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Nosocomial Outbreak of VIM-1-Producing *Klebsiella pneumoniae* Isolates of Multilocus Sequence Type 15: Molecular Basis, Clinical Risk Factors, and Outcome.

Isabel Sánchez-Romero, Ángel Asensio, Jesús Oteo, María Muñoz-Igarra, a Beatriz Isidoro, Ana Vindel, José Álvarez-Avello, Bárbara Balandín-Moreno, Oscar Cuevas, Sara Fernández-Romero, Luisa Azañedo, David Sáez, and José Campos.

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1 **Nosocomial Outbreak of VIM-1–producing *Klebsiella pneumoniae* of**  
2 **multilocus sequence type 15: Molecular basis, clinical risk factors, and**  
3 **outcome**

4 Isabel Sánchez-Romero<sup>1</sup>, Ángel Asensio<sup>2</sup>, Jesús Oteo<sup>3,4\*</sup>, María Muñoz-Algarra<sup>1</sup>,  
5 Beatriz Isidoro<sup>2</sup>, Ana Vindel<sup>3,4</sup>, José Álvarez-Avello<sup>5</sup>, Bárbara Balandín-Moreno<sup>6</sup>,  
6 Oscar Cuevas<sup>3,4</sup>, Sara Fernández-Romero<sup>3</sup>, Luisa Azañedo<sup>3</sup>, David Sáez<sup>3</sup>, José  
7 Campos<sup>3,4,7</sup>

8  
9 <sup>1</sup>Servicio de Microbiología, Hospital Universitario Puerta de Hierro Majadahonda,  
10 Madrid, Spain.

11 <sup>2</sup>Servicio de Medicina Preventiva, Hospital Universitario Puerta de Hierro  
12 Majadahonda, Madrid, Spain.

13 <sup>3</sup>Servicio de Bacteriología, Centro Nacional de Microbiología, Instituto de Salud Carlos  
14 III, Majadahonda, Madrid, Spain.

15 <sup>4</sup>Spanish Network in Infectious Pathology (REIPI)

16 <sup>5</sup>UCI Quirúrgica, Hospital Universitario Puerta de Hierro Majadahonda, Madrid, Spain.

17 <sup>6</sup>UCI Médica, Hospital Universitario Puerta de Hierro Majadahonda, Madrid, Spain.

18 <sup>7</sup>Consejo Superior de Investigaciones Científicas, Madrid, Spain.

19 I. Sánchez-Romero, A. Asensio, J. Oteo, M. Algarra and B. Isidoro contributed equally  
20 to this work.

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22 **Abbreviated title:** VIM-1- producing *K. pneumoniae* outbreak

23 **Corresponding author:** Jesús Oteo, Centro Nacional de Microbiología, Instituto de  
24 Salud Carlos III, Carretera Pozuelo a Majadahonda, 28220 Majadahonda, Madrid,  
25 Spain.

26 Phone: +34 918 22 3650. Fax: +34 915 097966. E-mail: [jesus.oteo@isciii.es](mailto:jesus.oteo@isciii.es)

27 **Abstract**

28 We study the epidemiology, molecular basis, clinical risk factors and outcome involved  
29 in the clonal dissemination of VIM-1-producing *Klebsiella pneumoniae* in the hospital  
30 setting.

31 All patients infected/colonized by carbapenem non-susceptible *K. pneumoniae*  
32 (CNSKP) in 2009 were included. Molecular epidemiology was studied by Pulsed Field  
33 Gel Electrophoresis (PFGE) and Multilocus Sequence Typing (MLST). Antibiotic  
34 resistance genes were analyzed by PCR and sequencing. Plasmids were studied by  
35 PFGE with S1 nuclease digestion and by the incompatibility group by a PCR-based  
36 replicon typing scheme. Risk factors associated with CNSKP colonization/infection  
37 were assessed by an observational case-control study.

38 All 55 patients studied were infected (n=28) or colonized (n=27) by VIM-1-producing  
39 *K. pneumoniae*. All but one acquired isolates of a single clone (PFGE C1, ST15), while  
40 another clone (PFGE C2, ST340) was detected in four patients. C1 isolates also  
41 produced the new ESBL SHV-134. *bla*<sub>VIM-1</sub> was carried into a class 1 integron and an  
42 untypeable plasmid of ~ 50 bp. The number of days receiving mechanical ventilation,  
43 the use of parenteral nutrition, previous treatment with linezolid, and treatment with  
44 third generation cephalosporins for more than 7 days were detected as independent risk  
45 factors for CNSKP acquisition.

46 VIM-1 producing *K. pneumoniae* ST15 clone has a high capacity to spread among ICU  
47 patients with severe underlying conditions. A high rate of associated mortality and great  
48 difficulty in controlling the spread of this clone, without permanent behavioural changes  
49 in the personnel, were observed.

