

1 Aqueous solution-processed AlO_x dielectrics and their 2 biased radiation response investigated by an on-site 3 technique

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16 **Abstract:** The effect of annealing temperature on the properties of aqueous solution-processed
17 AlO_x thin films is reported in this paper. Specifically, the stability of AlO_x based Metal Oxide
18 Semiconductor (MOS) capacitor devices under bias-stress (BS) and biased radiation stress (BRS)
19 were assessed by an on-site technique with bias stress time up to 10^5 s. A 662-keV Cs^{137} γ -ray
20 radiation source was used, with circa 92 Gy, for biased radiation stress experiments. In order to
21 better understand the origin of degradation mechanisms, the build-up of charge and generation of
22 defects during the BS and BRS were analyzed by calculation of the variation of oxide trap density
23 (ΔN_{ot}) in AlO_x bulk and interface trap density (ΔN_{it}) at the oxide/semiconductor interface. It is been
24 found that high annealing temperature (>250 °C) can result in the formation of AlO_x thin films
25 with reduced impurities, low leakage current, and satisfactory BS as well as BRS stability. The
26 results of ΔN_{ot} and ΔN_{it} vs stress time indicate that AlO_x bulk oxide traps dominate the shift of flat-
27 band voltage (V_{FB}) under BRS. Furthermore, ΔN_{ot} and ΔN_{it} decrease slightly under positive biased
28 radiation stress (PBRS), while the increase in ΔN_{ot} and ΔN_{it} concentrations observed under
29 negative biased radiation stress (NBRS), exhibits a mechanism which differs from the traditional
30 two-stage process theory.

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32 **Keywords:** *Solution-processed; High-k gate dielectric; AlO_x capacitor; Biased γ -ray radiation stress*
33 *stability; On-site radiation measurements*

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35 1. Introduction

36 To date, metal-oxide thin-film transistors (TFTs) have attracted considerable attention for next
37 generation display technology due to their high optical transparency, excellent charge transport
38 characteristics, good chemical stability, and high mechanical tolerance [1-7]. Compared to traditional
39 vacuum thin film deposition methods, solution processes enable the fabrication of larger area flexible
40 low-cost metal-oxide TFTs due to advantages of simplicity, low-cost and high throughput. Over the past
41 decade, solution-processed thin film deposition techniques for oxide materials have been well developed,

42 including dip-coating, spin-coating and inkjet-printing [8-15]. In addition, solution-processed high-*k*
43 dielectrics such as Al₂O₃, ZrO₂, La₂O₃ and HfO₂ in TFTs have been utilized to achieve low operation
44 voltage and gate leakage current [2,9-11,15-24]. Among the various high-*k* dielectrics, Al₂O₃ is
45 considered to be an excellent candidate due to its high breakdown field, good thermal and chemical
46 stability, relatively high dielectric constant, smooth surface and amorphous structure under typical
47 processing conditions [1,11,20,22,25,26]. Most of the solution-processed AlO_x thin films are currently
48 fabricated by toxic organic precursor solvents such as 2-methoxyethanol and acetonitrile. These
49 precursor solvents could induce the potential environmental damage within the processing procedures.
50 Since water can be implemented as a suitable precursor solution, aqueous solution-processed AlO_x
51 dielectric could be a promising alternative candidate for the application in eco-friendly, low-cost and low
52 power consumption TFT devices [1,11,18,25].

53 Furthermore, solution-processed oxide TFTs are crucial to enable large-area electronics in harsh
54 radiation environments, such as whole-body-scanning X-ray detectors and large-area antenna arrays [27].
55 Only a few studies have addressed radiation damage in solution-processed high-*k* dielectrics for TFT
56 applications [27]. Typically, ionizing radiation can generate bulk oxide and interface traps near the
57 oxide/semiconductor interface, which cause device degradation [28]. In addition, the applied voltage bias
58 stress (BS) on TFT devices will enhance the motion, reactions, and trapping of charge at or near the
59 oxide/semiconductor interface [29]. Therefore, the long-term reliability of solution-processed devices
60 under biased radiation stress (BRS) needs to be investigated. The electrical characteristics of devices
61 have been evaluated before and after irradiation via conventional off-site radiation response method. The
62 ineluctable interruption of irradiation can cause a rapid recovery of flat band voltage (V_{FB}) shift, which
63 leads to underestimation of the degradation caused by charge trapping/de-trapping. Consequently, on-
64 site measurements have been introduced to fully characterize radiation induced degradation. There has
65 been limited research reported on the γ -ray radiation response of solution-processed high-*k* dielectrics by
66 on-site techniques.

67 In this work, the effect of annealing temperature in the range 150-300 °C on physical and chemical
68 properties of solution processed AlO_x thin films were investigated by spectroscopic ellipsometry,
69 thermogravimetric analysis-differential scanning calorimetry (TGA-DSC), atomic force microscopy
70 (AFM), X-ray diffraction (XRD) and Fourier transform infrared spectroscopy (FT-IR). In order to
71 investigate the electrical properties comprehensively, AlO_x thin films were integrated into Metal Oxide
72 Semiconductor (MOS) capacitors. Capacitance-frequency (*C-f*), capacitance-voltage (*C-V*), leakage
73 current-voltage (J_{leak} -*V*) and flat-band voltage shift (ΔV_{FB}) measurements were carried out. Furthermore,
74 BS and BRS stability were systemically investigated by an on-site technique. The measurements were
75 carried out under continuous γ -ray exposure with various gate bias stresses. In order to investigate the
76 origin of degradation mechanisms, the build-up of charge and the generation of defects during the BS
77 and BRS were analyzed by calculating the variation of oxide trap (ΔN_{ot}) and interface trap density (ΔN_{it}).
78 The results of ΔN_{ot} and ΔN_{it} suggest that oxide AlO_x bulk traps dominated the shift of the V_{FB} .
79 Furthermore, ΔN_{ot} and ΔN_{it} increase in magnitude under negative biased radiation stress (NBRS) and
80 decrease under positive biased radiation stress (PBRS).

