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Article

# Land Investments, Food Systems Change and Democracy in Kenya and Mozambique

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#### Abstract

In Africa, food systems intersect with dynamics such as demographic growth, urbanisation, and climate change, as African food systems are key drivers of livelihood provision, development, and human-environment interactions. The governance of African food systems shapes how food systems are changing as a response to these dynamics, which will have important social, economic, and ecological impacts for generations of Africans. This article positions large land investments in food system changes in central Kenya and northern Mozambique based on a large-scale household survey and interviews, and uses these findings to debate the concept of food democracy. Large land investments contributed to more modern food systems, which impacted land availability, household's engagement in agriculture, and supply chains. These changes shifted power and control in local food systems. But even in the 'extreme' example of land investments, local perspectives challenge what could, and could not, be included in a democratic food system.

#### Keywords

food democracy; food systems; Kenya; land investments; large agricultural investments; Mozambique

#### Issue

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#### 1. Introduction

In Africa, food systems intersect with challenges such as demographic growth, urbanisation, and climate change, as African food systems are key drivers of livelihood provision, development, and human-environment interactions. The governance of African food systems shapes how food systems are changing as a response to these challenges, which will have important social, economic, and ecological impacts for generations of Africans. Today, there are strong debates regarding different food governance approaches, each with varying degrees of inclusion and participation, that are likely to result in different food systems. Examples of such food governance debates include food sovereignty and food democracy.

Conventional food governance approaches implicitly contribute to shifts of Africa's 'traditional' food systems to more Western and 'modern' food systems. In traditional food systems, the population engaged in agriculture is high, food production is mostly smallscale and low on external inputs, food distribution is mostly through informal chains, and malnutrition is mostly undernourishment and undernutrition. In modern food systems, most food production is energy and input-intensive, and labour-extensive, while supermarkets have more market share, and overweight and obesity are widespread (Drewnowski & Popkin, 2009; High Level Panel of Experts on Food Security and Nutrition [HLPE], 2017). Shifting from traditional to modern food systems comes not only with wide-ranging economic, social, and ecological impacts, but also with changed power relations between food system actors.

An example of this conventional approach, and one of the most contentious topics in African food systems, is the phenomenon of Large Agricultural Investments (LAIs), popularised as 'land grabs.' The LAIs involve acquisitions of land rights, mostly in developing countries, which has caused debates concerning the advantages



and disadvantages for local communities. While considerable concern was raised concerning the LAI's impact on land, livelihoods, and environment, scant evidence exists on their effects on the structure of the local food systems and the control of local people (Di Matteo & Schoneveld, 2016; Li, 2011; Oberlack, Tejada, Messerli, Rist, & Giger, 2016). The LAIs phenomenon fits a modernistic development trajectory characterised by largescale monoculture and internationally traded products, and can be a strong driver of local food system change (Borras & Franco, 2012). As LAIs are perceived to modernise the local food systems, they provide a unique opportunity to add empirical findings to discuss the conventional approach to food system change. Furthermore, these empirical findings can be used to reflect on the food governance arrangements, such as food democracy, best suited to respond to dynamics such as demographic growth, urbanisation, and climate change.

In this regard, this article aims to position the concept of food democracy in food system changes in central Kenya and northern Mozambique through the case of LAIs. Based on the analysis of large-scale household surveys and interviews, the following research questions were answered: (1) To what extent, and how, were the food systems of households different, and does the difference relate to the presence of LAIs?; (2) What were the implications for food democracy of those changes? The overall goal was to provide evidence on the direct and indirect impacts of LAIs on food systems in central Kenya and northern Mozambique, and use food democracy to reflect on these changes. In turn, this reflection will show the limitations of food democracy.

The article is structured as follows: Section 2 discusses food democracy, food systems change and land investments in Africa. Section 3 describes the conceptual framework, introduces the study areas, and clarifies the data collection procedures. Section 4 presents data of land investments' impact on the studied food systems in Kenya and Mozambique, and discusses the implications for food democracy. Section 5 concludes with recommendations for future research.

### 2. Food Democracy, Systems Change and Land Investments

#### 2.1. Food Democracy and Systems Change

In the 1990s, Tim Lang coined the term 'food democracy' as a response to the perceived concentration of power and control in food systems by 'Big Food' corporations (Booth & Coveney, 2015), especially in the mid-stream (Reardon, 2015). Food democracy presents an alternative food governance framework centred on societies, communities, and citizens (Goodman, 2014; Hassanein, 2003). At its core, food democracy is:

The idea that people can and should be actively participating in shaping the food system, rather than remaining passive spectators on the sidelines. In other words, food democracy is about citizens having the power to determine agro-food policies and practices locally, regionally, nationally, and globally. (Hassanein, 2003, p. 79)

Thus, food democracy is a process where people regain control and participate (Booth & Coveney, 2015), with a key role for local spaces (Perrett & Jackson, 2015).

