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A MINIMAL TIME SOLUTION TO THE FIRING SQUAD
SYNCHRONIZATION PROBLEM WITH VON NEUMANN
NEIGHBORHOOD OF EXTENT 2

by

Kathryn A. Boddie

A Dissertation Submitted in
Partial Fulfillment of the
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ABSTRACT
A MINIMAL TIME SOLUTION TO THE FIRING SQUAD SYNCHRONIZATION
PROBLEM WITH VON NEUMANN NEIGHBORHOOD OF EXTENT 2

by

Kathryn A. Boddie

The University of Wisconsin-Milwaukee, 2019
Under the Supervision of Professor Bruce Wade

Cellular automata provide a simple environment in which to study global behaviors. One example of a problem that utilizes cellular automata is the Firing Squad Synchronization Problem, first proposed in 1957. This paper provides an overview of the standard Firing Squad Synchronization Problem and a commonly used technique in solving it. This paper also provides a statement of a new extension of the Standard Firing Squad Synchronization Problem to a different neighborhood definition - a Von Neumann neighborhood of extent 2. An 8 state 651 rule minimal time solution to the extended problem is described, presented and proven, along with Python code used in running simulations of the solution.

TABLE OF CONTENTS

1	Introduction to The Firing Squad Synchronization Problem	1
1.1	Background on Cellular Automata	1
1.2	The Standard FSSP	2
1.3	The Halving Strategy	4
1.4	Waksman's 16-state Solution	5
1.5	Balzer's and Noguchi's 8-state Solutions	10
1.6	Mazoyer's 6 State Minimal Time Solution	12
2	A New Extension to the FSSP	16
2.1	Problem Statement	16
2.2	Length of a Minimal Time Solution	17
3	A Minimal Time Solution	19
3.1	Motivation	19
3.2	Description of the Solution	19
3.3	Transition Tables for the Solution	24
4	Proof of Minimal Time Solution	28
4.1	Image Solutions	28
4.2	Proof of 651 rule 8 state Minimal Time Solution	31
5	Conclusion and Suggestions for Future Work	67
6	Simulation Program Code	69
	REFERENCES	97
	Curriculum Vitae	99

LIST OF FIGURES

1.1	Length 11 Squad Simulation - Waksman Solution	6
1.2	Length 22 Squad Simulation - Noguchi Solution	10
1.3	Length 25 Squad Simulation - Mazoyer Solution	13
2.1	Neighborhood Comparison	17
3.1	Boddie Solution - $n = 75$	20
3.2	Visualizing the Intersections of Waves	22
3.3	Boddie Solution - $n = 24$	24
3.4	Boddie Solution - $n = 25$	25
4.1	Examples of Closed Curves	29
4.2	Length 16 Squad Simulation, $i = 11$	38
4.3	Length 16 Squad Simulation, $i = 12$	39
4.4	Induction for backwards wave - $N + 1$ even	43
4.5	Induction for backwards wave - $N + 1$ odd	44
4.6	First “slow” wave meeting the backwards wave along D_1 and D_2	45
4.7	Second “slow” wave meeting D_1 and D_2	46
4.8	$q + 1$ st Slow Wave meeting D_1 and D_2 for $q \geq 2$	47
4.9	Finding Middle Points with $z_j = 0$	55
4.10	Finding Middle Points with $z_j = 1$	56
4.11	Diagonals for Lemma 4 if $z_i = 0$	57
4.12	Diagonals for Lemma 4 if $z_i = 1$	58
4.13	$z_i = 1$ and $z_{i+1} = 0$ reflection of waves	60
4.14	$z_i = 1$ and $z_{i+1} = 1$ reflection of waves	62

LIST OF TABLES

1.1	Waksman's Transition Tables	8
1.2	Noguchi's Transition Tables	11
1.3	Mazoyer's Transition Tables	15
3.1	Transition Tables for a Minimal Time Solution to the FSSP with Von Neumann Neighborhood of Extent 2	25
4.1	Additional Rules for R_1	31

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1 Introduction to The Firing Squad Synchronization Problem

1.1 Background on Cellular Automata

A finite automaton is a machine which follows a set of fixed rules, changing its state according to an evolution in discrete time. By *state* we mean the behavior of the machine at that time step. The states can be represented by numbers, or as a description of some property of the machine. For example, in modeling disease, a “state” could be “infected” or “vaccinated.” Finite automata can be used to model complex systems in areas such as language recognition and biological modeling, among others [3].

One type of network of finite automata is called *cellular automata*. Cellular automata consist of an d -dimensional array of finite automata, a definition of local neighborhood, and a fixed set of rules. Each finite automaton, often called a *cell*, uses the rule set to update its state given its current state and the states of its neighbors. One common neighborhood type found in various cellular automata is the Von Neumann neighborhood. The *Von Neumann neighborhood* of a particular cell consists of all cells with taxi-cab distance 1 from the given cell. Recall that the *taxi-cab distance* is defined by $D(p, q) = \sum_{i=0}^{d-1} |p_i - q_i|$ where $p = (p_0, \dots, p_{d-1})$ and $q = (q_0, \dots, q_{d-1})$ are cells in the d -dimensional array.

Cellular automata are interesting to study, not because of the simple rule set, but because of the varieties of global behavior that can be observed across the entire array [3]. Some examples of problems using cellular automata, including the Firing Squad Synchronization Problem (FSSP), have consistent global behavior, regardless of the size of the array, even though the system runs only on local information [3]. In the case of the FSSP, globally the array synchronizes with every cell in the same state, regardless of the size of the array.

1.2 The Standard FSSP

The Firing Squad Synchronization Problem was originally proposed in 1957 by John Myhill [3]. The standard FSSP uses a finite one dimensional array with Von Neumann neighborhoods in which each cell in the cellular automata is identical, except possibly the cells at the ends [3]. The end cells could be different due to the fact that they only have neighboring cells on one side, rather than on both sides. All cells begin in a state called the *Quiescent* state, except for one of the end cells, usually taken to be the left end cell, which is in a state referred to as the *General*. Then, using a fixed finite number of states and a finite rule set involving those states, each finite automaton in the array is updated based on the current state of that cell and the current states of its two neighboring cells [3].

In the standard FSSP, there is a required rule that a *Quiescent* cell with two *Quiescent* neighbors must remain in the *Quiescent* state in the next time step [3]. This condition guarantees that there is no spontaneous state-changing activity - in order for a cell to change states, some form of information must be received by the finite automaton in question. The end goal of the standard problem is to have every finite automaton in the array, regardless of the number of cells in the array, enter a special state referred to as *Fire* at the same discrete time step, with no cell entering this *Fire* state prior to that time step [3]. Having every cell enter this *Fire* state at the same time step with no prior firings is called synchronizing the array. Ideally, this should be done in the smallest number of discrete time steps and with the least amount of cell states.

A ***solution*** to the FSSP is a fixed finite rule set using a fixed finite set of states which synchronizes all cells in the finite one dimensional array of length n for any size $n \in \mathbb{N}$. The set of states used in the FSSP can differ by solution, but all solutions have the states of *General*, *Quiescent* and *Fire* amongst others. A ***minimal time solution*** is a solution

in which all cells synchronize in the smallest number of discrete time steps possible. In the case of the standard FSSP, a minimal time solution is one that finishes in $2n - 2$ time steps, where n is the length of the one dimensional array [3]. The first minimal time solution for the standard FSSP was found in 1962 by Goto and had over one thousand states in its fixed set of states [4]. Shortly thereafter, the number of required states for a minimal time solution was drastically reduced. In 1966, Waksman produced a 16 state minimal time solution and in 1967 Balzer independently produced an 8 state minimal time solution, both using similar ideas involving the so-called halving strategy [1, 12]. In 1987, Mazoyer presented a minimal time solution to the standard FSSP using only 6 states in its fixed set of states [6]. Mazoyer's 6 state minimal time solution, which used a technique of dividing the one dimensional array into thirds rather than halving, remains the minimal time solution with least number of states discovered as of today [3, 6]. Many years later, in 2004, Noguchi examined Waksman's and Balzer's minimal time solutions and, using the same halving strategy, presented a different 8 state minimal time solution which used fewer rules than Balzer's previous 8 state solution [7]. Although Noguchi's 8 state minimal time solution uses two more states than Mazoyer's 6 state minimal time solution, it required the same number of rules (119) [7]. All of the above mentioned are true minimal time solutions which work for any finite one dimensional array, regardless of the length n of the array.

Work has also been done to find the minimum number of states required in a minimal time solution for the standard FSSP. Balzer wrote an algorithm and claimed to show there was no 4 state minimal time solution, but the algorithm was found to be flawed at a later date [1]. However, Sanders reworked the algorithm in 1993 and the program indicated, through a brute-force simulation of every possible combination of arranging 4 states into rules, that there was no 4 state minimal time solution, although a formal proof was not provided [8]. In 1999, Settle proved that no 3 state solution to the standard FSSP exists, regardless if considering only minimal time solutions or not, and formally proved the non-existence of 4

state minimal time solutions with three particular properties [9]. Yunès discovered a 4 state non-minimal time partial solution for squads of size $n = 2^k$ which requires $2^{k+1} - 1$ time steps [13]. Umeo and Yanagihara discovered a 5 state non-minimal time partial solution for squads of size n which requires $3n - 3$ time steps [11]. It is unknown whether a complete 5 state solution (either minimal time or not) exists, however Settle also proved that there is no minimal time 5-state solution which has six particular properties [3, 9].

1.3 The Halving Strategy

In 1966 and 1967, Waksman and Balzer independently found minimal time solutions to the standard Firing Squad Synchronization Problem, with 16 and 8 states, respectively, using the same technique of the halving strategy [1, 12]. Later, in 2004, Noguchi found a different 8 state minimal time solution with different, and fewer, rules than Balzer [7].

The general idea of the halving strategy is to repeatedly find the middle cell (if n is odd) or middle cells (if n is even) of the one dimensional array of n finite automata. In general, the finding of the middle cell(s) is achieved by generating a “fast” signal and a “slow” signal, both generated by the original *General* [1, 2, 12]. These signals can be visualized as a “wave” starting from the *General* and propagating cell by cell across the array. The “fast” signal travels from the *General* to the finite automaton at the opposite end of the array. When this “fast” signal reaches the far end, that end cell enters the *General* state and then sends a return “fast” signal back to the original *General*. On the return path, the “fast” signal then intersects the “slow” signal at the middle of the array [1, 2, 12]. When the “fast” and “slow” waves intersect, the middle cell(s) enters the *General* state. At this time, we have the original *General* on the left end, the *General* created on the far right end, and the *General* or *Generals* in the middle.

After the first division, the same technique is repeated on the two smaller sub-arrays of size $\lceil \frac{n}{2} \rceil$, using the new *Generals* to generate new “fast” and “slow” signals, to find the middle cell(s) of the sub-arrays [1, 2, 12]. This is continued iteratively until no more divisions can be made. At each point of division - the half, quarter, eighth and so on points of division, the cell(s) enters the *General* state [1, 2, 12]. After the array has been successively divided completely, every finite automaton will be in the *General* state and then the following discrete time step will result in all cells simultaneously entering the *Fire* state [1, 2, 12].

1.4 Waksman’s 16-state Solution

Waksman’s minimal time solution to the standard FSSP uses 16 states in its fixed set of states and its rule set contains a rule that many other solutions also use - each cell will enter the *Fire* state if and only if its current state is *General* and both its left and right neighbors are also in the *General* state (or in the case of being on the end of the array, that its only neighbor is also in the *General* state) [12].

Waksman’s solution uses three types of signals- the *A* signal, which moves one cell per unit of time, the *R* signal, which is generated by the *A* signals and move in the opposite direction one cell per unit of time, and the *B* signal, which initially moves one cell per every three units of time after encountering an *R* signal [12].

Waksman *A* signals are represented by eight different A_{ijk} states, four for a variation which generates an *R* signal with no delay and four for a variation which generates an *R* signal after a one time unit delay[12]. Different A_{ijk} states are used to denote whether the signal is moving to the right or the left and also indicating whether the cell being occupied is an even or odd distance from the *General* which generated it [12]. The subscript $i \in \{0, 1\}$ represents the time delay in generating an *R* signal. The subscript $j \in \{0, 1\}$ represents the direction

G ₀	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q
G ₀	A ₀₁₀	Q	Q	Q	Q	Q	Q	Q	Q	Q
G ₀	B ₀	A ₀₁₁	Q	Q	Q	Q	Q	Q	Q	Q
G ₀	B ₀	Q	A ₀₁₀	Q	Q	Q	Q	Q	Q	Q
G ₀	B ₀	R ₀	Q	A ₀₁₁	Q	Q	Q	Q	Q	Q
G ₀	R ₀	B ₁	Q	Q	A ₀₁₀	Q	Q	Q	Q	Q
G ₀	B ₀	B ₁	Q	R ₀	Q	A ₀₁₁	Q	Q	Q	Q
G ₀	B ₀	B ₁	R ₀	Q	Q	Q	A ₀₁₀	Q	Q	Q
G ₀	B ₀	Q	B ₀	Q	Q	R ₀	Q	A ₀₁₁	Q	Q
G ₀	B ₀	Q	B ₀	Q	R ₀	Q	Q	Q	A ₀₁₀	Q
G ₀	B ₀	Q	B ₀	R ₀	Q	Q	Q	R ₀	Q	G ₀
G ₀	B ₀	Q	R ₀	B ₁	Q	Q	R ₀	Q	A ₀₀₀	G ₀
G ₀	B ₀	R ₀	Q	B ₁	Q	R ₀	Q	A ₀₀₁	B ₀	G ₀
G ₀	R ₀	B ₁	Q	B ₁	R ₀	Q	A ₀₀₀	Q	B ₀	G ₀
G ₀	B ₀	B ₁	Q	Q	B ₀	A ₀₀₁	Q	R ₁	B ₀	G ₀
G ₀	B ₀	B ₁	Q	Q	G ₁	Q	Q	B ₁	R ₁	G ₀
G ₀	B ₀	B ₁	Q	A ₁₀₀	G ₁	A ₁₁₀	Q	B ₁	B ₀	G ₀
G ₀	B ₀	B ₁	A ₁₀₁	R ₁	G ₁	R ₀	A ₁₁₁	B ₁	B ₀	G ₀
G ₀	B ₀	B ₁	G ₀	B ₀	G ₁	B ₀	G ₀	G ₀	B ₀	G ₀
G ₀	G ₀	G ₀	G ₀	G ₀	G ₁	G ₀	G ₀	G ₀	G ₀	G ₀
F	F	F	F	F	F	F	F	F	F	F

Figure 1.1: Length 11 Squad Simulation - Waksman Solution

the signal is traveling across the array with 0 representing left and 1 representing right. The subscript $k \in \{0, 1\}$ represents whether the current finite automaton is an even ($k = 0$) distance or odd ($k = 1$) distance from the *General* which generated it - starting the count on the generating machine. For instance, a finite automaton directly next to the *General* which generated the A_{ijk} signal received would have the subscript $k = 0$ - the *General* itself is the first machine in this count and the cell receiving the signal is the second [12]. For example, a finite automaton in state A_{011} indicates that this cell will have a 0 unit time delay in generating an R signal, that this A signal is moving to the right, and that the cell

containing this signal is an odd distance from the *General* which generated the *A* signal [12].

The solution has two different *R* signal states, R_0 and R_1 indicating the direction of movement - 0 represents movement to the left and 1 represents movement to the right [12]. There also are two different *B* signal states, one which moves forward upon meeting an *R* signal and allows the *R* signal to continue, B_0 , and one which moves forward upon meeting an *R* signal without allowing the *R* signal to continue, B_1 [12]. The first *B* signal will remain in one position for three units of time prior to meeting an *R* signal, due to the *A* signals having a delay in generating *R* signals every other time step [12]. Upon meeting an *R* signal, a *B* signal will switch from its current type of *B* signal state to the other type of *B* signal state. Since only one of the *B* signal states allows the *R* signals to continue to propagate, this results in progressively “slower” *B* signals, with the k -th *B* signal remaining in place $2^{k+1} - 1$ units of time prior to meeting an *R* signal [12].

Waksman’s solution is unusual in that it has two different *General* states, which he denotes as P_0 and P_1 and refers to as “preterminal states” [12]. We will refer to these two *General* states as G_0 and G_1 , to more closely resemble the same notation of other solutions. The only difference between the different *General* states is which type of *A* signal they generate - G_i generates the *A* signal with an i time unit delay in *R* signal generation. The remaining two states are the *Fire*, or “terminal state”, which we will denote as F , and the *Quiescent* state, denoted by Q [12].

When an *A* signal intersects a *B* signal, a new *General* is generated at the intersection. These signals find the midpoint of the firing squad array as the *A* signals move one cell per unit of time and the *B* signals move one cell per three units of time, resulting in the signals meeting at the midpoint as the *A* signal is returning from the endpoint opposite the original *General*, when the *A* signal has traveled $\frac{3}{2}$ the length of the squad. Since the *B* signal

travels at one third of the speed of the A signal, it has traveled $\frac{1}{2}$ the length of the squad. Once a new *General* is created, the process repeats with the new *General* creating its own signals on the new sub-array.

Waksman's transition tables are found below. Rows are labeled by left neighbor states, columns are labeled by right neighbor states. Note that $*$ refers to the end of the array (no machine) and that X will stand for all other states not explicitly mentioned in that particular table. If only the main letter of a state with multiple variations is listed, then the rule applies for all variations of that state (ex: listing B instead of both B_0 and B_1). For an example on how to read the tables, to find the new state of a machine currently in state Q with left neighbor R_1 and right neighbor Q , go to the table with Q in the upper left corner, move across the R_1 row until you reach the Q column and read that the new state should be R_1 . This can be denoted by $(R_1, Q, Q) \rightarrow R_1$.

Table 1.1: Waksman's Transition Tables

Q	A_{000}	A_{001}	A_{100}	A_{101}	A_{010}	A_{011}	A_{110}	A_{111}	Q	B	R_0	R_1	G_0	G_1	*
Q	A_{001}	A_{000}	A_{101}	A_{100}	R_0	Q	Q	Q	Q	Q	R_0	Q	A_{000}	A_{100}	Q
B	A_{001}	A_{000}	A_{101}	A_{100}	R_0	Q	Q	Q	Q	Q	R_0	Q	Q	Q	
R_0	A_{001}	A_{000}	A_{101}	A_{100}	Q	Q	Q	Q	Q	Q			A_{000}		
R_1	A_{001}	A_{000}	A_{101}	A_{100}					R_1	R_1			R_1	R_1	
G_0						B_0			A_{010}	Q	R_0	Q	G_0	G_0	
G_1							B_0		A_{110}	Q	R_0	Q	G_0	G_0	
A_{000}									R_1	R_1					
A_{001}									Q	Q		Q	B_0		
A_{100}									Q	Q					
A_{101}									Q	Q					
A_{010}									A_{011}	A_{011}					G_0
A_{011}									A_{010}	A_{010}					G_1
A_{110}									A_{111}	A_{111}					G_0
A_{111}									A_{110}	A_{110}					G_1
*	G_0	G_1	G_0	G_1					Q				A_{000}		

B_0	X	G	A_{000}	A_{100}	A_{001}	A_{101}	R_0
X	B_0	B_0	G_0	G_1	G_1	G_0	R_0
G	B_0	G_0					R_0
A_{010}	G_0						
A_{110}	G_1						
A_{011}	G_1						
A_{111}	G_0						
R_1	R_1						

B_1	X	G	A_{000}	A_{100}	A_{001}	A_{101}	R_0
X	B_1		G_0	G_1	G_1	G_0	Q
G		G_0					
A_{010}	G_0						
A_{110}	G_1						
A_{011}	G_1						
A_{111}	G_0						
R_1	Q						

R_0	X
X	Q
B_0	B_1
B_1	B_0
G	B_0

R_1	X	B_0	B_1	G
X	Q	B_1	B_0	B_0

G_0	X	G	*
X	G_0	G_0	G_0
G	G_0	F	F
*	G_0	F	

G_1	X	G	*
X	G_1	G_1	G_1
G	G_1	F	F
*	G_1	F	

A_{000}	X	P_0
X	Q	B_0

A_{001}	X
X	Q

A_{100}	X
B	G_1
X	R_1

A_{101}	X
B	G_0
X	Q

A_{010}	X
G_0	B_0
X	Q

A_{011}	X
X	Q

A_{110}	X	B
X	R_0	G_1

A_{111}	X	B
X	Q	G_0

1.5 Balzer's and Noguchi's 8-state Solutions

Both Balzer and Noguchi followed the same general strategy as Waksman, with slight changes. Balzer's 8 state solution was found in 1967 independently of Waksman's 16 state solution with the primary differences being that different signals were not used to indicate whether the current machine was an even or odd distance from the *General* which generated it and that there is only one *General* state [1]. Balzer's 8 state minimal time solution used 182 rules in its transition tables.