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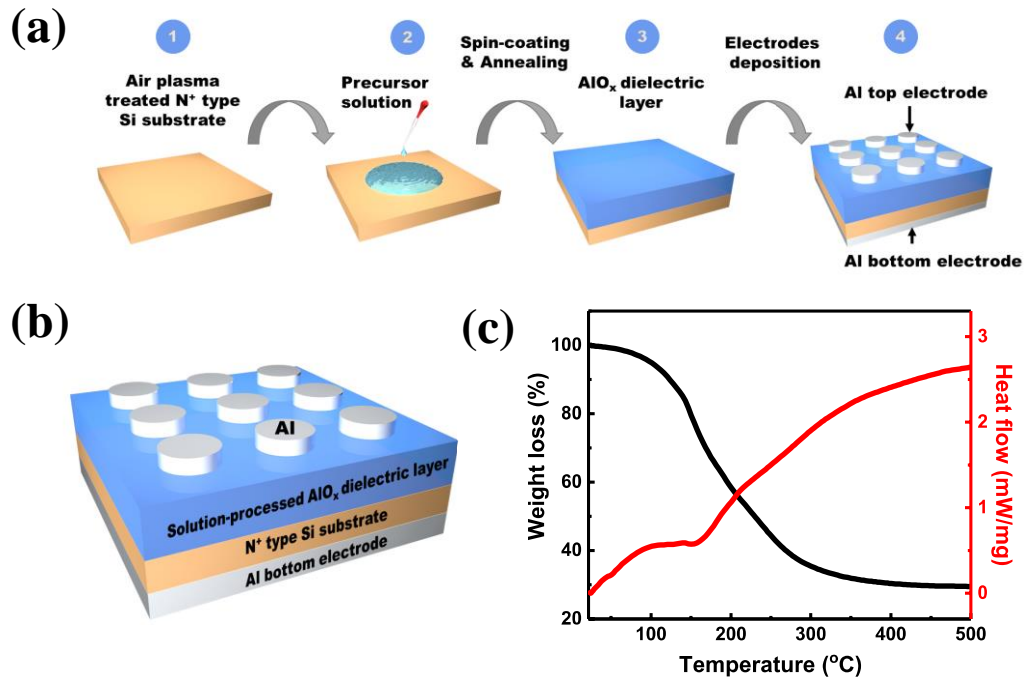


Figure. 1 (a) Fabrication process of solution-processed AlO_x Metal Oxide Semiconductor (MOS) capacitor. (b) A cross-section of solution-processed AlO_x MOS capacitor with a structure of Al/N⁺-Si/AlO_x/Al from bottom to top. (c) Thermogravimetric analysis-differential scanning calorimetry (TGA-DSC) curves of Al(NO₃)₃ precursor powder heated from 20 °C to 500 °C with a heating rate of 10 °C/min.

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84 2. Experimental

85 2.1 Precursor Preparation

86 The fabrication process and a cross-section of the AlO_x MOS capacitor are shown in Figs. 1(a) and
 87 (b), respectively. To prepare the precursor solution, aluminum nitrate hydrate (Al(NO₃)₃·xH₂O) was
 88 dissolved in water to produce a colorless and clear solution with 2.5 M molar concentration. The solution
 89 was stirred in ultrasonic bath for 2 h to ensure the precursor was fully dissolved since the nitrate salts
 90 show excellent water solubility. Then the solution was filtered by a 0.45 μm polyether sulfone (PES)
 91 syringe filter before spin coating.

92 2.2 Device fabrication

93 To prepare the substrates, lightly-doped N type Si substrates (resistivity: 2-4 Ω·cm) were dipped in
 94 2% HF aqueous solution for 60 s to remove the native oxide and then dried by N₂. Subsequently, the
 95 substrates were exposed under air plasma for 15 mins. After preparation of Si substrates, the precursor
 96 solution was spin-coated on the processed substrate at 4500 rpm for 40 s and then annealed on the hot
 97 plate at temperatures of 150 °C, 200 °C, 250 °C and 300 °C for 1 h. Finally, 300 nm thick Al top and
 98 bottom electrodes were deposited through shadow masks by e-beam evaporation. The circular top
 99 electrode had a diameter of 0.3 mm.

100 2.3 Characterization

101 The thickness of the solution-processed AlO_x thin films was measured by spectroscopic
 102 ellipsometry. To investigate the thermal behavior of the precursor powder, the precursor solution was
 103 dried at 100 °C for 1 h and then monitored by TGA-DSC. The thin film morphologies were characterized

104 by atomic force microscopy in tapping mode. The structural and crystal properties were characterized by
 105 X-ray diffraction. The chemical characteristics of AlO_x thin films were investigated by FT-IR. The C-V
 106 characteristics were measured using a HP 4284 precision LCR meter at a frequency of 1 MHz. To
 107 investigate the BS and BRS stability of AlO_x MOS capacitor, constant voltage bias stress was applied on
 108 the gate with and without radiation exposure. During the bias-stress, C-V curves were measured at regular
 109 points in time of 10^{1/3} s, 10^{2/3} s, 10^{3/3} s, 10^{4/3} s, 10^{5/3} s etc. to allow extraction of the V_{FB}. For BRS, a 662-
 110 keV Cs¹³⁷ γ-ray radiation source was used, the stress time was up to 10⁵ s and the total dose was around
 111 92 Gy. All electrical measurements were carried out in the dark at room temperature.