Authors on food democracy identified drivers that led to the loss of control and participation and projected how a more democratic food system would look. Control and participation are declining due to increasing corporate control, limited information to consumers, the dominance of supermarkets, and convenient food products that replace traditional food (Hassanein, 2008). A democratic food system would resist big food corporations and ultra-processed foods, reject genetically modified organisms, produce through sustainable methods, and reconnect producers and consumers (Booth & Coveney, 2015; Hassanein, 2008; Lang, 2005; Levkoe, 2006). Examples of food democracy include community-supported agriculture and local food councils (Hassanein, 2003; Johnston, Biro, & MacKendrick, 2009).

Food democracy is not the only alternative food governance framework that emerged from the 1990s. Although overlapping, food democracy and food sovereignty differ in program and grassroots base. Unlike food democracy, food sovereignty has a program that is strongly focused on agrarian reform, which is partly adopted in legislation of countries such as Bolivia, Mali, and Nepal (Schiavoni, 2017). Food sovereignty has strong grassroots movements, and origins, in the developing world (Edelman, 2014), which results in more emphasis on 'traditional' food systems compared to food democracy. A pan-African food sovereignty alliance is supplemented by national food sovereignty movements, whereas food democracy lacks term recognition and a popular movement in Africa. Now, food sovereignty is challenged for its ambiguity and applicability in more pluralistic, complex, and less rural societies and food systems (Dekeyser, Korsten, & Fioramonti, 2018). The increased complexity of African food systems encourages more attention to food democracy for the analysis of power and control, as citizens' control and participation are more easier to enact than food sovereignty.

In Africa, societies and food systems are transforming towards increased pluralism and complexity. African societies are changing rapidly through demographic changes, economic growth, and climate change (Christiaensen, 2017). The 'traditional' food systems are under pressure from an inroad of supermarkets, land investments, and urbanisation (Gómez & Ricketts, 2013). These pressures result in many food system changes, including shifting malnutrition, more food purchases, and more land competition (HLPE, 2017; May, 2018). Generally, 'traditional' food systems are changing towards more 'modern' food systems (HLPE, 2017). Lang (2005) provides a spectrum of the modern food system, where one side is 'food control,' with long-distance trade and large farms, and the other side is 'food democracy,' with local trade and small farms. The food control side has lower citizen's control and participation than the food democracy side, in part because of differences in large versus small farms, longdistance food versus local food, hypermarkets versus street markets, and dominance of sugar and fat-dense diets versus nutrient-diverse diets.

It is unlikely that the transition from traditional to modern is linear and uniform, and that citizens' control automatically decreases. For example, Abrahams (2009) found the growth of traditional food distribution alongside a developing modern distribution in Zambia, while policymakers included more farmers into their food distribution policies in Uganda. The aggregated statistics on food systems change rarely capture these competing dynamics (van der Ploeg, 2018). Thus, case studies can provide needed empirical validation on food systems change.

#### 2.2. Land Investments

One of the most contentious topics of change, power and control in African food system is the LAI phenomenon, popularised through the term 'land grabs.' The term LAIs better capture the complexities of the current surge in agri-investments than land grabs (Hall, 2011). Within the wave of LAIs, land rights for more than 42.2 million ha worldwide were transferred between 2000 and 2016 (Nolte, Chamberlain, Giger, & Wilson, 2016), which is a much higher rate of land transfer than those in the past decades (Deininger, 2011). In this article, the LAIs are not only 'transfers of rights to use, control, or own land from smallholder households or communities to corporate actors...through sale, lease, or concession of areas larger than 200 ha' (Oberlack et al., 2016, p. 154), but also refer to the size of capital investment and labour employed (Zaehringer, Wambugu, Kiteme, & Eckert, 2018).

A transfer of ownership is rare; most of these land deals are leases with a duration that is up to 50 or 99 years (Cotula, 2013). Land investment in Africa is driven by the large amount of perceived available land and weak land rights (Deininger, 2011), increased demand and prices for food, energy systems transitions, biodiversity conservation, climate change responses, geopolitics and development strategies (Oberlack et al., 2016). In Africa, LAIs drive specific land-use change, which can shift food crops for self-consumption to cash crops, food crops to biofuels, or convert non-food lands such as forests to food production or biofuels (Borras & Franco, 2012). The LAIs are associated with business models that range from independent farmers, cooperatives, 1000-day speculative farming, asset management, contracting, and agribusiness models (Boche & Anseeuw, 2013). These business models are 'frequently associated with industrial agricultural production methods, dominated by transnational corporations producing for export' (Clapp, 2015, p. 307). The primary types of investor

worldwide and in Africa are private companies (45% of total area worldwide) and stock exchange-listed companies (32%; Nolte et al., 2016).

