarely considered in typical large-scale efficacy 46 randomised controlled trials that recruit highly selected patient populations. In this 47 *post hoc* analysis of the Salford Lung Studies in COPD and asthma — two 12-48 month, open-label, effectiveness randomised controlled trials conducted in UK 49 primary care — we evaluated the impact of patient deprivation on clinical outcomes 50 with initiating fluticasone furoate/vilanterol *versus* continuing usual care.

51 Patients were categorised into deprivation quintiles based on postcode and a 52 countrywide database of indices of deprivation, and trial outcomes by quintile were 53 assessed.

54 Fifty-two percent of patients in the COPD study were included in the most 55 deprived quintile, contrasting with 20% in the asthma study. Greater deprivation was 56 associated with higher rates of primary/secondary healthcare contacts and costs. However, the treatment effect of fluticasone furoate/vilanterol versus usual care for 57 primary (COPD: moderate/severe exacerbations; asthma: Asthma Control Test 58 59 responders at week 24) and secondary/other (healthcare consumption, adherence, 60 treatment modifications, study withdrawals, exacerbations, serious adverse events) 61 outcomes was similar across deprivation guintiles.

62 Our findings support the recruitment of participants from all socioeconomic 63 strata to allow assessment of data generalisability to routine clinical practice.

- 64 GlaxoSmithKline plc. studies: HZC115151/NCT01551758;
- 65 HZA115150/NCT01706198.
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### 68 Introduction

69 Socioeconomic status is a key determinant of health outcomes [1]. The prevalence of 70 chronic obstructive pulmonary disease (COPD), which is generally regarded as a 71 disease of deprivation, and asthma tends to be higher in more deprived areas [2, 3]. 72 Deprived patients may be under-represented in traditional randomised controlled 73 trials (RCTs), which seldom, if ever, collect and report the socioeconomic status of 74 their participants. Evidence suggests that only a limited proportion of patients with COPD or asthma are eligible for typical large efficacy RCTs [4-6]; thus, generalising 75 76 trial findings to the broader population of patients seen in routine clinical practice (including deprived patients) is problematic. 77 78 The Salford Lung Studies (SLS) were pragmatic randomised trials in COPD and

asthma set in routine clinical practice in the United Kingdom (UK) [7, 8]. The SLS
provided a unique opportunity to explore the frequency of deprivation in pragmatic
RCTs and whether deprivation impacts the trial outcomes.

82

#### 83 Methods

## 84 Patients and study design

The SLS in COPD and asthma were concurrent, prospective, 12-month, open-label
RCTs that evaluated the clinical effectiveness and safety of initiating fluticasone
furoate/vilanterol (FF/VI) *versus* continuing usual care (UC) for the treatment of
COPD and asthma, respectively (SLS COPD: NCT01551758 and SLS asthma:
NCT01706198). The studies were conducted in primary care practices across
Salford and South Manchester, UK. The trial designs and primary results have been
reported previously [7, 8]. Recruitment for SLS COPD preceded that of SLS asthma.

92 Patient recruitment commenced in Salford, later extending to sites in more affluent93 areas of South Manchester.

94 Briefly, patients in SLS COPD were aged ≥40 years, had a general practitioner's 95 (GP's) diagnosis of COPD, had experienced  $\geq 1$  exacerbations of COPD in the prior 3 96 years and were receiving regular maintenance inhaler therapy [7]. Patients in SLS 97 asthma were aged ≥18 years, had a documented GP's diagnosis of symptomatic 98 asthma and were receiving regular maintenance inhaler therapy [8]. Both trials had 99 minimal exclusion criteria. In both studies, patients were randomised 1:1 to initiate 100 once-daily inhaled FF/VI 100 µg/25 µg (or 200 µg/25 µg for some patients in SLS 101 asthma, according to GP assessment) or to continue with optimised UC as 102 prescribed by their GP. Randomisation was stratified in SLS COPD by the 103 presence/absence of a COPD exacerbation in the previous 12 months and baseline 104 intended maintenance therapy (long-acting beta2-agonist [LABA], long-acting 105 muscarinic antagonist [LAMA] or LABA/LAMA; inhaled corticosteroid [ICS], ICS/LABA or ICS/LAMA; ICS/LAMA/LABA) and in SLS asthma by baseline Asthma 106 107 Control Test (ACT) total score ( $\leq$ 15; 16–19;  $\geq$ 20) and baseline intended maintenance 108 therapy (ICS or ICS/LABA). Both studies had a 12-month follow-up period. 109 Treatment modifications were permitted at GPs' discretion throughout the studies 110 (patients could switch from FF/VI to UC but not vice versa). To minimise disruption to 111 patients' everyday lives and preserve the real-world nature of the trials, there were few protocol-mandated visits (screening, randomisation and 12 months/end of study 112 113 visit only); patients were additionally contacted by telephone at the 3-, 6- and 9-114 month time points for assessment of safety (both trials) and outcome questionnaire assessments, including ACT (SLS asthma only). Medications were dispensed as 115 116 usual by local community pharmacies, and data were captured remotely and

continuously *via* patients' electronic health records using a primary/secondary carelinked database system [7, 8].