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113 3. Results and Discussion

114 3.1 Annealing Temperature Effect

115 Fig. 1(c) displays the thermal behavior of AlO_x precursor powder. The measurement temperature
 116 increased from 20 °C to 500 °C with a heating rate of 10 °C/min. It can be seen that the weight of
 117 precursor powder decreases abruptly from 100 °C to 280 °C, which is likely to be due to the evaporation
 118 of the solvent, decomposition of the impurities and hydrolysis of the metal precursors of AlO_x precursor
 119 powder. After 280 °C, the gradual weight loss of precursor powder indicates that the residual of the
 120 solvent and impurities of the precursor powder (such as nitrate) have been almost eliminated. The TGA-
 121 DSC results prove that the 300 °C annealing temperature is high enough to form the metal oxygen metal
 122 frame, densify the films, and eliminate precursor impurities in the AlO_x layer. Table 1 summarizes the
 123 microstructural and dielectric properties of solution-processed AlO_x dielectrics under various annealing
 124 temperatures, including thickness, roughness, leakage current at 6 V, areal capacitance at 1 kHz and
 125 dielectric constant at 1 kHz.

126 3D AFM images of solution-processed AlO_x thin films annealed at different temperatures are shown
 127 in Fig. 2. The root-mean-square (rms) roughness values of all AlO_x thin films are found to be in the range
 128 of 0.1~0.2 nm, indicating that AlO_x thin films have an ultra-smooth surface when annealed up to 300 °C.
 129 Low surface roughness is a critical feature of the gate dielectric in high performance TFTs, since it allows
 130 low leakage current and facilitates high carrier mobility in the transistor channel [11,20].

Table 1. Microstructural and dielectric properties of solution-processed AlO_x thin films.

| Annealing Temperature (°C) | Thickness (nm) | Roughness (nm) | Leakage Current at 6 V (A/cm ²) | Areal Capacitance (nF/cm ²) at 1 kHz | Dielectric Constant at 1 kHz |
|----------------------------|----------------|----------------|---|--|------------------------------|
| 150 | 60 | 0.14 | 2.6 × 10 ⁻⁵ | 102 | 7.0 |
| 200 | 54 | 0.11 | 5.0 × 10 ⁻⁶ | 132 | 8.1 |
| 250 | 52 | 0.19 | 3.5 × 10 ⁻⁶ | 139 | 8.2 |
| 300 | 45 | 0.2 | 2.6 × 10 ⁻⁶ | 171 | 8.7 |

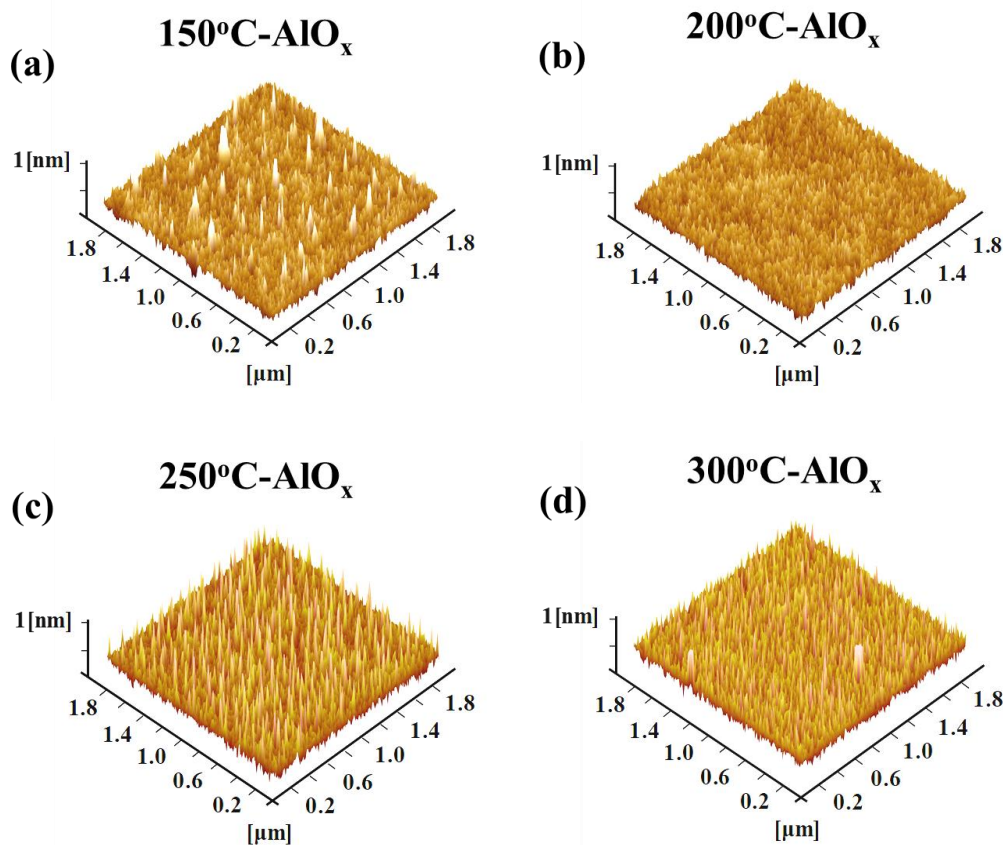


Figure 2. 3D AFM images of solution-processed AlO_x thin films annealed at (a) 150 °C, (b) 200 °C, (c) 250 °C and (d) 300 °C. The image dimensions are $1.8 \mu\text{m} \times 1.8 \mu\text{m}$.

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Fig. 3(a) shows the XRD spectra of AlO_x thin films annealed at different temperatures. No peaks corresponding to the crystalline AlO_x are observed in Fig. 3(a), which confirms that AlO_x films remain amorphous up to 300 °C. The amorphous structure allows for low leakage current and higher breakdown voltage. Conversely, poly-crystalline films allow enhanced leakage current and impurity diffusion via grain boundaries [14,30,31]. The FT-IR spectra for the solution-processed AlO_x thin films are shown in Fig. 3(b). The broad peaks in the range of $3000\text{--}3600 \text{ cm}^{-1}$ are likely to be related to hydroxyl (O-H) group stretching vibrations [32]. The peaks in the $1300\text{--}1500 \text{ cm}^{-1}$ range represent nitrate (NO_3^-) group deformation vibrations [22,32,33]. As the annealing temperature increases, these two peaks diminish, which is ascribed to the evaporation of the solvent and the gradually decomposition of O-H and NO_3^- groups in thin films. The bands in the range of $750\text{--}900 \text{ cm}^{-1}$ are due to vibrations of Al-O bond. At low temperature ($<200 \text{ °C}$) annealed conditions, the Al-O bond is not formed and only weak absorptions of hydrated metal nitrate species are observed [34]. At annealing temperature $>250 \text{ °C}$, Al-O bond is configured. Consequently, 300 °C is high enough to remove the solvent residue and impurities, as well as the formation of the metal-oxide framework, in agreement with the TGA-DSC results shown in Fig. 1(c).