Within the LAIs debate, proponents argue the opportunities that LAIs can bring to local communities and rural development through a greater access to capital, technology, knowledge and markets, while LAIs projects can contribute to economic growth and national government revenue (Cotula, Vermeulen, Leonard, & Keeley, 2009; Deininger & Xia, 2016). However, whether the recipient countries have the capacity to manage these land deals is doubted (de Schutter, 2011). African land rights are often vague, and local communities might be excluded from the negotiations, which heightens the risk of conflicts between local communities and investors (Cotula et al., 2009). LAIs exacerbate existing tensions as they traverse formal, customary, ethnic and historical relationships within changing rural landscapes. For the local communities, who wins and who loses from LAIs is differentiated by class, gender, education, age, nationality, and religion. In short:

While, in principle, investments in large production units or higher up in the agricultural value chain can have very positive effects on neighboring small farmers, systematic evidence of the size of such effects remains scant, limiting the scope for evidence-based policy-making. (Deininger & Xia, 2016, p. 228)

#### 3. Framework, Material and Methods

#### 3.1. Framework

This article aimes to position the concept of food democracy in food system changes in central Kenya and northern Mozambique through the case of LAIs. The change of food systems by LAIs is approached through a case study design with a counterfactual group. The dynamic of LAIs is used as an 'extreme' case study that could transform local food systems towards more modernity. For this article, there was a focus on the food supply chains, food environments, and dietary shifts (Figure 1). The conceptual framework links LAIs with dietary changes through five hypothesised steps. First, the LAIs would decrease land availability (i.e., the stock of land that is available in a locality) and access (i.e., household's land access), and provide certain off-farm employment opportunities. Second, the decreased land availability and access, and time taken by off-farm employment, would decrease agri-engagement. Third, decreased agriengagement and time taken by off-farm employment would result in more market dependence for a household's dietary needs. Fourth, in turn, more market integration would lead to more market development. Fifth, as the market would provide different food (e.g., more energy-dense products) than what a household would grow (e.g., more staple crops), the integration and development of markets would lead to dietary changes.



Figure 1. Conceptual framework illustrating hypothesised linkage of large agricultural investments and diet changes.

Food democracy is used to reflect on the changes depicted in Figure 1, which will feed into a discussion on the concept of food democracy. First, Lang's (2005) food democracy conceptualisation, which is characterised by small farms, local food, street markets, and lower prevalence of sugar and fat-dense foods, is used to discuss the shifts in power and control in food systems by LAIs. Thus, this part reflects on the changes in food democracy induced by LAIs through the prevalence of Lang's characteristics. Second, the assumption that increased citizen power and control will lead to a food system with Lang's characteristics is discussed. The outcome of this reflection examines food democracy as an outcome, exemplified by small farms, local food, street markets, and lower prevalence of sugar and fat-dense foods, and food democracy as a process, where increased citizen's power and control is not linked to a particular food system arrangement.

#### 3.2. Study Areas

The study areas were situated around Nanyuki, central Kenya, and in the Gurué and Monapo Districts, northern Mozambique (Figure 2). First, the two countries were selected according to their different LAIs dynamics, such as land-extensive or land-intensive, as this is likely to generate different food system changes. Second, the regions and study areas within each country were selected according to their prevalence of LAIs.

Kenya has a long-standing tripartite relationship between state, agribusiness, and smallholders (Oya, 2012). The sector is dominated by small-scale farmers that provide 75% of all outputs, but the average plot is ever decreasing in size (Food and Agriculture Organization of the United Nations [FAO], 2018). Kenya's agricultural sector struggles with shifting weather patterns, population growth, changing demographics, and political instability (D'Alessandro, Caballero, Lichte, & Simpkin, 2015). While British colonial rule (1895–1963) and its grabbing of land created much landlessness, Kenyan political elites used land redistribution after independence to mobilise communities and to grab land for themselves and their patronage. Land and ethnic linkages are still used for mobilisation (Médard, 2010). The population in Kenya is estimated to double in the next 27 years, pushing the agricultural frontier into more marginal areas and increasing tensions with pastoralists (FAO, 2017). In short, relatively high population densities squeezes land



**Figure 2.** Location of the study areas in Kenya (Nanyuki) and Mozambique (Monapo and Gurué).

availability, which was already skewed by colonial history and post-colonial patronage. This results in farmers occupying small plots of land that perform under their productive potential and contribute to their poverty trap (Deininger, 2011; Ulrich, 2014).

Kenya's LAI potential is characterised as 'little land available, high yield gap' (Deininger, 2011). Generally, Kenyan elites sell former colonial farms to investors, which does not cause land dispossession, and are thus rarely recorded in international land monitoring initiatives (Klopp & Lumumba, 2014).

In Kenya, the 'factual' study area, which contains the LAIs, stretches from Tigithi along Mount Kenya to Timau, and includes the sub-locations Buuri, Tigithi, Kangaita, Nyaringinu, and Naibor. In this area, large farms are the major employers of the region (Ulrich, 2014). Sources of contention related to the large farms were the sharing of scarce water between small and large farmers, the wage of workers on large farms, and the impacts of the floriculture's extensive use of chemicals on the health of workers and surrounding communities (Lanari, Liniger, & Kiteme, 2016). The LAIs types in these areas include floriculture and horticulture. The counterfactual area was Barrier, which lies approximately 10 km from the nearest LAI and has similar demographics as the factual areas.

