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Concordance for clonal hematopoiesis is limited in elderly twins

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Abstract:

While acquisition of leukemia-associated somatic mutations by one or more hematopoietic stem cells (HSCs) is inevitable with advancing age, its consequences are highly variable, ranging from clinically silent clonal hematopoiesis (CH) to leukemic progression. To investigate the influence of heritable factors on CH, we performed deep targeted sequencing of blood DNA from 52 monozygotic (MZ) and 27 dizygotic (DZ) twin pairs (aged 70-99 years). Using this highly sensitive approach, we identified CH (Variant Allele Fraction (VAF) $\geq 0.5\%$) in 62% of individuals. We did not observe higher concordance for CH within MZ twin pairs as compared to that within DZ twin pairs, or to that expected by chance. However, we did identify two MZ pairs in which both twins harbored identical rare somatic mutations, suggesting a shared cell of origin. Finally, in three MZ twin pairs harboring mutations in the same driver genes, serial blood samples taken 4-5 years apart showed substantial twin-to-twin variability in clonal trajectories. Our findings propose that the inherited genome does not exert a dominant influence on the behavior of adult CH and provide evidence that CH mutations may be acquired *in utero*.

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1 **Concordance for clonal hematopoiesis is limited in elderly twins**

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41 **Key Points**

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43 - Limited concordance and differing trajectories of clonal hematopoiesis in identical
44 twins emphasize the importance of non-heritable factors

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46 - Identification of elderly monozygotic twins with identical driver mutations suggests a
47 common cellular origin *in utero*

48

49 **Abstract**

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51 While acquisition of leukemia-associated somatic mutations by one or more hematopoietic
52 stem cells (HSCs) is inevitable with advancing age, its consequences are highly variable,
53 ranging from clinically silent clonal hematopoiesis (CH) to leukemic progression. To
54 investigate the influence of heritable factors on CH, we performed deep targeted sequencing
55 of blood DNA from 52 monozygotic (MZ) and 27 dizygotic (DZ) twin pairs (aged 70-99 years).
56 Using this highly sensitive approach, we identified CH (Variant Allele Fraction (VAF) $\geq 0.5\%$)
57 in 62% of individuals. We did not observe higher concordance for CH within MZ twin pairs as
58 compared to that within DZ twin pairs, or to that expected by chance. However, we did identify
59 two MZ pairs in which both twins harbored identical rare somatic mutations, suggesting a
60 shared cell of origin. Finally, in three MZ twin pairs harboring mutations in the same driver
61 genes, serial blood samples taken 4-5 years apart showed substantial twin-to-twin variability
62 in clonal trajectories. Our findings propose that the inherited genome does not exert a
63 dominant influence on the behavior of adult CH and provide evidence that CH mutations may
64 be acquired *in utero*.

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67 **Introduction**

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69 Clonal hematopoiesis (CH), the disproportionate expansion of blood cell clones harboring
70 leukemia-associated somatic mutations, becomes more prevalent with advancing age and is
71 the precursor of many hematological malignancies¹⁻⁵. Acquisition of such mutations in one or
72 more hematopoietic stem cells (HSCs) is inevitable by the age of 50-60 years⁶, yet the
73 consequences of mutation acquisition are highly variable between individuals. A number of
74 small studies tracking clonal size longitudinally suggest that clones in different individuals with
75 similar or even identical mutations behave differently over time⁵⁻⁷. Indeed, this could also be
76 inferred by the fact that clinically silent CH is common, whilst hematological cancers are rare⁵.
77 Importantly, acquisition of additional driver mutations is not always necessary for malignant
78 progression; for example, the *JAK2-V617F* mutation⁸ is the sole identifiable driver in many
79 cases of myeloproliferative neoplasms (MPN), and *SF3B1* mutations^{9,10} are often the only
80 driver in Myelodysplastic Syndromes (MDS). The factors that allow mutant clones to expand
81 in some individuals, and those restraining them in others, are not understood.

82 There is some evidence that the inherited genome might play a role in this process. For
83 example, recent studies reported (i) heritable genetic variants associated with increased risk
84 of developing MPNs^{11,12}, (ii) familial clustering of CH driven by *TET2* mutations¹³, and (iii)
85 increased prevalence of CH among relatives of individuals with myeloid, but not lymphoid,
86 malignancies¹⁴. Moreover, a number of germline variants have emerged as important

87 determinants of hematological phenotypes in the general population and it is plausible that
 88 these exert epistatic effects on CH evolution¹⁵. In order to investigate whether the inherited
 89 genome influences CH development, we performed deep targeted sequencing on blood DNA
 90 from 52 monozygotic (MZ) and 27 dizygotic (DZ) twin pairs, and analyzed patterns of twin-to-
 91 twin concordance for CH.