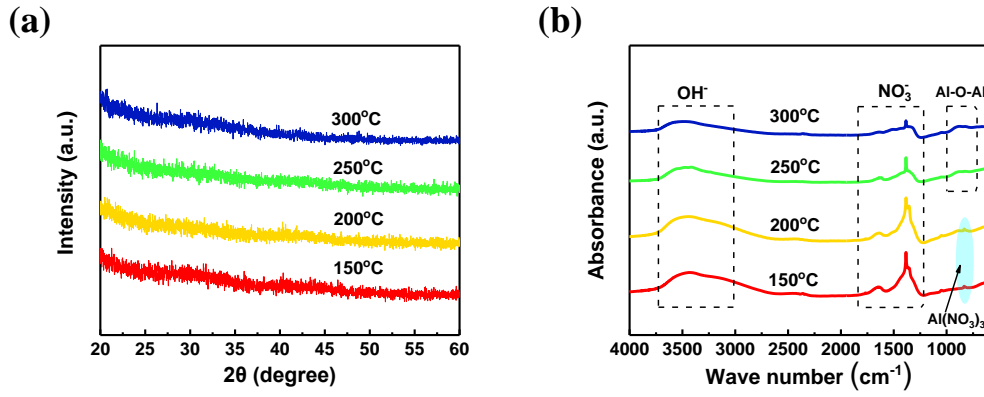


Figure 3. (a) XRD patterns and (b) FT-IR spectra of solution-processed AlO_x thin films annealed at different temperatures.

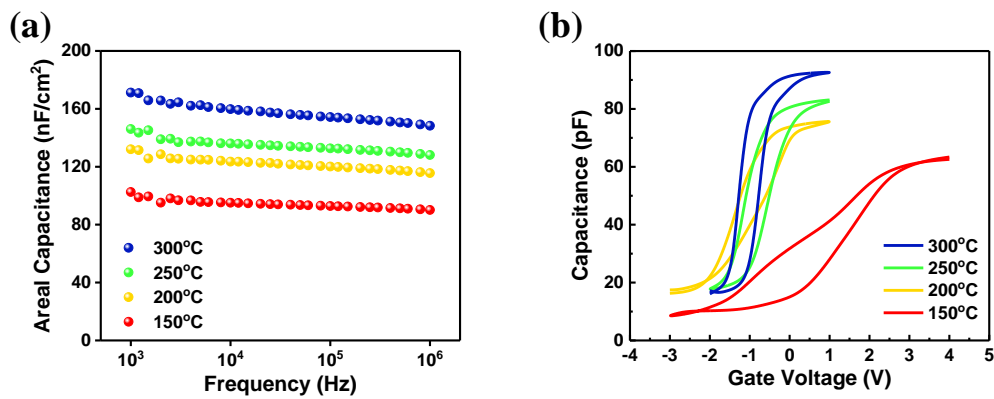


Figure 4. (a) Capacitance-frequency (C-f) and (b) capacitance-voltage (C-V) characteristics of solution-processed AlO_x MOS capacitors annealed at 300, 250, 200 and 150 °C.

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148 Fig. 4(a) shows the areal C-f plots for AlO_x MOS capacitors. It can be seen that the areal capacitance
 149 increases with the rise in annealing temperature. This can be attributed to the formation of the metal-
 150 oxide framework and its densification at high annealing temperatures [11,14]. The dielectric constants
 151 of AlO_x thin films were calculated using the capacitance values measured at 1 kHz (see Table 1) and are
 152 consistent with values reported for solution-processed AlO_x [19,25]. Furthermore, the capacitance
 153 decreases as the frequency increases from 1 kHz to 1 MHz. In general, the capacitances fabricated in a
 154 vacuum environment show quite small frequency dispersion compared to those fabricated in ambient
 155 environment [33]. It has been shown that the electrical double layer (EDL) formed by mobile H⁺ in AlO_x
 156 film has a strong effect on the measured capacitance at low frequencies. This is due to the slow migration
 157 rate of protons in response to low measurement frequency [14] [35]. The 300 °C annealed AlO_x thin film
 158 is found to have the weakest frequency dispersion, indicating a low density of impurity H⁺. The C-V
 159 characteristics of AlO_x MOS capacitors measured at 1 MHz are shown in Fig. 4(b). Since the slope of
 160 the CV curves in the depletion region reflects the interface trap density, it can be seen that the slope
 161 increases with increasing annealing temperature, indicating a decrease in interface trap density. This
 162 could be due to the evaporation of hydroxyl groups and residual nitrate, the decomposition of metal

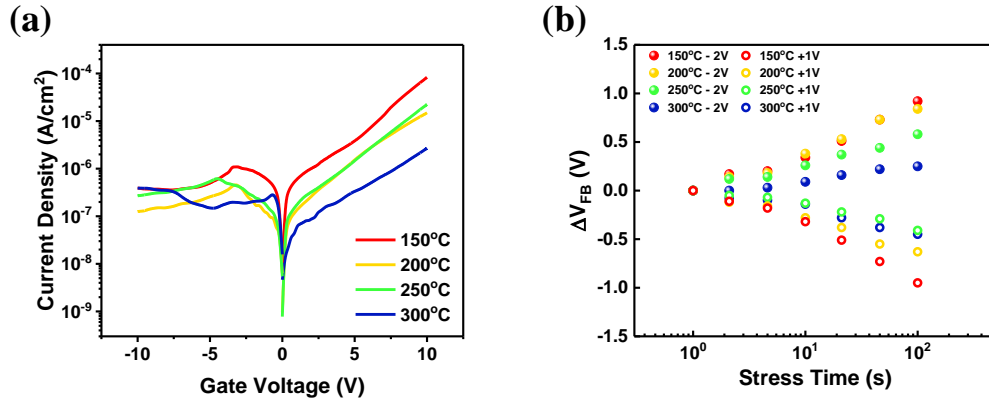


Figure 5. (a) Leakage current density-gate voltage ($J_{\text{leak}}-V$) and (b) flat-band voltage shift (ΔV_{FB}) vs stress time of solution-processed AlO_x MOS capacitors annealed at different temperatures. ΔV_{FB} are extracted from C-V curves measured from MOS capacitors at 1 MHz under different gate bias-stresses. The area of the capacitors is $7.1 \times 10^{-4} \text{ cm}^2$