In Mozambique, about 75% of its 29 million people are involved in agriculture, mostly on small plots. In 2012, 99.8% of Mozambique's four million farms were between 0.1 and 10 ha, and small-scale farmers occupied 90% of cultivated land (Oya, 2012). As a result, small-scale farming is crucial for livelihood provision and food security. However, the average small farm shrank between 2002 and 2014 (Deininger & Xia, 2016). After public consultation, Mozambique adopted in 1997 one of the most progressive land laws in the world. While the state provides formal land rights, customary land rights have full legal equivalence. This provision protects land users in a country with a low degree of formalised title deeds (Cotula et al., 2009). However, practical registration of land rights and enforcement of the land laws are lacking (Tanner, 2010). The Mozambican elites benefit from this lack of implementation to facilitate land dispossession, either for their personal projects or to enable foreign investors to access land (Milgroom, 2015). Mozambique ranks as a top recipient country for LAIs (Nolte et al., 2016). The pull factors for land investments in Mozambique include

high yield gaps, low population density, and 'plentiful suitable' land (Deininger, 2011).

The first Mozambican study area was situated in the Gurué region, which is located in the Zambezia Province. The factual study area was Manlé town, which is about 15 km east from Gurué town. Manlé's adjoining tea plantations were established under colonial rule. With the 1990s civil war, the plantation declined, and small-scale farmers worked the unused land. Recently, the company expanded on their former lands and dispossessed the small-scale farmers. The counterfactual town of Muela was situated south of Gurué with no LAIs present within 20 km. Muela connected to the main road through a dirt path and had similar demographics as Manlé. The second Mozambican study area was situated in Monapo, which is part of the Nampula Province. The factual study area was Monapo town, which adjoins a former colonial sisal plantation. This plantation ceased activity between 1970 and the 1990s, and small-scale farmers cultivated on the idle land. In 2005, a new company bought the former plantation and expelled the small-scale farmers. The counterfactual site was Canacué town, to the south of Monapo town, which had similar demographics as Ramiane.

#### 3.3. Data Collection

The data were collected between February 2016 and March 2017 through a livelihood and food security survey, which was approached differently in the two countries. In Kenya, stratified random sampling selected 488 heads of households, while in Mozambique random sampling selected 376 heads of households (Table 1). In the Kenyan study region, five sub-locations (Buuri, Tigithi, Kangaita, Nyaringinu, and Naibor) around a LAI were selected to represent the business types of LAIs in these areas. Within these areas, 318 households were randomly selected. Another sub-location, Barrier, was selected as a counterfactual area, and 170 households were randomly selected. For each household, a weight was attributed to each household proportionally to the total number of households of the sub-location. As a result, the analysis is representative of the whole studied region (Reys et al., 2018). When weighted, the Kenyan survey represented 6692 households. In Mozambique, two regions were chosen to capture different business models

Table 1. Characteristics of cases and the number of completed household surveys, by category.

|                                 | Case 1             | Case 2     | Case 3     |
|---------------------------------|--------------------|------------|------------|
| Country                         | Kopya              | Mozambiquo | Mozambiquo |
| Region                          | Nanyuki            | Gurué      | Monano     |
| Households per category (total) | (488)              | (169)      | (207)      |
| Employed (E) <sup>a</sup>       | 48 <sup>b,c</sup>  | 37         | 60         |
| Non-engaged (NE) <sup>a</sup>   | 270 <sup>b,c</sup> | 22         | 29         |
| Counterfactual (CF)             | 170 <sup>b</sup>   | 110        | 118        |

Notes: <sup>a</sup> LAI area; <sup>b</sup> Weigthed; <sup>c</sup> Aggregated. Source: Afgroland (2016, 2017).

and agro-ecological conditions. In both regions, a factual and counterfactual sub-location were chosen and households randomly selected (Reys, 2016). The households of the Gurué and Monapo regions were not weighted because of the agro-ecological heterogeneity of the different regions.

In both countries, the households within a LAI area were categorised as 'Employed' (E) if minimally one household member worked at a LAI and categorised as 'Non-engaged' (NE) when no-one was employed by a LAI. The households in the counterfactual areas were categorised as 'Counterfactual' (CF). In Kenya, the employed and non-engaged categories were aggregated across the sub-locations. In each country, enumerator teams consisted of trained nationals. The enumerators selected the household closest to each random point and invited the head of the household, or if absent the spouse, for an interview. If both the households' head and spouse were absent, the enumerators moved to the next closest household. This survey was complemented with interviews of actors in the distribution system, decisionmakers, and civil society actors. The research design is between-groups analysis, which focuses on examining differences between groups.