G	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B2	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B2	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	A1	B1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	B1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	A1	B1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	B2	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	A1	B1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B1	Q1	B2	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B1	Q1	A1	B1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B1	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B2	Q1	Q1	B2	A1	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B2	Q1	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B2	Q1	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	A1	B1	Q1	Q1	A1	B1	A1	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	B1	Q1	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	B1	A1	Q1	Q1	B2	A1	Q1	A1	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	B2	Q1	Q1	Q1	A1	B1	A1	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	B2	Q1	Q1	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	B2	Q1	Q1	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	
G	B2	Q1	A1	B1	Q1	Q1	A1	Q1	B2	Q1	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	
G	B2	A1	Q1	B1	Q1	A1	Q1	Q1	B2	A1	Q1	A1	Q1	A1	Q2	Q2	Q2	Q2	Q2	Q2	Q2	
G	Q1	B1	Q1	B1	A1	Q1	Q1	A1	B1	A1	Q1	A2	Q2	A2	Q2	A2	B1	A2	Q2	Q2	G	
G	Q1	B1	Q1	B2	Q1	Q1	Q1	A1	Q1	B2	Q1	A2	Q2	A2	Q2	A2	Q2	B2	Q2	B2	G	
G	Q1	B1	Q1	B2	Q1	Q1	A1	Q1	Q1	B2	B2	Q2	A2	Q2	A2	Q2	A2	B2	Q2	B2	G	
G	Q1	B1	Q1	B2	Q1	Q1	Q1	A1	Q1	Q1	G	G	Q2	Q2	A2	Q2	A2	B1	A2	Q2	B2	G
G	Q1	B1	Q1	B2	A1	Q1	Q1	Q1	A2	G	G	A1	Q2	Q2	A2	Q2	B2	Q2	A2	B2	G	
G	Q1	B1	Q1	A1	B1	Q1	Q1	A2	Q2	G	G	Q1	A1	Q2	A2	Q2	B2	Q2	B1	Q2	G	
G	Q1	B1	A1	Q1	B1	Q1	A2	Q2	B2	G	G	B2	Q1	A1	Q2	B1	A2	Q2	B1	Q2	G	
G	Q1	B2	Q1	Q1	B1	A2	Q2	A2	B2	G	G	B2	A1	Q1	A1	B1	Q2	A2	B1	Q2	G	
G	Q1	B2	Q1	Q1	G	Q2	A1	B1	Q2	G	G	Q1	B1	A1	Q1	G	Q2	Q2	B2	Q2	G	
G	Q1	B2	Q1	A2	G	A1	Q2	B2	Q2	G	G	Q1	B2	Q1	A2	G	A1	Q2	B2	Q2	G	
G	Q1	B2	B2	Q2	G	Q1	B2	B2	Q2	G	G	Q1	B2	B2	Q2	G	Q1	B2	B2	Q2	G	
G	Q1	G	G	Q2	G	Q1	G	G	Q2	G	G	Q1	G	G	Q2	G	Q1	G	G	Q2	G	
G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F

Figure 1.2: Length 22 Squad Simulation - Noguchi Solution

In 2004, Noguchi found that Balzer's solution had unnecessary rules in the transition tables [7]. He found that of the 182 rules listed in the tables, 17 were not used upon running the solution's algorithm. Of these 17 unnecessary rules, 6 were used to run the solution with the *General* beginning on the other end of the array, 1 was for a firing squad of length 1, and the others were simply not used. After eliminating these unnecessary rules in the transition tables, Noguchi was able to further reduce the number of required rules by combining some rules and simplifying the relationship between the *Generals* and their neighbors, by utilizing two *Quiescent* states, two states for waves of the *A* type, and two states for *B* waves, resulting in a final minimal time solution using 8 states and only 119 rules [7]. Noguchi's transition tables can be found below.

Table 1.2: Noguchi's Transition Tables

Q_1	Q_1	A_1	A_2	B_1	B_2	G
Q_1	Q_1	A_1	A_2	Q_1	Q_1	A_2
A_1	Q_1	A_1	A_2	Q_1	Q_1	A_2
B_1	Q_1	A_1	A_2	Q_1	Q_1	A_2
B_2	Q_1	A_1	B_2	Q_1	Q_1	B_2
G		B_2		Q_1	Q_1	G

Q_2	*	Q_2	A_2	B_1	B_2	G
Q_2	Q_2	Q_2	Q_2	Q_2	Q_2	
A_1	G	A_1	A_1	A_1	B_2	
A_2		A_2	A_2	A_2	A_2	B_2
B_1		Q_2	Q_2	Q_2	Q_2	Q_2
B_2		Q_2	Q_2	Q_2	Q_2	Q_2
G	G	A_1	A_1	A_1	B_2	G

A_1	Q_1	Q_2	B_1
Q_1	Q_1	Q_1	Q_1
B_1	Q_1		
B_2	B_1		
G		Q_1	Q_1

A_2	Q_2	B_1	B_2	G
Q_1	Q_2			Q_2
Q_2	Q_2	Q_2	B_1	
B_1	Q_2			Q_2

B_1	Q_1	Q_2	A_1	A_2
Q_1	B_1		B_2	G
Q_2		B_1		B_1
A_1	B_1	G	B_2	G
A_2		B_2		B_2

B_2	Q_1	Q_2	A_1	B_2	G
Q_1	B_2		A_1	G	
Q_2		B_2			B_2
A_2		A_2			Q_2
B_2		G			G
G	B_2		Q_1	G	

G	*	Q_1	Q_2	A_1	B_2	G
*		G	G	G	G	F
Q_1	G		G			G
Q_2	G	G				G
A_2	G			G		G
B_2	G				G	G
G	F	G	G	G	G	F

1.6 Mazoyer's 6 State Minimal Time Solution

In 1987, Mazoyer discovered a 6 state minimal time solution to the FSSP, which remains the minimal time solution with least number of states today. Mazoyer also used a strategy of dividing the length n array into sections, however unlike Waksman, Balzer, and Noguchi, he divided the array into uneven sections, creating the first division point in such a way as to divide the array into two sections, with one twice as long as the other (up to one unit according to $n \bmod (3)$) [6].

Mazoyer's strategy for his 6 state minimal time solution to the FSSP involves iteratively dividing the length n firing squad array into thirds [6]. After creating the first division at $\frac{2}{3}n$, the right part of the array is treated as an initial array of size $\frac{n+i}{3}$ where $i \in \{0, 1, 2\}$ [6]. This is iteratively repeated, creating divisions at $\frac{2}{3}n$, $\left(\frac{2}{3}\right)^2 n$, $\left(\frac{2}{3}\right)^3 n$, and so on. By dividing the array into pieces as described, each sub-array will have its *General* at the left most position [6], unlike the previous solutions described where the *General* could be on the right or the left side of the sub-arrays.

It should be noted that Mazoyer counts his time steps differently than the previously mentioned papers. Mazoyer considers time step $t = 1$ to be the initial set up of the firing squad array, with the first machine on the left-hand side being in the *General* state and the remaining $n - 1$ machines in the *Quiescent* state, whereas the previously mentioned works consider the initial set up of the firing squad array to be time step $t = 0$. Because of this difference in initialization, Mazoyer's paper [6] states that his solution finishes in $2n - 1$ time steps, whereas using the initialization of the other papers, this would be $2n - 2$ time steps. Here, we adjust the times mentioned in Mazoyer's work to match with the other works in the field.

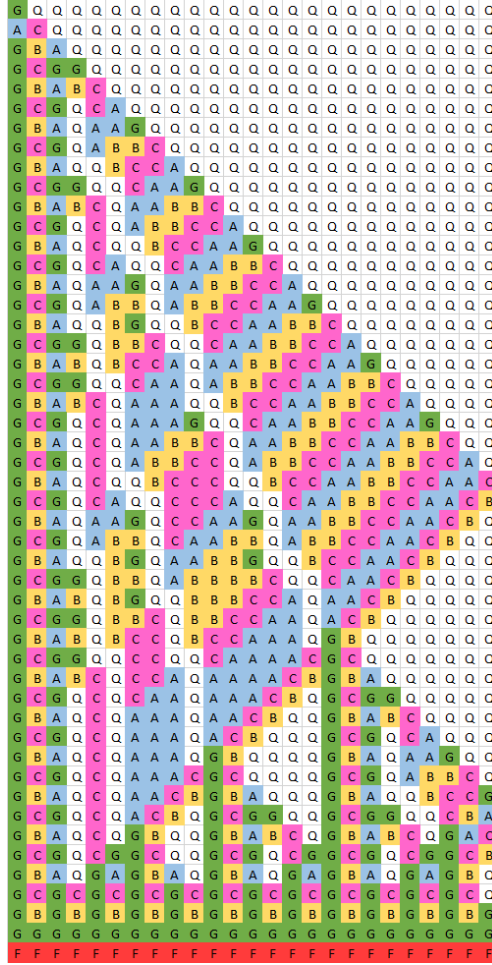


Figure 1.3: Length 25 Squad Simulation - Mazoyer Solution

It is easiest to describe the overarching theme of the strategy using an ideal case where the array is perfectly divided each time with no conflicts involving remainders when dividing into thirds. In actuality, this does not happen, but this ideal case will still be described so that the general idea of the algorithm can be explained simply. Once divided at the $\frac{2}{3}n$ machine at $t = \frac{4}{3}n - 2$, the newly created sub-array consisting of the right third of the original array, from that point in time until completion, behaves similarly to the original length n array's behavior from the beginning of the algorithm until completion [6]. The remaining piece of the original array is also divided similarly to the original array, thus at the machine at spot $\frac{2}{3} \left(\frac{2}{3}n \right)$ [6]. Once divided at the machine at spot $\frac{2}{3} \left(\frac{2}{3}n \right)$, the process is repeated on the new remaining sub-array and the newly created sub-array, until the entire array is

synchronized.

In actual practice, with any integer n as the length of the initial firing squad, the above described procedure has to be adjusted based on the remainder modulo 3 of n [6]. Let (i, j) indicate position i at time j . The initial wave including the original *General* will occupy spots $(\ell, \ell - 1)$ and so its reflection will occupy spots $(\ell, 2n - \ell - 1)$ for $\ell \in \{1, \dots, n\}$ [6]. Say that the new *General*, created around the $\frac{2}{3}n$ machine as described above, is formed at $(k, 2n - k - 1)$. This results in the reflection of the initial wave meeting the original *General* at time $(2n - k - 1) + (k - 1) = 2n - 2$, as needed for a minimal time solution [6]. The newly created *General* will generate a new wave, with a delay depending on the remainder modulo 3 of the length of the original array. Say that the new *General* generates a new wave after a delay of j time steps with $j \in \{0, 1, 2\}$. Then the new initial wave generated by this new *General* will start at $(k, 2n - k - 1 + j)$ and will travel to the right-most machine, reaching it at $(n, 2n - k - 1 + j + n - k)$, or simplified at $(n, 3n - 2k - 1 + j)$ [6]. This new wave, once reflected, would then return to the new *General* at time $3n - 2k - 1 + j + n - k = 4n - 3k - 1 + j$. In order for the firing squad to be synchronized, the original wave must return to the original general at the same time that the new wave returns to the new general. So, it would be required that $2n - 2 = 4n - 3k - 1 + j$, so it must be that $3k = 2n + j + 1$, which is solvable provided that $j \in \{0, 1, 2\}$ [6].

Consider the length of the original array as $n = 3p + i$ with integer $p \geq 1$ and $i \in \{1, 2, 3\}$. If $i = 1$, then $n = 3p + 1$ and so $3k = 2(3p + 1) + j + 1 = 6p + 3 + j$. Thus $j = 0$ and so $k = 2p + 1$. Similarly, if $i = 2$, we have $j = 1, k = 2p + 2$ and if $i = 3$, we have $j = 2, k = 2p + 3$ [6]. The variable j will be called the delay for the new *General's* activation. Regardless of the value of j , the new sub-array will have $p + 1$ machines, consisting of machines k to n with a delay of $j = i - 1$.

Mazoyer constructed his 6 state minimal time solution by first creating an 8 state minimal time solution using the method described above. Then, he combined some rules and states to eliminate two of the least used states, resulting in his 6 state solution. His solution uses three states, A, B, C to provide information in the waves in regards to how much of a delay each new *General* should have, as well as the *General*, *Quiescent*, and *Fire* states. The construction of the transition tables and the induction proof of the correctness of the solution, both of which are quite lengthy, can be found in [6]. Mazoyer's transition tables can be found below.

Table 1.3: Mazoyer's Transition Tables

Q	*	Q	A	B	C	G
*		Q				
Q	Q	Q		Q	Q	Q
A	C	G	Q	Q	Q	C
B	Q	Q	Q	Q	Q	Q
C	G	A	Q	Q	Q	G
G	A	C	Q	Q	Q	A

G	*	Q	A	B	C	G
*		A		G	G	F
Q			G	G	G	
A		B		G	G	
B	G	B		G	G	G
C	A	A		G	G	A
G	F	B		G	G	F

A	*	Q	A	B	C	G
*			F		G	
Q			A	Q	G	
A	F	A	A	B	C	B
B	C	G		G	C	C
C		A	A			
G	C				C	C

B	*	Q	A	B	C	G
Q			G	B	Q	B
A		G	B	B	Q	
B		G	A	B	C	B
C	Q	Q	A			Q
G	G	C	C		B	G

C	*	Q	A	B	C	G
Q		C	A	G	C	G
A	B	B		B		B
B	G	C			C	G
C		C	A	B	C	B
G	F	B		G	G	F

Currently, there are many different minimal time solutions to the standard FSSP, many of which were not outlined here. The minimal time solution with the smallest number of states is currently Mazoyer's 6 state solution outlined above [3, 6]. Settle proved there is no 3 state minimal time solution to the standard FSSP and Sanders claimed there is no 4 state minimal time solution to the standard FSSP using a brute-force computer simulation [8, 9]. It is currently unknown whether a 5 state minimal time solution to the standard FSSP can exist [3].

2 A New Extension to the FSSP

2.1 Problem Statement

We introduce a new extension to the Firing Squad Synchronization Problem using a different neighborhood definition than the standard problem.

Definition 1. *The Von Neumann neighborhood of extent n of a cell is the set of all cells with taxi-cab distance no more than n from the given cell.*

Consider an extension of the standard FSSP as follows. Let the firing squad be represented by a one dimensional array with Von Neumann neighborhoods of extent 2 in which each cell in the cellular automata is identical, except possibly the 4 cells, 2 at each of the ends, which will not have a full neighborhood consisting of other cells in the array. All cells begin in a state called the *Quiescent* state except for one of the end cells, which will be taken to be the left end cell, which is in a state referred to as the *General*. Then, using a fixed finite number of states and a rule set, each cell in the array is updated based on the current state of that cell and its four neighbors (the far left, the near left, the near right, and the far right neighbors).

In the standard FSSP, there is a required rule that a *Quiescent* cell with two *Quiescent* neighbors must remain in the *Quiescent* state in the next time step [3] and we extend this concept of no spontaneous state change activity by requiring that a *Quiescent* cell with four *Quiescent* neighbors must remain *Quiescent* in the next time step. The end goal of the problem is to have every cell in the array, regardless of the size of the array, enter a special state called *Fire* at the same time step, with no cell firing prior to that time step. Ideally, this should be done in the least amount of discrete time steps and with as few cell states as possible.

2.2 Length of a Minimal Time Solution

In the standard FSSP, the length of a minimal time solution is $2n - 2$, where n is the length of the squad, due to the conditions of no spontaneous state changes without a received signal and Von Neumann neighborhoods of extent 1 which restrict waves of information to moving only 1 cell per time step. This results in a minimal time solution needing $2n - 2$ time steps since it is necessary for a signal from the *General* to travel $n - 1$ units to reach the opposite end of the firing squad and then return to the *General* - $(n - 1) + (n - 1) = 2n - 2$ time steps. It should be noted that, due to the neighborhood definition in the standard FSSP, no interior cell has information about whether either of its neighbors is an end cell.

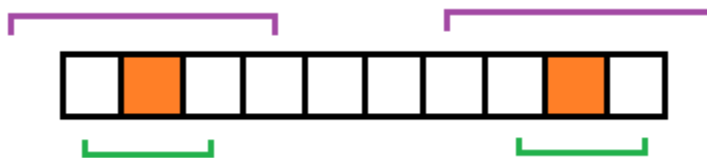


Figure 2.1: Neighborhood Comparison
Extent 1 neighborhood in green, extent 2 neighborhood in purple

In the FSSP with Von Neumann neighborhood of extent 2, two interior cells - the one directly to the right of the *General* and the one directly to the left of the end cell on the far end, depicted in orange in Figure 2.1 - have information about one of their 4 neighbors being an end cell. This is due to the radius of the neighborhoods being 2, so on one side of these particular cells, they have a cell as a neighbor and can “see” that there is no cell on the other side of their neighbor. This bit of information influences the time needed in a minimal time solution.

Theorem 1 (Length of Minimal Time Solution to the FSSP with Von Neumann neighborhood of extent 2). *A minimal time solution to the FSSP with Von Neumann neighborhood*

of extent 2 synchronizes the firing squad in $n - 1$ time steps, where n is the number of cells in the squad.

Proof. Assume n is odd. Then $n - 1$ is even. The original signal, call it A_1 , generated by the *General* must travel $n - 1$ cells to the far end. This signal can move 2 cells per time step at its quickest speed and so can reach the far end in $k = \frac{n - 1}{2}$ time steps. Similarly, on return to the *General*, the return signal, call it A_2 , can travel the $n - 1$ cells in k time steps resulting in a minimal time solution of $2k = n - 1$ time steps.

Now assume n is even. Then $n - 1$ is odd. The original signal A_1 generated by the *General* can travel to the cell directly to the left of the far end cell in $\ell = \frac{n - 2}{2}$ time steps. In the next time step, the original signal will reach the end cell, but the cell directly to the left of this end cell is aware that its neighbor is an end cell and will thus send back a signal. So in that same time step the cell directly to the left of the far end cell can enter a state of A_2 for the return signal. This A_2 signal would need to travel another $n - 2$ cells back to the *General*, which can be done in another ℓ time steps. Thus a minimal time solution will take $2\ell + 1 = n - 1$ time steps. □

3 A Minimal Time Solution

3.1 Motivation

Our goal was to discover a minimal time solution to the Firing Squad Synchronization Problem with Von Neumann neighborhoods of extent 2 using the common technique of halving. Motivated by Waksman's, Balzer's, and Noguchi's previous halving technique solutions to the standard problem, we were able to construct a similar halving technique solution performed at double speed. This was achieved by first creating a simulation program in python (source code found in Chapter 6) and beginning to create rules involving a "fast" wave and "slow wave" and halving using a small, but non-trivial, array of size $n = 15$. After successfully attaining a working minimal time simulation for size $n = 15$, additional rules were added successively in order for the solution to work for all odd n , beginning with $n = 3$ and increasing in size. After 638 rules were included in the transition tables, no more rules were needed for odd n after size $n = 211$. Then an additional 13 rules were added in order to account for the differences that occur when n is even and the fast return wave begins one discrete time step "early." At this stage, the simulation program confirmed that the solution was a minimal time solution for a firing squad of any size up to and including $n = 500$, as well as a few choice large n examples including $n = 17,675$ and $n = 1,357,899$. Once these large examples were confirmed through simulation, we moved on to the formal proof of the solution.

3.2 Description of the Solution

We will describe a 651 rule, 8 state, minimal time solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood of Extent 2 that uses the technique of halving.

Let S be the set of states for the cellular automata where $S = \{G, Q_1, Q_2, A_1, A_2, B_1, B_2, F\}$.

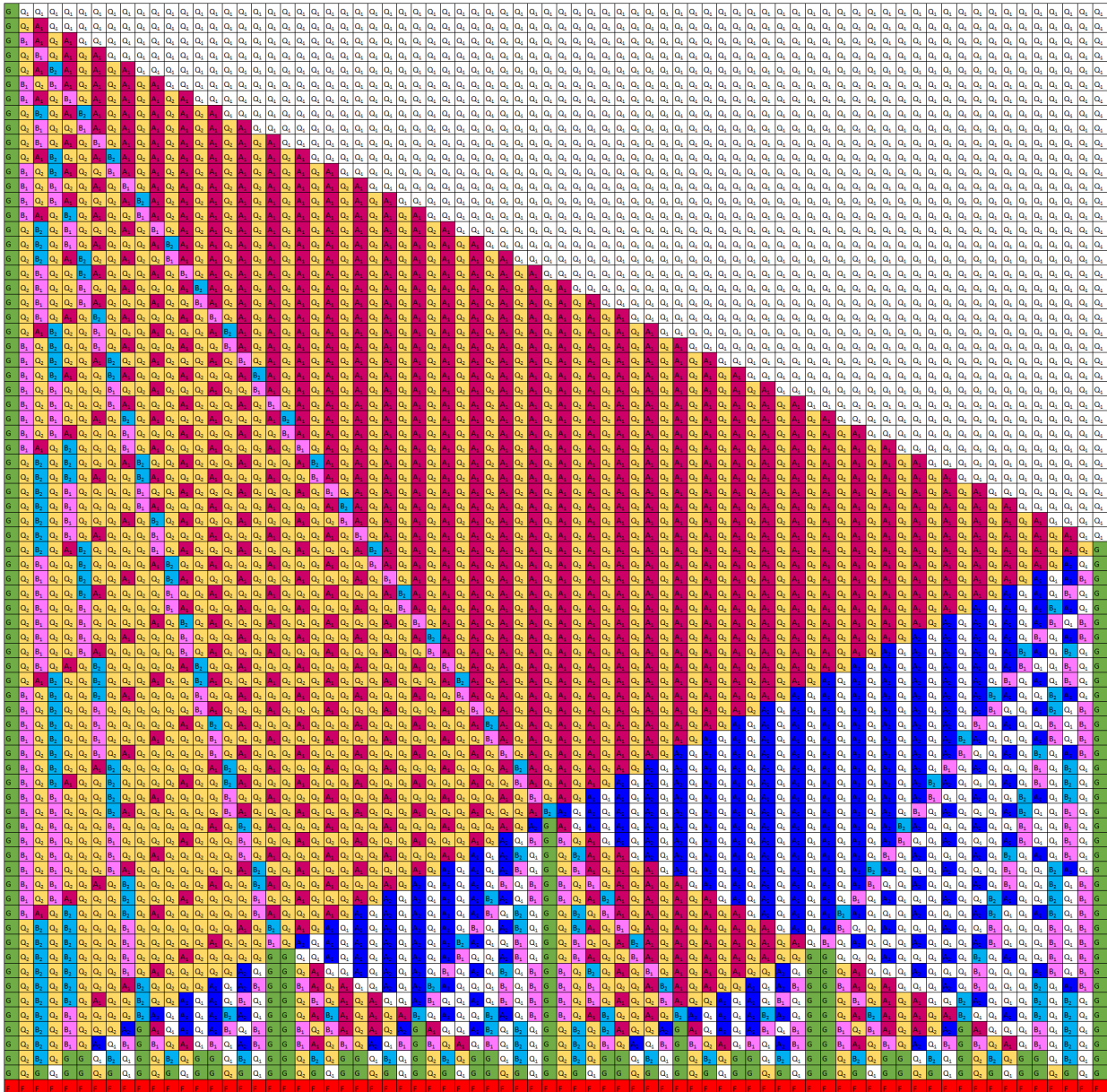


Figure 3.1: Boddie Solution - $n = 75$

G represents the *General* state, Q_i are *Quiescent* states, A_i are waves generated by a *General* that move at the maximum speed of 2 cells per time step, B_i are waves that are generated and travel at slower speeds (as will be described), and F represents the terminal *Fire* state. At time $t = 0$, the 1-dimensional array of length n representing the firing squad has the first cell, cell 0, in state G and the remaining cells, cells 1 through $n - 1$, in state Q_1 .