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164 precursor and the formation of the metal-oxide framework under high annealing temperature [2,10,20,33].

165 The leakage current density-gate voltage ($J_{\text{leak}}-V$) measurements were performed to evaluate the
 166 leakage behavior of AlO_x thin films, as shown in Fig. 5(a). It can be seen that J_{leak} decreases with
 167 increasing anneal temperature. The 150 °C- AlO_x thin film has a J_{leak} of $2.6 \times 10^{-5} \text{ A/cm}^2$ at 6 V, which is
 168 relatively high compared to the thin films annealed at 200, 250 and 300 °C. This indicates that the AlO_x
 169 annealed at 150 °C suffers from an incomplete decomposition of precursor solution and therefore
 170 contains hydroxyl (O-H) and nitrate (NO_3^-) groups, as shown in Fig. 3(b). The latter provide leakage
 171 current paths and result in a high J_{leak} [11] [36]. The 200 °C- AlO_x , 250 °C- AlO_x and 300 °C- AlO_x thin
 172 films all show quite low J_{leak} at 6 V; namely $5.0 \times 10^{-6} \text{ A/cm}^2$, $3.5 \times 10^{-6} \text{ A/cm}^2$ and $2.6 \times 10^{-6} \text{ A/cm}^2$,
 173 as shown in Table 1) [19]. This low leakage could be attributed to the decomposition of metal precursor as
 174 well as the formation of the metal-oxide framework [2,10,20].

175 The BS stability of AlO_x MOS capacitors annealed at different temperatures is assessed from flat-
 176 band voltage shifts under 100s BS, as shown in Fig. 5(b). To calculate ΔV_{FB} , V_{FB} are extracted from C-
 177 V curves measured at regular intervals (typically $10^{1/3} \text{ s}$, $10^{2/3} \text{ s}$, $10^{3/3} \text{ s}$, $10^{4/3} \text{ s}$, $10^{5/3} \text{ s}$...) during the
 178 BS. It can be seen that the 300 °C- AlO_x MOS capacitor has the minimum ΔV_{FB} under positive bias-stress
 179 (PBS) and negative bias-stress (NBS), and hence shows the best BS stability. This is likely to be due to
 180 its low defect density and high metallic oxide concentration, in agreement with the results of TGA-DSC
 181 (Fig. 1(c)), AFM (Fig. 2), FT-IR (Fig. 3(b)) and $J_{\text{leak}}-V_g$ (Fig. 5(a)) shown earlier.

182 3.2 Biased Radiation Response

183 It can be concluded from the results above, that the 300 °C- AlO_x thin films have the best film quality,
 184 as indicated by their low defect density, high metallic oxide concentration, low leakage current and BS
 185 stability. Further radiation investigation of the BS and BRS stability of 300 °C- AlO_x MOS capacitors are
 186 now investigated in detail. Figs. 6(a) and (b) show the C-V curves of 300 °C- AlO_x MOS capacitors under
 187 PBS and NBS with stress time up to 10^5 s , respectively. For comparison, the C-V curves under PBRS
 188 and NBRS with total dose of around 92 Gy are shown in Figs. 6(c) and (d). It can be seen that the shift