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94 **Methods**

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96 We studied blood DNA from 158 individuals from the TwinsUK cohort, comprising 52 MZ and
 97 27 DZ twin pairs with no history of hematological malignancy, aged 70-99 years (150 females,
 98 8 males)¹⁶. Samples were obtained with informed consent and appropriate ethics committee
 99 approval (REC reference EC04/015). Target enrichment for 41 genes implicated in CH and
 100 myeloid malignancies (Agilent SureSelect, ELID 0735431, Supplemental Table 1) was
 101 performed successfully for 154 samples. Libraries were sequenced on Illumina HiSeq 2000
 102 and variant-calling was performed as we described previously⁵. Briefly, somatic single
 103 nucleotide variants (SNV) and small indels were called using Shearwater (v.1.21.5), an
 104 algorithm designed to detect subclonal mutations in deep sequencing experiments¹⁷. Two
 105 additional variant-calling algorithms were applied to complement this approach: CaVEMan
 106 (v.1.11.2) for SNVs, and Pindel (v.2.2) for indels. Finally, allele counts at recurrent mutation
 107 hotspots were verified using an in-house script (<https://github.com/cancerit/alleleCount>).
 108 Driver mutations were defined according to evidence for functional relevance in CH and
 109 hematological malignancy (Supplemental Table 2). Methodological validation of our approach
 110 is outlined in Supplemental Figure 1, and Supplemental Tables 3 and 4.

111 Statistical analyses were performed in R (version 3.4.0). Fisher's Exact Test was used to
 112 assess twin concordance for CH. Null distributions of CH within the MZ and DZ groups were
 113 generated using random sample permutation (1000 iterations). The openMX R package was
 114 used for maximum likelihood modeling of genetic and environmental contributions to CH¹⁸.

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117 **Results and Discussion**

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119 **Mutational landscape in the cohort**

120 Using deep sequencing (mean 1650X) and sensitive variant-calling, we identified CH (VAF
 121 $\geq 0.5\%$) in 62% of individuals (95/154; Figure 1A), with larger clones (VAF $\geq 2\%$) present in 41
 122 individuals (Figure 1B). Somatic driver mutations were identified in 16 of the 41 genes
 123 sequenced, with mutations in the epigenetic regulators *DNMT3A* and *TET2* predominant
 124 (Figure 1C-E; Supplemental Table 5). Almost one third of individuals (48/154) harbored
 125 multiple distinct mutations (Figure 1F), often in the same gene and at different VAFs
 126 (Supplemental Figure 2), suggesting the presence of multiple clones or subclones.

127 **CH in twin pairs**

128 Comparing age-matched samples from MZ and DZ groups (age range 70-80 years; n=33 MZ
 129 pairs and 24 DZ pairs), the overall prevalence of CH was very similar (59% and 54%
 130 respectively; p=0.70). We did not observe significantly higher concordance for CH within MZ
 131 twin pairs as compared to DZ pairs (p=0.59, Figure 2A). Furthermore, using random

132 permutation to model the null distribution, we found no difference in the observed distributions
133 of CH among either MZ or DZ twins as compared to those expected by chance ($p=1$ for MZ;
134 $p=0.86$ for DZ; Figure 2A). Excess twin concordance was also not observed when CH positivity
135 was defined by (i) mutation in DNMT3A, (ii) mutation in TET2, and (iii) mutation in any gene
136 with VAF > 2% (Supplemental Figure 3).

137 In 8 individuals (4 MZ twin pairs), serial blood samples were taken 4-5 years apart. CH clones
138 were identified in both twins in 3/4 of these pairs, and inter-twin variability in clonal size and
139 trajectory was seen in all three (Figure 2B). This was the case even for clones harboring
140 mutations in the same gene.

141 In summary, we find no evidence of high concordance for CH in elderly MZ twins. In addition,
142 we observe disparity in clonal size and trajectory over time, even between MZ twins harboring
143 mutations in the same driver genes. Whilst our cohort size is too small to precisely quantify
144 genetic versus environmental contributions, maximum likelihood modeling provides support
145 for a substantial influence of non-inherited factors upon CH emergence and behavior
146 (Supplemental Table 6). Overwhelming evidence shows that mutation acquisition is
147 widespread and inevitable in the aging hematopoietic system⁶, as is the case in other normal
148 tissues studied to date^{19,20}, indicating that this is not the rate-limiting step in CH development.
149 By suggesting that the inherited genome does not play a dominant role, our study frames non-
150 genetic events as important factors in CH emergence. Altered interactions of the HSC with its
151 environment, associated with processes such as aging, senescence, inflammation and
152 infection are plausible operators, some of which are supported by evidence from experimental
153 models²¹.