The general idea of the minimal time solution follows the halving method. The cell in position 0 starts in the state G and emits a forward signal A_1 which moves at the maximal speed of two cells per time step. If n is odd, then the A_1 signal will reach the cell in position $n - 1$ after $\frac{n - 1}{2}$ time steps, and at that time the cell in position $n - 1$ will enter the G state. This new G will then send a return signal A_2 which moves at the maximal speed of two cells per time step. While this is occurring, the cell at position 0 in state G will also emit slower moving B_i signals which will serve to intersect the return signal A_2 at the $\frac{n - 1}{2}$, $\frac{n - 1}{4}$, $\frac{n - 1}{8}$ and so on division points of the firing squad array. At each intersection point, the cell or cells at the intersection will enter state G and the procedure is repeated on the smaller sub-array. As the sub-arrays are repeatedly halved, the sub problems will eventually involve small enough sub-arrays to be trivial, at which time, $t = n - 1$, all cells in the entire array will synchronize in the F state. The only difference with an even n is that if n is even, then the next-to-last machine in position $n - 2$ will enter the A_2 state in the same time step as the cell in position $n - 1$ will enter the G state - essentially beginning the return wave one time step “early.”

The first B_i wave will travel 2 machines every 3 times steps in order to intersect the return wave A_2 at the $\frac{n - 1}{2}$ division point. This can be visualized by imagining a space-time diagram of our firing squad with the initial states of the cells at time $t = 0$ printed in a row and the states of the cells in each successive time step printed in rows below the initial row. As the solution is a minimal time solution, there will be n rows in this diagram - one for each of the $n - 1$ times steps and one for the initial set up at $t = 0$. Thinking of placing this diagram on the Cartesian plane in Quadrant 1 with the bottom left corner at the origin, the return A_2 wave can be modeled by the linear equation $y = \frac{1}{2}x$. The first B_i wave can be modeled by the linear equation $y = -\frac{3}{2}x + (n - 1)$, and these two lines intersect when $x = \frac{n - 1}{2}$. Successive B_i waves are emitted and will move 2 cells every $2^{m+1} - 1$ time steps,

thus modeled by the linear equation $y = -\frac{2^{m+1} - 1}{2}x + (n - 1)$ to meet the return wave A_2 at the $(n - 1) \left(\frac{1}{2}\right)^m$ division point.

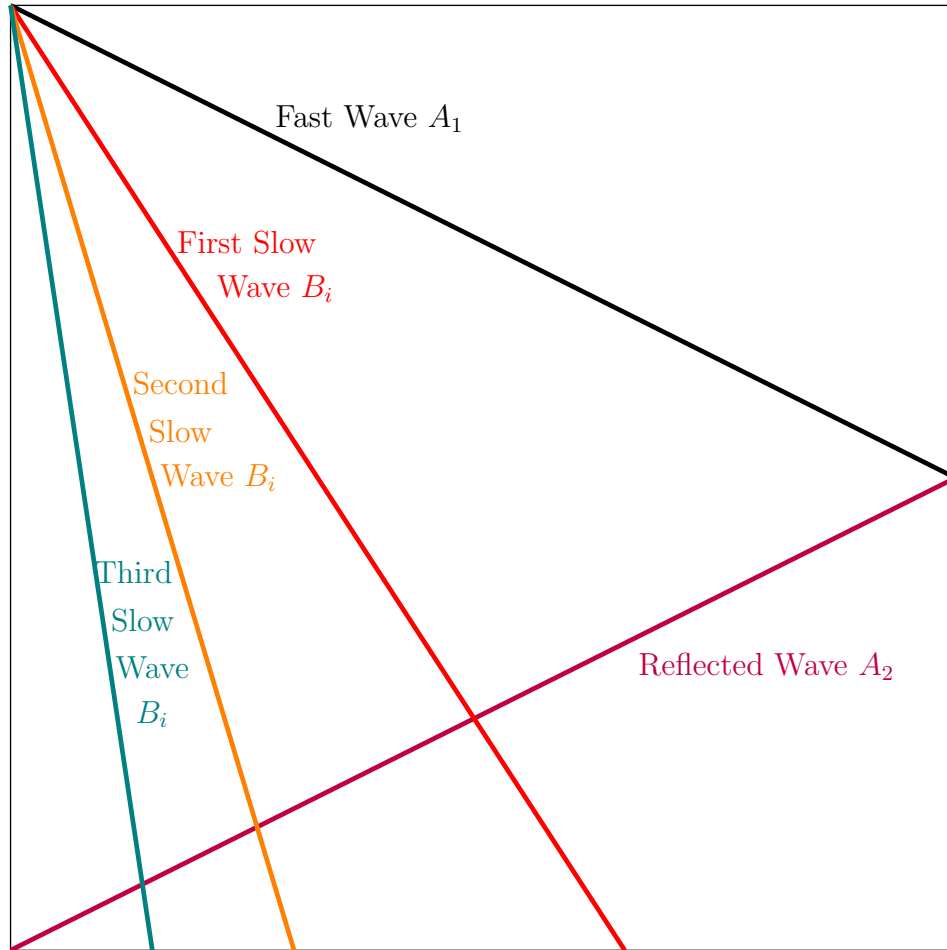


Figure 3.2: Visualizing the Intersections of Waves

The initial A_1 wave emitted from the *General* will emit a “backwards” A_1 wave, traveling at the maximum speed of 2 units per time step in the direction of the *General*. These “backwards” A_1 waves serve to inform the slow B_i waves of when to move forward, resulting in the progressively slower B_i waves.

The slow waves progress as follows. In time step 1, machine 1 will enter the Q_2 *Quiescent*

state. The slow waves consist of states B_1 , B_2 , and the occasional Q_2 state. In time step 2, machine 1 will enter the B_1 state by the rule $(*, G, Q_2, A_1, Q_1) \rightarrow B_1$. Then, the slow wave progresses using these general properties: 1) If a cell is in state B_1 with its near-right or far-right neighbor in state A_1 , the signal will progress one spot to the right as B_1 or B_2 , provided that there is no A_2 or G as a near or far-right neighbor of the A_1 cell, as laid out in the transition tables. 2) If a cell is in state B_1 with no A_1 neighbor near or far-right, the signal will remain in place as B_1 , provided that no near neighbor (either side) is in B_1 , no left neighbor is in A_2 , there is not at least one G neighbor on each side, it is not about to intersect an A_2 far-right neighbor, and its left neighbors are not A_1Q_1 in that order. 3) If a machine is in state B_2 with near or far-right neighbor in state A_1 , the signal will stay in place but change to B_1 . 4) If a cell is in state B_2 with no A_1 or A_2 neighbor near or far-right, the signal will remain in place as B_2 , provided that there is not at least one G neighbor on each side, there is no A_2 neighbor near or far-left, and there is no B_1 or B_2 neighbor far-right while a left neighbor is A_1 .

An A_2 wave is sent from the new *General* created in cell $n - 1$ after the primary A_1 wave reaches the end of the array. When this A_2 wave meets the slow B_i wave and the length of the squad is odd, the intersection will create one new *General*. If the length of the squad is even the waves will intersect and form two *Generals*, side by side. This new *General* or *Generals* are created at the middle cell or cells of the firing squad array and will emit their own signals to further divide the array. The process is iteratively repeated until the squad is sufficiently divided into small enough sub-arrays to enter the *Fire* state simultaneously at time $t = n - 1$.

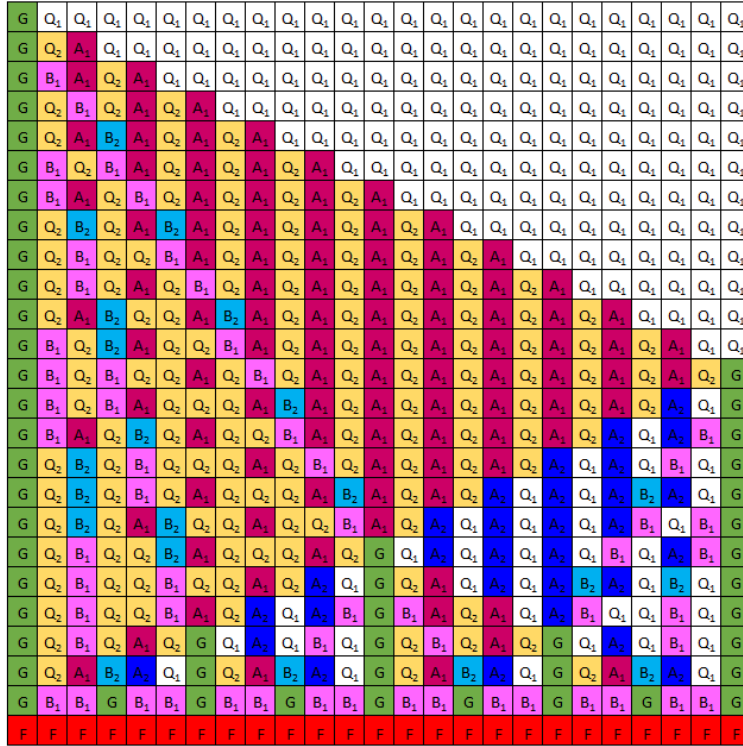


Figure 3.4: Boddie Solution - $n = 25$

Table 3.1: Transition Tables for a Minimal Time Solution to the FSSP with Von Neumann Neighborhood of Extent 2

G	Q_1Q_1	Q_2A_1	B_1A_1	Q_2B_1	Q_2B_2	**	B_1Q_2	A_1Q_1	B_1B_1	GB_1	Q_2G	Q_1G	Q_1A_2	GQ_1	Q_1B_2	GQ_2	GA_1	Q_1^*
**	G	G	G	G	G	G	G		F		F							F
A_1Q_2	G					G							G		G			
A_2B_1			G			G				G								
B_1Q_1				G		G											G	
Q_2A_2						G		G									G	
A_2Q_1		G				G											G	
Q_1B_1						G	G			G								
B_1B_1						F			F	F								
B_1G			G				G		F									
GQ_2						F							F		F			
GQ_1						F												F
Q_2G	G												F					
B_2Q_2															G		G	
B_2Q_1					G	G												G
Q_1G		G		G	G													
A_2G								G										
Q_2Q_2															G		G	

Q_1	Q_1Q_1	Q_1^*	**	A_2B_1	A_2Q_1	B_1Q_1	G^*	A_2B_2	B_1G	GQ_2	B_2Q_1	GG	Q_1B_1	Q_1A_2	Q_1B_2	B_2A_2
$*G$	Q_2	Q_2	F													
GQ_1	A_1	A_1	G	A_1	A_1						A_1			A_1	A_1	A_1
Q_1Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1		Q_1			Q_1		Q_1	Q_1	Q_1	Q_1
Q_2A_1	Q_2	Q_2	G	Q_2	Q_2	G		Q_2			Q_2		Q_2	Q_2	Q_2	Q_2
A_1Q_1	A_1	A_1	G	A_1	A_1	B_1		A_1			A_1		A_1	A_1	A_1	A_1
Q_2A_2				Q_1	Q_1		B_1	Q_1	B_2	B_1		B_1				
B_2A_2	Q_1			Q_1	Q_1		B_1	B_2	B_1	Q_1	B_1	Q_1			Q_1	
Q_1A_2	Q_1			Q_1	Q_1	B_2		Q_1			Q_1		Q_1		Q_1	
Q_1B_1	Q_1			Q_1	Q_1		Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	
GA_1	Q_2				Q_2	G		Q_2					Q_2	Q_2		
B_1B_1	A_1				A_1			A_1	B_1				A_1	A_1		
Q_2G	Q_2			Q_2	Q_2		F			F	Q_2	F		Q_2	Q_2	
GG	Q_2						F			F	Q_2	F		Q_2	Q_2	
A_2B_1	A_2						B_1		A_2	B_1	A_2	B_1	A_2	A_2	A_2	
Q_1B_2	Q_1			Q_1	Q_1		Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	
A_2B_2	Q_1						Q_1		Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	Q_1	
B_1Q_1	Q_1			Q_1	Q_1	Q_1		Q_1			Q_1		Q_1	Q_1	Q_1	Q_1
A_1B_2	A_1				A_1			A_1	B_1				A_1	A_1		
A_2Q_1	A_2						A_2				A_2		A_2	A_2	A_2	A_2
B_2Q_1	Q_1			Q_1	Q_1	Q_1		Q_1			Q_1		Q_1	Q_1	Q_1	Q_1

Q_2	A_1Q_1	B_1Q_2	A_1Q_2	A_1B_2	B_1A_1	B_2Q_2	G^*	Q_2B_1	Q_2A_1	B_2A_1	A_2G	A_2Q_1	B_1B_1	GQ_1	GG	Q_2Q_2	Q_2B_2	Q_2G	B_2A_2	Q_2A_2	
$*G$	B_1	Q_2		B_1		Q_2	F							F	F						
B_1A_1	Q_2	Q_2	Q_2	Q_2		Q_2	Q_1	Q_2				Q_1		Q_1		Q_2	Q_2	A_2		A_2	
Q_2B_1			B_2					Q_2	A_1		G	G				Q_2	Q_2			B_1	
Q_2A_1	Q_2	Q_2	Q_2	Q_2		Q_2	Q_1	Q_2			Q_1	Q_1	A_2	Q_1		Q_2	Q_2	A_2	A_2	A_2	
B_2A_1	Q_2	Q_2	Q_2			Q_2		Q_2			Q_1	Q_1	A_2			Q_2	Q_2		A_2	A_2	
GB_1	B_2	Q_2		B_2	A_1	Q_2				Q_2			B_1							B_1	
Q_2B_2		Q_2	Q_2	Q_2	A_1	Q_2		Q_2	A_1	Q_2		Q_1	Q_1	Q_1	Q_1	Q_2	Q_2	A_2		A_2	
B_1Q_2		Q_2	Q_2	Q_2	A_1	Q_2		Q_2	A_1	Q_2	Q_1	Q_1	A_2			Q_2	Q_2		A_2	A_2	
A_1B_2								Q_2	A_1			Q_1				Q_2	Q_2	A_2		A_2	
B_2Q_2		Q_2	Q_2	Q_2	A_1	Q_2		Q_2	A_1	Q_2		Q_1		Q_1	Q_1	Q_2	Q_2	A_2		A_2	
Q_1G	B_1	Q_2		B_1	B_1	Q_2		Q_2	A_1	Q_2				F	F	Q_2	Q_2	A_2		A_2	
A_1Q_2		Q_2			A_1	Q_2		Q_2	A_1	Q_2	Q_1	Q_1	A_2	Q_1	Q_1	Q_2	Q_2	A_2	A_2	A_2	
Q_2Q_2		Q_2	Q_2	Q_2	A_1	Q_2		Q_2	A_1	Q_2	Q_1	Q_1	A_2	Q_1	Q_1	Q_2	Q_2	A_2	A_2	A_2	
GG	B_1	Q_2		B_1	B_1	Q_2				Q_2				F	F						

A_1	Q_1Q_1	Q_2A_1	B_2A_1	Q_2B_1	Q_2G	Q_2A_2	B_2Q_2	B_2A_2	Q_1A_2	Q_1B_1	Q_2Q_2	Q_2B_2	B_2Q_1	Q_1B_2	Q_1^*
GQ_2	A_1		Q_2				Q_2	B_1	A_1					B_1	B_1
GB_1		B_1		B_2	G							B_2			
A_1Q_2	A_1	A_1		Q_2	A_2	A_2		A_2	A_1	Q_2	Q_2		A_2	A_1	A_2
B_1Q_2	A_1	A_1	Q_2	Q_2	A_2	A_2	Q_2		A_1	Q_2	Q_2	Q_2			
A_1B_2		A_1										Q_2			
Q_2B_1		B_1										B_2			
B_2Q_2			Q_2	Q_2		A_2	Q_2	A_2				Q_2			
Q_2Q_2			Q_2	Q_2	A_2	A_2	Q_2	A_2				Q_2	Q_2		
Q_2B_2		A_1		Q_2		B_1						Q_2	Q_2		
A_2G	B_1								B_1	Q_2					
GG	B_1									Q_2					

A_2	Q_1A_2	B_1G	Q_1B_1	GA_1	B_2A_2	Q_1G	B_1Q_1	Q_1B_2	B_2Q_1	GG	Q_1Q_1	G^*
A_1Q_2	A_2		A_2	B_1		A_2						B_1
A_2Q_1	A_2	B_1	A_2		A_2		B_1		A_2			
A_1B_2	A_1					B_1		A_1			A_1	
A_1Q_1	A_1		A_1		B_1		G	A_1	B_1		A_1	
A_2B_2			Q_1			Q_1		Q_1			Q_1	
GQ_1	A_1	G	A_1								A_1	
B_1Q_2	Q_1		Q_1	Q_1						Q_1		Q_1
B_1Q_1	Q_1	B_2	Q_1					Q_1	Q_1		Q_1	
Q_1Q_1	Q_1		Q_1		Q_1		B_2	Q_1	Q_1		Q_1	
Q_1B_2			Q_1			Q_1		Q_1			Q_1	
Q_2B_2	A_1					B_1						
Q_2Q_2	A_2		A_2	B_1		A_2				B_1		
B_2Q_2	A_2					A_2						
B_2Q_1		B_2	Q_1					Q_1	Q_1		Q_1	

B_1	A_1Q_2	Q_2A_1	Q_2B_1	Q_2Q_2	G^*	Q_1G	Q_2B_2	B_1Q_1	Q_1B_1	GB_1	B_1G	GG	Q_2A_2	Q_1A_2	Q_1Q_1	Q_2Q_2	Q_1B_2
$*G$	Q_2		B_1				B_1				F						
GQ_2	Q_2	A_1		B_1									G				
B_1Q_2	Q_2	A_1		B_1				G									
A_1Q_2		A_1		B_1				G					G				
Q_2Q_2	Q_2	A_1						G					G			B_1	
Q_1A_2					Q_1	Q_1			Q_1	Q_1		Q_1			Q_1		Q_1
A_2Q_1					Q_1	A_2			A_2	Q_1		Q_1		A_2	A_2		A_2
Q_2B_1									G					G	G		
B_1Q_1					B_1					B_1		B_1					
B_1G	Q_2	Q_2	B_1				B_1				F						
GB_1					F					F		F					
GG	Q_2	Q_2	B_1				B_1				F						
A_1Q_1						G								G	G		G
B_2Q_2	Q_2	A_1		B_1									G				
Q_1Q_1						B_1			B_1					B_1	B_1		B_1
B_2Q_1					B_1					B_1		B_1					

B_2	A_1Q_2	Q_2A_1	Q_2Q_2	A_2Q_1	Q_2B_1	Q_2G	Q_1G	Q_1B_1	Q_2B_2	Q_2A_2	Q_1A_2	Q_1Q_1	Q_1B_2
Q_2A_1	B_1		B_2	G				G		G	G	G	
GQ_2	B_1	B_1			B_2	G			B_2				
B_1Q_2	B_1	B_1	B_2	G									
Q_1A_2				B_1			B_1	B_1				B_1	B_1
A_1Q_2		B_1	B_2	G						G			
GQ_1							G						
A_2Q_1							B_1	B_1			B_1	B_1	B_1
Q_2Q_2	B_1	B_1	B_2	G						G			
Q_1Q_1				B_2				B_2			B_2	B_2	B_2
B_2Q_2	B_1	B_1	B_2							G			
A_1Q_1				G							G	G	G
B_1Q_1								B_2					
B_2Q_1								B_2					

4 Proof of Minimal Time Solution

4.1 Image Solutions

In [2], Balzer defined a special type of solution, called an Image Solution, to the standard Firing Squad Synchronization Problem and proved properties that Image Solutions exhibit. We extend the definition of an Image Solution and prove the corresponding properties for the Firing Squad Synchronization Problem with Von Neumann Neighborhoods of Extent 2.

Definition 2. *Let S be the set of states in a solution. A solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood of Extent 2 is called an Image Solution if for every state transition rule $(V, W, X, Y, Z) \rightarrow U$ in the solution there exists a state transition rule $(Im(Z), Im(Y), Im(X), Im(W), Im(V)) \rightarrow Im(U)$, where $Im : S \rightarrow S$ and $Im(Im(A)) = A$ for every state $A \in S$. $Im(A)$ is called the image of A .*

Definition 3. *A curve is defined to be a connected set of machines in the two dimensional representation of the squad (successive time steps printed on rows beneath the original squad). Each connected set of machines must be a portion of a horizontal row, a vertical column, or two adjacent diagonals at $\pm \arctan(0.5)$ degrees (i.e. diagonals with slope $\pm \frac{1}{2}$).*

Definition 4. *Two curves are said to be equal if they have the same shape and orientation and if elements along one curve are identical to the corresponding elements of the other curve.*

Definition 5. Two curves are said to be images of each other if they have the same shape and orientation, except the horizontal direction is reversed, and elements along one curve are images of the corresponding elements of the other curve.

Definition 6. A closed curve consists of a vertical curve adjoined at the top to a curve consisting of two adjacent diagonals at $\pm\arctan(0.5)$ degrees and closed off by either a diagonal at $\pm\arctan(0.5)$ degrees extending from the end of the adjacent diagonals to the bottom end of the vertical curve or by a horizontal curve connecting the bottom end of the adjacent diagonals to the bottom end of the vertical curve.

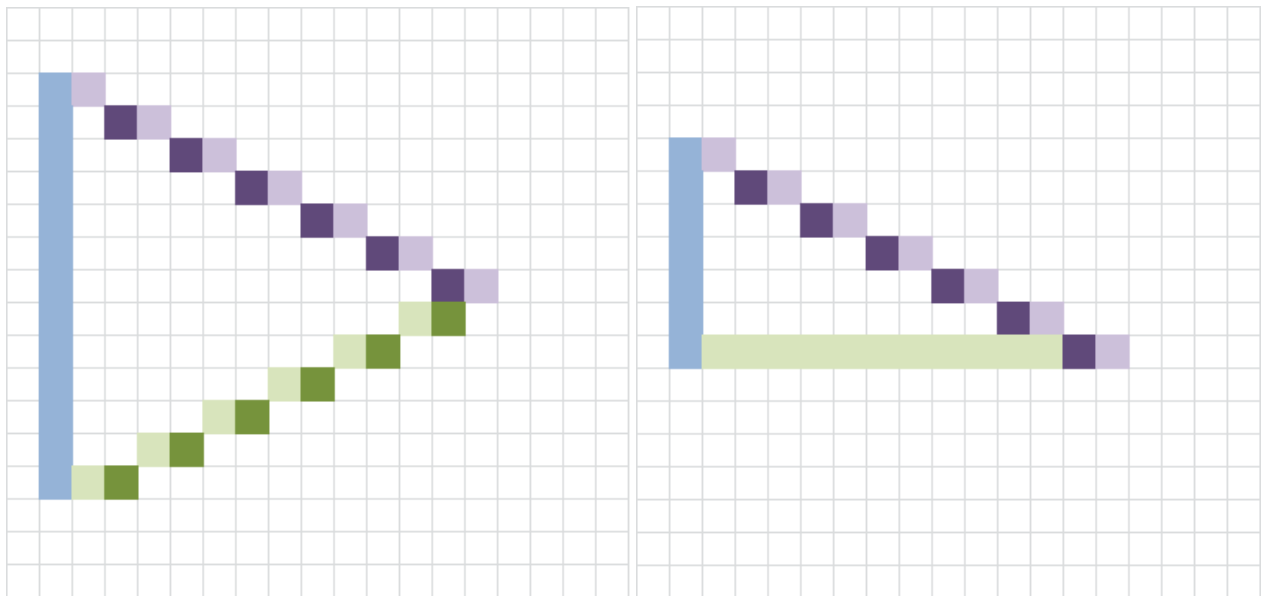


Figure 4.1: Examples of Closed Curves

Definition 7. The closure of two curves, a vertical curve adjoined at the top to a curve consisting of two adjacent diagonals, is the closed curve consisting of these curves and the horizontal curve or diagonal curve needed to form a closed curve.

