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## Characteristics of Deforestation in the Democratic People's Republic of Korea (North Korea) between the 1980s and 2000s

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## ABSTRACT

32

33 There has been a significant lack of land cover change studies in relation to deforestation in the 34 Democratic People's Republic of Korea (North Korea). The purpose of this study is to 35 36 characterise deforestation in North Korea through land cover change trajectory and spatial analysis. We used three 30-m gridded land cover data sets for North Korea representing the 37 38 conditions of the late 1980s, 1990s, and 2000s, respectively, as well as a digital elevation model. We examined the land cover trajectories during the two decades, i.e. which land cover became 39 40 which at the pixel level. In addition, we calculated topographic characteristics of deforested pixels. 41 Major findings from the study are summarised as follows: (1) net forest loss in North Korea slowed since the 1990s, whereas land cover changes were active; (2) as a result of deforestation, 42 forest land cover became mostly agricultural and grassland; (3) expansion of agricultural land 43 44 cover continued during the time; and (4) elevation and slope of deforested areas decreased slightly 45 in the latter decade. The key contribution of the study is that it has demonstrated which land cover became which at the 30-m pixel level, complementing existing studies that examined overall 46 47 forest stock in North Korea. 48 49 Keywords: Deforestation; North Korea; Forest; Land Cover; Spatial Distribution

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### INTRODUCTION

54 Land use/cover change results in significant consequences in the environment, in terms 55 of climate (e.g. Findell et al. 2007), hydrology (e.g. Sajikumar & Remya 2015), and ecology (e.g. 56 Parton et al. 2005), among others. Deforestation, which implies the long-term or permanent loss 57 of forest cover and its transformation into another land cover, is one of the most widespread issues 58 of land cover change. During the period 1990-2010, Africa and South America lost millions of 59 hectare of forest per decade respectively, particularly in Brazil and Nigeria, by far exceeding other 60 continents (FAO, 2010). Naturally, deforestation taking place in the Amazon or the tropics in 61 general tends to have received most of the attention in recent decades, but deforestation is also of 62 great concern in Asia, the most populous continent in the world (Zhao et al, 2006). This study presents results from an analysis of deforestation in the Democratic People's Republic of Korea 63 64 (also known as North Korea).

65 Deforestation in general has many direct and indirect causes. The overwhelming direct 66 cause of deforestation is agriculture. Deforestation from subsistence and commercial farming is 67 totaling about 70% of the total deforestation according to the United Nations Framework Convention on Climate Change (UNFCCC, 2007). The majority of studies of deforestation point 68 69 out the expansion of agricultural practices as the major global cause (Debolini et al, 2013). Geist 70 & Lambin (2002) found that agricultural expansion was by far the leading land use changes 71 associated with nearly all tropical deforestation case. Demand for land for grazing is another 72 important driving factor of deforestation in Latin America and Africa (FAO, 2012). Agricultural 73 expansion and timber harvest were primary causes of large-scale deforestation in Asia (Zhao et al., 2006). 74

Forest cover declined in Asia between 1990 and 2000 but increased later (FAO, 2010). There is also substantial regional variability in the forest cover change in Asia. For example, China's afforestation efforts greatly contributed to the forest cover increase after 2000, but South and Southeast Asian countries continued to lose net forest at high rates (FAO, 2010). Forest cover continued to decrease in North Korea (Engler et al, 2014). Deforestation accelerated in North Korea during the 1990s compared to the preceding decade not only in terms of quantity but also in shape (Kang & Choi, 2014). Deforestation in North Korea in recent decades has been receiving attention due to its relationship with the famine and floods its people suffered. Between 1995 and
1996, several floods damaged much of the land used for agriculture. It was estimated that 1.3
million hectares of agricultural land was damaged and lost due to inundation and sedimentation
(IUFRO, 2007). The debris left afterward in lower elevation zones made the land unusable for
growing crops (Kim & Chi, 1998). This is a partial cause of the famine that occurred in North
Korea in the 1990s, resulting in more clear-cutting of forest to expand the agricultural land
(IUFRO, 2007).