154 Despite the overall lack of concordance for CH, we did identify two MZ pairs in which both
155 twins harbored identical nonsense mutations, namely *KDM6A* Q692X in one pair and
156 *DNMT3A* R598X in the other (Figure 2C-D; Supplemental Table 5). *KDM6A* (=UTX) is a
157 histone H3 lysine 27 demethylase which acts as a tumor suppressor in a number of different
158 cancers, including 2-3% of myeloid malignancies²². There are no somatic mutation hotspots
159 in *KDM6A* and the substitution identified here is not reported in either the COSMIC database
160 (<https://cancer.sanger.ac.uk/cosmic>) or in several large, albeit less sensitive, CH studies (total
161 number of participants >30,000)^{1,2,4}. Although mutations in *DNMT3A* are generally more
162 prevalent, the particular mutation detected here is not common. In this light, the likelihood that
163 each member of these two twin pairs acquired the same mutation independently and by
164 chance is extremely small. A more plausible explanation is that the somatic mutation occurred
165 just once during embryogenesis, either prior to twinning or in an HSC whose progeny reached
166 both twins through shared circulation in utero. While monozygotic twin sharing of somatic
167 mutations has been demonstrated in other settings, including pediatric leukemia, this is the
168 first description of possible acquisition of adult-type CH driver mutations *in utero*²³⁻²⁵.

169 In conclusion, the lack of strong concordance for CH and the variable clonal trajectories
170 between MZ twins, indicate that the inherited genome does not exert a profound influence on
171 the emergence and behavior of CH in older adults. In addition, sharing of rare somatic
172 mutations by MZ twins raises the possibility that mutations driving adult CH may sometimes
173 be acquired *in utero*.

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 187 **Data Availability**

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 189 Sequencing data are deposited at the European Genome-phenome Archive with accession
 190 number EGAD00001005055.

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 193 **Author Contributions**

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 195 G.S.V., T.M. and P.D. conceived and designed the study; G.S.V. supervised the study; M.A.F.,
 196 T.M. and M.Z. performed the bulk of bioinformatic and statistical analyses with help from
 197 M.S.V; N.P. performed sequencing validation experiments; P.M.W. performed heritability
 198 modeling; K.S. and C.S. guided the choice of twin samples and advised on analysis; G.S.V.,
 199 M.A.F., T.M. and M.Z. wrote the manuscript with input from all co-authors.

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 202 **Disclosure of Conflicts of Interest**

203
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272 **Figure Legends**

273

274 **Figure 1. CH in individuals.** (A) Grey bars depict the proportions of individuals *with* CH (dark
275 grey = MZs, light grey = DZs), and white bars represent the proportions *without* CH, as
276 identified in respective age groups. Absolute numbers of individuals in each proportion are
277 shown within each bar. There were no DZ individuals above the age of 80 years. (B)
278 Distribution of the maximum Variant Allele Frequency (VAF) per individual among those with
279 CH. VAFs are divided into 0.5% bins. (C) In the main grid, each column represents one
280 individual, and each row one gene. If a grid square is colored, a mutation was detected, and
281 the specific color indicates the mutation type (see key). The right-hand plot shows the
282 proportion of the cohort harboring a mutation in each gene. (D) and (E) Somatic variants
283 identified in DNMT3A (D) and TET2 (E). Conserved / functional protein domains are colored
284 red, and intervening domains grey. Each circle connected to the protein cartoon represents a
285 mutation. Missense mutations are represented above and truncating mutations below the
286 protein, with the color of the circle indicating specific mutation type (as per the key in (C)). (F)
287 Distribution of the total number of mutations per individual.

288

289 **Figure 2. CH in twin pairs.** (A) Concordance for CH status in age-matched MZ (n=33) and
290 DZ (n=24) twin pairs. Red bars represent the proportion of twin pairs in which *neither* has CH,
291 blue bars show the proportion in which only *one* twin has CH, and green bars show the
292 proportion in which *both* twins have CH. Observed (obs) proportions are those identified in the
293 cohort, and expected (exp) are those generated by random sample permutation. There was
294 no significant difference when comparing (i) the observed distributions between MZ and DZ
295 twins ($p=0.59$), (ii) the observed vs expected distributions within in the MZ ($p=1$) or DZ ($p=0.86$)
296 twin groups (Fisher's exact test). (B) Change in VAF over time in the 3 twin pairs in which both
297 individuals had CH and serial samples were available. Each box surrounded by a solid line
298 represents a twin pair. The fourth box surrounded by a dashed line is data from the third twin
299 pair with the y-scale zoomed in to lower VAFs. In each box, change in VAF over time is
300 represented by a solid line for one twin, and a dotted line for the other, with line color indicating
301 which gene was mutated. Figures (C) and (D) focus on the variants identified in two MZ twin
302 pairs in which both twins harbored identical nonsense mutations: KDM6A
303 (NM_021140:c.C2074T:p.Q692X) (C), and DNMT3A (NM_175629:c.C1792T:p.R598X) (D).
304 Each 'triplet' represents the three non-reference bases at each genomic position, centered on
305 the identified variant position (denoted as 0). For each alternate allele, the VAF of each of the
306 154 individuals in the cohort is plotted. The horizontal dashed line represents the lower limit
307 of sensitivity of variant-calling, with calls below this VAF considered error. MZ twins with
308 identical mutations are plotted in red, all other individuals in black.