Definition 8. *The parents of an element are the elements which determine its value. For example, if $S(x, t)$ refers to the state of the machine x units from the left end at time t , then the parents of the element $S(x, t)$ are $S(x - 2, t - 1), S(x - 1, t - 1), S(x, t - 1), S(x + 1, t - 1), S(x + 2, t - 1)$.*

In [2], Balzer proved a property of image solutions stating that if a pair of curves in separate simulations are equal (or images) of each other then all cells in the interior of the closure of these curves are also equal (or images) of each other. We prove that this same property holds with Von Neumann neighborhoods of extent 2.

Theorem 2. *Consider two pairs of curves, as defined in Definition 3, each consisting of a vertical curve adjoined at the top to a curve consisting of two adjacent diagonals. If the corresponding curves in the pairs are equal (or images) then all elements in the interior or on the bottom border of the closures are equal (or images).*

Proof. Assume there is at least one element in the interior or bottom border of the closure of one of the pairs that is not equal (or the image) of the corresponding element in the other pair's closure. Then, since the transitions in the transition tables are deterministic, at least one of that element's parents is not equal (or the image) to its corresponding element. Similarly, one of this element's parents must also not be equal (or the image) to its corresponding element. Continuing this process until we reach the border of the closure, we find an element on one of our original curves is not equal (or the image) to the corresponding element of the original curve in the other pair. This contradicts the assumptions of the theorem, and hence all elements in the interior or on the border of the closures are equal. □

4.2 Proof of 651 rule 8 state Minimal Time Solution

Let R_0 be the set consisting of the 651 rules outlined in the transition tables found in Table 3.1. Let R_1 be the set consisting of the union of R_0 and the following additional rules in Table 4.1:

Table 4.1: Additional Rules for R_1

Q_2	B_1A_1	B_2A_1	G^*	Q_2G	Q_2Q_2	A_2Q_1	Q_2A_2
**			F	G	Q_2	G	G
$*G$	B_1	Q_2					
$*Q_2$			Q_1	A_2	Q_2	Q_1	A_2
Q_2Q_2			Q_1				

Q_1	G^*	A_2B_1	A_2Q_1
$*G$	F	Q_2	Q_2

A_1	Q_1A_2	Q_1B_1
$*G$	B_1	Q_2

A_2	Q_1A_2	Q_1G
$*Q_2$	A_1	B_1

B_1	Q_2A_1
$*G$	Q_2

G	**	Q_1A_2	A_1Q_1	Q_1G
**		G	G	F
$*Q_2$	F			
Q_2Q_2	G			

Let $S^* = \{G, Q_1, Q_2, A_1, A_2, B_1, B_2, F, *\}$ be the set of states used in R_0 and R_1 , including the nonexistent state $*$. Let $Im : S^* \rightarrow S^*$ be defined by

$$Im(s) = \begin{cases} s & s = G, F, B_1, B_2, * \\ Q_1 & s = Q_2 \\ Q_2 & s = Q_1 \\ A_1 & s = A_2 \\ A_2 & s = A_1 \end{cases}$$

Using $Im(s)$, we can see that for every state transition rule $(V, W, X, Y, Z) \rightarrow U \in R_1$ there exists a state transition rule $(Im(Z), Im(Y), Im(X), Im(W), Im(V)) \rightarrow Im(U) \in R_1$

and that $Im(Im(s)) = s$ for every $s \in S^*$.

We will show that the set of states S^* with rule set R_1 forms a minimal time solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood of Extent 2 by using properties of image solutions. Then, we will show that the rules in rule set R_0 are necessary and sufficient, meaning that S^* with R_0 is a minimal time solution to the problem.

In Noguchi's minimal time solution to the standard FSSP, he noted that his "slow" waves formed a virtual binary counter which counted the number of cells that backwards waves had traveled [7]. He then continued to use binary in his proof due to this virtual counter and due to the binary nature of repeated halving of the array [7]. Our solution to the FSSP with Von Neumann Neighborhood of Extent 2 does not exhibit this same behavior of forming a binary counter, however we will also prove the correctness of the solution using binary, as inspired by Noguchi. Using binary is a convenient way to express dividing in half repeatedly, as dividing by 2 in binary is represented by simply removing the bit farthest to the right (rounded down as needed). We utilize this in the statements and proofs needed to prove the correctness of the solution to express the half point, quarter point, eighth point and so on in a concise way.

Theorem 3. *The set of states S^* , as on page 31, with rule set R_1 , outlined in Tables 3.1 and 4.1, is a minimal time solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood of Extent 2. That is, for any size n , the one-dimensional array of size n will synchronize with $S(i, n-1) = F$ for $0 \leq i \leq n-1$ and $S(i, t) \neq F$ for $0 \leq i \leq n-1$ and $t < n-1$.*

The proof of this theorem follows multiple lemmas.

Lemma 1. (*Propagation of the initial A_1 wave*)

With set of states S^* as defined on page 31, rule set R_1 , outlined in Tables 3.1 and 4.1, and $n \geq 6$

- a. $S(2i, i) = A_1$ with $1 \leq i \leq \frac{n-3}{2}$ if n is odd and $1 \leq i \leq \frac{n-2}{2}$ if n is even
- b. $S(2i, i+1) = A_1$ with $1 \leq i \leq \frac{n-3}{2}$ if n is odd and $1 \leq i \leq \frac{n-4}{2}$ if n is even
- c. $S(2i+1, i+1) = Q_2$ with $1 \leq i \leq \frac{n-3}{2}$ if n is odd and $1 \leq i \leq \frac{n-2}{2}$ if n is even
- d. $S(j, i) = Q_1$ for $2i < j \leq n-1$
- e. $S\left(n-2, \frac{n}{2}\right) = A_2$ for even n

Proof. We use induction on i to prove a. – d.

Recall that at time $t = 0$, the array is as follows:

$$**GQ_1Q_1Q_1Q_1 \dots Q_1**$$

That is, the initial array satisfies $S(0, 0) = G$ and $S(i, 0) = Q_1$ for $1 \leq i \leq n-1$, as laid out in the problem statement.

Assume $i = 1$. We need to verify:

- a. $S(2, 1) = A_1$
- b. $S(2, 2) = A_1$
- c. $S(3, 2) = Q_2$
- d. $S(j, 1) = Q_1$ for $2 < j \leq n-1$

The machine in position 2 is in state Q_1 at time $t = 0$, and applying the rule

$$(G, Q_1, Q_1, Q_1, Q_1) \rightarrow A_1 \text{ gives } S(2, 1) = A_1.$$

To verify b. we must find the states of the neighbors of the cell in position 2 at time $t = 1$ in order to apply rules to find the state of cell 2 at time $t = 2$. Applying the

rules $(*, *, G, Q_1, Q_1) \rightarrow G$, $(*, G, Q_1, Q_1, Q_1) \rightarrow Q_2$, $(Q_1, Q_1, Q_1, Q_1, Q_1) \rightarrow Q_1$
, $(Q_1, Q_1, Q_1, Q_1, *) \rightarrow Q_1$ and $(Q_1, Q_1, Q_1, *, *) \rightarrow Q_1$ places the array at time $t = 1$ in the
following states:

$$**GQ_2A_1Q_1Q_1 \dots Q_1**$$

Then applying the rule $(G, Q_2, A_1, Q_1, Q_1) \rightarrow A_1$ results in $S(2, 2) = A_1$.

To verify *c.*, apply the rule $(Q_2, A_1, Q_1, Q_1, Q_1) \rightarrow Q_2$ to find $S(3, 2) = Q_2$.

To verify *d.*, the rules $(Q_1, Q_1, Q_1, Q_1, Q_1) \rightarrow Q_1$, $(Q_1, Q_1, Q_1, Q_1, *) \rightarrow Q_1$ and
 $(Q_1, Q_1, Q_1, *, *) \rightarrow Q_1$ result in $S(j, 1) = Q_1$ for all $2 < j \leq n - 1$ - meaning there is no
spontaneous state changes.

Now assume statements *a. - d.* hold for $i = k$. That is, assume the following are true:

- a.* $S(2k, k) = A_1$ where $k \leq \frac{n-5}{2}$ if n is odd and $k \leq \frac{n-4}{2}$ if n is even
- b.* $S(2k, k+1) = A_1$ where $k \leq \frac{n-5}{2}$ if n is odd and $k \leq \frac{n-6}{2}$ if n is even
- c.* $S(2k+1, k+1) = Q_2$ where $k \leq \frac{n-5}{2}$ if n is odd and $k \leq \frac{n-4}{2}$ if n is even
- d.* $S(j, k) = Q_1$ for $2k < j \leq n - 1$

We will verify these four statements for $i = k + 1$. That is, we need to show:

- a.* $S(2(k+1), k+1) = S(2k+2, k+1) = A_1$ where $k+1 \leq \frac{n-5}{2} + 1 = \frac{n-3}{2}$ if n is odd
and $k+1 \leq \frac{n-4}{2} + 1 = \frac{n-2}{2}$ if n is even
- b.* $S(2(k+1), (k+1)+1) = S(2k+2, k+2) = A_1$ where $k+1 \leq \frac{n-5}{2} + 1 = \frac{n-3}{2}$ if n
is odd and $k+1 \leq \frac{n-6}{2} + 1 = \frac{n-4}{2}$ if n is even
- c.* $S(2(k+1)+1, (k+1)+1) = S(2k+3, k+2) = Q_2$ where $k+1 \leq \frac{n-5}{2} + 1 = \frac{n-3}{2}$

if n is odd and $k + 1 \leq \frac{n-4}{2} + 1 = \frac{n-2}{2}$ if n is even

$d.$ $S(j, k + 1) = Q_1$ for $2(k + 1) = 2k + 2 < j \leq n - 1$

By the assumptions made above, the squad at time $t = k$, where I means a cell in an irrelevant state, is one of the following:

$$**I \dots A_1 Q_1 Q_1 Q_1 Q_1 \dots ** \qquad **I \dots A_1 Q_1 Q_1 Q_1 **$$

where the first cell indicated after the irrelevant cells is in position $2k$. In both cases, we find that $S(2k + 2, k + 1) = A_1$ by either $(A_1, Q_1, Q_1, Q_1, Q_1) \rightarrow A_1$ or $(A_1, Q_1, Q_1, Q_1, *) \rightarrow A_1$.

To show $b.$ and $c.$ we must observe what happens to the array at time $t = k + 2$. At time $t = k + 1$, with $k + 1$ satisfying the restrictions given, the squad is in one of the following:

$$**I \dots A_1 Q_2 A_1 Q_1 Q_1 Q_1 \dots ** \qquad **I \dots A_1 Q_2 A_1 Q_1 Q_1 **$$

Note that $S(2k, k + 1) = A_1$ and $S(2k + 1, k + 1) = Q_2$ were given by assumption and that we already showed $S(2k + 2, k + 1) = A_1$. Then at time $t = k + 2$, we have from the rules $(A_1, Q_2, A_1, Q_1, Q_1) \rightarrow A_1$, $(Q_2, A_1, Q_1, Q_1, Q_1) \rightarrow Q_2$, and $(Q_2, A_1, Q_1, Q_1, *) \rightarrow Q_2$ that $S(2k + 2, k + 2) = A_1$ and $S(2k + 3, k + 2) = Q_2$.

Part $d.$ can be seen by observing the squad at time $t = k$, which again is as follows:

$$**I \dots A_1 Q_1 Q_1 Q_1 Q_1 \dots ** \qquad **I \dots A_1 Q_1 Q_1 Q_1 **$$

where the first cell not in an irrelevant state is in position $2k$. We want $S(j, k + 1) = Q_1$ where $2k + 2 < j \leq n - 1$. The cells in positions $2k + 3$ and greater, by following rules

$(Q_1, Q_1, Q_1, Q_1, Q_1) \rightarrow Q_1$, $(Q_1, Q_1, Q_1, Q_1, *) \rightarrow Q_1$, and $(Q_1, Q_1, Q_1, *, *) \rightarrow Q_1$ all enter state Q_1 at time $k + 1$, so $S(j, k + 1) = Q_1$ where $2k + 2 < j \leq n - 1$ - no spontaneous state changes have occurred.

By the principles of mathematical induction, parts *a. – d.* hold for all i as stated.

It remains to show part *e.*. By parts *a. – d.* we know that the squad at time $t = \frac{n - 2}{2}$ for even n is as follows:

$$** I \dots A_1 Q_2 A_1 Q_1 **$$

By the rule $(A_1, Q_2, A_1, Q_1, *) \rightarrow A_2$, we have that $S\left(n - 2, \frac{n}{2}\right) = A_2$. This is the cell in position $n - 2$ “seeing” that the A_1 signal will reach the end in the next time step and thus beginning to send the return signal A_2 in that next time step. \square

Lemma 2. (*Progress of slow waves and propagation of backwards A_1 waves*)

Let $(x_m x_{m-1} \dots x_1 x_0)_2$ be the binary representation of $i + 1$, where $m \geq 1$ and $x_m = 1$. That is, $i + 1 = \sum_{k=0}^m x_k 2^k$ where $x_k \in \{0, 1\}$, $m \geq 1$, and $x_m = 1$. Consider the space-time diagram representing the execution of the FSSP with time $t = 0$ as the first row and time increasing as we read vertically down. If $i \in 2\mathbb{Z}$, let C_1 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(i, \frac{i}{2}\right)$ and ending at $(0, i)$ and let C_2 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(i - 1, \frac{i}{2} + 1\right)$ and ending at $(1, i)$. If $i \in \mathbb{Z} \setminus 2\mathbb{Z}$, let C_1 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(i - 1, \frac{i + 1}{2}\right)$ and ending at $(0, i)$ and let C_2 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(i, \frac{i + 1}{2}\right)$ and ending at $(1, i)$. The notation $C(j)$ refers to the state of the machine in position j that lies on the curve C .

Using the set of states S^* (page 31) and rule set R_1 (Tables 3.1 and 4.1), for $n \geq 4$ and for all i such that $2 \leq i \leq n - 2$ the following are true:

a. For all j such that $1 + \sum_{k=1}^m x_k 2^{k-1} \leq j \leq i$ we have $C_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$.

b. For all ℓ such that $0 \leq \ell \leq m - 1$

i. If $x_\ell = 1$ then $C_1\left(\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}\right) = B_1$ if $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \in 2\mathbb{Z}$ and

$C_2\left(\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}\right) = B_1$ if $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$.

ii. If $x_\ell = 1$ and $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \geq 3$, then for all j such that $1 + \sum_{k=\ell+2}^m x_k 2^{k-(\ell+2)} \leq j \leq -1 + \sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}$ we have $C_1(j) = Q_2$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$.

iii. If $x_\ell = 0$ and there exists p such that $0 \leq p < \ell$, $x_p = 1$, and $\ell < m - 1$, then

$C_1\left(\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}\right) = B_2$ if $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \in 2\mathbb{Z}$ and $C_2\left(\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}\right) = B_2$ if $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$.

iv. If $x_\ell = 0$ and there exists p such that $0 \leq p < \ell$, $x_p = 1$, $\ell < m - 1$ and

$\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \geq 3$ then for all j such that $1 + \sum_{k=\ell+2}^m x_k 2^{k-(\ell+2)} \leq j \leq -1 + \sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}$ we have that $C_1(j) = Q_2$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$

v. If $x_\ell = 0$, $x_p = 0$ for all p such that $0 \leq p \leq \ell$, and $\ell < m - 1$ then

$C_1\left(\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}\right) = A_1$ if $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \in 2\mathbb{Z}$ and $C_2\left(\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}\right) = B_2$ if $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$.

vi. If $x_\ell = 0$, $x_p = 0$ for all p such that $0 \leq p \leq \ell$, $\ell < m - 1$, and $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} \geq 3$,

then for all j such that $1 + \sum_{k=\ell+2}^m x_k 2^{k-(\ell+2)} \leq j \leq -1 + \sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)}$ we have that

$C_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$.

vii. If $x_{m-1} = 0$ then $C_2(1) = Q_2$.

c. $C_1(0) = G$.

Proof. We will prove using induction. For a base case, all statements were verified by direct verification for squads of any length $N \leq N_0 = 85$. As an illustration, the direct verification for a squad of length 16 and $i = 11$ as well as a squad of length 16 and $i = 12$ are shown below.

G	Q ₁	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂
G	Q ₂	A ₁	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂
G	B ₁	A ₁	Q ₂	A ₁	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂
G	Q ₂	B ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂
G	Q ₂	A ₁	B ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂
G	B ₁	Q ₂	B ₁	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂	Q ₂
G	B ₁	A ₁	Q ₂	B ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	Q ₂
G	Q ₂	B ₂	Q ₂	A ₁	B ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	Q ₂
G	Q ₂	B ₁	Q ₂	Q ₂	B ₁	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₂	Q ₂	G
G	Q ₂	B ₁	Q ₂	A ₁	Q ₂	B ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₂	Q ₂	Q ₂	B ₁	G
G	Q ₂	A ₁	B ₂	Q ₂	Q ₂	A ₁	B ₂	A ₁	Q ₂	A ₂	Q ₂	A ₂	Q ₂	A ₂	B ₂	Q ₂
G	B ₁	Q ₂	B ₂	A ₁	Q ₂	Q ₂	B ₁	B ₁	Q ₂	A ₂	Q ₂	A ₂	Q ₂	A ₂	B ₁	Q ₂
G	B ₁	Q ₂	B ₁	Q ₂	Q ₂	A ₂	G	G	A ₁	Q ₂	Q ₂	B ₁	Q ₂	Q ₂	B ₁	G
G	B ₁	Q ₂	B ₁	B ₁	Q ₂	B ₁	G	G	B ₁	Q ₂	B ₁	B ₁	Q ₂	Q ₂	B ₁	G
G	B ₁	B ₁	G	G	B ₁	B ₁	G	G	B ₁	B ₁	G	G	G	B ₁	B ₁	G
F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F

Figure 4.2: Length 16 Squad Simulation, $i = 11$
 C_1 depicted in blue and C_2 depicted in gold

As seen in Figure 4.2, for $n = 16$ and $i = 11$, and thus $i + 1 = 12 = (1100)_2$ and $m = 3$, the statements of the lemma read as follows:

- For all j such that $7 \leq j \leq 11$, $C_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$.
- For all ℓ such that $0 \leq \ell \leq 2$

i. $x_\ell = 1$ implies $\ell = 2$, then since $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=3}^3 x_k 2^{k-3} = 1 \in \mathbb{Z} \setminus 2\mathbb{Z}$, we have

$$C_2(1) = B_1.$$

ii. $x_\ell = 1$ implies $\ell = 2$, and since $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=3}^3 x_k 2^{k-3} = 1 \not\in 3$, this part does not apply.

iii. $x_\ell = 0$ implies $\ell = 0, 1$. For either of these there is no p such that $0 \leq p < \ell$ with $x_p = 1$ so this part does not apply.

- iv. $x_\ell = 0$ implies $\ell = 0, 1$. For either of these there is no p such that $0 \leq p < \ell$ with $x_p = 1$ so this part does not apply.
- v. $x_\ell = 0$ implies $\ell = 0, 1$. For $\ell = 0$, we do have that $x_p = 0$ for all p such that $0 \leq p \leq 0$. Then since $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=1}^3 x_k 2^{k-1} = 0 \times 2^0 + 1 \times 2^1 + 1 \times 2^2 = 6 \in 2\mathbb{Z}$, we see that $C_1(6) = A_1$. For $\ell = 1$, we also have that $x_p = 0$ for all p such that $0 \leq p \leq 1$. Then since $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=2}^3 x_k 2^{k-2} = 1 \times 2^0 + 1 \times 2^1 = 3 \in \mathbb{Z} \setminus 2\mathbb{Z}$, we see that $C_2(3) = B_2$.
- vi. $x_\ell = 0$ implies $\ell = 0, 1$ and both satisfy that $x_p = 0$ for all p such that $0 \leq p \leq \ell$. If $\ell = 0$, then $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=1}^3 x_k 2^{k-1} = 0 \times 2^0 + 1 \times 2^1 + 1 \times 2^2 = 6 \geq 3$, we have to check for all j such that $4 \leq j \leq 5$ that $C_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$. We do in fact have $C_1(4) = A_1$ and $C_2(5) = Q_2$. If $\ell = 1$, then $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=2}^3 x_k 2^{k-2} = 1 \times 2^0 + 1 \times 2^1 = 3 \geq 3$ and we must check the conclusion for all j such that $2 \leq j \leq 2$ and we do in fact have $C_1(2) = A_1$.
- vii. $x_{m-1} = x_2 = 1 \neq 0$, so this does not apply.
- c. $C_1(0) = G$ can be seen in the simulation.