89 Since North Korea is one of the few closed countries left in the world, literature related 90 to land cover change in North Korea are quite sparse and even fewer peer-reviewed studies exist 91 in specific relation to deforestation in North Korea. Since the Korean War, there had been virtually 92 no reliable information regarding the size and condition of forests in the country until recently (Lee & Kim, 2000). The aforementioned studies provide recent pictures of deforestation in North 93 94 Korea using remotely sensed data. What we find missing in the literature is an analysis of what 95 land covers deforestation resulted in and what land covers converted to forest. We also paid 96 attention to the locational characteristics of deforestation. For example, is deforestation occurring 97 in higher and higher elevation? Where did forest become agricultural land and where did 98 grassland become forest? Topography and accessibility of people to forest are known to affect deforestation rates (Vagen 2006). In short, the purpose of this study is to characterise deforestation 99 100 in North Korea through land cover change trajectory and spatial analysis. Specifically, this study 101 attempts to 1) identify areas where deforestation has taken place recently, 2) measure at what 102 slope and elevation the deforestation has occurred, and 3) analyse land cover changes between 103 forest and other land covers.

104

## MATERIALS AND METHODS

The data used for this study consists of three gridded land cover data sets of North Korea, each of which represents land cover conditions in the late 1980s, 1990s, and 2000s respectively. Hereafter they are referred to as the 1980, 1990, and 2000 data sets. Land cover data as digital maps were produced by the Republic of Korea (South Korea)'s Ministry of Environment (MoE) (MoE, 2016b). The 1980 and 1990 data sets were produced from the Landsat TM imagery for 1987-1989 and 1997-1999 respectively. The 2000 data set was produced from the Landsat 7 ETM+ imagery for 2008-2010 (MoE, 2016a). Each land cover digital map covers 15' × 15' at the 112 1:50 000 scale so that 487 maps were produced to cover the entire North Korea for each term.

113 For the accuracy assessment, MoE derived samples from the center of the 1 minute grids 114 of each 1:50,000 digital map  $(15' \times 15')$  so that 225 samples were checked for each map. As 115 reference data, topographic maps for North Korea (1:50 000), military base maps (1:50 000) by 116 the Republic of Korea Army Mapping Agency, forest type maps, and vegetation maps were used. 117 According to the land cover map guideline by MoE (2013), the land cover data had more than 70% 118 accuracy for classification in the North Korean region. The land cover maps have seven land 119 cover classes: Water, Developed, Barren Land, Grassland, Wetland, Forest, and Agriculture 120 (upper cases were used in this document to represent land cover classes from the data sets). The 121 meaning of each class is presented in Table 1. Fig. 1 shows that Forest is the predominant land 122 cover, located mostly in eastern and northern parts of the country. Agricultural lands are 123 concentrated in western and coastal regions.

124





126 Fig. 1 Land cover of North Korea from the 2000 data set

127

Land cover changes over time at each pixel were analyzed using a change trajectory approach on ArcGIS 10<sup>®</sup>. Here the trajectory approach specifically means identifying the succession of land cover over time at a particular location, as was conducted for gridded land 131 cover data sets by Feng & Liu (2014) and Wang et al. (2013). In the studies, the final resulting 132 grids have cell values that indicate the land cover at each time represented by each input grid. In 133 this study, it was implemented according to the following steps. First, for the most recent land 134 cover data set (the 2000 data set), each land cover class was assigned a number from 1 to 7 as 135 pixel attributes (Table 1). Second, for the 1990 data set, each land cover class was assigned a 136 number from 10 to 70, with 10 corresponding to 1 and 70 to 7 in the 2000 data set respectively. 137 Third, in the same way, each land cover class in the 1980 data set was assigned a number from 138 100 to 700. Fourth, all three layers were overlaid and corresponding pixel values were added. The 139 final three digit pixel values indicate the land cover change trajectory at the pixel. For example, a 140 pixel value 342 means that the pixel was Forest in the 1980s, became Grassland in the 1990s, and then Agriculture in the 2000s. Two or three consecutive identical codes indicate no changes 141 during the time spans. We acknowledge the land cover classification error, thus the results must 142

143 be interpreted with caution. We generally neglect changes that occurred to small extents.