50

51

52

53 **Introduction**

54 Carbapenemases hydrolyse all beta-lactam antibiotics including carbapenems  
55 (5,19,33,37) and their high potential for rapid, wide dissemination constitutes a major  
56 clinical and public health threat (5,18,19,24,26-28,37). Class B carbapenemases include  
57 metallo- $\beta$ -lactamases (MBLs) such as VIM, IMP and NDM-1 (19,24). Acquired MBLs  
58 are increasingly reported from *Enterobacteriaceae* (5,9,14,10,24,35,37).

59 *Klebsiella pneumoniae* is a major cause of nosocomial infections, particularly in  
60 intensive care units (ICUs), representing a relevant potential clinical risk. During the  
61 last decade, VIM-type MBLs have spread in *K. pneumoniae* (2,21,31,32,35,38) and  
62 outbreaks of such strains have been reported (2,21,32).

63 In Spain, VIM-1-producing *Enterobacteriaceae* have been described associated  
64 to single cases, to small outbreaks (6,31,36), or to polyclonal spread affecting different  
65 bacterial species (25,35).

66 This study describes an extensive nosocomial outbreak caused by a clonal  
67 multidrug-resistant strain of *K. pneumoniae* producing VIM-1. Our aims were to assess  
68 the epidemiology, molecular basis, clinical risk factors and outcomes involved in the  
69 acquisition and dissemination of VIM-1-producing *K. pneumoniae* in the hospital  
70 setting.

71

72 **Material and Methods**

73 *Study design and bacterial isolates*

74 During 2009, an increase in the isolation of carbapenems-non-susceptible *K.*  
75 *pneumoniae* (CNSKP) isolates was noted in the University Hospital Puerta de Hierro  
76 Majadahonda (UHPHM) in Madrid, Spain. This observation prompted the present

77 investigation. UHPHM is a tertiary care hospital, with 613 inpatient beds, 52 intensive  
78 care beds, and over 17,000 hospital admissions per year.

79 All the patients infected and/or colonized by CNSKP isolates between January  
80 2009 and December 2009 were included in this study. An infected case caused by  
81 CNSKP was defined according to CDC criteria (17); a colonized case was defined as a  
82 patient carrying CNSKP without clinical evidence of infection.

83

#### 84 *Bacterial identification and susceptibility testing*

85 Species identification and antibiotic susceptibility testing were performed by  
86 broth microdilution (MicroScan, Siemens Healthcare Diagnostics, Deerfield, IL) and by  
87 E-test (AB-Biodisk, Solna, Sweden). Susceptibility results were interpreted according to  
88 Clinical and Laboratory Standards Institute (CLSI) guidelines (8). Antibiotic-  
89 susceptible *E. coli* ATCC 25922 and carbapenems-resistant *E. coli* MN4 (30) were used  
90 as quality control strains. Tigecycline susceptibility was determined by the E-test and  
91 interpreted according to European Committee on Antimicrobial Susceptibility Testing  
92 (EUCAST) guidelines (13).

93 Carbapenems-non-susceptibility was considered as resistance or intermediate  
94 susceptibility to one of more of the three carbapenem antibiotics tested: imipenem,  
95 meropenem and ertapenem.

96 Modified Hodge test using an imipenem disk was carried out; imipenem  
97 susceptibility alone and in combination with EDTA were also determined (E-test, AB  
98 Biodisk, Sweden).

99

100 *Molecular epidemiology*

101 The genetic relatedness between the *K. pneumoniae* isolates was determined by  
102 pulsed-field gel electrophoresis (PFGE) after total chromosomal DNA digestion with  
103 *Xba*I (30).

104 *K. pneumoniae* isolates representing the different PFGE clusters were further  
105 studied by the Multilocus Sequence Typing (MLST) according to the Institut Pasteur  
106 scheme (<http://www.pasteur.fr/recherche/genopole/PF8/mlst/Kpneumoniae.html>; last  
107 accessed December 2010)

108

109 *Antibiotic resistance mechanisms and class 1 integron characterization*

110 Standard PCR conditions were used to amplify genes coding for carbapenemases  
111 (*bla*<sub>KPC</sub>, *bla*<sub>VIM</sub>, *bla*<sub>IMP</sub>, *bla*<sub>NDM</sub>), extended spectrum β-lactamases (ESBL) (*bla*<sub>TEM</sub>,  
112 *bla*<sub>SHV</sub>, and *bla*<sub>CTX-M</sub>), and plasmid-mediated AmpC (*bla*<sub>CMY</sub>, *bla*<sub>FOX</sub>, *bla*<sub>MOX</sub>, *bla*<sub>DHA</sub>,  
113 *bla*<sub>EBC</sub> *bla*<sub>ACC</sub>). Aminoglycoside acetylase resistance genes (*aac*(3)-IIa, *aac*(6')-Ib) and  
114 16S RNA methylases (*armA*, *rmtA*, *rmtB*) were also screened (15,30).