G	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁
G	Q ₂	A ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁
G	B ₁	A ₁	Q ₂	A ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁
G	Q ₂	B ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁
G	Q ₂	A ₁	B ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁
G	B ₁	Q ₂	B ₁	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₁	Q ₁	Q ₁	Q ₁	Q ₁
G	B ₁	A ₁	Q ₂	B ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₁	Q ₁	Q ₁
G	Q ₂	B ₂	Q ₂	A ₁	B ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₁
G	Q ₂	B ₁	Q ₂	Q ₂	B ₁	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₂	G
G	Q ₂	B ₁	Q ₂	A ₁	Q ₂	B ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₂	Q ₁	A ₂	B ₁
G	Q ₂	A ₁	B ₂	Q ₂	Q ₂	A ₁	Q ₂	A ₁	Q ₂	A ₂	Q ₁	A ₂	B ₂	Q ₁	G
G	B ₁	Q ₂	B ₂	A ₁	Q ₂	Q ₂	B ₁	B ₁	Q ₁	A ₂	Q ₁	A ₂	B ₁	Q ₁	G
G	B ₁	Q ₂	B ₁	Q ₂	Q ₂	A ₂	G	G	A ₁	Q ₁	Q ₁	B ₁	Q ₁	B ₁	G
G	B ₁	Q ₂	B ₁	B ₁	Q ₁	B ₁	G	G	B ₁	Q ₂	B ₁	B ₁	Q ₁	B ₁	G
G	B ₁	B ₁	G	G	B ₁	B ₁	G	G	B ₁	B ₁	G	G	B ₁	B ₁	G
F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F

Figure 4.3: Length 16 Squad Simulation, $i = 12$
 C_1 depicted in blue and C_2 depicted in gold

As seen in Figure 4.3, for $n = 16$ and $i = 12$, and thus $i + 1 = 13 = (1101)_2$ and $m = 3$, the

statements of the lemma read as follows:

a. For all j such that $7 \leq j \leq 12$, $C_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$.

b. For all ℓ such that $0 \leq \ell \leq 2$

i. $x_\ell = 1$ implies $\ell = 0, 2$. If $\ell = 0$ then since $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=1}^3 x_k 2^{k-1} = 6 \in 2\mathbb{Z}$, we have $C_1(6) = B_1$. If $\ell = 2$ then since $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=3}^3 x_k 2^{k-3} = 1 \in \mathbb{Z} \setminus 2\mathbb{Z}$, we have $C_2(1) = B_1$.

ii. $x_\ell = 1$ implies $\ell = 0, 2$, and for $\ell = 0$ we have $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=1}^3 x_k 2^{k-1} = 6 \geq 3$. Then we must have that for all j such that $4 \leq j \leq 5$, $C_1(j) = Q_2$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$. We do indeed have $C_1(4) = Q_2$ and $C_2(5) = Q_2$. If $\ell = 2$, then $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=3}^3 x_k 2^{k-3} = 1 \not\geq 3$, and this part does not apply.

iii. $x_\ell = 0$ implies $\ell = 1$. There does exist p such that $0 \leq p < \ell$ with $x_p = 1$ (namely $p = 0$). Then since $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=2}^3 x_k 2^{k-2} = 3 \in \mathbb{Z} \setminus 2\mathbb{Z}$, we verify that $C_2(3) = B_2$ as shown in the simulation.

iv. $x_\ell = 0$ implies $\ell = 1$. Since $x_0 = 1$ and $\sum_{k=\ell+1}^m x_k 2^{k-(\ell+1)} = \sum_{k=2}^3 x_k 2^{k-2} = 3 \geq 3$, we must verify that for all j such that $2 \leq j \leq 2$ we have $C_1(j) = Q_2$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$. Indeed, we have $C_1(2) = Q_2$.

v. $x_\ell = 0$ implies $\ell = 1$. But since $x_0 = 1$, this part does not apply.

vi. $x_\ell = 0$ implies $\ell = 1$. But since $x_0 = 1$, this part does not apply.

vii. $x_{m-1} = x_2 = 1 \neq 0$, so this does not apply.

c. $C_1(0) = G$ can be seen in the simulation.

Now consider a squad of length n where $N_0 - 2 < n - 2$. For a squad of length n , the lemma holds for all i such that $2 \leq i \leq N_0 - 2 < n - 2$ using properties of image solutions.

If i is even, then consider the triangular region within the simulation diagram for a squad of size N_0 enclosed by the vertical curve at $x = -1$, the pair of adjacent $-\arctan\left(\frac{1}{2}\right)$ degree

diagonal curves beginning at $(0, 0)$ and $(1, 1)$ and ending at $\left(i, \frac{i}{2}\right)$ and $\left(i-1, \frac{i}{2}\right)$ respectively, and the pair of adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curves C_1 and C_2 as described in the lemma. Looking at the triangular area enclosed by the same curves in the simulation diagram for a squad of size n , we see that the vertical curves at $x = -1$ in both diagrams are equal (these curves are on the boundary and thus in state $*$ at each time step). Also, by Lemma 1, the adjacent diagonals beginning at $(0, 0)$ and $(1, 1)$ and ending at $\left(i, \frac{i}{2}\right)$ and $\left(i-1, \frac{i}{2}\right)$ respectively are equal in both diagrams. Then by Theorem 2 and using the fact that the set of states S^* with rule set R_1 is an image solution under the function $Im(s)$ defined on page 31, we see that C_1 and C_2 are the same in each simulation diagram, and hence they satisfy the properties laid out in the lemma for all even i such that $2 \leq i \leq N_0 - 2 < n - 2$ in the simulation diagram for a squad of length n .

If i is odd, then consider the triangular region within the simulation diagram for a squad of size N_0 enclosed by the vertical curve at $x = -1$, the pair of adjacent $-\arctan\left(\frac{1}{2}\right)$ degree diagonal curves beginning at $(0, 0)$ and $(1, 1)$ and ending at $\left(i+1, \frac{i+1}{2}\right)$ and $\left(i, \frac{i+1}{2}\right)$ respectively, and the pair of adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curves C_1 and C_2 as described in the lemma. Looking at the triangular area enclosed by the same curves in the simulation diagram for a squad of size n , we see that the vertical curves at $x = -1$ in both diagrams are equal (these curves are on the boundary and thus in state $*$ at each time step). Also, by Lemma 1, the adjacent diagonals beginning at $(0, 0)$ and $(1, 1)$ and ending at $\left(i+1, \frac{i+1}{2}\right)$ and $\left(i, \frac{i+1}{2}\right)$ respectively are equal in both diagrams. Then by Theorem 2 and using the fact that the set of states S^* with rule set R_1 is an image solution under the function $Im(s)$ (page 31), we see that C_1 and C_2 are the same in each simulation diagram and thus satisfy the conclusions of this lemma for all odd i such that $2 \leq i \leq N_0 - 2 < n - 2$, even in the size n diagram. So the conclusions of the lemma are satisfied for all i such that $2 \leq i \leq N_0 - 2 < n - 2$.

Now, assume that the conclusions hold for all i such that $2 \leq i \leq N$ where $N_0 - 2 \leq N \leq n - 3$.

We will show that the conclusions then also hold for $N + 1$.

Let $(x_m x_{m-1} \dots x_1 x_0)_2$ be the binary representation of $N + 1$ and let $(y_M y_{M-1} \dots y_1 y_0)_2$ be the binary representation of $N + 2$. That is, $N + 1 = \sum_{k=0}^m x_k 2^k$ and $N + 2 = \sum_{k=0}^M y_k 2^k$ and $M = m$ or $M = m + 1$. If $N + 1 \in 2\mathbb{Z}$, let D_1 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N + 1, \frac{N + 1}{2}\right)$ and ending at $(0, N + 1)$ and let D_2 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N, \frac{N + 1}{2} + 1\right)$ and ending at $(1, N + 1)$. If $N + 1 \in \mathbb{Z} \setminus 2\mathbb{Z}$ then let D_1 begin at $\left(N, \frac{N + 2}{2}\right)$ and end at $(0, N + 1)$ and let D_2 begin at $\left(N + 1, \frac{N + 2}{2}\right)$ and end at $(1, N + 1)$.

First consider if $N + 1$ is even. We know by Lemma 1 that $S\left(N + 1, \frac{N + 1}{2}\right) = A_1$, $S\left(N + 1, \frac{N + 1}{2} + 1\right) = A_1$, $S\left(N + 2, \frac{N + 1}{2} + 1\right) = Q_2$, and $S\left(k, \frac{N + 1}{2}\right) = Q_1$ for all k such that $N + 1 < k \leq n - 1$. All of these are depicted in green in Figure 4.4.

By our inductive hypothesis, we know that for all j such that $1 + \sum_{k=1}^m x_k 2^{k-1} \leq j \leq N$, we have $C_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$, as shown in gold in Figure 4.4. We also know the corresponding information for $i = N - 1$ as shown in blue in Figure 4.4. Then by applying the rules $(A_1, Q_2, A_1, Q_2, A_1) \rightarrow A_1$, $(Q_2, A_1, Q_2, A_1, Q_1) \rightarrow Q_2$, and $(Q_2, A_1, Q_2, A_1, Q_2) \rightarrow Q_2$ and noting that since $N + 1$ is even, we know $x_0 = 0$ and thus $\sum_{k=1}^m x_k 2^{k-1} = \sum_{k=1}^M y_k 2^{k-1}$, we have that for all j such that $1 + \sum_{k=1}^M y_k 2^{k-1} \leq j \leq N + 1$ we have $D_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $D_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$.

Now consider if $N + 1$ is odd. We know by Lemma 1 that $S\left(N, \frac{N}{2}\right) = A_1$, $S\left(N, \frac{N + 2}{2}\right) =$

		...								N-1	N	N+1					
	...																
											A ₁						
	(N+1)/2							A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₁	Q ₁	Q ₁	Q ₁	...
							A ₁	Q ₂	A ₁	Q ₂	D ₁ (N-1)	D ₂ (N)	A ₁	Q ₂			
							A ₁	Q ₂	D ₁ (N-3)	D ₂ (N-2)							

Figure 4.4: Induction for backwards wave - $N + 1$ even

Green boxes have information from Lemma 1, blue and gold boxes have information from the assumptions for the induction step for $i = N - 1$ and $i = N$ respectively

A_1 , $S\left(N + 1, \frac{N + 2}{2}\right) = Q_2$, and $S\left(k, \frac{N}{2}\right) = Q_1$ for all k such that $N < k \leq n - 1$. All of these are depicted in green in Figure 4.5.

By our inductive hypothesis, we know that for all j such that $1 + \sum_{k=1}^m x_k 2^{k-1} \leq j \leq N$, we have $C_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $C_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$, as shown in gold in Figure 4.5. We also know the corresponding information for $i = N - 1$ as shown in blue in Figure 4.5. Then by applying the rules $(A_1, Q_2, A_1, Q_2, A_1) \rightarrow A_1$ and $(Q_2, A_1, Q_2, A_1, Q_2) \rightarrow Q_2$ and noting that since $N + 1$ is odd, we know $x_0 = 1$ and thus $1 + \sum_{k=1}^m x_k 2^{k-1} = \sum_{k=1}^M y_k 2^{k-1}$, we have that for all j such that $1 + \sum_{k=1}^M y_k 2^{k-1} \leq j \leq N + 1$ we have $D_1(j) = A_1$ if $j \in 2\mathbb{Z}$ and $D_2(j) = Q_2$ if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$. Thus the conclusions of Lemma 2 part *a* are proven.

Now we examine the movement of the “slow” B waves, starting with the first “slow” B wave

		...								N-1	N	N+1							
	...																		
	N/2								A ₁	Q ₂	A ₁	Q ₁	Q ₁	Q ₁	...				
	(N+2)/2						A ₁	Q ₂	A ₁	Q ₂	A ₁	Q ₂							
						A ₁	Q ₂	A ₁	Q ₂	D ₁ (N-2)	D ₂ (N-1)								
						A ₁	Q ₂	D ₁ (N-4)	D ₂ (N-3)										

Figure 4.5: Induction for backwards wave - $N + 1$ odd

Green boxes have information from Lemma 1, blue and gold boxes have information from the assumptions for the induction step for $i = N - 1$ and $i = N$ respectively

through the $(p + 1)$ st “slow wave.” Since smaller length n squads can be verified by hand simply using the transition tables, we assume that $\sum_{k=p+1}^m x_k 2^{k-(p+1)} - \sum_{k=p+2}^m x_k 2^{k-(p+2)} \geq 3$. This assumption assures that the $(p + 1)$ st and $(p + 2)$ nd “slow” B waves are separated by at least 2 cells along our pair of $\arctan\left(\frac{1}{2}\right)$ diagonal curves C_1 and C_2 .

When the “backward” A_1 wave traveling along D_1 and D_2 meets the first “slow” wave coming from C_1 and C_2 , the assumed conditions of this lemma for all i such that $2 \leq i \leq N$ where $N_0 - 2 \leq N \leq n - 3$ result in one of four possible configurations of states, as seen in Figure 4.6. Configurations 1 and 2 arise for $N + 1 \in 2\mathbb{Z}$ and configurations 3 and 4 arise for $N + 1 \in \mathbb{Z} \setminus 2\mathbb{Z}$.

Note that in 1 and 2 in Figure 4.6, the backward A_1 wave on D_1 does not continue after the first “slow” B wave meets the D_1, D_2 pair. However, in 3 and 4 in Figure 4.6, the

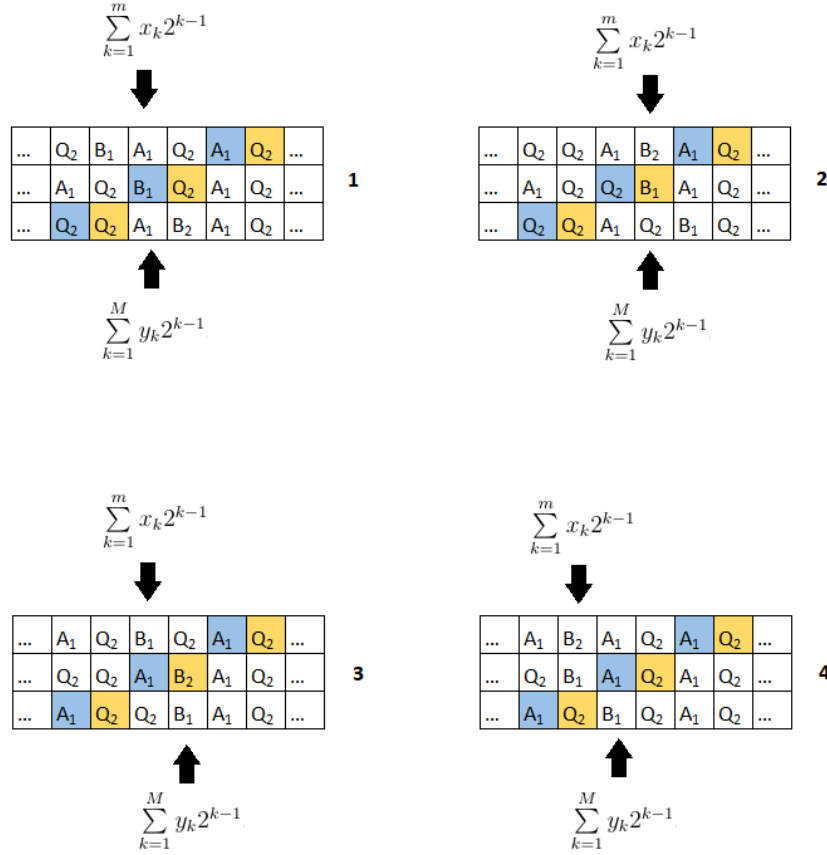


Figure 4.6: First “slow” wave meeting the backwards wave along D_1 and D_2
 D_1 in blue and D_2 in gold. 1 and 2 are for even $N + 1$ while 3 and 4 are for odd $N + 1$

backwards A_1 wave on D_1 continues to propagate even after the “slow” B wave meets the D_1, D_2 pair.

When examining the second “slow” B wave, 8 different transition configurations, depicted in Figure 4.7, are possible, depending on the binary expansion $(x_m x_{m-1} \dots x_1 x_0)_2$ of $N + 1$. These configurations are found due to our induction hypothesis of the conclusions of this lemma holding for all i such that $2 \leq i \leq N$ where $N_0 - 2 \leq N \leq n - 3$

If in the binary expansion of $N + 1 = (x_m x_{m-1} \dots x_1 x_0)_2$ we have that $x_1 = 0 = x_0$, the possible configurations are 1 or 2 in Figure 4.7. If $x_1 = 1$ and $x_0 = 0$, we have 3 or 4 in Figure 4.7. If $x_1 = 0$ and $x_0 = 1$, we have 5 or 6 in Figure 4.7. If $x_1 = 1 = x_0$, the

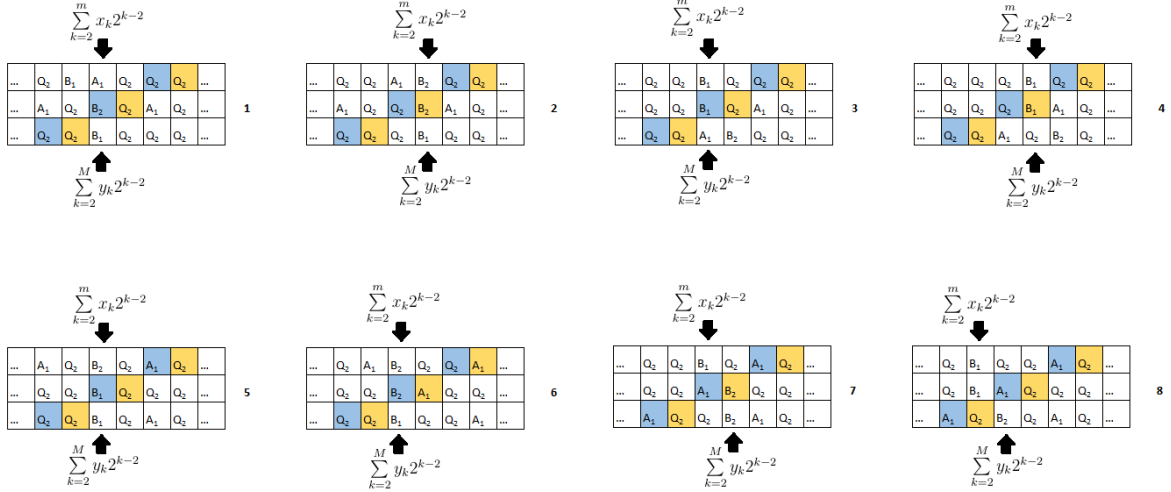


Figure 4.7: Second “slow” wave meeting D_1 and D_2

D_1 in blue and D_2 in gold. 1 and 2 are for $(x_1x_0) = (00)$, 3 and 4 are for $(x_1x_0) = (10)$, 5 and 6 are for $(x_1x_0) = (01)$, and 7 and 8 are for $(x_1x_0) = (11)$.

possible transition configurations are as in 7 or 8 in Figure 4.7. Note that in this last case, the “backwards” A_1 wave along D_1 and D_2 continues to propagate after meeting the second “slow” wave.

Now consider the third “slow” B wave. 12 different transition configurations, depicted in Figure 4.8 for $q = 2$, are possible, depending on the binary expansion $(x_mx_{m-1} \dots x_1x_0)_2$ of $N + 1$. These configurations are found due to our induction hypothesis of the conclusions of this lemma holding for all i such that $2 \leq i \leq N$ where $N_0 - 2 \leq N \leq n - 3$. In 9 and 10 in Figure 4.8, the state I refers to an irrelevant state - it can be in Q_2 or in A_1 , but this does not change the states along D_1 and D_2 .

If in the binary expansion of $N + 1 = (x_mx_{m-1} \dots x_1x_0)_2$ we have $(x_2x_1x_0) = (000)$, then the transition pattern is that of 1 or 2 in Figure 4.8. If $(x_2x_1x_0) = (100)$, the transition pattern is either 3 or 4 in Figure 4.8. If $(x_2x_1x_0) = (011)$, the transition pattern is that of 5 or 6 in Figure 4.8. If $(x_2x_1x_0) = (111)$, the transition pattern is either 7 or 8 in Figure 4.8. If $(x_2x_1x_0) = (010)$ or (001) , the transition pattern is either 9 or 10 in Figure 4.8. Lastly, if

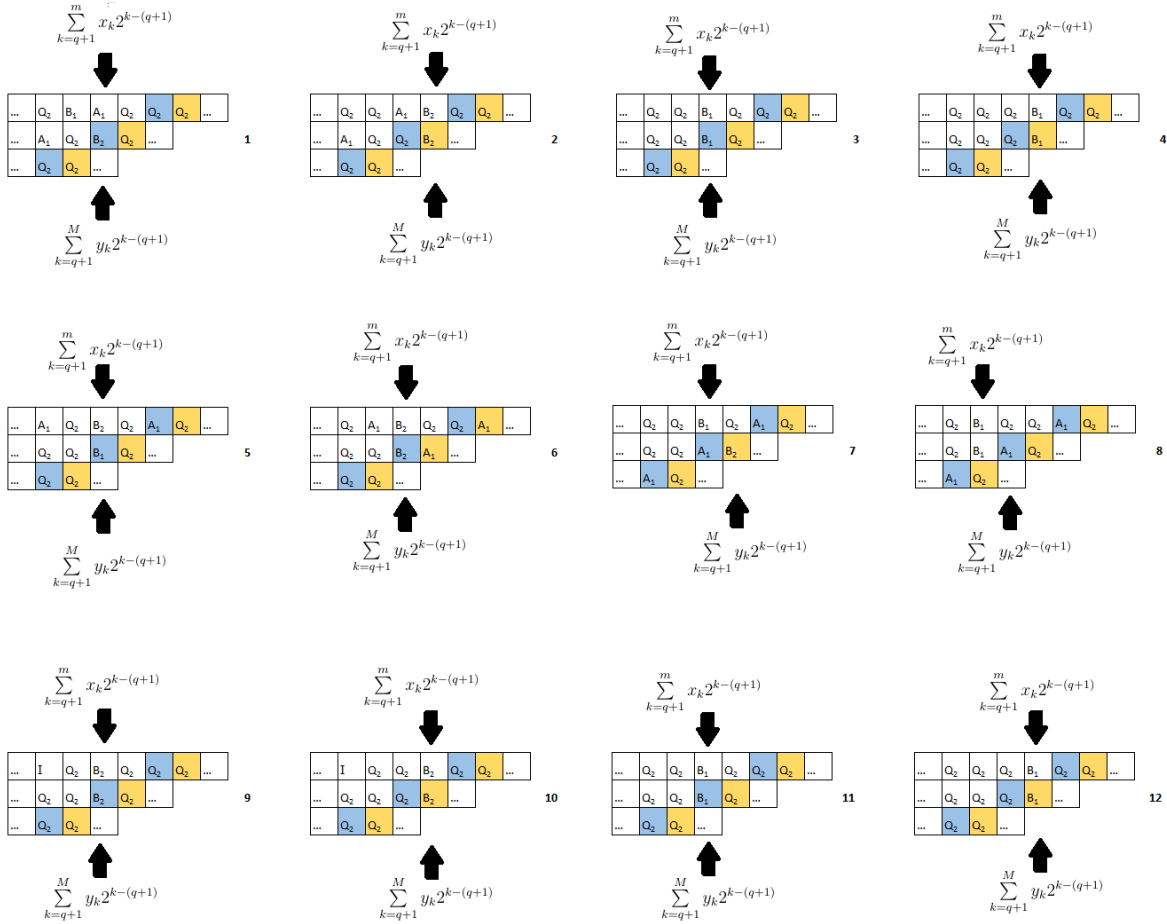


Figure 4.8: $q + 1$ st Slow Wave meeting D_1 and D_2 for $q \geq 2$

D_1 depicted in blue, D_2 depicted in gold. 1, 2: $x_0 = x_1 = \dots = x_q = 0$ (and so $y_0 = 1$ and $y_1 = y_2 = \dots = y_q = 0$). 3, 4: $x_0 = x_1 = \dots = x_{q-1} = 0$ and $x_q = 1$ (and so $y_0 = y_q = 1$ and $y_1 = y_2 = \dots = y_{q-1} = 0$). 5, 6: $x_0 = x_1 = \dots = x_{q-1} = 1$ and $x_q = 0$ (and so $y_0 = y_1 = \dots = y_{q-1} = 0$ and $y_q = 1$). 7, 8: $x_0 = x_1 = \dots = x_q = 1$ (and so $y_0 = y_1 = \dots = y_q = 0$). 9, 10: $x_q = y_q = 0$ (except for cases already covered by 1, 2). 11, 12: $x_q = y_q = 1$ (except for cases already covered by 3, 4).