### 144 Table 1. Land cover codes used for change trajectory analysis

Land cover class (notes from MoE (2013))	1980	1990	2000
Developed (includes built-up areas such as residential, commercial, industrial, transportation)	100	10	1
Agriculture (includes crop fields, orchards, and dairy farms)	200	20	2
Forest (land where trees and shrubs grow collectively)	300	30	3
Grassland (land covered by herbaceous plants, including both natural and man-made grasslands)	400	40	4
Wetland (land that remains naturally saturated with water)	500	50	5
Barren land (bare ground without vegetation)	600	60	6
Water (includes lakes, reservoirs, and swamps)	700	70	7

145

A Digital Elevation Model (DEM) was used to measure the elevation of the deforested
areas. DEM data were downloaded from ASTER global DEM (GDEM) Web site (NASA, 2012).

The spatial resolution of GDEM data is 30m. The data sets were projected to a Universal
Transverse Mercator (UTM) projection, JGD 2000 UTM Zone 52N (North Korea). Slope values
were then calculated from the DEM.

The deforested areas were derived by partially implementing the change trajectory approach. For deforestation between the 1980s and 1990s (1980s-1990s), two grid layers were overlaid to add the values as in Table 1. Then only the pixels that were Forest in the 1980s and became something else in the 1990s were extracted and reclassified to the pixel value of 1. Deforestation between the 1990s and 2000s (1990s-2000s) was derived in the same manner. This data were used to calculate the elevation and slope of the deforested areas, taking the product of the DEM and slope layers with each of the reclassified deforestation grids.

158

## RESULTS AND DISCUSSION

### 159 Magnitude of deforestation and other land cover change

160 The results show a significant decrease in Forest between the 1980s and 1990s (Table 2). The decrease is only 6%, but the areal extent is more than 5 000 km<sup>2</sup>. During the same period, 161 162 Developed increased by 44%, Agriculture 12%, and Grassland 24%. Agriculture increased by more than 2 600 km<sup>2</sup>, which is more than half the size of deforestation. Between the 1990s and 163 164 2000s, Forest barely changed in its areal extent, but Agriculture increased by 27% and Grassland 165 decreased by 91%. It is interesting to note that Developed decreased by 5% during the 1990s-166 2000s. We speculate that it could be partly due to classification error but also conversion of 167 abandoned built-up lands to other land covers. There are some conflicting reports regarding forest 168 cover change in North Korea in the 2000s. Kang and Choi (2014) reported a large forest cover 169 decrease between the 1990s and 2000s from 100-m-resolution land cover data sets, but Engler et 170 al. (2014) reported little change in per-pixel tree cover percentage between the early and late 171 2000s from MODIS (moderate-resolution imaging spectroradiometer) data. The per-pixel tree cover percentage decreased a lot between the early 1990s and the early 2000s (Engler et al. 2014). 172

# Table 2. Areal extent (in km<sup>2</sup>) of each land cover class calculated based on the number of pixels and the spatial resolution of the land cover datasets. Numbers in parentheses are percent changes from the previous dataset.

	Data set	1980	1990	2000
Land cove	er			

Developed	1 405	2 030	1 933
		(44%)	(-5%)
Agriculture	21 318	23 965	30 344
		(12%)	(27%)
Forest	91 874	86 565	86 428
		(-6%)	(0%)
Grassland	5 027	6 238	543
		(24%)	(-91%)
Wetland	447	359	275
		(-20%)	(-23%)
Barren land	983	1 826	1 207
		(86%)	(-34%)
Water	1 497	1 546	1 826
		(3%)	(18%)

Fig. 2 portrays the extent of deforested areas for each of the two decades overlaid by 177 178 provincial boundaries. The upper panel shows the pixels that was Forest in the 1980 data and 179 became something else in the 1990 data. The lower panel shows the pixels that was Forest in the 180 1990 data (regardless of whether they were Forest or something else in the 1980 data) and became 181 something else in the 2000 data. Between the 1980s and 1990s, deforestation occurred in much of the country. On the other hand, deforestation during the 1990s-2000s appears to have 182 183 concentrated in western provinces (si and do) such as Jagang-do, Pyeonganbuk-do, 184 Pyeongannam-do and Pyeongyang-si. Pyeongyang (also known as Pyongyang) is the capital of 185 and the largest city province in the country and deforestation is quite visible in and outside of 186 Pyeongyang between the 1990s and 2000s. Jagang-do is a very remote and mountainous province, 187 and deforestation was quite intensive in the middle of the province during the period. In both 188 decades, deforestation was fairly widespread across the country, except in very inland areas (e.g. 189 Yanggang-do) which are mostly high mountains and plateaus.