119

## 120 Assessment of patient deprivation

121 A deprivation score for each patient was calculated using patient-level postcodes

122 and a countrywide database of indices of deprivation (version 2010) [9]. This

123 database ranks all areas in England based on their relative level of deprivation, as

124 measured using 38 separate indicators organised across seven distinct domains.

125 Domains can be combined and weighted to produce a single overall Index of Multiple

126 Deprivation, which is used to rank every small area in England according to the

127 deprivation experienced by the people living there [9].

128 Deprivation scores were used to produce quintiles (quintile 1 being the most

129 deprived and quintile 5 the least deprived).

130

### 131 Outcome measures

132 These post hoc analyses of patient deprivation focused on the primary effectiveness

133 outcome measures analysed in the main trials, as reported in the primary SLS

134 papers [7, 8]. For SLS COPD, the primary effectiveness outcome was the mean

- 135 annual rate of moderate/severe exacerbations, defined as any worsening of
- 136 respiratory symptoms necessitating treatment with antibiotics or systemic
- 137 glucocorticoids (*i.e.* moderate exacerbations), or hospitalisation due to a COPD
- 138 exacerbation (*i.e.* severe exacerbations). For SLS asthma, the primary effectiveness

outcome was the percentage of ACT responders (patients who achieved an ACT
total score ≥20 and/or an increase from baseline ≥3) at week 24. The percentage of
ACT responders was also assessed at weeks 12, 40 and 52.

Several secondary/other outcomes were also evaluated, including number of 142 143 primary/secondary care contacts (PCCs/SCCs), total direct COPD-/asthma-related 144 healthcare costs, treatment adherence (as estimated by the proportion of days 145 covered [PDC] based on study medication prescribing data captured during the 146 study), treatment modifications, patient withdrawals from study, rates of severe 147 asthma exacerbations (SLS asthma only) and incidence of serious adverse events (SAEs; including the pre-specified pneumonia SAE of special interest). Details of 148 outcome measures and their evaluation have been reported previously [7, 8]. 149

150

#### 151 Statistical analyses

152 Analyses of outcomes by deprivation quintile were performed as intent-to-treat (ITT;

153 per randomised treatment group) in the total population, which comprised all

154 randomised patients who received ≥1 prescription of study medication. The primary

155 effectiveness outcome for each study was also examined in the primary

156 effectiveness analysis (PEA) population, comprising all patients who had

157 experienced ≥1 exacerbation of COPD in the year prior to randomisation (SLS

158 COPD) or who had an ACT total score <20 at the randomisation visit (SLS asthma).

159 For SLS asthma, outcomes by deprivation quintile were additionally analysed in the

160 ICS/LABA therapy subset, which comprised patients whose baseline asthma

161 maintenance therapy per randomisation stratification and pre-randomisation

162 prescription was an ICS/LABA.

163 In these *post hoc* analyses, the primary effectiveness endpoint for each study was 164 analysed according to the method reported in the respective primary publication [7, 8], but with the inclusion of deprivation guintile and its interaction with randomised 165 166 treatment group in each statistical model. For SLS COPD, the primary effectiveness endpoint (mean annual rate of moderate/severe COPD exacerbations) was analysed 167 168 using a general linear model assuming a negative binomial distribution. Least 169 squares (LS) mean annual rates, treatment ratios and 95% confidence intervals (CIs) 170 by deprivation quintile are presented. For SLS asthma, the primary effectiveness 171 endpoint (percentage of ACT responders at week 24) was analysed using logistic regression. Adjusted odds ratios and 95% CIs for FF/VI versus UC are presented by 172 173 deprivation quintile. ACT responder analyses were additionally conducted at weeks 174 12, 40 and 52.

Healthcare resource utilisation data are described as the mean combined annual
rates of PCCs/SCCs for FF/VI and UC by deprivation quintile. The interaction of
deprivation with treatment effect on PCC/SCC rates was evaluated using a general
linear model. Geometric mean total COPD/asthma care costs (costs for COPD/asthma-related healthcare, rescue medication and study drugs) are presented by
deprivation quintile and randomised treatment group.