#### 4. Results and Discussion

#### 4.1. General Characteristics of the Households

In Kenya, the average household had 4.2 (± 2) members with a median age of 24 years, and 22.7% were female-headed. Most households (75.7%) migrated from a nearby area, 9.9% migrated from far away, and only 14% originated from the study area. More households in the LAI area were immigrants compared to the CF area. The main reason for migration was land (80.6%), followed by work (12.3%), and family (6.3%). In the LAI area, work was more important, and land less, for migration compared to the CF area. The main annual crops grown were maize, potato, wheat, and beans. In Mozambique, the average household had 4.7 (± 2) members with a median age of 15 years old, and 12.2% were female-headed. Most households originated from the area (55.9%), 14.9% migrated from nearby, and 29.3% migrated from far away. The family was the main reason for migration (64.7%), followed by work (25.7%), and family (7.4%). In both cases, migration to the LAI areas was more driven by work, and land less, compared to the CF areas. In Case 2, the LAI area had a similar proportion of migrants than the CF area, but in Case 3 the LAI area had more migrants. The main annual crops grown were manioc, maize, beans, and sorghum.

#### 4.2. Food System Changes

The key households' statements and characteristics are presented by case and household's category in Table 2. Overall, the effects of LAI differed by country, case, and

category. Notably, other pressures besides LAIs, such as economic development and demographic growth, were prevalent in the study areas. However, depending on the case, there were indications that LAIs impacted land access and availability, migration, agri-engagement, food distribution channels, and food environments. Generally, while traditional dynamics thrived, the households in the LAI areas were more part of a 'modern' food systems than CF areas.

The effects of LAIs on land differed according to the case's country. Between 96.6% and 100% of Kenyan and Mozambican households had access to land, and the total land size per household was generally higher in the CF areas compared to the LAI areas. In Kenya, LAIs had more impact on land access than availability, while in Mozambique, this was the opposite. In Kenya, no LAI caused direct land dispossession, but 48.1% of households in the factual area perceived the LAIs as negatively impacting land availability. In Mozambique, the LAIs dispossessed 26.6% of households in the factual areas of land. In both Mozambican cases, land dispossession was lower for those households that worked at the farms. In interviews, employees of the LAIs indicated an arrangement to continue farming on another part of the LAI's land, while non-employees were expelled. None of the dispossessed households received compensation. Interestingly, 69.4% of households in the factual areas did not perceive the LAIs as impacting land availability, which suggests that, while Mozambican LAIs evict smallscale farmers of the land, other stocks of land was available for farmers.

Overall, Kenya's CF area had less agri-engagement than the LAI area, which was the reverse in Mozambique. In Kenya, interviewees indicated that youth worked at LAIs to raise capital for their own farms. As a result, the CF area had fewer increases in agri-engagement because its youth lacked this opportunity for capital access. In Mozambique, agricultural disengagement was lower in CF areas (0.9% to 4.2%) than LAI areas (9.1% to 30%), possibly because of higher land dispossession and employment opportunities by the LAIs. In Kenya, animal ownership was high (89.5% to 94.1%) for all categories, while Mozambican ownership varied considerably by category, but was higher in Case 2 than Case 3. In Kenya and Mozambique, most of the annual produce grown was kept for self-consumption. However, diets were more sourced from food purchases, such as markets and shops, than self-production. None sold to supermarkets, although few households sold their crops to agribusinesses in Kenya (0% to 2.9%). In Kenya, most of the sales were to middlemen, with few households that sold directly to markets. The households with a LAI employee kept least of their produce and sold most, while the CF area produced more for the diets. In Mozambique, the CF areas sold more of their produce compared to the LAI areas. Overall, the markets, rather than the middlemen, were the most important channels of sale. The diets in the CF area were more derived from self-production than the

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**Table 2.** Selected households' statements and characteristics regarding land, food production, food distribution, the food environment, and diets, by case and household's category. Values indicate the percentage of households unless indicated otherwise.