$(x_2 x_1 x_0) = (110)$ or (101) , the transition pattern is that of 11 or 12 in Figure 4.8. Note that in the case of $(x_2 x_1 x_0) = (111)$, so transition pattern 7 or 8, the backward wave along D_1 and D_2 still continues to propagate after meeting the “slow” wave.

Now, for q such that $2 \leq q \leq p$, we show that the possible transition configurations for the $(q + 1)$ st “slow” B wave are the same as those depicted in Figure 4.8.

If the transition configuration for the q th “slow” wave is pattern 1 or 2, then we know

that the binary expansion of $N + 1 = (x_m x_{m-1} \dots x_1 x_0)_2$ is $(x_m x_{m-1} \dots x_q 00 \dots 0)_2$ and the binary expansion of $N + 2$ is $(y_M y_{M-1} \dots y_q 00 \dots 01)_2$. Since x_q is either 0 or 1 and y_q can be determined by adding 1 to the binary expansion of $N + 1$, the possibilities for the transition configuration of the $(k + 1)$ st “slow” wave are given by $(x_m x_{m-1} \dots x_{q+1} 000 \dots 0)_2$ and $(y_M y_{M-1} \dots y_{q+1} 000 \dots 1)_2$, resulting in configuration 1 or 2 in Figure 4.8, or by $(x_m x_{m-1} \dots x_{q+1} 100 \dots 0)_2$ and $(y_M y_{M-1} \dots y_{q+1} 100 \dots 1)_2$, resulting in configuration 3 or 4.

If the transition configuration for the q th “slow” wave is pattern 3 or 4, then we have $(x_m x_{m-1} \dots x_q 100 \dots 0)_2$ and $(y_M y_{M-1} \dots y_q 100 \dots 01)_2$. Then one possibility for the transition configuration of the $(k + 1)$ st “slow” wave is given by $(x_m x_{m-1} \dots x_{q+1} 0100 \dots 0)_2$ and $(y_M y_{M-1} \dots y_{q+1} 0100 \dots 1)_2$. In this case, since $x_q = y_q = 0$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 9 or 10 in Figure 4.8. The other possibility is $(x_m x_{m-1} \dots x_{q+1} 1100 \dots 0)_2$ and $(y_M y_{M-1} \dots y_{q+1} 1100 \dots 1)_2$. In this case, since $x_q = y_q = 1$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 11 or 12.

If the transition configuration for the q th “slow” wave is pattern 5 or 6, then we have $(x_m x_{m-1} \dots x_q 011 \dots 1)_2$ and $(y_M y_{M-1} \dots y_q 100 \dots 0)_2$. Then one possibility for the transition configuration of the $(k + 1)$ st “slow” wave is given by $(x_m x_{m-1} \dots x_{q+1} 0011 \dots 1)_2$ and $(y_M y_{M-1} \dots y_{q+1} 0100 \dots 0)_2$. In this case, since $x_q = y_q = 0$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 9 or 10 in Figure 4.8. The other possibility is $(x_m x_{m-1} \dots x_{q+1} 1011 \dots 1)_2$ and $(y_M y_{M-1} \dots y_{q+1} 1100 \dots 0)_2$. In this case, since $x_q = y_q = 1$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 11 or 12.

If the transition configuration for the q th “slow” wave is pattern 7 or 8, then we have $(x_m x_{m-1} \dots x_q 11 \dots 1)_2$ and $(y_M y_{M-1} \dots y_q 00 \dots 0)_2$. Then one possibility for the transition configuration of the $(k + 1)$ st “slow” wave is given by $(x_m x_{m-1} \dots x_{q+1} 011 \dots 1)_2$ and $(y_M y_{M-1} \dots y_{q+1} 100 \dots 0)_2$. In this case, we are in configuration 5 or 6 in Figure 4.8. The

other possibility is $(x_mx_{m-1}\dots x_{q+1}11\dots 1)_2$ and $(y_My_{M-1}\dots y_{q+1}00\dots 0)_2$. In this case, we are in configuration 7 or 8.

If the transition configuration for the q th “slow” wave is pattern 9 or 10, then we have $(x_mx_{m-1}\dots x_q0x_{q-2}\dots x_0)_2$ where at least one x_i with $0 \leq i \leq q-2$ is not 0 and $(y_My_{M-1}\dots y_q0y_{q-2}\dots y_0)_2$. Then one possibility for the transition configuration of the $(k+1)$ st “slow” wave is given by $(x_mx_{m-1}\dots x_{q+1}00x_{q-2}\dots x_0)_2$ where at least one x_i with $0 \leq i \leq q-2$ is not 0 and $(y_My_{M-1}\dots 00y_{q-2}\dots y_0)_2$. In this case, since $x_q = y_q = 0$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 9 or 10 in Figure 4.8. The other possibility is given by $(x_mx_{m-1}\dots x_{q+1}10x_{q-2}\dots x_0)_2$ where at least one x_i with $0 \leq i \leq q-2$ is not 0 and $(y_My_{M-1}\dots 10y_{q-2}\dots y_0)_2$. In this case, since $x_q = y_q = 1$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 11 or 12.

Lastly, if the transition configuration for the q th “slow” wave is pattern 11 or 12, then we have $(x_mx_{m-1}\dots x_q1x_{q-2}\dots x_0)_2$ where at least one x_i with $0 \leq i \leq q-2$ is not 0 and $(y_My_{M-1}\dots y_q1y_{q-2}\dots y_0)_2$. Then one possibility for the transition configuration of the $(k+1)$ st “slow” wave is given by $(x_mx_{m-1}\dots x_{q+1}01x_{q-2}\dots x_0)_2$ where at least one x_i with $0 \leq i \leq q-2$ is not 0 and $(y_My_{M-1}\dots 01y_{q-2}\dots y_0)_2$. In this case, since $x_q = y_q = 0$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 9 or 10 in Figure 4.8. The other possibility is given by $(x_mx_{m-1}\dots x_{q+1}11x_{q-2}\dots x_0)_2$ where at least one x_i with $0 \leq i \leq q-2$ is not 0 and $(y_My_{M-1}\dots 11y_{q-2}\dots y_0)_2$. In this case, since $x_q = y_q = 1$ but not every bit x_i with $0 \leq i < q$ is 0, we are in configuration 11 or 12.

In summary, following the numbering in Figure 4.8, if the q th “slow” wave meets D_1 and D_2 in configuration 1 or 2, the $(q+1)$ st slow wave will meet D_1 and D_2 in configuration 1, 2, 3 or 4. If in 7 or 8, the next will meet in 5, 6, 7 or 8. If in 3, 4, 5, 6, 9, 10, 11 or 12, the next will meet in 9, 10, 11 or 12.

Hence the conclusions of Lemma 2 part b hold for $i = N + 1$ and for all q such that $2 \leq q \leq p$. We must still show that the “slow” waves after the p th one still satisfy the conclusions. Let $p = 3$. Then the assumption of $\sum_{k=p+1}^m x_k 2^{k-(p+1)} - \sum_{k=p+2}^m x_k 2^{k-(p+2)} \geq 3$ means that $\sum_{k=4}^m x_k 2^{k-4} - \sum_{k=5}^m x_k 2^{k-5} \geq 3$ where $N + 1 = (x_m x_{m-1} \dots x_1 x_0)_2$. The smallest such $N + 1$ which satisfies this assumption is $N + 1 = 80 = (1010000)_2$, thus $N \geq 79$. Since we already verified by hand that the lemma is true for all squads up to length 85 and $85 - 2 > 79$, we take $N_0 = 85$.

If $N' \in 2\mathbb{Z}$, let C'_1 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N', \frac{N'}{2}\right)$ and ending at $(0, N')$ and let C'_2 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N' - 1, \frac{N'}{2} + 1\right)$ and ending at $(1, N')$. If $N' \in \mathbb{Z} \setminus 2\mathbb{Z}$, let C'_1 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N' - 1, \frac{N' + 1}{2}\right)$ and ending at $(0, N')$ and let C'_2 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N', \frac{N' + 1}{2}\right)$ and ending at $(1, N')$. If $N' \in 2\mathbb{Z}$, then let D'_1 begin at $\left(N', \frac{N' + 2}{2}\right)$ and end at $(0, N' + 1)$ and let D'_2 begin at $\left(N' + 1, \frac{N' + 2}{2}\right)$ and end at $(1, N' + 1)$. If $N' \in \mathbb{Z} \setminus 2\mathbb{Z}$ let D'_1 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N' + 1, \frac{N' + 1}{2}\right)$ and ending at $(0, N' + 1)$ and let D'_2 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(N', \frac{N' + 1}{2} + 1\right)$ and ending at $(1, N' + 1)$.

There exists $N' \ll N$ such that (I) the pair of curves C_1 and C_2 are equal to the pair of curves C'_1 and C'_2 when starting the comparison of the curves at cell position $\sum_{k=4}^m x_k 2^{k-4}$ and ending at cell position 0 and (II) when comparing the transitions configurations, laid out in Figure 4.8, of the “slow” waves at cell position $\sum_{k=4}^m x_k 2^{k-4}$ passing from C_1 and C_2 to D_1 and D_2 to the transition configurations of the “slow” waves in the same cell position

from C'_1 and C'_2 to D'_1 and D'_2 , the configurations are the same. We show the existence of such an N' below.

First, if the transition configuration of the 4th “slow” wave, which occurs in cell $\sum_{k=4}^m x_k 2^{k-4}$, on the pair C_1 and C_2 is in configuration 1 or 2 of Figure 4.8, then we know that $N + 1 = (x_m x_{m-1} \dots x_4 0000)_2$. Then, we can pick $N' + 1 = (x_m x_{m-1} \dots x_4 000)_2$, which would have the same transition configuration, then, at the same cell location, although it is the 3rd “slow” wave on C'_1 and C'_2 (note that $N + 1$ is $m + 1$ bits and $N' + 1$ is m bits - so $N' \ll N$). So, for transition configuration 1 or 2, $N' = (x_m x_{m-1} \dots x_4 000)_2 - 1$ satisfies the conditions.

If the transition configuration of the 4th “slow” wave on the pair C_1 and C_2 is in configuration 3 or 4 of Figure 4.8, then we know that $N + 1 = (x_m x_{m-1} \dots x_4 1000)_2$. So $N' + 1 = (x_m x_{m-1} \dots x_4 100)_2$ would have the same transition configuration at the same cell location on C'_1 and C'_2 . Thus for transition pattern 3 or 4, $N' = (x_m x_{m-1} \dots x_4 100)_2 - 1$ satisfies the conditions.

If the transition configuration of the 4th “slow” wave on the pair C_1 and C_2 is in configuration 5 or 6 of Figure 4.8, then we know that $N + 1 = (x_m x_{m-1} \dots x_4 0111)_2$. So $N' + 1 = (x_m x_{m-1} \dots x_4 011)_2$ would have the same transition configuration at the same cell location on C'_1 and C'_2 . Thus for transition pattern 5 or 6, $N' = (x_m x_{m-1} \dots x_4 011)_2 - 1$ satisfies the conditions.

If the transition configuration of the 4th “slow” wave on the pair C_1 and C_2 is in configuration 7 or 8 of Figure 4.8, then we know that $N + 1 = (x_m x_{m-1} \dots x_4 1111)_2$. So $N' + 1 = (x_m x_{m-1} \dots x_4 111)_2$ would have the same transition configuration at the same cell location on C'_1 and C'_2 . Thus for transition pattern 7 or 8, $N' = (x_m x_{m-1} \dots x_4 111)_2 - 1$ satisfies the conditions.

If the transition configuration of the 4th “slow” wave on the pair C_1 and C_2 is in configuration 9 or 10 of Figure 4.8, then we know that $N + 1 = (x_m x_{m-1} \dots x_4 0 x_2 x_1 x_0)_2$, where not all of x_0, x_1, x_2 are the same. Of x_0, x_1, x_2 select x_a and x_b so that $x_a \neq x_b$. Then, $N' + 1 = (x_m x_{m-1} \dots x_4 0 x_a x_b)_2$ would have the same transition configuration at the same cell location on C'_1 and C'_2 . Thus for transition pattern 9 or 10, $N' = (x_m x_{m-1} \dots x_4 0 x_a x_b)_2 - 1$ satisfies the conditions.

Lastly, if the transition configuration of the 4th “slow” wave on the pair C_1 and C_2 is in configuration 11 or 12 of Figure 4.8, then we know that $N + 1 = (x_m x_{m-1} \dots x_4 1 x_2 x_1 x_0)_2$, where not all of x_0, x_1, x_2 are the same. Of x_0, x_1, x_2 select x_a and x_b so that $x_a \neq x_b$. Then, $N' + 1 = (x_m x_{m-1} \dots x_4 1 x_a x_b)_2$ would have the same transition configuration at the same cell location on C'_1 and C'_2 . Thus for transition pattern 9 or 10, $N' = (x_m x_{m-1} \dots x_4 1 x_a x_b)_2 - 1$ satisfies the conditions.

Note that, as stated when describing the situation where the 4th “slow” B wave on C_1 and C_2 is in configuration 1 or 2, in all of these situations, the “slow” B wave on C'_1 and C'_2 is the 3rd “slow” wave and that the number of bits in N' is m , whereas the number of bits in N is $m + 1$.

Now we compare the curves D_1 and D_2 to D'_1 and D'_2 . Since C_1 and C_2 are equal to C'_1 and C'_2 from cell $\sum_{k=4}^m x_k 2^{k-4}$ to cell 0, given the above description of finding the appropriate N' , and since the transition patterns of the “slow” waves from C_1 and C_2 to D_1 and D_2 are the same as those of the “slow” waves from C'_1 and C'_2 to D'_1 and D'_2 , also from finding the appropriate N' as above, then D_1 and D_2 are equal to D'_1 and D'_2 from cell $\sum_{k=4}^m x_k 2^{k-4}$ to cell 0 since we are applying the same set of transition rules.

Thus, the position of the 4th “slow” wave on D_1 and D_2 is in the same position as the 3rd “slow” wave on D'_1 and D'_2 - namely cell $\sum_{k=4}^M y_k 2^{k-4}$ (recall that the binary expansion of $N + 2$ is $(y_M y_{m-1} \dots y_1 y_0)_2$). Hence, $N + 2$ and $N' + 2$ have the same binary expansions for $M - 3$ bits, though they may differ for the remaining 4 bits. Then, since we know from assumption that Lemma 2 part *b* holds for $i = N' + 1$ and since for all q such that $4 \leq q \leq M - 1$, we have matching conditions regarding the bit patterns for the q th “slow” wave for $i = N + 1$ and the $(q - 1)$ st “slow” wave for $i = N' + 1$, we have that Lemma 2 part *b* holds for $i = N + 1$.

It only remains to show part *c*. But as a result of the above, we know that $D_1(0) = D'_1(0)$ and since we assumed in our induction hypothesis that all of Lemma 2 holds for all i such that $2 \leq i \leq N$, we know that $D'_1(0) = G$ and thus $D_1(0) = G$, so part *c* holds for $i = N + 1$.

Hence by the principle of mathematical induction, Lemma 2 holds for squads of any finite length n .

□

Lemma 3. (*Finding the middle points of the squad array*)

Consider a firing squad of length $n \geq 6$. Let the binary representation of $n - 1$ be $(z_m z_{m-1} \dots z_1 z_0)_2$, where $m \geq 1$ and $z_m = 1$. That is, let $n - 1 = \sum_{k=0}^m z_k 2^k$ with $z_m = 1$, $m \geq 1$. If $n - 1 \in 2\mathbb{Z}$, let C_3 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(n - 1, \frac{n - 1}{2}\right)$ and ending at $(2, n - 2)$ and let C_4 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(n - 2, \frac{n - 1}{2} + 1\right)$ and ending at $(3, n - 2)$. If $n - 1 \in \mathbb{Z} \setminus 2\mathbb{Z}$, then let C_3 be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(n - 2, \frac{n}{2}\right)$ and ending at $(2, n - 2)$ and let C_4 be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(n - 1, \frac{n}{2}\right)$ and ending at $(3, n - 2)$.

Using the set of states S^* (defined on page 31) and rule set R_1 (made up of the rules found

in Tables 3.1 and 4.1) , and for all i such that $0 \leq i \leq m - 1$ the following hold:

a. If $\sum_{k=i}^m z_k 2^{k-i} \in 2\mathbb{Z}$, then $C_3\left(\sum_{k=i}^m z_k 2^{k-i}\right) = G$. If $\sum_{k=i}^m z_k 2^{k-i} \in \mathbb{Z} \setminus 2\mathbb{Z}$, then $C_4\left(\sum_{k=i}^m z_k 2^{k-i}\right) = G$.

b. If $z_i = 0$ and $i < m - 1$ then for all j such that $1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)} \leq j \leq -1 + \sum_{k=i}^m z_k 2^{k-i}$, if $j \in 2\mathbb{Z}$ then $C_3(j) = A_2$ and if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$ then $C_4(j) = Q_1$.

Note that when $z_i = 0$, the cell in position $\sum_{k=i+1}^m z_k 2^{k-(i+1)}$ is the middle cell of cells 0 through $\sum_{k=i}^m z_k 2^{k-i}$

c. If $z_i = 1$ and $i < m - 1$, then

i. For all j such that $2 + \sum_{k=i+1}^m z_k 2^{k-(i+1)} \leq j \leq -1 + \sum_{k=i}^m z_k 2^{k-i}$, if $j \in 2\mathbb{Z}$ then $C_3(j) = A_2$ and if $j \in \mathbb{Z} \setminus 2\mathbb{Z}$ then $C_4(j) = Q_1$.

ii. If $1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)} \in 2\mathbb{Z}$ then $C_3\left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right) = B_1$ and if $1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$ then $C_4\left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right) = G$

Note that when $z_i = 1$, the two cells in positions $\sum_{k=i+1}^m z_k 2^{k-(i+1)}$ and $1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}$ are the middle cells of cells 0 through $\sum_{k=i}^m z_k 2^{k-i}$.

Proof. Consider a firing squad array of length $n \geq 6$. We will prove by induction.

First, we show that Lemma 3 part a. holds when $i = 0$. Assume that n is even and so $n - 1$ is odd. We have C_3 beginning at $\left(n - 2, \frac{n}{2}\right)$ and C_4 beginning at $\left(n - 1, \frac{n}{2}\right)$. Since n is even, we know from Lemma 1 that the squad at time $t = \frac{n - 2}{2}$ is as follows, where I stands for an irrelevant state:

$$** II \dots IQ_2 A_1 Q_1 **$$

Then applying the rule $(Q_2 A_1 Q_1 **) \rightarrow G$ we see that $C_4 \left(\sum_{k=0}^m z_k 2^k \right) = C_4(n-1) = G$

Now assume that n is odd and so $n-1$ is even. We have C_3 beginning at $\left(n-1, \frac{n-1}{2} \right)$ and C_4 beginning at $\left(n-2, \frac{n-1}{2} + 1 \right)$. Since n is odd, we know from Lemma 1 that the squad at time $t = \frac{n-3}{2}$ is as follows, where I stands for an irrelevant state:

$$** II \dots IA_1 Q_1 Q_1 **$$

Then applying the rule $(A_1 Q_1 Q_1 **) \rightarrow G$ we see that $C_3 \left(\sum_{k=0}^m z_k 2^k \right) = C_3(n-1) = G$.

Thus Lemma 3 part a. holds for $i = 0$.

Now assume that part a. holds for $i \leq j$. First, assume that $z_j = 0$. Then, by ap-

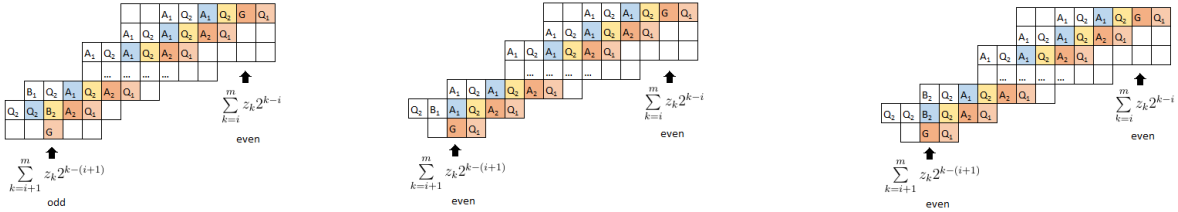


Figure 4.9: Finding Middle Points with $z_j = 0$

C_3 and C_4 are dark and light orange, respectively. C_1 and C_2 for $i = n-2$ are blue and gold, respectively.

plying Lemma 2 for $i = n-2$ and $i = n-3$, and by using rules $(Q_2, A_1, Q_2, G, Q_1) \rightarrow Q_1$, $(A_1, Q_2, A_1, Q_2, G) \rightarrow A_2$, $(Q_2, A_1, Q_2, A_2, Q_1) \rightarrow Q_1$, $(A_1, Q_2, A_1, Q_2, A_2) \rightarrow A_2$, $(B_1, Q_2, A_1, Q_2, A_2) \rightarrow A_2$, $(Q_2, Q_2, B_2, A_2, Q_1) \rightarrow G$, $(Q_2, A_1, B_2, A_2, Q_1) \rightarrow G$, $(Q_2, A_1, Q_2, A_2, G) \rightarrow Q_1$, $(Q_2, B_1, A_1, Q_2, A_2) \rightarrow G$, $(B_1, A_1, Q_2, A_2, Q_1) \rightarrow Q_1$, $(B_2, Q_2, A_1, Q_2, A_2) \rightarrow A_2$, $(Q_2, B_2, Q_2, A_2, Q_1) \rightarrow Q_1$ and $(Q_2, Q_2, B_2, Q_2, A_2) \rightarrow G$ we have the possibilities in Figure 4.9.