193 Fig. 2 Areas where deforestation occurred during the 1980s-1990s (upper panel) and the

### 1990s-2000s (lower panel) respectively

195

This rapid decrease in Forest during the 1980s-2000s is alarming but not surprising, given the literature which stated increasing dependence on these resources due to natural disasters and flooding (UNEP, 2003; Myeong & Hong, 2009). The significant increase in Agriculture also closely agrees with these works, which noted the natural disasters that caused destruction of previous agricultural land and more clear-cutting of forests, as well as food shortages in the country.

202 Economically, North Korea continued a negative economic growth since 1991 and the 203 total food supply was reduced (Table 3). It caused a lot of people to starve to death since the mid-204 1990s (Kim, 2009). Since 1994, food imports to North Korea were also rapidly reduced for two 205 reasons. Domestically there was a lack of foreign currency due to economic deterioration and the 206 lack of substitute exports. Internationally, China reduced the grain exports from northeastern 207 China in the mid-1990s because of the food shortage caused by flooding in southern China (Kim 208 & Park, 1995). In addition, when droughts and floods ruined harvests in the 1990s, a famine was 209 touched off and claimed numerous lives. Villagers desperately scoured forests for food and fuel 210 (Stone, 2012).

211

## Table 3. Grain production and gross domestic product (GDP) growth rate during the 1990s in North Korea (Lee, 2008)

Year	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000
Grain Production (ton)	443	427	388	413	345	369	349	389	422	359
GDP Growth Rate (%)	-3.5	-6.0	-4.2	-2.1	-4.1	-3.6	-6.3	-1.1	6.2	1.3

214

### 215 Land cover change trajectories regarding forest

The entire land cover change matrices are presented in Tables 4 and 5. Table 4 shows how each of the seven land cover classes in the 1980s (rows) changed by the 1990s (columns) both in terms area (km<sup>2</sup>) and percentage. Pixels of Agriculture remained mostly Agriculture (66.1%) and 17% of it became Forest and 12% became Grassland by the 1990s. Forest did not

- change much in terms of percentage, with 87.9% of Forest pixels remaining Forest and 7.2% of
- it becoming Agriculture in the 1990s. However, the absolute size of the Forest-to-Agriculture
- conversion is quite large (6 602 km<sup>2</sup>), almost half that of Agriculture-to-Agriculture and larger
- than any other entry in the table. It is also much larger than the Agriculture-to-Forest conversion
- 224 (3 630 km<sup>2</sup>). We also note that the Grassland-to-Agriculture conversion (2 172 km<sup>2</sup>) is much
- 225 larger than the Agriculture-to-Grassland conversion (1 541 km<sup>2</sup>).

Table 4. Land cover change matrix between the 1980 and 1990 data sets. Integer entries are the

area (in km<sup>2</sup>) of corresponding changes and percentage entries are with respect to the sum on each

row. Rows are for the 1980 data and columns are the 1990 data. For example, 87.9% of Forest (80

741 km<sup>2</sup>) in the 1980 data remained Forest in the 1990 data while 7.2% (6 602 km<sup>2</sup>) of it became
Agriculture.

1990 data 1980 data	Developed	Agriculture	Forest	Grassland	Wetland	Barren land	Water	Sum
Developed	741	387	106	88	13	47	20	1 403
	52.8%	27.6%	7.6%	6.3%	0.9%	3.3%	1.4%	100%
Agriculture	859	14 093	3 630	1 541	46	968	168	21 305
	4.0%	66.1%	17.0%	7.2%	0.2%	4.5%	0.8%	100%
Forest	246	6 602	80 741	3 771	26	369	107	91 861
	0.3%	7.2%	87.9%	4.1%	0.0%	0.4%	0.1%	100%
Grassland	91	2 172	1 864	692	10	166	29	5 025
	1.8%	43.2%	37.1%	13.8%	0.2%	3.3%	0.6%	100%
Wetland	24	106	29	22	186	21	56	445
	5.5%	23.8%	6.6%	4.9%	41.9%	4.7%	12.7%	100%
Barren land	41	478	119	80	7	205	51	980
	4.2%	48.7%	12.2%	8.1%	0.7%	20.9%	5.2%	100%
Water	27	123	69	43	69	48	1,109	1 489
	1.8%	8.2%	4.6%	2.9%	4.7%	3.3%	74.5%	100%