|   | Case 1 <sup>a</sup> |            |           |           | Case 2   |           |           | Case 3   |           |  |
|---|---------------------|------------|-----------|-----------|----------|-----------|-----------|----------|-----------|--|
|   | E                   | NE         | CF        | E         | NE       | CF        | E         | NE       | CF        |  |
| Land  | (N = 956)           | (N = 5056) | (N = 680) | (n = 37)  | (n = 22) | (n = 100) | (n = 60)  | (n = 29) | (n = 118) |  |
| HHs with land access                        | 100                 | 98.5       | 99.4      | 97.3      | 100      | 98.2      | 96.7      | 96.6     | 100       |  |
| Total land size                             | (N = 956)           | (N = 5056) | (N = 680) | (n = 37)  | (n = 22) | (n = 100) | (n = 60)  | (n = 29) | (n = 118) |  |
| Mean (ha)                                   | 0.8                 | 1.1        | 1.6       | 1.9       | 2.4      | 2.4       | 1.7       | 1.9      | 2.4       |  |
| SD  | 0.7                 | 1.4        | 1.7       | 1.8       | 2.4      | 2.6       | 1.7       | 1.7      | 2.1       |  |
| Land loss by LAIs                           | (N = 956)           | (N = 5056) | (N = 680) | (n = 36)  | (n = 22) | (n = 110) | (n = 60)  | (n = 29) | (n = 118) |  |
| % of HHs                                    | 0.0                 | 0.0        | 0.0       | 16.2      | 31.8     | 0.0       | 23.3      | 41.4     | 0.0       |  |
| % of HHs reporting a perception             | (N = 956)           | (n = 5056) | (N = 680) | (n = 36)  | (n = 22) | (n = 110) | (n = 60)  | (n = 29) | (n = 118) |  |
| that LAIs reduce available land             | 57.9                | 46.3       | 9.4       | 30.6      | 36.4     | 1.8       | 25.0      | 37.9     | 0.8       |  |
| Food production                             |                     |            |           |           |          |           |           |          |           |  |
| Agri-engagement                             |                     |            |           |           |          |           |           |          |           |  |
| over ten years                              | (N = 956)           | (N = 4996) | (N = 680) | (n = 36)  | (n = 22) | (n = 110) | (n = 60)  | (n = 29) | (n = 118) |  |
| More  | 10.4                | 4.7        | 7.1       | 0.0       | 9.1      | 3.6       | 5.0       | 3.4      | 1.7       |  |
| Less  | 6.0                 | 14.1       | 22.9      | 19.4      | 9.1      | 0.9       | 30.0      | 27.6     | 4.2       |  |
| Animal ownership                            | (N = 956)           | (N = 4996) | (N = 680) | (n = 37)  | (n = 22) | (n = 110) | (n = 60)  | (n = 29) | (n = 118) |  |
|   | 91.6                | 89.5       | 94.1      | 54.1      | 18.2     | 58.2      | 23.3      | 31.0     | 32.2      |  |
| Food distribution                           |                     |            |           |           |          |           |           |          |           |  |
| Main sale channels for produce <sup>b</sup> | (n = 1419)          | (n = 6885) | (n = 960) | (n = 115) | (n = 81) | (n = 397) | (n = 136) | (n = 65) | (n = 340) |  |
| Middlemen                                   | 43.6                | 31.6       | 33.4      | 1.1       | 0.0      | 5.9       | 7.0       | 0.0      | 10.7      |  |
| No sale                                     | 43.3                | 61.0       | 51.5      | 81.1      | 86.2     | 56.8      | 51.2      | 82.2     | 42.8      |  |
| Markets                                     | 4.6                 | 1.9        | 3.3       | 9.5       | 10.3     | 25.2      | 21.7 13.3 | 32.4     |           |  |
| Agribusiness                                | 2.9                 | 0.0        | 0.7       | 0.0       | 0.0      | 0.0       | 0.0       | 0.0      | 0.0       |  |
| Other                                       | 5.6                 | 5.5        | 11.1      | 8.3       | 3.5      | 12.1      | 20.1      | 4.5      | 14.1      |  |
| Channels to obtain food groups              | (N = 956)           | (N = 5014) | (N = 680) | (n = 37)  | (n = 22) | (n = 110) | (n = 60)  | (n = 29) | (n = 118) |  |
| Self-production                             | 20.2                | 18.9       | 29.5      | 29.1      | 32.1     | 37.0      | 19.6      | 20.0     | 28.0      |  |
| Markets                                     | 42.5                | 44.3       | 38.9      | 40.2      | 36.4     | 28.9      | 55.4      | 56.5     | 46.9      |  |
| Shop  | 33.7                | 34.8       | 29.9      | 4.7       | 4.5      | 5.7       | 0.8       | 0.0      | 1.5       |  |
| Other                                       | 3.6                 | 2.0        | 1.8       | 26.0      | 27.0     | 28.4      | 24.2      | 23.5     | 23.6      |  |