115 Class 1 integron structures, the integrase gene *intI1* and the variable regions, as  
116 well as the linkage of *bla*<sub>SHV-134</sub> allele with IS26 were screened by PCR amplification  
117 and DNA sequencing (12,34).

118 In addition, the entire sequences of the *ompK35* and *ompK36* genes were  
119 analyzed by PCR and DNA sequencing in four isolates representing the two CNSKP  
120 clones detected (20).

121

122 *Conjugation assay and plasmid characterization*

123 Conjugation experiments were performed with four donor *K. pneumoniae*  
124 clinical isolates, previously selected according to PFGE results, using the kanamycin-  
125 azide resistant *E. coli* Hb101 as a recipient. Putative transconjugants were selected on  
126 Mueller-Hinton agar plates containing kanamycin (100 µg/ml), azide (160 µg/ml), and  
127 cefotaxime (4 µg/ml).

128 Plasmids were classified according to their incompatibility group by a PCR-  
129 based replicon-typing scheme (3). PFGE with S1 nuclease digestion of whole genomic  
130 DNA (S1-PFGE) was used to detect plasmids as previously described (16).

131

### 132 *Case-control clinical study*

133 A retrospective observational case-control study was conducted among patients  
134 admitted to the medical (MICU) or surgical ICU (SICU) between January and  
135 December 2009.

136 All the ICUs patients were screened by rectal cultures at least once a week until  
137 hospital discharge. A case was defined as a patient admitted to either of the two ICUs  
138 during the study period who presented infection or colonization by VIM-1-producing *K.*  
139 *pneumoniae* after 48 hours' admission in the ICU. Control patients were randomly  
140 selected from monthly lists of patients admitted to the ICUs during the same period as  
141 the patient case, with an ICU stay greater than 48 hours, and with no evidence of  
142 CNSKP infection or colonization in any of the clinical or surveillance samples taken.

143 Death associated to the infection was defined as persistence of signs and  
144 symptoms of infection by VIM-1-producing *K. pneumoniae* at the time of death, or  
145 death occurring within 14 days after the diagnosis of VIM-1-producing *K. pneumoniae*  
146 infection without evidence of another obvious cause.

147 Information about the potential clinical risk factors (underlying medical  
148 conditions including, as well as surgical procedures, use and duration of invasive  
149 devices, and type and duration of antimicrobial treatment) were recorded. In addition  
150 Charlson modified index (1,7) and Apache II scores were also recorded on admission to  
151 the ICU (22).

152 The periods used for the determination of risk factors were from admission until  
153 the date of colonization or infection by VIM-1-producing *K. pneumoniae* (for cases) and  
154 from admission to discharge from the ICU (for controls).

155

### 156 *Statistical analysis*

157 Comparison of discrete variables was performed by univariate logistic  
158 regression. Continuous variables were compared by student's *t* test or Wilcoxon rank  
159 sum (Mann-Whitney) test, as appropriate. Two multivariate logistic regression models  
160 were developed with the purpose of determining the potential independent risk factors  
161 associated with VIM-1-producing *K. pneumoniae* infection/colonization, adjusted by  
162 APACHE II score: a general model and a model that only included the use of antibiotics  
163 as risk factors. On the basis of a complete model, which included all the risk factors that  
164 showed a value  $p < 0.20$  in the univariate analysis, the final model was built using the  
165 maximum likelihood method using a backward strategy of selecting variables. Adjusted  
166 Odds ratios (ORs) were calculated including their 95% confidence intervals. Two-tailed  
167 test were used for all analyses. *p* values  $< 0.05$  were considered statistically significant.  
168 Statistical procedures were performed using the Stata 9.0 SE statistical package.

169

## 170 **Results and discussion**

### 171 *Patients and infections*



172 During 2009, CNSKP isolates were obtained from clinical samples of 55 patients,  
173 admitted in either the SICU (n=36, 65.5%) or the MICU (n=19, 34.5%). Of the 55  
174 patients, 28 (50.9%) were infected by CNSKP, while 27 (49.1%) had no clinical  
175 evidence of infection and were considered colonized. The incidence of new cases in the  
176 SICU was significantly higher than in the MICU (16.7 vs. 6.1 cases/1,000 patient-days)  
177 (RR: 2.7, 95% CI: 1.6-4.7;  $p<0.001$ ). Thirty-five (63.6%) patients were male. Twenty-  
178 one (38.2%) were >65 years old, and 34 (61.8%) were >18 and  $\leq 65$  years old. The  
179 median number of days of MICU/SICU stay was 36 days (range: 3-101 days).

180 Clinical characteristics, treatment and outcome of the patients infected by  
181 CNSKP are detailed in Table 1. The most prevalent infections diagnosed were  
182 pneumonia and catheter-associated bacteremia with seven cases (25%) each one. Of the  
183 27 patients colonized, CNSKP was recovered from exudates of the rectum (22 cases;  
184 78.6%), trachea (3 cases; 11.1%), urethra (1 case; 3.7%), and bladder catheter (1 case;  
185 3.7%).