181 Data for treatment modifications, treatment adherence (PDC) and study withdrawals
182 are summarised by deprivation quintile and randomised treatment group.

The statistical analysis of rates of on-treatment severe asthma exacerbations by
randomised treatment group and deprivation quintile was conducted using a general
linear model. LS mean annual rates, treatment ratios and 95% CIs are presented.

186 The treatment effect of FF/VI *versus* UC on pneumonia SAE rates by deprivation

quintile was analysed using a negative binomial regression model. LS mean annual
rates, treatment ratios and 95% CIs are presented.

The overall aim of this *post hoc* exploratory work was to establish trends and/or consistency across deprivation quintiles on the outcomes of interest. As such, no adjustments for multiplicity were performed.

192

# 193 **Results**

In SLS COPD, 52% of patients (1453/2791) were in the most deprived quintile by postcode, whereas in SLS asthma, deprivation was more equally distributed with only 20% of patients (855/4218) in the most deprived quintile (figure 1). When analysed according to investigators who recruited to both SLS COPD and SLS asthma, patient distribution across the deprivation quintiles was similar to that observed in the overall studies (data not shown).

200 In SLS COPD, there was a numerical trend toward patients being younger and for 201 higher proportions of females and current smokers in the more deprived quintiles 202 relative to the least deprived quintiles (table 1). There was also a trend for higher 203 body mass index (BMI) in more deprived patients, but the absolute difference across 204 quintiles may be too small to be clinically relevant. No notable difference in COPD 205 exacerbation history was observed across the deprivation quintiles. Similar trends were observed in SLS asthma, where patients in the more deprived quintiles were 206 207 numerically more likely to be younger, to smoke, to have a higher BMI, and to have 208 uncontrolled asthma (ACT total score ≤15) and recent asthma symptoms (rescue medication use, activity limitations, night-time symptoms/awakenings) relative to 209

patients in the less deprived quintiles (table 1). There was no notable difference in
asthma exacerbation history across the deprivation quintiles. Characteristics of
patients in the SLS asthma ICS/LABA therapy subset were generally similar to the
total study population (supplementary table S1).

214 In SLS COPD, the treatment effect of initiating FF/VI versus continuing UC on the 215 mean annual rate of moderate/severe exacerbations across deprivation guintiles was 216 broadly similar to the overall PEA population (figure 2a). In SLS asthma, there was a 217 consistent benefit for FF/VI over UC for the percentage of ACT responders at week 218 24 across the deprivation guintiles in the PEA population (figure 2b); a similar benefit 219 for FF/VI versus UC was also observed at weeks 12, 40 and 52 in each deprivation 220 quintile in the PEA population (figure 3) and at weeks 12, 24, 40 and 52 in the ICS/LABA therapy subset of the PEA population (supplementary figure S1). 221

In both trials, higher rates of PCCs/SCCs were observed in the more deprived 222 223 relative to less deprived quintiles (table 2), but there was no apparent interaction of 224 deprivation quintile with treatment effect for FF/VI versus UC. Care costs were higher 225 for more deprived patients with COPD, but not for those with asthma. There was no 226 consistent impact of deprivation on treatment adherence, treatment modification 227 rates, patient withdrawals from study (tables 3–5) or on-treatment severe asthma exacerbations (supplementary table S2). There were small differences in the 228 incidence of on-treatment SAEs between the most and least deprived patients in 229 230 both the COPD and asthma studies, but no difference in SAE incidence between 231 randomised treatment groups in each of the deprivation quintiles. There was no 232 difference in pneumonia SAE incidence between randomised treatment groups in 233 each of the deprivation quintiles in SLS COPD (supplementary table S3). In SLS

asthma, the on-treatment pneumonia SAE incidence was <1% of all patients [10]</li>
and analysis by deprivation quintile was not conducted.

236

# 237 Discussion

Salford is a typical urban area in North West England and a substantial proportion of 238 239 the population live in socioeconomically deprived areas. Over one half of SLS COPD 240 patients were categorised in the most deprived quintile, compared to 20% of SLS 241 asthma patients. Higher healthcare resource utilisation and care costs in more 242 deprived patients could be linked to the observed differences in baseline patient 243 characteristics (i.e. higher proportions of current smokers, trend for higher BMI in the 244 more deprived quintiles). Indeed, deprivation has previously been identified as a risk 245 factor for COPD hospital admissions [11]. The level of deprivation did not influence 246 any of the main clinical effectiveness and safety outcomes in the SLS, indicating that 247 the overall trial results are relevant to all patients with asthma and COPD in routine 248 care.