So if $z_j = 0$, we have that part a. holds for $i = j+1$ and that part b. holds for $i = j$.

Now assume that $z_j = 1$. Then, by applying Lemma 2 for $i = n - 2$ and $i = n - 3$, and by

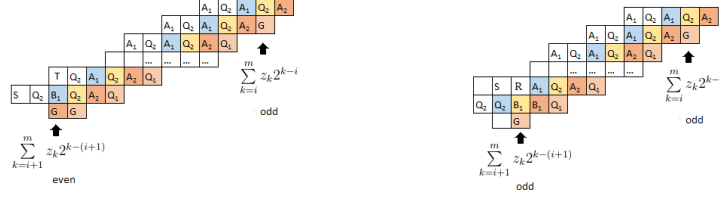


Figure 4.10: Finding Middle Points with $z_j = 1$

C_3 and C_4 are dark and light orange, respectively. C_1 and C_2 for $i = n - 2$ are blue and gold, respectively. S refers to a state that is either A_1 or Q_2 , T refers to a state that is A_1 or B_1 , R refers to a state that is B_1 or B_2

using rules $(A_1, Q_2, A_1, Q_2, A_2) \rightarrow A_2$, $(Q_2, A_1, Q_2, A_2, G) \rightarrow Q_1$, $(Q_2, A_1, Q_2, A_2, Q_1) \rightarrow Q_1$, $(B_2, Q_2, A_1, Q_2, A_2) \rightarrow A_2$, $(Q_2, Q_2, B_1, Q_2, A_2) \rightarrow G$, $(A_1, Q_2, B_1, Q_2, A_2) \rightarrow G$, $(Q_2, B_1, Q_2, A_2, Q_1) \rightarrow G$, $(B_2, A_1, Q_2, A_2, Q_1) \rightarrow Q_1$, $(A_1, B_2, A_1, Q_2, A_2) \rightarrow B_1$, $(Q_2, B_2, A_1, Q_2, A_2) \rightarrow B_1$, and $(Q_2, Q_2, B_1, B_1, Q_1) \rightarrow G$, we have the possibilities in Figure 4.10.

So if $z_j = 1$, we have that part a. holds for $i = j + 1$ and that part c. holds for $i = j$. Thus by the principle of mathematical induction, Lemma 3 holds for all i with $0 \leq i \leq m - 1$. \square

Lemma 4. (*Reflection of return wave at middle points*)

Consider a firing squad of length $n \geq 6$. Let the binary representation of $n - 1$ be $(z_m z_{m-1} \dots z_1 z_0)_2$, where $m \geq 2$ and $z_m = 1$. That is, let $n - 1 = \sum_{k=0}^m z_k 2^k$ with $z_m = 1$, $m \geq 2$.

If $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in 2\mathbb{Z}$, then let $C_{3,i}$ be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(\sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - 1 - \frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)$ and ending at $(2, n - 2)$ and let $C_{4,i}$ be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(-1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)$ and ending at $(3, n - 2)$. If $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$, then let $C_{3,i}$ be the $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at

$\left(-1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)\right)$ and ending at $(2, n-2)$ and let $C_{4,i}$ be the adjacent $\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(\sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)\right)$ and ending at $(3, n-2)$.

Using the set of states S^* (defined on page 31) and rule set R_1 (containing the rules from Tables 3.1 and 4.1), the following are true:

a. If $z_k = 0$, and $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in 2\mathbb{Z}$, then let $C_{5,i}$ be the $-\arctan\left(\frac{1}{2}\right)$ degree diag-

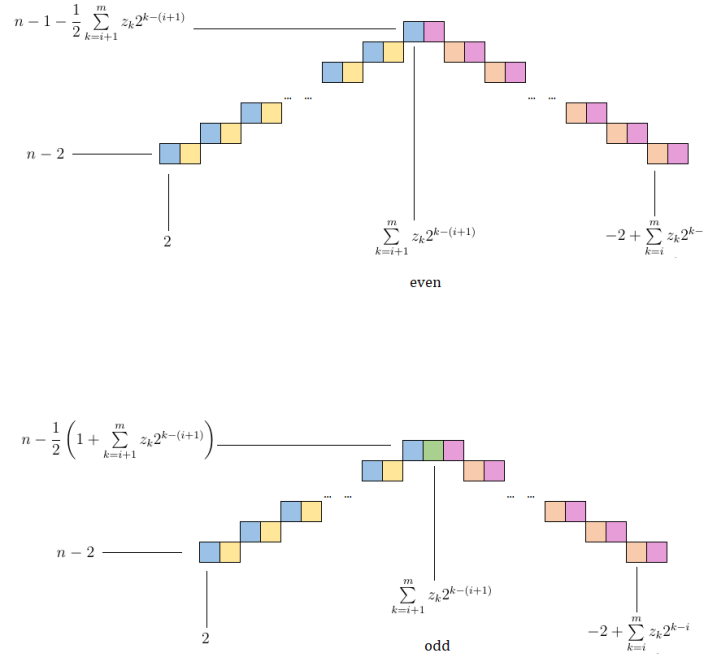


Figure 4.11: Diagonals for Lemma 4 if $z_i = 0$

$C_{3,i}$ in blue, $C_{4,i}$ in yellow, $C_{5,i}$ in purple, $C_{6,i}$ in orange. Green indicates a cell that is both in $C_{4,i}$ and $C_{6,i}$

onal curve beginning at $\left(\sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - 1 - \frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)$ and ending at $\left(-2 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right)$ and let $C_{6,i}$ be the adjacent $-\arctan\left(\frac{1}{2}\right)$ degree diagonal curve beginning at $\left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)$ and ending at $\left(-3 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right)$.

If $z_k = 0$ and $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$, then let $C_{5,i}$ begin at

$$\left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)\right) \text{ and end at } \left(-2 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right) \text{ and}$$

let $C_{6,i}$ begin at $\left(\sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)\right)$ and end at

$$\left(-3 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right).$$

Then, $C_{5,i}$ and $C_{6,i}$ are images (as in Definition 5) of $C_{3,i}$ and $C_{4,i}$.

b. If $z_i = 1$ and $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in 2\mathbb{Z}$, then let $C_{5,i}$ be the $-\arctan\left(\frac{1}{2}\right)$ degree diagonal

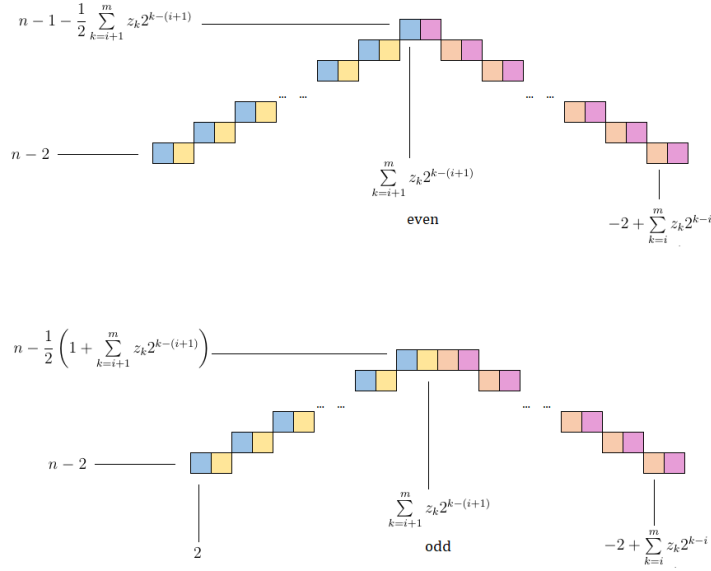


Figure 4.12: Diagonals for Lemma 4 if $z_i = 1$
 $C_{3,i}$ in blue, $C_{4,i}$ in yellow, $C_{5,i}$ in purple, $C_{6,i}$ in orange.

curve beginning at $\left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - 1 - \frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)$ and ending at

$\left(-2 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right)$ and let $C_{6,i}$ be the adjacent $-\arctan\left(\frac{1}{2}\right)$ degree diagonal curve

beginning at $\left(2 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)$ and ending at

$$\left(-3 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right).$$

If $z_i = 1$ and $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$, then let $C_{5,i}$ begin at $\left(2 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)\right)$ and end at $\left(-2 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right)$ and let $C_{6,i}$ begin at $\left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}, n - \frac{1}{2} \left(1 + \sum_{k=i+1}^m z_k 2^{k-(i+1)}\right)\right)$ and end at $\left(-3 + \sum_{k=i}^m z_k 2^{k-i}, n - 2\right)$.

Then, $C_{5,i}$ and $C_{6,i}$ are images (as in Definition 5) of $C_{3,i}$ and $C_{4,i}$.

Proof. First, let $z_i = 0$. So we know that $\sum_{k=i}^m z_k 2^{k-i} \in 2\mathbb{Z}$. Consider the triangular region enclosed by the following curves:

The vertical curve starting at $\left(\sum_{k=i}^m z_k 2^{k-i}, n - 1 - \frac{1}{2} \sum_{k=i}^m z_k 2^{k-i}\right)$ and ending at $\left(\sum_{k=i}^m z_k 2^{k-i}, n - 2\right)$

The double diagonal curves starting at $\left(\sum_{k=i}^m z_k 2^{k-i}, n - 1 - \frac{1}{2} \sum_{k=i}^m z_k 2^{k-i}\right)$ and $\left(-1 + \sum_{k=i}^m z_k 2^{k-i}, n - \frac{1}{2} \sum_{k=i}^m z_k 2^{k-i}\right)$ and ending at the starting position for $C_{3,i}$ and $C_{5,i}$, respectively, if $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in 2\mathbb{Z}$. If $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$, have the double diagonal curves end at the starting position for $C_{3,i}$ and $C_{4,i}$, respectively.

We know the double diagonal curves follow Lemma 3 and that the vertical curve is in state G throughout, due to rule set R_1 requiring that a *General* remain in the *General* state until the few conditions specified in the tables which result in a *General* firing, none of which appear in this situation. Call this triangular area T_1 .

Consider also the triangular area in the space-time diagram for a squad of length $N = \sum_{k=i+1}^m z_k 2^{k-(i+1)}$ enclosed by the vertical curve starting at $(0, 0)$ and ending at $(0, N - 2)$ and the double diagonals starting at $(0, 0)$ and $(1, 1)$ and ending at $\left(N - 1, \frac{N - 1}{2}\right)$ and

$(G, Q_1, Q_1, A_2, I) \rightarrow A_1$, $(Q_1, A_2, Q_1, A_2, I) \rightarrow Q_1$, $(Q_1, Q_1, A_2, Q_1, I) \rightarrow Q_1$, and $(Q_2, A_1, Q_1, Q_1, I) \rightarrow Q_2$ are used. Using the function $Im(s)$ as defined on page 31, we can see that $C_{5,i}$ and $C_{6,i}$ are images of $C_{3,i}$ and $C_{4,i}$ so far.

By using Lemma 3, we know that $C_{3,i}$ and $C_{4,i}$ continue on the same way until they meet the $i + 2$ nd slow wave. Since the ℓ th slow wave intersects at the cell in position $\frac{1}{2^\ell}(n - 1)$ at time $t = \frac{2^{\ell+1} - 1}{2^{\ell+1}}(n - 1)$, we know the number of time steps between the intersection at middle point $\sum_{k=i+1}^m z_k 2^{k-(i+1)}$ and the intersection at middle point $\sum_{k=i+2}^m z_k 2^{k-(i+2)}$ is $\frac{2^{i+3} - 1}{2^{i+3}}(n - 1) - \frac{2^{i+2} - 1}{2^{i+2}}(n - 1) = \frac{1}{2^{i+3}}(n - 1)$ time steps. So $C_{3,i}$ and $C_{4,i}$ continue in their same pattern until $\frac{1}{2^{i+3}}(n - 1)$ time steps have passed since the intersection at $\sum_{k=i+1}^m z_k 2^{k-(i+1)}$.

Meanwhile, $C_{5,i}$ and $C_{6,i}$ continue unchanged until the first slow wave emitted from the middle point at $\sum_{k=i}^m z_k 2^{k-i}$ intersects. The first slow wave emitted from the cell in position $\sum_{k=i}^m z_k 2^{k-i}$ travels to the midpoint between $\sum_{k=i}^m z_k 2^{k-i}$ and $\sum_{k=i+1}^m z_k 2^{k-(i+1)}$. So the slow wave needs to travel $\frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)} = \sum_{k=i+2}^m z_k 2^{k-(i+2)} = \frac{1}{2^{i+2}}(n - 1)$ cells. Since this is the first slow wave emitted from this middle point, it travels 2 cells every 3 time steps, hence will reach this new middle point in a total of $\frac{3}{2} \left(\frac{1}{2^{i+2}}(n - 1) \right) = \frac{3}{2^{i+3}}(n - 1)$ time steps. However, by the time the $\sum_{k=i+1}^m z_k 2^{k-(i+1)}$ intersection begins generating our waves $C_{3,i}, C_{4,i}, C_{5,i}, C_{6,i}$, the first slow wave from the $\sum_{k=i}^m z_k 2^{k-i}$ middle point has already been traveling for $\frac{1}{2} \sum_{k=i+1}^m z_k 2^{k-(i+1)} = \sum_{k=i+2}^m z_k 2^{k-(i+2)} = \frac{1}{2^{i+2}}(n - 1)$ time steps. Hence, starting when the middle cell $\sum_{k=i+1}^m z_k 2^{k-(i+1)}$ begins generating our $C_{3,i}, C_{4,i}, C_{5,i}, C_{6,i}$, the first slow wave from position $\sum_{k=i}^m z_k 2^{k-i}$ will meet our waves $C_{5,i}$ and $C_{6,i}$ in another $\frac{3}{2^{i+3}}(n - 1) - \frac{1}{2^{i+2}}(n - 1) = \frac{1}{2^{i+3}}(n - 1)$ time steps.

Hence the pair $C_{3,i}$ and $C_{4,i}$ meet their slow wave in the same number of time steps as it takes $C_{5,i}$ and $C_{6,i}$ to meet their slow wave. Hence, we can see that $C_{5,i}$ and $C_{6,i}$ are

images of $C_{3,i}$ and $C_{4,i}$.

Now consider if $\sum_{k=i+1}^m z_k 2^{k-(i+1)} \in \mathbb{Z} \setminus 2\mathbb{Z}$. Then, by Lemmas 2 and 3 and Figure 4.10, we can apply rules from rule set R_1 to obtain the configuration in Figure 4.14.

In particular, the rules $(A_1, Q_2, A_2, Q_1, I) \rightarrow A_2$, $(Q_2, A_2, Q_1, A_2, I) \rightarrow Q_1$,

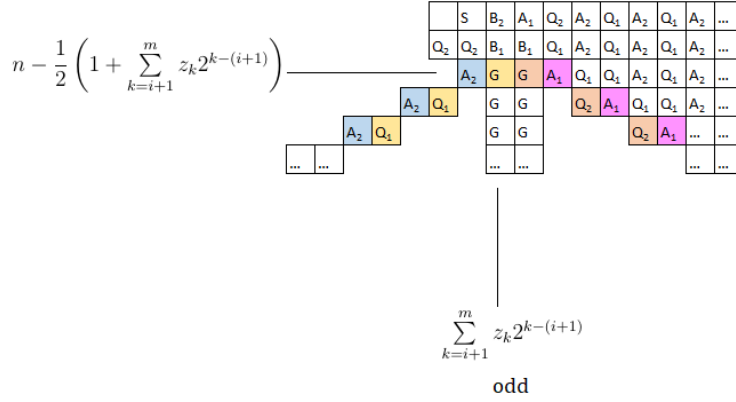


Figure 4.14: $z_i = 1$ and $z_{i+1} = 1$ reflection of waves
 $C_{3,i}$ in blue, $C_{4,i}$ in yellow, $C_{5,i}$ in purple, $C_{6,i}$ in orange

$(B_1, B_1, Q_1, A_2, Q_1) \rightarrow A_1$, $(B_1, Q_1, A_2, Q_1, I) \rightarrow Q_1$, $(G, A_1, Q_1, Q_1, I) \rightarrow Q_2$,
 $(A_1, Q_1, Q_1, A_2, I) \rightarrow A_1$, $(Q_1, A_2, Q_1, A_2, I) \rightarrow Q_1$, $(Q_1, Q_1, A_2, Q_1, I) \rightarrow Q_1$, and
 $(Q_2, A_1, Q_1, Q_1, I) \rightarrow Q_2$ are used. Using the function $Im(s)$ as defined on page 31, we can see that $C_{5,i}$ and $C_{6,i}$ are images of $C_{3,i}$ and $C_{4,i}$ so far. However, the same argument as above holds as to when the next midpoints will be found, and thus we can see that $C_{5,i}$ and $C_{6,i}$ are images of $C_{3,i}$ and $C_{4,i}$. \square

Now we provide the proof for Theorem 3.

Proof of Theorem 3. We need to show that, using set of states S^* , as on page 31, with rule set R_1 , outlined in Tables 3.1 and 4.1, for any n , the one-dimensional array of size n will synchronize with $S(i, n-1) = F$ for $0 \leq i \leq n-1$ and $S(i, t) \neq F$ for $0 \leq i \leq n-1$ and $t < n-1$.

This was verified directly using the simulation program for squads of any size n with $2 \leq n \leq 500$.

By Lemmas 1, 2, 3, and 4, we have that the curves $C_{3,1}$ and $C_{4,1}$ for a squad of size n are equivalent to the fast return wave of a squad of size $\lceil \frac{n}{2} \rceil$, which takes $\lceil \frac{n-1}{4} \rceil$ time steps to fire. $C_{3,1}$ and $C_{4,1}$ are generated at the first middle point of the squad of size n at time $\lceil \frac{3}{4}(n-1) \rceil$. Adding these times together shows that the left half of the squad of size n fires in $n-1$ time steps. The right side, by Lemma 4, also fires in a total of $n-1$ time steps.

Hence a squad of size n fires in $n-1$ time steps and we have a minimal time solution. \square

Theorem 4. *The set of states S^* , as on page 31, with rule set R_0 , outlined in Table 3.1, is a minimal time solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood of Extent 2. That is, for any size n , the one-dimensional array of size n will synchronize with $S(i, n-1) = F$ for $0 \leq i \leq n-1$ and $S(i, t) \neq F$ for $0 \leq i \leq n-1$ and $t < n-1$.*

Proof. The rule set R_0 is contained in the rule set R_1 . The rules in R_0 are necessary rules, as all 651 rules in rule set R_0 are used at least once in running simulations of firing squads of size n with $2 \leq n \leq 211$.

We will show the rules in R_0 are also sufficient. Of the added rules in Table 4.1 to create rule set R_1 :

The following 13 rules cannot exist due to the initial set up of the firing squad array $**GQ_1Q_1 \dots Q_1**$ and the fact that the *General* state remains in *General* until the conditions are met for firing:

$$(*, Q_2, Q_2, G, *) \rightarrow Q_1$$

$$(*, *, Q_2, G, *) \rightarrow F$$

$$(*, Q_2, Q_2, Q_2, G) \rightarrow A_2$$

$$(*, *, Q_2, Q_2, G) \rightarrow G$$

$$(*, Q_2, Q_2, Q_2, Q_2) \rightarrow Q_2$$

$$(*, *, Q_2, Q_2, Q_2) \rightarrow Q_2$$

$$(*, Q_2, Q_2, A_2, Q_1) \rightarrow Q_1$$

$$(*, *, Q_2, A_2, Q_1) \rightarrow G$$

$$(*, Q_2, Q_2, Q_2, A_2) \rightarrow A_2$$

$$(*, *, Q_2, Q_2, A_2) \rightarrow G$$

$$(*, Q_2, G, *, *) \rightarrow F$$

$$(*, Q_2, A_2, Q_1, A_2) \rightarrow A_1$$

$$(*, Q_2, A_2, Q_1, G) \rightarrow B_1$$

The following 6 rules cannot exist because of the fast A_1 wave traveling 2 cells per time step from the initial *General*:

$$(*, G, A_1, Q_1, A_2) \rightarrow B_1$$

$$(*, G, A_1, Q_1, B_1) \rightarrow Q_2$$

$$(*, G, B_1, Q_2, A_1) \rightarrow Q_2$$

$$(*, G, Q_2, B_1, A_1) \rightarrow B_1$$

$$(*, G, Q_2, B_2, A_1) \rightarrow Q_2$$

$$(*, *, G, A_1, Q_1) \rightarrow G$$

The following 3 rules cannot exist because of the fact that A_2 is the fast return wave and is about to hit the original *General*, which should result in *Fire*:

$$(*, G, Q_1, A_2, B_1) \rightarrow Q_2$$

$$(*, G, Q_1, A_2, Q_1) \rightarrow Q_2$$

$$(*, *, G, Q_1, A_2) \rightarrow G$$

Both $(Q_2, Q_2, Q_2, G, *) \rightarrow Q_1$ and $(Q_2, Q_2, G, *, *) \rightarrow G$ are not necessary as they would be for having an initial set up with the *General* on the right side of the firing squad.

$(*, *, G, Q_1, G) \rightarrow F$ cannot exist as Theorem 3 showed that the squad of size n has $C_{3,1}$ and $C_{4,1}$ equal to the fast return wave of a squad of size $\lceil \frac{n}{2} \rceil$. Iteratively repeating this, a squad will *Fire* when the states at time $n - 2$ are repetitions of the second-to-last time step of a squad of size 4, 5, or 6. Examining squads of these sizes, we see the only possibilities for this rule at time $n - 2$ are $(*, *, G, B_1, B_1) \rightarrow F$ or $(*, *, G, Q_2, G) \rightarrow F$.

The last added rule of Table 4.1 is $(*, G, Q_1, G, *)$, which could only arise in a squad of size 3. Actually running the simulation for a squad of size 3, however, yields the following:

*** GQ₁Q₁ ***

*** GQ₂G ***

*** FFF ***

So that last added rule never occurs.

Hence, since Theorem 3 shows that the set of states S^* with rule set R_1 is a minimal time solution, and since we have shown that the rules in rule set R_0 are necessary and sufficient, we have that S^* with rule set R_0 consisting of the 651 rules outlined in Table 3.1 is a minimal time solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood of Extent 2

□

5 Conclusion and Suggestions for Future Work

We developed a new variation of the Firing Squad Synchronization Problem using a different neighborhood definition - the Von Neumann Neighborhood of extent 2. In solving this new variation of the FSSP, we found a minimal time solution which synchronizes an array of any finite length in half the number of time steps as needed in the standard FSSP. This reduction in discrete time steps is due to the ability of information to travel at twice the speed as in the standard problem, because of the extended radius of the neighborhoods used. The technique used to solve the problem, the halving technique, has been used by many others in solving the standard problem, including Waksman, Balzer, and Noguchi [1, 2, 6, 7].