186 Of the 55 patients studied, 45 (81.8%) had previous underlying conditions and  
187 25 of them (55.6%) had more than one. The most prevalent underlying diseases were  
188 neoplasia (13 cases, 25.5%), immunosuppression due to solid organ transplantation (12  
189 cases, 21.8%) and heart diseases (21 cases, 38.2%).

190 Thirteen (46.4%) infected patients and 11 (40.7%) colonized patients died, all of  
191 them with severe underlying diseases.

192 Twenty-two of the 28 (78.6%) infected patients were treated with antibiotics  
193 active against CNSKP, mainly tigecycline in monotherapy (9 cases; 32.1%), or  
194 combined with colistin (11 cases; 39.3%) (Table 1). Of the remaining 6 infected  
195 patients, 2 were treated with meropenem or ertapenem because their isolates MICs for  
196 both antibiotics were 1  $\mu\text{g/ml}$  and interpreted as susceptible according to previous CLSI

197 criteria (ertapenem  $\leq 2$   $\mu\text{g/ml}$  and meropenem  $\leq 4$   $\mu\text{g/ml}$ , document M100-S20), 2 did  
198 not receive treatment due to early death, and the clinical condition of 2 patients  
199 improved after central venous catheter or bladder catheter removal (Table 1).

200 Nineteen of the 28 patients infected (67.9%) received adjuvant treatment, mainly  
201 change or removal of central venous catheter (14 patients) (Table 1).

202

### 203 *Susceptibility testing of CNSKP isolates*

204 During the study period, 335 CNSKP isolates were recovered from different  
205 samples of the 55 patients studied. All of them were resistant to ampicillin,  
206 amoxicillin/clavulanic acid, cefoxitin, cefotaxime, ceftazidime, piperacillin/tazobactam,  
207 gentamicin, tobramycin, ciprofloxacin and cotrimoxazole; 36% were susceptible to  
208 amikacin. The MICs for tigecycline ranged from 0.25  $\mu\text{g/ml}$  to 4  $\mu\text{g/ml}$  (MIC<sub>50</sub>=0.5  
209  $\mu\text{g/ml}$ , MIC<sub>90</sub>=1  $\mu\text{g/ml}$ ). All isolates were susceptible to colistin (MICs  $< 2$   $\mu\text{g/ml}$ ).

210 Imipenem, meropenem and ertapenem MICs ranged from 1 to  $> 8$   $\mu\text{g/ml}$  (MIC<sub>50</sub>  
211 and MIC<sub>90</sub>  $>8$   $\mu\text{g/ml}$  in all cases). The modified Hodge the imipenem/imipenem-EDTA  
212 E-test strips were positive in all CNSKP *K. pneumoniae* isolates.

213

### 214 *Molecular epidemiology*

215 In total, 99 representative CNSKP isolates were subjected to further molecular  
216 epidemiology studies. PFGE results revealed two well-defined clusters. Cluster 1 (C1)  
217 was predominant in both infected (n=27) and colonized (n=27) patients, while cluster 2  
218 (C2) was only isolated from four patients, although only one was infected; the three  
219 patients colonized by isolates of C2 cluster were also infected (n=1) or colonized (n=2)  
220 by isolates of C1 cluster.

221 According to the minor banding patterns differences found, C1 cluster could be  
222 subdivided into four subtypes, C1A to C1D; also in the C2 cluster, two subtypes were  
223 identified, C2A and C2B (Figure 2). By MLST analysis, clusters C1 and C2 were  
224 identified as ST15 (six isolates tested; three of subtype C1A, and one of each C1B, C1C  
225 and C1D) and ST340 (three isolates tested; two of subtype C2A and one of C2B),  
226 respectively (Figure 1). This is the first description of VIM-1-producing *K. pneumoniae*  
227 ST15, MLST type previously associated to CTX-M-15-producing epidemic clones in  
228 Hungary and Denmark (11,29). The ST340 is a single-locus variant of the widely  
229 disseminated KPC-producing ST258 strain (18).

230

#### 231 *Antibiotic resistance genes and class 1 integron characterization*

232 *bla*<sub>VIM-1</sub> was identified in both C1/ST15 and C2/ST340 clones. *bla*<sub>VIM-1</sub> was  
233 carried into a class 1 integron in the following cassette combination: *intI1* (integrase  
234 gene) - *bla*<sub>VIM-1</sub> - *aac(6')-Ib* (tobramycin-resistance gene, also called *aacA4*) - *dhfrII*  
235 (thrimethoprim-resistance gene) - *aadA1* (streptomycin-resistance gene) - *catB2*  
236 (chloramphenicol-resistance) - *qacEδ1/sul-1* (quaternary ammonium compounds-  
237 resistance gene/sulphonamides-resistance gene).