249 The major strengths of this study relate to the pragmatic trial design of the SLS, 250 successful recruitment of patients from all socioeconomic strata and the richness of 251 the dataset. We were able to access deprivation data for almost all randomised patients (n>7000) and capture healthcare contacts data using a primary/secondary 252 253 care-linked electronic database. Weaknesses include the post hoc nature of these 254 analyses, which were conducted without multiplicity adjustment. Furthermore, the 255 high proportion of deprived patients in SLS COPD (in contrast to SLS asthma) 256 resulted in small sample sizes for some deprivation guintiles, limiting results interpretation. Another limitation is that patients were allocated into deprivation 257 258 quintiles based on ranking of deprivation scores derived by postcode, rather than

259 based on individual characteristics. It could be argued, therefore, that patients 260 allocated to the most deprived quintiles in this study may not necessarily themselves 261 be truly socioeconomically deprived. Such detailed socioeconomic information was 262 not available on an individual patient basis in this study. It is noteworthy, however, that Salford is listed as one of the top 20 local authorities in England with the highest 263 264 proportions of areas that are amongst the 10% most deprived [9]; it follows, therefore, that the SLS likely did include patients who were genuinely of lower 265 266 socioeconomic status.

267 Overall, our data support the view that patients' socioeconomic status should not be 268 a barrier to participation in RCTs, and that enrolment of a broad patient population 269 should be actively encouraged. Routine reporting of data on patients' baseline 270 socioeconomic status will allow for assessment of generalisability of trial results in 271 comparison to patients in routine clinical practice. Acknowledgements: Editorial support (in the form of editorial suggestions to draft
versions of this paper, assembling tables and figures, collating author comments,
copyediting, referencing and graphic services) was provided by Emma Landers,
PhD, of Gardiner-Caldwell Communications (Macclesfield, UK) and was funded by
GlaxoSmithKline plc.

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278 Contributions: R. Jones: Study concept, data analysis/interpretation, manuscript 279 writing/review and approval of the final version to be submitted. A. Nicholls: 280 Statistical analysis planning and review (accountability) for the ad hoc work, and manuscript writing/review and approval of the final version to be submitted. D. 281 282 Browning: Data analysis/interpretation, manuscript writing/review and approval of the 283 final version to be submitted. N. Diar Bakerly: Study conception/design, data acquisition, data analysis/interpretation, and manuscript writing/review and approval 284 285 of the final version to be submitted. A. Woodcock: Study conception/design, data 286 analysis/interpretation, and manuscript writing/review and approval of the final version to be submitted. J. Vestbo: Contributed to the overall study design and 287 288 analysis, the interpretation of the data presented in this manuscript and revision of 289 the primary manuscript, manuscript writing/review and approval of the final version to 290 be submitted. D.A. Leather: Conceived the original study concept, contributed to 291 protocol development, analysis plan, data interpretation, study operations, 292 manuscript writing/review and approval of the final version to be submitted. L. 293 Jacques: Data analysis/interpretation, manuscript writing/review and approval of the 294 final version to be submitted. J. Lay-Flurrie: Data analysis/interpretation, manuscript 295 writing/review and approval of the final version to be submitted. H. Svedsater: Study 296 conception/design, data analysis/interpretation, manuscript writing/review and

approval of the final version to be submitted. S. Collier: Data acquisition, data
analysis/interpretation, manuscript writing/review and approval of the final version to
be submitted.

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Competing interests: R. Jones has received research grants from AstraZeneca and 301 302 GlaxoSmithKline plc., personal fees from AstraZeneca, Boehringer Ingelheim, 303 GlaxoSmithKline plc., Novartis and Nutricia, and non-financial/other support from 304 AstraZeneca, Boehringer Ingelheim, GlaxoSmithKline plc., Novartis and Nutricia. He 305 is supported by the National Institute for Health Research (NIHR) Collaboration for Leadership in Applied Health Research and Care South West Peninsula. N. Diar 306 307 Bakerly discloses employment by the organisation that provided IT support for 308 automated data collection in SLS (NorthWest EHealth) and non-financial/other support from GlaxoSmithKline plc. A. Woodcock discloses non-financial/other 309 support from GlaxoSmithKline plc., personal fees from Axalbion and Reacta Biotech. 310 311 He is also Chairman of the Medicines Evaluation Unit. He is supported by the NIHR 312 Manchester Biomedical Research Centre. J. Vestbo has received grants from 313 Boehringer Ingelheim and personal fees from AstraZeneca, Boehringer Ingelheim, 314 Chiesi and Novartis. He also discloses non-financial/other support from Chiesi and 315 GlaxoSmithKline plc. He is supported by the NIHR Manchester Biomedical Research 316 Centre. A. Nicholls, D. Browning, D.A. Leather, L. Jacques, J. Lay-Flurrie, H. 317 Svedsater and S. Collier disclose employment with, stock/share ownership in, and non-financial/other support from, GlaxoSmithKline plc. 318 319