|                                 | Case 1 <sup>a</sup> |            |           | Case 2   |          |           | Case 3   |          |           |
|---------------------------------|---------------------|------------|-----------|----------|----------|-----------|----------|----------|-----------|
|                                 | E                   | NE         | CF        | E        | NE       | CF        | E        | NE       | CF        |
| Food environment                |                     |            |           |          |          |           |          |          |           |
| Distance to agri-lands          | (N = 956)           | (N = 4949) | (N = 676) | (n = 34) | (n = 21) | (n = 108) | (n = 58) | (n = 28) | (n = 118) |
| With the house                  | 96.9                | 95.5       | 95.3      | 55.9     | 57.1     | 63.0      | 65.5     | 57.1     | 64.4      |
| < 30 min                        | 0.0                 | 1.7        | 3.6       | 26.5     | 9.5      | 17.6      | 8.6      | 14.3     | 5.1       |
| > 1 hour                        | 3.1                 | 0.2        | 1.2       | 5.9      | 14.3     | 9.3       | 17.2     | 14.3     | 14.4      |
| Distance to markets             | (N = 956)           | (N = 5037) | (N = 680) | (n = 36) | (n = 22) | (n = 110) | (n = 60) | (n = 29) | (n = 119) |
| < 30 min                        | 34.7                | 17.7       | 15.9      | 24.3     | 22.7     | 0.9       | 60.0     | 62.1     | 71.2      |
| > 1 hour                        | 15.2                | 25.8       | 39.4      | 10.8     | 18.2     | 80.       | 15.0     | 17.2     | 11.9      |
| FES <sup>c</sup>                | (N = 956)           | (N = 5056) | (N = 680) | (n = 35) | (n = 22) | (n = 110) | (n = 60) | (n = 29) | (n = 118) |
| Mean                            | 43.0                | 42.1       | 39.5      | 73.8     | 85.1     | 85.0      | 80.0     | 82.2     | 85.9      |
| SD                              | 23.7                | 22.3       | 24.0      | 24.6     | 10.6     | 15.6      | 22.9     | 19.1     | 12.8      |
| Share of self-production in FES |                     |            |           |          |          |           |          |          |           |
| Mean                            | 24.1                | 23.5       | 35.9      | 56.3     | 63.5     | 69.1      | 43.7     | 51.1     | 58.7      |
| SD                              | 16.1                | 21.0       | 22.7      | 25.8     | 24.7     | 25.1      | 19.5     | 20.3     | 19.1      |
| Diets                           |                     |            |           |          |          |           |          |          |           |
| Days per week consumption of    | (N = 956)           | (N = 5056) | (N = 680) | (n = 37) | (n = 22) | (n = 110) | (n = 60) | (n = 29) | (n = 118) |
| Cereals                         | 5.8                 | 6.3        | 6.6       | 4.4      | 4.1      | 4.8       | 4.7      | 4.0      | 3.8       |
| Tubers                          | 4.3                 | 4.0        | 4.4       | 4.1      | 4.2      | 3.9       | 3.8      | 4.2      | 4.7       |
| Meat                            | 1.0                 | 0.9        | 0.7       | 1.0      | 1.2      | 0.7       | 0.8      | 0.5      | 0.9       |
| Oil and fat                     | 7.0                 | 7.0        | 6.8       | 3.3      | 3.4      | 3.0       | 5.1      | 4.4      | 4.4       |
| Sweets                          | 6.0                 | 5.8        | 5.6       | 1.9      | 1.6      | 1.2       | 2.4      | 2.3      | 1.5       |

**Table 2.** (Cont.) Selected households' statements and characteristics regarding land, food production, food distribution, the food environment, and diets, by case and household's category. Values indicate the percentage of households unless indicated otherwise.

Notes: CF (Counterfactual); NE (Non-engaged); E (Employed); HHs (Households); FES (Food Expenditure Share). <sup>a</sup> Weighted data. <sup>b</sup> Per plot of land. <sup>c</sup> The FES includes the approximate value of self-produced goods that the households consumed in the last 30 days. Source: Afgroland (2016, 2017).



LAI areas, which were more dependent on markets and shops. Case 2 relied less on the markets for their diets than Case 3.

The food environments, particularly the Food Expenditure Share (FES), differed between the cases. In Kenya, about 95% of the agricultural lands were positioned next to the house, which was maximum 65.5% in Mozambique. However, Mozambican households had access to more plots than Kenyan households, so dedicated agri-plots were more scattered. The combination of high self-production and close access to agri-lands created a locally-rooted food system configuration, namely producing most of the household diet on a plot near the homestead. In Kenya, the LAI area was closer to markets than the CF area. In Case 2, the CF area was more isolated from markets, as 80% of the CF area was > 1 hour away compared to 13.6% in the LAI areas. In Case 3, the CF was slightly closer to a market than the LAI area.

The share of food expenses in the household's budget-FES-was similar within the countries, which was between 39.5% to 43% in Kenya, and 73.8% to 85.9% in Mozambique. The high FES of Mozambique showcased the precarious situation of the households, with high vulnerability to either rising food prices, loss of harvest, or declining incomes. In all cases, the CF areas selfproduced more of their food budget than the LAI areas. In Kenya, an average CF household produced 35.9% of their food budget, compared to 23.6% in the LAI area. In Case 2, 69.1% came from self-production in the CF area, compared to 59.1% in the LAI area. For Case 3, selfproduction contributed to 58.7% of the CF's area mean food budget and 46.1% of the LAIs. Some food groups were selected to compare diet composition, as particularly higher consumption of meat, oil, fat, and sweets, and lower consumption of cereals and tubers connects with more 'modern' diets (HLPE, 2017). The differences between food group consumption by case and category were minor. The CF areas consumed less oil, fat, and sweets than the LAI areas, but the magnitude of the differences was small. Overall, no categories differed more than one day of consumption per case.