238 C1/ST15 isolates also had the new *bla*<sub>SHV-134</sub> gene codifying the ESBL SHV-  
239 134, (<http://www.lahey.org/studies/webt.asp>). *bla*<sub>SHV-134</sub> has a single nucleotide change  
240 at position 448 (C→G) in comparison with *bla*<sub>SHV-12</sub>, that codes for an amino acid  
241 substitution at position 154 (Q→E) (GeneBank accession number HM559945). The  
242 insertion sequence IS26 was detected linked 73 bp upstream of *bla*<sub>SHV-134</sub>, as previously  
243 described in the *bla*<sub>SHV-12</sub> gene (12). This fact supports the idea of genetic evolution of  
244 *bla*<sub>SHV-134</sub> from the *bla*<sub>SHV-12</sub> gene.

245 The *bla*<sub>TEM-1</sub> gen and the aminoglycoside resistance gene *aac(3')-IIa* were also  
246 identified in *K. pneumoniae* isolates representatives of both clones.

247 A selected sample of four *K. pneumoniae* isolates representing the different  
248 clones (two C1/ST15 and two C2/ST340 isolates) with MICs > 8 µg/ml to imipenem,  
249 meropenem and ertapenem was studied further to determine *ompK35* and *ompK36*  
250 sequences. In the two C1/ST15 isolates, the DNA sequences of *ompK35* and *ompK36*  
251 showed point mutations at positions 690 (G →A) and 360 (C→A), generating a TGA  
252 and TAA premature stop codons, respectively. In the two C2/ST340 isolates, the  
253 sequence of *ompK35* had a point mutation at position 520 (C→T), generating a TAG  
254 premature stop codon, whereas no changes were detected in the *ompK36* sequence.

255

#### 256 *Conjugation assay and plasmid characterization*

257 Carbapenem-non-susceptible *E. coli* transconjugants were obtained from isolates  
258 of the C1/ST15 and C2/340 clones. All transconjugants carried a plasmid of ~ 50 kb  
259 that was untypeable by PCR from which positive identification of *bla*<sub>VIM-1</sub> gene and  
260 class 1 integron were obtained (Table 2). Untypeable plasmids of a similar size were  
261 found in a recent study by Miro et al. (25). Previous European studies had detected  
262 *bla*<sub>VIM-1</sub> associated to plasmids of the incompatibility groups N (32), I (35) and HI2  
263 (25,35).

264 In addition, cefotaxime-resistant but carbapenem-susceptible transconjugants  
265 were also obtained from isolates of the C1/ST15 clone that were TEM-1 and SHV-134-  
266 producers and carried a single IncFIIA plasmid of ~ 75 kb (Table 2). Simultaneous  
267 production of VIM-1 and ESBLs of the SHV family has been previously described in *K.*  
268 *pneumoniae* (2,32,35), but this is the first report of the novel ESBL SHV-134 and VIM-  
269 1. *bla*<sub>SHV-134</sub> being located in a conjugative IncFII plasmid other than the plasmid

270 harbouring the *bla*<sub>VIM-1</sub> gene. Previous reports described the association of *bla*<sub>SHV-12</sub>  
271 with InFII plasmids (12,29).

272

### 273 *Case-control clinical study*

274 All the 55 patients infected and/or colonized by VIM-1-producing *K.*  
275 *pneumoniae* and 55 control patients were included in the study. No differences could be  
276 found between case and control patients regarding age, gender and frequency of surgery  
277 (Table 3).

278 Total hospital stay was higher for cases than for controls (median stay 36 vs.7  
279 days;  $p<0.001$ ), as well as the Apache II index (21.4 vs. 18.8;  $p=0.08$ ). No significant  
280 differences in frequency of underlying diseases were observed between both groups,  
281 however, the case-fatality ratio was significantly higher for case patients than for  
282 control patients (45.5% vs. 30.9% respectively;  $p=0.003$ ).

283 In general, infection or colonization by VIM-1-producing *K. pneumoniae* was  
284 significantly associated with total previous exposure to invasive devices and lengthened  
285 stay in the ICU ( $p<0.001$ ); in particular exposure to central and peripheral venous  
286 catheter, to mechanical ventilation, to nasogastric tube, to parenteral nutrition and to  
287 tracheotomy. Cases also received more antibiotics and for longer periods of time than  
288 their control counterparts (Table 3). Furthermore, use of quinolones, carbapenems,  
289 piperacillin-tazobactam, linezolid and third generation cephalosporins for more than 7  
290 days were associated to a greater risk of acquiring CNCKP (Table 3). The main  
291 independent risk factors identified by the general multivariate model were the number  
292 of days receiving mechanical ventilation and the use of parenteral nutrition; previous  
293 treatment with linezolid and third generation cephalosporins for more than 7 days were  
294 identified as risk factors by the antimicrobial use model (Table 4).