320 Funding: SLS COPD (HZC115151; NCT01551758) and SLS asthma (HZA115150;

321 NCT01706198) were funded by GlaxoSmithKline plc.

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323 Data sharing statement: Anonymised individual participant data from this study plus 324 the annotated case report form, protocol, reporting and analysis plan, dataset 325 specifications, raw dataset, analysis-ready dataset and clinical study report are available for research proposals approved by an independent review committee. 326 327 Proposals should be submitted to www.clinicalstudydatarequest.com. A data access 328 agreement will be required. 329 330 Patient consent and ethical approval: All patients provided written informed consent. The trials were conducted in accordance with the International Conference on 331 332 Harmonisation Good Clinical Practice guidelines and the provisions of the 2008 333 Declaration of Helsinki. The trial protocols were approved by the National Research Ethics Service Committee North West, Greater Manchester South (approval 334

335 numbers 11/NW/0798 and 12/NW/0455).

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TABLE 1 Patient demographics and baseline characteristics by deprivation quintile for SLS COPD and SLS asthma (total study populations)

	SLS	COPD							
	Deprivation quintile <sup>#</sup> (N=2791)								
Characteristic	1 (n=1453)	2 (n=601)	3 (n=391)	4 (n=209)	5 (n=137)				
Age, years, mean (SD)	65.0 (9.8)	67.2 (10.1)	68.8 (9.5)	70.4 (8.4)	70.1 (9.5)				
Male, n (%)	733 (50)	305 (51)	197 (50)	111 (53)	78 (57)				
BMI, kg/m <sup>2</sup> , mean (SD) <sup>¶</sup>	28.0 (7.1)	27.9 (6.1)	27.6 (5.4)	27.6 (5.4)	27.1 (5.0)				
Current smoker, n (%)	763 (53)	247 (41)	156 (40)	70 (33)	48 (35)				
Duration of COPD ≥5 years, n (%)	764 (53)	305 (51)	204 (52)	127 (61)	75 (55)				
COPD exacerbations in the year prior to randomisation, mean (SD)	2.1 (2.1)	1.9 (1.8)	2.0 (1.8)	2.0 (1.9)	1.5 (1.4)				

	SLS a	sthma						
	Deprivation quintile <sup>#</sup> (N=4218)							
Characteristic	1 (n=855)	2 (n=834)	3 (n=856)	4 (n=831)	5 (n=842)			
Age, years, mean (SD)	47.1 (15.8)	47.9 (16.0)	49.9 (16.2)	50.0 (16.9)	54.1 (16.0)			
Male, n (%)	346 (40)	330 (40)	359 (42)	344 (41)	353 (42)			
BMI, kg/m <sup>2</sup> , mean (SD) <sup>¶</sup>	31.0 (7.6)	30.6 (7.1)	30.4 (7.1)	29.1 (6.2)	28.5 (5.8)			
Current smoker, n (%) <sup>¶</sup>	276 (33)	218 (26)	179 (21)	108 (13)	65 (8)			
Duration of asthma ≥10 years, n (%)	627 (73)	624 (75)	634 (74)	611 (74) <sup>¶</sup>	638 (76)			
Severe asthma exacerbations in the year prior to randomisation, mean (SD)	0.7 (1.2)	0.7 (1.2)	0.7 (1.3)	0.6 (1.0)	0.5 (0.9)			
Uncontrolled asthma (ACT ≤15), n (%)	462 (54)¶	384 (46)	354 (41)	282 (34)	231 (27)			
Daytime symptoms more than twice a week, n (%) <sup>+</sup>	772 (90)	760 (91)	781 (91)	750 (90)	755 (90)			
SABA use more than twice a week, n (%)*	689 (81)	648 (78)	640 (75)	552 (66)	504 (60)			
Activity limitations in the past week, n (%)*	501 (59)	474 (57)	454 (53)	374 (45)	351 (42)			
Nocturnal symptoms/awakenings in the past week, n (%)*	504 (59)	446 (53)	409 (48)	383 (46)	365 (43)			

SLS: Salford Lung Study; COPD: chronic obstructive pulmonary disease; SD: standard deviation; BMI: body mass index; ACT: Asthma Control Test; SABA: short-acting beta<sub>2</sub>-agonist. #: where 1 = most deprived, 5 = least deprived; <sup>¶</sup>: based on patients with available data; +: based on patients' recall of asthma symptoms in the past week, as assessed at the baseline (randomisation) visit.