#### 4.3. Food Democracy between Process and Outcome

The impacts of LAIs on food systems change were complex, context-specific, and operated on a background of other social and economic changes. The analysis shows that the LAIs, depending on the case, impacted land access and availability, agri-engagement, food distribution channels, and food environments. This section has two aims: First, to reflect on the changes in food democracy by the prevalence of small farms, local food, street markets, and lower frequency of sugar and fat-dense foods; and second, to discuss if potentially increased local citizen's power and control would counteract these changes or embrace them. This reflection varies due to the heterogeneity of the cases, particularly between the two countries. The LAIs did 'modernise' the food systems in which they operated, although competing traditional elements, such as LAI employees that invested in small-scale farming, were as well prevalent. The competing traditional elements illustrate that the modernisation processes were not linear, but hybrid, and results in an unclear picture of how the trajectories of food systems would develop over time. Instead of a linear transition between traditional and modern food systems, a localised hybrid configuration forms with no defined outcome. Agri-policies often overlook the hybridity of food systems, exemplified by 'repeasantisation,' by relying on aggregated statistics (van der Ploeg, 2018).

First, because of historical land relations in the Kenyan study areas, LAIs did not directly dispossess households but decreased the amount of available land. The engagement of households in agriculture was stronger in the LAI areas than in the CF areas, which can be driven by a lack of opportunities to raise capital, which LAIs can provide. In the last years, several supermarkets opened in the Kenyan study area and more shops were present in the LAI areas. However, all categories obtained most of their diets through self-production and informal markets. These informal markets were a crucial livelihood strategy, as high land prices provided an obstacle to small-scale farming. The differences in energydense food consumption were small. Thus, the changes in Lang's food democratic characteristics were mostly related to land availability and the development of supermarkets. In opposition to Lang's conceptualisation, increased citizen's power and control are unlikely to lead to the removal of LAIs, as Zaehringer, Wambugu et al. (2018) found that most interviewed farmers in the study area preferred the LAIs to stay. Rather than land, interviewees were displeased with the LAIs about competing natural resources, particularly water, low wages, irregular pay, and the difficulty of taking leave. While citizens' participation could improve the employment issues, it is unlikely that most households would favour LAIbased development to dedicated pro-poor investments, such as in small-scale farmer production. Lastly, supermarkets established themselves in the main town, but sold few fresh fruit and vegetables. Outside of town, the informal chains sold supermarket products in the study areas, showcasing a 'modern-to-traditional' value chain (Gómez & Ricketts, 2013), which diversified food availability and generated employment. However, when supermarkets expand their stock and reach, increased citizen's power is unlikely to allow supermarkets to compete directly with traditional fresh fruit and vegetable markets. Overall, even in the 'extreme' example of LAIs, local perspectives challenge what could, and could not, be included in a democratic food system.

Second, in the Mozambican study areas, LAIs dispossessed households of land and lowered agri-engagement of households. Self-production was more important for CF areas, and the LAI areas were more dependent on the markets for their dietary needs. Generally, traditional value chains were more present than modern chains, as



the area lacked supermarkets and almost all the food trade was informal. The differences in energy-dense food consumption were small, but higher than in Kenya. The changes in food democracy characteristics by LAIs connect to land, agri-engagement, and market dependence, which were all related to LAI's land dispossessions. As Zaehringer, Atumane et al. (2018) noted, most smallscale farmers around the study areas wanted the LAIs to leave. While interviewees were positive about employment generated by LAIs, they were displeased about land dispossessions. In this regard, increased citizens' participation could contribute to the removal of LAIs and provide a bulwark against land speculation and protect small-scale farmers' agri-engagement. More disadvantages were reported because of the stronger power disparities in Mozambique. Reducing these disparities through citizen's participation can change the balance between disadvantages and advantages of LAIs, alike the Kenyan case, where LAIs provide more benefits but are unlikely to be a preferred development trajectory.

#### 5. Conclusion

This study adds empirical findings to the discussion on LAIs' impacts on food systems change and food democracy through case studies in Kenya and Mozambique. This article used Lang's food democracy characteristics to reflect on the food system changes in the study areas, which in turn is used to discuss the concept of food democracy. Particularly, a tension in food democracy as a process of increased citizen's participation, power, and control, and as an outcome related to small farms and local markets is debated. In Kenya, changes include land availability and an influx of supermarkets. Increased citizens' control might not lead to LAI's removal, but better employment and limits on supermarket competition with fresh fruit and vegetable markets. In Mozambique, changes include land availability, agri-engagement, and market dependence. Because of stronger power disparities, more disadvantages were reported in Mozambique, which could lead to the removal of LAI companies when local communities gain more decision-making power. Thus, the outcome of increased food democracy is likely to be different for each case, indicating that even in the 'extreme' example of LAIs, local perspectives challenge what could, and could not, be included in a food democratic system. As a result, a process of increased democracy might lead to diverse local food system arrangements which are different from Lang's food democracy. With more power, local actors can better negotiate the advantages and disadvantages of traditional and modern food systems and shape their own local food system trajectory. Given the increased complexity of food systems, this trajectory is then likely to be more hybrid than lineary traditional or modern. In the end, the reflection of food democracy through LAIs show its multidimensionality, with food democracy being simultaneously a process, outcome, set of policies, and a normative framework. Future research that starts from the tensions between these dimensions can further clarify and strengthen the concept of food democracy. This is necessary if food democracy is to be used in policy debates in Africa and beyond.

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#### **Conflict of Interests**

The author declares no conflict of interests.

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