231

232 Table 5 is the change matrix between the 1990s and 2000s. The Forest-to-Agriculture conversion took place over an area of 7 196 km<sup>2</sup>, increasing by 9% of the same change between 233 234 the 1980s and 1990s. It is worth noting that conversion to Forest occurred to a large extent as well. 235 The Agriculture-to-Forest conversion occurred over an area of 3 462 km<sup>2</sup> and the Grassland-to-236 Forest conversion over 3 575 km<sup>2</sup>. The Grassland-to-Agriculture and Forest-to-Agriculture conversions occurred a lot as well, over areas of 2 205 km<sup>2</sup> and 7 196 km<sup>2</sup>, respectively. 237 238 Examination of the maps reveals that the Agriculture-to-Forest conversion spreads out across the 239 country, but the Grassland-to-Forest conversion particularly concentrates in Yanggang-do, one of 240 the most remote and densely forested areas.

241

2000 data 1990 data	Developed	Agriculture	Forest	Grassland	Wetland	Barren land	Water	Sum
Developed	1 019	724	169	15	10	58	35	2 029
	50.2%	35.7%	8.3%	0.7%	0.5%	2.8%	1.7%	100%
Agriculture	571	19 097	3 462	147	36	397	251	23 961
	2.4%	79.7%	14.4%	0.6%	0.2%	1.7%	1.0%	100%
Forest	125	7 196	78 865	129	10	107	128	86 559
	0.1%	8.3%	91.1%	0.1%	0.0%	0.1%	0.1%	100%
Grassland	105	2 205	3 575	235	5	54	58	6 236
	1.7%	35.4%	57.3%	3.8%	0.1%	0.9%	0.9%	100%
Wetland	13	97	23	1	180	8	34	356
	3.7%	27.3%	6.5%	0.3%	50.6%	2.1%	9.4%	100%
Barren land	82	893	220	13	8	545	64	1 825
	4.5%	48.9%	12.0%	0.7%	0.5%	29.8%	3.5%	100%
Water	15	114	101	4	23	37	1 245	1 539
	1.0%	7.4%	6.5%	0.2%	1.5%	2.4%	80.9%	100%

#### 242 Table 5. Same as Table 4 but for the 1990 and 2000 data sets

243

245

244 Fig. 3 illustrates the Grassland-to-Forest conversion in Yanggang-do during the 1990s-2000s. In the 1990s, Grassland was quite widespread, located mostly between Agriculture and Forest (Fig. 3 upper panel). In the 2000 data (Fig. 3 lower panel), much of the Grassland appears 246 247 as Forest or Agriculture. Between the 1980s and 1990s, many Forest pixels became Grassland or 248 Agriculture (not shown). Here an overarching phenomenon is the expansion of Agriculture. The

- Forest-to-Grassland conversion during the 1980s-1990s was probably due to efforts to obtain firewood or to cultivate. During the 1990s-2000s, it seems Grassland changed to Agriculture or vegetation continued to grow over Grassland, resulting in being classified as Forest.
- 252









Fig. 3 Land cover over Yanggang-do from the 1990 data set (upper panel) and 2000 data set
 (lower panel) respectively

259 Table 6 shows land cover changes from Forest to other classes. Between the 1980s and 260 1990s, deforestation resulted mostly in Agriculture and Grassland. Of the Forest pixels that did not change during the 1980s-1990s, 5 033 km<sup>2</sup> of them changed to another land cover between 261 262 the 1990s and the 2000s, almost exclusively to Agriculture. The conversion to Agriculture 263 decreased in absolute terms, but it remained the most predominant conversion. On the other hand, 264 the conversion to Grassland decreased dramatically. As found in Table 2, Grassland overall 265 decreased substantially during the 1990s-2000s whereas Agriculture increased by 27%. The fact that about 60% of deforestation between the 1980s and 1990s resulted in Agriculture coincides 266 267 with the massive food shortage during the 1990s. The large conversion from Forest to Grassland 268 during the time is probably because trees were cut for firewood or conversion to agriculture is left 269 incomplete. The Forest-to-Agriculture conversion continued during the 1990s-2000s but more 270 slowly.