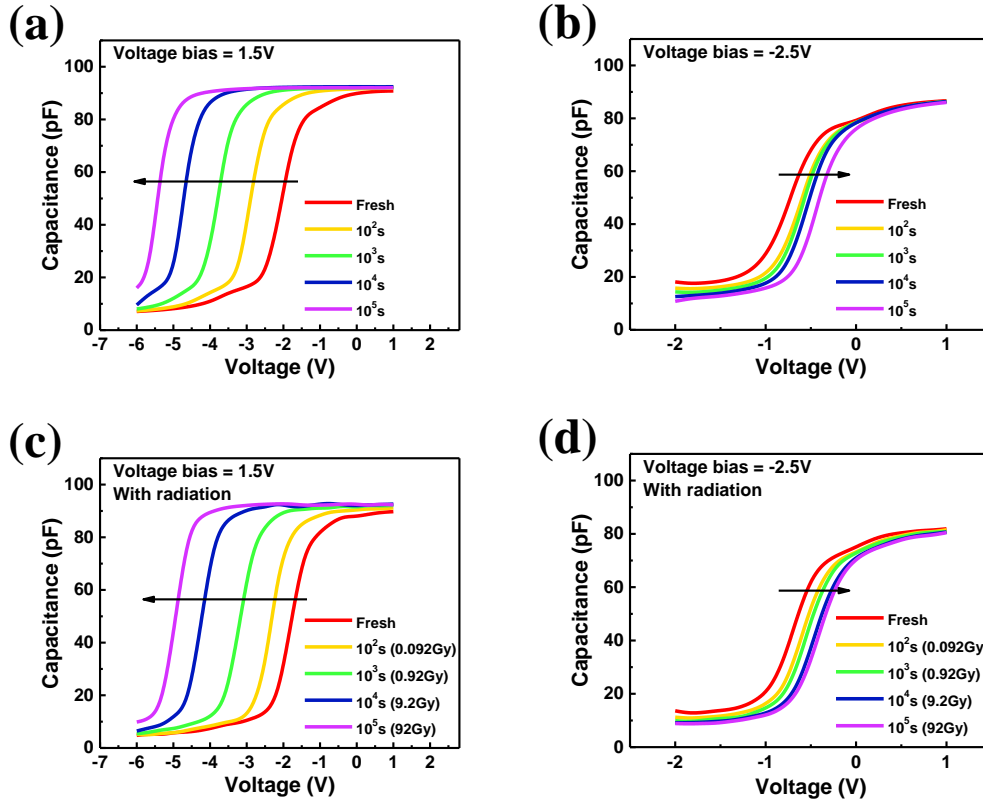


Figure 6. C-V curves of solution-processed 300 °C-AlO_x MOS capacitors under gate voltage of (a) +1.5 V, (b) -2.5 V, (c) irradiated +1.5 V and (d) irradiated -2.5 V with 10⁵ s bias-stress time. The total dose is around 92 Gy.

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190 of the C-V curves, positive or negative, is determined by the gate bias stress polarity. PB and NB produce
 191 negative and positive ΔV_{FB} , respectively. Positive ΔV_{FB} can be ascribed to the electron trapping in the
 192 AlO_x bulk and the passivation of the AlO_x/Si interface, while negative ΔV_{FB} is believed to be caused by
 193 proton trapping in the AlO_x bulk as well as the generation of Si dangling bonds at the AlO_x/Si interface.
 194 It is also observed that radiation exposure have effects on the shifts of the C-V curves under BRS, which
 195 is likely to be due to radiation induced electron-hole pair generation facilitating the charge trapping/de-
 196 trapping behavior in the AlO_x bulk, as well as the passivation/de-passivation of the AlO_x/Si interface.

197 Figs. 7(a) and (b) summarize the ΔV_{FB} of 300 °C-AlO_x MOS capacitor under 10⁵ s BS and BRS,
 198 respectively. The device shows less ΔV_{FB} under NBS than under PBS with/without radiation, indicating
 199 better NBS stability than PBS stability. As shown in Fig. 7(b), the radiation is observed to cause a positive
 200 ΔV_{FB} under both PBRS and NBRS, which is likely to be induced by the formation of negatively charged
 201 states and/or the build-up of interface traps with the assistance of radiation. The comprehensive
 202 mechanism is discussed below. Furthermore, radiation barely affects ΔV_{FB} without bias-stress due to the
 203 radiation induced electron-hole pairs having no significant effect on device properties without an applied
 204 electric field [37]. ΔN_{ot} causes a parallel shift of both mid-gap and flat band voltages, while ΔN_{it} only
 205 causes ΔV_{FB} due to the stretch-out of the C-V curve. Consequently, ΔV_{FB} is attributed to the combined
 206 effect of generation of oxide traps in AlO_x and interface traps near the AlO_x/Si interface. As shown in

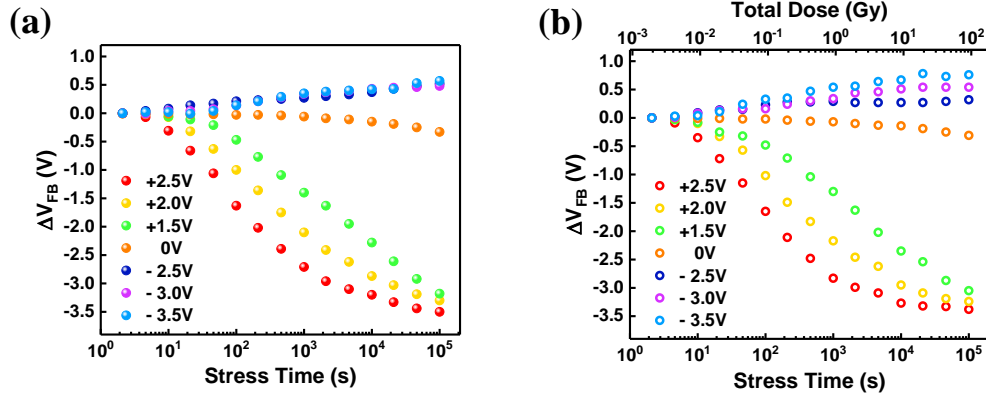


Figure 7. Flat band voltage shift (ΔV_{FB}) of solution-processed 300 °C- AlO_x MOS capacitors induced by different bias-stresses as a function of (a) stress time, (b) stress time & total dose.

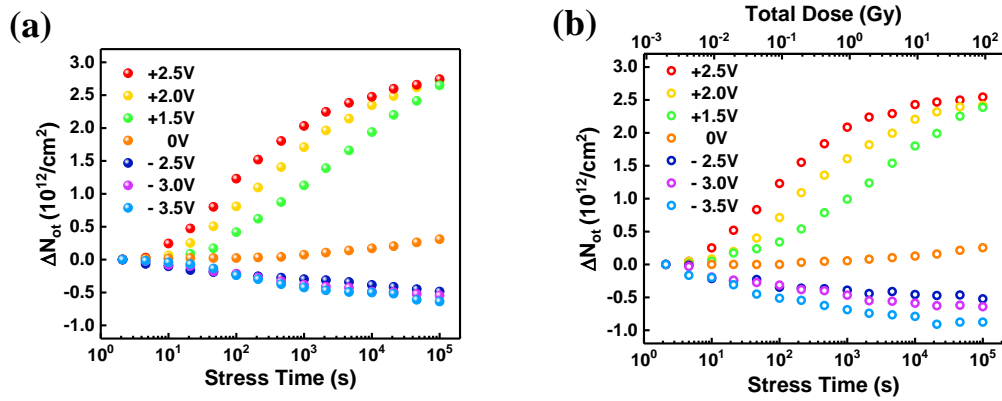


Figure 8. Variation of oxide traps density (ΔN_{ot}) of solution-processed 300 °C- AlO_x MOS capacitors induced by different bias-stresses as a function of (a) stress time, (b) stress time & total dose. ΔN_{ot} are extracted from mid-gap voltage shift (ΔV_{mg}) of C-V curves measured from 300 °C- AlO_x MOS capacitors at 1 MHz.