295 Taking in account that the size of this observational study precludes the  
296 identification of several independent factors, which would otherwise be interrelated, the  
297 factors that were identified indicated a group of very sick patients who required  
298 intensive care for long periods of time. These factors give greater opportunities to  
299 acquire the bacteria, especially in an environment where intensive antibiotic treatments  
300 select those species resistant to many antibiotics. One of the interesting findings in this  
301 observational study is the independent association of a previous exposure of cases to  
302 linezolid. On one hand it could be signalling those patients who developed more severe  
303 infection and were empirically treated for gram-positive microorganisms but, on the  
304 other hand, lizenolid could be helping to eradicate patients' gram positive flora thereby  
305 allowing colonization by resistant gram-negative bacteria.

306

#### 307 *Epidemic curve and infection control measures*

308 The epidemic curve of patients infected by CNSKP in the two ICUs units is  
309 displayed in Figure 2. Almost all the MICU cases appeared in the second half of the  
310 year, from week 27 to year end. The dissemination of CNSKP from the SICU to the  
311 MICU during the summer period was linked to the fact that the MICU was closed,  
312 therefore MICU patients were allocated to one of the SICU modules and auxiliary  
313 personnel were shared with SICU patients. This circumstance allowed dissemination of  
314 the bacteria between the two populations indicating that contact transmission is a key  
315 factor for the growth of the epidemic clone.

316 Infection control personnel identified all patients with VIM-1-producing *K.*  
317 *pneumoniae* isolates recovered from any clinical specimen according to the  
318 microbiology laboratory reports. In addition, active surveillance of all patients admitted  
319 to the ICUs was performed once a week. Patients identified as harbouring VIM-1-

320 producing *K. pneumoniae* were assigned to contact precautions, including single room,  
321 when available, or patient cohorting, and the use of gowns and gloves that were  
322 discarded after caring for a patient. In addition, standard precautions were reinforced for  
323 all patients admitted to the ICUs, including improvement of hand hygiene compliance  
324 through the use of alcohol rubs before and after caring for patients. The outbreak  
325 described here did not stop during 2010 (data not shown) but remained at a lesser  
326 incidence towards endemicity (incidence rate 4.4 cases/1,000 patient-days in 2010).  
327 Eradication of these bacteria in this vulnerable population can be very difficult to  
328 achieve in spite of drastic measures, such as an aggressive infection control strategy.

329         In this outbreak we observed three periods without cases of infection between  
330 weeks 10-16, 31-33 and 36-39 (Figure 2). These periods followed both the educational  
331 series in the SICU on week 9, and the direct observation of the personnel and the  
332 requirement to comply with basic hand hygiene and contact precaution measures on  
333 weeks 28-29 and 36-37 for the SICU and MICU, respectively (Figure 2). This latter  
334 intervention is costly and could not be continued due to economic constraints. Other  
335 potentially effective, but costly interventions that include the cohorting of carriers and  
336 staff, or even closing the units and the total removal of all environmental as well as  
337 patient reservoirs, were not undertaken (23).

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543 **Table 1.** Clinical characteristics of patients infected with VIM-1-producing *Klebsiella*  
544 *pneumoniae*.  
545

Gender	Age (Years)	Unit	Charlson Index Score	Apache II Index Score	Localization of infection	Antimicrobial therapy	Total antimicrobial days	Adjunctive therapy	Outcome
M	60	SICU	3	28	Pneumonia	TGC + CST	50	None	Death
F	66	MICU	3	12	UTI	AMK	8	BC change	Cure
M	67	MICU	4	25	Pneumonia	Meropenem	12	CVC change	Cure
M	53	SICU	2	8	Intraabdominal	TGC	9	None	Cure
M	46	MICU	1	21	CAB	TGC	4	CVC removal	Cure
M	35	SICU	3	17	CAB	TGC	34	CVC change	Cure
F	65	MICU	0	26	Meningitis	TGC + CST	26	CVC change	Cure
F	64	MICU	7	23	UTI	TGC	11	None	Death
F	38	SICU	0	20	CAB	None	0	PVC change	Cure
M	61	MICU	4	15	LRTI	TGC + CST	10	CVC change	Death
F	54	SICU	4	23	Pneumonia, CAB	TGC + CST	10	CVC and ET change	Death
M	68	SICU	6	17	Meningitis	TGC	7	CVC and VS change	Death
M	61	MICU	3	28	Pneumonia	TGC + CST	25	None	Cure
M	43	MICU	2	31	Pneumonia	TGC + CST	43	None	Cure
F	72	SICU	3	23	UTI	None	0	CVC change	Death
M	46	SICU	3	15	Meningitis	None	0	PVC change	Death
M	34	MICU	0	11	UTI	None	0	BC change	Cure
M	43	SICU	3	18	Pneumonia, CAB	TGC + CST	7	CVC and PVC change	Death
M	51	SICU	4	26	LRTI	Ertapenem	12	PVC removal	Cure
M	39	SICU	1	24	LRTI	AMK+ TGC +CST	28	None	Cure
M	54	SICU	2	21	LRTI	TGC + CST	28	None	Death
M	80	SICU	2	16	Intraabdominal	TGC	3	CVC change	Death
F	58	MICU	2	23	UTI	TGC	2	BC change	Cure
M	69	SICU	7	19	CAB	TGC + CST	38	CVC change	Death