271

Table 6. Areal extent (in km<sup>2</sup>) of land cover changes from Forest to each of non-forest land
 cover classes. The second column shows the changes from Forest to non-forest cells during

### the 1980s-1990s. The third column shows the changes from Forest that did not change

during the 1980s-1990s but changed during the 1990s-2000s.

	Time	80-90	90-00
Change from Forest to			
Developed		246	39
Agriculture		6 602	4 780
Grassland		3770	94
Wetland		26	4
Barren land		369	47
Water		107	70
Total		11 120	5 033

276

Table 7 shows land cover changes that eventually resulted in Forest between the 1980s and 2000s. The overall size of forest cover as a result of land cover change is 10 706 km<sup>2</sup>, approximately double the size of the overall forest cover decrease (5 446 km<sup>2</sup> from Table 2) during the same period. Grassland, Forest, and Agriculture are the most important sources of conversion to Forest. Here conversion from Forest to Forest means 'Forest-something else-Forest' conversions. When Forest turned to something else in the 1990s, it mostly turned to Grassland and Agriculture. Conversion to Forest from other land covers is quite negligible.

284

285Table 7. Land cover changes from non-forest to Forest (km²) during 1980s-2000s. The286change from Forest to Forest indicates that Forest became non-forest and became Forest287again.

To Forest from	
Developed	121
Agriculture	3 342
Forest	4 879
Grassland	2 050
Wetland	44

Barren land	155
Water	115
Total	10 706

289

### 290 Slope and elevation in deforested areas

The maximum slope in the deforested areas during the 1990s-2000s was 17.3°, decreasing from 20.2° during the 1980s-1990s. On average, slope slightly decreased from 3.52° to 3.24°, which is not statistically significant. The distribution of deforested areas by slope is portrayed in Fig. 4 as relative frequency. The distribution by slope is somewhat different between the two periods. During the latter decade, deforestation occurred in areas of slightly gentler slope than the earlier decade. It coincides with the large size of deforestation in western provinces where most of the plains are located.



298



301

The maximum elevation of deforested areas slightly decreased from 2 415 m for the 1980s-1990s to 2 367 m for the 1990s-2000s. The mean elevation shows a somewhat large decrease, from 434 m for the 1980s-1990s to 354 m for the 1990s-2000s. The distribution of deforested areas by elevation is portrayed in Fig. 5 as cumulative probability. Unlike slope, elevation has far too many unique values, thus we decided to show as cumulative probability. The figure shows a noticeable difference for the elevation range of 200-1 500 m, reflecting the decrease in the mean elevations of deforested areas. For example, during the 1980s-1990s, about 80% of deforestation occurred under 800 m, but during the 1990s-2000s, the proportion was almost 90%. The decrease in the mean elevation may mean that deforestation is more active at the lower elevation areas, which may be near urban areas or agricultural fields.



Fig. 5 Cumulative probability of deforested areas by elevation for the 1980s-1990s and the
1990s-2000s periods

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### 316

### CONCLUSIONS

317 Much of previous work in deforestation has mainly focused on issues related to tropical 318 deforestation, and studies regarding North Korea have been sporadic. Especially, North Korea is 319 a unique area of interest in relation to deforestation because of natural disasters, imprudent 320 policies, and striving for self-reliance, requiring enormous demand for forest resources. This 321 study attempted to examine the deforestation in terms of land cover change trajectory and its 322 spatial characteristics between the 1980s and the 2000s using three land cover data sets 323 representing each decade. The results are summarised as follows: (1) net forest loss in North 324 Korea slowed since the 1990s, whereas land cover changes were active; (2) as a result of 325 deforestation, forest land cover became mostly agricultural and grass lands; (3) expansion of

- 326 agricultural land cover continued during the time; and (4) deforestation appears to have occurred
- more frequently in areas of slightly lower elevation and gentler slope during the 1990s-2000s than
- the earlier decade. The key contribution of the study is that it has demonstrated which land cover
- 329 class became which at the pixel level, complementing existing studies that examined overall forest
- stock in North Korea. The finding that deforestation mostly resulted in Agriculture and Grassland
- 331 corroborates the existing explanation for deforestation, food and fuel shortage.
- 332

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- 335

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