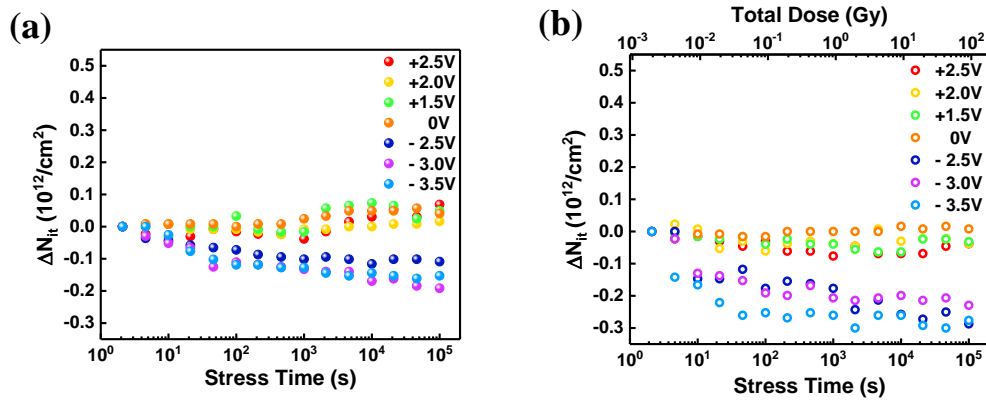


Figure 9. Variation of interface traps density (ΔN_{it}) of solution-processed 300 °C- AlO_x MOS capacitors induced by different bias-stresses as a function of (a) stress time, (b) stress time & total dose. ΔN_{it} are extracted from the difference between ΔV_{FB} and ΔV_{mg} of C-V curves measured from 300 °C- AlO_x MOS capacitors at 1 MHz.

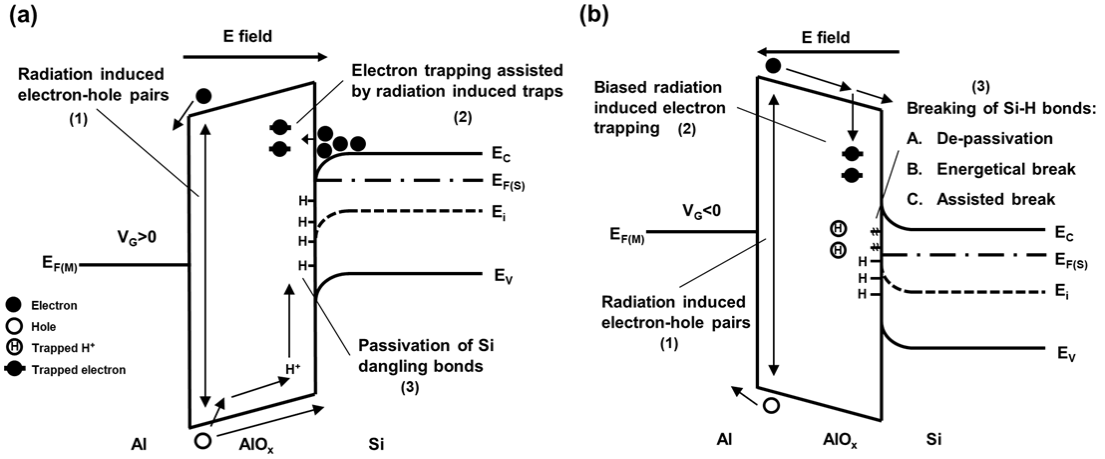


Figure 10. Energy band diagrams of solution-processed 300 °C-AIO_x MOS capacitors under (a) positive biased radiation stress (PBRS) and (b) negative biased radiation stress (NBRS).

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209 Fig. 8, ΔN_{ot} can be estimated by equation (1) [38]:

$$\Delta N_{ot} = -\frac{C_{ox}\Delta V_{mg}}{qA} \quad (1)$$

210 where ΔV_{mg} is the mid-gap voltage shift obtained from C-V curves, C_{ox} is the gate capacitance, q is the
 211 electronic charge, and A is the electrode area. It is notable that, under NBRS, ΔN_{ot} increases with
 212 increasing radiation dose and there is a net negative oxide trapped charges induced by BRS.

213 As shown in Fig. 9, ΔN_{it} can be estimated by equation (2) [38]:

$$\Delta N_{it} = \frac{C_{ox}(\Delta V_{FB} - \Delta V_{mg})}{qA}. \quad (2)$$

214 It can be seen that radiation can generate negative interface traps under all measurement conditions.
 215 Furthermore, for all total doses, ΔN_{ot} and ΔN_{it} are in the order of 10^{-12} and 10^{-11} cm⁻² and no significant
 216 variation of N_{it} was observed compared to N_{ot} , indicating that oxide traps dominate the shift of V_{FB} . Such
 217 a high level of N_{ot} is likely to be due to hydrogen reactions. Similar results have been reported on high-
 218 k dielectric based MOS capacitors. Kahraman et al. have reported Gd₂O₃ MOS capacitors with $\Delta N_{ot} =$
 219 2.3×10^{-12} and $\Delta N_{it} = 2.5 \times 10^{11}$ after circa 50 Gy γ -ray exposure in [39] and Er₂O₃ MOS capacitors with
 220 ΔN_{ot} (1.3×10^{12}) and ΔN_{it} (9.4×10^{10}) after circa 78 Gy γ -ray exposure in [40].