		SLS CO	PD (N=2791)		SLS asthma (N=4218)					
	Mean (SD) annual number of healthcare contacts <sup>+</sup>		Geometric mean (geometric SD) total COPD care costs per patient, £ <sup>§</sup>		Mean (SD) annual number of healthcare contacts <sup>+</sup>		Geometric mean (geometric SD) total asthma care costs per patient, £ <sup>§</sup>			
Deprivation quintile <sup>¶</sup>	FF/VI (n=1396)	UC (n=1395)	FF/VI (n=1396)	UC (n=1395)	FF/VI (n=2105)	UC (n=2113)	FF/VI (n=2105)	UC (n=2113)		
1	n=731	n=722	n=731	n=722	n=412	n=443	n=412	n=443		
	32.0 (23.4)	29.4 (22.2)	842.1 (2.3)	981.4 (2.1)	20.0 (19.0)	18.8 (17.0)	417.3 (1.8)	453.7 (1.9)		
2	n=307	n=294	n=307	n=294	n=434	n=400	n=434	n=400		
	29.7 (21.8)	28.4 (21.8)	742.5 (2.1)	984.1 (2.1)	18.1 (15.0)	18.5 (17.6)	412.9 (1.8)	433.3 (1.9)		
3	n=189	n=202	n=189	n=202	n=401	n=455	n=401	n=455		
	29.9 (19.0)	28.4 (20.3)	819.0 (2.0)	955.2 (2.1)	17.0 (14.8)	17.0 (14.6)	411.0 (1.6)	479.7 (1.9)		
4	n=104	n=105	n=104	n=105	n=425	n=406	n=425	n=406		
	29.2 (19.3)	27.4 (18.6)	730.7 (1.9)	894.8 (2.0)	16.0 (13.7)	13.2 (11.6)	431.3 (1.8)	431.6 (1.9)		
5	n=65	n=72	n=65	n=72	n=433	n=409	n=433	n=409		
	27.5 (22.4)	21.3 (14.9)	743.4 (2.0)	823.6 (1.8)	14.2 (12.6)	13.2 (11.4)	419.7 (1.8)	427.8 (1.8)		

SLS: Salford Lung Study; COPD: chronic obstructive pulmonary disease; SD: standard deviation; FF/VI: fluticasone furoate/vilanterol; UC: usual care. #: ontreatment, all-cause healthcare contacts; ¶: where 1 = most deprived, 5 = least deprived; +: composite analysis of all primary and secondary healthcare contacts; §: including total direct costs for COPD-/asthma-related healthcare resource utilisation, rescue medication and study drugs.

TABLE 3 Treatment adherence (PDC) by deprivation quintile in SLS COPD and SLS asthma (total study populations and SLS asthma ICS/LABA therapy subset<sup>#</sup>)

			I	Mean (SD) PDC,	%¶					
	SLS COPD			SLS asthma			ICS/LABA therapy subset			
	(N=2791)			(N=4218)		(N=2642)				
Deprivation	FF/VI	UC	Deprivation	FF/VI	UC	Deprivation	FF/VI	UC		
quintile <sup>+</sup>	(n=1396)	(n=1395)	quintile <sup>+</sup>	(n=2105)	(n=2113)	quintile <sup>+</sup>	(n=1319)	(n=1323)		
1 (p. 1422)	n=722	n=701	1 (n=848)	n=410	n=438	1 (n=546)	n=266	n=280		
1 (n=1423)	83.8 (23.3)	82.6 (23.0)		78.2 (24.3)	78.9 (25.4)		78.7 (23.5)	76.6 (25.4)		
2(n, E97)	n=303	n=284	2 (n=829)	n=432	n=397	2 (n=522)	n=274	n=248		
2 (n=587)	85.4 (21.7)	83.1 (22.9)		79.4 (24.3)	79.0 (25.5)		81.1 (22.9)	78.2 (25.5)		
2(-200)	n=187	n=199	3 (n=849)	n=399	n=450	3 (n=545)	n=250	n=295		
3 (n=386)	87.5 (20.3)	81.1 (22.3)		82.4 (23.2)	79.9 (24.6)		81.7 (23.7)	77.8 (25.0)		
4 (* 200)	n=101	n=105	4 (* 000)	n=422	n=401	4 (n=505)	n=262	n=243		
4 (n=206)	86.8 (21.9)	80.4 (24.7)	4 (n=823)	85.4 (21.2)	77.2 (25.7)		85.3 (21.6)	76.1 (25.5)		
E (a. 404)	n=65	n=66	F (m. 000)	n=426	n=407	5 (n=497)	n=254	n=243		
5 (n=131)	86.1 (19.8)	84.6 (25.3)	5 (n=833)	85.9 (20.6)	75.8 (27.6)		86.8 (18.9)	73.7 (28.1)		