M	59	SICU	6	29	Soft tissue	TGC	31	None	Death
F	63	SICU	4	21	LRTI	TGC + CST	21	CVC removal	Cure
M	35	SICU	0	17	CAB	TGC	2	None	Cure
F	66	SICU	3	29	Pneumonia	TGC + CST	38	CVC and PD change	Death

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547 NOTE. M, male; F, female; MICU, medical intensive care unit; SICU, surgical intensive care  
548 unit; AMK, amikacin; TGC, Tigecycline; CST, Colistin; CAB, catheter-associated bacteremia;  
549 UTI, urinary tract infection; LRTI, lower respiratory tract infection; CVC, central venous  
550 catheter; PVC, peripheral venous catheter; BC, bladder catheter; ET, endotracheal tube; VS,  
551 ventricular shunt; PD, percutaneous drainage.

552



553 **Table 2.** Antibiotic susceptibility and molecular characteristics for the VIM-1-  
554 producing *Klebsiella pneumoniae* isolates K534 of clone C1/ST15 and K535 of clone  
555 C2/ST340, and their transconjugants TC1K534, TC2K534 and TC1K535.  
556

Antibiotics	K534	TC1K534	TC2K534	K535	TCK535	<i>E. coli</i> Hb101
Ampicillin	>16	>16	>16	>16	>16	≤4
Amox/Clav	>16	>16	8	>16	>16	≤4
Cefazolin	>16	>16	> 16	> 16	>16	≤4
Cefoxitin	>16	> 16	≤4	> 16	16	≤4
Cefotaxime	>64	32	4	> 64	64	≤1
Ceftazidime	>128	128	32	> 128	64	≤1
Cefepime	>16	8	2	>16	4	≤1
Aztreonam	>16	≤1	>16	≤1	≤1	≤1
Imipenem	>32	4	0,12	16	4	0.12
Meropenem	>32	1	0,03	4	1	0.03
Ertapenem	>32	1	0,015	4	1	0.015
Piper/Taz	> 64	>64	≤8	>64	>64	≤8
Ciprofloxacin	>2	≤ 0.12	≤ 0.12	>2	≤ 0.12	≤0.12
Gentamicin	>8	≤ 2	>8	4	≤2	≤2
Tobramicin	>8	4	>8	8	4	≤2
Amikacin	32	≤ 8	16	≤ 8	≤8	≤8
Modified Hodge Test	pos	pos	neg	pos	pos	neg
Imipenem-EDTA synergy test	pos	pos	neg	pos	pos	neg
<i>bla</i> <sub>VIM-1</sub>	pos	pos	neg	pos	pos	-
<i>bla</i> <sub>SHV-134</sub>	pos	neg	pos	neg	neg	-
<i>bla</i> <sub>TEM-1</sub>	pos	neg	pos	pos	neg	-
<i>aac</i> (6')-Ib	pos	pos	neg	pos	pos	-
<i>aac</i> (3')-IIa	pos	neg	pos	pos	neg	-
Class 1 integron	pos	pos	neg	pos	pos	-
PFGE profile	C1	-	-	C2	-	-
MLST	ST15	-	-	ST340	-	-
Plasmids Inc groups	-	untypeable	FIIA	-	untypeable	-
PFGE S1	~170kb, ~75kb, ~50kb	~50kb	~75kb	~250kb, ~170kb, ~50kb	~50kb	-

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558 NOTE. Minimal inhibitory concentrations expressed in µg/ml  
559 Amox/Clav, Amoxicillin/Clavulanic acid. Piper/Taz, Piperacillin/Tazobactam  
560  
561

562 **Table 3.** Univariate analysis of risk factors linked to infection or colonization by VIM-  
 563 1-producing *Klebsiella pneumoniae*