221 As shown in Figs. 8 and 9, compared to BS, ΔN_{ot} and ΔN_{it} are found to decrease slightly under
 222 PBRS, while they increase in magnitude under NBRS. Under PBRS, the reduced ΔN_{ot} is ascribed to the
 223 combined effect of bias-stress and radiation exposure with increasing stress time. As shown in Fig. 10(a),
 224 neutral oxide traps are created in the bulk of the AlO_x during exposure to ionizing irradiation [41]. With
 225 a positively applied gate voltage, electrons in the accumulation region at the AlO_x/Si interface can tunnel
 226 from Si substrate into those radiation induced neutral oxide traps (process (2) in Fig. 10(a)). The effects
 227 of radiation exposure and gate voltage add up and negatively charged traps are formed accordingly as
 228 the BRS time increased, which can thus partially compensate the positive oxide trapped charges near the
 229 AlO_x/Si interface, thus reduce positive ΔN_{ot} [42,43].

230 The decrease ΔN_{it} under PBRS could be explained by the conventional two-stage process theory
 231 originally described by McLean [44]. As depicted in Fig. 10(a), in the first stage, as radiation passes

232 through a gate oxide, electron-hole pairs are created within the gate dielectric (process (1) in Fig. 10 (a))
 233 [45]. The radiation induced electrons escape from the oxide within several picoseconds due to their
 234 higher mobility compared to the holes. Meanwhile, the radiation induced holes move towards the AlO_x/Si
 235 interface under PBRS. Thereafter, in the second stage, hydrogen will be liberated during the transport of
 236 holes, in the form of protons (H⁺) [29], and reach the interface via a hopping transport. The H⁺ can then
 237 passivate the existing Si dangling bonds (Si⁻) via reaction (3) listed below (process (3) in Fig. 10(a)).
 238 Once a defect is passivated by hydrogen, it no longer functions as an interface trap, therefore ΔN_{it} is
 239 reduced accordingly. Meanwhile, the Si-H bonds at the AlO_x/Si are also de-passivated by protons through
 240 reaction (4):



241 Nevertheless, the high concentration of protons and Si dangling bonds near the AlO_x/Si interface could
 242 cause a higher probability for protons to passivate Si dangling bonds via reaction (3), rather than to de-
 243 passivate a Si-H bond and form an interface trap via reaction (4) [46-48].

244 The mechanism for the increase of ΔN_{ot} and ΔN_{it} under NBRS is more complicated. As shown in
 245 Fig. 10(b), radiation induced electrons transport towards Si substrate under the applied negative electric
 246 field. Some of them fall into traps to form negative trapped oxide charges and cause a negative ΔN_{ot}. In
 247 the meantime, the applied negative electric field inhibit the motion of the radiation induced H⁺ to the
 248 AlO_x/Si interface and hence the passivation (reaction (3)) at the interface will be suppressed.
 249 Nevertheless, the de-passivation (reaction (4)) can still occur and lead to an increase of ΔN_{it} if there is a
 250 source of hydrogen at the interface or in the Si substrate. For an n-type Si substrate, P-H complexes, or
 251 oxygen protrusions could be the possible source of hydrogen for de-passivation [49]. Furthermore, the
 252 energetic breaking of Si-H bonds through reaction (5) under BRS (process (3) in Fig. 10 (b)) could
 253 contribute to the increase of both ΔN_{ot} and ΔN_{it}. When a Si-H bond is broken, it will release H⁺ which
 254 could be trapped in AlO_x to form an oxide trap under NBRS. Meanwhile, a Si dangling bond is formed
 255 and acts as an interface trap. The BRS could significantly reduce the binding energy of a H atom,
 256 indicating that the Si-H bond is relatively easy to break [29]. In addition, the defects or impurities, such
 257 as impurity Al atoms near the AlO_x/Si interface and suboxide bonds, could assist in the breaking of Si-H
 258 bonds and cause trapping of the H⁺ released from the Si-H bonds under NBRS.

259 Consequently, under NBRS, the biased radiation induced electron trapping among AlO_x bulk results
 260 in a negative ΔN_{ot}. While the de-passivation, energetic break and assisted break of Si-H bonds by
 261 impurity Al atoms near the AlO_x/Si interface and suboxide bonds are the three main factors contribute a
 262 negative ΔN_{it}.

263

264 **4. Conclusion**

265 The effect of annealing temperature and biased γ-ray radiation stress on solution-processed AlO_x
 266 dielectrics has been systemically investigated in this paper. It has been found that a high annealing
 267 temperature of 300 °C results in AlO_x thin films with low defect density, high metallic concentration,

268 weak frequency dispersion, low interface trap density, low leakage current and good bias-stress stability.
269 In addition, the stability of 300 °C-AIO_x based MOS capacitors under biased radiation stress was studied
270 using an on-site technique with stress time up to 10⁵ s and γ-ray exposure. The variation of oxide trap
271 density in the AIO_x bulk and interface trap density at AIO_x/Si interface were calculated to better
272 understand the trapping/de-trapping processes during biased radiation stress. The results suggest that
273 ΔN_{ot} can be attributed to trapping/de-trapping behavior of radiation induced protons in AIO_x bulk, whilst
274 ΔN_{it} is caused by the passivation/de-passivation of Si dangling bonds at AIO_x/Si interface. Furthermore,
275 ΔN_{ot} and ΔN_{it} are of the order of 10⁻¹² and 10⁻¹¹ cm⁻², respectively, indicating that oxide trap charges are
276 more effective than the interface trap charges in shifting V_{FB} for 300 °C-AIO_x MOS capacitor devices. It
277 has been found that both ΔN_{ot} and ΔN_{it} increase under NBRS and decrease slightly under PBRS. When
278 the device is under PBRS, the radiation induced electron and the passivation of Si dangling bonds at the
279 AIO_x/Si interface, dominate the decrease of ΔN_{ot} and ΔN_{it}. On the other hand, the mechanism is more
280 complicated. The de-passivation, energetic break and assisted break of Si-H bonds by impurity Al atoms
281 near the AIO_x/Si interface and suboxide bonds are most likely to contribute to the increase in magnitude
282 of ΔN_{ot} and ΔN_{it} under NBRS. In summary, the obtained results demonstrate that positive oxide trapped
283 charge, Si dangling bonds and protons can have significant effects on the long-term reliability and biased
284 ionizing radiation response in solution-processed AIO_x MOS capacitor devices.

285

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