PDC: proportion of days covered; SLS: Salford Lung Study; COPD: chronic obstructive pulmonary disease; ICS: inhaled corticosteroid; LABA: long-acting beta<sub>2</sub>-agonist; SD: standard deviation; FF/VI: fluticasone furoate/vilanterol; UC: usual care; eCRF: electronic case report form. #: the SLS asthma ICS/LABA therapy subset comprised patients whose baseline maintenance therapy per randomisation stratification and pre-randomisation prescription was an ICS/LABA; 1: values are mean (SD) PDC based on eCRF study medication prescribing data captured during the study. Based on patients with available PDC data (N=2733 for SLS COPD; N=4182 for SLS asthma; N=2615 for SLS asthma ICS/LABA therapy subset); +: where 1 = most deprived, 5 = least deprived.

TABLE 4 Treatment modifications by deprivation quintile in SLS COPD and SLS asthma (total study populations and SLS asthma ICS/LABA therapy subset<sup>#</sup>)

Patients with ≥1 treatment modification during study, n (%) SLS COPD SLS asthma ICS/LABA ther								
	SLSC	COPD		SLS a	sthma		ICS/LABA therapy subset	
	(N=2791)			(N=4218)			(N=2642)	
Deprivation	FF/VI	UC	Deprivation	FF/VI	UC (n=2113)	Deprivation	FF/VI (n=1319)	UC (n=1323)
quintile¶	(n=1396)	(n=1395)	quintile <sup>¶</sup>	(n=2105)		quintile <sup>¶</sup>		
1 (n=1453)	n=731	n=722	1 (n=855)	n=412	n=443	1 (n=551)	n=268	n=283
	181 (25)	78 (11)		82 (20)	113 (26)		62 (23)	80 (28)
2 (n=601)	n=307	n=294	2 (n=834)	n=434	n=400	2 (n=526)	n=276	n=250
	69 (22)	37 (13)		94 (22)	65 (16)		59 (21)	40 (16)
3 (n=391)	n=189	n=202	3 (n=856)	n=401	n=455	3 (n=551)	n=252	n=299
	40 (21)	19 (9)		89 (22)	80 (18)		54 (21)	50 (17)
4 (n=209)	n=104	n=105	4 (n=831)	n=425	n=406	4 (n=511)	n=265	n=246
	26 (25)	16 (15)		94 (22)	64 (16)		61 (23)	44 (18)
5 (n=137)	n=65	n=72	5 (n=842)	n=433	n=409	5 (n=503)	n=258	n=245
	26 (40)	10 (14)		103 (24)	53 (13)		73 (28)	37 (15)

SLS: Salford Lung Study; COPD: chronic obstructive pulmonary disease; ICS: inhaled corticosteroid; LABA: long-acting beta<sub>2</sub>-agonist; FF/VI: fluticasone furoate/vilanterol; UC: usual care. #: the SLS asthma ICS/LABA therapy subset comprised patients whose baseline maintenance therapy per randomisation stratification and pre-randomisation prescription was an ICS/LABA; ¶: where 1 = most deprived, 5 = least deprived.