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Characteristics	Case patients (n=55)	Control patients (n=55)	OR	95% IC	p
Days at risk, median (range)	18(5-63)	7(3-110)			0.001 <sup>a</sup>
Apache II risk index, mean (SD) per 10 units of increment	41.0(22.9)	18.8(8.3)	1.5	0.9-2.5	0.08
Charlson Index, mean (SD)	3.2(2.2)	3.5(2.2)	0.9	0.8-1.1	0.5
Patient-specific risk factor					
Chronic lung disease	1(1.8)	5(9.1)	0.2	0.03-2.2	0.1
Diabetes mellitus	7(12.8)	11(20.0)	0.6	0.2-1.6	0.3
Chronic renal insufficiency	6(10.9)	6(10.9)	1	0.3-3.3	1
Malignancy	14(25.5)	13(23.6)	1.1	0.5-2.6	0.8
Cardiovascular disease	21(38.2)	24(43.6)	0.8	0.4-1.7	0.6
Liver disease	3(5.6)	6(10.9)	0.5	0.1-2	0.3
Blood disease	3(5.5)	4(7.3)	0.7	0.2-3.5	0.7
Neurologic disease	10(18.2)	5(9.9)	2.2	0.7-7.0	0.1
Immunosuppression	12(21.8)	16(29.1)	0.7	0.3-1.7	0.3
Healthcare-associated factors					
Presence of CVC, days					
0-7	6(10.9)	34(61.8)	1		
8-21	29(52.7)	16(29.1)	10.3	3.6-29.7	<0.001
>21	20(36.4)	5(9.1)	22.7	6.1-83.9	<0.001
Presence of PVC, days					
0-7	14(25.5)	32(58.2)	1		
>7	41(74.6)	23(41.8)	4.1	1.8-9.2	0.001
Presence of mechanical ventilation, days					
0-7	7(14.9)	34(72.3)	1		
8-14	14(29.8)	8(17)	6.7	2.4-18.6	<0.001
>14	26(55.3)	5(10.6)	5.0	7.0-84.2	<0.001
Presence of nasogastric tube, days					
0-7	9(19.2)	25(74.5)	1		
8-14	12(25.5)	9(19.2)	4.4	1.6-12.3	0.004
>14	26(55.3)	3(6.4)	5.0	6.5-74.2	<0.001
Tracheostomy	31(66)	9(19.2)	7.0	3.0-16.5	<0.001
Parenteral nutrition	33(70.2)	16(34)	4.1	2.4-12.6	<0.001

Total invasive devices, mean	5.2(1)	3.6(1.4)	2.8	1.9-4.1	<0.001
Total hospital LOS, days, median (range)	36(3-101)	7(3-110)			<0.001 <sup>a</sup>
Total antimicrobial, mean (SD)	5.0(2.3)	2.9(2.4)	1.4	1.2-1.7	<0.001
Total antimicrobial, days					
0-14	20(36.4)	40(72.7)	1		
15-21	15(27.3)	8(14.6)	3.8	1.4-10.3	0.01
>21	20(36.4)	7(12.7)	5.7	2.1-15.8	0.001
>7 days third-generation cephalosporins	15(27.8)	4(7.3)	4.8	1.5-15.5	0.009
Use of antimicrobial					
Quinolones	46(83.6)	30(54.6)	4.6	1.8-10.4	0.001
Carbapenems	32(58.2)	15(27.3)	3.7	1.7-8.3	0.001
Piperacillin-tazobactam	24(43.6)	12(21.8)	2.8	1.2-6.4	0.016
Aminoglycosides	5(9.1)	6(10.9)	1.2	0.4-4.3	0.751
Linezolid	38 (69.1)	12 (21.8)	8.0	3.4-18.9	<0.001

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566 NOTE: Data are number (%) of patients, unless otherwise indicated. CI, confidence  
567 interval; OR, odds ratio; SD, standard deviation; CVC, central venous catheter; PCV,  
568 peripheral venous catheter; LOS, length of stay.

569 <sup>a</sup> U Mann-Whitney

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577 **Table 4.** Potential risk factors associated with VIM-1-producing *Klebsiella pneumoniae*  
 578 colonization or infection as determined by multivariate analysis.  
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<b>Model</b>	<b>OR</b>	<b>95% IC</b>	<b>p</b>
General model			
Presence of mechanical ventilation, days	1.2	1.1-1.3	<0.001
Use of parenteral nutrition	3.6	1.4-9.4	0.009
Model with specific antimicrobials <sup>a</sup>			
Third-generation cephalosporins (>7 days)	4.2	1.1-15.3	0.032
Linezolid	7.5	3.1-18.3	<0.001

580  
 581 NOTE. CI, confidence interval; OR, odds ratio. Multivariable analyses were performed  
 582 by logistic regression adjusted for Apache II Index.

583 <sup>a</sup>The remaining risk factors included in the general model are not shown because the  
 584 odds ratios [ORs; 95% confidence intervals (CIs)] and *p* values did not change  
 585 significantly.

586  
 587

588 **Figure 1.** Dendrogram illustrating the PFGE profiles and MLST types of the two different clones of VIM-1-producing *Klebsiella pneumoniae*  
589 isolates and the number of patients infected and/or colonized by each.

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599 NOTE. One patient was infected by C1/ST15 and colonized by clone C2/ST340. Two patients were colonized by both C1/ST15 and C2/ST340.

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602 **Figure 2.** Epidemic curve of cases of infection by VIM-producing *Klebsiella pneumoniae* in two intensive care units.

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604 NOTE. SICU-C: Colonized patients in surgical intensive care unit. SICU-I: Infected patients in surgical intensive care  
605 unit. MICU-C: Colonized patients in medical intensive care unit. MICU-I: Infected patients in medical intensive care  
606 unit.