Although the number of discrete time steps needed is half that of the required time steps in the standard problem, the number of rules in this solution, 651, is larger than the number of rules in minimal time solutions to the standard FSSP that use the same technique of halving and have the same number of possible states, 8. Balzer's 8 state minimal time solution is stated to have 182 rules, however Noguchi claims that only 165 of these are actually used, and Noguchi's 8 state minimal time solution with the least number of rules has 119 rules [1, 7]. However, regardless of the number of rules, in implementing any of these solutions using a simulation program similar to our code found on page 69, the complexity of the algorithm remains the same.

The 651 rule 8 state minimal time solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood of Extent 2 was proven with induction using properties of image solutions and many patterns that arise due to the binary nature of repeated halving of the firing squad array.

More work can be done in examining possible other minimal time solutions to the FSSP

with Von Neumann Neighborhood of Extent 2 to attempt to reduce the number of states in a solution or reduce the number of rules. Different techniques other than halving could also be explored, such as dividing the array in thirds as Mazoyer did in the standard problem [6]. Due to the rules having more inputs when considered a Von Neumann Neighborhood of Extent 2, there are more possible rules that can be defined for a fixed number of states than with the standard Von Neumann Neighborhood. Having a larger number of possible configurations of states in the rule set could feasibly result in solutions with fewer states than what is possible in the standard problem. Exploring that possibility would be of interest.

It is also natural to now consider changing the neighborhood definition used in other variations of the FSSP, including the ring variation as in [10] or in higher dimensions as in [5]. Expanding the radius of the neighborhoods in these variations could result in minimal time solutions that require fewer discrete time steps. Different types of neighborhoods can also be explored, including an even larger radius, neighborhoods defined only on one side of a cell, or neighborhoods with unequal number of neighbors on each side.

Solutions to variations of the FSSP could be used in applications requiring simultaneous action by machines. For example, in a future with all self-driving cars, a solution to the FSSP could be used to allow for simultaneous movement of cars stopped at a traffic light when the signal changes to green, as opposed to the current reality that each car can only move once all preceding cars have already started moving. Solutions could also be used for simultaneous engine firing in rockets or other applications requiring synchronous action.

6 Simulation Program Code

```
import sys

import numpy as np

from termcolor import colored

from colored import fg

#prompt user for the size of the firing squad
squad_size = int(input('Enter the length of the firing squad: '))

#initialize 1d array for firing squad with all entries 0 (quiescent state)
squad = np.zeros(squad_size + 4, dtype=np.int)

#set the four end units' state to boundary (20)
squad[0]=20
squad[1]=20
squad[squad_size+2]=20
squad[squad_size+3]=20

#initialize first member of the squad as the general (state 1)
squad[2]=1

for k in range(2, squad_size+2):
    if squad[k] == 0:
        sys.stdout.write('%s\u2610' %(fg('white')))
    elif squad[k] == 1:
        sys.stdout.write('%s\u2610' %(fg('green')))
```

```

elif squad[k] == 2:
    sys.stdout.write('%s\u2610' %(fg('red')))
elif squad[k] == 3:
    sys.stdout.write('%s\u2610' %(fg('yellow')))
elif squad[k] == 4:
    sys.stdout.write('%s\u2610' %(fg('magenta')))
elif squad[k] == 5:
    sys.stdout.write('%s\u2610' %(fg('blue')))
elif squad[k] == 6:
    sys.stdout.write('%s\u2610' %(fg('cyan')))
elif squad[k] == 7:
    sys.stdout.write('%s\u2610' %(fg('orchid')))
else:
    sys.stdout.write('')
sys.stdout.write('\n')

#create the array to hold the rule set
rules = np.full((21,21,21,21,21), 21, dtype=np.int)
#define rules
rules[20,20,1,0,0]=1 #general persists
rules[20,1,0,0,0]=3
rules[1,0,0,0,0]=4 #fast wave
rules[0,0,0,0,0]=0 #no instantaneous change from quiescent
rules[0,0,0,0,20]=0 #no instantaneous change from quiescent
rules[0,0,0,20,20]=0 #no instantaneous change from quiescent
rules[20,20,1,3,4]=1 #general persists
rules[20,1,3,4,0]=7 #start slow wave

```

```
rules[1,3,4,0,0]=4
rules[3,4,0,0,0]=3
rules[4,0,0,0,0]=4 #fast wave
rules[20,20,1,7,4]=1 #general persists
rules[20,1,7,4,3]=3
rules[1,7,4,3,4]=7 #slow wave
rules[7,4,3,4,0]=3
rules[4,3,4,0,0]=4
rules[20,20,1,3,7]=1 #general persists
rules[20,1,3,7,3]=3
rules[1,3,7,3,4]=4 #fast wave
rules[3,7,3,4,3]=6 #slow wave
rules[7,3,4,3,4]=4
rules[3,4,3,4,0]=3
rules[20,1,3,4,6]=7 #slow wave
rules[1,3,4,6,4]=3
rules[3,4,6,4,3]=7 #slow wave
rules[4,6,4,3,4]=4
rules[6,4,3,4,3]=3
rules[4,3,4,3,4]=4
rules[20,1,7,3,7]=7 #slow wave
rules[1,7,3,7,4]=4 #fast wave
rules[7,3,7,4,3]=3
rules[3,7,4,3,4]=7 #slow wave
rules[7,4,3,4,3]=3
rules[3,4,3,4,3]=3
rules[1,7,4,3,7]=6 #slow wave
```

```

rules[7,4,3,7,3]=3
rules[4,3,7,3,4]=4 #fast wave
rules[3,4,0,0,20]=3
rules[4,0,0,20,20]=1 #fast wave hits far end
rules[20,20,1,3,6]=1 #general persists
rules[20,1,3,6,3]=3
rules[1,3,6,3,4]=7
rules[3,6,3,4,6]=3
rules[6,3,4,6,4]=3
rules[4,3,4,3,1]=5 #fast reverse wave
rules[3,4,3,1,20]=0
rules[4,3,1,20,20]=1 #general persists
rules[1,3,7,3,3]=7 #slow wave
rules[3,7,3,3,7]=3
rules[7,3,3,7,4]=4
rules[3,3,7,4,3]=3
rules[7,3,4,3,7]=3
rules[3,4,3,7,3]=3
rules[7,3,4,3,5]=5 #fast reverse wave
rules[4,3,5,0,5]=5
rules[3,5,0,5,7]=0
rules[5,0,5,7,1]=7
rules[0,5,7,1,20]=0
rules[5,7,1,20,20]=1 #general persists
rules[1,3,4,6,3]=3
rules[3,4,6,3,3]=6 #slow wave
rules[4,6,3,3,4]=4 #fast wave

```

```

rules[6,3,3,4,6]=3
rules[3,3,4,6,5]=5 #fast reverse wave
rules[3,4,6,5,0]=1 #intersection of reverse fast and forward slow waves
rules[4,6,5,0,5]=4 #fast wave
rules[6,5,0,5,0]=0
rules[5,0,5,0,7]=5
rules[0,5,0,7,0]=6
rules[5,0,7,0,1]=5 #fast reverse wave
rules[0,7,0,1,20]=0
rules[7,0,1,20,20]=1 #general persists
rules[20,20,1,7,3]=1 #general persists
rules[20,1,7,3,6]=7 #slow wave
rules[1,7,3,6,4]=3
rules[7,3,6,4,3]=7 #slow wave
rules[3,6,4,3,5]=7 #slow wave
rules[6,4,3,5,1]=0
rules[4,3,5,1,4]=7 #slow wave
rules[3,5,1,4,0]=1 #general persists
rules[5,1,4,0,5]=7 #slow wave
rules[1,4,0,5,6]=3
rules[4,0,5,6,5]=7 #slow wave
rules[0,5,6,5,0]=7 #slow wave
rules[5,6,5,0,1]=0
rules[6,5,0,1,20]=7
rules[4,3,4,3,5]=5 #fast reverse wave
rules[3,4,3,5,0]=0
rules[4,3,5,0,1]=5

```



```

rules[3,5,0,1,20]=7 #slow wave
rules[5,0,1,20,20]=1 #general persists
rules[1,7,3,7,7]=7
rules[7,3,7,7,0]=1
rules[3,7,7,0,7]=1
rules[7,7,0,7,1]=7
rules[7,0,7,1,7]=7
rules[0,7,1,7,3]=1 #general persists
rules[7,1,7,3,7]=7
rules[7,0,7,1,20]=7
rules[0,7,1,20,20]=1 #general persists
rules[20,20,1,7,7]=2
rules[20,1,7,7,1]=2
rules[1,7,7,1,1]=2
rules[7,7,1,1,7]=2
rules[7,1,1,7,7]=2
rules[1,1,7,7,1]=2
rules[1,7,7,1,7]=2
rules[7,7,1,7,7]=2
rules[7,1,7,7,1]=2
rules[1,7,7,1,20]=2
rules[7,7,1,20,20]=2
rules[1,7,4,3,1]=1 #intersection of waves
rules[7,4,3,1,20]=0
rules[20,20,1,3,1]=2
rules[20,1,3,1,0]=2
rules[1,3,1,0,1]=2

```

```
rules[3,1,0,1,20]=2
rules[1,0,1,20,20]=2
rules[7,3,4,3,1]=5
rules[1,3,4,6,5]=7
rules[4,6,5,0,1]=7
rules[3,7,4,3,5]=1 #intersection of waves
rules[7,4,3,5,0]=0
rules[7,4,3,1,0]=0
rules[4,3,1,0,5]=1 #general persists
rules[3,1,0,5,7]=3
rules[1,0,5,7,1]=1
rules[3,1,0,1,3]=2
rules[1,0,1,3,1]=2
rules[0,1,3,1,0]=2
rules[6,3,4,6,5]=5
rules[6,5,0,5,7]=0
rules[1,3,7,3,5]=1
rules[3,7,3,5,1]=1
rules[7,3,5,1,4]=0
rules[5,1,4,0,7]=3
rules[1,4,0,7,0]=1
rules[4,0,7,0,1]=1
rules[20,1,3,1,1]=2
rules[1,3,1,1,0]=2
rules[3,1,1,0,1]=2
rules[1,1,0,1,3]=2
rules[0,1,3,1,1]=2
```

rules[1,1,0,1,20]=2
rules[3,4,3,1,0]=0
rules[3,1,0,5,0]=3
rules[1,0,5,0,7]=4
rules[6,5,0,1,3]=7
rules[5,0,1,3,4]=1
rules[0,1,3,4,6]=7
rules[20,1,0,0,20]=3
rules[1,0,0,20,20]=1
rules[20,1,3,1,20]=2
rules[1,3,1,20,20]=2
rules[3,3,4,6,4]=3
rules[3,6,4,3,3]=3
rules[6,4,3,3,7]=3
rules[4,3,3,7,4]=4
rules[3,5,0,5,0]=0
rules[1,7,3,7,3]=3
rules[7,3,7,3,3]=7
rules[3,7,3,3,4]=4
rules[7,3,3,4,3]=3
rules[3,3,4,3,1]=5
rules[1,0,5,0,5]=4
rules[0,5,0,5,6]=0
rules[5,0,5,6,5]=5
rules[3,5,0,1,3]=7
rules[0,1,3,4,0]=7
rules[1,3,4,0,5]=4

```

rules[3,4,0,5,7]=3
rules[4,0,5,7,0]=1 #intersection
rules[0,5,7,0,7]=0
rules[5,7,0,7,1]=5
rules[0,5,7,1,7]=0
rules[5,7,1,7,4]=1 #general persists
rules[7,1,7,4,3]=3
rules[3,3,4,3,7]=3
rules[3,7,4,3,3]=6
rules[7,4,3,3,3]=3
rules[4,3,3,3,4]=4
rules[3,3,3,4,6]=3
rules[5,0,5,0,5]=5
rules[1,7,4,3,6]=6
rules[7,4,3,6,3]=3
rules[4,3,6,3,4]=7
rules[3,6,3,4,3]=3
rules[6,3,4,3,5]=5
rules[3,4,3,5,1]=0
rules[1,4,0,5,0]=3
rules[4,0,5,0,5]=4
rules[0,5,0,5,7]=0
rules[5,0,5,7,0]=7
rules[1,3,6,3,7]=6
rules[3,6,3,7,3]=3
rules[6,3,7,3,5]=1 #intersection
rules[3,7,3,5,0]=1 #intersection

```

```
rules[7,3,5,0,7]=0
rules[3,5,0,7,1]=6
rules[5,0,7,1,7]=0
rules[7,1,7,3,4]=3
rules[1,7,3,4,0]=6
rules[7,3,4,0,7]=3
rules[3,4,0,7,0]=1 #intersection
rules[4,0,7,0,5]=1 #intersection
rules[0,7,0,5,7]=0
rules[7,0,5,7,1]=6
rules[1,3,6,3,1]=1 #intersection
rules[3,6,3,1,1]=0
rules[6,3,1,1,0]=1 #general persists
rules[3,1,1,0,6]=1 #general persists
rules[1,1,0,6,0]=3
rules[1,0,6,0,1]=1 #intersection
rules[0,6,0,1,3]=0
rules[6,0,1,3,6]=1 #general persists
rules[0,1,3,6,3]=3
rules[0,6,0,1,20]=0
rules[6,0,1,20,20]=1 #general persists
rules[3,1,0,1,1]=2
rules[1,0,1,1,3]=2
rules[0,1,1,3,1]=2
rules[1,1,3,1,0]=2
rules[6,3,4,3,3]=3
rules[3,4,3,3,7]=3
```

rules[6,3,7,3,3]=7
rules[3,7,3,3,3]=3
rules[7,3,3,3,4]=4
rules[3,3,3,4,3]=3
rules[0,5,0,5,0]=0
rules[6,3,7,3,4]=4
rules[3,4,0,5,0]=3
rules[4,0,5,0,7]=4
rules[5,0,7,0,5]=5
rules[7,4,3,4,6]=3
rules[4,3,4,6,5]=5
rules[4,6,5,0,6]=4
rules[6,5,0,6,0]=0
rules[5,0,6,0,1]=7
rules[0,7,0,1,3]=0
rules[0,1,3,7,3]=3
rules[7,0,1,3,7]=1
rules[7,3,4,3,3]=3
rules[3,4,3,3,3]=3
rules[6,3,4,6,3]=3
rules[6,3,3,4,3]=3
rules[3,3,4,3,5]=5
rules[3,7,3,3,6]=3
rules[7,3,3,6,4]=3
rules[3,3,6,4,3]=7
rules[4,3,5,0,7]=5
rules[6,4,3,5,0]=0

rules[7,3,4,0,5]=4
rules[3,4,0,5,6]=3
rules[5,6,5,0,6]=0
rules[7,3,3,7,7]=5
rules[3,3,7,7,0]=1
rules[3,7,7,0,5]=1
rules[7,7,0,5,6]=4
rules[7,0,5,6,0]=0
rules[0,5,6,0,1]=7
rules[5,6,0,1,3]=0
rules[0,1,3,6,4]=3
rules[1,3,6,4,3]=7
rules[3,6,4,3,7]=3
rules[6,4,3,7,7]=5
rules[4,3,7,7,0]=1
rules[3,7,7,0,0]=1
rules[7,7,0,0,7]=4
rules[0,0,7,0,1]=7
rules[7,0,0,7,0]=0
rules[7,3,5,1,1]=0
rules[1,1,4,0,7]=3
rules[3,5,1,1,4]=1
rules[5,1,1,4,0]=1
rules[1,1,3,1,1]=2
rules[1,1,0,1,1]=2
rules[6,4,3,3,3]=3
rules[3,3,4,3,3]=3

rules[3,3,7,3,3]=7
rules[7,3,3,7,3]=3
rules[5,7,0,0,7]=5
rules[4,3,4,0,5]=4
rules[0,5,7,0,0]=0
rules[7,3,4,3,6]=3
rules[3,4,3,6,3]=3
rules[4,6,3,3,7]=3
rules[6,3,3,7,3]=3
rules[0,7,0,5,0]=0
rules[7,0,5,0,7]=0
rules[7,3,5,0,5]=0
rules[3,5,0,5,6]=0
rules[5,0,5,6,0]=5
rules[3,3,7,3,5]=1
rules[3,6,4,3,4]=4
rules[6,4,3,4,0]=3
rules[4,3,4,0,7]=3
rules[1,7,3,6,3]=3
rules[7,3,6,3,3]=6
rules[3,6,3,3,1]=5
rules[6,3,3,1,1]=0
rules[3,3,1,1,0]=1
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```

```
#even rules here
```

```
rules[20,20,1,0,20]=2
rules[20,1,0,20,20]=2
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rules[1,3,4,0,20]=7
rules[3,4,0,20,20]=1
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rules[7,3,5,1,20]=0
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rules[5,0,7,1,20]=0
rules[5,6,0,1,20]=0
rules[5,7,0,1,20]=7
```

```
#Loop through the squad and update with new state
```

```
#count the number of firings
```

```

#create temporary array to hold update
temp = np.zeros(squad_size+4, dtype=np.int)
count=0
time=0
A=rules
while count==0:
    for i in range(2, squad_size+2):
        if squad[i]==21:
            print("Undefined rule at time ", time-1)
    for i in range(2, squad_size+2):
        farLeft=squad[i-2]
        nearLeft=squad[i-1]
        current=squad[i]
        nearRight=squad[i+1]
        farRight=squad[i+2]
        temp[i]=A[farLeft,nearLeft,current,nearRight,farRight]
    for j in range(2, squad_size+2):
        squad[j]=temp[j]
    for k in range(2, squad_size+2):
        if squad[k] == 0:
            sys.stdout.write('%s\u2610' %(fg('white')))
        elif squad[k] == 1:
            sys.stdout.write('%s\u2610' %(fg('green')))
        elif squad[k] == 2:
            sys.stdout.write('%s\u2610' %(fg('red')))
        elif squad[k] == 3:
            sys.stdout.write('%s\u2610' %(fg('yellow')))

```

```

elif squad[k] == 4:
    sys.stdout.write('%s\u2610' %(fg('magenta'))))
elif squad[k] == 5:
    sys.stdout.write('%s\u2610' %(fg('blue'))))
elif squad[k] == 6:
    sys.stdout.write('%s\u2610' %(fg('cyan'))))
elif squad[k] == 7:
    sys.stdout.write('%s\u2610' %(fg('orchid'))))
else:
    sys.stdout.write('')
sys.stdout.write('\n')
for l in range(0, squad_size+4):
    if squad[l]==2:
        count=count+1
time=time+1

if not(count==squad_size):
    print("Error in firing squad algorithm occurs at time ", time)
else:
    print("Firing squad algorithm complete in ", time, " time-steps.")

```

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Curriculum Vitae

Kathryn A. Boddie

Education:

Master of Science, Pure Mathematics

Florida State University, Tallahassee, FL, May 2011

Bachelor of Science, Mathematics

University of Wisconsin-Madison, Madison, WI, May 2009

Honors and Awards:

Ernst Schwandt Teaching Award

May 2016

Professional Memberships:

American Mathematical Society

September 2013 –Present

Mathematical Association of America

September 2013 –Present

Association for Women in Mathematics

January 2018 –Present

Research Interests:

Applied Mathematics

- Cellular automata models, Firing Squad Synchronization Problem, computer science, discrete mathematics, optimization, computational mathematics.

Algebra

- Commutative algebra, ring theory, localization, zero-divisor graphs, algebraic number theory.

Graph Theory and Combinatorics

- Zero-divisor graphs, nilradical and non-nilradical graphs, path minimization, coloring problems, game theory.

Publications (some publications under the name Kathryn A. Lokken):

1. M. Axtell, J. Stickles, W. Trampbachls* (2009) *Zero-Divisor Ideals and Realizable Zero-Divisor Graphs*. *Involve*, volume 2, number 1, 17-27. *Note: The author “Wallace Trampbachls” was a pseudonym for many undergraduate authors.
2. T. Cuchta, K. Lokken, W. Young. (2008) *Zero-Divisor Graphs of Localizations and Modular Rings*. *Rose-Hulman Undergraduate Math Journal*, volume 9 issue 2.
3. A. Bishop, T. Cuchta, K. Lokken, O. Pechenik. (2008) *The Nilradical and Non-nilradical Graphs of Commutative Rings*. *International Journal of Algebra* , volume 2 no. 17-20.

Conferences and Presentations:

1. Joint Mathematics Meeting, Baltimore, MD: *A Minimal Time Solution to the Firing Squad Synchronization Problem with Von Neumann Neighborhood with Radius of Extent 2*. January 2019; Talk
2. Joint Mathematics Meeting, San Diego, CA: *The Firing Squad Synchronization Problem*. January 2018; Talk

3. Joint Mathematics Meeting, Washington D.C.: *Zero-Divisor Graphs of Localizations and Modular Rings*. January 2009; Poster

Recent Service:

AWM student chapter outreach at Oostburg Christian School	February 2019
UW-Milwaukee AWM student chapter president	January 2018–Present

Programming/Scripting Languages

- Java
- C++
- Python
- Julia
- Matlab

Teaching Experience:

Teaching Assistant September 2013 –Present

University of Wisconsin-Milwaukee, Milwaukee, WI

Sole instructor without a course coordinator for the following courses:

- Math 116 –College Algebra as a pre-requisite for Calculus 1
- Math 205 –Finite Mathematics
- Math 211 –Survey in Calculus and Analytical Geometry
- Math 234 –Linear Algebra and Differential Equations

Sole instructor under a course coordinator for the following courses:

- Math 094 –Foundations of Elementary Mathematics –developmental course using a flipped classroom model
- Math 092/102 –Mathematical Literacy for College Students I and II –developmental and college level math courses using a co-requisite flipped classroom model for non-STEM majors
- Math 098 –Algebraic Literacy I –developmental course
- Math 108 – Algebraic Literacy II –college level algebra course (Math 098/108 together are the equivalent of Math 105 –Introduction to College Algebra)
- Math 105 –Introduction to College Algebra as a pre-requisite for Business Calculus
- Math 231 –Calculus and Analytic Geometry I
- Math 232 –Calculus and Analytic Geometry II

Discussion section instructor for:

- Math 211 –Survey in Calculus and Analytical Geometry

Mathematics Teacher

March 2012 –May 2013

Amos P. Godby High School

- Three classes of Honors Geometry
- Four classes of Algebra 1 (one honors)
- One class of Algebra 2

Teaching Assistant

August 2009 –May 2011

Florida State University

Lab Proctor for the following courses

- MAC 1105 –College Algebra
- MGF 1107 –Practical Finite Math