TABLE 5 Rates of patient withdrawals from study by deprivation quintile in SLS COPD and SLS asthma (total study populations and SLS asthma ICS/LABA therapy subset<sup>#</sup>)

Patient withdrawal rate, n (%)									
	SLS C	COPD		SLS a	ICS/LABA therapy subset				
	(N=2791)			(N=4218)			(N=	=2642)	
Deprivation	FF/VI	UC (n=1395)	Deprivation	FF/VI (n=2105)	UC (n=2113)	Deprivation	FF/VI (n=1319)	UC	
quintile <sup>¶</sup>	(n=1396)		quintile <sup>¶</sup>			quintile <sup>¶</sup>		(n=1323)	
1 (n=1453)	n=731	n=722	1 (n=855)	n=412	n=443	1 (n=551)	n=268	n=283	
	54 (7)	53 (7)		40 (10)	37 (8)		23 (9)	18 (6)	
2 (n=601)	n=307	n=294	2 (n=834)	n=434	n=400	2 (n=526)	n=276	n=250	
	26 (8)	14 (5)		47 (11)	43 (11)		26 (9)	29 (12)	
3 (n=391)	n=189	n=202	3 (n=856)	n=401	n=455	3 (n=551)	n=252	n=299	
	12 (6)	15 (7)		32 (8)	38 (8)		20 (8)	26 (9)	
4 (n=209)	n=104	n=105	4 (n=831)	n=425	n=406	4 (n=511)	n=265	n=246	
	9 (9)	7 (7)		37 (9)	30 (7)		23 (9)	18 (7)	
5 (n=137)	n=65	n=72	5 (n=842)	n=433	n=409	5 (n=503)	n=258	n=245	
	4 (6)	3 (4)		37 (9)	24 (6)		20 (8)	14 (6)	

SLS: Salford Lung Study; COPD: chronic obstructive pulmonary disease; ICS: inhaled corticosteroid; LABA: long-acting beta<sub>2</sub>-agonist; FF/VI: fluticasone furoate/vilanterol; UC: usual care. #: the SLS asthma ICS/LABA therapy subset comprised patients whose baseline maintenance therapy per randomisation stratification and pre-randomisation prescription was an ICS/LABA; <sup>¶</sup>: where 1 = most deprived, 5 = least deprived.

## **Figure legends**

### FIGURE 1

Patient distribution by deprivation quintile in SLS COPD and SLS asthma (total study populations).<sup>#¶</sup>

SLS: Salford Lung Study; COPD: chronic obstructive pulmonary disease. <sup>#</sup>: N=2791 and N=4218 patients with available deprivation data for SLS COPD and SLS asthma, respectively. Percentages are based on a denominator of the number of patients with available deprivation data; <sup>¶</sup>: for deprivation quintile, 1 = most deprived, 5 = least deprived.

# **FIGURE 2**

Primary effectiveness outcomes by treatment group and deprivation quintile. a) SLS COPD: mean annual rate of moderate/severe exacerbations (PEA population; N=2269).<sup>#¶+</sup> b) SLS asthma: percentage of ACT responders at week 24 (PEA population; N=3015).<sup>+§II</sup>

SLS: Salford Lung Study; COPD: chronic obstructive pulmonary disease; PEA: primary effectiveness analysis; ACT: Asthma Control Test; FF/VI: fluticasone furoate/vilanterol; UC: usual care; CI: confidence interval; LS: least squares. #: moderate/severe exacerbations are defined as reported previously [7]; ¶: analysis using a general linear model assuming a negative binomial distribution, with the logarithm of time on treatment as an offset variable and adjusting for randomised treatment, baseline COPD maintenance therapy per randomisation stratification, number of prior moderate/severe COPD exacerbations in the previous year, baseline smoking status, deprivation quintile and a randomised treatment-by-deprivation quintile interaction term; +: for deprivation quintile, 1 = most deprived, 5 = least deprived; §: ACT responders were defined as patients who achieved an ACT total score  $\geq$ 20 and/or increase from baseline  $\geq$ 3; I: analysis by logistic regression with adjustment for randomised treatment, baseline ACT total score, baseline ACT total score squared, asthma maintenance therapy at baseline per randomisation stratification, age, gender, baseline smoking status, deprivation quintile and a randomised treatment-by-deprivation quintile interaction term.

### FIGURE 3

Percentage of ACT responders at weeks 12, 40 and 52 by treatment group stratified by deprivation quintile in SLS asthma (PEA population; N=3015).<sup>#¶+</sup>

ACT: Asthma Control Test; SLS: Salford Lung Study; PEA: primary effectiveness analysis; UC: usual care; FF/VI: fluticasone furoate/vilanterol; CI: confidence interval. #: ACT responders were defined as patients who achieved an ACT total score  $\geq$ 20 and/or increase from baseline  $\geq$ 3; ¶: analysis by logistic regression with adjustment for randomised treatment, baseline ACT total score, baseline ACT total score squared, asthma maintenance therapy at baseline per randomisation stratification, age, gender, baseline smoking status, deprivation quintile and a randomised treatment-by-deprivation quintile interaction term; +: for deprivation quintile, 1 = most deprived, 5 = least deprived.